

Agricultural Research at 1890 Land-Grant Institutions, Including Tuskegee University and West Virginia State University

FY 2009 Formula Grant Opportunity (FGO) - Initial

APPLICATION DEADLINE: December 22, 2008



U.S. Department of Agriculture



Cooperative State Research, Education, and Extension Service

**COOPERATIVE STATE RESEARCH, EDUCATION, AND EXTENSION SERVICE;
U.S. DEPARTMENT OF AGRICULTURE**

**AGRICULTURAL RESEARCH AT 1890 LAND-GRANT INSTITUTIONS, INCLUDING
TUSKEGEE UNIVERSITY AND WEST VIRGINIA STATE UNIVERSITY**

INITIAL ANNOUNCEMENT

CATALOG OF FEDERAL DOMESTIC ASSISTANCE: This program is listed in the Catalog of Federal Domestic Assistance under 10.205.

DATES: Applications must be received by close of business (COB) on December 22, 2008 at 5:00 p.m. Eastern Time.

EXECUTIVE SUMMARY: The Cooperative State Research, Education, and Extension Service (CSREES) requests applications for the FY 2009 formula grants authorized under section 1445 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (NARETPA) for agricultural research at 1890 Land-Grant Institutions, Including Tuskegee University and West Virginia State University Program (also referred to as the “Evans-Allen Research Program”). This grant is used to support continuing agricultural research at colleges eligible to receive funds under the Act of August 30, 1890 (26 Stat. 417–419, as amended; 7 U.S.C. 321–326 and 328) (“1890 Land-Grant Institutions”), including Tuskegee University and West Virginia State University. Funds appropriated under this section shall be used for expenses of conducting agricultural research, printing, disseminating the results of such research, contributing to the retirement of employees subject to the provisions of the Act of March 4, 1940 (54 Stat. 39–40, as amended; 7 U.S.C. 331), administrative planning and direction, and purchase and rental of land and the construction, acquisition, alteration, or repair of buildings necessary for conducting agricultural research. CSREES anticipates the amount available for this program in FY 2009 is approximately \$38,719,803.

This notice identifies the objectives for Evans-Allen Research Program projects, the eligibility criteria for funding, and the application forms and associated instructions needed to apply for a FY 2009 Evans-Allen Research formula grant authorized under NARETPA section 1445 for agricultural research programs. CSREES additionally requests stakeholder input from any interested party for use in the development of the next FGO for this program. Please send questions or comments to Formula Grants Section of the Office of Extramural Programs, Stop 2298, 1400 Independence Avenue, SW, Washington, D.C. 20250-2298; fax: (202) 690-3002; or e-mail: formulagrantsquestions@csrees.usda.gov.

What's New for FY 2009?

- **There will be two submissions in FY 2009:**
 - **Initial** – For an estimated amount (see Appendix A) based on the 2009 Continuing Resolution, FY 2008 appropriated funding level, and FY 2008 distribution amounts.
 - **Final** – Based on the actual appropriated amount for FY 2009 and actual formula distribution for FY 2009. The tentative release date for the final FGO is 45 days after the FY 2009 appropriation is finalized.
- **No Standard Form (SF) 424-A, Budget Information for Non-Construction Programs, and no Budget Narrative Attachment Form are required for either the initial and final submissions in FY 2009.**
- **Application Package for the initial or final submissions will include:**
 - **Application for Federal Assistance (Standard Form (SF) 424M (Mandatory)) (Required)**
 - **CSREES Supplemental Information Form (Required)**
 - **Key Contacts Form (Required)**
 - **Attachments Form (Optional) – If applicable, for Matching Funds Waiver Request**

Table of Contents

PART I—FUNDING OPPORTUNITY DESCRIPTION

A. Legislative Authority and Background..... [5](#)
B. Purpose and Priorities [6](#)

PART II—AWARD INFORMATION

A. Available Funding..... [6](#)
B. Types of Submissions..... [7](#)
C. Project Types..... [7](#)

PART III—ELIGIBILITY INFORMATION

A. Eligible Recipients..... [7](#)
B. Cost Sharing or Matching..... [8](#)

PART IV—SUBMISSION INFORMATION

A. Address to Request Formula Grants Opportunity Package..... [8](#)
B. Content and Form of Formula Grants Opportunity Package Submission..... [9](#)
C. Certifications..... [16](#)
D. Submission Dates and Times..... [17](#)
E. Funding Restrictions..... [17](#)
F. Other Submission Requirements..... [18](#)

PART V—SUBMISSION REVIEW REQUIREMENTS..... [19](#)

PART VI—AWARD ADMINISTRATION

A. General..... [19](#)
B. Administrative and National Policy Requirements [19](#)
C. Expected Program Outputs and Reporting Requirements..... [20](#)

PART VII—AGENCY CONTACT..... [21](#)

PART VIII—OTHER INFORMATION

A. CSREES’ Grants.gov Implementation Plans..... [22](#)
B. D-U-N-S® Number..... [23](#)
C. Required Registration for Grants.gov..... [23](#)

APPENDIX A..... [24](#)

PART I—FUNDING OPPORTUNITY DESCRIPTION

A. Legislative Authority and Background

Section 1445 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977, enacted as Title XIV of Public Law 95–113 (The Food and Agriculture Act of 1977) on Sept. 29, 1977 is also known as the Evans-Allen Research Program. This law provides the basis for Federal funding for agricultural research activities at 1890 Land-Grant Institutions, including Tuskegee University and West Virginia State University.

The 1890 Land-Grant Institutions, including Tuskegee University and West Virginia State University, are eligible for funds appropriated under this Act according to the following formula: Funds up to the total amount made available to all eligible institutions in the fiscal year ending September 30, 1978, under section 2 of the Act of August 4, 1965 (79 Stat.431; 7 U.S.C. 450i), shall be allocated among the eligible institutions in the same proportion as funds made available under section 2 of the Act of August 4, 1965, for the fiscal year ending September 30, 1978, are allocated among the eligible institutions. Of the funds in excess of that amount, 20 per cent shall be allotted among eligible institutions in equal proportions; 40 per cent shall be allotted among the eligible institutions in the proportion that the rural population of the State in which each eligible institution is located bears to the total rural population of all the States in which eligible institution are located, as determined by the last preceding decennial census current at the time each such additional sum is first appropriated; and the balance shall be allotted among the eligible institutions in the proportion that the farm population of the State in which each eligible institution is located bears to the total farm population of all the States in which the eligible institutions are located, as determined by the last preceding decennial census current at the time each such additional sum is first appropriated. In computing the distribution of the allocated funds, the allotments to Tuskegee University and Alabama A&M University shall be determined as if each institution were in a separate State.

In FY 2007, CSREES conducted a Grants.gov pilot for the solicitation of one of the CSREES Formula Programs, the McIntire-Stennis Cooperative Forestry Program. Under this pilot the institutions were required to submit a SF-424M Application (Cover Page Form), CSREES Supplemental Form, and Key Contacts Form through Grants.gov. Please note that this application package replaced the forms/information solicited each year by the CSREES Office of Extramural Programs and did not duplicate the information being collected under the Current Research Information System (CRIS). CSREES implemented this process for all CSREES Formula Programs in FY 2008 and thereafter due to the mandated reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA). Under this law, the U.S. Office of Management and Budget (OMB) was required to develop and maintain a public searchable data base for all Federal financial assistance awards, and Federal agencies are required to report specific data elements which will be collected via these applications (e.g., Dun and Bradstreet data Universal Numbering (D-U-N-S®) numbers, legal name of institution, legal address, congressional district, etc.). OMB makes this information available at <http://www.usaspending.gov>.

In FY 2009, although CSREES will require both an initial submission (based on estimated amounts per the 2009 Continuing Resolution) and final submission (based on the actual appropriated amount for FY 2009 and the actual formula distribution for FY 2009) CSREES will not require the following forms for FY 2009 for either submission:

- Standard Form (SF) 424-A, Budget Information for Non-Construction Programs
- Budget Narrative Attachment Form

Please note that the submission of this application package does not change the following:

- Delegated authorities of the 1890 Research Director or Extension Administrator
- How funds are managed at your institution
- Indirect costs and tuition remission are unallowable costs; and
- Matching waiver authority of CSREES for NARETPA section 1444 and 1445 funds

Guidelines for the administration of this program are published as the Administrative Manual for Evans-Allen Cooperative Agricultural Research, which may be accessed at www.csrees.usda.gov/business/awards/formula/manuals/evansallen.pdf. Please note that any instructions in this FGO supersede policies and procedures identified in this manual. CSREES will be revising this manual and will promulgating administrative provisions for this program through rulemaking which includes a public comment period in late 2009.

The Consolidated Appropriations Act, 2008 (Public Law 110-161) provides \$38,719,803 for this program under NARETPA section 1445. The Consolidated Security, Disaster, and Continuing Appropriations Act, 2009 (Public Law 110-329) provides funding for this program at the FY 2008 appropriated level through March 6, 2009.

B. Purpose and Priorities

The purpose of this funding is to support agricultural research activities at 1890 Land-Grant Universities, including Tuskegee University and West Virginia State University.

PART II—AWARD INFORMATION

A. Available Funding

Subject to the above 2009 Continuing Resolution, funds will be disturbed to the institutions on a quarterly basis and according to the amounts allocated in FY 2008. Adjustments will be made to the allocations for FY 2009 once the FY 2009 appropriations are finalized. See Appendix A for the specific allocations.

Evans-Allen Research Program funds are expected to be fully expended in the fiscal year of appropriation; however, funds may be carried over for up to one additional year. Please note there is no limitation on the amount of Federal funds that may be carried over for this one additional fiscal year. No prior approval from CSREES is required. Since this limitation is legislatively mandated, CSREES is not authorized to grant any carryover requests beyond this one additional year.

B. Types of Submission

In FY 2009, pre-award form submissions must be submitted to the Evans-Allen Research Program as a “new” application on the www.grants.gov website. As noted previously, these application packages complement, rather than duplicate, the information collected via the Plan of Work (POW) and Current Research Information System (CRIS) systems, and together satisfy all legislative and regulatory pre-award requirements.

Section 225 of the Agricultural Research, Extension, and Education Reform Act of 1998 (AREERA) amended NARETPA to require an approved Plan of Work for agricultural extension and research activities at 1890 Land-Grant Institutions in order to receive Federal funding. Therefore, each 1890 Land-Grant Institution, including Tuskegee University and West Virginia State University, must submit both a 5-Year Plan of Work Update for FY 2010-2014 and an Annual Report of Accomplishments and Results. Both reports are due April 1, 2009.

Specific project details and pertinent information should be entered in CRIS as in prior years. CRIS is the U.S. Department of Agriculture's documentation and reporting system for ongoing agricultural, food and nutrition, and forestry research and education. CRIS contains over 30,000 descriptions of current, publicly-supported research and education activities. This work is sponsored or conducted by the USDA research agencies, the State agricultural experiment stations, the State Land-Grant Institutions and universities, State schools of forestry, cooperating schools of veterinary medicine, and USDA grant recipients. See Part IV.C. for specific CRIS requirements.

C. Project Types

Applicants should request funding for the amounts listed in Appendix A to this announcement. CSREES will fund the Evans-Allen Research Program in FY 2009 for authorized activities. Funds may be used only on approved Evans-Allen Research Program projects.

PART III—ELIGIBILITY INFORMATION

A. Eligible Applicants

Applications may be submitted by 1890 Land-Grant Universities, including Tuskegee University and West Virginia State University, that conduct agricultural research activities in accordance with NARETPA section 1445: Alabama A&M University; Tuskegee University; University of Arkansas - Pine Bluff; Delaware State University; Florida A&M University; Fort Valley State University; Kentucky State University; Southern University; University of Maryland – Eastern Shore; Alcorn State University; Lincoln University; North Carolina A & T State University; Langston University; South Carolina State University; Tennessee State University; Prairie View A&M University; Virginia State University; and West Virginia State University.

Institutions may subcontract to organizations not eligible to apply for funding provided that such arrangements are necessary to complete the project or activity.

B. Cost Sharing or Matching

NARETPA section 1449 (7 U.S.C. 3222d) states that “the State shall provide matching funds from non-Federal sources. Such matching funds shall be for an amount equal to not less than ... 100 percent of the formula [grant] funds to be distributed to the eligible institution for fiscal year 2007 and each fiscal year thereafter. ... Notwithstanding [redistributing the funds], the Secretary may waive the matching funds requirement ... above the 50 percent level for any fiscal year for an eligible institution of a State if the Secretary determines that the State will be unlikely to satisfy the matching requirement.”

7 CFR 3419.1 defines “matching funds” as “funds from non-Federal sources made available by the State to the eligible institutions ... [for] programs or activities that fall within the purposes of agricultural research and cooperative extension under sections 1444 and 1445 of NARETPA ... or [for] qualifying educational activities. Matching funds means cash contributions and excludes in-kind matching contributions.” It defines “non-Federal sources” as “funds made available by the State to the eligible institution either through direct appropriation or under any authority (other than authority to charge tuition and fees paid by students) provided by a State to an eligible institution to raise revenue, such as gift acceptance authority or user fees.” Finally, it defines “qualifying educational activities” as “programs that address food and agricultural sciences components of an eligible institution.”

7 CFR 3419.6 states that “The required matching funds for the formula programs shall be used by an eligible institution for agricultural research and extension activities that have been approved in the plan of work required under sections 1444(d) and 1445(c) of the National Agricultural Research, Extension, and Teaching Policy Act of 1977... or for .. qualifying education activities.”

PART IV—SUBMISSION INFORMATION

A. Address to Request Formula Grants Opportunity Package

Only electronic applications may be submitted to CSREES via Grants.gov in response to this FGO.

Prior to preparing an application, it is suggested that the 1890 Research Director, or his or her designee, first contact an Authorized Representative (AR) to determine if the organization is prepared to submit electronic applications through Grants.gov. If the organization is not prepared, the AR should see http://www.grants.gov/applicants/get_registered.jsp for steps for preparing to submit applications through Grants.gov.

The 1890 Research Director, or his or her designee, also must contact the institution’s AR in order to be granted permission for the submission of applications through Grants.gov. Once that permission is granted, the 1890 Research Director, or his or her designee, can obtain a login and password.

The steps to access application materials are as follows:

1. Download and install Adobe Reader, a small, free program that provides access to the grant application. See http://www.grants.gov/help/download_software.jsp.

2. The application package must be obtained via Grants.gov, go to <http://www.grants.gov>, click on “Apply for Grants” in the left-hand column, click on “Step 1: Download a Grant Application Package and Instructions,” enter the funding opportunity number “USDA-CSREES-EA1445-0018888 in the appropriate box and click “Download Package.” From the search results, click “Download” to access the application package.

If assistance is needed to access the application page (e.g., downloading or navigating PDF forms, using Adobe with a Macintosh computer), refer to the resources available on the Grants.gov Web site first (<http://grants.gov>). Grants.gov assistance is also available as follows:

Grants.gov customer support
Toll Free: 1-800-518-4726
Business Hours: M-F 7:00 am – 9:00 pm Eastern Standard Time
Email: support@grants.gov

See <http://www.csrees.usda.gov/funding/electronic.html> for additional resources for applying electronically.

B. Content and Form of Formula Grants Opportunity Package Submission

General

Each grant application must contain an “Application for Federal Assistance,” Form SF-424 Mandatory; “Supplemental Information Form,” CSREES Supplemental Information Form; and “Key Contacts Form,” SF-424 Key Contacts. If applicable, use the “Attachments Form” to submit a matching waiver request. See E(2) of this Part for more details. These forms should be completed in accordance with the instructions accompanying the form on the grants.gov website. The following are the government-wide instructions followed by *agency specific guidelines*, if any, for each data element for each form:

Grant Application Package

Verify that the information shown corresponds to the grant for which the applicant wishes to apply. Grants.gov will auto-populate the following information:

- Opportunity Title
- Offering Agency
- Catalog of Federal Domestic Assistance (CFDA) Number
- CFDA Description
- Opportunity Number
- Competition ID
- Opportunity Open Date
- Opportunity Close Date
- Agency Contact

Enter a name for the application in the * **Application Filing Name** field. This field is required. This name is for use solely by the applicant for you to track through the Grants.gov submission process. It is not used by the receiving agency.

Open and complete all of the documents listed in the “Mandatory Documents” box. Complete the SF-424 first. Data entered on the SF-424 will populate other mandatory and optional forms where possible.

To open an item, simply click on it to select the item and then click on the “Open Form” button. When a form or document has been completed, click the form/document name to select it, and then click the => button. This will move the form/document to the “Completed Documents” box. To remove a form/document from the “Completed Documents” box, click the form/document name to select it, and then click the <= button. This will return the form/document to the “Mandatory Documents” or “Optional Documents” box.

1. Application for Federal Assistance (Standard Form (SF)-424 M (Mandatory))

- 1a. **Type of Submission:** Select one Type of Submission with agency instructions. *Select “Application.”*
- 1b. **Frequency:** Select applicable frequency for the Type of Submission in 1.a. *Select “Annual.”*
- 1c. **Consolidated Application/Plan/Funding Request?** Indicate if the submission is a consolidated application/plan/funding request. *Select “No.”*
- 1d. **Version:** Select the applicable version for the Type of Submission in 1.a:

*Initial: Select “Initial” in response to this FGO. Use it to submit your institution’s application package, including its estimated Federal funds per Appendix A and available matching funds. **This option will be used in FY 2009.***

Resubmission: Select “Resubmission” when your institution has filed an accepted “Initial” or “Update” package and now desires to revise any of the information on those applications, such as its key contact personnel or matching funds available. Any matching waiver requests would be attached to this package, as well.

Revision: Select “Revision” if your institution discovers an error or omission in the “Initial,” “Update,” or “Resubmission” application, or if you are requested to do so by CSREES as the result of its review of the application.

Update: Select “Update” when the fiscal year’s final allocation is published. Use this submission to revise your institution’s “initial” application to reflect its actual Federal funds and matching funds, as well any other changes to the application data (e.g., D-U-N-S® number, address, Key Contact information).

For your first submission via Grants.gov in FY 2009 under this FGO, please indicate “initial”.

2. **Date Received:** Federal use only.
3. **Applicant Identifier:** Applicant use only. *Use or leave blank.*
- 4a. **Federal Entity Identifier:** Enter Federal entity identifier, if any, as specified in agency instructions. *Leave blank.*
- 4b. **Federal Award Identifier:** Enter Federal award identifier assigned by agency (if applicable). *Enter "USDA-CSREES-EA1445-0018888"*
5. **Date Received by State:** State use only. *Use or leave blank.*
6. **State Application Identifier:** State use only. *Use or leave blank.* This field is for use when an institution has its own system for tracking applications. This field allows the applicant to enter its application identifier on the application.
- 7a. **Legal Name:** Enter the following: Legal name of applicant. *Enter the name of the institution.*
- 7b. **Employer/Taxpayer Identification Number (EIN/TIN):** Enter: *Employer Identification Number (EIN) or Taxpayer Identification Number (TIN) as assigned by the Internal Revenue Service.*
- 7c. **Organizational DUNS:** Enter: *Organization's D-U-N-S® number (received from D&B) or the D-U-N-S +4® number (if available).*
- 7d. **Address:** Enter: Complete address of applicant. *Enter the institution's mailing address.*
- 7e. **Organizational Unit:** Enter: Name of primary organizational unit (and department/division, if applicable), which will undertake the assistance activity. *Enter the office where the 1890 Research Director, or his or her designee, is located.*
- 7f. **Name and contact information of person to be contacted on matters involving this application:** For the person to contact on matters related to this submission: name, organizational affiliation (if affiliated with an organization other than the applicant organization, e-mail address, phone number, and fax number. *Enter the contact information for the person performing this electronic submission.*
- 8a. **Type of Applicant:** Select the appropriate letter and enter in the space provided. Letters O, P, Q, R, S, T, U, V, and W are not applicable.
 - A. State Government
 - B. County Government
 - C. City or Township Government
 - D. Special District Government

- E Regional Organization
- F U.S. Territory
- G Independent School District
- H Public/State Controlled Institution of Higher Education
- I Indian/Native American Tribal Government (Federally Recognized)
- J Indian/Native American Tribal Government (Other than Federally Recognized)
- K Indian/Native American Tribally Designated Organization
- L Public/Indian Housing Authority
- M Nonprofit with 501C3 IRS Status (Other than Institution of Higher Education)
- N Nonprofit without 501C3 IRS Status (Other than Institution of Higher Education)
- X Other (specify in accordance with agency instructions)

Please enter the appropriate code for your institution.

9. **Name of Federal agency:** Enter name of Federal agency from which assistance is being requested. *No entry required. This field is pre-populated from the opportunity package.*
10. **Catalog of Federal Domestic Assistance Number:** Enter the Catalog of Federal Domestic Assistance (CFDA) number and title of the program under which assistance is requested. Use the continuation sheet to enter multiple CFDA numbers and titles. *No entry required. This field is pre-populated from the opportunity package.*
11. **Areas Affected by Funding (Cities, Counties, States, etc. Use continuation sheet on page 5 if necessary):** List areas or entities affected using categories specified in agency instructions. Use the continuation sheet to enter multiple entities beyond the space provided. *Enter cities, counties, states, etc. anticipated to be affected by the FY 2009 allocation you will receive.*
- 12a. **Congressional Districts of (Use continuation sheet on page 5 if necessary), Applicant:** Applicant-Enter the applicant's congressional district. Enter the congressional district. *Enter the Congressional district where the main campus is located.*
- 12b. **Congressional Districts of (Use continuation sheet on page 5 if necessary), Program/Project:** Program/Project-Enter the congressional district(s) affected by the program or project. If all congressional districts are included for a State, use "all", e.g., all congressional districts in Maryland would show as MD-all). Use the continuation sheet to enter multiple congressional districts that represent less than all congressional districts in a State or congressional districts in multiple States. *(Note: If this application is being completed by someone other than the 1890 Research Director, or his or her designee, the 1890 Research Director, or his or her designee, may need to be consulted on this question.)*

- 13a. **Funding Period, Start Date:** Enter the start date of the funding period for this submission. *Enter "10/01/2008".*
- 13b. **Funding Period, End Date:** Enter the end date of the funding period for this submission. *Enter "09/30/2009"*
- 14a. **Estimated Funding, Federal:** Federal-Enter the amount requested from the Federal agency. If the agency has specified an amount, enter that amount. *Enter your institution's estimated FY 2009 allocation as listed in Appendix A to this announcement.*
- 14b. **Estimated Funding, Match:** Match-Enter the amount of funds from all other sources. *Enter the amount your institution will match.*
15. **Is Submission Subject to Review By State Under Executive Order 12732 Process?** *Select "c. Program is not covered by E.O. 12372."*
16. **Is the Applicant Delinquent On Any Federal Debt?** Select the appropriate box. This question applies to the applicant organization, not the person who signs as the authorized representative. Categories of debt include delinquent audit disallowances, loans, and taxes. This question applies to the applicant (institution) organization.
17. **By signing this application, I certify (1) to the statements contained in this list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances and agree to comply with any resulting terms (as identified under Part VI, B) if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)** To be signed by the 1890 Research Director, or his or her designee, of the applicant organization. Enter the name, title, phone number, e-mail address, and fax number of the 1890 Research Director, or his or her designee. *The required assurances designated here includes the institutions compliance with all Federal laws and regulations associated with receipt of Federal Domestic Assistance for this program, including the matching certification and certification regarding lobbying activities. By hitting the submit button of the application, the 1890 Research Director, or his or her designee, certifies to the above.*

2. CSREES Supplemental Information Form (Required)

1. **Funding Opportunity Name:** *No entry required. This is pre-populated from the opportunity package.*
Funding Opportunity Number: *No entry required. This is pre-populated the opportunity package.*
2. **Program Code Name:** *Enter "Evans-Allen (Section 1445)."*

Program Code: Enter “1445.”

3. **Type of Applicant:** *No entry required. This will be pre-populated from your entry on the application cover sheet.*
4. **Additional Applicant Types:** *Select “1890 Land-Grant University (including Tuskegee University & West Virginia State University)” from the drop down menu in this block.*
5. **Supplemental Applicant Types:** *Please check all that apply.*
6. **HHS Account Information:** *Click on the radio button next to “Yes”. Enter your HHS PIN number in the appropriate box. IMPORTANT: IN THIS CONTEXT YOUR PIN NUMBER IS YOUR HHS ACCOUNT NUMBER. DO NOT ENTER THE PASSWORD YOU USE TO ACCESS THE HHS PAYMENT MANAGEMENT SYSTEM.*
7. **Key Words:** *Enter “Not applicable.”*
8. **Conflict of Interest List:** *Leave blank.*

3. Key Contacts Form (Required)

Applicant Organization Name: Enter the complete legal name of the institution.

Contact 1 Project Role: *Enter the contact information for the 1890 Research Director.*

Contact 2 Project Role: *Enter the contact information for the 1890 Research Director’s designee, if applicable.*

Contact 3 Project Role: *Enter the contact information for the Business Office Manager/Administrator for this program.*

Contact 4 Project Role: *Enter the contact information for the person responsible for drawdowns.*

4. Matching Funds Waiver Request (Optional)

If your institution is applying for a matching funds waiver, please prepare a request in PDF format. Please include the following elements in the request:

- (a) a request for the waiver by grant,
- (b) a statement of the fiscal year(s) for which the waiver is requested,
- (c) a statement of the amount of waiver being requested by fiscal year and how the amount was computed (by matching fund category),
- (d) a statement of why the waiver is required,

- (e) documentation supporting the need for a waiver and
- (f) the university's efforts to meet future matching needs.

The documentation should include the university's approved budget for the program for both the current and previous budget cycles. It may also submit items such as a statement from the State asserting what portion of the required match amount the State contribution to the institution will cover, a schedule of projected cash flows showing what portion of the matching funds the university will have available or other documents such as letters from State or institution budget personnel or newspaper articles. In requesting the waiver, the institution must consider the availability of all permissible forms of matching in detail.

Please attach the matching waiver request to the "Attachments Form." To attach the waiver request to the form, go to "1) Please add Attachment 1," click on "Add Attachment," and follow the instructions.

Saving the Application

The application package can only be saved from the Grant Application Package screen. It is recommended that after the applicant completes each form/document that they click on the "Close Form" button to close the form and then save the application package by clicking on the "Save" button at the top of the Grant Application Package Screen. It is important to note that the application package will be saved to the last active directory on the applicant's computer. Therefore, the applicant should save the application package to their hard drive where it can be easily accessed. The applicant may save their application package at any time and should save the application package with the same file name each time. An error message will occur each time that the applicant saves the form before completing all of the mandatory fields on the mandatory forms or the optional forms are moved to the Optional Completed Documents for Submission column on the application package. The applicant may ignore this warning. This warning will not appear when all of the mandatory fields have been completed on all of the forms/documents in the application package.

Check Package for Errors

To initiate the Grants.gov edit check process, simply click on the “Check Package for Errors” button at the top of the screen. This process will identify all mandatory fields that have not been completed by the applicant. These errors will be displayed one at a time.

No checks against CSREES business rules will take place at this time.

Submitting the Application

The AR must open the browser, open the application and then press the Submit button. To submit the application:

1. Close all open browser(s) and running applications.
2. Open the internet browser.
3. Navigate to the physical location where the application file is located. Select the application to open.
4. The internet browser will open the application. This may take a few moments. Please be patient.
5. Once the application is completely open, click the **Submit** button. (The Submit button will not become active until all mandatory documents have been properly completed and the application has been saved.)
6. Click **Sign and Submit**.
7. Next, enter your username and password.
8. Lastly, click **Login**.

Only the AR has the authority and user rights to **Sign and Submit** an application to Grants.gov. Additional submission tips may be found at the Grants.gov website.

Grants.gov Submission Tips: http://www.grants.gov/help/submit_application_faqs.jsp

C. Certifications

Important Note: By signing Form SF-424-M, the applicant is providing the certifications required by 7 CFR Part 3017, regarding Debarment and Suspension and Drug-Free Workplace, and 7 CFR Part 3018, regarding Lobbying, and compliance with the provisions of the CSREES Administrative Manual for Evans-Allen Cooperative Agricultural Research, including 7 CFR 3015, Uniform Federal Assistance Regulations; 7 CFR 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations; 7 CFR 3016, Uniform Administration Requirements for Grants and Cooperative Agreements to States and Local Governments; and OMB Circulars A-21, Cost Principles for Educational Institutions (now codified at 2 CFR Part 220), and A-110, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations (now codified at 2 CFR Part 215). If the project will involve a subcontractor or consultant, the subcontractor and/or consultant should submit a Form AD-1048, Certification Regarding Debarment, Suspension, Ineligibility and Voluntary

Exclusion - Lower Tier Covered Transactions, to the grantee organization for retention in their records. This form should not be submitted to USDA.

D. Submission Dates and Times

An “Application for Federal Assistance,” Form SF-424 Mandatory; “Supplemental Information Form,” CSREES Supplemental Information Form and “Key Contacts Form,” SF-424 Key Contacts; and if applicable, “Attachments Form” with a matching waiver request (as a PDF file), should be submitted online at the www.grants.gov website by COB on December 22, 2008 (5:00 p.m. Eastern Time).

The receipt of all applications will be acknowledged by e-mail. Therefore, applicants are strongly encouraged to provide accurate e-mail addresses on the Key Contacts Form via the www.grants.gov website.

If an applicant has not received an acknowledgment within 60 days of the submission, the applicant must contact the Agency contact (see Part VII) immediately and ask for the application number assigned to the application. Failure to do so may result in the application not being processed and funding being held. Once the application has been assigned an application number, this number should be cited on all future correspondence.

E. Funding Restrictions

(1) Approved CSREES Evans-Allen Research Projects

CSREES will fund the Evans-Allen Research Program in FY 2009 for authorized activities. Funds may be used on approved Evans-Allen Research Program projects.

(2) Matching

NARETPA section 1449 (7 U.S.C. 3222d) states that “the State shall provide matching funds from non-Federal sources. Such matching funds shall be for an amount equal to not less than ... 100 percent of the formula [grant] funds to be distributed to the eligible institution for fiscal year 2007 and each fiscal year thereafter. ... Notwithstanding [redistributing the funds], the Secretary may waive the matching funds requirement ... above the 50 percent level for any fiscal year for an eligible institution of a State if the Secretary determines that the State will be unlikely to satisfy the matching requirement.”

7 CFR 3419.1 defines “matching funds” as “funds from non-Federal sources made available by the State to the eligible institutions ... [for] programs or activities that fall within the purposes of agricultural research and cooperative extension under sections 1444 and 1445 of NARETPA ... or [for] qualifying educational activities. Matching funds means cash contributions and excludes in-kind matching contributions.” It defines “non-Federal sources” as “funds made available by the State to the eligible institution either through direct appropriation or under any authority

(other than authority to charge tuition and fees paid by students) provided by a State to an eligible institution to raise revenue, such as gift acceptance authority or user fees.” Finally, it defines “qualifying educational activities” as “programs that address food and agricultural sciences components of an eligible institution.”

7 CFR 3419.6 states that “The required matching funds for the formula programs shall be used by an eligible institution for agricultural research and extension activities that have been approved in the plan of work required under sections 1444(d) and 1445(c) of the National Agricultural Research, Extension, and Teaching Policy Act of 1977... or for .. qualifying education activities.”

Please note that while Federal funds must be expended on agricultural research, the matching funds may be used for agricultural research, agricultural extension, or qualifying educational activities. CSREES may consider and approve matching waivers above the 50 percent level. See Part IV.B.6. for additional information.

(3) Indirect Costs and Tuition Remission

In accordance with NARETPA section 1473 (7 U.S.C. 3319), indirect costs and tuition remission are unallowable as Evans-Allen Research Program formula grant expenditures.

F. Other Submission Requirements

(1) CRIS Requirements

Project information for the Evans-Allen Research Program should continue to be submitted to the CRIS unit as in previous years. See the website at: <http://cwf.uvm.edu/cris>. Required submissions include:

- 1) Program of Research
- 2) Project Listing*
- 3) Work Unit Description (AD-416)*
- 4) Project Classification (AD-417)*
- 5) Assurance Form (CSREES-2008)*
- 6) Accomplishments Report (AD-421)
- 7) Financial and Staff Support Report (AD-419)

*Submitted at project initiation.

(2) Plan of Work Requirements

Program information for the Evans-Allen Research Program should be submitted to the Office of Planning and Accountability through the website established for this purpose (<http://pow.csrees.usda.gov>). Required submissions include the 5-Year Plan of Work Update for FY 2010-2014 and the Annual Report of Accomplishments and Results. Both reports are due April 1, 2009.

PART V—SUBMISSION REVIEW REQUIREMENTS

The Office of Planning and Accountability and the OEP Formula Grants Section will be reviewing submitted documents to determine if all program, financial, and administrative requirements have been met and are current.

PART VI—AWARD ADMINISTRATION

A. General

The Office of Planning and Accountability will notify the OEP Formula Grants Section and the institution regarding each institution's compliance with the Plan of Work reporting requirements (i.e., 5-Year Plan of Work Update and an Annual Report of Accomplishments and Results). If all current program and administrative requirements have been met by the eligible institution, funding will be released on a quarterly basis. The OEP Formula Grants Section will notify the institutions when all requirements have been met or approved.

B. Administrative and National Policy Requirements

Several Federal statutes and regulations apply to grant applications considered for review and formula grants awarded under this program. These include, but are not limited to:

7 CFR Part 1, subpart A — USDA implementation of the Freedom of Information Act.

7 CFR Part 3 — USDA implementation of OMB Circular No. A-129 regarding debt collection.

7 CFR Part 15, subpart A — USDA implementation of Title VI of the Civil Rights Act of 1964, as amended.

7 CFR Part 331 and 9 CFR Part 121 — USDA implementation of the Agricultural Bioterrorism Protection Act of 2002.

7 CFR Part 3015 — USDA Uniform Federal Assistance Regulations, implementing OMB directives (i.e., OMB Circular Nos. A-21 and A-122, now codified at 2 CFR Parts 220 and 230) and incorporating provisions of 31 U.S.C. 6301-6308 (formerly the Federal Grant and

Cooperative Agreement Act of 1977, Pub. L. No. 95-224), as well as general policy requirements applicable to recipients of Departmental financial assistance.

7 CFR Part 3017 — USDA implementation of Governmentwide Debarment and Suspension (Nonprocurement) and Governmentwide Requirements for Drug Free Workplace (Grants).

7 CFR Part 3018 — USDA implementation of Restrictions on Lobbying.

7 CFR Part 3019 — USDA implementation of OMB Circular A-110, Uniform Administrative Requirements for Grants and Other Agreements With Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations (now codified at 2 CFR 215).

7 CFR Part 3052 — USDA implementation of OMB Circular No. A-133, Audits of States, Local Governments, and Nonprofit Organizations.

7 CFR Part 3407 — CSREES procedures to implement the National Environmental Policy Act of 1969, as amended.

7 CFR Part 3419 — Matching Funds Requirement for Agricultural Research and Extension Formula Funds at 1890 Land-Grant Institutions, Including Tuskegee University and West Virginia State University

29 U.S.C. 794 (section 504, Rehabilitation Act of 1973) and 7 CFR Part 15b (USDA implementation of statute) —prohibiting discrimination based upon physical or mental handicap in Federally assisted programs.

35 U.S.C. 200 et seq. —Bayh Dole Act, controlling allocation of rights to inventions made by employees of small business firms and domestic nonprofit organizations, including universities, in Federally assisted programs (implementing regulations are contained in 37 CFR Part 401).

C. Expected Program Outputs and Reporting Requirements

The Plan of Work Update for FY 2010-2014 and Annual Report of Accomplishments and Results should be submitted by April 1, 2009 online at the web address:

<http://pow.csrees.usda.gov>.

Institutions must submit a Form AD-416, Work Unit Description; Form AD-417, Project Classification; CSREES-2008, Assurance Form; and Project Listing through the Current Research Information System at the initiation of each Evans-Allen Research project. Each eligible institution shall submit a CRIS Form AD-421, Accomplishments Report, annually. Reports from institutions reporting on a calendar year shall be submitted by April 1, 2009 for the preceding calendar year. Reports from institutions reporting on a fiscal year shall be submitted by February 1, 2009 for the preceding fiscal year.

An Accomplishments Report, CRIS Form AD-421, shall be submitted to CSREES for each completed or terminated project. Such reports shall be submitted at the same time as are progress reports on active projects and should include a brief summary of accomplishments for the entire life of the project.

A CRIS Form AD-419, Financial and Staff Support Report, shall be submitted to CSREES annually for all projects. CRIS Form AD-419 reports are also required for expenditures on all State projects that are to be included in the non-Federal funds and matching funds computation. Reports shall be made on a fiscal year basis and are to be submitted by February 1, 2009.

Financial reporting via the SF-269, Financial Status Report, for FY 2009 is due to the OEP Formula Grants Section on December 31st of each year until the formula grant is closed. The SF-272, Federal Cash Transactions Report, for each calendar quarter is due online at the DHHS website (www.dpm.psc.gov) usually on the 15th day of November, February, May, and August for the preceding calendar quarter. See website for specific dates. DHHS may restrict payment requests from the grantee's account if the SF-272 report is not submitted on time.

PART VII—AGENCY CONTACT

For questions regarding Evans-Allen Research Program projects and the Evans-Allen Research Program, please contact: Dr. P.S. Benepal, Director, Multicultural Alliances, Stop 2250, 1400 Independence Avenue, S.W., Washington, D.C. 20250-2250; Telephone: (202) 720-1254; Fax: (202) 720-4924; E-mail: pbenepal@csrees.usda.gov

For questions relating to the completion of the grants.gov forms and funding release, please contact: Brenda Barnett, Office of Extramural Programs, Stop 2298, 1400 Independence Avenue, S.W., Washington, D.C. 20250-2298; Telephone: (202) 401-6520 ; Fax: (202) 690-3002 ; E-mail: formulagrantsquestions@csrees.usda.gov

For questions relating to CRIS forms and submissions, please contact The Current Research Information System Office, USDA/CSREES, Stop 2270, 1400 Independence Avenue, S.W., Washington, D.C. 20250-2270; Telephone: (202) 690-0009; Fax: (202) 690-0634; E-mail: cris@csrees.usda.gov.

For questions relating to the 5-Year Plan of Work Update for FY 2010-2014 or the Annual Report of Accomplishments and Results, please contact Bart Hewitt, Office of Planning and Accountability, USDA/CSREES, Stop 2214, 1400 Independence Avenue, S.W., Washington, D.C. 20250-2214; Telephone: (202) 720-7714; Fax: (202) 720-4730; E-mail: bhewitt@csrees.usda.gov.

If help is needed with the Grants.gov registration process for the applicant organization, downloading or navigating PDF forms, using Adobe Reader with a Macintosh computer or with the technical aspects of submitting to the Grants.gov system, check the resources available on the Grants.gov Web site first (<http://grants.gov>).

Grants.gov assistance is also available as follows:

Grants.gov customer support
Toll Free: 1-800-518-4726
Business Hours: M-F 7:00 am – 9:00 pm Eastern Standard Time
Email: support@grants.gov

If the Authorized Representative (AR) has not received a confirmation message from Grants.gov within 48 hours of submission of an application, please contact Grants.gov customer support.

When Grants.gov is contacted, a ticket number is issued to track the response. The applicant should maintain the ticket number as documentation of electronic issues associated with the application.

Grants.gov Help Desk Operations Process is described below:

Tier 1: Grants.gov Contact Center Operator

- Handles the initial contact and attempts to resolve the call.
- If not, files a research request (escalation).

Tier 2: Research Analyst

- Escalations go to the research analyst who does further research on the issue.
- Unable to resolve, issue escalated to Grants.gov Program management Office.

Tier 3: Grants.gov PMO

- Research Analyst works with PMO to resolve the issue.
- Typical escalations: Policy questions/System bugs/Training or Meeting Requests.

PART VIII—OTHER INFORMATION

A. CSREES' Grants.gov Implementation Plans

CSREES is continuing to develop its capacity to exchange proposal and grant data electronically with its grantees through Grants.gov and to process and review proposals and award grants electronically.

In Fiscal Year (FY) 2007, CSREES conducted a pilot on the submission of the SF-424 Mandatory application package through the Grants.gov website for the McIntire-Stennis Cooperative Forestry Research Program. The pilot was successful as all eligible grantees submitted their applications through Grants.gov.

The Federal Funding Accountability and Transparency Act of 2006 requires all Federal agencies to report monthly to a publicly-accessible website on all Federal assistance awards (including grants). This requirement became effective for all awards made in FY 2007 and thereafter.

As a result, for the FY 2008 (October 1, 2007-September 30, 2008) and later cycles, CSREES is requiring electronic submission through Grants.gov for all Federal assistance programs (i.e., grants). For more information about CSREES' Grants.gov plans, including important announcements, program implementation details, and detailed requirements, see the CSREES' web site, http://www.csrees.usda.gov/business/other_links/egov/egov.html. The information on these web sites will be updated as appropriate. It is suggested that the sites be visited periodically for important updates.

B. D-U-N-S® Number

A D&B Data Universal Numbering System (D-U-N-S®) number is a unique nine-digit sequence recognized as the universal standard for identifying and keeping track of over 70 million businesses worldwide. A Federal Register notice of final policy issuance (68 FR 38402) requires a D-U-N-S® number in every application (i.e., hard copy and electronic) for a grant or cooperative agreement (except applications from individuals) submitted on or after October 1, 2003. Therefore, potential applicants should verify that they have a D-U-N-S® number or take the steps needed to obtain one. For information about how to obtain a D-U-N-S® number go to <http://www.grants.gov/RequestaDUNS>. Please note that the registration may take up to 14 business days to complete.

C. Required Registration for Grants.gov

The Central Contract Registry (CCR) is a database that serves as the primary Government repository for contractor information required for the conduct of business with the Government. This database will also be used as a central location for maintaining organizational information for organizations seeking and receiving grants from the Government. Such organizations must register in the CCR prior to the submission of applications via grants.gov (a D-U-N-S® number is needed for CCR registration). For information about how to register in the CCR visit the "Get Started" section at <http://www.grants.gov>. Allow a minimum of 5 days to complete the CCR registration.

APPENDIX A

Estimated FY 2009

**Appropriation to the 1890 Land-Grant Institutions, Tuskegee University & West Virginia State University
Authorized under Public Law 95-113, Section 1445, Evans-Allen Funds**

<u>INSTITUTION AND LOCATION</u>	<u>Grant Number</u>	<u>Estimated NET TO STATES</u> _____	
ALABAMA A & M UNIVERSITY			
Normal, Alabama	2009-42000-08901	\$ 2,116,410	\$2,116,410
TUSKEGEE UNIVERSITY			
Tuskegee, Alabama	2009-42000-08902	2,097,277	2,097,277
UNIVERSITY OF ARKANSAS - PINE BLUFF			
Pine Bluff, Arkansas	2009-42000-08903	1,837,968	1,837,968
DELAWARE STATE UNIVERSITY			
Dover,	2009-42000-08904	1,088,882	1,088,882
FLORIDA A & M UNIVERSITY			
Tallahassee, Florida	2009-42000-08905	1,652,135	1,652,135
FORT VALLEY STATE UNIVERSITY			
Fort Valley,	2009-42000-08906	2,424,857	2,424,857
KENTUCKY STATE UNIVERSITY			
Frankfort, Kentucky	2009-42000-08907	2,869,794	2,869,794
SOUTHERN			
Baton Rouge, Louisiana	2009-42000-08908	1,654,706	1,654,706
UNIVERSITY OF MARYLAND - EASTERN SHORE			
Princess Anne, Maryland	2009-42000-08909	1,240,851	1,240,851
ALCORN STATE UNIVERSITY			
Lorman, Mississippi	2009-42000-08910	2,063,924	2,063,924
LINCOLN UNIVERSITY			
Jefferson City, Missouri	2009-42000-08911	2,793,650	2,793,650
NORTH CAROLINA A & T STATE UNIVERSITY			
Greensboro, North Carolina	2009-42000-08912	3,392,214	3,392,214
LANGSTON UNIVERSITY			
Langston, Oklahoma	2009-42000-08913	1,816,814	1,816,814
SOUTH CAROLINA STATE UNIVERSITY			
Orangeburg, South Carolina	2009-42000-08914	1,827,123	1,827,123
TENNESSEE STATE			
Nashville, Tennessee	2009-42000-08915	2,635,897	2,635,897
PRAIRIE VIEW A & M UNIVERSITY			
Prairie View, Texas	2009-42000-08916	3,759,439	3,759,439
VIRGINIA STATE UNIVERSITY			
Petersburg, Virginia	2009-42000-08917	2,259,509	2,259,509
WEST VIRGINIA STATE UNIVERSITY			
Institute, West Virginia	2009-42000-08919	1,188,353	1,188,353
TOTAL PAYMENTS TO		<u>\$38,719,803</u>	<u>\$38,719,803</u>
SMALL BUSINESS SET-		995,478	
BIOTECH RISK ASSESSMENT		58,209	
CRIS COSTS		45,611	
SUBTOTAL		<u>\$39,819,101</u>	
FEDERAL ADMINISTRATION		1,231,519	
TOTAL		<u>\$41,050,620</u>	