



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
WASHINGTON, D.C. 20460

THE INSPECTOR GENERAL

August 3, 2004

The Honorable James Jeffords
United States Senate
Washington D.C. 20510

Dear Senator Jeffords:

The enclosed Special Report is a partial response to your March 30, 2004, letter requesting that we provide certain information related to our October 10, 2003, report, *Congressional Request on EPA Enforcement Resources and Accomplishments*, Report No. 2004-S-00001. Also, we are providing a copy of our October 2003 report for your convenience. Identical letters are being sent to Senators Leahy, Lautenberg, Mikulski and Wyden.

Specifically, the enclosed Special Report entitled *Congressional Request on Updating Fiscal 2003 EPA Enforcement Resources and Accomplishments*, Report 2004-S-00002, responds to item #1, in which you requested that we update all tables and figures with final Fiscal 2003 information. The Special Report provides the data for those tables needing updating.

We obtained the information in this Special Report from the Environmental Protection Agency's (EPA) Office of Enforcement and Compliance Assurance (OECA) and Office of the Chief Financial Officer. We did not evaluate the controls over the systems that produced this information and did not selectively verify the data to source documents. Therefore, the Special Report does not represent an audit conducted in accordance with Government Auditing Standards.

Your letter included two additional requests. The first was a request to evaluate why EPA does not have systems in place to determine whether it is adequately enforcing the Nation's environmental laws. We briefed committee staff on July 8, 2004, on the findings and recommendations included in our June 22, 2004, report, *EPA Needs to Improve Tracking of National Petroleum Refinery Compliance Program Progress and Impacts*, Report No. 2004-P-00021. We notified the committee that this evaluation was one in a series of evaluations looking at enforcement and compliance across EPA. The committee staff asked to be apprised of EPA's 90-day response to the report and subsequent actions taken by EPA to correct the problems identified in the report. We will provide this information when it is available.

The second request was for an evaluation of EPA's claim that the decline in formal National Pollutant Discharge Elimination System (NPDES) enforcement actions has been compensated for by a diversion of NPDES resources to enforce against wet weather discharge violations. In response, we sent a questionnaire on June 25, 2004, to the OECA headquarters office and all 10 regional offices. The questionnaire requested the following information: (1) the number of EPA formal wet weather and non-wet weather NPDES enforcement actions for the last 5 fiscal years (FYs); (2) the number of EPA resources (Full Time Equivalents) working on wet weather and non-wet weather cases for the last 5 FYs; and (3) the length of time it takes EPA to resolve wet weather enforcement cases in comparison to core program enforcement cases. A final report is tentatively scheduled to be issued by the end of August.

Should your staff have any questions concerning this response, please have them contact Eileen McMahon, Assistant Inspector General for Congressional and Public Liaison, at (202) 566-2391.

Sincerely,

/s/

Nikki L. Tinsley

Enclosures



OFFICE OF INSPECTOR GENERAL

Catalyst for Improving the Environment

Special Report

Congressional Request on Updating Fiscal 2003 EPA Enforcement Resources and Accomplishments

Report 2004-S-00002

August 3, 2004

***Update of Data in Office of Inspector General Report,
“Congressional Request on
EPA Enforcement Resources and Accomplishments,”
Report No. 2004-S-00001, October 10, 2003***

Certain tables in the October 2003 report, given their nature, did not require update. They are Tables 1.1, 1.2, 1.5, 2.1, 2.2, 4.1, 4.2, 6.1, B.8, B.9, B.10, B.11, and B.12. In addition, updated information for Table 8.2, entitled Civil Administrative and Judicial Orders Issued Since 1995 Concerning Overflows of Sanitary Sewers or Combined Sewers, will be provided at a later date. An Office of Inspector General team is reviewing EPA's claim that the decline in formal National Pollutant Discharge Elimination System enforcement actions has been compensated for by a diversion of resources to enforce against wet weather discharge violations.

Following are the tables with final FY 2003 data. The tables on budget and cost information are presented in two ways: for Strategic Goal 9, A Credible Deterrent to Pollution and Greater Compliance with the Law, and for OECA. The tables for Goal 9 cover EPA's work to ensure full compliance with the laws intended to protect human health and the environment. The data in the Goal 9 tables include the activities of OECA, other Headquarters' offices, and regional offices. In addition to work related to Goal 9, OECA performed activities related to former Strategic Goal 5, Better Waste Management, Restoration of Contaminated Sites, and Emergency Response, and former Strategic Goal 7, Quality Environmental Information. In September 2003, EPA moved to a five-goal structure.

Table 1.3
Enforcement Program (Goal 9) Dollars (in thousands)
Request, Enacted, Operating, and Actual for Fiscal 2000-2004

FY	Objective	President's Request	Enacted Budget	Operating Plan	Actual Costs
2000	01	\$292,917.6	\$322,387.5	\$323,360.1	\$320,647.2
	02	<u>\$38,417.4</u>	<u>\$49,417.2</u>	<u>\$52,988.0</u>	<u>\$50,291.8</u>
	Total	<u>\$331,335.0</u>	<u>\$371,804.7</u>	<u>\$376,348.1</u>	<u>\$370,939.0</u>
2001	01	\$351,306.7	\$345,554.5	\$345,554.5	\$335,773.1
	02	<u>\$52,464.8</u>	<u>\$52,860.9</u>	<u>\$52,860.9</u>	<u>\$55,786.0</u>
	Total	<u>\$403,771.5</u>	<u>\$398,415.4</u>	<u>\$398,415.4</u>	<u>\$391,559.1</u>
2002*	01	\$356,652.5	\$337,781.6	\$338,137.5	\$345,342.0
	02	<u>\$54,563.2</u>	<u>\$55,768.5</u>	<u>\$55,696.3</u>	<u>\$54,049.1</u>
	Total	<u>\$411,215.7</u>	<u>\$393,550.1</u>	<u>\$393,833.8</u>	<u>\$399,391.1</u>
2003	01	\$346,590.5	\$346,181.7	\$349,251.7	\$352,155.8
	02	<u>\$55,872.4</u>	<u>\$55,907.2</u>	<u>\$57,187.8</u>	<u>\$54,152.3</u>
	Total	<u>\$402,462.9</u>	<u>\$402,088.9</u>	<u>\$406,439.5</u>	<u>\$406,308.1</u>
2004	01	\$372,173.1			
	02	<u>\$58,387.4</u>			
	Total	<u>\$430,560.5</u>			

* The 2002 Enacted Budget and Operating Plan included the supplemental appropriation.

For FY 2000 and FY 2001, Objective 1 was "Enforcement Tools to Reduce Non-Compliance" and Objective 2 was "Increase Use of Auditing, Self-Policing Policies." In FY 2002, Objective 1 became "Increase Compliance Through Enforcement" and Objective 2 became "Promote Compliance Through Incentives and Assistance."

Note: Data includes the activities of OECA, other Headquarters offices, and regional offices.

Table 1.4
Enforcement Program (Goal 9) FTE
Request, Enacted, Operating and Actual for Fiscal 2000-2004

FY	Objective	President's Request	Enacted Budget	Operating Plan	Actual FTE
2000	01	2,192.1	2,148.1	2,148.2	1,925.4
	02	<u>348.0</u>	<u>422.6</u>	<u>422.6</u>	<u>388.6</u>
	Total	<u>2,540.1</u>	<u>2,570.7</u>	<u>2,570.8</u>	<u>2,313.9</u>
2001	01	2,149.2	2,130.5	2,130.5	1,905.7
	02	<u>423.5</u>	<u>423.3</u>	<u>423.3</u>	<u>399.0</u>
	Total	<u>2,572.7</u>	<u>2,553.8</u>	<u>2,553.8</u>	<u>2,304.6</u>
2002	01	1,910.3	2,075.9	2,072.6	1,990.5
	02	<u>420.0</u>	<u>416.6</u>	<u>416.3</u>	<u>405.6</u>
	Total	<u>2,330.3</u>	<u>2,492.5</u>	<u>2,488.9</u>	<u>2,396.1</u>
2003	01	1,932.6	2,071.1	2,074.2	1,972.2
	02	<u>398.1</u>	<u>399.3</u>	<u>402.7</u>	<u>401.9</u>
	Total	<u>2,330.7</u>	<u>2,470.4</u>	<u>2,476.9</u>	<u>2,374.1</u>
2004	01	2,079.3			
	02	<u>401.1</u>			
	Total	<u>2,480.4</u>			

In some cases, columns may not add up due to rounding.

Note: the data includes FTEs for OECA, other Headquarters offices, and regional offices.

**Table 3.1
Current Case Load**

Type of Case	No.
Criminal *	1,147
Civil **	
Clean Air Act	807
Resource Conservation and Recovery Act	814
Clean Water Act	<u>642</u>
Total	<u>3,410</u>

* As of June 12, 2004

** Clean Air Act and Clean Water Act data are as of June 12, 2004; Resource Conservation and Recovery Act data are as of June 9, 2004. Clean Air Act data represent universe of High Priority Violators; Resource Conservation and Recovery Act data represent universe of Significant Non-Compliers; and Clean Water Act data represent major National Pollutant Discharge Elimination System facilities in Significant Non-Compliance.

Note: The criminal cases are at various stages of the criminal enforcement process, from the investigative stage through litigation. Regarding the data for civil cases, our prior report indicated that OECA officials stated that it was difficult to limit the information to cases at a specific stage in the enforcement process. The officials stated “because of the nature of the case development process, we cannot generate a complete snapshot of our enforcement workload, where at any one time we may be resolving violations informally, gathering additional evidence following an inspection to develop a referral, or deciding whether to proceed administratively or judicially.”

**Table 3.2
Disposition of Leads Received in Fiscal 2003**

Under CID Review	Closed Prior to Referral	Referred to State/Local	Referred to EPA Civil Program	Referred to Other Federal	Opened as a Criminal Case
326 (13%)	747 (31%)	717 (29%)	206 (8%)	138 (6%)	316 (13%)

CID: Criminal Investigation Division

**Table 3.3
Criminal Cases Opened, Closed, and Outstanding – 2000-2003**

	2000	2001	2002	2003
Initiated during the year				
Environmental crimes	477	482	484	471
Counter-terrorism	<u>0</u>	<u>0</u>	<u>190</u> *	<u>37</u>
Totals	<u><u>477</u></u>	<u><u>482</u></u>	<u><u>674</u></u>	<u><u>508</u></u>
Closing during the year				
Environmental crimes	452	407	451	469
Counter-terrorism	<u>0</u>	<u>0</u>	<u>105</u>	<u>40</u>
Totals	<u><u>452</u></u>	<u><u>407</u></u>	<u><u>556</u></u>	<u><u>509</u></u>
Open at end of the year				
Environmental crimes	1,001	1,076	1,109	1,099
Counter-terrorism	<u>0</u>	<u>0</u>	<u>85</u>	<u>94</u>
Totals	<u><u>1,001</u></u>	<u><u>1,076</u></u>	<u><u>1,194</u></u>	<u><u>1,193</u></u>

* After the FY 2002 accomplishment reports were issued, 10 additional counter-terrorism cases were identified. For consistency with the accomplishments reports, we have not included the additional cases.

**Table 5.1
Criminal Cases Initiated by Media In Fiscal 2000-2003**

Media Description	2000	2001	2002	2003
Air	91	108	77	87
Superfund	11	15	11	7
Emergency Planning and Community Right to Know Act	2	2	2	0
Pesticides	6	17	15	21
Other	5	7	3	9
Solid Waste	135	108	107	111
Toxics	7	9	17	14
Title 18 Violation	36	46	198	40
Water	170	159	227	170
Safe Drinking Water	3	5	12	10
404 (Wetlands)	11	6	5	2
Counter Terrorism *				<u>37</u>
Totals	<u>477</u>	<u>482</u>	<u>674</u>	<u>508</u>

* In prior OIG report, Counter Terrorism cases were included under Title 18 violations.

**Table 5.2
Criminal Cases Closed In Fiscal 2000-2003**

Primary Media	2000	2001	2002	2003
Air	89	75	73	89
Superfund	22	12	19	7
Emergency Planning and Community Right to Know Act	0	3	1	0
Pesticides	16	10	11	15
Other	3	4	6	8
Solid Waste	111	119	119	93
Toxics	7	8	11	11
Title 18 Violation	31	19	131	53
Water	159	146	173	176
Safe Drinking Water	7	4	7	13
404 (Wetlands)	7	7	5	4
Counter Terrorism *				<u>40</u>
Totals	<u>452</u>	<u>407</u>	<u>556</u>	<u>509</u>

* In prior OIG report, Counter terrorism cases were included under Title 18 violations.

**Table 5.3
Years of Incarceration Imposed
For Cases Closed In Fiscal 2000-2003**

Primary Media *	2000	2001	2002	2003
Air	22.7	33.5	52.8	44.8
Superfund	1.2	115.8	3.4	0.6
Emergency Planning and Community Right to Know Act	0.0	1.5	0.0	0.0
Pesticides	0.3	0.5	0.0	0.6
Other	0.5	9.0	1.6	0.0
Solid Waste	71.5	42.4	85.7	39.8
Toxics	2.3	3.0	9.3	1.7
Title 18 Violation	4.5	2.6	6.1	13.4
Water	35.3	44.0	51.8	35.6
Safe Drinking Water	7.6	0.0	4.0	2.0
404 (Wetlands)	0.3	3.6	0.5	7.8
Counter Terrorism				<u>0</u>
Totals	146.2	256.0	215.2	146.2

In some cases, columns may not add due to rounding

**Table 5.4
Fines and Restitution Assessed
For Cases Closed in Fiscal 2000-2003**

Primary Media *	2000	2001	2002	2003
Air	\$5,714,318	\$25,315,683	\$7,162,382	\$21,344,586
Superfund	70,400	16,742,004	3,054,294	30,000
Emergency Planning and Community Right to Know Act	0	15,000	0	0
Pesticides	583,745	128,505	120,100	24,821
Other	3,757,500	8,298,637	356,700	7,056,550
Solid Waste	38,509,153	1,611,479	2,569,531	2,314,301
Toxics	1,492,084	357,336	280,000	8,615
Title 18 Violations	2,276,399	4,033,036	18,771,955	4,193,235
Water	39,730,733	37,937,841	29,865,606	36,207,684
Safe Drinking Water	29,840,156	8,000	8,750	11,366
404 (Wetlands)	0	278,762	63,000	122,100
Counter Terrorism				<u>\$0</u>
Total	<u>\$121,974,488</u>	<u>\$94,726,283</u>	<u>\$62,252,318</u>	<u>\$71,313,258</u>

**Table 6.2
Number of Criminal Cases Initiated**

FY	Planned	Actual	Met?
2000	500	477	No
2001	450	482	Yes
2002	400	674	Yes
2003	400	508	Yes

**Table 7.1
Performance Measures Under Annual Performance Goal 53 for FY 2003**

Measure	Planned	Actual	Met?
75% of concluded enforcement actions require physical action that result in pollutant reductions and/or changes in facility management or information practices.	75%	63%	No
Millions of pounds of pollutants required to be reduced through enforcement actions settled this fiscal year.	300 M	600 M	Yes
Develop and use valid compliance rates or other indicators of compliance for selected populations.	5 populations	5 populations	Yes

Note: EPA changed its performance goal structure for FY 2003. The measures above appeared under Annual Performance Goal 58 in FY 2002.

**Table 7.2
Other Annual Performance Goals Related to Goal 9**

Annual Performance Goal 51: COMPLIANCE INCENTIVES: Increase opportunities through new targeted sector initiatives for industries to voluntarily self-disclose and correct violations on a corporate-wide basis.
Annual Performance Goal 52: INSPECTIONS/INVESTIGATIONS: EPA will conduct inspections, criminal investigations, and civil investigations targeted to areas that pose risks to human health or the environment, display patterns of non-compliance, or include disproportionately exposed populations.
Annual Performance Goal 54: QUALITY ASSURANCE: Identify noncompliance and focus enforcement and compliance assurance on human health and environmental problems, by maintaining and improving quality and accuracy of data.
Annual Performance Goal 55: CAPACITY BUILDING: Improve capacity of States, localities and tribes to conduct enforcement and compliance assurance programs. EPA will provide training as well as assistance with State and tribal inspections to build capacity.

Note: EPA changed its performance goal structure for FY 2003. The goals above appeared under different goal numbers in FY 2002. OECA reported that these measures were met for FY 2003.

Table 8.1*
Number of Formal NPDES
Enforcement Actions

FY	State	EPA	Total
1999	631	304	935
2000	722	201	923
2001	639	174	813
2002	641	216	857
2003	483	180	663

* Per OECA, the data in Table 8.1 of the prior OIG report reflected the number of facilities that received formal enforcement actions. According to OECA, the data above reflects the actual number of enforcement actions for major facilities for each fiscal year. Major facilities include municipal dischargers designed for flows of greater than one million gallons per day.

Table 8.3
EPA Clean Water Act Enforcement Actions
Filed Since 1997 Against Operators of
Concentrated Animal Feeding Operations

FY	Number Filed
1997	14
1998	43
1999	40
2000	44
2001	18
2002	4
2003	<u>18</u>
Total	<u>181*</u>

*OECA officials indicated that the data came from an unofficial case list and should not be considered official OECA data subjected to a quality assurance process. They also stated that using this data, especially in a manner other than the purpose for which it was intended, may present problems if they are asked to update it in the future and comparisons are made.

Table B.1
Enforcement Program (Goal 9) Actual Costs (in thousands)
By Major Organization For FY 2000-2003

Organization	2000	2001	2002	2003
Region 1	\$15,798.9	\$17,442.0	\$16,830.1	\$17,193.0
Region 2	22,809.9	23,684.1	23,913.9	21,281.2
Region 3	23,258.6	24,030.8	23,924.6	23,208.1
Region 4	31,709.4	32,443.7	31,731.8	34,941.6
Region 5	35,842.1	38,779.8	39,035.3	44,233.9
Region 6	27,243.0	28,770.9	28,893.5	28,559.3
Region 7	15,388.8	15,395.2	15,707.7	16,472.6
Region 8	14,312.9	14,572.0	15,683.5	16,368.0
Region 9	23,200.0	24,241.4	25,436.9	27,038.3
Region 10	13,308.7	13,569.7	14,335.1	14,677.2
Office of Administration and Resources Management	38,868.8	42,489.5	33,452.1	22,234.2
Office of Chief Financial Officer	2,794.1	3,083.9	3,082.0	3,024.6
Office of General Counsel	(65.1)	1,331.0	833.9	781.9
Office of Enforcement and Compliance Assurance	106,290.5	111,518.5	126,530.4	136,282.5
Merged Appropriations Accounts	<u>178.3</u>	<u>206.7</u>	<u>0.0</u>	<u>71.4</u>
Totals	<u>\$370,939.0</u>	<u>\$391,559.1</u>	<u>\$399,391.1</u>	<u>\$406,367.7*</u>

* EPA records include an adjusting entry of \$59,696 not associated with any office above. Considering this adjustment, EPA records reflect actual costs of \$406,308.1.

In some cases, columns may not add up due to rounding.

Table B.2
Enforcement Program (Goal 9) Actual FTE
by Major Office In FY 2000-2003

<u>Organization</u>	<u>2000</u>	<u>2001</u>	<u>2002</u>	<u>2003</u>
Region 1	109.0	118.1	109.1	109.3
Region 2	174.4	168.8	169.6	167.8
Region 3	152.5	149.1	162.1	158.3
Region 4	210.3	236.5	227.4	220.2
Region 5	252.8	278.3	269.2	245.9
Region 6	208.7	230.2	216.6	213.8
Region 7	114.5	115.2	114.5	107.9
Region 8	104.4	2.5	102.7	101.8
Region 9	140.8	151.5	147.7	149.4
Region 10	107.0	115.8	113.6	106.5
Office of Administration and Resources Management	17.4	1.4	0.0	0.0
Office of Chief Financial Officer	14.6	0.0	0.0	0.0
Office of General Counsel	4.4	12.7	6.6	6.6
Office of Enforcement and Compliance Assurance	<u>703.2</u>	<u>724.5</u>	<u>756.9</u>	<u>786.7</u>
Totals	<u>2,313.9</u>	<u>2,304.6</u>	<u>2,396.1</u>	<u>2,374.1</u>

In some cases, columns may not add up due to rounding.

Table B.3
Superfund Actual FTE In The Enforcement Program (Goal 9)
By Major Organization During FY 2000-2003

<u>Organization</u>	<u>2000</u>	<u>2001</u>	<u>2002</u>	<u>2003</u>
Region 7	(0.1)	0.0	0.0	0.0
Office of Chief Financial Officer	0.6	0.0	0.0	0.0
Office of Enforcement and Compliance Assurance	<u>93.0</u>	<u>93.8</u>	<u>95.8</u>	<u>103.0</u>
Totals	<u>93.5</u>	<u>93.8</u>	<u>95.8</u>	<u>103.0</u>

Table B.4
Office of Enforcement and Compliance Assurance
Actual FTE by Goal For FY 2000-2003

<u>Goal</u>	<u>2000</u>	<u>2001</u>	<u>2002</u>	<u>2003</u>
5	96.5	101.8	103.9	103.7
6	0.0	0.0	0.0	0.3
7	29.6	30.3	25.8	21.2
9	<u>703.2</u>	<u>724.5</u>	<u>756.9</u>	<u>786.7</u>
Totals	<u>829.3</u>	<u>856.6</u>	<u>886.6</u>	<u>911.8</u>

In some cases, columns may not add up due to rounding.

Goal 5 - Better Waste Management, Restoration of Contaminated Sites, and Emergency Response

Goal 6 - Reduced Global and Cross-Border Environmental Risks

Goal 7 - Quality Environmental Information

Goal 9 - A Credible Deterrent to Pollution and Greater Compliance with the Law

**Table B.5
OECA Actual Costs by Office for FY 2000-2003**

<u>Description *</u>	<u>2000</u>	<u>2001</u>	<u>2002</u>	<u>2003</u>
<u>Immediate Office - Assistant Administrator for Enforcement and Compliance Assurance</u>	\$308,817	\$3,689,582	\$4,266,548	(\$55,266)
Administration and Resource Management Support Staff	3,189,854	2,596,552	2,965,261	3,580,265
Assistant Administrator Enforcement - Immediate Office	3,156,368	3,241,164	4,203,097	10,935,757
OECA LAN Support	169,950	870,298	619,838	528,745
Office of Planning & Policy Analysis and Communication	3,689,220	3,307,661	3,784,739	697,207
Office of Environmental Justice	<u>4,377,709</u>	<u>4,071,312</u>	<u>3,506,337</u>	<u>1,939,006</u>
Subtotal OECA Immediate Office	<u>14,891,919</u>	<u>17,776,568</u>	<u>19,345,821</u>	<u>17,625,715</u>
<u>Office of Federal Activities (OFA)</u>				
Mountaintop Mining	100,000	0	0	(9,942)
Office of Federal Activities	<u>3,882,890</u>	<u>4,243,379</u>	<u>3,925,327</u>	<u>4,268,837</u>
Subtotal OFA	<u>3,982,890</u>	<u>4,243,379</u>	<u>3,925,327</u>	<u>4,258,895</u>
<u>Office of Criminal Enforcement, Forensics and Training (OCEFT)</u>	5,860	376,471	144,226	(3,679)
Seattle - Region 10 CID	950,000	0	0	0
New York - Region 2 CID	0	4	0	0
Philadelphia - Region 3 CID	0	178	11	351
Chicago - Region 5 CID	0	316	(316)	0
Legal Counsel and Resource Management Division	2,394,011	2,292,731	3,879,411	4,555,817
Criminal Investigation Division	24,825,805	26,554,894	29,209,206	30,956,257
Immediate Office - OCEFT	4,436,825	5,980,879	7,710,617	10,657,798
Director National Enforcement Investigations Center Division	13,277,509	11,776,798	12,926,095	13,907,240
National Enforcement Training Institute Division	<u>4,416,908</u>	<u>4,849,371</u>	<u>4,727,405</u>	<u>5,302,577</u>
Subtotal OCEFT	<u>50,306,917</u>	<u>51,831,643</u>	<u>58,596,655</u>	<u>65,376,362</u>
<u>Office of Compliance (OC)</u>	4,406	55,173	250,000	(1,828)
Natl Center for Manufacturing Sciences - MI	0	498,900	0	315,118
OC - Immediate Office	1,257,836	1,714,992	1,597,993	1,489,880
Enforcement Training Planning, Targeting and Data Division	10,918,959	11,619,063	15,378,100	14,489,243
Manufacturing, Energy, Transportation Division	3,437,581	3,784,530	3,059,311	3,257,446
Chemicals, Communications Services & Municipals Division	4,889,421	4,009,351	4,042,267	4,219,335
Agriculture and Ecosystems Division	3,038,898	3,286,122	2,858,325	3,163,730
National Enforcement Investigations Center Division - Denver	344,984	200,494	200,695	216,395
Pesticide Enforcement State Grants	(6,785)	0	0	0
Oil Spill	<u>86,797</u>	<u>93,914</u>	<u>83,812</u>	<u>99,150</u>
Subtotal OC	<u>23,972,097</u>	<u>25,262,539</u>	<u>27,470,504</u>	<u>27,248,470</u>

<u>Description *</u>	<u>2000</u>	<u>2001</u>	<u>2002</u>	<u>2003</u>
Office of Regulatory Enforcement (ORE)	1,849	12,385	(179,500)	(242)
Office of Regulatory Enforce-CT Preparedness	0	0	179,500	0
Air Enforcement Division	5,504,258	5,491,460	6,008,786	6,119,946
ORE - Immediate Office	1,401,453	2,076,356	1,874,203	2,235,757
Multimedia Enforcement / Strategic Plan	3,099,974	3,519,682	3,598,316	3,914,140
RCRA Enforcement Division	1,870,403	2,848,255	2,675,715	2,406,295
Toxics and Pesticides Enforcement Division	2,827,124	2,989,763	3,321,565	3,147,685
Water Enforcement Division	<u>4,530,992</u>	<u>4,379,262</u>	<u>5,133,911</u>	<u>4,463,564</u>
Subtotal ORE	<u>19,236,053</u>	<u>21,317,163</u>	<u>22,612,495</u>	<u>22,287,145</u>
Office of Site Remediation Enforcement (OSRE)	86,047	448,846	401,348	0
Site Specific Region 03 Management Division	0	259	0	3,114
Policy and Program Evaluation Division	33,160,764	32,475,309	30,745,573	28,391,997
Regional Support Division	5,512,726	4,463,883	5,026,387	5,251,517
Subtotal OSRE	<u>43,120,676</u>	<u>41,947,452</u>	<u>40,697,248</u>	<u>39,043,513</u>
Federal Facilities Enforcement (FFE)	5,542	1,887	0	(222)
Federal Facilities Enforcement	<u>3,469,509</u>	<u>3,320,449</u>	<u>3,312,100</u>	<u>3,594,157</u>
Subtotal FFE	<u>3,475,051</u>	<u>3,322,336</u>	<u>3,312,100</u>	<u>3,593,934</u>
Office of Enforcement Capacity and Outreach (OECO)	25,984	0	0	0
Communications	199,806	101,121	0	0
OECO - Immediate Office	915,167	760,511	0	(15,691)
Environmental Justice	97,800	58,014	0	0
Constituent Outreach and Communication	<u>508,379</u>	<u>239,447</u>	<u>0</u>	<u>0</u>
Subtotal OECO	<u>1,747,136</u>	<u>1,159,093</u>	<u>0</u>	<u>(15,691)</u>
Office of Planning, Policy Analysis and Communications (OPPAC)	0	0	0	16,101
Office of Planning, Policy Analysis and Communications	<u>0</u>	<u>0</u>	<u>0</u>	<u>3,019,034</u>
Subtotal OPPAC	<u>0</u>	<u>0</u>	<u>0</u>	<u>3,035,135</u>
Office of Environmental Justice (OEJ)	0	0	0	268,316
Office of Environmental Justice	<u>0</u>	<u>0</u>	<u>0</u>	<u>2,149,639</u>
Subtotal OEJ	<u>0</u>	<u>0</u>	<u>0</u>	<u>2,417,955</u>
Totals	<u>\$160,732,738</u>	<u>\$166,860,173</u>	<u>\$175,960,149</u>	<u>\$184,871,432</u>

* These descriptions came from the accounting system and may not match the official name of the organization.

In some cases, columns may not add up due to rounding.

Table B.6
OECA Actual FTE by Office
for FY 2000-2003

Description *	2000	2001	2002	2003
<u>OECA Immediate Office</u>				
Administration and Resource Management Support Staff	20.9	25.9	31.6	26.7
Assistant Administrator Enforcement - Immediate Office	7.7	7.8	10.3	19.0
Office of Planning & Policy Analysis and Communication	23.1	24.2	25.8	-0.3
<u>Office Environmental Justice</u>	<u>14.2</u>	<u>14.5</u>	<u>13.9</u>	<u>0.9</u>
Subtotal OECA Immediate Office	<u>65.8</u>	<u>72.4</u>	<u>81.5</u>	<u>46.3</u>
<u>Office of Federal Activities</u>	<u>27.8</u>	<u>28.7</u>	<u>27.1</u>	<u>27.0</u>
<u>Office of Criminal Enforcement, Forensics and Training (OCEFT)</u>				
Legal Counsel and Resource Management Division	21.1	22.0	34.6	37.3
Criminal Investigation Division	192.1	203.0	216.6	227.9
Immediate Office Criminal Enforcement	17.8	16.0	18.1	24.8
Director National Enforcement Investigations Center Division	93.3	95.6	98.1	97.3
National Enforcement Training Institute Division	<u>22.1</u>	<u>23.2</u>	<u>23.3</u>	<u>24.6</u>
Subtotal OCEFT	<u>346.5</u>	<u>359.8</u>	<u>390.7</u>	<u>412.0</u>
<u>Office of Compliance (OC)</u>				
Office of Compliance - Immediate Office	7.2	6.6	7.1	6.5
Enforcement Planning, Targeting and Data Division	66.2	67.9	69.9	68.6
Manufacturing, Energy, Transportation Division	23.7	23.3	20.6	23.0
Chemicals, Communications Services & Municipals Division	25.2	21.3	24.8	23.4
Agriculture and Ecosystem Division	22.4	22.3	20.4	19.4
National Enforcement Investigations Center Division Denver	3.6	2.2	2.1	2.0
Oil Spill	<u>0.9</u>	<u>1.0</u>	<u>0.9</u>	<u>0.8</u>
Subtotal OC	<u>149.4</u>	<u>144.5</u>	<u>145.7</u>	<u>143.7</u>
<u>Office of Regulatory Enforcement (ORE)</u>				
Air Enforcement Division	34.6	37.0	37.0	40.0
Office of Regulatory Enforcement - Immediate Office	6.8	9.0	9.6	8.9
Multimedia Enforcement / Strategic Plans	21.2	24.2	22.7	26.7
RCRA Enforcement Division	16.4	17.2	17.2	15.6
Toxics and Pesticides Enforcement Division	23.9	26.8	24.6	24.2
Water Enforce Division	<u>26.1</u>	<u>26.0</u>	<u>26.9</u>	<u>27.1</u>
Subtotal ORE	<u>129.1</u>	<u>140.1</u>	<u>137.8</u>	<u>142.7</u>
<u>Office of Site Remediation and Enforcement (OSRE)</u>				
Management Division	17.1	17.9	18.4	16.3
Policy and Program Evaluation Division	32.3	30.5	33.2	32.6
Regional Support Division	<u>30.6</u>	<u>35.0</u>	<u>32.5</u>	<u>34.2</u>
Subtotal OSRE	<u>79.9</u>	<u>83.4</u>	<u>84.1</u>	<u>83.1</u>

<u>Description *</u>	<u>2000</u>	<u>2001</u>	<u>2002</u>	<u>2003</u>
Federal Facilities Enforcement	<u>18.8</u>	<u>20.7</u>	<u>19.6</u>	<u>20.0</u>
Office of Enforcement Cap and Outreach (OEEO)				
Communications	2.8	1.6		
Office of Enforcement Cap and Outreach - Immediate Office	3.8	2.2		
Environmental Justice	0.9	0.6		
Constituent Outreach and Communication	<u>4.6</u>	<u>2.5</u>	<u>0.0</u>	
Subtotal OEEO	<u>12.1</u>	<u>6.9</u>	<u>0.0</u>	<u>0.0</u>
Office of Planning, Policy Analysis and Communication				<u>24.0</u>
Office of Environmental Justice				<u>13.1</u>
Totals	<u>829.3</u>	<u>856.5</u>	<u>886.6</u>	<u>911.8</u>

* These descriptions came from the accounting system and may not match the official name of the organization.

In some cases, columns may not add up due to rounding.

Table B.7
OECA Actual Costs For Contracts And
Assistance Agreements By Office In Fiscal 2000-2003

Description *	2000	2001	2002	2003
Immediate Office - Assistant				
Administrator for Enforcement and Compliance Assurance	\$0	\$6,555	\$52,589	\$7,051
Administration and Resource Management Support Staff	10,475	386,860	317,379	748,926
OECA - Immediate Office	172,162	306,187	591,260	1,282,401
OECA LAN Support	4,585	1,405	423,104	147,234
Office of Planning & Policy Analysis and Communication	512,147	794,503	823,934	661,036
Office of Environmental Justice	<u>1,802,093</u>	<u>2,298,745</u>	<u>1,673,657</u>	<u>1,746,311</u>
Subtotal OECA Immediate Office	<u>2,501,462</u>	<u>3,794,254</u>	<u>3,881,922</u>	<u>4,592,959</u>
Office of Federal Activities (OFA)				
Mountaintop Mining	100,000	0	0	(9,942)
Office of Federal Activities	<u>821,297</u>	<u>1,294,902</u>	<u>908,685</u>	<u>1,062,028</u>
Subtotal OFA	<u>921,297</u>	<u>1,294,902</u>	<u>908,685</u>	<u>1,052,086</u>
Office of Criminal Enforcement, Forensics and Training (OCEFT)				
Seattle - Region 10 CID	950,000	0	0	0
Legal Counsel and Resource Management Division	272,775	36,640	218,450	241,983
Criminal Investigation Division	419,512	482,283	614,577	520,282
Immediate Office - OCEFT	1,062,807	2,458,594	1,512,588	2,556,491
Director National Enforcement Investigations Center Division	2,624,277	1,405,641	2,040,259	2,569,839
National Enforcement Training Institute Division	<u>1,552,222</u>	<u>2,042,345</u>	<u>1,827,628</u>	<u>2,201,915</u>
Subtotal OCEFT	<u>6,881,594</u>	<u>6,425,504</u>	<u>6,213,502</u>	<u>8,090,509</u>
Office of Compliance (OC)	0	0	100,000	9,934
Natl Ctr for Manuf Sciences - MI	0	498,900	0	315,118
OC - Immediate Office	370,452	791,566	664,077	584,992
Enforcement Planning, Targeting and Data Division	3,812,790	4,330,566	7,509,697	6,393,625
Manufacturing, Energy, Transportation Division	826,362	1,270,580	781,292	593,440
Chemicals, Communications Services & Municipals Division	2,119,999	1,688,925	1,382,320	1,411,164
Agriculture and Ecosystem Division	<u>630,659</u>	<u>814,600</u>	<u>528,477</u>	<u>727,300</u>
Subtotal OC	<u>7,760,261</u>	<u>9,395,138</u>	<u>10,965,863</u>	<u>10,035,572</u>
Office of Regulatory Enforcement (ORE)				
Air Enforcement Division	1,714,841	1,537,080	1,870,969	1,387,249
ORE - Immediate Office	463,553	819,765	571,560	952,916
Multimedia Enforcement / Strategic Plans	675,007	918,821	872,989	647,134
RCRA Enforcement Division	70,511	996,436	712,194	525,359
Toxics and Pesticides Enforcement Division	279,269	242,865	547,408	321,131
Water Enforcement Division	<u>1,624,535</u>	<u>1,501,850</u>	<u>2,030,246</u>	<u>1,093,117</u>
Subtotal ORE	<u>4,827,716</u>	<u>6,016,816</u>	<u>6,605,365</u>	<u>4,926,907</u>

Description *	2000	2001	2002	2003
Office of Site Remediation Enforcement (OSRE)				
Management Division	30,974,488	30,492,264	28,763,925	26,026,794
Policy and Program Evaluation Division	2,299,505	1,474,411	1,628,045	1,498,437
Regional Support Division	908,639	793,401	775,039	1,108,465
Subtotal OSRE	<u>34,182,632</u>	<u>32,760,076</u>	<u>31,167,009</u>	<u>28,633,696</u>
Federal Facilities Enforcement				
Office of Enforcement Cap and Outreach	507,132	601,311	0	(15,231)
Office of Planning, Policy Analysis and Communications (OPPAC)				
Office of Planning, Policy Analysis and Communications	0	0	0	4,023
Subtotal OPPAC	<u>0</u>	<u>0</u>	<u>0</u>	<u>51,268</u>
Office of Environmental Justice (OEJ)				
Office of Environmental Justice	0	0	0	224,367
Subtotal OEJ	<u>0</u>	<u>0</u>	<u>0</u>	<u>417,420</u>
Totals	<u>\$58,855,058</u>	<u>\$61,234,217</u>	<u>\$60,716,678</u>	<u>\$58,995,597</u>

* These descriptions came from the accounting system and may not match the official name of the organization.

In some cases, columns may not add up due to rounding.

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