

1 recombinant assay might have certain merits.

2 The advantages of an RCL assay is, of
3 course, it is going to detect--again, this is in
4 vitro, and I really want to stress that-- most of
5 the time when I think about concerns for RCL, it is
6 the ultimate RCL, it is the RCL in vivo that is
7 going to potentially cause disease, but the
8 advantages for the RCL assay in vitro is to guard
9 against RCL.

10 I am not saying we shouldn't do that, we
11 should, but I do think, under the quality
12 controlled environments, it is ever going to be
13 detected.

14 What are the disadvantages? As I have
15 said, it is not predictive against the emergence of
16 RCL in vivo, and it is not informative. It is not
17 informative in many important respects in my
18 opinion, as it relates to recombinants that will
19 likely be formed.

20 It doesn't give you any information about
21 the composition of recombinants, if they are
22 formed. It doesn't tell you anything about the
23 functionality or the replication potential, and I
24 think that is a key word which I will come back to,
25 potential of the recombinants.

1 It doesn't give you information about how
2 the host will interact with the recombinants, nor
3 how the recombinant will interact with the host.

4 Finally, I guess bottom line, it doesn't,
5 in my view, tell you much about the risk that the
6 vector will pose to the treated person.

7 [Slide.]

8 PCR assays. The advantage is they can
9 detect vector and/or packaging-specific DNA, as Dr.
10 Kingsman just pointed out. The disadvantages
11 relate to specificity and the similar points I made
12 about biological specificity for RCL assays.

13 [Slide.]

14 The advantages for a gag-pol recombination
15 assay would include the enablement of the
16 monitoring of vector stocks for what I called
17 pre-RCR. Specifically, these recombinants
18 represent a coding region with a functional
19 gag-pol, and would likely contain or be flanked by
20 LTRs.

21 So, what is the significance of this
22 pre-RCR?

23 [Slide.]

24 The significance is several fold. It
25 shows gag-pol-vector recombinants are produced, so

1 it tells you that you are generating a recombinant
2 with functional gag-pol.

3 Without functional gag-pol, and I depict
4 that as this structure, RCL cannot be generated in
5 primary transduced cells, and importantly, nor can
6 that recombinant, if it lacking this structure, or
7 the vector if it is lacking a recombinant which
8 contains this structure, lead in vivo over the
9 course of time to the emergence of RCL.

10 I think this is a different wording of
11 what I have just said. Functional gag-pol is
12 required for the recombinant to generate RCL in
13 vivo.

14 Thus, my point, in vitro monitoring for
15 functional gag-pol-containing recombinants provides
16 a tangible way to analyze lentiviral stocks in
17 vitro for their potential to generate RCL in vivo.

18 [Slide.]

19 I have summarized or I have attempted to
20 summarize all of what I have just said here. My
21 hypothesis is that recombination will be formed and
22 that instead of going this pathway, the blue box is
23 supposed to represent the in vivo situation, where
24 you take this vector which contained recombinants
25 that would not be RCL, you place it in the

1 individual. You don't know what is going to happen
2 with respect to recombination in vivo and you don't
3 know whether it is going to lead to RCL.

4 Alternatively, if you use the gag-pol
5 recombination assay, my hypothesis is that it could
6 serve as a surrogate to predict the risk of this
7 vector stock for generating RCL in vivo. Thus, you
8 avoid the unknown pathway.

9 [Slide.]

10 So, this is where I was going to start.
11 Believing that genetic recombination would occur,
12 we wanted to understand how recombination could
13 compromise or could generate forms that would pose
14 a risk in vivo.

15 At this time, tat transfer assays, gag
16 transfer assays, RCR assays were negative, so we
17 devised an approach to detect, but more
18 importantly, to enrich for the presence of the
19 recombinants, and our idea was that if we could
20 detect recombinants and enrich them, then, they
21 could be characterized both genetically and
22 biologically.

23 [Slide.]

24 Here is the approach. This represents a
25 HeLa cell into which is integrated this genetic

1 structure. It contains an HIV LTR and importantly,
2 puromycin. The point is that we transduce using a
3 lentivector, HeLa cells to introduce this structure
4 and screen these HeLa cells for sensitive induction
5 of puromycin resistance by tat expression, so that
6 with a single copy of virus in this cell,
7 sufficient puro could be expressed, that the cells
8 would grow and confer resistance in the presence of
9 5 micrograms or more per mL of puromycin.

10 [Slide.]

11 The notion was that if we could use this
12 cell line where LTR was turned by tat, it might
13 provide a more sensitive means to both detect and
14 then select and enrich for recombinants. So, we
15 generated these vector stocks, and the hypothesis
16 was that if the vector, shown on the ends, and the
17 packaging constructs, shown in the middle, in this
18 case tat is highlighted because the key element
19 that would need to be present in any recombinant is
20 tat.

21 Then, tat would be expressed if this
22 recombinant was formed and integrated, up-regulate
23 the LTR and puro, conferring resistance, allowing
24 us to grow this to large numbers and analyze the
25 outcome.

1 [Slide.]

2 Before showing the data, I would first
3 like to point out that I realize that there are
4 what I am calling state-of-the-art vector
5 components, third generation packaging construct,
6 SIN vectors, and what I am calling the trans-lenti
7 vector, which I will talk more about later, but as
8 I just described, the system we first started using
9 requires tat.

10 It requires tat to up-regulate puromycin
11 selection. That was the design of our approach.
12 So, those initial experiments were done using a
13 packaging construct which contained tat and rev.
14 It is a second generation-like packaging construct.

15 The vector contained GFP as a reporter,
16 and three components were transfected into 293 T
17 cells, vector stocks were produced and titered, and
18 10^7 infectious units of these virions were used to
19 infect this LTR puro cell line to screen for
20 resistant colonies.

21 What we found is in the absence of
22 nevirapine, which is an HIV-1 specific RT
23 inhibitor, approximately 1,000 colony-forming units
24 were formed, and what does that suggest? It
25 suggests that indeed recombination occurred between

1 the vector, again flanking the ends, and the
2 packaging construct. It doesn't necessarily
3 indicate that a recombinant, as I have depicted,
4 was formed with a gag-pol reading frame, only that
5 tat was present.

6 Importantly, with nevirapine, we find no
7 resistant colonies, indicating that this is
8 specific or dependent upon HIV-1 reverse
9 transcription.

10 [Slide.]

11 To try to address more specifically the
12 question as to whether recombinants are formed that
13 contain functional gag-pol, we used the approach
14 depicted here. This is the first step I showed on
15 the last slide. If this recombinant is present,
16 and if the gag-pol open reading frame is
17 functional, what we would expect is for that
18 infected cell--now, remember what we have done is
19 grown this out, there could have been very few of
20 these originally, but through selection we have
21 grown them out, selected them, and we have a
22 purified culture of recombinant-containing cells.

23 If this recombinant-containing cell
24 contains a recombinant, it is functional in
25 gag-pol, it should produce virions, that if

1 pseudotyped as I show here, we transfected VSV-G
2 into this cell culture, could be mobilized and
3 mobilize its own RNA.

4 So, what we did in following through on
5 that experiment was transfer the supernatants
6 produced from this cell line, and again transfected
7 not just with VSV-G, but as I was alluding to when
8 I made a comment to Dr. Kingsman earlier, tat and
9 rev, to maximally stimulate and thereby detect the
10 presence of these recombinants.

11 We took the supernatant, applied them to
12 naive LTR puro cells, and detected 540
13 colony-forming units, indicating that indeed in the
14 original transduced cells there were recombinants
15 produced, suggested through the process of reverse
16 transcription by this control, that contained not
17 just LTRs, but open reading frames for gag and pol
18 and tat.

19 [Slide.]

20 So, from the expanded culture--and this
21 isn't the expanded culture, of course, but it is
22 what I have used for illustration--we extracted the
23 high molecular weight DNA and analyzed the five
24 prime end by PCR amplifying this fragment, and that
25 is shown here. This is a proviral control.

1 [Slide.]

2 So, we have a fragment that looks like the
3 appropriate molecular weight, and by sequence
4 analysis, indeed, what we found is that the vector
5 sequence was joined to the packaging construct
6 sequence, and of colonies we picked and analyzed,
7 all 10 contained this sequence as you might surmise
8 based on the requirement for an open gag-pol
9 reading frame.

10 [Slide.]

11 If we use the same approach, that is, PCR
12 amplification of a DNA fragment to amplify
13 recombination on the 3-prime end of the vector, we
14 also found joined in between the vector and
15 plasmid, and I depict that differently because I
16 think it is not just an interesting, but an
17 important point with respect to DNA mobilization
18 and recombination.

19 This is the 3-prime end of the vector, so
20 up here somewhere, reverse transcription initiates
21 and generate the strong stuff DNA, the RU5, which
22 jumps or translocates to the 3-prime end of the
23 vector through the sequence homology with R.

24 So, now you have a stranded DNA and the
25 vector RNA. The polymerase continues reverse

1 transcription and what we have found by our
2 sequence analysis actually switches templates into
3 the packaging construct RNA, and we found of 10
4 analyzed, four different forms. They are depicted
5 as a recombinant that crossed over into the 63rd A
6 of the poly(A) tract, the 53rd and 47th, and this
7 position I believe was at 108 nucleotides upstream
8 of U3. Yet, again that happened at this position,
9 which was 58 or some other number of nucleotides
10 upstream of U3.

11 [Slide.]

12 The details of that data which I just
13 showed are depicted here, but I won't bother to
14 review it unless somebody has questions.

15 [Slide.]

16 So, the question then was if we
17 remove--let me back up a little bit to try to get
18 to the point I was trying to make early, so I
19 should take advantage of that.

20 All the splits we have made, which are
21 fundamentally accepted as state-of-the-art in
22 vector technology, have these theoretical
23 possibilities associated with them because they
24 don't absolutely eliminate the potential for
25 something, whether it's RNA expression or

1 pathogenesis or what have you.

2 With that in mind, our approach was to
3 eliminate the reverse transcriptase and the
4 integrase gene from the packaging construct. The
5 point is without reverse transcriptase and/or
6 integrase, but especially both, even if you had a
7 recombinant that was formed between the packaging
8 construct and the vector, that recombinant itself
9 would have no potential to potentiate the
10 development of RCL in vivo.

11 Importantly, as Dr. Kingsman pointed out,
12 we can analyze the vector for the absence or the
13 presence of these sequences, either genetically, as
14 she described, or functionally, as I have begun to
15 describe.

16 [Slide.]

17 So, now we take a new stock of vector that
18 I call trans-lenti, trans-lenti virus, TLV,
19 generated where reverse transcriptase and integrase
20 are provided in trans. They are separated from the
21 packaging construct, provided in trans via fusion
22 with vpr, and we measure whether that vector can
23 produce resistance colonies, as I have shown
24 earlier, again using the same titer of vector 10^7
25 particles, we infect this cell line.

1 Then, we collect the supernatant after
2 introducing VSV-G and tat and rev to test whether
3 this vector contains this genome that can be
4 mobilized, and the answer is no. However, I should
5 point out that if in addition to VSV-G and tat-rev,
6 we also transfect back into this culture, vpr, RT
7 integrase. I don't have that data to show.

8 Then, we produce positive colonies, and
9 what is the significance of that? It directly
10 tells me direct data, that the block in DNA
11 mobilization that I show here is due to the removal
12 of RT and integrase, where it is provided in trans
13 in the original stock.

14 [Slide.]

15 So, to summarize these data, recombination
16 occurs between the lentiviral packaging construct
17 and gene transfer vector. Integrated recombinants
18 express viral proteins. They express, in this
19 case, tat, gag, gag-pol. These recombinants
20 produce progeny virions that are envelope
21 deficient, however, if they are pseudotyped, they
22 can mobilize RNA to naive target cells.

23 [Slide.]

24 In particular, I wanted to stress the
25 point I made about recombination within the poly(A)

1 tract. What does this tell us? It confirms,
2 number one, that genetic recombination has occurred
3 during reverse transcription, and it helps
4 substantiate that this is not an artifact. There
5 are many types of questions and experiments we
6 conducted to try to minimize false positives, if
7 you will, but this is one of the strongest data
8 that we have to indicate that this is actually
9 occurring during reverse transcription as a result
10 of inadvertent incorporation of other messenger
11 RNAs into the very vector you are generating for
12 treatment.

13 It also suggests that removal homologous
14 sequences from the vector and the packaging
15 construct may not itself be sufficient to prevent
16 mobilization.

17 In addition, this might represent a
18 mechanism by which genes without homologous
19 sequence can be mobilized, including endogenous
20 genes, and there is actually data published in 1988
21 using an avian retrovirus to show that oncogenes
22 could be mobilized by a recombination event that
23 occurred in the poly(A) tract of the oncogene
24 message.

25 [Slide.]

1 So, that is really old data. The big
2 question for my lab in the more recent future was
3 how about the state-of-the-art lentiviral vectors,
4 so what I compared are those listed here - third
5 generation packaging construct, a SIN vector, and a
6 trans-lenti, and I should point out these don't
7 contain tat or this doesn't contain tat, so we had
8 to devise a new approach, but it is also worth
9 pointing out, not because of the approach, but just
10 because it's different, that the trans-lenti system
11 still contains tat.

12 [Slide.]

13 Vector stocks were generated and I want to
14 point out that for third generation vector, we had
15 a titer of 10^8 . We also generated a stock with
16 third generation packaging constructs/SIN vector
17 also 10^8 and a transvector at 10^9 , not because we
18 can produce more, in fact, just the opposite is
19 true, and we can talk about that later, but because
20 I wanted to understand the differences with respect
21 to the endpoint I will show.

22 Go back mentally at least to the HeLa puro
23 line. The idea is that since now we don't have
24 tat, we need to rely on mobilization of something
25 else, so that HeLa puro line contained a lentiviral

1 vector introduced fragment, which contains a
2 packaging signal.

3 The idea here is when we infect this
4 virus, if a recombinant such as is depicted here
5 was to be produced, when it expressed gag-pol,
6 formed particles, perhaps this message would be
7 encapsidated, and if it was, it could be mobilized
8 and detected in a cell line which constitutively
9 expresses tat under control of CMV, because now we
10 are mobilizing this, remember we need tat still, so
11 once it is in here, once this message is mobilized,
12 reverse transcribed integrated, we still need tat
13 for upregulation to confer puro resistance.

14 [Slide.]

15 The data for the results are here. For
16 third generation at a titer of 10^8 , we detect
17 whatever this is, 50 resistant colonies. For
18 envelope minus virus, it detects zero, and these
19 are really important controls, too, because I think
20 we had to be extremely critical of ourselves in
21 understanding how these data might not actually
22 represent what I depicted earlier.

23 The vector minus control is a very
24 important control. The concern was the possibility
25 that even without integration, the trans, the

1 packaging construct, the third generation packaging
2 construct could be expressed and encapsidate the
3 message which transfer puro resistance, but without
4 vector, it, too, is negative.

5 The third generation SIN vector is
6 positive, and this is an interesting result perhaps
7 worthy of discussing later, because if you look at
8 the published data, you would expect there to
9 perhaps be a difference of 1,000 or 10,000-fold as
10 compared to a non-SIN vector, but that is not the
11 case.

12 I have had some ideas as to how that might
13 be explained, and the transvector, even at 10^9 is
14 zero.

15 [Slide.]

16 So, in conclusion, at least for this part,
17 the third generation packaging construct and SIN
18 vector generate recombinants with functional
19 gag-pol capable of mobilizing DNA, and when I say
20 "capable," these are envelope minus recombinants,
21 only capable if they have pseudotype properties.

22 Separating RT and integrase from the
23 packaging construct decreases the frequency by
24 approximately 2 orders of magnitude.

25 Again something I said earlier, but I

1 think it is important, since a functional gag-pol
2 genetic structure is absolutely required for the
3 generation of RCL, and this includes not just in
4 vitro, but over the long term in vivo, monitoring
5 vector stocks for the production of envelope minus
6 gag-pol-containing recombinants may serve as an in
7 vitro surrogate marker to control against the
8 generation of RCL in vivo.

9 The trans-lenti vector design is
10 particularly amenable, but certainly not required
11 for this type of testing.

12 [Slide.]

13 I would like to shift gears and show some
14 other data because although I argued against this
15 earlier, most of the data or maybe all of the data
16 is really potential or theoretical based, that is,
17 what value do these envelope minus recombinants
18 really have.

19 Well, I think with respect to utility,
20 they can serve as a pre-RCL measurement that might
21 have some benefits compared with more conventional
22 RCL assays, but the point I am getting at, are
23 there other issues with respect to these types of
24 recombinants that might be generated when it comes
25 to biological safety, that is, are they

1 biologically significant.

2 [Slide.]

3 There is a lot of data that suggests that
4 envelope is not required for virus transmission,
5 and I didn't say that well, because it is much too
6 strong, but let me walk through this.

7 It is known for quite some time now that
8 cellular membrane proteins are incorporated into
9 virions during virus binding. It is also known
10 that this initial binding of HIV to its target cell
11 does not require an interaction between the
12 receptor and the envelope glycoprotein.

13 There are other factors, probably those
14 related to the point here, cell-derived factors
15 that are actually necessary for mediating at least
16 predominantly that initial interaction between the
17 virus particle itself and the surface of the cell.

18 Interaction between cell-derived membrane
19 proteins and receptors on the cell surface
20 facilitate initial binding. Interaction between
21 cell-derived membrane proteins and cellular
22 receptors can support HIV infection. There are
23 three or four paper published in 1997 and 1998
24 about this. Let me explain because it is not quite
25 fair I don't think without explaining.

1 In this case, what was demonstrated is
2 that you could express CD4 in a cell that was
3 producing HIV that did not contain an envelope, and
4 that envelope now budding from the cell and
5 acquiring the cell membrane with it as it buds, is
6 expressing CD4, can interact with the
7 GP120-expressing cell, fuse, and infect.

8 But the point is that this relationship
9 between whether a specific envelope is required for
10 infection, and simply by removing it, might also
11 not be enough, and, in fact, the most compelling
12 data was published actually this is 2000, in
13 December, by Irving Chen's group, that HIV envelope
14 minus virus can infect CD4-negative cells.

15 It is on that premise that I set up the
16 experiments which I will show now, which actually
17 shows that is not just true for HIV, but also for
18 HIV-based vectors.

19 [Slide.]

20 Also, to reiterate, or maybe to emphasize
21 my point, as it pertains to these experiments, why
22 are we concerned about this structure, this
23 recombinant if it exists?

24 If it is an envelope minus recombinant
25 that produces envelope minus virions, and it's

1 mobilized, even independent of specific receptor
2 envelope glycoprotein interactions to another cell,
3 the point is every time it replicates, it
4 potentiates the opportunity for additional
5 recombination especially, if you think about it in
6 vivo over the long term for the possible emergence
7 of RCL.

8 [Slide.]

9 So, how do we design these experiments?
10 We took envelope minus HIV-1 vector, real simple,
11 CD4 minus cells, and asked four questions. Do the
12 virions bind, do they synthesize DNA? If they
13 enter, what is the route of entry, and do they
14 actually infect these CD4 minus cells?

15 [Slide.]

16 The first question, do they bind? These
17 virions are green fluorescent virions. I won't
18 give you all the background, but using vpr, similar
19 to that which I showed for RT integrase, we could
20 incorporate enough green fluorescence protein into
21 the virus particle by expressing vpr-GFP in trans
22 to HIV-1, or in this case, to the vector. So, GFP
23 is incorporated into the virion and under confocal
24 microscopy analysis, we can actually detect single
25 virions. Those are depicted by the green spots.

1 So, using this method, what we have
2 demonstrated--I will move directly to the point--is
3 that even envelope minus virus can bind with equal
4 efficiency to both CD4-positive and CD4-minus
5 cells.

6 [Slide.]

7 So, how about DNA synthesis, what is the
8 consequence after binding to CD4-minus cells? Two
9 types are shown, 293T and HeLa. GC53 is a HeLa
10 cell that contains CD4 and CCR5 that is used as a
11 positive control.

12 The pluses indicate infection with the
13 vector, and here the pluses indicate 3TC, which is
14 anti-RT inhibitor as a control. We are detecting
15 early. That is strong-stop and full length or
16 nearly full length, what I call R-gag DNA products
17 of reverse transcription in these cells.

18 What is shown is that the envelope minus
19 vector can not just bind as I show on the previous
20 slide, but synthesize strong-stop and full-length
21 viral DNA in these HeLa, and 293T CD4-minus cells.

22 In the presence of 3TC, that synthesis is
23 blocked meaning that this is not virus-associated
24 DNA. It requires entry into the cell.

25 [Slide.]

1 We were interested in the route of entry,
2 as I mentioned, so we did an experiment, and this
3 certainly isn't a comprehensive analysis, but the
4 experiment involved the treatment of the cells with
5 Bafilomycin A. Bafilomycin A inhibits a proton
6 pump that acidifies endosomes.

7 The idea is that if the virus, which
8 contains no envelope enters the endosome, which has
9 been shown by others, and synthesizes DNA, we
10 should be able to inhibit that using Bafilomycin.
11 Indeed, that is the case.

12 With envelope-minus virus in the absence
13 of Bafilomycin, we have DNA synthesis, but in the
14 presence of I think it's 100 nanomolar Bafilomycin,
15 we inhibit by about 5-fold the levels of DNA
16 synthesis.

17 Importantly, this control isn't affected.
18 This is envelope-positive virus, isn't affected by
19 Bafilomycin because it takes a different route for
20 entry, membrane fusion.

21 [Slide.]

22 I don't think I am going to try to
23 describe all this data in detail, but the important
24 take-home message is that in HT1080 cells, which is
25 a tumor line derived from connective tissue, and in

1 a TU139 cell line, which was reported by Irving
2 Chen, it's a gingival cell line, we find that the
3 envelope-minus virus indeed is infectious, that is,
4 it forms provirus. It's a bona-fide infection.

5 This infection, very interesting, in the
6 case of HT1080, is not substantially or is
7 inhibited by Bafilomycin as might be suspected from
8 the slide I showed previously where we inhibit DNA
9 synthesis by Bafilomycin, but in the TU139 cells,
10 it is not inhibited, suggestive of two receptor
11 glycoprotein independent pathways for entry, this,
12 through an acidified endosome, and here,
13 independent of the endosome, perhaps through some
14 other membrane interaction.

15 [Slide.]

16 I am going to move through these slides
17 very quickly. I wanted to point out that the
18 trans-lenti virus vector, which I have been
19 discussing, has properties very similar to those of
20 lentiviral vectors with respect to gene
21 transduction in targets that I think are of
22 relevance.

23 Those include hematopoietic stem cells,
24 central nervous system, and the eye.

25 [Slide.]

1 In a study done in collaboration with Tim
2 Townes at UAB, we took purified bone marrow cells
3 from mice, we transduced them with both
4 lentiviral--and this data is published--and
5 trans-lenti viral vectors, and grafted them into
6 lethally irradiated mice, and after 16 weeks,
7 analyzed the percentage of those cells which were
8 expressing, in this case, GFP. What we find is the
9 lenti and trans-lenti were very comparable, and
10 importantly, that the bone marrow and this
11 phenotype could be transferred by secondary
12 transplantation, suggesting that we indeed
13 transduced the stem cell.

14 [Slide.]

15 That is my depiction of our in vivo data
16 for transduction of neurons.

17 [Slide.]

18 Here, the eye. Even after I believe it's
19 180 days, injection of trans-lentiviral vector into
20 the subretinal space leads to the RPE cell layer
21 being GFP-positive.

22 [Slide.]

23 So, in conclusion, the formation of
24 proviral DNA recombinants with a functional gag-pol
25 coding region may increase the risk of RCL. In

1 vitro monitoring for functional gag-pol-containing
2 recombinants, pre-RCL if you will, may serve as a
3 surrogate marker to control against the emergence
4 of RCL in vivo.

5 The trans-lentiviral vector design splits
6 the gag-pol function and is therefore particularly
7 well suited for this type of quality assurance.

8 [Slide.]

9 I have described this, but I thought I
10 would show it one more time because the transvector
11 design might be better suited for this approach if
12 we find that the lenti design is generating
13 recombinants that contain gag-pol.

14 [Slide.]

15 My acknowledgments are to my lab at UAB,
16 the work I mentioned with Tim Townes, Lori McMahon,
17 she was involved in the slide which wasn't shown
18 very well, where we transduced neurons, and Jean
19 Bennett for the work in the eye, and John
20 Wakefield, who is an employee of Tranzyme, who did
21 most of the recombination work.

22 Thank you.

23 [Applause.]

24 DR. SALOMON: I was trying to think of the
25 best way to do this, and I would accept some

1 feedback here. I thought possibly one way to do it
2 is as the next thing we need to do is get on to the
3 questions, and the first questions are the safety
4 data which makes a nice segue into what Dr. Kappes
5 just presented, would be to take a break now and
6 then 10 minutes, and come back and have Dr. Kappes
7 still here and begin the questions.

8 I need a break. Okay.

9 [Break.]

10 **Open Public Hearing**

11 DR. SALOMON: One of my duties is to again
12 reiterate a welcome to anyone from the public to
13 address the Committee at this kind of juncture,
14 which at one of several points where we can hear
15 semiofficial or unofficial open public comment.

16 I think, as you all know, I have not made
17 any effort to restrict the public from getting
18 involved at any point here, but I just want to
19 invite anyone who would like to. We haven't heard
20 any official requests, and I don't see anyone going
21 to the podium.

22 [No response.]

23 **Committee Discussion of Questions**

24 DR. SALOMON: It's 4:30, and I am going to
25 do my best in an hour and a half to begin some

1 discussion on Dr. Kappes' talk and sort of the
2 implications and weave that into Question No. 1,
3 and then if you will forgive me, at some point I
4 will make an artificial distinction because in an
5 hour and a half I want to get through these three
6 questions.

7 What safety data should be available prior
8 to initial use of HIV-based lentivirus vectors in
9 Phase 1 clinical trials?

10 They are asking us to consider really the
11 elements that I think have been set up very well up
12 until now by the discussions, and I think
13 highlighted very nicely by Dr. Kappes' talk in
14 which he kind of took a different attitude about,
15 well, maybe replication-competent lentivirus assays
16 isn't exactly the best way to go, and I think we
17 have kind of gone back and forth on that.

18 So, why don't we start there. I know we
19 had one question waiting at the end. Susan.

20 DR. KINGSMAN: In my past days as a
21 trustworthy academic, I did a lot of work on the
22 stoichiometry of gag and gag-pol in particles
23 because, of course, the way the virus is set up, is
24 to make 20 gags to every one gag-pol. It is quite
25 a precise stoichiometry, and if you get that wrong,

1 you tend to get a lot of aberrant particles, tubes,
2 and strange shaped things.

3 So, I wondered how your system got the
4 stoichiometry right and whether you have done any
5 EM studies to look at the homogeneity of the
6 preparations.

7 DR. SALOMON: Can I clarify one thing?
8 You are talking about his system?

9 DR. KINGSMAN: Yes, his very special
10 vpr-gag, vpr-pol system.

11 DR. KAPPES: It's an important question
12 and fortunately, we began addressing that question
13 far before we had--"we" meaning my lab I
14 suppose--any interest in using HIV as a vector. I
15 have studied virus assemblies since I went to
16 Birmingham 15 years ago, and we were interested in
17 understanding, using vpr, how questions could be
18 asked relating to the fundamentals of virus
19 assembly, that is, what role does gag-pol really
20 play in virus assembly.

21 So, that from perspective, we were working
22 with HIV and optimizing the system in many regards,
23 which aren't obvious from the data that I showed.
24 But your point is well taken and it is not so
25 simple as depicted where you transfect in whatever

1 it is, 4 micrograms of this and 3 micrograms of
2 that, and wham, you get a vector which is of
3 sufficient titer.

4 We can generate titers similar to Lenti,
5 but there is always a difference. We have never
6 been able to optimize the system to generate a
7 titer that is as high as Lenti. If we had done the
8 experiment 100 times, and you took an average, it
9 is probably 3- to 5-fold less because of the point
10 that you make.

11 There is a stoichiometry. There is even
12 other factors perhaps, and, for example, not to go
13 into--well, to deviate too far from your question,
14 our data would suggest that RT integrase,
15 intermediate, which is produced during maturation
16 of the virus particle, plays an important role in
17 the formation of an infectious nuclear protein
18 complex.

19 So, there are a lot of factors, and a
20 titer that I showed is the best we can get which
21 compares with Lenti by a 3- to 5-fold reduction.

22 DR. SALOMON: What I want to do is stay
23 focused. I don't think that the point here, albeit
24 quite interesting, would be the idea that there is
25 maybe yet another delivery vector in development

1 after what we are doing with Lenti. I don't think
2 that is what we are supposed to be talking about
3 right at this second.

4 I think that what I would like to do is
5 stay on track with what the implications are of
6 what Dr. Kappes presented, what Dr. Kingsman
7 presented, and the others, in the context of this
8 first question.

9 Earlier on, I tried to articulate the idea
10 that, well, one of the things that was in front of
11 us was what would be the definition of a
12 replication-competent lentiviral assay, and we made
13 some discussion of that. I am not certain we
14 nailed it, but we made some discussion of it.

15 But now I guess the question would be,
16 thanks to Dr. Kappes and the discussion that
17 ensued, is a replication-competent lentiviral assay
18 the best sort of assay to hold out, and if it is
19 not, we need to have some discussion about what
20 would be reasonable alternatives to an RCL assay.

21 Have I got that right? I think that was
22 the strategy here.

23 Do you want to start with a comment on
24 that specifically now?

25 DR. KAPPES: I think I will just reiterate

1 what I presented. I think recombination is likely,
2 I think we will, if you analyzed titers that are
3 likely to be used therapeutically, generate
4 recombinants that contain LTR, albeit perhaps SIN
5 LTR, gag, pol, LTR.

6 If that is true, you essentially have the
7 essential retrovirus architecture minus envelope.
8 So, I would suggest that measuring for recombinants
9 which contain functions that are absolutely
10 required to perpetuate the risk of generating RCL
11 in vivo would be a feasible and perhaps even
12 reasonable means of trying to get out at safety.

13 DR. SALOMON: So, following that along,
14 Dr. Kingsman suggested a similar premise, that the
15 gag-pol was absolutely required for a
16 replication-competent lentivirus, and I think that
17 is the same premise you are making.

18 So, the assay that she and her colleagues
19 are proposing is one where you amplify it in a
20 target cell line. For right now I don't want to go
21 into the morass of whether the target cell line
22 should be horse or whatever. I think that is an
23 interesting discussion, but maybe not right this
24 second.

25 You amplify it in a target cell line, an

1 appropriate target cell line, and then you use a
2 PERT, product amplified reverse transcriptase
3 assay, versus your strategy, which is I guess you
4 would put it into this tat, puro, HeLa cell
5 transfectant, right? And then look for puromycin
6 resistance.

7 Now, there, your amplification, instead of
8 being PERT, is the selection on puromycin, correct?

9 DR. KAPPES: Right, and also you are
10 analyzing for a functional gag-pol, wherein Dr.
11 Kingsman's approach, just the presence of reverse
12 transcriptase or whatever was being monitored, that
13 would indicate a recombinant, would be enough to
14 reject the stock perhaps.

15 DR. SALOMON: Right. So, the RT activity
16 in the viral particle itself could give a false
17 positive in the PERT assay. She gets around that
18 by amplifying it in the target cell line.

19 DR. KAPPES: Another difference is that I
20 think you can imagine all kinds of recombinants,
21 and I could be completely wrong, especially from
22 packaging cell line won't be recombinants, but if
23 there are, the recombinant itself doesn't pose a
24 problem, so detecting the presence of the
25 recombinant, if it's not functional, perhaps isn't

1 a biological concern.

2 DR. SALOMON: So, if I have stated it
3 right, we have got RCL assays, we have a PERT assay
4 after amplification in a target cell line, and we
5 have a gag-pol assay that is based on an
6 amplification on the puro resistance in this
7 tat-HeLa model.

8 Any comments about relative values? Dr.
9 Rao.

10 DR. RAO: I actually just wanted to check,
11 and maybe I have missed something here, but let's
12 take the cell genesis system where they are looking
13 at an RCL assay, and they tried to do one
14 additional assay where they used a VSV-G envelope.

15 I am trying to see whether they were
16 actually now trying to assay the gag-pol, the
17 combination events that have occurred, and whether
18 they could just use that as a modification or an
19 additional part of the RCL assay to pick up those
20 recombination events, and whether that would be a
21 simple test in their mind rather than having to do
22 a separate test at all, and whether there would be
23 any relevance.

24 DR. VERES: Yes, I had those backup
25 slides. We have a 293G cell, which expresses the

1 VSV envelope, but under tetracycline control. So,
2 theoretically, if we generate sort of partial
3 recombinant, which is basically a function of the
4 core without an envelope, that could be transfected
5 into the cells and further passage and basically
6 amplified.

7 So, if it's a fully functional core with
8 full gag-pol, rev, and everything in it, that could
9 be passaged and basically using p24 assay, it will
10 be amplified and detected after that.

11 DR. RAO: Do you think that that would be
12 a reasonable assay in terms of detecting intact
13 gag-pol?

14 DR. KAPPES: I think it could be, however,
15 an important difference, as Gabor just pointed out,
16 it would require additional recombinations, that
17 is, by adding envelope alone, you wouldn't detect
18 the recombinant unless the other genetic components
19 of the original vector were also present, that is,
20 that they also recombined.

21 In my approach, remember--maybe I walked
22 through it too quickly--I provided additional
23 elements in trans, such as envelope, such as tat,
24 such as rev.

25 DR. VERES: Can I just reply to that? I

1 mean I do understand because you detect every sort
2 of recombination whether that is recombinant is
3 being functional or not. I mean the question is
4 whether this is really important if we consider
5 this is a product, do we want to detect which
6 potentially will be dangerous, or just do we want
7 to detect a recombinant which is there, but it is
8 actually not going to go any further, and there is
9 no potential that it is going to be dangerous.

10 DR. SALOMON: That is exactly what we are
11 supposed to be talking about, exactly, and that is,
12 is detecting that a safety factor.

13 DR. KAPPES: Well, let's walk through it
14 carefully and see. Again, we get into the
15 theoretical how, but we have a recombinant that is
16 LTR, even SIN LTR, packaging signal, gag, pol, LTR.
17 So, you have no rev, so you could make the argument
18 that that transcript will never be expressed, but
19 you can look at the literature and you find that
20 that is not true.

21 There is a huge difference in rev minus
22 and rev positive expression, but it is not
23 absolute. You look at the effect of the deletion
24 in U3 on expression of the recombinants message.
25 Again, you have a huge difference in the total

1 amount, but it is not absolute. You still have
2 expression.

3 So, if you are looking at a measure--and I
4 know I am going to extreme ends--but if you are
5 looking for a measure where I think you can
6 tangibly say that it is or is isn't, I think I
7 would look at an LTR, gag-pol LTR recombinant even
8 if it contains a SIN deletion, even if there is no
9 rev, as a potential risk to safety in vivo over the
10 long term.

11 DR. RAO: I just want to continue with
12 this thought just for one more second. If we
13 assume that you can do a test in 293G line, then,
14 one difference between the assay as Dr. Kingsman
15 suggested, and what you guys have suggested, is
16 really a matter of which method of amplification
17 you are using and how much time the assay takes.

18 In your mind, maybe I missed something, is
19 there a difference other than those two things that
20 I mentioned as being, you think one is more
21 sensitive than the other necessarily, or one is
22 intrinsically better than the other in your
23 opinion?

24 DR. KAPPES: I think one other important
25 difference is the functionality of the gag-pol. In

1 a biological assay, of course, you are going to
2 require that reading frame to be fully functional.

3 DR. RAO: Which the RCL in the 293G would
4 require.

5 DR. KAPPES: Either that or the assay I
6 described, yes.

7 DR. RAO: But not the assay that Dr.
8 Kingsman described.

9 DR. KAPPES: Not per se.

10 DR. SALOMON: But the assays are
11 dramatically different because, in the one assay,
12 you have to get infection of the target line. That
13 is what got into the discussion of you should use a
14 horse cell line or should you use the 293, or
15 should you use some other line.

16 Once you get infection to that line, the
17 efficiency of amplification of that line is an
18 issue. In Dr. Kappes' assay, you basically are
19 going into the HeLa, and you are amplifying on the
20 basis of the presence of just having that gag-pol
21 with a packaging sequence, because the rest he is
22 providing, and then you are selecting for puro, so
23 it is not based on the infection of the HeLa line,
24 right?

25 DR. RAO: I understand that. I was just

1 trying to say that if you have two assays and you
2 have to choose between them, do you have to say
3 that one is necessarily a shorter time period, but
4 equally effective, or is it both are equally
5 sensitive, one takes much longer to do because you
6 have to do an amplification and selection for five
7 weeks because that would be a concentration in any
8 kind of test that you select.

9 I understand that there are differences,
10 but the goal of those tests remains the same.

11 DR. KAPPES: In response to that, I will
12 point out the assay that I described needs to be
13 highly controlled and is difficult. It is very
14 labor intensive.

15 DR. MULLIGAN: I think to try to get done
16 by 6:00, I would very simply separate a real garden
17 grade variety replication-competent virus assay
18 from these other things and see if we can move
19 ahead from the first one.

20 I would say that from what we heard, Cell
21 Genesys, or Sue's, they are perfectly okay. They
22 are just like MLV. I wanted waste a lot of time
23 with details of which is a better assay. That is,
24 we want real garden grade variety
25 replication-competent virus tested, and it appears

1 that there are several different ways to skin the
2 cat.

3 Then, I think I wouldn't get too
4 complicated about which one of these other assays,
5 I think that is a significant issue relative to the
6 MLV field, which is do we care that we are
7 transferring HIV DNA sequences to recipient cells,
8 and I wouldn't make the distinction whether they
9 are gag coding or whatever. They are not
10 replication-competent. I would lump them basically
11 as they are HIV sequences and do we care.

12 Here is where this dovetails with the
13 different packaging systems, the transient systems,
14 the 2-plasmid, 3-plasmid, 4-plasmid, and I don't
15 think we are going to resolve anything more than
16 there are theoretical different values to these
17 different systems.

18 I would argue that I would want to know
19 what is transferred in terms of HIV sequences to
20 recipient cells. I don't know whether I would make
21 that a release criteria for the testing assay, but
22 I think it is very important to have that kind of
23 info, but I would not support institutionalizing
24 the need for a gag-pol assay, you know, that
25 transfers gag-pol.

1 So, I would be very conservative and say
2 we are in good shape with the good old-fashioned
3 assays, and I would leave it to people that want to
4 look for these other things and maybe encourage
5 people to look for these other things, but not to
6 go past that point.

7 DR. SALOMON: So, that is an important
8 answer. That is exactly the kind of answer that I
9 was looking for, and that was do we abandon an RCL
10 assay, which was the premise of some, and you are
11 saying no, you don't abandon an RCL assay, in fact,
12 if anything, you allow these other assays to be
13 developed and validated, but you stick with good,
14 old basic RCL assays.

15 Frankly, I am comfortable with that.

16 DR. BORELLINI: So am I. Based on what we
17 know, the point where the highest likelihood of an
18 RCL to be generated is probably not in vivo, it is
19 in the fermenter where you have 40 liters of cells
20 that are spewing out, the viral components.

21 So, this is where I think we need to test.
22 At that point, you need to test what represent the
23 biologically active threat, which is the RCL, not
24 the partial. The partial, I think would be very
25 important to test if we had a lot of data

1 indicating that once in vivo, there is partial, may
2 go and do all sorts of things, but I don't know,
3 from the HIV field, I am not sure the data has been
4 seen in patients that have HIV, picking up of
5 envelope sequences here and there, or endogenous
6 sequences here and there, I am not quite sure the
7 hazard has been seen.

8 I am looking at the HIV person.

9 DR. SALOMON: Well, there is certainly
10 data that HIV, had different strains of HIV move
11 around elements, envelope proteins, and LTRs in
12 patients with more than one species of HIV, unless
13 I am totally getting that wrong.

14 DR. BORELLINI: As has been seen, did they
15 pick up envelopes from, for example, the endogenous
16 retrovirus sequences, or other sequences that share
17 poly(A) or something? I am not aware that that has
18 been shown so far.

19 DR. SALOMON: Any other comments on this
20 question of RCL? Dr. Torbett.

21 DR. TORBETT: I guess I would agree with
22 Dr. Mulligan. I don't think we have to go to the
23 extra point of going way beyond what is already
24 standard. I think that the current assays are
25 appropriate. Again, I would agree that going

1 beyond in experimental systems to validate would be
2 useful, but it seems putting onerous and very
3 serious consideration to how to move forward will
4 slow down the field. The question is do we need
5 those. My answer I personally believe is no.

6 DR. KAPPES: I would like to follow up
7 with a point. If we ignore the recombinants which
8 contain functional gag-pol, we are assuming that
9 those recombinants have no possible harmful effects
10 in the patient who is being treated with the
11 vector.

12 Now, I would also like to compare that
13 scenario with what we have learned or haven't
14 learned for MLV, which highlights my concern for
15 these recombinants.

16 I showed data which suggests that even
17 though they are envelope-minus, they might
18 mobilize. In the case of MLV, in our 10 years or
19 whatever of experience, it would suggest to us that
20 if these recombinants existed in that system, that
21 they never grew into some monster, but keep in
22 mind, MLV doesn't infect non-dividing cells, so I
23 think we are comparing apples and oranges, at least
24 with respect to the point I am trying to make.

25 These HIV recombinants will have a

1 property unlike MLV in that they will be able, if
2 expressed, to mobilize their recombinant genome to
3 other cells, again perpetuating the opportunity at
4 least for additional recombination.

5 So, I am not quite so quick to give up the
6 notion at least that detecting these recombinants
7 don't have value for predicting the safety of your
8 vector.

9 DR. ALLAN: It just seems to me that the
10 issue of recombinant gag-pol, to me would have more
11 interest in (b) rather than (a) because of
12 mobilization with the wild-type HIV. I just have
13 one question.

14 In your assay system, where you are using
15 puromycin to select your recombinants, those are
16 actual cells that you are looking at, so some of
17 those, is that an amplification of a single--so if
18 you count 400 cells, could that one recombinant
19 cell that has grown out to 400?

20 DR. KAPPES: Very astute observation, that
21 is correct. That is exactly right. It could be
22 one recombinant-containing cell that mobilized 400
23 puromycin markers.

24 DR. ALLAN: I was suggesting that it was
25 one puromycin, it was one introduction into a cell

1 that was puromycin resistant, and then that cell
2 grew out.

3 DR. KAPPES: Each colony was a cluster of
4 cells.

5 DR. ALLAN: Okay, fine.

6 DR. SALOMON: So, recombination between
7 vector and wild-type HIV, just to sort of continue
8 on this roll, again, we have touched on this
9 several times, is there more to say about that?

10 DR. MULLIGAN: What is the difference
11 between (b) and (c)?

12 DR. SALOMON: They are related, but I
13 assume the concept in (b) is not mobilizing it, but
14 basically just recombining.

15 DR. ALLAN: It should be (c), (b) then,
16 because mobilization leads to recombination.

17 DR. MULLIGAN: I don't understand. What
18 is the context where we would be looking at--

19 DR. SALOMON: I am thinking of the
20 transgene could be mobilized, right, in the HIV
21 vector, just packaged as an RNA transcript,
22 whereas, recombination would actually create a de
23 novo lentivirus.

24 DR. MULLIGAN: I guess I would agree with
25 the gentleman who said (C), then (B) maybe.

1 DR. SALOMON: I don't have any problem
2 with the order here.

3 John.

4 DR. ZAIA: In a way, I think (b) is more
5 important than (c) in the sense that we know
6 already that recombination can occur and make
7 things worse. I mean there are model systems for
8 that. The question is will that ever occur. So,
9 that probably means, who knows, but we should set
10 up the human experiment, so you monitor for that.

11 So, up to now we have not monitored with
12 MLV, who we probably should be. Had we been doing
13 that for the last 10 years, we would have a
14 database now. I know I am not doing that, maybe
15 some other people have been doing it.

16 So, as we go to these newer vectors, I
17 think it is going to be important to give more than
18 lip service to 1(b) because we will at least learn
19 how to progress.

20 DR. SALOMON: I agree with that, and I
21 think one of the suggestions that came up on some
22 of the discussion was that periodically, we ought
23 to be taking blood from patients in these studies
24 as part of this evaluation, and sequencing some of
25 the species specifically for recombinations between

1 the packaging vector--no, I am saying the wrong
2 thing actually--the lentiviral vector, the
3 transgene, delivery vector.

4 DR. MULLIGAN: So, you are talking about
5 (b) is in the context of an in vivo case where
6 there is wild-type, but still I think we ought to
7 address (c) first, because the issue is whether or
8 not, I think fundamentally, how high the bar we
9 ought to set for allowing mobilization to occur,
10 you know, a situation where recombination can
11 occur.

12 DR. SALOMON: I am just trying to be
13 practical. My feeling here with respect to the
14 recombination between the vector and the wild-type
15 is we have got to watch for it, and the only other
16 question that one would follow here, and I am not
17 comfortable with it, but let me just pose it, would
18 be saying you can't use a certain vector class in
19 patients that have wild-type HIV because of the
20 higher risk of recombination between, let's say, an
21 HIV lentiviral vector, a human lentiviral vector,
22 and a simian or a non-primate.

23 I am not sure that anyone wanted to go
24 there yet.

25 DR. MULLIGAN: But I agree that is the

1 relevant issue here.

2 DR. SALOMON: Can we have some comments on
3 that? Dr. Allan.

4 DR. ALLAN: Going back to what John is
5 talking about, I mean to me, the greater issue is,
6 okay, you have got chunks that are not
7 replication-competent, and they go into the
8 patient, and then the wild-type rescues it, that is
9 where the issue is. To me, that is a major, major
10 issue here, it is not a small issue. I think it is
11 a major issue.

12 DR. SALOMON: I am sorry, I didn't mean to
13 say it was a small issue, it's a big issue.

14 DR. EMERMAN: Can I agree with Dr. Allan
15 there? I think the major issue with going into
16 HIV-infected patients is generating new viruses
17 within those people. Even though the vectors are
18 derived from HIV, if they have LTRs, they will
19 recombine and make new LTRs, that weren't in the
20 patients originally, which is I think an argument
21 for using the SIN vectors in HIV-infected patients.

22 DR. SALOMON: Just for the transcriber,
23 that is Dr. Emerman, correct, that just commented?

24 DR. EMERMAN: Yes, that's correct.

25 DR. MULLIGAN: So, if we trace how that

1 might occur, basically, you will have an HIV
2 infected cell, that will be like your packaging,
3 then, you infect with your vector, and you would
4 have something that would have greater or lesser
5 capacity to be packaged, RNA to be packaged, and
6 then whether or not it has 3-prime end sequences to
7 be then at some frequency turned into a two LTR
8 mixed-up something.

9 DR. EMERMAN: The vector has two LTRs, and
10 it gets into an HIV-infected cell. They get
11 co-packaged at an incredibly high frequency, the
12 recombination occurs. That is not a rare event.
13 They get co-packaged, the recombination occurs just
14 as a normal process of reverse transcription in the
15 next cycle.

16 DR. MULLIGAN: But several of the vectors,
17 people are going to test, are going to be vectors
18 that specifically should not have mobilization
19 capacity, so they would be SIN vectors, that
20 wouldn't make a lot of RNA. They may be--Sue
21 didn't toot her horn about some new vectors that
22 truncate the RNA transcript in the center of the
23 transcription.

24 So, I think this now brings us directly to
25 relating vectors, specific kinds of vectors to the

1 issue of mobilization, and if we don't want
2 mobilization, if we think that is an undesirable
3 feature, then, we have to actually begin to set a
4 high bar for the characteristics of the vector as
5 it pertains to mobilization.

6 DR. SALOMON: Earlier, I had commented
7 that, you know, just as a premise for discussion, I
8 don't think that the first clinical trials of
9 lentiviral vector therapy in HIV-infected patients
10 should have any mobilization. I am not saying that
11 it never should, I am saying I don't think the
12 first trial should.

13 DR. CHAMPLIN: I guess some analysis of
14 what components of the vector would, in fact, be
15 harmful should go into this. I am just thinking
16 theoretically if antisense to HIV is the sole gene
17 you are delivering, and there is no new product
18 that would make the HIV more pathogenic, would
19 that, in fact, be a risk if it was, in fact,
20 mobilized.

21 In fact, you could argue that that was the
22 way to deliver your antisense to even more cells
23 and to make it even more effective. So I am just
24 wondering if absolute bans here are appropriate or
25 can there really be a vector analysis of

1 risk-benefit relationships?

2 DR. MULLIGAN: I would argue that the risk
3 is the unknown of what you are going to generate.
4 I mean, again, coming back to the question can you
5 make something worse than what is already there. I
6 would argue probably, probably. We can do much
7 more sophisticated--the body can probably do much
8 more sophisticated things than we as vector people
9 might be able to do.

10 So I would say the theoretical--this would
11 be one case where the theoretical risk of
12 generating something in a patient that is
13 replication-competent, that is not garden-variety
14 HIV is something to be avoided at all cost.

15 DR. SALOMON: I think if I understand also
16 part of this is it is not so much the concern--not
17 that I am trivializing it--that the RNA ribozyme
18 transgene will get mobilized albeit I think you
19 could talk about that. But the fact is that in
20 systems in which mobilization is going to occur, it
21 implies that there are higher levels of lentiviral
22 vector RNA present and, therefore, recombination
23 with backbone elements of the delivery vector are
24 much more likely to occur and that is more
25 dangerous than any of issues reflecting

1 specifically to the transgene being delivered.

2 DR. EMERMAN: I think it is not the vector
3 that we are worried about going about it, the
4 recombination with the wild type is already there
5 making the wild type worse. It is not the vector
6 is making it any worse.

7 DR. SALOMON: I'm sorry; I was hoping to
8 say that. If I didn't properly, correct it.

9 DR. ALLAN: I had a question for Mike
10 Emerman. This is John Allan. Where do you see the
11 greatest threat in terms of recombination using
12 this system with the wild-type HIV co-packaged with
13 the vector? Would you see that as any greater
14 threat than wild-type HIV?

15 DR. EMERMAN: You don't know. If the
16 vector has, for example, sequences in gag that are
17 necessary for the packaging, some of those
18 sequences contain parts of the matrix protein
19 although the matrix is truncated in the vector.
20 The matrix has epitopes for CTL lysis. You have
21 got a recombination. You would generate new
22 epitopes if someone had already had the existing
23 epitopes. It is a mechanism of generating escape
24 mutants, for example.

25 So, it is the recombination between the

1 existing elements in the vector and the endogenous
2 HIV, and just making that one a little bit worse.

3 DR. SALOMON: So, trying again to come to
4 some sort of committee statement to the FDA or
5 agreeing that we can't make the statement, that's
6 okay, too, can we say--I say that you shouldn't do
7 a mobilization protocol in patients with wild-type
8 HIV infection in this first phase of trials.

9 DR. ZAIA: Because?

10 DR. SALOMON: Because of mobilization and
11 because of the danger of creating a novel species
12 of wild-type HIV or I guess now modified HIV, that
13 would have properties that might be more dangerous
14 than the current available crop.

15 DR. DELPH: I guess my question is would
16 you limit it to patients with wild-type HIV,
17 because as I have been saying earlier, you cannot
18 assume that people who don't have wild-type HIV
19 today won't get it tomorrow.

20 DR. SALOMON: Fine, that is an interesting
21 point. Why don't we take that as a (b). It was
22 good, I won't leave them one alone, but am I just
23 saying can we agree or disagree that we shouldn't
24 have mobilization?

25 DR. ALLAN: I guess my question is, is how

1 can you prevent mobilization? I mean you are going
2 to get mobilization, how do you prevent it?

3 DR. EMERMAN: The SIN vectors would
4 eliminate the mobilization.

5 DR. SALOMON: Whatever we have said is
6 basically the self-inactivating vectors, the SIN
7 vectors, or otherwise hobbled vectors that have
8 very little RNA that would get mobilized.

9 DR. TORBETT: I guess with the SIN
10 vectors, the LTR is upstream of basically the
11 promoter, so unless you inserted somewhere that had
12 an upstream promoter and get a full-length
13 transcript, that would be very difficult because
14 you would get a package of something that would be
15 much smaller.

16 DR. MULLIGAN: The only thing that is a
17 little complicated is if you look at how people
18 have addressed the question of mobilization in
19 tissue culture, and I think one of them will talk
20 about the VIRxSys. It is not satisfying, I mean it
21 is not a clean picture of things that really should
22 mobilize don't do it efficiently.

23 So, I think we ought to leave that just as
24 an issue that we are aware of, that the
25 measurements for mobilization, which are

1 undoubtedly going to be in vitro, not in vivo, will
2 have to be given careful consideration. That is,
3 we need the same sort of talk about mobilization
4 assays as we have had on the helper virus.

5 DR. SAUSVILLE: I would agree that the
6 information we have been presented today does not
7 present me with confidence that we can pick out
8 ahead of time with confidence the type of assays
9 that would permit mobilization, and I also am drawn
10 to the point of view that one could imagine
11 situations where that is actually a good idea
12 depending on what you are trying to achieve.

13 Finally, since no one is never without
14 risk of HIV infection, if you make a prohibition,
15 then, we might as well go home, because we are
16 never going to reach--I mean the ultimate never in
17 absolute is I think going to be very difficult to,
18 in practice, realize.

19 So, I think it needs to be addressed on a
20 case-by-case basis. I think it needs to be
21 tracked. I think it needs to be considered what
22 the goal of the construct is, and beyond that, I
23 just don't think we have enough information.

24 DR. SALOMON: Is that a position the
25 Committee is comfortable with?

1 DR. MULLIGAN: I think it would be okay if
2 we did have a disagreement on the committee, and
3 not a consensus.

4 DR. SALOMON: I agree.

5 DR. MULLIGAN: We don't have a consensus
6 then, it sounds like.

7 DR. SALOMON: That is what I am getting
8 at. Let me try and articulate this, and this is
9 going to require some modification from my
10 colleagues.

11 In terms of Question 1, generally, we feel
12 that we still should put the emphasis as a first
13 cut at safety on more of a classic RCL assay. We
14 didn't quite define the ultimate sensitivity of
15 that, but I thought our discussions that we had
16 when Dr. Kingsman presented her material was pretty
17 good in terms of defining a sensitivity, and the
18 fact that it seemed like nobody disagreed with the
19 concept that sensitivity ranges for detecting RCR
20 in Moloney retroviral vector systems was acceptable
21 to the group unless someone wants to chime in now,
22 in other words, experience, 10 years of experience
23 defining sensitivity of RCR assays seemed to be
24 acceptable to be transferred to assays for RCL.

25 So, that would deal with that. Now, I

1 think that the group followed the very interesting
2 molecular biological reasoning for additional kinds
3 of assays, as suggested by the two speakers, but I
4 think that my impression, and again the sense I get
5 from the group, is that none of these newer albeit
6 very interesting assays, are (a) very easy to do
7 perhaps, maybe not that as much as not really
8 validated, and it is really rather far from clear
9 to me at least right now how a positive result in
10 one of these assays would relate to a negative
11 result in an RCL assay.

12 In other words, I don't think in the end,
13 aside from just a very good scientific line which I
14 follow, that I would be comfortable if I was the
15 FDA saying oh, the RCL assays are consistently
16 negative in this prep, and I think the field is
17 pretty sophisticated now about that, and yet we
18 keep getting a positive in a gag-pol puromycin
19 assay, and therefore, you can't do your trial.

20 I don't think anything I have heard today
21 would really make me comfortable with that. So, I
22 think there has to be further research and
23 validation of it, which would be a good objective
24 perhaps as follow-on studies for these first
25 trials.

1 In terms of mobilization, putting (c)
2 before (b), I think that we don't have an
3 agreement, so I think there are some people on the
4 Committee that are saying there are methods like
5 self-inactivating vectors that reduce significantly
6 the amount of mobilization, might eliminate it,
7 might not, and that those would be preferable in
8 the first trials of patients with HIV.

9 There are others on the Committee that say
10 that's probably not a good idea because there may
11 be situations in which mobilization has a
12 therapeutic benefit, there may be situations in
13 which patients could get infected afterwards or
14 prohibition on mobilizing vectors might lead to a
15 necessary limitation of patient groups that could
16 get these kind of therapies and therefore hold the
17 field back, and that wouldn't be fair because the
18 proof of a detriment of mobilization is far from
19 clear, if it can even be of therapeutic value.

20 That recombination between vector and
21 wild-type HIV, well, nobody is comfortable with
22 that, but the question is what is it that we could
23 do besides what we have already talked about to
24 reduce the risk of recombination between vector and
25 wild-type HIV.

1 I guess I don't think that we had any
2 clear answer for you there either, and that may
3 reflect the state of the field.

4 DR. MULLIGAN: That is coupled to the
5 mobilization question, so I mean I think that the
6 point of view that it is okay to have mobilizable
7 has to be coupled with an articulated point of view
8 about events between wild-type and vector.

9 DR. SALOMON: So, what Dr. Mulligan is
10 reminding me is that one line of argument is if you
11 allow mobilization to be a part of the therapy,
12 then, you potentially increase the risk of
13 recombination between vector and wild-type HIV, and
14 he is pointing out the logical flaw in that it
15 seems everyone's consensus is that that is not a
16 good thing.

17 DR. ALLAN: If you put in the SIN vectors
18 to reduce mobilization, you are going to reduce
19 recombination.

20 DR. SALOMON: So, that would be an
21 argument to favor protocols in this first phase of
22 HIV studies using lentiviral vectors that would
23 reduce mobilization, but there are people on the
24 Committee who are not comfortable with that. That
25 is the cycle here.

1 DR. ALLAN: But I think the people who
2 aren't comfortable, maybe it is because you are
3 interested in efficacy, and the first studies are
4 safety studies, so I think you have to separate
5 those two.

6 DR. SALOMON: Dr. Sausville, you were the
7 one who articulated the mobilization argument.

8 DR. SAUSVILLE: Right, but the limit case
9 of the safe vector will be one that doesn't work
10 when you eventually look for efficacy. There, you
11 have it.

12 DR. SALOMON: I was pointing out to Dr.
13 Mulligan, just teasing him, that that was one of
14 his comments yesterday, was the safest retroviral
15 delivery system was one that didn't work.

16 DR. CORDOVA: I would just like to follow
17 up on RCR assays versus this type of vector. The
18 one difference is that this is a human pathogen,
19 and that we can see at least what would be the most
20 likely type of recombinants that are undesirable,
21 and that would be potentially a similar vector or a
22 similar virus that has a broader tropism, for
23 example, so the typical RCR assays would not pick
24 up a partial recombinant, that only takes the VSV
25 gene, for example, into the next step.

1 Even though we don't want mobilization and
2 we don't want recombination, that may, in fact,
3 occur with the wild-type HIV. Then, if we take the
4 next step where we think that anybody may become an
5 HIV-positive, of course, we can take it to the
6 extreme, but all the same, I think some discussion
7 would be warranted on the possibility of creating a
8 new lentivirus that now does incorporate the VSV as
9 the envelope.

10 Although it hasn't been detected in vivo,
11 it hasn't really been looked for that hard in vivo
12 either as to natural pseudotypes that then just
13 occur.

14 DR. NOGUCHI: Dan, if we could pursue a
15 point related to that, the statement has been made
16 that surely with so many HIV-infected individuals,
17 we "haven't seen this sort of recombination that we
18 are worried about."

19 I would like some sense of the Committee
20 as how valid a statement do you think that really
21 is, has it been looked at, by what means, is it
22 something to give us any comfort at all.

23 DR. EMERMAN: I think the point that the
24 recombination is going to occur in the fermenters
25 was the relevant point, you know, in populations,

1 these viruses aren't in the same cell types, and
2 there are not mixed deliberately, so in testing the
3 culture brews is what you are meaning to do.

4 DR. NOGUCHI: Excuse me, but yes, but in
5 the in vivo experiment, you have everything that
6 you need to package and recombine including non-SIN
7 LTRs, so I agree. I think we all agree we should
8 know as much about the synthetic product that is
9 going in as a therapeutic, potential therapeutic,
10 but the larger question is really whether or not
11 our experience with patients who have HIV infection
12 gives us any reassurance at any point that there is
13 either a lack or that if recombination with
14 endogenous sequences or elements, or even other
15 viruses like herpes, takes place or doesn't take
16 place.

17 It has been suggested that it's a means of
18 evidence that if recombination of that sort were to
19 occur, we would have seen it, but have we actually
20 looked.

21 DR. SAUSVILLE: I don't think we have
22 actually looked, but on the other hand, I really
23 wouldn't use the lack of detection or any clinical
24 phenomena that would rise to the fore as being
25 comfort in this regard, because the constructs that

1 are being talked about, particularly with VSV and
2 the making of these things, are very different than
3 what is running around out there in nature.

4 So, no, I don't have any comfort that the
5 clinical experience to date has anything to do with
6 this.

7 DR. CHAMPLIN: I would agree with that.
8 You are putting in artificial genes in viruses now
9 that have been optimized in the vector, and so this
10 really is nothing like what is there in nature, and
11 could obviously result in something very bad.

12 DR. ALLAN: The other thing is, is that
13 HIV is a highly evolved virus, and it has a
14 selective advantage over almost everything, so you
15 really have to do something or genetically engineer
16 something that is going to have a selective
17 advantage over HIV wild-type.

18 It is possible if you have got a VSV-G
19 envelope in there, maybe it would have a selective
20 advantage over HIV, but you look at patients, even
21 if they were rescuing bits and pieces of viruses,
22 whether herpesviruses or other endogenous viruses,
23 they are certainly selected for because people keep
24 getting HIV-1, they don't get weird recombinants,
25 and they keep transmitting HIV-1.

1 So, at least in nature, I think HIV-1 has
2 such a selective advantage over anything else.
3 That experiment has been done.

4 DR. MULLIGAN: I would disagree. I would
5 think that all the other pathogens, herpes,
6 whatever, the route of entry is different than it
7 is going to be via gene transfer. If you compare
8 the difference between a natural VSV infection in
9 someone who works with horses, cows, to having a
10 template in an retroviral RNA that has VSV-G
11 sequences, the chances for an event to occur that
12 could cause HIV to pick up the VSV-G, is far
13 greater than it would be, I think, from a natural
14 infection.

15 So, I think I would echo several other
16 points, that there is no reason to think that
17 because we haven't seen these things, that we
18 couldn't get these things.

19 DR. SALOMON: I think just for clarity, I
20 think Dr. Allan and Dr. Mulligan absolutely agree.
21 Your disagreement was with what Phil thought.

22 DR. DELPH: I think that looking at this,
23 1(b) and (c), since we will be going into Phase I
24 clinical trials, which are as was pointed out
25 earlier, primarily safety trials, what we would

1 want to go in initially with would be a vector that
2 has potential for efficacy, but has the greatest
3 potential for safety that we can guarantee while,
4 at the same time, having some potential for
5 efficacy.

6 DR. SALOMON: I just think that as long as
7 we are trying to make sure that the FDA hears all
8 points of view, then, I would, as uncomfortable as
9 I am with this, try and represent the HIV
10 community, you know, as I have experienced them in
11 several different venues, and one of their
12 responses would be, my God, guys, you know, you
13 take a heart, you know, failure HIV patient, they
14 are going to die. These are young people, you
15 know, this is unacceptable to do anything based on
16 such theoretical safety issues, that, you know, you
17 wouldn't move forward in this community, and it is
18 insulting to suggest that you couldn't ask these
19 patients not to use safe sex or to refrain from
20 primary contact and participate in all the safety
21 features.

22 I think that, you know, it doesn't seem
23 like there is anyone here from Act Up or any of the
24 HIV communities, so I am not trying to represent
25 them here at the table for a second.

1 DR. SIEGEL: Just a comment about your
2 comment about safe sex, could we not presume that
3 if an HIV type vector wound up getting packaged in
4 VSV, then, its transmission might be other than
5 sexual? VSV is not sexually transmitted.

6 MS. KNOWLES: I would agree. I think you
7 have to make sure that every safety issue is
8 addressed, so that there is no repercussions down
9 the line.

10 DR. SALOMON: As long as we realize that
11 that is the ultimate super safety issue might
12 prevent this from ever coming to clinical trial in
13 the next decade, you know, at some point I think
14 the FDA is very well aware of this, that you have
15 to draw a bar somewhere and decide how high that
16 is.

17 DR. EMERMAN: Isn't the question about the
18 VSV-G recombinant addressed when you are just
19 looking for RCL?

20 DR. SALOMON: I am sorry, I didn't get
21 that.

22 DR. EMERMAN: We were talking about VSV-G
23 recombinants, but those are going to be looked at
24 when you look at whether there is
25 replication-competent viruses in the production

1 stock, whether they are VSV-G recombinants or some
2 recombinant with some endogenous envelope. Either
3 way, those would be picked up.

4 DR. SALOMON: I guess they would be
5 providing you sequence the RCL that come out of
6 these assays, right?

7 DR. EMERMAN: I guess that is one argument
8 to use the gag-pol PCR as an endpoint.

9 DR. CHAMPLIN: The wild-type HIV is not in
10 the production cells. This is an in vivo
11 consideration to mobilization end or interactions
12 with wild-type HIV.

13 DR. EMERMAN: The VSV-G isn't in the
14 patient, it's just the VSV-G protein. The VSV gene
15 is all gone, and they are testing for the absence
16 of DNA in the production lot.

17 DR. SALOMON: That is not true if a
18 transient transfection system ends up packaging a
19 certain amount of VSV-G transcripts in the vector
20 that you then deliver to the patient.

21 DR. MULLIGAN: This comes back to the
22 mobilization business, of course, which is that if
23 you don't test for something that transfers, but is
24 not replication-competent, VSV-G, in a case where
25 you have wild-type HIV, and that is a

1 mobilizable--packageable VSV-G, then, you might
2 well get something that you wouldn't have been able
3 to detect before because it didn't exist, didn't
4 have the substrate, whereas, in the patient, you
5 might have the other helper sequences.

6 DR. EMERMAN: Maybe we could design a test
7 for that, though. I mean you could make a test
8 where you use your supernatant to infect
9 HIV-infected cells, and then look for something
10 with an increased tropism to grow out, bypassing
11 293 cells.

12 DR. MULLIGAN: In fact, someone raised you
13 could also look in the patient, I think you maybe
14 mentioned you may want to look at samples from the
15 patient to get a sense of what weird things might
16 be happening.

17 DR. SALOMON: Correct. I had one question
18 that I kind of want to end on, hopefully, we are
19 ending on this one, so we can go on Question 2,
20 that we earlier kind of touched on this and then
21 left it, that there are going to be patients with
22 HIV that are going to be candidates for lentiviral
23 gene transfer vector therapy and patients without
24 HIV.

25 If you talk about patients who don't have

1 HIV for a second, that are potential candidates for
2 lentiviral gene transfer vector therapy, would it
3 be appropriate to ever give a vector supernatant
4 that had even one RCR? I mean could you ever be
5 sure that your vector supernatant was absolutely
6 negative?

7 DR. SAUSVILLE: You are referring to HIV
8 based vectors.

9 DR. SALOMON: Yes. I could handle giving a
10 1 to 2 RCR risk to a patient with wild-type HIV. I
11 think we could get our heads around that, but I
12 just wanted, before we ended, to ask the question
13 of the group about to a non-HIV-infected patient.

14 Dr. Zaia.

15 DR. ZAIA: Well, there is no certainty in
16 clinical research, and that is the purpose of doing
17 it. So, you would set a bar, and you would see
18 whether your bar had been set high enough by the
19 clinical experience.

20 DR. SALZMAN: I am speaking I think on
21 behalf of the non-HIV patients, actually children
22 that have fatal disease, that they don't have a
23 long time to live, and what I have learned here and
24 from my own background is nothing is 100 percent,
25 and when you are talking about 1 RCR and whatever

1 that may be or may not be, because we just don't
2 know, and how long it is going to take to further
3 explore that versus a fatality within 12 months,
4 you definitely have to weigh it out.

5 So, again, on behalf of the non-HIV
6 pediatric fatal disease community, we see things a
7 little bit differently in terms of our approach
8 towards safety. While we believe it is obviously
9 paramount, you can never be 100 percent, and we
10 don't want to spend the next 10 years getting to
11 100 percent. It's not worth it in some cases.
12 That's all.

13 DR. CORNETTA: This is Ken Cornetta.

14 DR. SALOMON: Yes, Ken, go ahead.

15 DR. CORNETTA: I think partly just to
16 follow up the last statement, I think you never can
17 get to 100 percent. You would have to test every
18 virus particle that you gave back to a patient. To
19 get to say that this is absolutely free is just a
20 non-attainable goal.

21 I think the challenge for the
22 recommendation from the Committee, and what the FDA
23 and investigators combined will try to develop is a
24 thing where you are as assured as possible that
25 there is no RCL, but you can never, without going

1 again through every virus particle, assure that
2 there isn't an RCL in that product.

3 DR. SALOMON: Fair enough. I just really
4 asked that question to get that on the table as we
5 sort of left this particular section. You could go
6 another step farther just for purpose of
7 discussion. You could say--and that's what I think
8 the person from the audience addressed--you could
9 say, okay, then, you should only do this. Now,
10 getting to this question, should the first trials
11 be done in patients with HIV because we would have
12 no idea about the possibility of an RCL, and that
13 would be so terrible potentially to give it to
14 someone who didn't have HIV.

15 I am not saying that is my bias. I am
16 just putting it on the table.

17 DR. CHAMPLIN: You obviously can't answer
18 the question whether it is safe in terms of
19 transmission of HIV. I agree with the comment that
20 in very high-risk patients with end-stage and fatal
21 diseases, where again the risk-benefit relationship
22 would be on the side of going forward with the
23 test, that it would be a place where that could be
24 assessed.

25 DR. CORNETTA: Dan, this is Ken Cornetta

1 again. I think when you are talking about initial
2 studies here, you are talking about Phase I
3 studies, and I think the risks and possible side
4 effects that you might see in an HIV-infected
5 individual may well be different from what you
6 would expect in someone either with a normal immune
7 system, or just because of these are lentiviral
8 vectors going into an HIV-infected versus
9 non-infected.

10 So, the outcomes and the potential risks
11 may well be different, and we may need to think
12 about clinical trials in these populations
13 separately rather than as a single entity.

14 DR. SALOMON: I like that. That is a good
15 point.

16 DR. DELPH: Would it be possible or is it
17 more difficult to detect RCL in somebody who has
18 wild-type HIV than in somebody who doesn't?

19 DR. SALOMON: What you mean is not detect
20 wild-type RCL, but you are talking about
21 vector-derived RCL.

22 DR. DELPH: Right.

23 DR. SALOMON: Would it be harder?

24 DR. SAUSVILLE: This does touch on the
25 issue of what goes into the construction of the

1 input, as it were, HIV-derived strains. I mean one
2 might imagine that the RCL that would be derived
3 from them might have some marker or might have some
4 tag, might even be constructed with the pol and the
5 protease or with sensitivity to drug.

6 I mean there is all sorts of ways that one
7 could conceive of following in some way or another
8 the input, and we might encourage, if this is on
9 the table for a particular case, the design, so
10 that we could actually follow them easily.

11 DR. SALOMON: Fair enough.

12 DR. KINGSMAN: I apologize if I got
13 confused or lost the plot. I think there are two
14 issues that may be getting pulled into one. One is
15 using a SIN vector to prevent mobilization in the
16 target cell, and the other is using a SIN vector to
17 reduce the amount of LTR that comes through in the
18 components, and if you have got extended bits of
19 LTR flanking VSV-G, that could be bad.

20 They are two separate issues. You could
21 reduce the amount of LTR, but not have a SIN vector
22 because you could put a tissue-specific promoter
23 in. So, I think I would like to just ask that the
24 word "SIN" is not seen as just another way of
25 naming mobilization. It is one particular type of

1 vector.

2 So, that is one point. The other point is
3 this VSV-G issue. If we take VSV-G and create it
4 as an issue, then, this applies outside of the
5 lentivector field. It applies to anyone who is
6 trying to make MLV-based vectors, which are
7 packaged with VSV-G, which may have useful
8 properties.

9 So, I think the VSV-G issue may be get rid
10 of a native type of LTR that could possibly
11 recombine with an HIV LTR and deal with that
12 separately from mobilization in the patient. I
13 just wanted to say that.

14 DR. SALOMON: That's fine.

15 Yes, Carolyn.

16 DR. WILSON: I just wanted to briefly
17 address your last point, which is that up to now
18 there are no clinical trials with MLV-based vectors
19 using a VSV-G glycoprotein, and we do except that
20 the concerns regarding VSV-G would be the same with
21 an MLV vector.

22 DR. SIEGEL: I just want to put on the
23 table just an issue, not for discussion, but just
24 for background and comment. It has troubled me
25 about some of the recent comments. That is the

1 notion that although the risk of perhaps a certain
2 toxicity, such as having an RCL in a HIV-negative
3 individual, given that they are very small, they
4 would well be counterbalanced potentially in
5 high-risk patients by the prospects of benefit.

6 I mean we are talking about the context
7 now is the first introduction to people, and I
8 think any honest view of the first introduction of
9 any therapy to people, including the first
10 introduction of something this highly experimental,
11 would say that minuscule as our concerns are about
12 any particular toxicity, our hopes for benefit of
13 the first patient who will probably receive an
14 extremely low dose as a single time in something
15 that has not been studied, and without any dose or
16 route optimization or vector optimization, are
17 surely minuscule, and the notion that that patient
18 would consent to that therapy thinking that they
19 had a substantial incidence of benefit, suggests
20 that there is a problem with consent.

21 So, I think as you look at product
22 development in the bigger sense, you could say
23 these small risks are well compensated in a
24 population by the fact that the research may lead
25 to important therapies, but from the perspective of

1 the individual patient who I guess would probably
2 not be a child, who is going to first get this, I
3 think we realistically have to look at risks and
4 benefits from a little bit different context.

5 MS. A. SALZMAN: Amber Salzman. Maybe I
6 can give a perspective as a mother of a child who
7 potentially could be saved from this. I sort of
8 feel like why not at least give him a chance to
9 live. I mean I understand that the efficacy may be
10 low, and there is a question about the safety, but
11 if you do due diligence and you think maybe there
12 is a shot, I just would hate for a room of these
13 people to say that you wouldn't give my son a
14 chance to live even though it may be low.

15 DR. SIEGEL: I appreciate that, and I
16 understand that, and I would hope what your doctor
17 would tell you is that this could be very important
18 in terms of developing a therapy, and it may well
19 be the only chance for your child, but I think if
20 your child is the first person to receive this
21 therapy, it is likely not going to be even given in
22 a way that holds out any substantial hope of being
23 curative. That is just the way new therapies are
24 developed, and I think that is the context we have
25 to consider this in, in these early experiments.

1 DR. SALOMON: I think that is a good
2 point. I guess my response to it and to the mother
3 is the purpose of the Committee is to define these
4 sort of general safety issues. I think that if a
5 sponsor can find a group of patients, I don't think
6 that the Committee here wants to particularly
7 specify any group is inappropriate. I didn't think
8 you were saying that, Dr. Spiegel.

9 DR. SPIEGEL: No, let me clarify because
10 this is very important. I am not disagreeing with
11 the prospect that we want to make impossible
12 potentially valuable therapies for serious diseases
13 because of theoretical risks. We don't want to do
14 that.

15 I understand and I agree and support that
16 principle completely. I just don't want to be--you
17 know, some are suggesting that, well, we will
18 introduce these into high-risk patients because
19 they will stand more to benefit than lose, and you
20 run into a significant concern in those patients if
21 you haven't informed them that their chance of
22 benefit is either zero or extremely close to zero,
23 you haven't gotten appropriate consent probably for
24 the type of research that we are talking about, and
25 when new products are introduced to people, they

1 can consent and often will consent recognizing the
2 importance of the research and even based on such
3 small chances of benefit.

4 But, you know, there are important ethical
5 questions in vulnerable populations in particular
6 in getting consent based on the fact that somebody
7 is desperate because they have a seriously ill
8 disease, and have the potential to believe that
9 there is chances for benefit which far exceed those
10 that are there.

11 MS. A. SALZMAN: I guess I would say I
12 work for a major pharmaceutical, so I am very, very
13 familiar with clinical trials, and that really
14 comes down to a very good consent form, and I know
15 with all of the hoopla over the last year or so, we
16 are getting much better consent forms.

17 DR. CHAMPLIN: Needless to say, the people
18 in the middle of the Phase I trial, are now up to a
19 meaningful dose, may well benefit, and what you
20 said certainly is true, and consent is a
21 complicated process, and one tries to give just the
22 message that you indicated, but there is hope,
23 hopefully, that when you get into the meaningful
24 doses, that even in the Phase I trial, that there
25 will be some benefit.

1 So, I think we want to just be sure that
2 the patients selected, where if, in fact, there is
3 an adverse event, we aren't going to make them
4 worse in a much more substantial way that would
5 have been the natural history of their disease.

6 DR. SAUSVILLE: I certainly agree with
7 those comments and also I agree with Jay, but on
8 the other hand, I think when this has been looked
9 at in study after study, patients go on Phase I
10 trials despite the protestations of lack of benefit
11 because they think they are going to benefit. I
12 mean that is the way it works.

13 So, I think, as you state, the point of a
14 consent form is to highlight or balance both
15 aspects of the science to be gained, and the
16 theoretical, although perhaps low, notion of
17 benefit.

18 I can understand the scenario where 1 RCL
19 of HIV in a given population might actually be
20 acceptable. I can certainly imagine populations
21 where it would be absolutely unacceptable, and I
22 think that has to be factored into this.

23 Also, just as a final point, the notion of
24 dose is applied to biological therapies in general,
25 and in particular, this type of therapy, I don't

1 know what the middle of a Phase I means, because I
2 think we are definitely treading new ground here.

3 DR. DELPH: I think as we are talking
4 about safety, in trials, we need to consider that
5 when we are looking at safety for most
6 pharmaceutical agents, you are talking about safety
7 as it relates only to the person who is taking that
8 pharmaceutical agent.

9 In this instance, where recombination may
10 be a possibility, and you may get
11 replication-competent virus, you may be involving
12 the safety of others, as well.

13 DR. SALOMON: I think that is a good way
14 to end this. I think if we started with Dr.
15 Cornett's comments and kind of follow the loop that
16 followed, I don't think that the Committee--again,
17 if someone doesn't like this, jump in, but I don't
18 think that the Committee is coming down one side or
19 the other on whether the safety risks to an HIV
20 patient population with a lentiviral vector versus
21 the safety risks to a non-HIV, they are different,
22 as Dr. Cornetta started us off with very clearly,
23 but I am right now fairly neutral.

24 I mean I think it is going to be a
25 case-by-case basis and I think we would look to the

1 sponsors and look to the vector and look to the
2 data that they have, and make those decisions, but
3 I don't see any really compelling argument to say
4 no, no, yes, yes. I think it is fairly balanced
5 right now, which is an interesting place to be.

6 The quiet I assume is that we are okay
7 with this?

8 DR. HIGH: I wanted to make one other
9 point, and just to echo something that Eduardo said
10 earlier, because I bears emphasis, that although it
11 may be adequate to just leave this at RCL assays,
12 since this is a new therapy, and since there are
13 other methods we have heard about for looking for
14 helper sequences in other ways, I think it would be
15 good to encourage sponsors to incorporate that into
16 their design of the trial.

17 DR. SALOMON: I agree with that. I have
18 tried to capture that in my statement of saying
19 these would be really valuable follow-on assays to
20 be added on. In fact, that would be something even
21 to lobby the NIH to support these sort of clinical
22 assays added on to clinical trials. I agree.

23 Question 2. What should be the
24 appropriate species for in vivo, preclinical safety
25 and toxicology evaluation of lentivirus vectors?

1 Specifically, consider the following:

2 Wild-type HIV-1 does not infect monocytes,
3 lymphocytes, or other target cells in rodents nor
4 in cynomologous or rhesus macaques and will only
5 poorly infect CD4 T lymphocytes from chimpanzees,
6 so mobilization studies will be complicated.

7 Lentiviral vectors pseudotyped with
8 different envelopes, VSV-G, but also rabies and
9 flaviviruses, and I guess flaviviruses include the
10 ebola virus, may have expanded cell tropisms, but
11 the infection may be limited, for example, mouse
12 cells have multiple blocks to HIV replication.

13 DR. ALLAN: I haven't been following the
14 gene therapy vector field very much, but I mean the
15 premise here is that there is no animal model
16 system essentially. That is what this basically
17 says is HIV doesn't infect rodents, it doesn't
18 infect monkeys, so let's go to humans.

19 I spent the last 14 years working on SIV,
20 and we have good monkey model systems to study
21 recombinant SHIVs that replicate extremely well in
22 monkeys and kill monkeys, and function almost
23 exactly like HIV-1.

24 You have to reduce and redesign, but it
25 would just be a proof of concept. Everything is

1 theoretical. I mean basically, what you are saying
2 is here is the needle, close my eyes, hope for
3 okay. I mean that is what you are doing, I mean
4 essentially, because you are betting on what we
5 know scientifically, and you have heard some people
6 that have more concerns than others.

7 So, if you took and designed these things,
8 you could take a VSV-G recombinant S, whatever the
9 vector is, and pop it into monkeys, you could shoot
10 the virus directly into monkeys. You could do the
11 studies where you took the cells out of monkeys,
12 you could infect them with the SHIV virus, and then
13 put your CD4 cells with the vector in afterwards,
14 beforehand. There is all kinds of studies you
15 could do in monkeys that seems to me have been
16 totally underutilized, but like I said, I haven't
17 been following this field, and maybe some of those
18 studies have been done, but I think that if they
19 haven't been, I think people have missed the boat,
20 because the model system is just sitting there
21 waiting to be used.

22 You can look for recombinants very easily
23 if you pop a monkey, you have got a whole
24 ecosystem, and whatever pops back out, you may see
25 it. I am sort of perplexed why that hasn't

1 surfaced.

2 DR. SAUSVILLE: I guess my concern is that
3 while there is, as you indicate, a whole biology
4 that could be explored, I guess one has to have a
5 balance between closely mirroring the clinical
6 application of a proposed product and the doing of
7 ultimately toxicological research in a very
8 interesting model.

9 I would come down on the side of
10 recognizing the difficulty here as an intrinsic
11 part of the biology, attempting, how imperfectly it
12 may be, to pick a system that most faithfully could
13 replicate something of the human biology, but
14 really focusing on the safety testing on a close as
15 possible mimic with the product to be used to the
16 proposed clinical study, and let it go at that.

17 I think that to go beyond that, certainly
18 to engineer things that might look for effects, I
19 wouldn't know how to extrapolate them back to the
20 intended clinical use.

21 DR. MULLIGAN: I would say that you would
22 have to look at this on a case-by-case basis, but
23 Jeff's talk was a revealing talk in terms of the
24 kinds of questions you can ask about, certain tox
25 questions, you know, immune consequences of CNS

1 gene transfer. I think that is always going to be
2 the case here, you know, you are going to do the
3 best you can. You are going to be able to ask some
4 questions in some reasonable system, and obviously,
5 when people develop their preclinical information,
6 I think there is going to be an expectation by the
7 FDA that they address the obvious things that
8 people would think you could address in that
9 system, but I think the tone of this is, is there
10 any, you know, this is probably back to the old
11 monkey, you know, you have got to do monkeys or
12 something, and clearly, many of the issues we have
13 just been talking about are so complicated that
14 there is no easy answer to look for mobilization in
15 the context of a monkey or something like that.

16 Just to make it appear that we are moving
17 ahead, on the third point, I do think in vitro that
18 there is a lot of assays, in fact, I think the only
19 thing we can grasp probably over the last couple of
20 hours are some of these mobilization issues could
21 be better addressed in vitro, obviously, the ICR
22 assays, and so I think that there is no reason not
23 to do as much as you can in each of those systems.

24 DR. SALOMON: It seems to me you could
25 break it down a little bit, right, and I think that

1 Dr. Sausville kind of pointed that out to us, would
2 be a toxicology or a toxicity, direct toxicity of
3 the gene delivery, you could do in a monkey. You
4 could even do it in a rodent model.

5 I think as Dr. Kordower showed, I mean if
6 his strategy of putting GDNF in a monkey, you know,
7 and then the monkeys got better and they didn't
8 have strokes and, you know, he followed them for X
9 number of months, and I don't want to say all the
10 obvious things to everyone here.

11 That's pretty good. I mean I was pretty
12 impressed frankly, almost to the point where my
13 only comment, if you remember, was I find it hard
14 to validate a primate model when the guy is telling
15 me there is no toxicity. I would rather hear that
16 there was some toxicity and you avoided it.

17 So, I think, to me, it always worries me a
18 little bit when there is absolutely no toxicity and
19 everybody is cured.

20 But with that said, I think there would be
21 some things then that we could feel comfortable
22 modeling in rodent and non-human primate models.
23 Would we at least buy into that first part?

24 DR. CHAMPLIN: I think the animal models
25 in general are a useful proof of principle, but

1 obviously, they are different reagents, they are
2 different drugs, if you will, and different
3 biologics. It is great to do it as a proof of
4 principle, but when you come down to the individual
5 agents being proposed for human trials, and once
6 you have proved the principle, now you are still
7 left with doing it in man.

8 DR. SALOMON: What he did, he actually
9 used his HIV GDNF vector in the monkeys, so that
10 was his product at least to my understanding.

11 DR. CHAMPLIN: But as you are looking at
12 recombinant events and those kind of things, which
13 is what we have been talking about, you know, you
14 need to do it in a parallel system using SIV, which
15 obviously hasn't been applied to the HIV vector
16 that is ultimately going to be used in humans.

17 DR. ALLAN: I think when you go to monkeys
18 and you start talking about SIV, you say, well,
19 gee, I am not going to redesign all these vectors
20 and redo all this stuff, and, you know, we are not
21 using this in humans, so, you know, that seems like
22 a lot of work.

23 Well, you know, I mean for some of these
24 trials, you know, you can use most of the same
25 vectors. You have already got the same VSV gene,

1 you have got the same whatever therapy you are
2 trying, I mean you have already seen that it is a
3 human gene, you put it in the monkeys, and you
4 don't have to redesign anything there usually.
5 Sometimes, you know, maybe they are only 95 percent
6 related, but you are talking about if you are doing
7 gene therapy, I mean the monkey is 95 percent or
8 whatever, the chimp is 99 percent, so there is not
9 a lot you have to do.

10 Even with the difference between HIV and
11 SIV, when you are just looking at gag and pol, the
12 studies that have been done so far, and they
13 haven't really--people haven't spent enough time on
14 this, which is unfortunate, but there is only a
15 small region in the gag gene that doesn't work in
16 terms of packaging between HIV and SIV, it is just
17 a small piece.

18 So, you are not talking about all these
19 things you have got to redesign, it is just very
20 little, and I am not saying that that has to be
21 done before you go on to humans, I mean I sit on
22 these xenotransplant committees and I know that
23 that is not going to happen anyway, but I think
24 that you have really got these model systems and
25 they are sitting there and people ain't using them,

1 and those are the model systems that are going to
2 be able to tell you, I think, you know, in terms of
3 safety.

4 Certainly in toxicity, but I think also in
5 safety and also in terms of recombinational events,
6 I think that is the model system.

7 DR. NOGUCHI: Jon, would you expand a
8 little bit on that, would you be comfortable with
9 creating a VSV envelope SHIV as an example, to try
10 to get to some of this?

11 DR. ALLAN: No, I wouldn't do that. What
12 I would do is create the same system.

13 DR. SIEGEL: Are you suggesting
14 specifically that a SHIV, you could take a VSV
15 product developed, packaged product developed for
16 human use, perhaps with a SIN vector or without a
17 SIN vector, and use a SHIV model as a useful way of
18 exploring mobilization, how much occurs, which
19 vectors mobilize more and which don't, and where
20 and what time course, and things you would want to
21 know for human use, and you could do that with
22 vectors designed for humans in that model, and
23 perhaps that would model behavior of use in
24 HIV-infected humans.

25 DR. ALLAN: It should especially in

1 HIV-infected humans, especially in HIV-infected
2 humans in terms of mobilization and recombination,
3 and I think that is really the critical area, but
4 we would have to redesign like gag-pol vector. I
5 am not saying it's a small thing, but--

6 DR. JOLLY: This is Doug Jolly. Are you
7 saying that you think HIV vectors rescued by SIV
8 would be a suitable model?

9 DR. ALLAN: What I am saying is depending
10 on what you are looking at, you can use a SHIV
11 virus in the monkey and you can make a SHIV
12 packaging vector, essentially an SIV packaging
13 vector with a SHIV challenge.

14 If you use SHIV, any kind of study you
15 want to do whether it's an antisense, HIV, envelope
16 or whatever else, you could use a SHIV. You could
17 also use SIV certainly, so it just depends on what
18 you are going to use as a therapy and tested in the
19 monkey.

20 DR. JOLLY: But the vector itself, the
21 backbone of the vector would have to be SIV, right,
22 so it is a different vector?

23 DR. ALLAN: Just the gag-pol

24 DR. JOLLY: So the actual vector genome,
25 the 900 nucleotidase of HIV that are left in the

1 vector, you would use that vector, not an SIV-based
2 vector.

3 DR. ALLAN: I don't think it matters. You
4 could just plump in the gag gene and that probably
5 would take care of it. I mean Mike Emerman may
6 have some more insights than that, but I think you
7 could probably do that very easily.

8 DR. EMERMAN: I don't think you could do
9 it very easily I think you are talking about a
10 much different kind of experiments using SHIV. The
11 kind of recombinations you will get are going to be
12 different, acting vectors are going to have to be
13 much different.

14 I think it is an interesting exercise, but
15 I don't know that it actually tells you about the
16 product that you are actually going to be using.

17 DR. ALLAN: I am just talking about proof
18 of concept and trying to examine the issues
19 recombination and mobilization. I think that model
20 will give you that information, but not on a
21 specific product.

22 DR. EMERMAN: Dr. Allan, it's a five-year
23 grant. It is not a straightforward simple
24 experiment.

25 DR. SAUSVILLE: I think we have got where

1 we want to be because while I agree that it would
2 be a very interesting, intellectual, and biological
3 exercise, I think to make that a product-related
4 matter, you know, each product somehow has to go
5 jump through a hoop would be problematic.

6 DR. SALOMON: I think we all were
7 thinking, you know, you take this and that would be
8 your background and significance for your RO1 or
9 your program project probably would even be more
10 appropriate here, and I think it might enhance the
11 field, but I think we all agree that that wouldn't
12 be advice to the FDA to hold sponsors to that at
13 this point.

14 But I do think that we have articulated a
15 very important problem in the field and we spent
16 the whole day articulating it. I doubt it's not
17 clear to you by now, right? I am sure it is very
18 clear to you that we are concerned about
19 mobilization and recombination and
20 replication-competent alteration.

21 This is the kind of thing, you know, to
22 OBA. This is where NIH leadership to gene therapy
23 could come out of these kinds of discussions. I
24 mean these are really important questions and maybe
25 this is the kind of thing there should be for an

1 RFA.

2 DR. MULLIGAN: This stuff haunts the gene
3 therapy field, how do you get the basic research
4 that most directly supports these things. We were
5 talking about the assays, our interest in having
6 better assays for gag-pol, and so forth, we ought
7 to really make the case, it is very key.

8 DR. SALOMON: Any other comments? I think
9 we have answered basically all your questions, but
10 if we haven't, this is a good time to tell us.

11 DR. WILSON: I think the Committee has
12 done a really commendable job going through some
13 very difficult territory today, and we really want
14 to thank everybody on the committee for their very
15 thoughtful and thorough discussion of all the
16 issues that have been raised today. Thank you.

17 DR. SALOMON: I also want to thank the
18 committee, this is a lot of hard work, to our
19 speakers, to the audience who actively
20 participated. I think it really contributed to the
21 whole balance of things.

22 Tomorrow morning we begin at 8 o'clock
23 sharp mainly because it is so important, but a
24 number of us are going to have to make planes, and
25 I don't want to decimate the committee without

1 really getting to the meat of tomorrow's sessions.
2 So, tomorrow morning we will definitely start at 8
3 o'clock on the money, although we were pretty good
4 this morning and we did finish at 6:03, so I guess
5 we did pretty well today, as well.

6 Thank you all very much. See you tomorrow
7 morning.

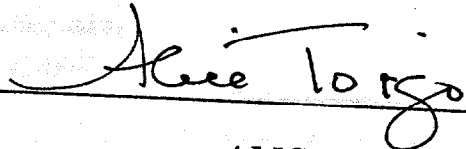
8 [Meeting recessed at 6:03 p.m., to
9 reconvene at 8:00 a.m., Friday, October 26, 2001.]

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C E R T I F I C A T E

I, ALICE TOIGO, the Official Court Reporter for Miller Reporting Company, Inc., hereby certify that I recorded the foregoing proceedings; that the proceedings have been reduced to typewriting by me, or under my direction and that the foregoing transcript is a correct and accurate record of the proceedings to the best of my knowledge, ability and belief.


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