

# INSTRUCTIONS

## FOR COMPLETING THE U.S. DEPARTMENT OF STATE SHRIMP EXPORTER'S/IMPORTER'S DECLARATION

1. This form must accompany all shipments of shrimp and shrimp products into the United States.
2. The form accompanying each shipment must include original signatures in all signature blocks. The form is to be retained by the U.S. importer for a period of three years from the date of import, and is to be produced upon a request from U.S. government officials.
3. All forms must have all blocks complete with all necessary information, signatures, addresses, etc. Incomplete forms will not be accepted.
4. All information included on all forms must be easily legible.
5. Forms may be reproduced locally, but it is the responsibility of the exporter to ensure that any such reproduced forms are clearly legible. The requirement for original signature in 2, above, still applies.
6. Instructions for individual blocks:

**Block 1:**

The harvesting nation means either: (1) the nation in which the shrimp is raised in an aquaculture facility; or (2) the nation in whose waters the shrimp is harvested.

**Block 2:**

Insert the name and address of the aquaculture facility or facilities at which the shrimp is raised and harvested, if applicable.

**Block 3:**

The "exporter" is the individual or company in the harvesting nation who sells the goods for export to a foreign buyer, e.g. a U.S. or third-country buyer.

**Block 4:**

Insert name and address of U.S. importer or ultimate consignee.

**Block 5:**

"Date of export" means the date on which the shipment departed the last port of the harvesting nation for exportation.

**Block 6:**

Insert in the appropriate column the U.S. Harmonized Tariff Schedule Number, number of boxes/ cases or other units in a particular shipment, and the net weight in kilograms. HTS categories covered by this form include: 0306.13.00 (frozen shrimp and prawns); 0306.23.00 (shrimp and prawns, not frozen); 1605.20.05 (shrimp and prawn products); and 1605.20.10 (other prepared shrimp

and prawns). If shipment consists of more than one type of product, they may be listed on an additional page and attached. Insert date of entry, port of entry, and entry number at the top of attachment.

**Block 7:**

Check the appropriate box to indicate if the shrimp is (A) harvested in a manner which does not adversely affect sea turtles; or (B) harvested in the waters of a nation that is certified pursuant to Section 609 of P.L. 101-162 as of the date of export from the harvesting nation. In order to qualify pursuant to (A), the shrimp must have been harvested under one of the following conditions: (1) shrimp harvested in an aquaculture facility in which the shrimp spend at least 30 days in ponds prior to being harvested; (2) shrimp harvested by commercial trawl vessels using TEDs (Turtle Excluder Devices) comparable in effectiveness to those required in the U.S.; (3) shrimp harvested exclusively by means that do not involve the retrieval of fishing nets by mechanical devices or by vessels using only the following types of gear: barred beam trawls and/or roller trawls, wing nets, skimmer trawls, and pusher-head trawls; (4) Shrimp harvested in a manner or under circumstances determined by the Department of State not to pose a threat of the incidental taking of sea turtles (the use of box 7A(4) is restricted to only those countries for which such a determination has been made pursuant to the State Department's revised guidelines for the implementation of Sec. 609 of P. L. 10-102, contained in public notice no. 3086, published July 8, 1999 in the Federal Register, Vol. 64, No. 130). Nations that are certified pursuant to Section 609 of P.L. 101-162 will be listed in the U.S. Federal Register. An agent or representative of the exporter must complete, sign, and date the line designated for this purpose. If box 7A is checked, certification by an appropriate Government official of the harvesting nation is required in block 8.

**Block 8:**

If box 7A is checked, this section must be completed and signed by a responsible agent of the government of the exporting country. This may be any official so designated for this purpose provided that such designee is employed on a full-time basis by the government of the exporting nation. Governments may not delegate the authority to certify the forms to a representative of the private sector or to a part-time government employee.

**Block 9:**

The U.S. importer or customs broker should insert the date of entry, port of entry, entry number, and sign this section upon receipt of this form and its corresponding shipment.



# SHRIMP EXPORTER'S/IMPORTER'S DECLARATION

(SEE INSTRUCTIONS ON REVERSE)

OMB APPROVAL NO. 1405-0095  
EXPIRATION DATE: 09/30/2010  
ESTIMATED BURDEN: 10 minutes\*

1. Harvesting Nation		2. Aquaculture Facility <i>(If applicable) (Name and Address)</i>					
3. Exporter <i>(Name, Address, and Telephone/Fax)</i>		4. U.S. Importer/Ultimate Consignee <i>(Name, Address, and Telephone/Fax)</i>					
5. Date of Export <i>(mm-dd-yyyy)</i>							
6. Description of Product							
U.S. HTS Tariff Schedule Number	Number of Units	Net Weight in Kilograms					
<p>7. Exporter's Declaration <i>(To be completed by a responsible agent of the exporter of the product)</i></p> <p>I hereby declare that the shipment of shrimp accompanying this declaration <i>(check one)</i>:</p> <p>A. <input type="checkbox"/> Harvested in a manner not harmful to sea turtles. Check the condition of harvest which applies:</p> <table style="width:100%; border: none;"> <tr> <td style="width: 50%;">1. <input type="checkbox"/> Harvested by aquaculture</td> <td style="width: 50%;">2. <input type="checkbox"/> Harvested using TEDs</td> </tr> <tr> <td>3. <input type="checkbox"/> Harvested using non-mechanical net retrieval or by special gear (see the Instructions)</td> <td>4. <input type="checkbox"/> Shrimp harvested in a manner or under circumstances determined by the Department of State not to pose a threat of the incidental taking of sea turtles</td> </tr> </table> <p>B. <input type="checkbox"/> Harvested in the waters of a nation currently certified pursuant to Section 609 of P.L. 101-162.</p>				1. <input type="checkbox"/> Harvested by aquaculture	2. <input type="checkbox"/> Harvested using TEDs	3. <input type="checkbox"/> Harvested using non-mechanical net retrieval or by special gear (see the Instructions)	4. <input type="checkbox"/> Shrimp harvested in a manner or under circumstances determined by the Department of State not to pose a threat of the incidental taking of sea turtles
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Exporter <i>(Name and Title)</i>		Signature	Date <i>(mm-dd-yyyy)</i>				
<p>8. Government Certification <i>(Necessary only if box 7a above is checked; to be signed by a responsible Government official of the harvesting nation)</i></p> <p>I hereby declare that the statements signed above by the exporter of this shipment of shrimp are true and accurate to the best of my knowledge.</p>							
Name/Agency/Title	Address/Telephone/Fax	Signature	Date <i>(mm-dd-yyyy)</i>				
9. Import Information <i>(To be completed by U.S. importer or Customs broker)</i>							
Date of Entry <i>(mm-dd-yyyy)</i>	Port of Entry	Entry Number	Signature				

**THIS FORM MUST ACCOMPANY ALL SHIPMENTS OF SHRIMP AND SHRIMP PRODUCTS INTO THE UNITED STATES**

\*Public reporting burden for this collection of information is estimated to average 10 minutes per response, including time required for searching existing data sources, gathering the necessary data, providing the information required, and reviewing the final collection. Persons are not required to respond to the collection of this information in the absence of a valid OMB approval number. Send comments on the accuracy of this estimate of the burden and recommendations for reducing it to: U.S. Department of State (A/ISS/DIR) Washington, D.C. 20520.