



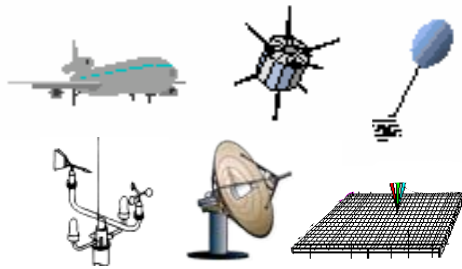
*Assimilation development  
activities on Regional and  
Local-Scale*

*Stan Benjamin (GSD)*

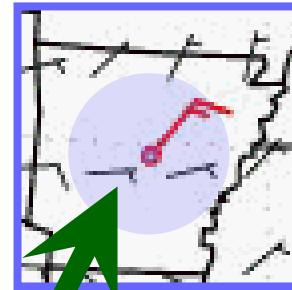
*Thanks to Steve Weygandt, Tom Hamill*



# Operational Prediction Process



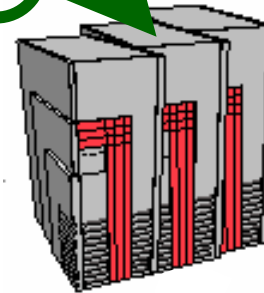
*Observations*



*Objective  
Analysis*

**ESRL contributions to NOAA  
operational predictions**

**Analysis  
Update  
Cycle**



*Model  
Prediction*

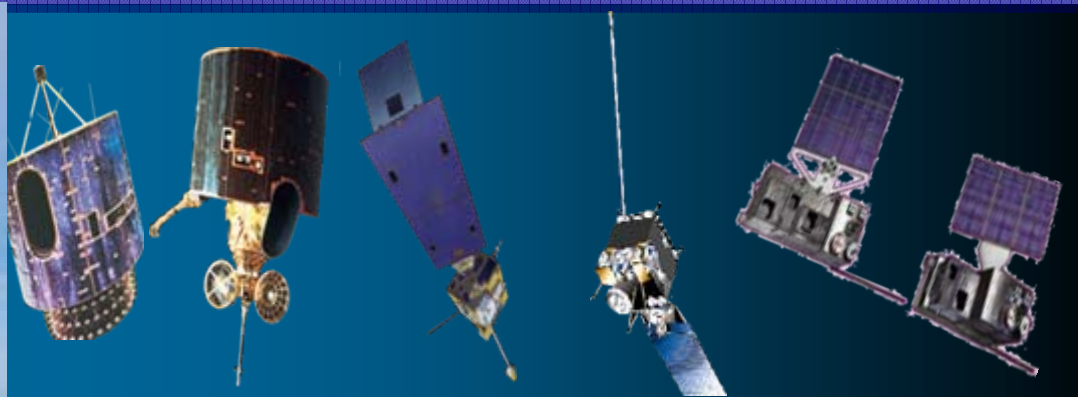


*Human  
Forecaster*

*Measure Skill*



# The Evolution of Observations



OLD

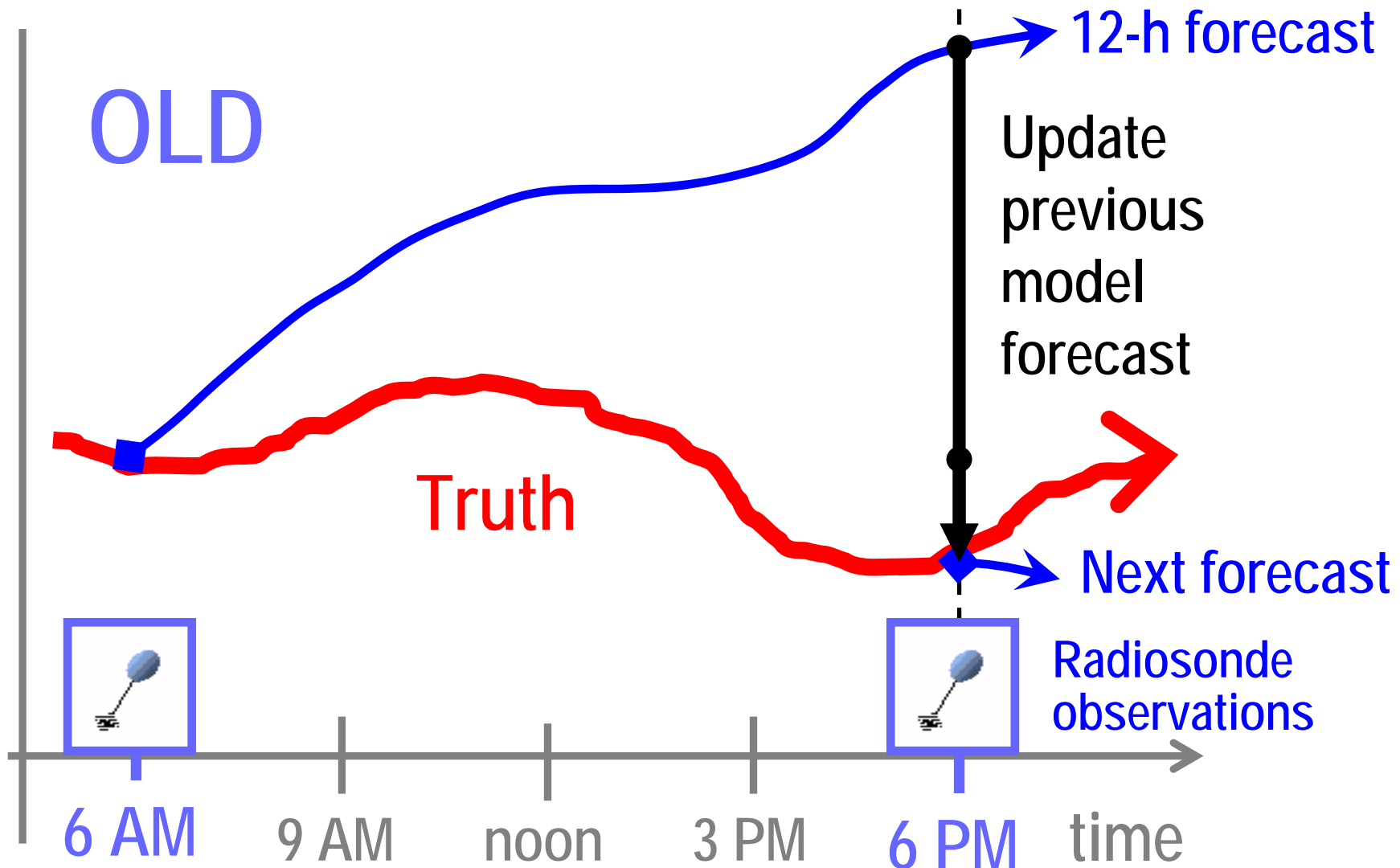


NEW



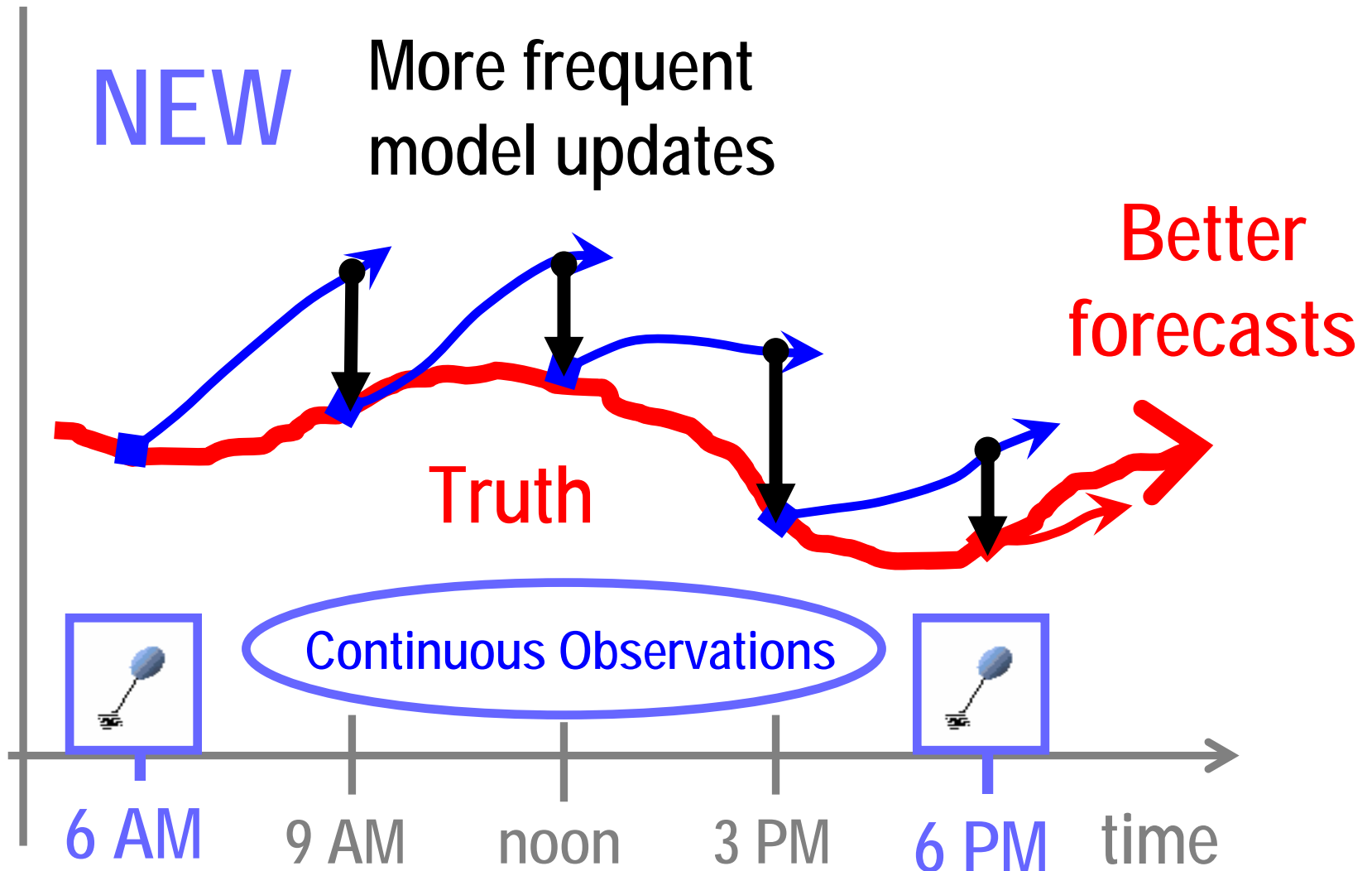


# DATA ASSIMILATION: *Analysis Update Cycle*





# DATA ASSIMILATION: *Analysis Update Cycle*





RUC vector (m/s) forecast error – 1200 UTC 8 Feb 2001 – 250 hPa

12h init 12z

9h init 03z

Assimilation of  
high-freq. obs  
decreases forecast

6h init 06z

3h init 09z



Benj. et al. (2004, MWR)



# Regional 3-d data assimilation

## Summary of different techniques for model initial conditions

### *All use previous model forecast as background*

- 3d variational analysis
  - RUC 3dvar (GSD - Weygandt/Benjamin/Devenyi)
  - Gridpoint Statistical Interpolation -
    - »used for NAM, GFS and future Rapid Refresh (GSD,NCEP, NASA)
- Ensemble Kalman filter assimilation
  - Research/development in ESRL - Hamill/Whittaker/Wang (PSD)
- 4d variational analysis
  - Will be available for WRF (no current work in ESRL)

### ***3dVAR much less expensive than 4dVAR or EnKF***

#### Simpler - LAPS (Local Analysis and Prediction System)

- Modified Barnes analysis followed by application of balance constraint (GSD) (generally uses RUC background)
- Nudging - MM5, WRF - (PSD applications - Wilczak, etc.)

# NCEP Operational Model/Assimilation Systems

## RUC – Rapid Update Cycle

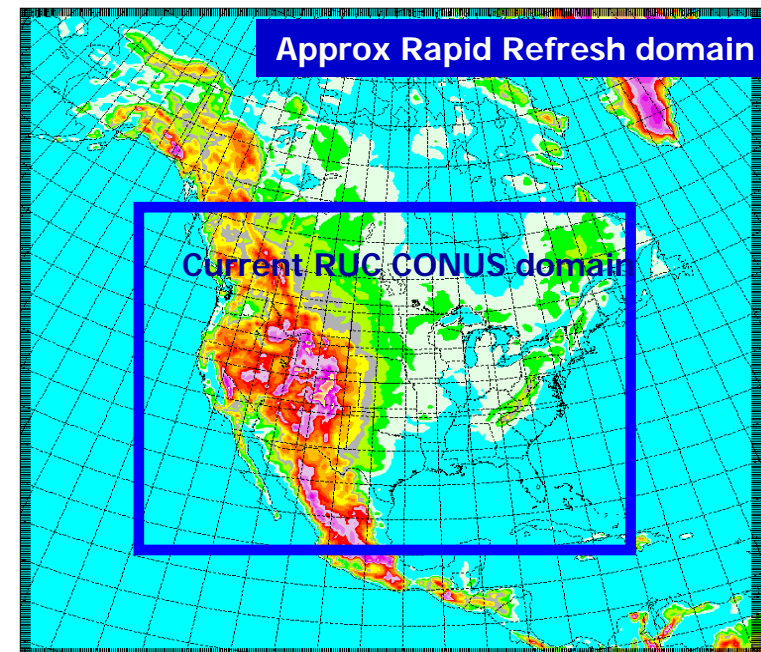
- Assimilation **update every 1h**
- Developed largely at ESRL (GSD)
- Forecasts out to 12h (72h in GSD experimental version)
- Rapid Refresh - 2009

## NAM (North American Mesoscale) –

- **6h update** frequency
- Forecasts out to 84 h

## GFS (Global Forecast System) –

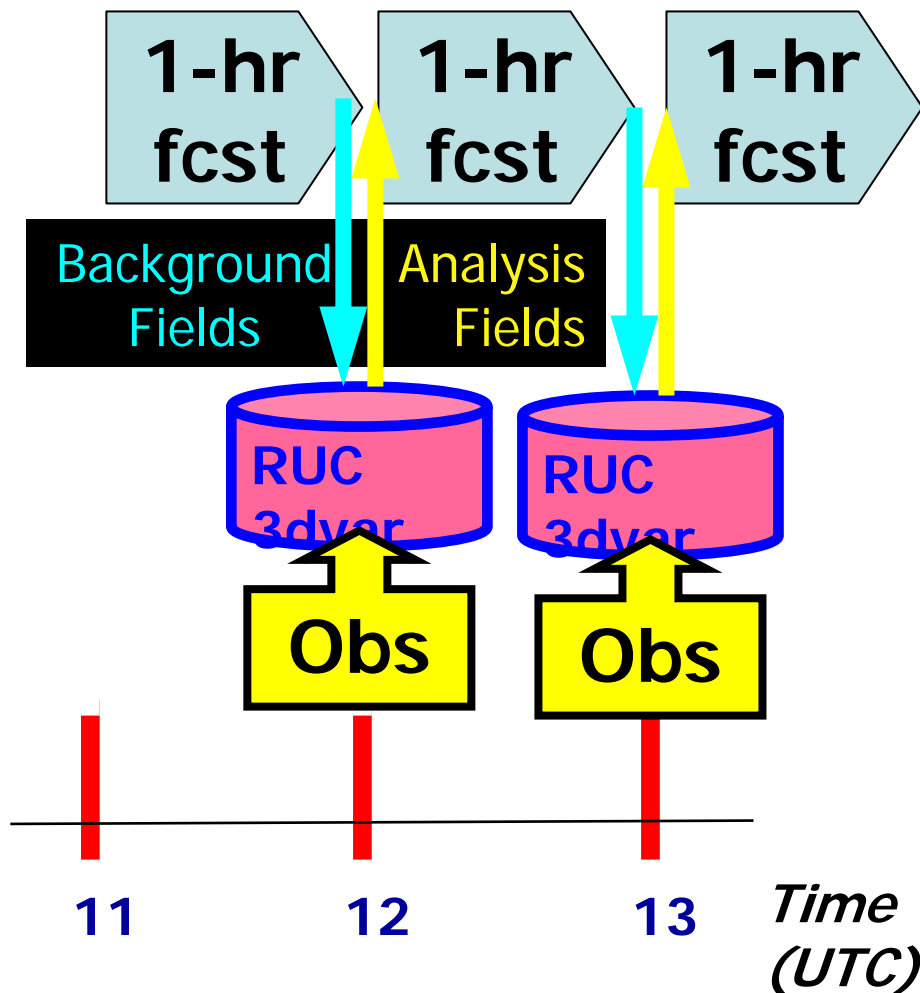
- **6h update** frequency
- Forecasts out to 240 h





# RUC Hourly Assimilation Cycle

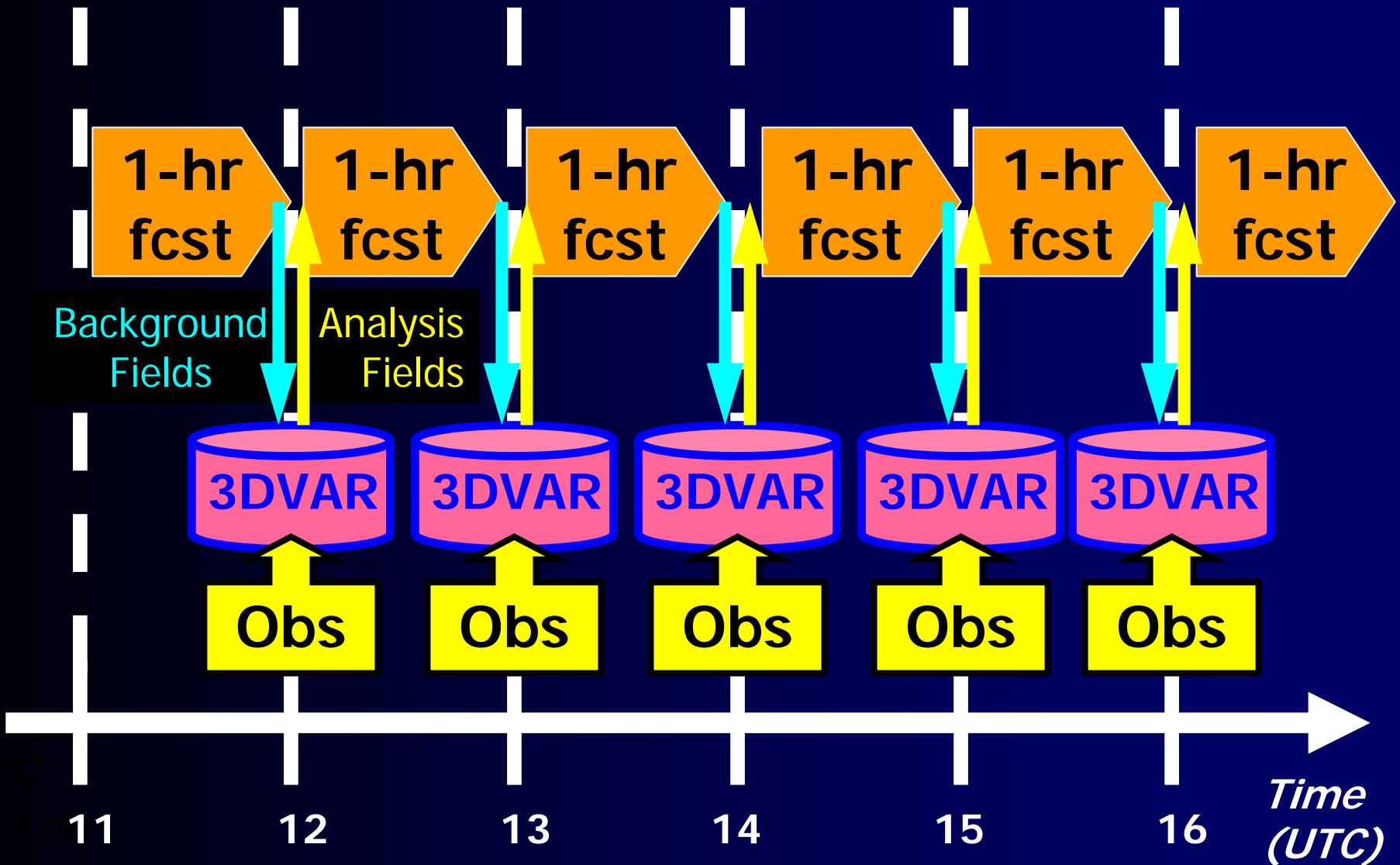
Cycle hydrometeor, soil temp/moisture/snow plus atmosphere state variables ( $\theta, \Delta p, q_v, u, v$ )



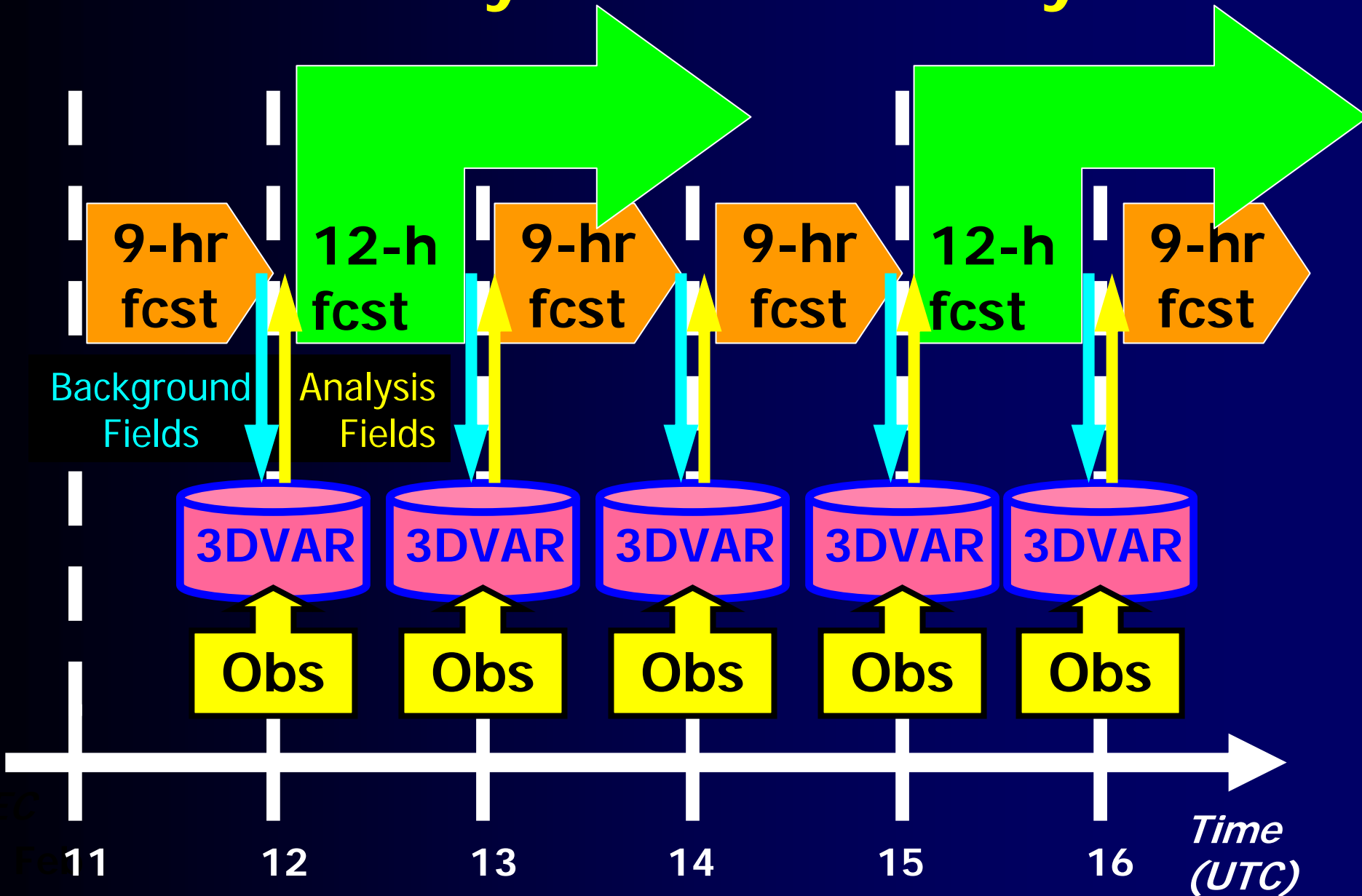
## Hourly obs in 2008 RUC

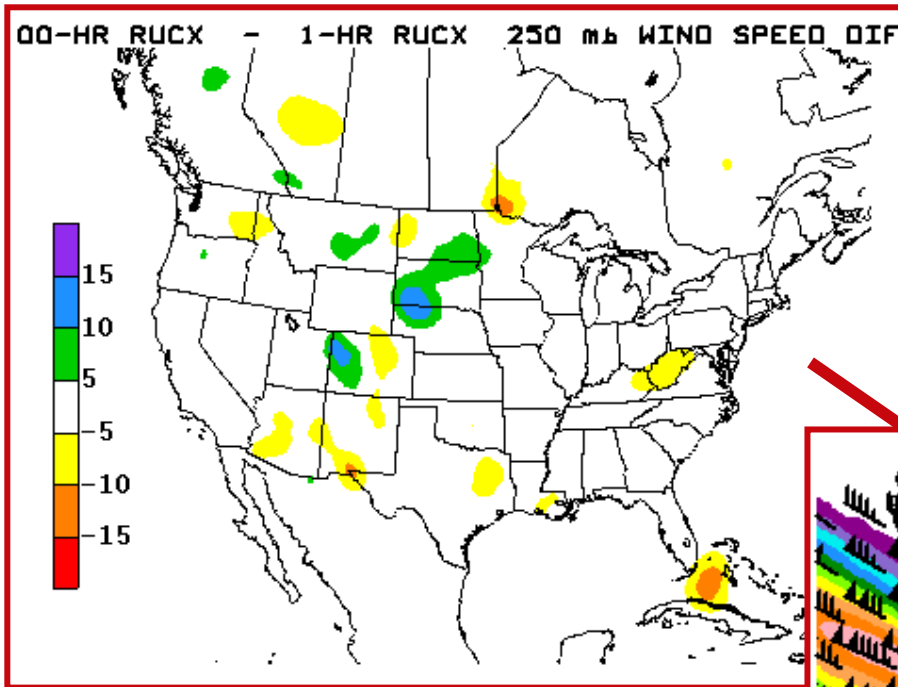
Data Type	~ Number
Rawinsonde (12h)	80
NOAA profilers	30
VAD winds	110-130
PBL – prof/RASS	~25
Aircraft (V,temp)	1400-4500
<b>TAMDAR (V,T,RH)</b>	<b>0-1000</b>
Surface/METAR	1500-1700
Buoy/ship	100-150
GOES cloud winds	1000-2500
GOES cloud-top pres	10 km res
GPS precip water	~300
Mesonet (temp, dpt)	~7000
<b>Mesonet (wind)</b>	<b>~ 600</b>
METAR-cloud-vis-wx	~1500
<b>Radar / lightning</b>	<b>2km</b>

# RUC Hourly Assimilation Cycle



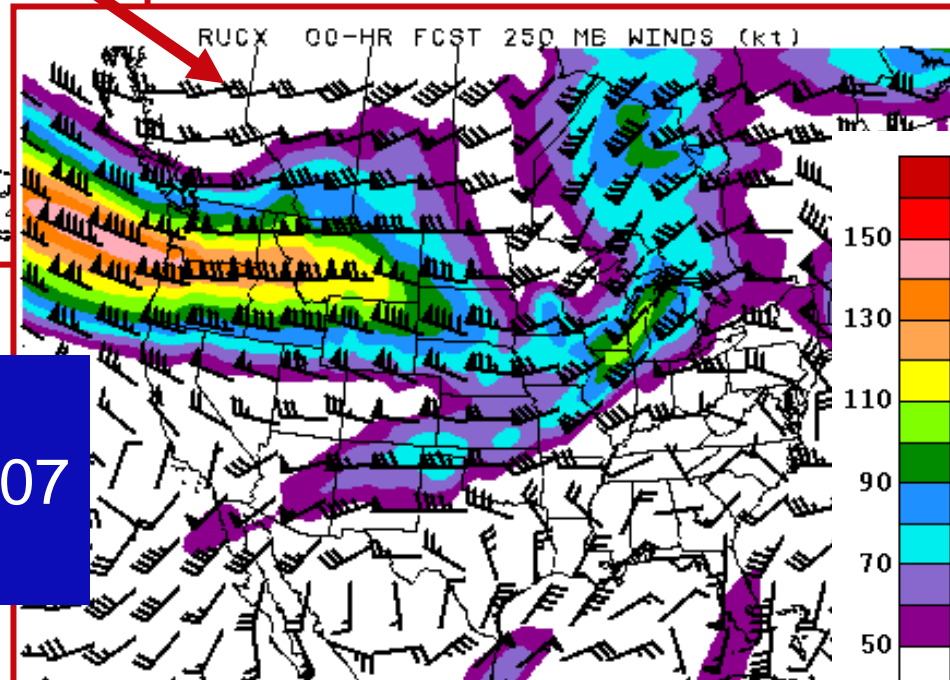
# RUC Hourly Assimilation Cycle





Analysis increment ( $\Delta A$ ) -  
 RUC 3dvar - 250hPa winds  
 06z correction to 1h RUC  
 forecast from 05z

250 hPa winds  
**TODAY** -0600z Thurs 4 Oct 2007  
 RUC model initial conditions



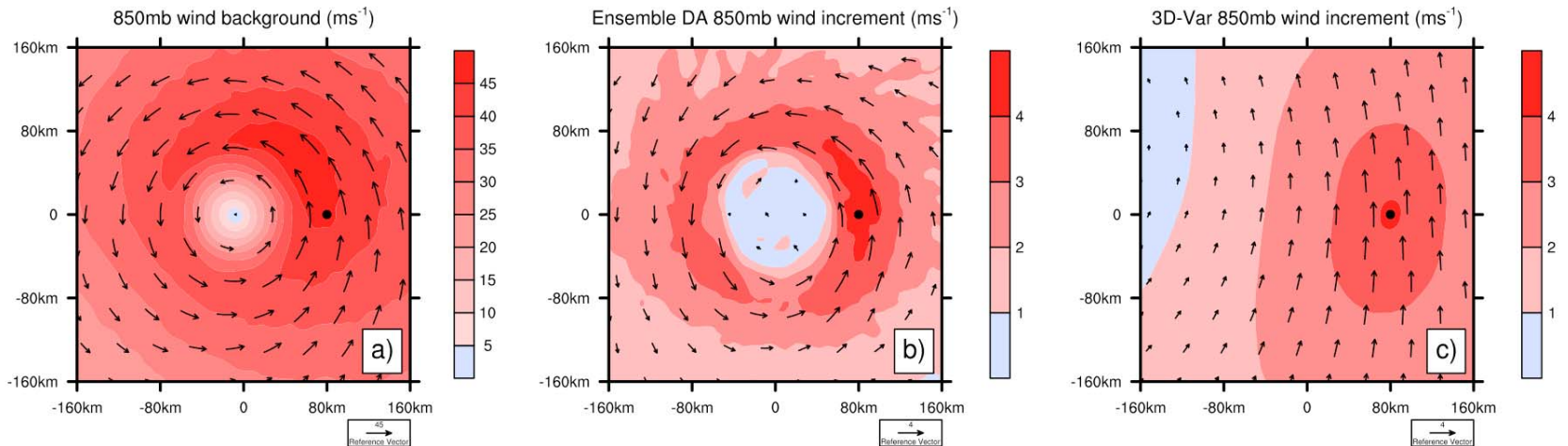
Analysis = Background +  $\Delta A$

Analysis increment - produced from 3dVAR minimization problem  
 using observation errors, background error,  
 spatial covariance of background error



# Ensemble-based data assimilation

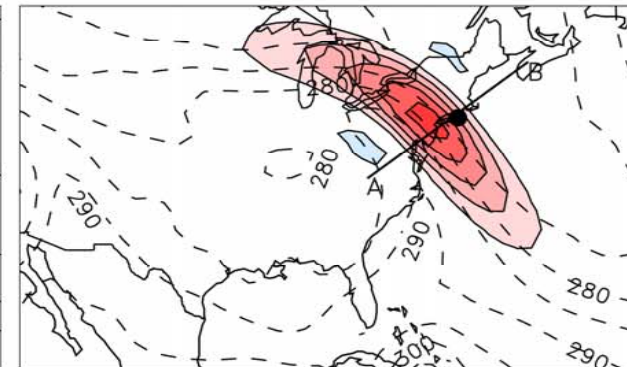
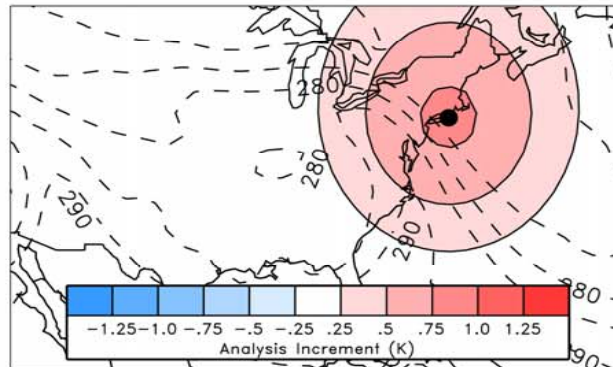
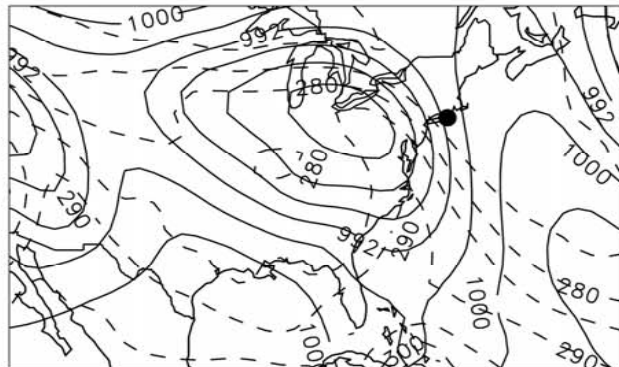
- Parallel forecast and analysis cycles
- Ensemble of forecasts is used to estimate forecast-error statistics during the data assimilation



1000 hPa temperature (K) and surface pressure (hPa)

3D-Var increment

Ensemble Filter Increment





# 2-d surface regional data assimilation

## Summary of different techniques

**Background**  
RUC persistence

### – Variational analysis

- Space-Time Mesoscale Anx Sys (STMAS)  
(GSD) - 15-min frequency,  
uses subhour observations ✓
- RUC (GSD) - integrated 2-d/3-d/PBL ✓
- Real-Time Mesoscale Analysis (RTMA)  
with GSI (NCEP, GSD) ✓

### – Optimal interpolation

- MSAS/RSAS (GSD) ✓

### – Nudging - via MM5 (PSD)

All use METAR, mesonet surface data, buoy

*Surface-only assimilation not for model init, useful for nowcasting*

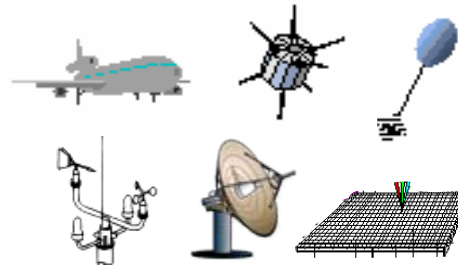
# Observing System Experiments

Why?

*Assess value added  
from current observing  
systems*

**OSE**

How?



Run model cycle with all observations

Remove specific observations, repeat cycle

Can test experimental observing systems



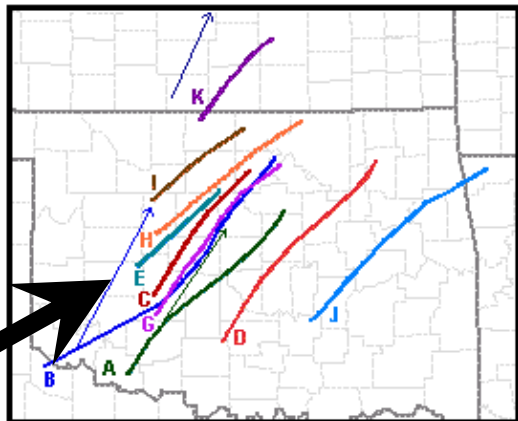
# Profiler Case Study Example

## May 3, 1999 Oklahoma tornadoes

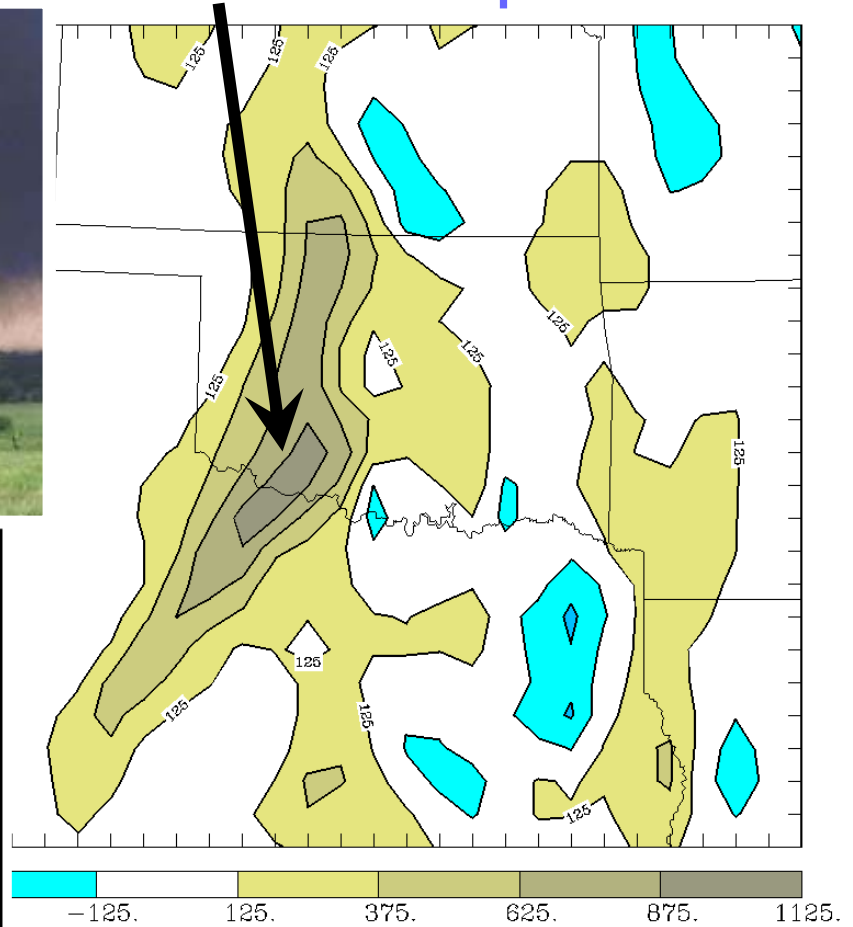


*50+ tornadoes cause 42 deaths in Oklahoma*

More energy for thunderstorms  
6-h forecast with **profiler data**



Tornado paths







# GPS-Precipitable Water example

## April 20, 2004 Utica, IL tornado

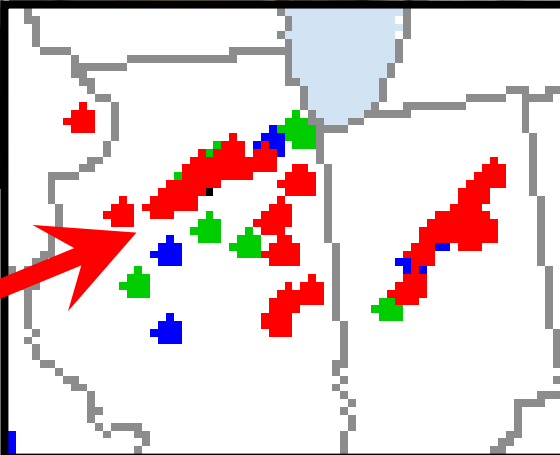


### Tornado death toll rises to 8

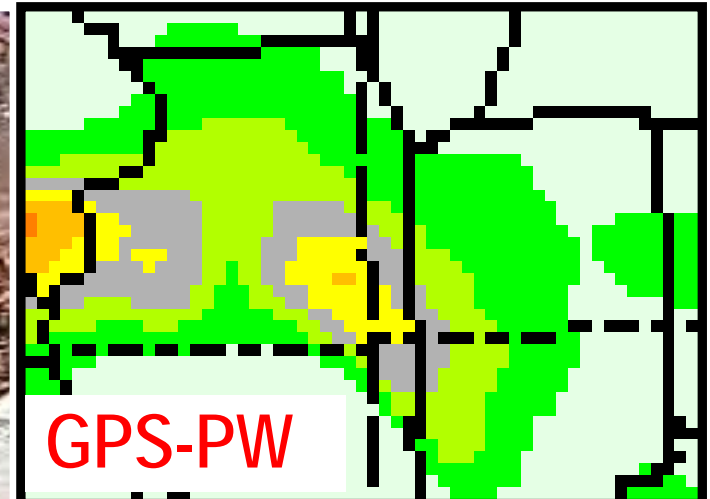
Thursday, April 22, 2004 Posted: 9:43 AM EDT (1343 GMT)

UTICA, Illinois (CNN) -- The death toll from Tuesday evening's tornadoes rose to eight Wednesday afternoon, LaSalle County Coroner Jody Bernard

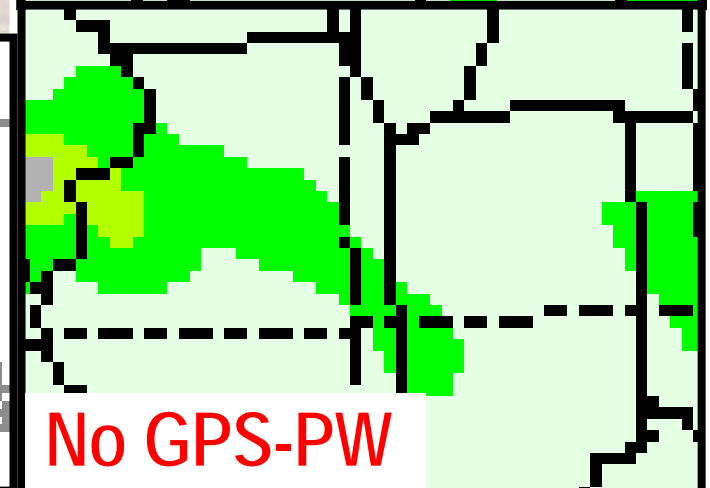
More energy for thunderstorms  
3-h forecast with **GPS-PW data**



Tornadoes



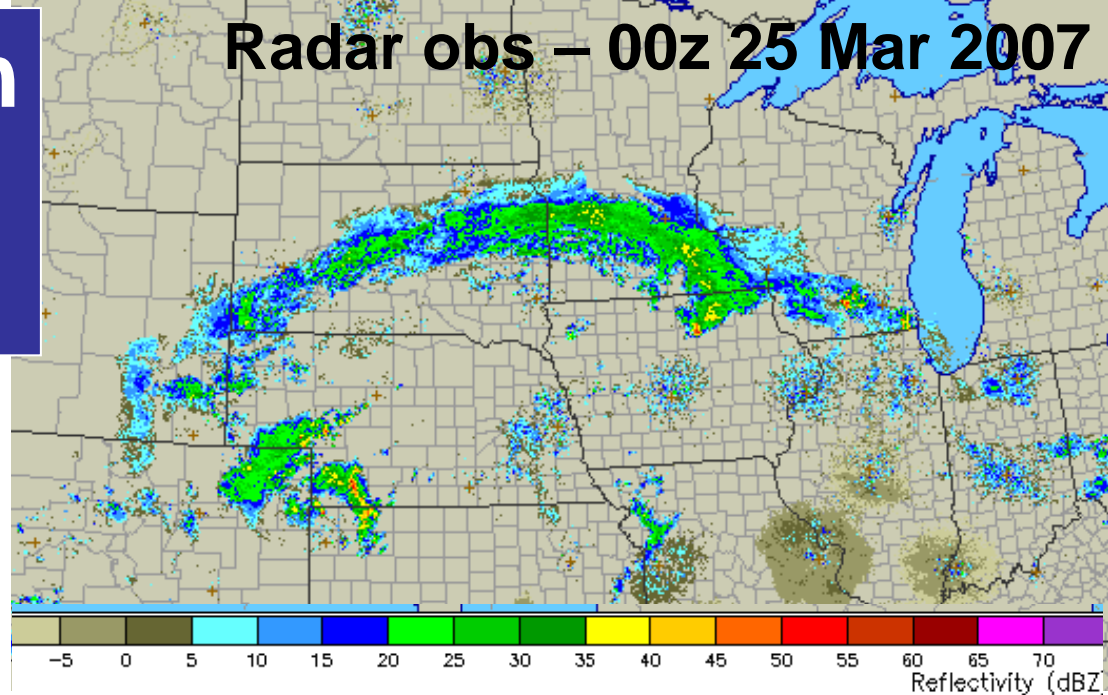
GPS-PW



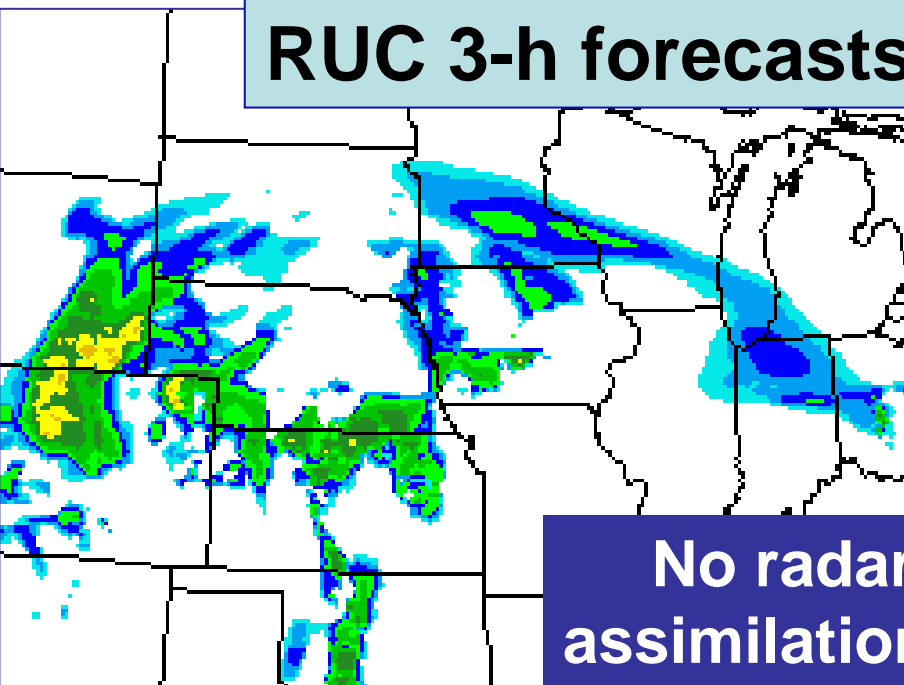
No GPS-PW

# Radar assimilation in RUC - winter storm example

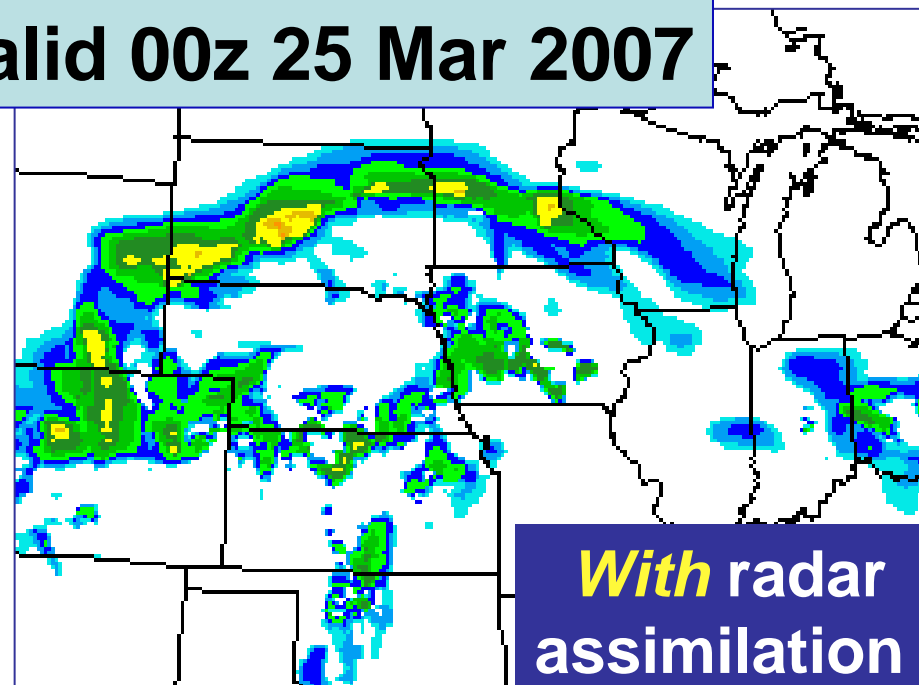
Radar obs – 00z 25 Mar 2007



RUC 3-h forecasts valid 00z 25 Mar 2007



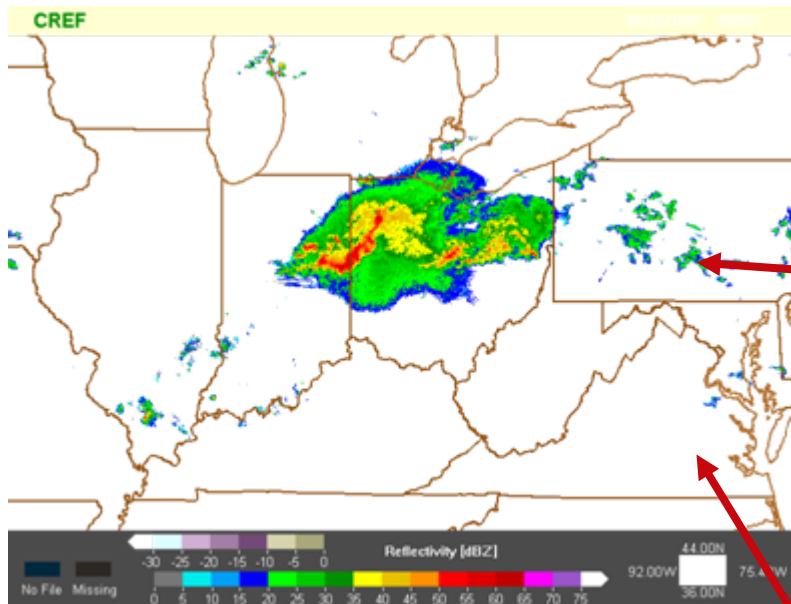
No radar  
assimilation



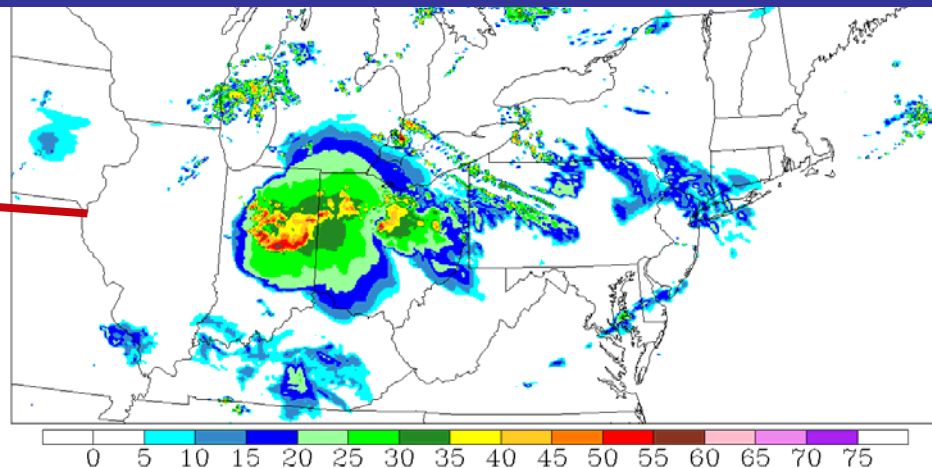
*With* radar  
assimilation

# 3km forecasts from Radar-Enhanced RUC

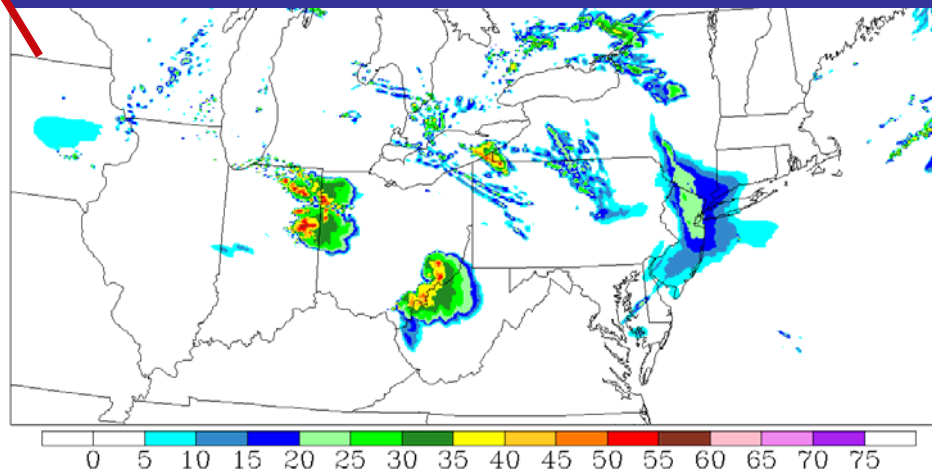
**Observed radar**



**6-h fcst - HRRR 3-km run  
initialized with radar-enhanced  
RUC**



**6-h fcst - HRRR but No-radar init  
- 3-km run**



**Radar-enhanced RUC  
essential for HRRR  
forecast success**

**6-h forecasts valid  
00z 16 Aug 2007**



# Additional future ESRL data assimilation

- Satellite radiance assimilation (already in GSI for Rapid Refresh)
- Chemistry data assimilation (surface-based observations and satellite radiances) via GSI
  - work underway by Grell, Pagowski, Devenyi (GSD) to determine spatial background error covariances
  - interaction with GMD - Carbon Tracker, intercomparisons
  - Global application of GSI with chemistry data assimilation (with NCEP, NASA, others)
- Ensemble Kalman Filter assimilation
  - Potential application at NCEP
  - Ensemble Rapid Refresh planned for 2012
- Radar/lightning assimilation (digital filter initialization-DFI-RUC/RR, 4dVAR, EnKF, variational, balance (LAPS Hot))