



The [U.S. Department of Justice's Office of Justice Programs' Bureau of Justice Assistance](#) is pleased to announce that it is seeking applications for funding under the Residential Substance Abuse Treatment for State Prisoners Program. This program furthers the Department's mission by assisting state, local, and tribal efforts to break the cycle of drugs and violence by reducing the demand for, use, and trafficking of illegal drugs.

Residential Substance Abuse Treatment (RSAT) for State Prisoners Program FY 2009 Formula Grant Announcement

Eligibility

Applicants are limited to states, the District of Columbia, Puerto Rico, U.S. Virgin Islands, American Samoa, Guam, and the Northern Mariana Islands.
(See "Eligibility," page 1)

Deadline

Registration with OJP's Grants Management System is required prior to application submission.
(See "Deadline: Registration," page 1)

All applications are due by 8:00 p.m. e.t. on December 18, 2008.
(See "Deadline: Applications," page 1)

Contact Information

For assistance with the requirements of this solicitation, contact: Ania Dobrzanska, State Policy Advisor, at 202-353-2155 or ania.dobrzanska@usdoj.gov.

This application must be submitted through OJP's Grants Management System (GMS). For technical assistance with submitting the application, call the GMS Help Desk at 1-888-549-9901, option 3. The GMS Help Desk hours of operation are Monday-Friday from 7:00 a.m. to 9:00 p.m. e.t.

Release date: October 14, 2008

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Residential Substance Abuse Treatment for State Prisoners Program CDFA #16.593

Overview of the Residential Substance Abuse Treatment for State Prisoners Program

The Residential Substance Abuse Treatment (RSAT) for State Prisoners Program (42 U.S.C. § 3796ff *et. seq.*) assists states and local governments to develop and implement substance abuse treatment programs in state and local correctional and detention facilities and to create and maintain community-based aftercare services for offenders.

Deadline: Registration

Applicants must register with the Office of Justice Programs' (OJP) Grants Management System (GMS) prior to applying. The deadline to register is 8:00 p.m. e.t. on December 18, 2008.

Deadline: Applications

The due date for applying for funding under this announcement is 8:00 p.m. e.t. on December 18, 2008.

Eligibility

States may apply for formula grant awards under this program. For purposes of this solicitation, "states" are all U.S. states, the District of Columbia, the Commonwealth of Puerto Rico, the U.S. Virgin Islands, American Samoa, Guam, and the Northern Mariana Islands. By statute (42 U.S.C. § 3796ff-1(e)), the Bureau of Justice Assistance (BJA) must award RSAT grants to the state office (www.ojp.usdoj.gov/saa/index.htm) designated to administer the Byrne Justice Assistance Grant Program (formerly the Byrne Formula Program). The state office may award subgrants to state agencies, units of local government, and Native American tribes.

RSAT Program-Specific Information

All awards are subject to the availability of appropriated funds and any modifications or additional requirements that may be imposed by law.

RSAT assists states and local governments to develop and implement substance abuse treatment programs in state and local correctional and detention facilities and to create and maintain community-based aftercare services for offenders. The goal of the RSAT Program is to break the cycle of drugs and violence by reducing the demand for, use, and trafficking of illegal drugs. RSAT enhances the capability of states and units of local government to provide residential substance abuse treatment for incarcerated inmates; prepares offenders for their reintegration into the communities from which they came by incorporating reentry planning activities into treatment programs; and assists offenders and their communities through the reentry process through the delivery of community-based treatment and other broad-based aftercare services.

Program Requirements

RSAT funds may be used to implement three types of programs: residential, jail-based, and aftercare. Applications involving partnerships with community-based substance abuse treatment programs will be given priority consideration. At least 10 percent of the total state allocation for FY 2009 shall be made available to local correctional and detention facilities—provided such facilities exist—for either residential substance abuse treatment programs or jail-based substance abuse treatment programs that meet the following criteria.

Residential Programs that:

- Last at least 6 and no more than 12 months.
- Provide residential treatment facilities set apart—in a completely separate facility or dedicated housing unit in a facility exclusively for use by RSAT participants—from the general correctional population.
- Focus on the substance abuse problems of the inmate.
- Develop the inmate's cognitive, behavioral, social, vocational, and other skills to solve the substance abuse and related problems.
- Require urinalysis and/or other proven reliable forms of drug and alcohol testing for program participants, including both periodic and random testing, and for former participants while they remain in the custody of the state or local government.
- If possible, RSAT participation should be limited to inmates with 6 to 12 months remaining in their confinement so they can be released from prison instead of returning to the general prison population after completing the program.

Jail-Based Programs that:

- Last at least 3 months.
- Strive to separate the treatment population from the general correctional population.
- Focus on the substance abuse problems of the inmate.
- Develop the inmate's cognitive, behavioral, social, vocational, and other skills to solve the substance abuse and related problems.
- Have a design based on effective, scientific practices.

Aftercare

Per 42 U.S.C. 3796ff-1(c), to be eligible for funding under this part, a state shall ensure that individuals who participate in the substance abuse treatment program established or implemented with assistance provided under this part will be provided with aftercare services. Aftercare services must involve coordination between the correctional treatment program and other social service and rehabilitation programs, such as education and job training, parole supervision, halfway houses, self-help, and peer group programs. A state may use amounts received for aftercare if the chief executive officer of the state certifies that the state is providing, and will continue to provide, an adequate level of residential treatment services. To qualify as an aftercare program, the head of the substance abuse treatment program must work in conjunction with state and local authorities and organizations involved in substance abuse treatment to assist in the placement of program participants into community substance abuse treatment facilities on release. In addition, states shall coordinate these activities with any Substance Abuse and Mental Health Services Administration-funded state and/or local programs that address the needs of this target population.

Post-Release Treatment

A state may use RSAT funds to provide treatment to offenders for a period not to exceed 1 year after release. No more than 10 percent of the total award may be used for treatment of those released from a state facility.

Amount and Length of Awards

Each participating state is allocated a base award of 0.4 percent of the total funds available for RSAT. BJA will allocate a portion of the total remaining funds to each participating state in the same percentage that the state's prison population represents relative to the total prison population of all states. Awards are made in the fiscal year of the appropriation and may be expended during the following 3 years, for a total of 4 years.

Supplanting

Federal funds must be used to supplement existing funds for program activities and cannot replace, or supplant, nonfederal funds that have been appropriated for the same purpose.

Prohibited Uses

RSAT funds shall not be used for land acquisition or construction projects.

Limitation on use of award funds for employee compensation; waiver: No portion of any award of more than \$250,000 made under this solicitation may be used to pay any portion of the total cash compensation (salary plus bonuses) of any employee of the award recipient whose total cash compensation exceeds 110 percent of the maximum annual salary payable to a member of the federal government's Senior Executive Service (SES) at an agency with a Certified SES Performance Appraisal System for that year. (The salary table for SES employees is available at www.opm.gov.) This prohibition may be waived at the discretion of the Assistant Attorney General for the Office of Justice Programs. An applicant that wishes to request a waiver should include a detailed justification in the budget narrative for the application.

Annual Report

Applicants are no longer required to submit an electronic copy of their RSAT annual report with their application. (Annual reports are due to BJA on March 1 each year.) The questions in the Performance Metrics tab in GMS will be the only reporting requirement. The questions on that tab include seven standard ones that all BJA grantees answer, and the customized RSAT questions follow.

Match Requirement

A grant made under this program may not cover more than 75 percent of the total costs of the project being funded. The applicant must identify the source of the 25 percent non-federal portion of the budget and how match funds will be used. Applicants may satisfy this match requirement with either cash or in-kind services. The formula for calculating match is demonstrated below:

Example: For a federal award amount of \$350,000, match would be calculated as follows:

$$\frac{\$350,000}{75 \text{ percent}} = \$466,667 \text{ (Total Project Cost)} \quad \$466,667 \times 25 \text{ percent} = \$116,667 \text{ match}$$

Performance Measures

To assist in fulfilling the Department's responsibilities under the Government Performance and Results Act (GPRA), P.L. 103-62, applicants who receive funding under this solicitation must provide data that measures the results of their work. In addition, applicants must discuss their data collection methods in the application. Please refer to the What an Application Must Include

section in this solicitation, which outlines applicant responsibilities for collecting and reporting data. Performance measures for this solicitation are as follows:

Program Goal	Performance Measures	Data Grantee Provides
<p>Prepare offenders for reintegration into the communities from which they came by incorporating reentry planning activities into treatment programs.</p> <p>Reduce recidivism rate.</p>	<p>Of the offenders who complete the program, the number that have remained arrest free for 1 year following release from aftercare.</p>	<ul style="list-style-type: none"> • Of the offenders who completed the program, the number that have remained drug-free during the residential program. • Of the offenders who completed the program, the number that have remained drug-free during the aftercare program. • Of the offenders who completed the program, the number that have remained arrest-free during the aftercare program. • Of the offenders who completed the program, the number that have remained arrest free for 1 year following release from aftercare (for this indicator, use data from the recent available year), • Of the offenders who completed the program, the number that have passed drug testing during this reporting period.
<p>Enhance the capability of states and units of local government to provide residential substance abuse treatment for incarcerated inmates.</p>	<p>Number of participants in RSAT.</p>	<p>Total number of offenders entering residential treatment.</p>
	<p>Average treatment cost per offender for residential program.*</p>	<p>Average treatment cost per offender for residential program.*</p>
	<ul style="list-style-type: none"> • Number of days of residential treatment provided. • Number of days of aftercare provided. • Previously funded RSAT beds continued during grant cycle. • New treatment beds added with RSAT grant funds during this grant cycle. • Treatment beds funded through other sources, but enhanced with RSAT-funded services. • Average length of stay in the residential program in days, for those completing the program. 	<ul style="list-style-type: none"> • Number of days of residential treatment provided. • Number of days of aftercare provided. • Previously funded RSAT beds continued. • New treatment beds added with RSAT grant funds. • Treatment beds funded through other sources, but enhanced with RSAT-funded services. • Average length of stay in the residential program in days, for those completing the program.

<p>Prepare offenders for reintegration into the communities from which they came by incorporating reentry planning activities into treatment programs.</p>	<ul style="list-style-type: none"> • Total number of offenders successfully completing the residential program. • Total number of offenders who dropped out of the residential program. • Total number of offenders who were terminated from the residential program. 	<ul style="list-style-type: none"> • Total number of offenders successfully completing the residential program. • Total number of offenders who dropped out of the residential program. • Total number of offenders who were terminated from the residential program.
<p>Assist both the offenders and their communities through the reentry process through the delivery of both community-based treatment and other broad-based aftercare services.</p>	<ul style="list-style-type: none"> • Total number of offenders entering an RSAT-funded aftercare program. • Average length of stay in the aftercare program in days, for those completing the program. • Total number of offenders successfully completing the aftercare program. • Total number of offenders who dropped out of the aftercare. • Total number of offenders who were terminated from the aftercare program. • Average treatment cost per offender for the aftercare program.* 	<ul style="list-style-type: none"> • Total number of offenders entering an RSAT-funded aftercare program. • Average length of stay in the aftercare program, in days, for those completing the program. • Total number of offenders successfully completing the aftercare program. • Total number of offenders who dropped out of the aftercare program. • Total number of offenders who were terminated from the aftercare program. • Average treatment cost per offender for the aftercare program.*

*Program costs: BJA will provide guidance to grantees about which costs to include in the calculation on the RSAT page of the BJA web site.

How To Apply

Grants Management System Instructions. Applications must be submitted through OJP's online Grants Management System (GMS). To access the system, go to <https://grants.ojp.usdoj.gov>. Applicants should begin the process a few weeks prior to the GMS registration deadline, especially if this is the first time they have used the system. Each application requires a separate GMS registration. For a step-by-step guide, visit <http://www.ojp.gov/gmscbt/> and refer to the section entitled "External Overview: Locating & Applying for Funding Opportunities." For additional assistance, call the GMS Help Desk at 1-888-549-9901 from 7:00 a.m. to 9:00 p.m. e.t.

Note: OJP will not review any application whose attachments are in Microsoft Vista or Microsoft 2007 format. Applications submitted via GMS must be in the following word processing formats: Microsoft Word (*.doc), PDF files (*.pdf), or Text Documents (*.txt). GMS is not yet compatible with Vista and cannot yet process Microsoft Word 2007 documents saved in the new default format with the extension ".docx." Please ensure the documents you are submitting are saved using "Word 97-2003 Document (*.doc)" format. In addition, GMS does not accept executable file types as application attachments. These disallowed file types include, but

are not limited to, the following extensions: ".com," ".bat," ".exe," ".vbs," ".cfg," ".dat," ".db," ".dbf," ".dll," ".ini," ".log," ".ora," ".sys," and ".zip."

CFDA Number: The Catalog of Federal Domestic Assistance (CFDA) number for this solicitation is 16.593, titled "Residential Substance Abuse Treatment for State Prisoners."

A DUNS number is required: The Office of Management and Budget requires that all businesses and nonprofit applicants for federal funds include a DUNS (Data Universal Numeric System) number in their application for a new award or renewal of an award. Applications without a DUNS number are incomplete. A DUNS number is a unique nine-digit sequence recognized as the universal standard for identifying and keeping track of entities receiving federal funds. The identifier is used for tracking purposes and to validate address and point of contact information for federal assistance applicants, recipients, and subrecipients. The DUNS number will be used throughout the grant life cycle. Obtaining a DUNS number is a free, simple, one-time activity. Obtain one by calling 1-866-705-5711 or by applying online at www.dunandbradstreet.com.

Central Contractor Registration (CCR) is required: In addition to the DUNS number requirement, OJP requires that all applicants (other than individuals) for federal financial assistance maintain current registrations in the Central Contractor Registration (CCR) database. The CCR database is the repository for standard information about federal financial assistance applicants, recipients, and subrecipients. Organizations that have previously submitted applications via Grants.gov are already registered with CCR, as it is a requirement for Grants.gov registration. Please note, however, that applicants must update or renew their CCR registration at least once per year to maintain an active status. Information about registration procedures can be accessed at www.ccr.gov.

What an Application Must Include

Standard Form 424

Program Narrative (Attachment 1)

The program narrative must be double-spaced, using a standard 12-point font (Times New Roman preferred) with 1-inch margins. Applicants must submit a narrative that describes the proposed program activities for FY 2009 and changes, if any, since the previous application. Narratives should include:

- Program goals.
- Implementation process.
- Timetable for implementation.
- Information about priorities or projects, including how aftercare services will be given preference.
- Description of any changes in state law or policy requiring substance abuse testing of individuals in correctional/residential substance abuse treatment programs, including individuals released but remaining in state custody.
- Number, or estimated number, of offenders tested for the use of illegal substances during the last calendar year.
- Explanation of how the state will coordinate RSAT's design and implementation at the state and local levels and how funds will be coordinated with federal assistance for substance abuse treatment and aftercare services provided by the Substance Abuse and Mental Health Services Administration.

- Explanation of how the state might coordinate RSAT-funded programs with the federal Serious and Violent Offender Reentry Initiative (SVORI) or Prisoner Reentry Initiative (PRI) (If applicable) (www.reentry.gov/). If no coordination with SVORI or PRI is planned, briefly describe why.
- Description of any federal awards, including other U.S. Department of Justice awards, which also will support RSAT efforts.
- Collecting and reporting performance measure data (see pages 3-5).

Budget and Budget Narrative (Attachment 2)

Applicants must submit a narrative that outlines any proposed uses of 10 percent of the formula grant funds for aftercare and administration, as well as the mandatory 10 percent pass through to local facilities. A fillable budget detail worksheet form is available on OJP's web site at www.ojp.usdoj.gov/funding/forms/budget_detail.pdf. Applicants must submit a budget worksheet and budget narrative in one file.

Additional Requirements

Applicants must agree to comply with all the applicable requirements below prior to receiving grant funding. We strongly encourage you to review the information pertaining to these additional requirements prior to submitting your application. Additional information for each can be found at www.ojp.usdoj.gov/funding/other_requirements.htm.

- Civil Rights Compliance
- Funding to Faith-Based Organizations
- Confidentiality and Human Subjects Protections Regulations
- Anti-Lobbying Act
- Financial and Government Audit Requirements
- National Environmental Policy Act (NEPA) Compliance
- DOJ Information Technology Standards
- Single Point of Contact Review
- Non-Supplanting of State or Local Funds
- Criminal Penalty for False Statements
- Compliance with Office of the Chief Financial Officer [Financial Guide](#)
- Suspension or Termination of Funding
- Non-Profit Organizations
- Government Performance and Results Act (GPRA)

- Rights in Intellectual Property
- Federal Funding Accountability and Transparency Act (FFATA) of 2006