

Each of the categories specified is a separate reporting component. Federal agencies will direct recipients to report on the mandatory category and may also require reporting on optional categories, as appropriate. Recipients will not be required or expected to report on each of the questions or items listed under a particular category. They will be advised to state "None" or "Nothing to report" if they have nothing significant to report.

Agencies will utilize the standard instructions that have been developed for each category, but may provide additional program-specific instructions necessary to clarify a requirement for a particular program. For example, the Environmental Protection Agency (EPA) is required to collect information on environmental impacts; so under the following reporting question: "How has the project contributed to society beyond science and technology?", the EPA can direct recipients to specifically report on the research's benefit or impact to the environment.

Agencies also may develop additional agency- or program-specific reporting categories and instructions (e.g., the National Institutes of Health may need to collect additional information on clinical trial awards); however, to maintain maximum uniformity, agencies will be instructed to minimize the degree to which they supplement the standard categories.

### III. Invitation to Comment

Input is welcome on any aspect of the proposed standard reporting categories. Questions that individuals may wish to address include, but are not limited to, the following:

- Are the categories and the elements appropriate?
- Are there other elements that should be included under the reporting categories?
- Should other categories be mandatory?
- Are the instructions straightforward and easy to understand?
- Should agencies defer final implementation until there is a common solution for collecting the information electronically? Should agencies use a downloadable fillable form or web-based form to report progress?
- The RBM Subcommittee may consider using this format for final reports as well as progress reports. Is this proposed format appropriate for a final report? If so, should recipients be directed to provide summary information for the entire project period, or just for the last period? If not, what information should be included in a final report?

### IV. Paperwork Reduction Act

In furtherance of Pub. L. 106–107, and its goal of streamlining the Federal grant process, this proposed format will reduce the burden on recipients currently expending time and effort on a variety of agency-specific forms. Under the Paperwork Reduction Act (PRA), OMB assigns a control number to each "collection of information" that it reviews and approves for use by an agency. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless the collection of information displays a valid OMB Control Number. The PRA also requires agencies to estimate the burden for each collection of information. Given that this reporting format has not yet been utilized by Federal recipients to report progress on research awards, it is difficult to estimate the burden hours associated with this information collection at this time. Also, because individual components of the proposed RPPR are in a new format, burden hours per component are uncertain. Depending on which optional components agencies select in addition to the mandatory component, however, burden hours may be estimated within a range. It should be noted that burden estimates associated with forms currently in use range from a minimum of 5 hours to a maximum of 16 hours, depending on the type of research project being supported.

#### Proposed PRA Submission to OMB

*Title:* Research Performance Progress Report (RPPR).

*OMB Number:* 4040–NEW.

*Abstract:* The Research Performance Progress Report (RPPR) will establish a uniform format for reporting performance on Federally-funded research projects.

*Type of Review:* New Collection.

*Affected Public:* Public or private institutions, such as universities, colleges, hospitals, and laboratories; units of state and local government; domestic or foreign non-profit and for-profit organizations; and eligible agencies of the Federal government. Note that affected public will vary depending on individual agency and the type of research being supported.

*Estimated Number of Respondents:* The number of Annual Project Reports that NSF received from 10–01–2006 through 9–30–2007 was 24,603.

*Total Estimated Time:* The burden imposed by various agencies will depend on the number of respondents using the collection for their programs.

*Estimated Time per Respondent:* Estimated time per respondent may

range from 5 to 16 hours depending on the type of research being supported.

*Total Estimated Out-of-Pocket Costs:*

*Estimated Out-of-Pocket Cost per Respondent:* Agencies and the public are asked to comment on:

- Whether the collection of information is necessary for the proper performance of the functions of the agency, including whether the information will have practical utility;
- The accuracy of the agency's estimate of the burden of the collection of information;
- Ways to enhance the quality, utility, and clarity of the information to be collected;
- Ways to minimize the burden of the collection of information on respondents, including through the use of automated collection techniques or other forms of information technology; and
- Estimates of capital or start-up costs and costs of operation, maintenance, and purchase of services to provide information.

Prior to submission of the information collection request to OMB for final clearance, however, specific burden hours associated with interim progress reporting will be reported by agencies. Comments submitted in response to this notice will be summarized and/or included in the request for OMB approval.

Dated: November 6, 2007.

**Suzanne H. Plimpton,**

*Reports Clearance Officer, National Science Foundation.*

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## NUCLEAR REGULATORY COMMISSION

### Agency Information Collection Activities: Submission for the Office of Management and Budget (OMB) Review; Comment Request

**AGENCY:** U.S. Nuclear Regulatory Commission (NRC).

**ACTION:** Notice of the OMB review of information collection and solicitation of public comment.

**SUMMARY:** The NRC has recently submitted the following proposal for the collection of information under the provisions of the Paperwork Reduction Act of 1995 (44 U.S.C. Chapter 35) to OMB for review. The NRC hereby informs potential respondents that an agency may not conduct or sponsor, and that a person is not required to respond to, a collection of information unless it

displays a currently valid OMB control number.

1. *Type of submission, new, revision, or extension:* New collection.

2. *The title of the information collection:* Comprehensive Decommissioning Program, Including Annual Data Collection, OMB 3150-xxxx.

3. *The form number if applicable:* N/A.

4. *How often the collection is required:* Annually (to keep site information current).

5. *Who will be required or asked to report:* Agreement States who have signed Section 274(b) Agreements with NRC and are regulating uranium recovery and/or complex sites undergoing decommissioning.

6. *An estimate of the number of annual responses:* 68. (2 responses for each respondent.)

7. *The estimated number of annual respondents:* 34.

8. *An estimate of the total number of hours needed annually to complete the requirement or request:* 677 hours (approximately 20 hours per respondent).

9. *An indication of whether Section 3507(d), Pub. L. 104-13 applies:* N/A.

10. *Abstract:* Agreement States will be asked to provide information about uranium recovery and complex sites undergoing decommissioning regulated by the Agreement States on an annual basis. The information request will allow the NRC to compile, in a centralized location, more complete information on the status of decommissioning and decontamination in the United States in order to provide a national perspective on decommissioning. The information will be made available to the public by the NRC in order to ensure openness and promote communication to enhance public confidence in the national decommissioning program. This does not apply to information, such as trade secrets and commercial or financial information provided by the Agreement States as privileged or confidential. Information such as financial assurance and the status of decommissioning funding would need to be identified by the Agreement State as privileged or confidential, whereupon the NRC would withhold such information from public access and treat it as sensitive or non-sensitive, per the considerations in 10 CFR 2.390 and 9.17. This does not apply to financial assurance or decommissioning funding information that is already available to the public. Although specific details of the funding mechanisms are treated as confidential, beneficial lessons learned regarding the

improvement of decommissioning-related funding will be shared with the Agreement States.

A copy of the final supporting statement may be viewed free of charge at the NRC Public Document Room, One White Flint North, 11555 Rockville Pike, Room O-1 F21, Rockville, MD 20852. OMB clearance requests are available at the NRC worldwide Web site: <http://www.nrc.gov/public-involve/doc-comment/omb/index.html>. The document will be available on the NRC home page site for 60 days after the signature date of this notice.

Comments and questions should be directed to the OMB reviewer listed below by December 10, 2007. Comments received after this date will be considered if it is practical to do so, but assurance of consideration cannot be given to comments received after this date: Nathan J. Frey, Office of Information and Regulatory Affairs (3150-xxxx), NEOB-10202, Office of Management and Budget, Washington, DC 20503.

Comments can also be e-mailed to [Nathan\\_J.Frey@omb.eop.gov](mailto:Nathan_J.Frey@omb.eop.gov) or submitted by telephone at (202) 395-7345.

The NRC Clearance Officer is Margaret A. Janney, 301-415-7245.

Dated at Rockville, Maryland, this 5th day of November, 2007.

For the Nuclear Regulatory Commission.

**Margaret A. Janney,**  
NRC Clearance Officer, Office of Information Services.

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## SECURITIES AND EXCHANGE COMMISSION

### Proposed Collection; Comment Request

Upon Written Request, Copies Available From: Securities and Exchange Commission, Office of Investor Education and Advocacy, Washington, DC 20549-0213.

*Extension:* Rule 206(3)-3T, SEC File No. 270-571, OMB Control No. 3235-0630.

Notice is hereby given that, pursuant to the Paperwork Reduction Act of 1995 (44 U.S.C. 3501 *et seq.*), the Securities and Exchange Commission (the "Commission") is soliciting comments on the collections of information summarized below. The Commission plans to submit these existing collections of information to the Office of Management and Budget ("OMB") for extension and approval.

Temporary rule 206(3)-3T (17 CFR 275.206(3)-3T) under the Investment Advisers Act of 1940 (15 U.S.C. 80b-1 *et seq.*) is entitled: "Temporary rule for principal trades with certain advisory clients." The temporary rule provides investment advisers who are registered with the Commission as broker-dealers an alternative means to meet the requirements of section 206(3) of the Advisers Act (15 U.S.C. 80b-6(3)) when they act in a principal capacity in transactions with certain of their advisory clients. The temporary rule, and its attendant paperwork burdens, will expire and no longer be effective on December 31, 2009.

Temporary rule 206(3)-3T permits dually-registered advisers to satisfy the Advisers Act's principal trading restrictions by: (i) Providing written, prospective disclosure regarding the conflicts arising from principal trades; (ii) obtaining written, revocable consent from the client prospectively authorizing the adviser to enter into principal transactions; (iii) making oral or written disclosure and obtaining the client's consent before each principal transaction; (iv) sending to the client confirmation statements disclosing the capacity in which the adviser has acted; and (v) delivering to the client an annual report itemizing the principal transactions.

Providing the information required by rule 206(3)-3T is necessary for dually-registered advisers to obtain the benefit of the alternative means of complying with section 206(3) of the Advisers Act. Disclosures under the rule provide important investor protections when advisers engage in principal trades. Clients of advisers will primarily use the information to monitor principal trades in their accounts.

The Commission staff estimates that approximately 380 investment advisers make use of rule 206(3)-3T, and that on average an investment adviser spends approximately 1,301 hours annually in complying with the requirements of the rule. The Commission staff therefore estimates the total annual burden of the rule's paperwork requirements to be 494,440 hours.

Written comments are invited on: (a) Whether the collections of information are necessary for the proper performance of the functions of the Commission, including whether the information has practical utility; (b) the accuracy of the Commission's estimate of the burdens of the collections of information; (c) ways to enhance the quality, utility, and clarity of the information collected; and (d) ways to minimize the burdens of the collections of information on respondents,