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DEPARTMENT OF HEALTH AND HUMAN SERVICES  
FOOD AND DRUG ADMINISTRATION  
CENTER FOR BIOLOGICS EVALUATION AND RESEARCH

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BLOOD PRODUCTS ADVISORY COMMITTEE  
63RD MEETING

VOLUME II

Friday, June 18, 1999

8:00 a.m.

Double Tree Hotel  
1750 Rockville Pike  
Rockville, Maryland

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P R O C E E D I N G S

**Statement of Conflict of Interest**

DR. SMALLWOOD: Welcome to the second day's session of the 63rd meeting of the Blood Products Advisory Committee. I am Linda Smallwood, the executive secretary. Yesterday, I read the conflict of interest statement that will apply to the proceedings of this meeting today. That meeting statement is available if anyone would like to review it.

I would also like to announce that Dr. Norig Ellison has joined the committee today. Dr. Ellison, would you raise your hand, please.

Today, the agenda will be hopefully followed as printed. At this time, I would like to turn over the proceedings of the meeting to the committee chair, Dr. Blaine Hollinger.

DR. HOLLINGER: Thank you, Dr. Smallwood.

We have three main topics today. One is an informational presentation on the blood action plan and device action plan which were mandated before to see where we are with those plans. Then we are going to talk about deferral of blood donors for risk of malaria, and finally look at the development of HTLV supplemental tests.

So, with that in mind, we will start with the first presentation. Dr. Epstein is going to tell us about

1 the blood action plan.

2 **Informational Presentations**

3 **Blood Action Plan**

4 DR. EPSTEIN: Good morning, everyone. Thank you,  
5 Blaine.

6 [Slide.]

7 The FDA has been involved with the blood action  
8 plan for about two years now and, for some of you, this may  
9 be a little bit repetitive, but, perhaps for those who  
10 haven't heard this story, it will prove enlightening.

11 Starting in the early 1990s, both the FDA and the  
12 blood industry came under intense scrutiny as a result of  
13 investigations into the AIDS tragedy related to blood  
14 products in the 1980s. Much of the driving force behind  
15 these investigations came from consumer organizations,  
16 particularly the hemophilia advocates but also from the  
17 press.

18 There were certain landmark events. These  
19 included a series of Congressional Oversight Committee  
20 hearings that were lead initially by Mr. John Dingel when  
21 the House was Democratic and then later by Mr. Christopher  
22 Shays when the leadership changed.

23 There was also the publication of the book And The  
24 Band Played On, by Randy Shilts, which was highly critical  
25 of governmental action, particularly, and then a series of

1 articles in The Philadelphia Inquirer by Gilbert Gall which  
2 drew attention to existing deviations mainly reported  
3 through FDA, establishment-inspection reports and citations  
4 on the 483 form.

5           Some of these events led to a request through the  
6 Congress that there should be a study on the decision-making  
7 in the 1980s and, per request to the department, Donna  
8 Shalala funded a study by the Institute of Medicine to  
9 examine the decision-making in the 1980s related to HIV in  
10 the blood supply which led to the publication of a study in  
11 July of 1995.

12           Additionally, at the request of the Congressional  
13 Oversight Committees, there was a series of studies  
14 requested from the General Accounting Office, or GAO, which  
15 were conducted on various aspects of decision-making in  
16 blood and there have been several reports by the  
17 Congressional Subcommittee on Government Reform and  
18 Oversight that was led by Mr. Shays.

19           The issues that were raised, and I am going to  
20 summarize them on a series of overheads, briefly dealt with  
21 the following areas: first, allegations of wrongdoing by  
22 industry; second, the question of poor compliance status of  
23 the blood industry and the initial focus was on the American  
24 Red Cross although later the focus shifted to the  
25 fractionaters; the question whether there had been weak

1 oversight and weak enforcement by FDA; the alleged failure  
2 of both industry and government to communicate the risk of  
3 blood products to consumers; the charge of poor governmental  
4 coordination and responsiveness in emergencies; and the  
5 perception of a lack of clear regulatory requirements for  
6 the blood industry.

7           In addition, there were a set of incidents that  
8 brought these matters to a head. And these included the  
9 bacterial contamination of Centeon's albumin which occurred,  
10 or came to light, in September 1996, a large number of  
11 product withdrawals that were related both to manufacturing  
12 problems but also to the theoretical risk of CJD which  
13 caused great fear in the public.

14           And then there were other specific product  
15 concerns that came to light such as an incident of  
16 hepatitis A transmission by one of the companies' Factor  
17 VIII products.

18           Following a series of briefing by CBER and in the  
19 wake of comprehensive report issued by the GAO in February,  
20 1997, then Acting Commissioner Mike Friedman directed the  
21 center to identify the key issues and develop an action plan  
22 so as to focus the agency and department attention on them.

23           As a result, a blood action plan was developed in  
24 July, 1997 with the following global objectives: first, to  
25 restore public confidence in blood safety through

1 communication, through the effective correction of  
2 deficiencies and general enhancement of the blood safety;  
3 second, to bring the blood industry and all its parts into  
4 full GMP compliance; third, to streamline FDA operations in  
5 the blood area consistent with reinvention initiatives which  
6 were also ongoing mainly under Vice President Gore's  
7 Reinvention of Government Initiative; and, finally, an  
8 attempt to bring supplemental resources into the blood  
9 program to accomplish these expanded tasks.

10 [Slide.]

11 What I am showing you here is a graph that is  
12 cited from New England Journal, February '99 issue, with  
13 permission of Jim Aubichon, one of the coauthors. This  
14 shows the rather dramatic decline in the residual risk of  
15 infection with major transmissible diseases per unit of  
16 transfusion since the onset of the recognized AIDS era in  
17 blood of 1983.

18 What you can see is that there was essentially a  
19 three-log decrease in the risk of HIV, a two-log decrease in  
20 risk of hepatitis C, and a one-log decrease in risk of  
21 hepatitis B but that is on top of about a two-long decrease  
22 in risk that occurred with the screening of the blood supply  
23 since the early 1970s.

24 One might ask with these rather dramatic safety  
25 improvements, why is there an issue here?



1 [Slide.]

2 I call this current problem the blood safety  
3 credibility gap. This gap in credibility can really only be  
4 understood if one understands the implication of AIDS which  
5 I have called the shadow of AIDS. So what is the shadow of  
6 AIDS? The problem, as I understand it, is that authorities  
7 not only failed to recognize early and warn about AIDS risk  
8 from blood products in the 1980s but, at the same time, were  
9 stating that the risk was remote while, in fact, the  
10 epidemic was raging.

11 Then what happened is that public confidence was  
12 shaken both by the failure to protect the public from AIDS  
13 which, of course, came to light but, also, the perception  
14 that there was a belated, if you will, admission of the  
15 risk. I am not saying that any of this is wrongdoing. It  
16 is just that that is what happened.

17 [Slide.]

18 Then the question is why do those problems of  
19 credibility persist? The explanation lies in understanding  
20 a set of perceptions concerning the industry and concerning  
21 the FDA which are, in fact, the issues that we are dealing  
22 with. What were the perceptions dealing with the industry?  
23 That they denied their problems, both their manufacturing  
24 problems and the fact of emerging threats, that the industry  
25 operated secretively, that the industry did not function up

1 to GMP standard, that the industry maintained poor  
2 relationships with the consumer community and that the  
3 products, themselves, were of variable safety and quality.

4 On the governmental side, the perceptions are that  
5 government had been slow to act in any of its dimensions,  
6 whether it was establishing policy, enforcement actions, or  
7 taking precautionary measures and emergencies. We were  
8 accused of having worked inappropriately closely with the  
9 industry in establishing policies, having industry  
10 representatives voting on advisory committees, preannouncing  
11 blood inspections, et cetera.

12 We were accused of having a very confusing web of  
13 regulations and guidance, that our administrative  
14 procedures, particularly related to applications and the  
15 speed through which we could approve innovations was  
16 sluggish and burdensome, and that we were underutilizing  
17 available information on adverse experiences, reports that  
18 already existed either in the literature or at the CDC or  
19 through reporting mechanisms to the agency.

20 These issues were brought, in various ways, to the  
21 attention of the FDA through oversight activities. Who are  
22 the main overseers? The main overseers, as I have noted--we  
23 had the landmark report of the Institute of Medicine  
24 although the Institute of Medicine does not have a standing  
25 function of oversight with respect to government or the FDA,

1 Congressional hearings where there are, indeed, committees  
2 and subcommittees empowered with an oversight function which  
3 they liberally exercise.

4           The Office of the Inspector General is an arm of  
5 the Department of Health and Human Services and it conducts  
6 investigations both of activities of the agency as well as  
7 allegations of wrongdoing. And the General Accounting  
8 Office which provide reports on the model of white papers  
9 generally at the request of the Congress.

10           I am going to review the various recommendations  
11 of each of these bodies since 1995.

12           [Slide.]

13           First let's start with the recommendations of the  
14 Institute of Medicine in its study of July 1995. I put this  
15 first because I think that it is, in fact, the landmark  
16 event that has changed the landscape and changed the  
17 paradigm that underlies much current thinking.

18           The IOM recommended with regard to the Department  
19 of Health and Human Services that it should establish a  
20 blood safety director, a blood safety council, and an expert  
21 panel. The blood safety director was established by Donna  
22 Shalala in 1995 as the Assistant Secretary of Health, and  
23 I'm sure that most of you know that also with the current  
24 administration, the position of the Surgeon General and the  
25 Assistant Secretary of Health are merged into one position.

1 They are both currently occupied by David Satcher.

2           Additionally, a Safety Council was established.  
3 It is called the Blood Safety Committee. It is constituted  
4 by the Agency heads and deputies of the Public Health  
5 Service agencies, FDA, CDC and NIH as well as other  
6 components of the department. That group meets  
7 approximately quarterly to address all emerging issues  
8 pertinent to blood safety and availability.

9           Additionally, an expert panel was constructed.  
10 That is the PHS Advisory Committee on Blood Safety and  
11 Availability. That committee has been meeting regularly  
12 since I think it was January, 1997 and periodically makes  
13 recommendations regarding global issues of public health  
14 pertinent to blood safety and availability be they economic,  
15 social choice, legal, ethical or sort of cross-cutting  
16 public health. They have a very broad mandate to look at  
17 issues in distinction to the Blood Product Advisory  
18 Committee of the FDA which hitherto had been the only  
19 advisory group and which is really only empowered to deal  
20 with scientific questions pertinent to regulation.

21           So we look to this committee for scientific  
22 judgment. We look to that committee to sort of synthesize  
23 it in the global, societal sense.

24           The Public Health Service was specifically advised  
25 to make better use of information coming out of the CDC

1 Surveillance program, particularly early warnings, and to  
2 make efforts to monitor the supply and availability of  
3 blood. We have taken steps to improve the communication  
4 link between FDA and CDC, for example. We have a monthly  
5 conference call. It is a group that is called the PHS  
6 Interagency Working Group on Blood Safety and Availability.  
7 One of the key arms is liaison from the CDC and we do get  
8 routine reports on emerging issues and respond to warnings  
9 as well as coordinating our activities.

10 The FDA was specifically advised to rebalance the  
11 Blood Products Advisory Committee. We did this. We changed  
12 the charter in 1995 so as to permit consumer representation.  
13 Hitherto, the charter required that we have only people who  
14 were qualified based on expertise in a related science.

15 In addition, while we still have that criterion  
16 for membership, we have a criterion for persons who  
17 represent consumer interests but who are deemed adequately  
18 knowledgeable to discuss issues. And we have done that.  
19 That is why we have voting members who are advocates in  
20 addition to having a purely consumer representative who is  
21 nonvoting and considered a lay representative.

22 We were admonished and this is, perhaps, the most  
23 important thing, to implement partial solutions wherever  
24 feasible in the face of uncertainty where we thought we  
25 would do no harm. That has been called the precautionary

1 paradigm and it is impossible to understand the decisions  
2 that have been made regarding HIV antigen, regarding CJD,  
3 regarding the UK donor deferral, without truly grasping the  
4 significance of the precautionary paradigm as it was  
5 articulated by the IOM. That is the current mode of  
6 thinking.

7           Additionally, we were told to provide clearer  
8 regulation and I am going to go into some detail on a rather  
9 sweeping regulatory initiative, and to periodically review  
10 past decisions which we have been doing with the Blood  
11 Products Advisory Committee. It is one of the reasons that  
12 you are more frequently hearing informational presentations  
13 so that you know what we are up to and can think about it.

14           The Congress was advised to establish no-fault  
15 compensation for blood injury. That has not happened,  
16 although there have been certain bills passed, the Ricky Ray  
17 bill, for example, that have dealt with compensation for  
18 particular injuries for particular patient populations.

19           Physicians generally were advised to improve their  
20 discussion with patients regarding risks and therapy  
21 options. I think most treaters know that. And, lastly, the  
22 volunteer organizations were advised to be more careful  
23 about avoiding conflicts of interest

24           [Slide.]

25           What about the Shays Committee on Oversight? The

1 Shays Committee issued its first report in August of '96.  
2 It advised Congress to establish the department's Blood  
3 Safety Committee and the PHS Advisory Committee in law. The  
4 concern was that this was a wonderful step forward by Dr.  
5 Shalala but what happens next? Administrations are not  
6 forever but the Congress has not followed up on this.

7           Additionally, the Shays Committee echoed the  
8 report of the IOM and recommended that there be creation of  
9 an indemnification system for blood injury. The department  
10 was advised to disseminate more risk information and you may  
11 have noticed that there have been more public statements  
12 that the Assistant Secretary and Surgeon General have spoken  
13 out repeatedly, generally in the context of the PHS Advisory  
14 Committee on Blood Safety and Availability and taken  
15 affirmative positions on some of the complex issues in that  
16 domain.

17           The FDA was specifically advised to require look-  
18 back for hepatitis C. This was based on the perception that  
19 the Public Health Service in the large was not responding  
20 adequately or promptly to a perceived epidemic of hepatitis  
21 C and its sequelae of liver failure and cancer and that  
22 there was a latent epidemic, if you will, of those diseases  
23 which could, perhaps, be interdicted and that since one part  
24 of it, or about 7 percent, was due to blood transmission  
25 prior to the era of screening, that we should do something

1 about it through targeted look-back which we will discuss.

2 We were also advised that we should improve  
3 notification systems related to recalls and withdrawals,  
4 particularly of plasma derivatives, and we have an  
5 initiative in that area, that we should work to limit the  
6 size of fractionation pools. Those of you with a long  
7 memory know that we addressed that issue at multiple  
8 meetings of the BPAC and that there is now a voluntary  
9 policy within the industry to limit fractionation pool size  
10 to no more than 60,000 donors per final product including  
11 any and all secondary pooling or addition of excipients such  
12 as albumin into clotting factor. Lastly, we were advised  
13 that we should significantly strengthen our inspectional  
14 processes.

15 [Slide.]

16 The landmark recommendations of the General  
17 Accounting Office were put forward in their February, '97  
18 report which was quite specific and came in the wake of, if  
19 you will, corrective measures that have already been put in  
20 place since 1995. They recommended that we should create  
21 regulations to require the notification of donors who are  
22 deferred as a public-health measure presumably to prevent  
23 secondary transmissions but also to prevent such donors from  
24 coming back to redonate based on educating them to their  
25 risk, that we should test all autologous donations.



1           This was very controversial. We had met many  
2 times with the Blood Products Advisory Committee but there  
3 was a report that came out of the AABB that was quite  
4 telling indicating that there were errors of unit release  
5 which were occurring from autologous collection--autologous  
6 donations do not have to meet donor suitability standards  
7 and this was perceived to create increased risk--as well as  
8 the concern over possible exposure of healthcare workers to  
9 positive units that might be drawn but not tested and  
10 recognizing that, in the face of human frailty, universal  
11 precautions might not always be followed.

12           We were asked to: require confirmatory tests on  
13 all reactive units. That, of course, has been a  
14 recommendation of the FDA going back to the 1980s but was  
15 never a requirement; to require look-back for all markers,  
16 the FDA commented that we would review which markers were  
17 pertinent. We have not fully adopted that recommendation  
18 although we accept it in spirit; to require periodic  
19 quality-assurance tests for bacterial contamination. The  
20 Centers for Disease Control is now in the process of  
21 conducting a comprehensive surveillance of bacterial  
22 contamination in platelets as a basis for future action and  
23 we have also planned another workshop on that subject; to  
24 require universal error and accident reporting, the  
25 universality here being that it is currently a requirement

1 for licensed blood establishments but not for the registered  
2 blood establishments which collect and process blood  
3 components and so we would be extending the requirement; to  
4 move all pertinent guidance into regulations. We are  
5 talking about taking nearly three decades of accumulated  
6 guidance and moving them into regulations, no small task;  
7 and, finally, once again, to improve our inspectional  
8 procedures.

9           So that is the background. That is why we put  
10 together a blood action plan.

11           [Slide.]

12           What is the blood action plan? First of all, the  
13 blood action plan consists of a set of teams that are  
14 organized around a set of issues and it is managed within  
15 the agency on the model of project management. We have a  
16 full-time project manager that tracks the activities of  
17 about sixty different working groups and the work across the  
18 agency, about 100 people.

19           As I said, it was developed by the FDA in July,  
20 '97. However, it requires interagency participation and,  
21 for that reason, it was necessary to gain the endorsement of  
22 the department. This plan was presented to the department  
23 in late '97 and then it was adopted on behalf of the  
24 department in March of 1998.

25           So, at that point, it became not just an FDA

1 initiative but a departmental initiative. First of all, we  
2 have a steering committee which we call a core team which  
3 meets monthly. The core team, of course, monitors and  
4 directs the activity of the working groups. Each of the  
5 issue areas, of which there are six, has a chairperson and  
6 then there are working groups in each area.

7           So how did we codify all this into a set of  
8 issues? We have issues related to updating the blood  
9 regulations. We have issues related to reinvention--this is  
10 really streamlining--issues related to emerging infections,  
11 insuring the compliance of the plasma fractionaters,  
12 notification and look-back initiatives and FDA  
13 responsiveness to emergencies and class I recalls.

14           [Slide.]

15           How have we done so far? The first year, which is  
16 basically reckoned from March '98 to March '99, we did a  
17 number of things. First, in terms of regulations and  
18 guidance, we published in draft form a guidance on gamma-  
19 irradiated blood and components, which is a program pilot  
20 for, if you will, deemed licensing.

21           This is a streamlining initiative and the main  
22 concept here is that if we publish a standard for a  
23 conventional product, that the industry shouldn't have to  
24 file a detailed application with all its operating  
25 procedures and validation data. They should be able to

1 simply make a showing before the agency that they are in  
2 compliance with the published standard.

3           We would, then, issue a license on the basis of  
4 that certification. Now, in the long term, the concept  
5 would be, then, that nothing further happens except for  
6 periodic annual inspecting. Under the pilot, however, we  
7 would still do 100 percent preapproval inspecting and use  
8 the preapproval inspection as the measure or the metric of  
9 whether they were, indeed, in compliance when they said they  
10 were whereas now we establish that both by reviewing their  
11 validation data as well as by going out and inspecting.

12           So the question is whether we can bypass the  
13 application filing. But that is still in draft form. It is  
14 not yet an implemented policy although if companies were to  
15 apply under the pilot, we would probably accept it on a  
16 voluntary basis.

17           Also, many of you, I'm sure, are aware of the  
18 change in the licensing policy for biologics whereby we have  
19 moved from a requirement for two applications, an  
20 establishment application and a product application, to a  
21 single biologics application which is harmonized with that  
22 drug application, new drug application. We would then issue  
23 a single license.

24           That requirement, to file the single biologics  
25 license application, is not yet a final regulation. It was

1 published as a proposed rule. We received comments and it  
2 needs still to be reissued as a final rule. However, the  
3 agency indicated that as it published and finalized guidance  
4 for filing out the application for different product areas,  
5 we would accept voluntary filings of a single biologics  
6 license application.

7           The point here is that in the area of blood and  
8 components, we have now published the final guidance for  
9 implementation on the biologics license application and are  
10 accepting voluntary submissions of the biologics license  
11 application. So we are moving forward on the streamlining  
12 initiative for application review.

13           [Slide.]

14           In the area of developing standards, we held a  
15 number of workshops. These included a workshop on blood  
16 licensing. This was a prelude to issuing the guidance. We  
17 had a workshop on donor suitability. This is part of a  
18 regulatory initiative to develop a proposed rule on donor  
19 suitability. This is to update all of the standards that  
20 have been put in place since the 1980s such as relating to  
21 infectious-disease risk factors for hepatitis and HIV.

22           We had a workshop on nucleic-acid testing--this  
23 was focused on hepatitis C NAT--in September of '98 and then  
24 in July of '99, we also cosponsored with CDC a workshop on  
25 tick-born illness and we have already summarized that at a

1 previous meeting of the Blood Products Advisory Committee.

2 In the area of emerging infectious diseases, we  
3 established a database of all the known significant threats  
4 to blood safety. An update of that was accomplished in  
5 April, '99, roughly the anniversary of the plan. And we  
6 provided in your packet the current updated database.

7 In addition, we have provided, in '98, a narrative  
8 summary of the PHS efforts to address these threats and we  
9 will be updating the narratives by September, '99.  
10 Additionally, it has been proposed that we should take  
11 inventory of all current PHS activities related to blood-  
12 safety threats and we will be developing that inventory also  
13 by September.

14 In the area of assuring compliance of the  
15 fractionators, as you heard yesterday from Mr. Masiello, we  
16 established something called team biologics, which is a new  
17 relationship--actually, it began in October, '96--between  
18 the field force and the center for how we approach  
19 compliance in the blood area.

20 To make a very long story short, what we created  
21 was a specialized cadre within the field for us to deal with  
22 biologics which includes not just blood but also vaccines  
23 and biotech therapeutic products. That cadre of about 25  
24 people has been intensively trained in biologics law and the  
25 issues pertinent to biologics inspecting.

1           Additionally, they are supported by designated  
2 product specialists within the center and the product  
3 specialists go out on the inspections with them. The main  
4 change is that the lead responsibility for periodic  
5 inspecting now lies with the field instead of the center.  
6 The reason for that is that historically there has been more  
7 focus and training in the GMP area in the field whereas  
8 there was more product-specific knowledge in the center.

9           So, if you will, we have a bit shifted the balance  
10 toward the GMP side and therefore we have retained the  
11 premarket inspecting as the lead responsibility of the  
12 center that understands the products and the science and the  
13 lead for the postmarket inspecting on the field force which  
14 has the lead expertise in GMP.

15           We have already rolled out the problem for the  
16 plasma-fractionated products for the in vitro diagnostics in  
17 blood and for what we call the blood cadre which is a  
18 trained group of about 100 people who have had specialized  
19 training in blood and components.

20           Under this issue area, we also call for annual  
21 evaluations of effectiveness and those are being performed  
22 by the subgroup. Additionally, in the area of response to  
23 emergencies, fortunately, we have not had a class I  
24 emergency since, really, the centeon incident in '96. So we  
25 haven't been tested. But we are very mindful of the issue

1 of preparedness and we have had annual refresher training on  
2 emergency operations.

3           The most recent refresher training was just this  
4 last March. It consists of, basically, two hours of videos  
5 and discussions live with experts via pictel link. Once  
6 again, there is a quarterly performance review although  
7 there is nothing much to review quarterly when there are no  
8 incidents. But, if there are incidents, they will be  
9 reviewed on a quarterly basis.

10           [Slide.]

11           So where are we, looking ahead? In the area of  
12 regulations and guidance, we are continuing to update blood  
13 regulations and guidance and to move into guidance for  
14 implementation, the documents as the comment periods close.

15           I think everyone is well aware that we have a  
16 regulatory initiative on hepatitis C look-back. Let me  
17 mention that a revised guidance--as you know, the extant  
18 guidance is September, '98--that there was a PHS advisory  
19 committee met in January '99 and recommended extending the  
20 scope of the look-back to include first-generation screening  
21 since May 1990. We have, therefore, revised the guidance.  
22 We put that out on the Worldwide Web, the CBER website,  
23 yesterday morning or yesterday afternoon. You can download  
24 it off the Web and it will be expected to be published very  
25 soon in the Federal Register.



1           It is being published first for comment and we  
2 welcome comments. I believe we will be requesting a 60-day  
3 comment period. Let me just note that we have been working  
4 very aggressively to address the specific issues that were  
5 mentioned by the General Accounting Office such as updates  
6 on donor testing, donor notification, product standards for  
7 plasma derivatives and blood components, end-user  
8 notification in the face of withdrawals.

9           We will be working toward a proposed rulemaking in  
10 those areas and you will start seeing some of that in the  
11 fairly near future.

12           In the area of reinvention, as I said, we are in  
13 the process of finalizing the regulation on the biologics  
14 license application. We will be considering additional  
15 monograph pilots for deemed licensing in lieu of filing of  
16 applications in the blood area and we have a number of  
17 candidate proposals applicable to blood and applicable to  
18 source plasma.

19           We will be continuing to update the infectious  
20 disease threats to the blood supply--as I said, the last  
21 update to the database was just April '99 and you have  
22 copies that you are welcome to comment on--and, again,  
23 continuing to monitor the effectiveness.

24           Let me just say that there are a lot of additional  
25 areas where we expect to be developing regulations codifying

1 existing guidance. I have already mentioned some of these  
2 such as donor suitability. It is our current thinking that  
3 we should also address possible requirements to deal with  
4 infectious agent inactivation and removal in the manufacture  
5 of blood products, particularly derivatives, that we should  
6 probably codify labeling standards into regulations to  
7 create uniform blood labeling and, as I said earlier,  
8 extending the scope of the requirements of error and  
9 accident reporting to encompass all collectors and  
10 processors of blood and then certain of the issues related  
11 to notification in the face of recalls and withdrawals.

12 So that is what is on our plate. I hope that I  
13 have convinced you that the FDA has a very serious mind set  
14 addressing these issues and that they are, in fact, highly  
15 comprehensive of the concerns that have been brought to the  
16 attention of the agency by oversight bodies as well as  
17 attentive to what I call the issues of perceptions.

18 Opinion can vary how real or not real some of  
19 those perceptions are, but they are certainly issues, at the  
20 very least, of communication that we do need to address. So  
21 let me stop there. I am happy to entertain questions if  
22 time permits.

23 DR. HOLLINGER: Questions? Jay, it was an  
24 excellent summary of the blood review plan and I think  
25 something that has really been helpful to all of us sort to

1 understand the overview of this situation.

2 I might say that the transcripts of these issues  
3 are always on the website. I don't know how late they come  
4 out, how long it is between when we have a meeting and  
5 before they are published. It is not very long.

6 DR. EPSTEIN: Perhaps Linda can answer that. Do  
7 you know?

8 DR. SMALLWOOD: To my knowledge, the transcripts  
9 appear approximately 30 days after the meeting. They will  
10 be available on the website.

11 DR. HOLLINGER: I encourage you to maybe take a  
12 look at these again on this because it is a very good  
13 summary of where we are and what we are looking forward to.  
14 Thank you, Jay.

15 DR. EPSTEIN: Let me just say, by word of  
16 introduction for the next speakers, that this concept of  
17 action plans has been deemed by the agency and the center  
18 such a great success that we now have action plans in the  
19 areas of human tissue, xenotransplants and now, also,  
20 medical devices. You are about to hear about one of our  
21 newer action plans in the device area.

22 DR. HOLLINGER: Thank you.

23 With that introduction, we are going to ask Dr.  
24 Donlon, Associate Director for Medical Affairs of OCBQ to  
25 initiate the first part of this device action plan.

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## Device Action Plan

DR. DONLON: Thank you. And thank you, Jay, for that segue. The device action plan is the newest action plan in the center.

[Slide.]

I am going to briefly give you kind of a brief history and overview of the plan and then Dr. Lillian Yin will give you some of the nuts and bolts of where we are on some of the review and performance aspects.

As you may or may not know, the Center for Biologics is somewhat unique in the FDA in that it uses all of the regulatory authorities. It primarily uses the PHS Act to license biological products. It also uses the FD&C Act under NDAs for certain products. And it applies the Medical Device Amendments to certain devices which Dr. Yin will kind of bring to your attention.

So we do have a small component, primarily located in the Office of Blood of products which are regulated as medical devices under the Medical Device Amendments. That is basically the issue of why we needed to develop a device action plan.

The device action plan, as Jay indicated, because of the successes of our previous action plan, was initiated by Dr. Zoon and Dr. Feigal last fall. We just recently published it in April of this year. The committee members

1 have a copy in their folder. For those in the audience, it  
2 is available on the CBER external website under What's New  
3 for CBER. It has been posted there as of April 27.

4 [Slide.]

5 The genesis of our action plan came about,  
6 basically, last August and last fall. Under the FDA  
7 Modernization Act of 1997, there was an initiative to  
8 outreach to stakeholders. It was called the 406(b)  
9 provision in which various stakeholder meetings were held.  
10 In the meeting in August, several of the public and device  
11 manufacturers kind of raised the issue that, under the FDAMA  
12 of 1997, there were many significant changes impacting on  
13 medical device regulations.

14 The Center for Devices was moving fairly  
15 significantly ahead as far as developing new procedures, new  
16 policies, and, in addition, the Center for Devices previous  
17 to that had taken an initiative in what was called  
18 reengineering of medical-device reviews.

19 The basic question that was presented to Dr. Zoon  
20 and to our center was if the Center for Devices has all  
21 these initiatives, where is CBER as far as implementing or  
22 using these initiatives when we regulate medical devices as  
23 medical devices.

24 So, to address that, we basically did two things.  
25 First, we had our second public hearing in December in which

1 we invited very specific industry representatives and the  
2 public to voice their opinions and concerns about how we  
3 were regulating devices. Several things came up, some very  
4 predictable.

5           The issue of consistency; was the Center for  
6 Biologics consistently applying policies and procedures  
7 consistent with the way the Center for Devices had  
8 implemented things; harmonization between the two centers  
9 regarding those policies; the transparency of process for our  
10 centers as to how things were regulated, who was  
11 responsible, who was accountable, what the status of  
12 tracking, relative to that nature of that concern; whether  
13 or not we would facilitate reviews in a way that the Center  
14 for Devices had proposed of facilitating reviews and the  
15 availability and focus of various guidance documents;  
16 whether we were buying into some of the guidance documents  
17 that the Center for Devices was initiating and, clearly,  
18 communication not just between the two centers but also with  
19 the public and with industry.

20           So these were several concerns that were raised in  
21 these two meetings and, based on that, Dr. Zoon appointed  
22 Dr. Feigal and myself as co-chairs to develop a device  
23 action plan. Again, it involved several--more than several--  
24 -members of the center assigned to different teams to  
25 develop that plan which eventually was finalized and signed

1 off in April of this year.

2 As I pointed out, Dr. Feigal was the initial co-  
3 chair of this when he was the Deputy Director for Medicine  
4 in the Center for Biologics. Since that time, Dr. Feigal  
5 has been appointed the Director of the Center for Devices  
6 and Radiological Health. That was not part of our device  
7 action plan, but we welcome that appointment as an  
8 indication that we can work closely with the Center for  
9 Devices in all these areas.

10 [Slide.]

11 Let me just briefly outline the issues of how we  
12 structure the device action plan and, again, we are just  
13 beginning with this so we don't really have a list of--we  
14 have some accomplishments that we can tell you about. We  
15 don't have a large list of accomplishments the way Jay  
16 indicated with the blood action plan.

17 [Slide.]

18 There are four areas that we focused on. One is  
19 the coordination between the two centers which, again, was a  
20 key concern of the stakeholders. The second was our  
21 internal review performance, ways of streamlining,  
22 facilitating that, organizing that.

23 The issue of compliance, inspections for devices  
24 and our working between compliance in the field, the team  
25 biologics concept that Jay discussed under the blood action

1 plan. Finally, our ability to outreach and inreach,  
2 basically, the communications issue, the transparency issue  
3 relative to our regulation of devices.

4           You have the detailed device action plan in your  
5 folder. I am just going to give you the overview of these  
6 four different teams. The Issue and Coordination Team:  
7 initially, there have been intercenter agreements between  
8 the Center for Devices and the Center for Biologics. The  
9 initial one was in 1982 that was revised in 1991.

10           Clearly, eight or nine years later, it is time,  
11 again, to revise that intercenter agreement based on several  
12 things, not the least of which is the advancement in  
13 technology and different devices, combination devices,  
14 different organizational structures between the two centers.  
15 So we are undertaking a joint review of that document and  
16 revision of that document. That should take several months  
17 to finalize, but we seriously need to update that to address  
18 some of the modern technical issues relative to devices and  
19 biologics.

20           CDRH, as I mentioned before, had initiated a few  
21 years ago reengineering efforts regarding their own process  
22 and how to establish reengineering workgroups within the  
23 Center for Devices. We are establishing direct liaisons  
24 with that with our staff to their staff so, as they develop  
25 policy and procedures from their reengineering group that we



1 have an input and a knowledge of how that process is  
2 occurring and we can buy into that.

3 We put out, and this is one of our  
4 accomplishments, we have already published a Federal  
5 Register notice concurring with the many policies and  
6 procedures that Devices had been publishing last year.  
7 Under the FDAMA 1997 initiatives, they had a large list of  
8 action items that they were responsible for for taking the  
9 lead on as far as publishing policies and procedures.

10 They pretty much moved forward very rapidly on  
11 that. We were able to participate in some of those, but  
12 some of those moved beyond our ability to keep up with them.  
13 So, as an acknowledgment of our commitment to those policies  
14 and procedures, we published a Federal Register notice of  
15 concurrence basically saying that we would work within those  
16 policies and procedures as well as CDRH.

17 CDRH, again, is implementing a training program  
18 for the reviewer and their staff based on the FDAMA  
19 initiatives. We basically, rather than reinventing the  
20 wheel in biologics, will participate with them as they  
21 develop that again, hopefully developing a consistency of  
22 knowledge base and networking with the center.

23 We are developing a device web page at the center  
24 so that individuals accessing the CBER external page will  
25 find access to our device page that is under development.

1 That should be accomplished actually probably by the end of  
2 the summer, pretty quickly.

3 We do have on our web page the device action plan.  
4 We do have available on the CBER home page a list of device  
5 products that have been previously approved. We hope to  
6 have a list of our current devices that we are regulating as  
7 well as a linkage to the CDRH web page so people can jump to  
8 the CDRH web page for guidance documents.

9 The ongoing process here is that we are going to  
10 be participating in guidance development in preparation with  
11 CDRH in a collaborative way.

12 [Slide.]

13 Hopefully, the outcomes of these initiatives will  
14 lead to commitment, coordination, cooperation, communication  
15 and consistency, the "c" words.

16 [Slide.]

17 Another critical area that is a very significant  
18 part of the device action plan is our review performance.  
19 Dr. Yin will begin to address this in her brief  
20 presentation. Dr. Yin will have about half the time that I  
21 have because she talks twice as fast as I do.

22 We are currently analyzing our process, the work  
23 load and the resources we have applied to that process.  
24 This is kind of the basic strategy to define where the  
25 resources--how we can prioritize our device review, how we

1 can facilitate and streamline it and apply the right  
2 resources in the right way. We have limited resources.  
3 This device action plan does not buy us additional  
4 resources. We have to kind of work with what we have.

5 This may lead to some reorganization within the  
6 Office of Blood or the Center as far as streamlining  
7 accountabilities for these processes. This group will set  
8 review objectives and implement and manage review process.

9 In the center, in general, we have taken on the  
10 initiative of having a management review process for all  
11 products. Basically, this was an outgrowth of our user-fee  
12 initiative where we had certain time frames for our user fee  
13 for other products in the biologics. The devices do not  
14 come under the user fee here. That is why we don't have the  
15 user-fee resources for the devices.

16 Yet, we are still going to use that same  
17 management review process that we applied to the user-fee  
18 products and apply it to devices and then, basically, focus  
19 on the critical guidances that the industry will need to  
20 facilitate the review, the submissions and the review  
21 process.

22 Again, the outcomes, hopefully, will be defined  
23 expectations and priorities, meeting our time frames and  
24 deadlines in a priority type way, but maintaining the review  
25 quality. We don't want to sacrifice that. As you well

1 know, many of the devices that apply to the blood industry  
2 are very critical devices and we are not going to sacrifice  
3 quality in this process.

4 In the area of compliance and team biologics,  
5 again, as I said before, is addressing the issue of  
6 primarily of inspections and consistency of how we inspect  
7 our biological devices compared to how the Center for  
8 Devices inspects and the field operates.

9 Again, issues of policies, training, focussing  
10 specifically on an age-old question of sterility and  
11 stability of IVDs. That has always been a point of  
12 discussion and controversy. Finally, I think with the team  
13 biologics and this device action plan, we are going to get  
14 the resources focused on that to resolve that.

15 Again, outcome is coordination, transparent  
16 inspection process so that there is not a sense that there  
17 is a CDRH inspection of devices and a CBER inspection of  
18 devices and it is different. We want to have the sense  
19 that, if you are a device manufacturer using the Medical  
20 Device Amendments as your regulatory framework that you get  
21 consistent inspection and compliance actions, again, are  
22 consistent in the same way that we would have the same  
23 threshold and action items relative to compliance actions as  
24 follow-ups.

25 [Slide.]

1           The final team effort is the outreach-inreach.  
2 This is to maintain communications, dialogue with the  
3 public, with industry, with CDRH or other agencies that we  
4 need to be in dialogue with. In this sense, we probably  
5 anticipate having an annual CBER device open public forum  
6 where, again, people can come back, like a stakeholders  
7 meeting, and basically tell us what their concerns are and  
8 what initiative we need to be focussing on.

9           [Slide.]

10           Finally, some of the basic objectives here is  
11 harmony with CDRH for consistency, that our policies and  
12 procedures are efficient and that the communications are  
13 facilitated in all realms.

14           That is the overview. My colleague and now co-  
15 chair, since David Feigal left, Lillian Yin has been named  
16 co-chair of the device action plan. Those of you who don't  
17 know Lillian Yin, she is legendary in the agency. She was a  
18 division director in the Center for Devices, Office of  
19 Device Evaluation, for fifteen years. I don't know; it was  
20 a long time. She has extensive device experience and so she  
21 is very appropriate.

22           She is with us now. She is in the Center for  
23 Biologics as a specialist in devices and is certainly going  
24 to add to our implementation of the device action plan. And  
25 it is my privilege to show her slides.

1 DR. YIN: Thank you, Jerry. It is a pleasure for  
2 me to be here with you.

3 [Slide.]

4 I just joined the Center near the end of December  
5 of 1998. Before that, I was with Medical Devices and we  
6 used the acronym CDRH. I note this panel is very good. You  
7 always ask what those acronyms mean. In FDA, we are full of  
8 those. So the Center for Devices and Radiological Health is  
9 really CDRH.

10 And now I joined CBER. I am very, very pleased to  
11 have this opportunity because I think I know medical devices  
12 and especially FDAMA because we have been initiating those  
13 programs for all those years. Our action plan was just  
14 completed this April.

15 But I have the fun part. Panel chair and Dr.  
16 Epstein please stay with me for a short ten minutes or less.  
17 I usually try to time it. Normally, I will crack a fortune  
18 cookie to see what they tell me to say. But today, I don't  
19 need to. I know I have to be short and succinct so it will  
20 make life much, much easier.

21 I hope you all know the definition of medical  
22 devices. Okay; I took the opportunity to tear out a page to  
23 read it to you. A major distinction between drugs and  
24 devices, the definition is made in the statute of 1976. The  
25 definition of a device is recognized in the official

1 National Formulary or the United States Pharmacopeia or any  
2 supplement to them.

3           The intended use is in the diagnosis of disease or  
4 other conditions or in the cure, mitigation, treatment or  
5 prevention of disease in men or other animals or intended to  
6 affect a structure or any function of the body of man or  
7 other animals and does not--and this is the key--does not  
8 achieve any of its principal intended purposes through  
9 chemical action within or on the body of man or other  
10 animals and which is not dependent upon being metabolized  
11 for the achievement of any if its principal intended  
12 purposes, because, if it met the last statement I read, it  
13 means it should be a drug or a biologic. So that is the  
14 difference.

15           With that, let me tell you the fun part. I always  
16 get the fun part to talk about. It is the accomplishment of  
17 what we have done since this short time, since we only had  
18 the action plan finalized in April. The majority of devices  
19 are here with us in our Office of Blood Research and Review;  
20 OBRR, CBER.

21           One of the members in OBRR--now, you all know what  
22 that is--helped me make this logo. We are so pleased. I am  
23 so poor at the powerpoint but she made this for me. I was  
24 so greedy, I wanted both, that one and the little square  
25 one. That is so cute.

1 First, our device action plan; not only are we  
2 trying to meet FDAMA, FDA Modernization Act of 1997. In  
3 this particular action plan, I am only going to focus on the  
4 accomplishments that we are doing here in OBRR. I am  
5 stationed here in OBRR. The reason is that the majority of  
6 our devices are being reviewed here in OBRR and we have sent  
7 you the list, the CBER list.

8 [Slide.]

9 There are three things that I would like to point  
10 out for this list. This list is being prepared by Len  
11 Wilson. He is a member of our Division of Blood Application  
12 in OBRR. The three major parts that I would like you to pay  
13 attention to are that we listed devices by offices. You can  
14 see the first two pages is being reviewed by OBRR.

15 We divided that into three divisions. The first  
16 one is the Division of Blood Applications and reviewed by  
17 the Division of Hematology and the Division of Transfusion  
18 Transmitted Devices. In the future, I am going to call  
19 these DH and DTTD and DBA. Everybody must follow with me.  
20 It took me a long time to memorize those.

21 This is for OBRR.

22 [Slide.]

23 The next page we have for our Office of Vaccine  
24 Research and Review and Office of Therapeutics Research and  
25 Review. You can see from the list that the majority of the



1 devices are being reviewed by OBRR. That is why I am going  
2 to focus my attention to the few tasks we are already  
3 working diligently so far. This is one of our  
4 accomplishments. Len Wilson did it. Dr. Donlon is going to  
5 make sure that this list will be posted, will be put on our  
6 web.

7 Did you notice that, under each one, we also list  
8 a contact person. We are doing all this primarily with  
9 responding to our stakeholders comment that we receive at  
10 all those meetings. So you can see that. There is another  
11 place I would like to highlight that is little noted. That  
12 is strictly for the benefit of the industry; to process  
13 applications more efficiently, sponsors may voluntarily use  
14 a submission cover sheet found at the CDRH web.

15 That will make the logging in of the documents  
16 much, much easier. Okay; so we have accomplished that.  
17 That is the first accomplishment.

18 [Slide.]

19 Before I leave this subject, we just published  
20 this regulatory submission in electronic format and if  
21 anyone would like to submit that in electronic format, we  
22 welcome that but please follow the government--if you don't  
23 follow the instructions, we have to redo it again--not we.  
24 All of us. So this is very good to know.

25 I will tell you, for the next task, I did not have

1 slides made. This is what we are trying to do now is to  
2 assess our review process, to see how we can streamline it.  
3 By being able to streamline it, we hope we can review our  
4 applications within the statutory required time frame. And  
5 that is one very important part of the regulation for the  
6 statute in FDAMA 1997.

7           So we are actively assessing our review process.  
8 Our goal is to streamline the process and our review of the  
9 submission will meet the statutory required time frame. I  
10 put it in a nut shell for you. Task 3--and that is the  
11 part. Pay attention because we will come to you for advice--  
12 -we have anticipated that if we would develop reviewer and  
13 industry guidance documents, we can expect the quality of  
14 the submission to be improved and the reviewers may review a  
15 little bit faster or shorten the review time.

16           [Slide.]

17           So we have set up committees that will be  
18 developing those guidances. You have seen a lot of ours  
19 already. I am so pleased that this team voluntarily said,  
20 "I am willing to be part of it." You can see that. I am  
21 not going to quiz you but you see Robin Biswas is from DTTD.  
22 Can anyone tell me what that is? I'm bad. I think, in my  
23 past life, I must have been a teacher. That is the Division  
24 of Transfusion Transmitted Diseases.

25           I am not going to tell you the second one; DH. DH

1 stands for? Beautiful; Division of Hematology. Always  
2 remember the last one, now. Division of Blood Application.  
3 So we will be developing that.

4           The next thing I am going to share with you is  
5 that when we are doing that, we really need a lot of  
6 scientific know-how. Guess where we are going to find them?  
7 Right here in this panel. So be ready. When any one of us  
8 calls you, there is a good reason. I don't know about CBER  
9 panel members. In CDRH, we do pay homework for what you do  
10 for us outside the panel.

11           Dr. Smallwood, do we do that? Because we believe  
12 that if we have those guidance documents, both the industry  
13 and FDA, we all know what we expect in those documents so we  
14 should not receive documents that are so empty and  
15 miscollated.

16           [Slide.]

17           Let me give you a few examples that we believe  
18 would be good to have except we don't have the resources.  
19 But I am going to twist people's arms to do that. The few  
20 examples they gave us--I am not going to read it since I  
21 have to learn some of those words. Immuno-hematologic  
22 reagent, blending, reworking and reprocessing--we need  
23 guidance documents for those--and the product stability  
24 testing for blood-grouping reagents, anti-human globulin and  
25 reagent red cells.

1 [Slide.]

2 Examples from DH are blood collection and  
3 processing kits, anticoagulant and additive solutions used  
4 for blood collection and storage, leukoreduction filters,  
5 cell separation devices including electronics, mechanics,  
6 materials, software. Those things are very, very dear to my  
7 heart.

8 The last one, although not the least, is adhesives  
9 and solvents used in blood-product containers. I don't know  
10 how familiar you are with them but you will be very, very  
11 soon.

12 [Slide.]

13 Examples from DTTD; the guidance documents for  
14 INDs and PLAs--you all know PLA is product license  
15 applications--for hepatitis and retroviruse. For PMAs for  
16 retrovirus assays, for 510(k)s--I hope you are familiar with  
17 PMAs, 510(k)s and INDs. For 510(k)s for CMV, I know you all  
18 know that. Syphilis, et cetera. For 510(k)s for external  
19 controls for hepatitis, retrovirus, CMV, syphilis and other  
20 assays. Those are the examples we are giving, so we are  
21 asking for comments from you, are those the proper ones to  
22 do, to start out with, and do you have other  
23 recommendations.

24 So, with that, I think I am not going to prolong  
25 the talk. So let's think and let's work hard on those

1 documents or the new ones that you are going to recommend.  
2 So we are counting on your help and I thank you in advance.

3 Thank you very much.

4 DR. HOLLINGER: Thank you. Any questions from any  
5 of the committee members?

6 DR. BOYLE: Based upon discussions yesterday, what  
7 I don't understand in the action plan, there doesn't seem to  
8 be any requirement for plasma fractionators to have an  
9 automated record system for rapid review of key quality-  
10 control measures related to product safety. If FDA did  
11 require it, as I understand it, such a system would be a  
12 medical device and then the nature of that would be  
13 regulated by FDA so that it would meet the necessary  
14 standards.

15 My question is, since yesterday, a lot of the  
16 discussion related to the difficulty of the time required to  
17 do reviews of key quality-control measures related to  
18 product safety which, apparently, are in large part manual  
19 records. If the FDA required that they be automated, then  
20 the FDA would also have the ability to make sure that  
21 automated system would meet the necessary standards.

22 So, is there any thought being given to that?

23 DR. DONLON: Part of that, I think, would address,  
24 like, the GMPs and the compliance ORA field inspection and  
25 that would come under that team as far as an inspection

1 standard or criteria. Before that occurs, though, I think  
2 we need to have a policy statement basically as to whether  
3 that would be a requirement or not as far as facilitating.  
4 My guess is that, initially, before it becomes a  
5 requirement, it would be kind of like a recommendation and  
6 this is something that would have to be transitioned into by  
7 many of the industry as far as establishing it and  
8 validating it as far as that type of record is concerned.

9 DR. YIN: I would also to add that we do have an  
10 expedited review process so that if any new devices like  
11 that, the company may request expedited review and we would  
12 definitely comply to that.

13 DR. EPSTEIN: We have requirements for keeping the  
14 records. We have requirements that they be durable, that  
15 they be maintained and that they be readily available. We  
16 have always shied away from defining the mechanism of  
17 keeping the record because that changes over time as the  
18 technologies evolve.

19 Whether we would review and approve those record-  
20 keeping systems as devices, I think is a little bit more  
21 tricky because, if they are strictly in-house and they are  
22 not intended for commercial use, they are actually exempt  
23 from the device requirements.

24 On the other hand, we could, as Dr. Donlon  
25 suggested, regard the implementation of such a presumably

1 electronic system as part of current GMP. So I don't think  
2 we have really thought that through from the standpoint of a  
3 regulatory requirement. I thank you for the suggestion.

4 DR. HOLLINGER: We had someone from industry who  
5 wanted to speak to the question on the device action plan.  
6 That is Steve Binyon from Baxter. Is Mr. Binyon here? He  
7 had asked to speak. He is not here, so I presume he is not  
8 going to speak, then.

9 I am convinced to work here in the FDA, you really  
10 have to like to make documents and write a lot. I am  
11 interested in all the words. My mentor used to say when you  
12 were doing these things, you say it like it is. We have  
13 words like "harmonization." I guess that means cooperation.  
14 And things like "transparency," which I guess means  
15 visibility. Then we have "inspectional practices." I think  
16 that is the same as inspections. But all these words come  
17 up.

18 Does anyone have any discussion anymore about  
19 these plans? I think they are very well presented. It  
20 gives us some idea of what is taking place. If not, then we  
21 will go on to the next item for discussion today. This is a  
22 very important item on the deferral of blood donors for risk  
23 of malaria.

24 I am going to ask Dr. Heintzelman, Deputy Director  
25 of the Division of Blood Applications, to give us an

1 introduction and background to the issues to be brought  
2 before this committee. I believe there is to be a vote  
3 based upon the discussion this morning.

4 **V. Deferral of Blood Donors for Risk of Malaria**

5 DR. HEINTZELMAN: Good morning.

6 [Slide.]

7 Today, we are here to discuss the current thinking  
8 of CBER concerning the recommendations for donor questioning  
9 regarding possible exposure the malaria. My name is Mark  
10 Heintzelman, as Dr. Hollinger said. I am with the Division  
11 of Blood Applications in the Office of Blood Research and  
12 Review, Center for Biologics Evaluation and Research.

13 There was an update for this topic during the  
14 December, 1998 BPAC meeting. Since that time, there has  
15 been additional discussion of the exclusionary criteria that  
16 a blood donor must meet. One area in particular that has  
17 been discussed is that concerning the risk to the blood  
18 supply for donors who have traveled to an endemic malarious  
19 area during times of broad daylight.

20 The sense behind this situation is that if the  
21 mosquitos that transmit the malarial parasite are not  
22 feeding during daylight hours, there may not be a need to  
23 defer the donors that travel to a malarious area during the  
24 daylight.

25 The Centers for Disease Control and Prevention



1 will present data concerning the feeding activity of female  
2 anopheles mosquitos and the risk of acquiring malaria during  
3 broad daylight. The Armed Services Blood Program Office  
4 will present a brief overview of their experience with  
5 travel restrictions in the northwestern portion of South  
6 Korea and malarial deferral.

7           As you listen to the presentations, please  
8 remember that there are a number of interlocking factors  
9 that contribute to the safety of blood for transfusion.  
10 Currently, there are no licensed tests to screen blood for  
11 malaria. Instead, we must rely on the donor questionnaire  
12 to determine if someone has traveled to a malarious area and  
13 been put at risk for acquiring malaria.

14           The value of a donor's ability to recall where  
15 they have been and when they were there is critical to the  
16 success of our policy, both current and future.

17           [Slide.]

18           This is our current policy that is in effect. I  
19 believe that I should use this as a stepping stone to talk  
20 about the draft proposed policy steps. So many of you will  
21 already be familiar with this. I am going to review it very  
22 quickly. When I go to the proposed policy, you will see  
23 that it is essentially stepping from this point and going  
24 forward. You will see these same items noted again.

25           So, our July 26, 1994 memo states, "Permanent

1 residents of nonendemic countries who travel to an area  
2 considered endemic for malaria by the Malaria Branch, CDC,  
3 U.S. Department of Health and Human Services, should not be  
4 accepted as donors of whole blood and blood components prior  
5 to one year after departure from the endemic area.

6 "After one year after departure, such otherwise  
7 suitable prospective donors may be accepted provided that  
8 they have been free of unexplained symptoms suggestive of  
9 malaria and regardless of whether or not they have received  
10 antimalarial chemoprophylaxis."

11 [Slide.]

12 "Two, prospective donors who have had malaria  
13 should be deferred for three years after becoming  
14 asymptomatic. Three, immigrants, refugees, citizens or  
15 residents of endemic countries should not be accepted as  
16 donors of whole blood or blood components prior to three  
17 years after departure from the area. After the three-year  
18 period, otherwise suitable prospective donors may be  
19 accepted if they have remained free of unexplained symptoms  
20 suggestive of malaria."

21 [Slide.]

22 Additionally, the memo states, "Because there are  
23 no approved tests to screen donated blood for malaria,  
24 careful questioning is essential for identifying prospective  
25 donors at risk for transmitting malaria. Blood-

1 establishment personnel should carefully elicit the  
2 necessary information regarding travel and disease history  
3 in order to defer those at risk."

4 [Slide.]

5 At the end of my presentation, I will review the  
6 suggested exclusionary criteria for the newest version of  
7 the malaria document. As the process now stands, we rely on  
8 a close working relationship with the Centers for Disease  
9 Control and Prevention to identify areas throughout the  
10 world where malarial risk exists. Our current guidance  
11 identifies the Yellow Books at CDCNP as being the source of  
12 information for identification of malarious areas that  
13 require exclusion from the blood supply.

14 This leads to some interesting observations  
15 concerning recommendations to prevent malaria in travelers  
16 and suitability of travelers to serve as blood donors.  
17 Guidance for travelers concerning the need for  
18 chemoprophylaxis to prevent malaria at times can appear to  
19 be in conflict with exclusionary criteria for blood donors.

20 One issue that is indirectly linked to our  
21 discussion today is the situation wherein someone travels to  
22 a low-risk area for malaria, does not receive guidance to  
23 take antimalarial drugs, but may be deferred as a blood  
24 donor as a result of our current policy. While this is a  
25 separate issue, the deferral of a potential blood donor for

1 a risk that was not great enough to require chemoprophylaxis  
2 does cause frustration to the deferred donor.

3 I don't know if we will be able to reconcile this  
4 issue, but I wanted to point it out as one factor that feeds  
5 into this situation.

6 [Slide.]

7 So the current draft document which is built from  
8 the 1994--everyone knows this is draft not from  
9 implementation in our current thinking. It is  
10 recommendations for donor questioning regarding possible  
11 exposure to malaria.

12 [Slide.]

13 The introduction states that this guidance  
14 document is a compilation of prior guidance regarding  
15 recommendations for deferral of donors for risk for malaria.  
16 In addition, the term "resident" is being defined for the  
17 purpose of malarial deferral to be a person that has resided  
18 in a malarious area for five years or longer.

19 These recommendations apply only to donations  
20 containing intact red blood cells or platelets. Donations  
21 used for preparing plasma, plasma components or derivatives  
22 devoid of intact red blood cells, red blood cells or  
23 platelets are excluded. This guidance document also  
24 provides the recommendations of the Food and Drug  
25 Administration for donor questioning regarding travel to

1 vacation resorts located in malarious areas.

2 [Slide.]

3 FDA's recommendations for deferral of blood donors  
4 at increased risk for malaria are as follows: one, permanent  
5 residents of nonendemic countries who have traveled to an  
6 area considered endemic for malaria by the malaria  
7 epidemiology section, CDCMP, should not be accepted as  
8 donors of whole blood and blood components including  
9 platelets prior to one year after departure from the endemic  
10 area.

11 After a year has passed since departure from the  
12 malarious area, such otherwise suitable prospective donors  
13 may be accepted provided that they have been free of  
14 unexplained symptoms suggestive of malaria and regardless of  
15 whether or not they have received antimalarial  
16 chemoprophylaxis.

17 [Slide.]

18 Two, prospective donors who have had malaria and  
19 received an appropriate treatment should be deferred for  
20 three years after becoming asymptomatic. And three,  
21 immigrants, refugees, citizens or residents for at least  
22 five years of endemic countries should not be accepted at  
23 donors of whole blood of blood components including  
24 platelets prior to three years after departure from the  
25 area. After the three-year period, otherwise suitable

1 prospective donors may be accepted if they have remained  
2 free of unexplained symptoms suggestive of malaria.

3 [Slide.]

4 Number four; persons that may possess a partial  
5 acquired immunity to malaria such as those that have resided  
6 in a malarious region for at least five years, immigrants,  
7 refugees, citizens or residents of endemic countries should  
8 not be accepted as donors of whole blood or blood components  
9 including platelets for a period of three years since their  
10 last visit to the malarious region.

11 [Slide.]

12 Now, five. Before I start into five, I would like  
13 to point out that many, if not all, of the blood-collection  
14 facilities are currently determining status for travel for  
15 individuals and they will ask if people have left the  
16 country in the last three years and begin a series of  
17 questions beyond that.

18 With that in mind, the fact that it is already  
19 there, we were trying to formalize this process a bit more  
20 in coming up with these questions. This is an area that is  
21 certainly hard to define. Many of you are aware of the  
22 problems associated with good donor questioning and getting  
23 the answers you wanted.

24 As we go through this process, I would encourage  
25 feedback. We are really looking for this to be a good

1 document and this process is very valuable to us. So, in  
2 this area, if you have comments about what you might  
3 conceive as better ways to do it, we are certainly listening  
4 very hard.

5           The questions that we have proposed are; "Were you  
6 born in the United States?" If the answer is yes, the donor  
7 should be asked, "In the past three years, have you been  
8 outside the United States or Canada?" or B, if the answer is  
9 no, the donor should be asked, "When did you arrive in the  
10 United States and, since your arrival, have you traveled  
11 outside the United States or Canada?"

12           There are many issues associated with where  
13 someone considers themselves to be a resident. We define  
14 resident, for the purposes of malarial deferral for this  
15 document, to be a five-year time stay in a specific area.  
16 An individual that may speak English as a second language  
17 really may not want to admit that they resided in an area  
18 for political reasons or any kinds of persecution reasons  
19 and may have a different feeling on this.

20           So this has been a very hard area for us to come  
21 up with good questions that will address these issues.

22           [Slide.]

23           If the answer to the question in A above or the  
24 second question in B above is yes, follow-up questions  
25 should be asked to determine the country or countries that

1 have been visited. These questions should include, for  
2 example, something along the lines of, "In the past year,  
3 have you visited any rural area including a resort in a  
4 rural area in Mexico?" The reason that we have put that  
5 there is because there are a very large number of people  
6 from the United States that travel to resorts on either the  
7 Pacific or Gulf Coast of Mexico.

8 I have heard numbers of upwards of 20 million a  
9 year. Not all of those are blood donors. Maybe 5 percent  
10 is the average. Maybe up to a million of them might be.  
11 So, ascertaining whether they have gone there and knowing  
12 the risk for the areas that they have visited can have a  
13 very big impact on donor availability.

14 If a prospective donor answers yes to this  
15 question, determine whether the rural area or resort is  
16 located in a Mexican state considered at risk for malaria by  
17 the CDCMP. If so, the donor should be deferred for a  
18 minimum of one year from the date of departure from the  
19 area. The major resort areas on the Pacific and Gulf Coast  
20 of Mexico do not have a malarial risk.

21 Our presentations from CDC will give you a lot of  
22 good scientific background regarding parasite areas that are  
23 endemic, activity, feeding activity, of the mosquito and  
24 such and will reaffirm that fact.

25 Finally, blood-collection facilities should



1 further question the prospective donor regarding exposure  
2 history to better ascertain the actual risk of exposure to  
3 malaria using the information contained in the background  
4 section of this document. If questions persist, we advise  
5 that they call the Malaria Epidemiology section at CDC for  
6 further clarification.

7 Of course, when more than one deferral period  
8 applies to a donor, the longest period of deferral should go  
9 into effect. That is the most conservative approach.

10 [Slide.]

11 The question that we will pose to the committee,  
12 and I hope everyone got a copy of it coming in, is, "Do the  
13 committee members support a change in the current blood-  
14 donor policy to allow for travel the areas endemic for  
15 malaria when travel exposure was limited to hours of bright  
16 daylight?"

17 I tell you that now so when you listen to the  
18 people in the audience, when you listen to the presentations  
19 that are coming, you will know where we are going.

20 DR. HOLLINGER: Thank you, Dr. Heintzelman.

21 The next presentation is going to be by Dr. Monica  
22 Parise, medical officer for the CDC. Oh; sorry.

23 DR. BUCHHOLZ: I think that what you have  
24 presented has been very interesting but, in reference to the  
25 question as it was phrased which relates to travel in bright

1 daylight time, it seems to me there is some very important  
2 evidence lacking or that hasn't been presented which is what  
3 do we know about mosquitos, what is bright daylight.

4 DR. HOLLINGER: Don, that is going to be covered,  
5 I think, very extensively here in the next--

6 DR. HEINTZELMAN: Yes; you are absolutely correct.

7 DR. BUCHHOLZ: So there are data that define and  
8 support the fact that mosquitos--which must differ from the  
9 ones at my house, because they bite me--

10 DR. HEINTZELMAN: You probably don't have  
11 anopheles in your house. I believe that that is the whole  
12 sense of this. Should you have further questions regarding  
13 it after this presentation and the next one, we will  
14 certainly be open to discussion. That is the point in this  
15 is to determine whether you can support the purpose of the  
16 question or not.

17 DR. STRONCEK: If we vote yes to this question,  
18 later on will we--there seem to be about a dozen changes  
19 there that I saw, not just this question. Maybe we will get  
20 into that later on?

21 DR. HOLLINGER: Yes; I think we will. Does anyone  
22 else have a question? I was going to ask one question, and  
23 I don't know if it is going to be brought up, about  
24 platelets. Is there any evidence that platelets have been  
25 associated with transmission of malaria?

1 DR. PARISE: There is. I wasn't going to present  
2 data on this but I will say that there is. There are  
3 published reports.

4 DR. BUCHHOLZ: Could someone give the committee an  
5 idea of what the magnitude of this problem is in terms of  
6 number of potential donors that fall into this category,  
7 number of donations per year that have deferred and the  
8 number of transfusion-transmitted cases of malaria?

9 I think we all know that this is a problem or a  
10 potential problem, but I am not sure that I, at least, have  
11 a feel for what is the size of this. Is it two cases?

12 DR. HEINTZELMAN: No. That number is known. I  
13 believe that Monica will be providing you with that. As far  
14 as the incidence of deferral for the individual blood  
15 donations, there are about 14 million donations a year. I  
16 don't have the number from the--maybe we will have a  
17 representative from the blood associations. Kay is shaking  
18 her head no. It would appear that they don't have the  
19 number for us today either. So the number of deferrals is  
20 an unknown at this time. Is that fair to say?

21 DR. FINLAYSON: John Finlayson, FDA. I don't have  
22 the number either, but if you notice, in the presentation  
23 that Ms. O'Callaghan made yesterday, you saw that for post-  
24 donation information, the major one, numero uno, for blood  
25 donors was travel to a malarious area. So it is at the top

1 of the list on the call-back information.

2 DR. ELLISON: The paper we were handed today does  
3 give us some numbers. In 1998, 1255 of the 7104 post-  
4 donation reports is 18 percent for travel to malaria-endemic  
5 regions.

6 DR. HOLLINGER: 18 percent of the post-donation  
7 information. That still doesn't tell us the number of pre-  
8 donation--why don't we go ahead with the presentations and  
9 then we will come back because these issues are critical  
10 issues that are brought up and they may be answered.

11 **Presentation**

12 DR. PARISE: Thank you for providing us with the  
13 opportunity to present some information here. I would like  
14 to say that I am going to be doing the presentation but Dr.  
15 Trent Ruebush, the Chief of Malaria Epidemiology at CDC, is  
16 also here and will also be actively participating in any  
17 discussion that is addressed to us.

18 [Slide.]

19 This morning, I am going to present technical data  
20 related to malaria to anopheline mosquito behavior and then  
21 specifically to transfusion-transmitted malaria in the  
22 United States.

23 [Slide.]

24 Malaria is a protozoan parasitic disease caused by  
25 one of four species of plasmodium which include falciparum,

1 vivax, malariae and ovale. It is generally transmitted by  
2 the bite of an infected female anopheline mosquito.

3 [Slide.]

4 Malaria was eradicated in the United States in the  
5 late 1940s but each year approximately 1000 to 1400 cases  
6 are reported in the United States, almost all imported  
7 infections in U.S. travelers or in foreigners. A few cases,  
8 less than ten each year, are transmitted in the United  
9 States and include about two to three cases due to either  
10 transfusion or organ transplanation, congenital  
11 transmission.

12 In addition, once every one to two years, we have  
13 a case or a few cases that are due to local mosquito-borne  
14 transmission in the United States. This happens very rarely  
15 in the United States because all the necessary conditions  
16 for transmission to occur here are rarely met because there  
17 has to be a contact between a mosquito vector and an  
18 infected person who had traveled and conducive climate  
19 conditions that support the development of the parasite in  
20 the mosquito and the longevity of the mosquito.

21 [Slide.]

22 Of the imported cases that occur every year, about  
23 half are in immigrants, refugee-resident category, of people  
24 who are from other countries who come to the United States  
25 and the other half occur in U.S. travelers which includes

1 U.S. civilians and military.

2 A major risk factor for the acquisition of malaria  
3 in these travelers is failure to make use of effective  
4 chemoprophylaxis. 85 percent of these cases are associated  
5 with a failure to take prophylaxis for the use of  
6 ineffective drugs.

7 [Slide.]

8 Moving on to the mosquitos, the mosquitos that  
9 transmit malaria, the anopheles, feed almost without  
10 exception between dusk and dawn, although they may feed in  
11 daylight hours in densely shaded woodland or dark interiors  
12 of houses or shelters.

13 This is in contrast to other species of mosquitoes  
14 such as *Aedes aegypti*, the mosquitos that transmit dengue,  
15 which are primarily daytime biters.

16 [Slide.]

17 The changes that occur in monitoring behavior at  
18 different times of day or night are influenced by several  
19 environmental factors which include light, which is the most  
20 important, temperature, humidity and air currents. The  
21 changes from day to night and back again to daylight  
22 conditions have a dominating influence on mosquito behavior  
23 and affect the mosquito's level of activity and  
24 responsiveness.

25 [Slide.]

1           This activity level and responsiveness underlies  
2 all the observable patterns of mosquito behavior which  
3 include host-seeking or biting, dispersion, mating, egg-  
4 laying and occupation of resting sites during daylight  
5 hours.

6           [Slide.]

7           Laboratory experiments have shown that many  
8 cyclical changes in mosquito behavior are true circadian  
9 rhythms controlled by an internal clock which is set by the  
10 transition from light to darkness at dusk. At dawn,  
11 environmental temperature and humidity are very uniform  
12 which means that mosquitos have to use predictive clues in  
13 the environment in the search to find daytime resting sites.  
14 It appears that certain combinations of overhead shade and  
15 dark background provide those clues and give the appropriate  
16 stimuli for where the mosquito will rest.

17           Experiments have shown that once mosquitos adopt a  
18 resting site for the day, they are reluctant to move.

19           [Slide.]

20           This slide shows the biting cycle which is shown  
21 in the red line and the peak biting times which is shown in  
22 yellow for several species of anophelines in South America,  
23 Southeast Asia, Oceania and SubSaharan Africa. It goes from  
24 6:00 at night and goes to 6:00 in the morning.

25           The fact that these mosquitoes don't feed during

1 daylight hours is so well-accepted among entomologists that  
2 no recent studies since the 1930s even comment on daytime  
3 mosquito collections. They start work, when they are  
4 studying these mosquitos, at about 6:00 p.m.

5 [Slide.]

6 Many similarities exist between the determination  
7 of malaria risk for travelers and potential blood donors. I  
8 will say right from the beginning, the only way that we will  
9 completely prevent all cases in travelers would be for  
10 people to never travel to malarious areas.

11 [Slide.]

12 First, I will briefly discuss what we do for  
13 travelers. Our recommendations for malaria  
14 chemoprophylaxis, which are based on determination of  
15 geographical areas at risk of malaria, are based largely on  
16 information obtained from the World Health Organization.  
17 This information is updated on a regular basis every one to  
18 two years.

19 Several factors that can place a degree of  
20 uncertainty in these decisions are involved in deciding  
21 which areas have risk and when and are the types of  
22 information we discuss with travelers when we determine  
23 risk. Some of these include the distinction between what is  
24 urban and rural, which is especially important in the  
25 Americas and Asia because the mosquito vectors are often not



1 present in urban areas, the time of year of travel.

2           For most countries, because climate conditions can  
3 vary from year to year, the exact months when malaria is  
4 transmitted or the time of peak transmission also varies.  
5 Because we don't have detailed information in real time on  
6 these year-to-year changes in climate and malaria  
7 transmission, we generally don't vary recommendations based  
8 on season. One exception is China as the transmission there  
9 has historically been relatively constant.

10           The third issue is the time of day which is the  
11 dusk-to-dawn criterion which I will get into in more detail  
12 in subsequent slides. We, at CDC, have not had difficulties  
13 getting such points across to very large numbers of  
14 travelers and healthcare providers for many years.  
15 Furthermore, the evidence that we have about cases of  
16 malaria that we have at CDC as well as has been published in  
17 the literature from other countries, doesn't support that.  
18 The misunderstanding here is necessarily with the cases, but  
19 that cases occur because people don't get accurate pretravel  
20 medical advice or because they don't follow it.

21           [Slide.]

22           In our determinations for malaria chemoprophylaxis  
23 in travelers, we don't consider persons who were in a  
24 malarious area only during daylight hours and then who come  
25 back to a malarious area at night to be at risk for malaria

1 acquisition.

2           If travelers stay overnight at the resort in a  
3 malarious area such as resorts in rural areas in one of the  
4 states in Mexico that has malarial transmission that we are  
5 discussing in the proposed changes in the memo, they are at  
6 risk of malaria and must be deferred. The dusk-to-dawn  
7 criteria mainly affects the short-term traveler who spends  
8 most of his or her time in a large city or a very developed  
9 resort where there is no risk of malaria but takes a day  
10 trip to a nearby tourist site or a business site in a  
11 malarious area.

12           Another case scenario are Ocean Cruise travelers  
13 who are at sea at night but the boat docks during the day  
14 for persons who do sightseeing.

15           [Slide.]

16           For travelers, we aim to strike a balance between  
17 the risk of malaria and the low risk of adverse reactions to  
18 anti-malarial chemoprophylaxis drugs. In our judgement,  
19 there is more uncertainty around other issues such as urban-  
20 rural distinctions as well as time periods between the time  
21 of travel and the time of infection which I will get into  
22 shortly than around the issue that anopheline mosquitoes bit  
23 during daylight hours.

24           [Slide.]

25           Moving on to blood donors; the only way to prevent

1 all cases of transfusion-transmitted malaria would be to  
2 exclude any traveler who has ever been in a malarious area  
3 at any time in their life for the rest of their life. This  
4 would severely limit the size of the donor pool. Thus,  
5 instead, we aim to strike a balance between the risk of  
6 infection and the exclusion of as few uninfected donors as  
7 possible.

8 We have agreed upon time limits for exclusion that  
9 are set forth in the existing criteria and their scientific  
10 basis is the vast majority of cases of malaria will have  
11 been infected within these time periods.

12 [Slide.]

13 Mark has well covered the donor-exclusion criteria  
14 and the proposed change. I won't repeat these.

15 [Slide.]

16 Before I review information used to develop these  
17 criteria, I am going to briefly discuss the life cycle  
18 because there are species differences that I think are  
19 important to understand. Basically, what happens is that,  
20 in the life cycle, the mosquito injects a stage of the  
21 parasite that is called the sporozoite that is present in  
22 the salivary glands in the mosquito when it bites a person.

23 These forms, the sporozoites, are very short-lived  
24 in the blood. They are there for only about 30 minutes.  
25 They quickly are carried to the liver where they are taken

1 up by liver parenchymal cells. There they grow and undergo  
2 a development process which involves multiple divisions,  
3 evolve into a form that we call a schizont that contains  
4 thousands of little forms that will later be broken out  
5 called merozoites.

6 This maturation process takes, on average, 7 to 16  
7 days and then the liver cell ruptures and these forms are  
8 released into the blood stream. Once this happens, they  
9 relatively rapidly are taken up by red blood cells and  
10 passed through several stages of development within the red  
11 blood cells.

12 Once this occurs, once this development occurs,  
13 that red blood cell ruptures, releases merozoites again and  
14 those parasites go into other red blood cells and the cycle  
15 repeats itself. The cycle in red blood cells takes about 48  
16 to 72 hours depending on the malaria species.

17 Some of the merozoites that enter the red blood  
18 cells develop along a different pathway and develop into the  
19 sexual stage called the gametocytes. This is important  
20 because these are the ones that are infective for the  
21 mosquito and are the ones that have to be taken out for the  
22 mosquito to infect another person.

23 When an uninfected female anopheline bites an  
24 infected person, it takes up these forms and they undergo  
25 development in the mosquito which takes about 9 to 35 days,

1 depending on climate conditions and species, et cetera, and  
2 then it is ready to pass it on again.

3 Let me note, also, which I will get into in the  
4 next slide, a few of the species have dormant liver stages.  
5 I will talk about that.

6 [Slide.]

7 This is one of the major differences, this liver  
8 stage. The two species that don't have a persistent liver  
9 stage are *P. malariae* and *P. falciparum*. *P. malariae*,  
10 although it doesn't have this stage that can relapse later,  
11 it has a quality that it can remain in the blood at very low  
12 levels without causing symptoms for many years, up to  
13 decades. Case reports have been 40, 43 years. So the  
14 current donor exclusion criteria will never prevent these  
15 cases.

16 The other parasite that does not have a liver form  
17 is *Plasmodium falciparum*. Most symptomatic cases of  
18 *Plasmodium falciparum* come up within a few months. Persons  
19 who have lived in malaria-endemic areas who may have  
20 acquired some immunity to malaria can have parasitemia which  
21 may be asymptomatic at periods that last beyond these few  
22 months which can go up to a few years. I will present a  
23 little more data on details of those outliers in a minute.  
24 This is the species that is responsible for almost all of  
25 the deaths due to malaria.

1           The two species that have a dormant liver stage  
2 are Plasmodium vivax and ovale. Even without treatment, the  
3 liver stage rarely lasts longer than three years.

4           [Slide.]

5           There have been very rare cases reported in the  
6 literature and that we have noted in our U.S. National  
7 Malaria Surveillance System experience that exceed these  
8 general rules. A review of our surveillance data from 1985  
9 to 1987 showed that, of about 7400 cases in U.S. civilians  
10 and military, information on the time between travel and  
11 onset of illness was available in about 5700 cases.

12           Only 2.1 percent of these arose more than one year  
13 after travel. When we consider the immigrant refugee  
14 foreigner category, there were 6200 cases which we had  
15 information about 4200. Only 7 cases--that is, 0.2 percent--  
16 -arose greater than three years after travel or immigration.

17           Note that this one in three years that we used are  
18 the current criteria.

19           [Slide.]

20           The extreme outliers found in our U.S.  
21 surveillance system and in the medical literature are nine  
22 in 13 years, respectively, for Plasmodium falciparum, 5 in  
23 23 years for vivax and 7 in 7 years for Plasmodium ovale.

24           [Slide.]

25           There are reasons which may be related to

1 immigration status, why a person may not be truthful about  
2 travel. For example, in the course of investigations of  
3 cases of alleged mosquito-borne transmission in the United  
4 States, we found that a case-patient who repeatedly denied  
5 ever having been out of the United States later admitted it.  
6 As we consider cases, both that are in the literature and in  
7 our surveillance data where there are these very long  
8 durations between travel and onset of infection, we need to  
9 consider that there could be inaccuracy in the travel  
10 history.

11 Many studies in human volunteers and non-human  
12 primates have shown that this parasite, Plasmodium  
13 falciparum, rarely lasts longer than a year.

14 [Slide.]

15 Concern over the three cases of transfusion-  
16 transmitted malaria that occurred during 1996 to 1998, all  
17 of which were complicated Plasmodium falciparum infections,  
18 prompted us to review all cases of transfusion-transmitted  
19 malaria reported to CDC from the years 1963 to 1968. I am  
20 briefly going to discuss this review because the  
21 epidemiology of transfusion-transmitted malaria is relevant  
22 to the decision-making process.

23 The objectives of this review were to describe the  
24 epidemiology and evaluate trends in incidence and to try to  
25 evaluate reasons why cases occurred and how to better

1 prevent them. In cases where an implicated donor was  
2 identified, donor suitability was determined using published  
3 FDA and AABB donor-exclusion criteria.

4 As these guidelines have changed through the  
5 years, we looked both at the donor suitability with respect  
6 to the criteria in place at the time of donation and with  
7 respect to current criteria. There was very little  
8 difference between these two analyses, so, today, I am going  
9 to focus on the current criteria.

10 To determine the incidence of transfusion-  
11 transmitted malaria in the U.S., the cases we used were from  
12 our surveillance data. We believe that our surveillance  
13 system is probably about 50 percent sensitive, but we tend  
14 to hear about unusual cases or cases that occur in the U.S.  
15 So we think it is very sensitive for that. And we derive  
16 number of units transfused from the medical literature.

17 [Slide.]

18 From 1963 to 1998, 91 cases of transfusion-  
19 transmitted malaria were reported in the U.S. A donor  
20 couldn't be implicated in all these cases, and there were 35  
21 implicated donors, whose age ranged from 19 to 59 years, and  
22 80 percent were between 21 and 40 years of age. 91 percent  
23 were male. 59 percent were foreign born and the area of  
24 acquisition of infection was most frequently SubSaharan  
25 Africa, in 45 percent of cases, followed by Southeast Asia



1 and Central and South America.

2 We had sufficient data to judge donor suitability  
3 for 58 of the implicated donors.

4 [Slide.]

5 36 of 58, or 62 percent, of infections would have  
6 been prevented if the current donor-exclusion criteria had  
7 been correctly applied. The screening process failed at  
8 some point; for example, the donor didn't understand the  
9 question, purposefully withheld information, or the probing  
10 process was not adequate.

11 Of these cases that occurred when guidelines were  
12 not followed, approximately half occurred in immigrants and  
13 half in U.S. travel.

14 [Slide.]

15 In 22 of the 58 cases, or 38 percent, the problem  
16 was that the time period from the last travel to a malarious  
17 area and the blood donation exceeded the time limits in the  
18 donor-exclusion criteria. In cases caused by *P. malariae*,  
19 *P. falciparum* and *P. vivax* and *ovale*, times ranged from 5 to  
20 44 years, 1 to 5, and 1 to 7 years respectively.

21 59 percent of these cases occurred in immigrants  
22 and 41 percent in travelers. The travelers included either  
23 military or persons who had previously lived in endemic  
24 areas, now live in non-endemic areas and went back to visit.

25 I am going to refer to these people as VFRs, visitors to

1 friends and relatives, because I am going to get into that  
2 in a little more detail in a minute.

3           So, of cases that occurred in travelers, they were  
4 either military or the VFR category. Overall, in this 35-  
5 year period, we only identified seven non *P. malariae*  
6 infections that occurred when the guidelines were followed.

7           [Slide.]

8           Overall, the most frequent infecting species was  
9 *Plasmodium falciparum* in 34 percent followed by *vivax*,  
10 *malariae* and *ovale*. In cases where the guidelines were not  
11 followed, *P. falciparum* was most frequent species and, not  
12 surprisingly, in cases where the guidelines were followed,  
13 *P. malariae* was the most frequent species.

14           We also looked at differences in implicated donors  
15 by decade to see if there were changes with time. Since  
16 1980, there have only been three cases due to U.S.  
17 travelers. The last occurred in 1982.

18           [Slide.]

19           Questions have been raised as to whether the VFRs,  
20 the persons who visit friends and relatives, should be  
21 presumed to have retained some acquired immunity and so  
22 should be treated as immigrants and not travelers in terms  
23 of time for donor referral.

24           In 5 of 8 such cases, the infection arose within  
25 one year. Two cases occurred several years later that

1 involved Plasmodium malariae and, in only one case where the  
2 donation occurred 29 months after travel where the traveler  
3 exclusions while this three-year immigrant exclusion would  
4 have prevented the infection with Plasmodium falciparum.

5 This case was somewhat unusual as the donor had  
6 made several long trips since his immigration which may have  
7 contributed to retaining some acquired immunity.

8 [Slide.]

9 The bars in this graph show the number of annual  
10 cases of transfusion-transmitted malaria from 1963 to 1997.  
11 The line shows the incidence rate. We have difficulties in  
12 obtaining denominator data on units transfused in the 1975  
13 to 1985 years, and so that data is missing. But, basically,  
14 you can see there has been no change in the incidence of  
15 malaria, transfusion-transmitted malaria, in the last decade  
16 and it has actually decreased since the early years of the  
17 investigation.

18 [Slide.]

19 The information I have presented explains our  
20 rationale for a dusk-to-dawn criteria. We believe that such  
21 an exclusion can be implemented. One may define the safe  
22 time period as the time which is after dawn and before dusk  
23 that we don't consider to have malaria risk as the time of  
24 full daylight.

25 Not excluding persons who have had only daylight

1 travel to malarious areas is very likely to impact upon the  
2 category of immigrants and will only affect you as  
3 travelers.

4 [Slide.]

5 The first step in the screening process, done as  
6 part of the probing, could be to determine how long a  
7 traveler was in a malarious area. Anyone there greater than  
8 24 hours must be deferred as they were there overnight. So  
9 this doesn't change anything in reference to those travelers  
10 who spent that night inside a screened, air-conditioned  
11 hotel or inside a cruise ship that was docked at port.  
12 These people were overnight in a malarious area and they  
13 need to be deferred.

14 In considering persons who were there less than 24  
15 hours, did they arrive after it was fully light and did they  
16 depart while it was still fully light. They must answer yes  
17 to both questions to be allowed to donate. If a traveler  
18 isn't sure or cannot remember, we do as we do in other cases  
19 of uncertainty; for example, when we can't find an area on  
20 the map, we play it conservative and we defer the donor.

21 Thank you.

22 DR. HOLLINGER: Thank you.

23 I think we will have the final presentation and  
24 then we will come back to questions. This presentation is  
25 by Major Groshel, Blood Program, Department of Defense.

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### Presentation

MAJ GROSHEL: Military duty, whether that be active duty or even reserve duty, means that a donor stands a good chance of travel to a malarial area. Donor questions on travel history and potential exposure to malarial endemic areas take up much of our donor interview time and is an emphasis of our donor training programs.

FDA recommendations for donor questioning and areas of donor travel and potential exposure to malaria must be clear, concise and as specific as possible.

When the Armed Services Blood Program instituted a restriction on donations due to travel in areas of the northwest portion of South Korea due to possible exposure to malaria, we limited restrictions to an area north of a reference river and we exempted travelers who were only in the area during daylight hours such as day-trip visitors to the demilitarized zone.

This policy not only resulted in documentation questions from our donor centers but also in other questions like how wide does a river need to be before a mosquito will not fly across it, what is considered dawn and dusk and how does a mosquito know the difference, especially on a cloudy day. What if the donor says they were only there during the daytime but they remembered getting bitten by a mosquito or what if the donor doesn't remember if they left before dusk.

1           These were just questions that came up in  
2 relationship to that policy. Although we don't have any  
3 specific data saying that we had any errors or recalls  
4 resulting from this policy, increases in subjectivity of  
5 donor questions increases potential risk. So we would ask  
6 that all recommendations be as clear, specific and concise  
7 as possible and expect questions that will need good  
8 supporting documentation, be very clear and specific about  
9 questions that would be expected to be included on donor  
10 cards and in follow up, be consistent with recommendations  
11 for specific follow-up questions.

12           The examples included in the draft guidance were  
13 very specific in wording for follow-up questions to  
14 determine if a donor had visited a rural area or a resort  
15 but did not include specific wording for follow-up questions  
16 to determine if the donor's previous country of residence  
17 was a malarial endemic area.

18           I think the main thing that we are asking is that  
19 the donor instructions to the donor centers be as clear and  
20 as concise and specific as possible because they are the  
21 ones that are going to have to field these questions.

22           Thank you.

23           DR. HOLLINGER: Thank you.

24           We are going to take a break now until 10:30 and  
25 then we will have the open public hearing and then go into

1 the open committee discussion. It is now 10:03. Be back at  
2 10:30.

3 [Break.]

4 DR. HOLLINGER: We are going to have the open  
5 public hearing at this point. There are two people who have  
6 asked to speak. There may be others that want to, but the  
7 two people who have asked to speak--the first one is Dr.  
8 Mary Townsend from America's Blood Centers.

9 **Open Public Hearing**

10 DR. TOWNSEND: I am Dr. Mary Townsend. I am co-  
11 chair of the America's Blood Center Scientific, Medical and  
12 Technical Committee. I am Medical Director of Coffee  
13 Memorial Blood Center in Amarillo, Texas.

14 ABC thanks the committee for the opportunity to  
15 present our recommendations and comments on the issue of  
16 deferring donors who may be at risk for infection for  
17 malaria. For your information, ABC's not-for-profit,  
18 community-based members provide nearly half of the nation's  
19 volunteer donor blood supply. Blood-collection facilities  
20 frequently have requested clarification from FDA on  
21 requirements for evaluation of donors who have visited or  
22 lived in countries in which malarial transmission occurs.

23 The focal nature of malaria transmission within  
24 endemic areas, the seasonality of transmission and other  
25 intrinsic characteristics of malaria as well as the wide

1 spectrum of activities and their recall complicate  
2 evaluation of donors who have been in endemic areas.

3           The magnitude of the complexity is reflected in  
4 the high rate of errors and accidents reported to FDA as a  
5 result of post-donation information having to do with travel  
6 to malarial endemic regions. In 1998, these amounted to  
7 1,255 of the 7,104 reports, or nearly 18 percent of all  
8 post-donation information reports.

9           Since prevention of transfusion-transmitted  
10 plasmodial infection is the goal of donor interrogation  
11 about travel outside of the United States, the epidemiology  
12 of transfusion malaria should be the basis of our donor  
13 screening efforts as suggested by Dr. Parise of the CDC.

14           [Slide.]

15           This table is extracted from the last four CDC  
16 malaria surveillance summaries and reflects the current  
17 epidemiology of malaria in the United States. In the years  
18 studied, only 2.6 percent of malaria diagnosed in the United  
19 States was acquired in Mexico and the Caribbean,  
20 extraordinarily popular destinations for tourists and common  
21 points of origin for immigration.

22           A review of the cited surveillance summary shows  
23 that none of the two to three annual cases of transfusion-  
24 associated malaria, including the three most recent cases  
25 occurring during the 1996-1998 reporting period, as reported



1 in the April 2, 1999 issue of MMWR, could be definitively  
2 attributed to donor infection acquired in Mexico or the  
3 Caribbean.

4 In fact, the three donors associated with the 1996  
5 cases all immigrated from West Africa. Of additional  
6 interest, correct application of current FDA and AABB  
7 malaria deferral standards could and should have prevented  
8 all of the recently reported transmissions of malaria by  
9 blood components.

10 We attribute these failures, in part, to the  
11 increasing complexity of donor medical history. We feel  
12 that the new proposed questions regarding country of origin  
13 and subsequent travel and immigration should greatly improve  
14 the problem of donor history failures.

15 The adequacy of the blood supply is marginal and  
16 we believe that adequacy is a safety issue. Data from the  
17 AABB's national blood data resource center previously  
18 presented earlier this month to the Transmissible Spongiform  
19 Encephalopathies Advisory Committee suggest that demand for  
20 blood and blood components for transfusion will exceed the  
21 supply of donations from volunteer whole-blood donors in the  
22 Year 2000.

23 Under these circumstances, changes in malarial  
24 criteria for volunteer whole-blood donors must not result in  
25 significant increases in deferrals especially for travel to

1 Mexico and the Caribbean since they would not materially  
2 reduce the incidence of transfusion-transmitted malaria.  
3 This concern is rendered more acute by the TSE Advisory  
4 Committee's recent advice to FDA that certain donors with  
5 residence in the United Kingdom during the interval 1980 to  
6 1999 be deferred due to theoretical concerns about  
7 transmission of new-variant CJD.

8           It is estimated that this action will result in  
9 the loss of 2.2 percent of eligible volunteer whole-blood  
10 donors. We applaud, and many facilities such as my own have  
11 already adopted, the suggested mandate by AABB for a  
12 question, "In the past three years, have you been outside  
13 the United States or Canada?" This question is unambiguous  
14 and will standardize the donor interview at blood-collection  
15 facilities.

16           The plan for additional and follow-up questions  
17 proposed this morning are appropriate. A precise definition  
18 of residence is greatly appreciated.

19           Regarding the issue raised today regarding rural  
20 versus urban resorts; we are concerned that that  
21 determination of urban versus rural resorts in Mexico, the  
22 Caribbean and elsewhere will be arbitrary absent a  
23 definitive categorization by CDC and/or FDA that can be  
24 updated as appropriate. Because of the volume of travel by  
25 donors in these two former destinations, an authoritative

1 list, or at least definition, for these areas would be the  
2 most important assistance in simplifying initial donor  
3 screening we can ask for.

4 We oppose taking from blood-collection facilities  
5 the flexibility to inquire regarding potential day versus  
6 night exposure particularly with reference to travelers to  
7 Mexico and the Caribbean. Many thousands of donors vacation  
8 in these areas and may be deferred by such a policy. The  
9 CDC has provided today for you the opinion that this is a  
10 meaningful differentiation and, in the absence of  
11 transfusion-transmitted malaria from Mexico and the  
12 Caribbean will result in donor loss with no increment in  
13 safety.

14 Finally, the FDA must take pains to avoid  
15 providing mixed message to traveling donors that conflict  
16 with that information that they have obtained pretravel from  
17 the CDC or from their physician relying on CDC information  
18 for malaria prevention advice. It is wrong, we think, for a  
19 donor who has been advised before traveling that that risk  
20 of malaria on a trip to a Mexican resort or a Caribbean  
21 cruise is inadequate to demand preventive medicine than to  
22 be subsequently told that he is being deferred for the  
23 theoretical risk of malaria.

24 Surveillance data from the CDC cited above  
25 suggests that such transmission is not occurring with a

1 recognizable incidence using current donor-screening  
2 techniques including discretion of medical directors to  
3 assess risk.

4           Before any such step is taken, its impact on donor  
5 loss, both nationally and on a regional basis, should be  
6 assessed in a survey analogous to that which was undertaken  
7 to assess the impact of new-variant CJD deferrals.

8           I thank you very much for your time. Are there  
9 questions?

10           DR. HOLLINGER: Thank you, Mary.

11           DR. BOYLE: Would you turn that back on for a  
12 minute? I just want to be sure I understand. Using 1995 as  
13 an example, the difference between the 32, which are  
14 malarial cases acquired in Mexico and Caribbean, and the  
15 total are ones known to be acquired outside of Mexico and  
16 Caribbean or the ones that you don't know where they were  
17 acquired?

18           DR. TOWNSEND: They were outside of Mexico and  
19 Caribbean. And then the two that were transfusion-  
20 transmitted were from Ghana and Nigeria.

21           DR. BOYLE: Thank you.

22           DR. HOLLINGER: Thank you.

23           DR. EPSTEIN: I had a question for Dr. Townsend.  
24 What is your thought, Dr. Townsend, about whether the  
25 absence of cases from Mexico or the Caribbean is due to

1 effective screening? It is a cart-and-horse problem. You  
2 have got screening and maybe it is more accurate for persons  
3 who, say, travel to Mexico and the Caribbean or immigrate  
4 from Mexico or the Caribbean compared with the accuracy of  
5 screening for African immigrants who travel to Africa.

6 DR. TOWNSEND: I think the screening has been  
7 effective. What we are looking for is some guidance in  
8 regard to differentiation between rural resorts, day and  
9 night exposure.

10 DR. EPSTEIN: I hear you, but I am quarreling with  
11 the observation that the risk for Mexico and Caribbean must  
12 be low because you don't see cases. That might just reflect  
13 effectiveness of screening.

14 DR. TOWNSEND: I wouldn't argue that.

15 DR. BUSCH: But I think, Jay, the issue is is  
16 there going to be a further tightening. Operationally, now,  
17 it sounds like most blood centers are not deferring people  
18 who have just had day visits out of the main resorts to  
19 these more rural regions. So if there were any  
20 consideration of further tightening, then the issue is is  
21 there any transmission of the current policy.

22 The second person who has asked to speak is Dr.  
23 Michael Busch who will speak on behalf of the AABB.

24 DR. BUSCH: My name is Mike Busch. I am at Blood  
25 Systems and University of California, San Francisco. I am

1 presenting on behalf of the AABB Transfusion-Transmitted  
2 Disease Committee.

3           The AABB is the professional society for over  
4 9,000 individuals involved in blood banking and transfusion  
5 medicine. It represents roughly 2200 institutional members  
6 including community and Red Cross blood-collection centers,  
7 hospital blood banks, transfusion services, as they collect,  
8 distribute, transfuse blood and blood components and  
9 hematopoietic stem cells.

10           Our members are responsible for virtually all of  
11 the blood collected and more than 80 percent of the blood  
12 transfused in this country. For over 50 years, the AABB's  
13 highest priority has been to maintain and enhance the safety  
14 of the nation's blood supply. The Association operates a  
15 wide variety of programs to meet safety priority and is  
16 proud to have played a key role in insuring the nation's  
17 blood supply is the safest it has ever been.

18           The AABB appreciates the opportunity to provide  
19 its comments on the topic of deferral of blood donors at  
20 risk of malaria. Malaria is a rare but potentially serious  
21 complication of blood transfusion. On average, two to three  
22 cases per year have been reported to the CDC from 1958 to  
23 1998. Thus, three reported cases between 1996 and 1998  
24 should not be viewed as an indication that this problem is  
25 suddenly increasing. Nevertheless, all measures currently

1 in place for the purpose of reducing the risk of  
2 transfusion-transmission of malaria should be examined to  
3 see if improvement is possible.

4           The donor interview is a critical component in  
5 determining possible malaria exposure, especially since  
6 readily available testing methods are not predictive. The  
7 AABB publishes a uniform donor history questionnaire which  
8 has been approved by the FDA. The question about travel  
9 asks, "In the past three years, have you been outside the  
10 United States or Canada?"

11           A yes answer then prompts more specific questions  
12 to elicit where, when and for how long. We believe this  
13 question is very straightforward and the most appropriate  
14 way to obtain this information. For donors who have been  
15 outside the U.S. in the last three years, additional  
16 questioning can determine whether the donor was an immigrant  
17 or a resident in a malaria-endemic country if FDA determines  
18 that specific deferral criteria should apply to these  
19 donors.

20           Adding such additional specific questions for all  
21 donors is not warranted. It will increase the amount of  
22 time answering questions which are not applicable to most  
23 donors and will add to the length of the total time required  
24 for donation. Additional questions that are not of high  
25 value in distinguishing donors at risk also take emphasis

1 away from the extremely important questions.

2           However, should the FDA decide to add questions,  
3 these questions must be practical and nonoffensive to  
4 prospective donors. It would be requested any such  
5 additional questions be field tested in selected blood-  
6 collection facilities prior to implementation in the entire  
7 country.

8           Such field testing would, perhaps, identify  
9 questions which are not clearly understood by prospective  
10 donors. FDA should demonstrate not only that the new  
11 questions are understood but that new questions will  
12 actually result in a more appropriate deferral than the  
13 current questions.

14           The major issue faced by blood-collection  
15 facilities primarily lies in the proper identification of  
16 geographic regions considered at risk for malaria. Although  
17 CDC publishes health information for international  
18 travelers, commonly known as the Yellow Book, the  
19 information is not user-friendly. AABB requests that an  
20 easy-to-locate, readily understandable and up-to-date  
21 reference be made available, perhaps on the Internet.

22           Blood centers also call CDC directly for  
23 information and find it very confusing when CDC advice  
24 appears to contradict the FDA advice. AABB requests that  
25 CDC and FDA harmonize their thinking so that there is an



1 agreement about what constitutes malaria risk.

2 In conclusion, AABB will be pleased to have FDA  
3 clarify its expectations in a new guidance on deferrals for  
4 malaria and urges FDA to make this guidance practical for  
5 blood centers and consistent with advice provided by CDC>

6 Thank you.

7 DR. HOLLINGER: Any questions of Dr. Busch? Mike,  
8 I want to ask you just a question about from the blood-  
9 banking circumstance. I go to Bangkok. Bangkok is  
10 considered an urban area and not of concern, Bangkok,  
11 itself. I decide to fly to Angkor Wat which has falciparum,  
12 in fact, resistant falciparum. I am there just in the day.  
13 I fly there that morning. I to the Angkor Wat. I come back  
14 that afternoon and go back to Bangkok.

15 That has to deal with a little bit of the question  
16 that we are going to be asked today. The same thing goes  
17 with a port. You come into a port where there is malaria in  
18 the area. You arrive there in the morning. You go out and  
19 you visit places out in the port and then you get back on  
20 your boat and the boat leaves versus the boat staying there  
21 overnight.

22 How does the blood-banking community now handle  
23 those two questions if I were there and I said, "Yes; I was  
24 here at these places." What would you do for me in the  
25 first instance in terms of the Angkor Wat. I didn't stay in

1 Angkor Wat overnight but I just went there that day and came  
2 back. How would they handle it today?

3 DR. BUSCH: To be honest, I am not that involved  
4 on the front-line, how the nurses actually would handle  
5 those questions. My sense would be that we are  
6 discriminating. Thailand is much more of an unknown to us  
7 so my sense would be that you would be deferred in that  
8 scenario in Thailand whereas here, probably, in the  
9 Caribbean resorts, you would not because it is a much more  
10 controlled environment.

11 I don't know the answer.

12 DR. HOLLINGER: That is the issue, basically,  
13 because Bangkok is okay but--in terms of the CDC, it is  
14 okay.

15 DR. TOWNSEND: This is what we do every day.  
16 Basically, in either of those cases, I think probably  
17 neither donor would be deferred. What I have done in the  
18 past, when it gets complicated, I put a phone call in to the  
19 CDC and ask them and they will tell me, this donor is at  
20 risk or is not at risk. Basically, it is based on the  
21 information that you have heard today, from a dusk-to-dawn  
22 situation.

23 We have similar situations when people leave the  
24 big resort and they go on a river cruise which is  
25 considered--overhanging dark trees, a little bit, high

1 water. So we would defer those donors but, often, it is  
2 based on a case-by-case basis and if there is a question, we  
3 consult the CDC.

4 DR. HOLLINGER: So, currently, what we are being  
5 asked of the questions, and I just want to be clear here, is  
6 in addition to what is being--for example, if we accept the  
7 question as it is posed about dusk-to-dawn, as it seems like  
8 it is being utilized now, then nothing different will  
9 change.

10 DR. TOWNSEND: That is correct.

11 DR. HOLLINGER: On the other hand, if we vote  
12 against that, then there will be additional factors here and  
13 those people will be viewed differently.

14 DR. TOWNSEND: There would be additional  
15 deferrals.

16 DR. HOLLINGER: Additional deferrals to those  
17 areas. Okay.

18 DR. NELSON: I don't think you could do that trip  
19 overnight. The airport is at Siam Reef and it is a ways, so  
20 you would probably have to stay overnight. But, at any  
21 rate--

22 DR. HOLLINGER: It's a good question, anyway.  
23 Actually, I did look into that. And there are planes that  
24 will get me there and get me back.

25 DR. NELSON: Oh; okay. But one of the questions I

1 had was about the 18 percent of post-donation information  
2 that led to all sorts of complex actions. I wondered what  
3 happened in that setting. Did somebody just forget? Or did  
4 they subsequently report that, oh, yeah, they stayed  
5 overnight?

6           Looking at those data might be--because that is  
7 really sort of what is at issue, was it a real risk or is  
8 the question too complicated now, or--I don't understand.

9           DR. HEINTZELMAN: I think it safe to say it is all  
10 of the above. Look back to your own experiences in the last  
11 year if you have been on a vacation. Do you remember that  
12 vacation? Do you remember where you were? Do you remember  
13 the time of day? Those are the questions that you have to  
14 be able to answer to address those post-donational callbacks  
15 that are related to malaria--I think the number on the  
16 document is 1,255--are places where the people forgot during  
17 the time of donation.

18           You can only wonder how many completely forgot and  
19 didn't remember a week or two later. Those are very real  
20 issues. The science for the mosquitos, the parasitology is  
21 very well understood. I don't believe there is any question  
22 about that. The implementation strategy and people's  
23 ability to recall is another part of this.

24           It is a very complex issue and there are  
25 differences of opinion in the blood community regarding

1 this. That is the reason why we bring it to your attention.

2 DR. HOLLINGER: Is there anybody else from the  
3 public that wishes to speak at this point before I close the  
4 public hearing and open this up for the committee.

5 MS. JETT: I am Betsy Jett from NIH. I just want  
6 to talk a little bit more about that implementation piece of  
7 it because that is a nightmare for us like it is for  
8 everybody else.

9 I would like to see CDC publish a much more  
10 detailed map than they currently provide because a lot of  
11 our donors couldn't tell you the name of the province they  
12 were in. So a better map would help. A better definition  
13 of rural versus urban would be very helpful, especially in  
14 Thailand.

15 The last thing is not only does the questioning  
16 take a long time, but the documentation of that interview is  
17 also very cumbersome. So I would like the policy-writing  
18 people to talk to the compliance people and kind of get  
19 together because, right now, we have to document not just  
20 that, yeah, they visited an area but we determined it was  
21 okay, we have to say where they went, all the details of the  
22 case report in our donor documentation. That is a problem  
23 for us. It is hard. It is a lot of work.

24 DR. HOLLINGER: Thank you. Appreciate that.

25 Anyone else from the audience want to say

1 something? If not, I am going to close the open public  
2 hearing and we will open it up for discussion.

3 **Committee Discussion**

4 DR. EPSTEIN: I am afraid this may add to  
5 complexity but I think one of the questions for the  
6 committee is that if you are uncomfortable about the  
7 accuracy of histories, then the recommended policies should  
8 be deferral. However, one could incorporate into that  
9 policy the concept that if one then gets more specific  
10 reliable information, one can override the deferral.

11 So, for example, with regard to resorts in rural  
12 areas of Mexico, it has been pointed out that all resorts  
13 are not the same and how do you define a resort. So you  
14 could have a policy that you defer donors if they went to  
15 resorts in rural areas unless the resort is identified and  
16 it is checked with CDC or it is on a CDC list that that is  
17 not a malarial risk at that resort.

18 Likewise, you could have a policy that you defer  
19 for exposures in malarious areas, time of day not  
20 withstanding, unless the medical director can reliably  
21 ascertain that exposure was limited to hours of bright  
22 daylight. It is really a question of where do you put the  
23 onus of checking. So I just think that we can have a little  
24 bit more complex recommendation that, on the other hand,  
25 would mitigate unnecessary deferrals, but it puts the onus

1 of validation on the medical director.

2 DR. BOYLE: Could somebody just explain to me the  
3 process--the questions now about was it during daylight, or  
4 was it urban-rural, or whatever, is that part of the  
5 standardized questionnaire people are filling out or are  
6 they follow-up questions that are being asked by nurses once  
7 they have seen the first thing on the form.

8 DR. FITZPATRICK: Those are follow-up questions.  
9 The initial question is, "Were you outside the United States  
10 or Canada in the past three years?" That is the only  
11 standard one. Everything else is follow up that the  
12 interviewer has to draw out of the donor.

13 DR. BOYLE: Is that question that is being posed  
14 to us to add this criteria to the written form or simply  
15 make sure it is a criteria that would be followed by the  
16 nurse or the person who does the follow up?

17 DR. HEINTZELMAN: In that regard, if you are  
18 referring to the question to the committee--

19 DR. BOYLE: Yes.

20 DR. HEINTZELMAN: If the committee was to vote in  
21 favor of that and FDA was to enact that into its policy, it  
22 would probably require a fairly extensive rewriting of that  
23 entire document so it would become incorporated into the  
24 document in the areas where it is appropriate.

25 Does that answer your question?

1 DR. BOYLE: The document being the questionnaire  
2 as opposed to the training materials.

3 DR. HEINTZELMAN: The document that I am referring  
4 to would be the malaria memo. I can't respond to what the  
5 individual blood banks are doing. We have to ask a  
6 representative from that area.

7 DR. HOLLINGER: Other questions?

8 DR. RUEBUSH: I am Trent Ruebush from the CDC.  
9 Perhaps I could respond to a couple of the questions or  
10 comments that were made. Someone asked about why we are not  
11 seeing more cases from Mexico and the Caribbean. Perhaps  
12 the reason that we are not seeing transfusion-induced cases  
13 from those areas is because the screening techniques are  
14 very good.

15 I think the real reason is there is very little  
16 malaria transmission; in the Caribbean, really only the  
17 island of Hispaniola. Haiti and the Dominican Republic have  
18 malaria. There is no malaria in any other Caribbean island  
19 and even the level of transmission in Mexico is quite, quite  
20 low. So the risk is low and I think that is the reason you  
21 are not seeing many cases.

22 I think it was Dr. Epstein brought up the point  
23 about, perhaps, making some slight modification. We feel  
24 very strongly at CDC that if there is ever any doubt about  
25 where a traveler is going, because we usually get involved



1 in that end of things in terms of prevention, or if we get a  
2 call from a blood bank, defer is there is any doubt.

3 But, again and again, we do get calls, and I work  
4 in a travel clinic in Atlanta where we have a lot of Coca  
5 Cola executives coming in. They are going to be traveling  
6 to Latin America or to Asia. They are going to be staying  
7 in a four-star hotel in a big city, but they are going to  
8 take a day trip to a factory in the middle of a rice field.

9 We feel very comfortable telling them they don't  
10 need to take malaria prophylaxis. Frankly, if a blood bank  
11 calls me, I would feel comfortable saying to them that  
12 person is okay. So I think we would feel comfortable, more  
13 comfortable, perhaps, than one side or the other with some  
14 sort of intermediary point.

15 Someone brought up the issue that they would like  
16 to have from CDC better maps of the malarious areas of the  
17 world. We would, too. The problem is that, in many parts  
18 of the world, the areas where malaria is really transmitted  
19 are not well-defined. Where it is transmitted this year or  
20 this season may be different next year or next season  
21 because of rains, floods, whatever.

22 I think we could do a better job in that, at least  
23 in some countries, come up with better maps. But many, many  
24 of our questions that we get from blood banks could be very  
25 easily answered at the blood bank if they simply had the

1 Yellow Book and a decent atlas because, basically, that is  
2 what we end up doing when we get a call.

3           They will tell us, "We have a potential donor who  
4 has traveled to a given country." We will ask, "Where?" We  
5 will pull out an atlas and then try to find the name of that  
6 city. A lot of that could be done, I think, at the blood  
7 bank. So we could, perhaps, help in providing better maps  
8 but something that would take care of, I think, 85 or  
9 90 percent of the problems would just be a decent college-  
10 level atlas at the blood banks.

11           We do occasionally get questions about travel to  
12 places like Buenos Aires which they could certainly handle  
13 at the local level.

14           DR. PARISE: We get an average of about twelve  
15 calls a day. Some days it is six. Some days it is twenty.  
16 And that is all we do is look at our atlas. I very rarely  
17 have a difficulty with it. There are a few countries that I  
18 agree, we don't do very well, and those are Peru, Columbia  
19 and Ecuador. There may be a few other South American  
20 countries.

21           So I think maybe us trying to get a more detailed  
22 map of the provinces and departments in those countries  
23 would avoid confusion because it is a headache for me when I  
24 am in the middle of my lunch and I have to look for those  
25 provinces and I can't find them, either.

1 But, in general, a normal atlas, we answer  
2 90 percent of these questions. We don't have anything  
3 computerized at all. We just look at the atlas and the  
4 Yellow Book.

5 DR. ELLISON: The suggestion was made by Dr.  
6 Epstein, I believe, that you have a list of resorts that are  
7 acceptable. Do you have such a list now?

8 DR. PARISE: We do have a list. We can't  
9 publicize the list with names.

10 DR. ELLISON: It is not a very effective list.

11 DR. PARISE: When we first started these  
12 discussions a couple of years ago and we got into  
13 discussions about some specific names of resorts, those  
14 resorts were on the phone with our lawyers almost  
15 immediately. I think it would be very unlikely that we are  
16 going to be able to publish names.

17 DR. HOLLINGER: On the other hand, if we take a  
18 cruise ship, you can look in and find out what their  
19 inspection has been with a number for any of the cruise  
20 ships around, if they have had a real safety record or the  
21 value of their score is a safe score.

22 DR. PARISE: I think, and there, Trent, you can  
23 comment, there are inspections of those cruise ships and CDC  
24 has information on that. We don't have that on the resorts.  
25 Really, we make this determination by looking at--no one

1 will agree to us going in and inspecting these resorts and  
2 collecting mosquitos.

3           So we really just, from Atlanta, make the best  
4 judgment we can.

5           DR. ELLISON: My second question has to do with  
6 the Yellow Book which I have never had occasion to use, but  
7 having just completed reading the federal income-tax  
8 guidelines, is there anything you can do to make the Yellow  
9 Book more user-friendly?

10           DR. PARISE: I think we would be open to a  
11 dialogue with blood centers because we are so inside of it.  
12 When I sit down with an atlas and the Yellow Book, I can  
13 answer these questions with very little difficulty. But we  
14 would be open to a dialogue with blood centers and whatever  
15 forum might be proposed to try to do that and put it on the  
16 internet. I don't think we are averse to that.

17           DR. CHAMBERLAND: In follow up to the question  
18 about CDC's ability to publish a list of resorts, I think  
19 Monica has indicated the difficulties that we would face  
20 doing that and that we actually, as opposed to cruise ships,  
21 don't obtain objective data.

22           But I would add that I would wonder if it would be  
23 possible, let's say, being in a guidance document or  
24 whatever, if perhaps CDC, FDA, could amplify a little bit of  
25 disease discussion of what constitutes an urban versus a