

NIH GUIDE

for GRANTS and CONTRACTS

U.S. DEPARTMENT OF HEALTH, EDUCATION, AND WELFARE

Vol.2, No.3, June 12, 1973

DRUG ABUSE INFORMATION

ANNOUNCEMENT

MATERIAL MORATORIUM

1. During the past several years an enormous volume and variety of educational materials on drug abuse have been produced by public agencies and private organizations, directed through many media to many different audiences. The pace of production has been so rapid that there has been little opportunity to evaluate the quality or the effectiveness of this effort. Such an evaluation is essential and overdue.
2. The Special Action Office for Drug Abuse Prevention, The White House, has requested that production of all Federally-funded drug abuse prevention materials be curtailed for a period of six months. During that time, The White House Special Action Office will conduct an inventory and review of all existing materials and establish guidelines for development of new material.
3. The six-month curtailment covers all drug abuse prevention materials produced directly or indirectly with Federal support. Drug abuse prevention materials are defined as printed messages in all formats--films, recordings, video tapes, etc.--in which a significant portion of the content is concerned with providing facts about drugs, their effects on the general populace or major population groups, and/or designed to affect attitudes or behavior.
4. In the event there is a need to reissue or update materials used as part of ongoing grant- or contract-supported programs, request for exception should be submitted in writing to the appropriate NIH awarding unit. The request for exception should give a justification for production of the materials during the curtailment period, general purpose, audience to be covered by distribution, numbers of copies required, and budget for the production. The request should be accompanied by two samples of the material to be produced.

The GUIDE is published at irregular intervals to provide policy, program, and administrative information to individuals and organizations who need to be kept informed of requirements and changes in grants and contracts programs administered by the National Institutes of Health.

NIH Guide for Grants and Contracts, Cumulative Contents (Continued)

Volume No. 2

Guide No. 1, March 2, 1973

Phaseout of NIH Research Training Programs	1
Signature Requirements for Grant Applications (NIH 4301-1)	3
Contractor Use of GSA Supply Sources	5
Advance Understandings on Equipment in Contracts with Universities and Nonprofit Institutions	7
FORM (For Revision of Mailing List for NEWSLETTER and NIH GUIDE FOR GRANTS AND CONTRACTS)	

Guide No. 2, May 29, 1973

Rescission of Transfer Procedure, Research Career Development Award . . .	1
Microfilm Records of Checks	2
Grant Appeals Procedure	3

Guide No. 3, June 12, 1973

Drug Abuse Information Material Moratorium	1
Final Cumulative Index for Vol. 1 of GUIDE	1-7

FINAL
CUMULATIVE INDEX
VOL. 1

ACCOUNTABILITY

- Disposition of grant unexpended balance, ~~No. 3, p. 6~~; rescinded by No. 13, pp. 3-4
- Disposition of NIH grant-related income, No. 2, pp. 5-9
 - direct fellows and direct trainees, No. 2, p. 8
 - fees, professional consultation or practice, No. 2, pp. 5-9
 - research career program awardees, No. 1, p. 9
 - sale of communications materials, No. 10, pp. 7-8
 - trainees under training grants, No. 2, p. 7
- Equipment acquired under NIH contracts
 - acquisition, No. 18, pp. 19-21
 - transfer, No. 18, pp. 19-21
- Equipment acquired under NIH grants
 - in project periods terminated prior to July 1, 1972, No. 16, pp. 11-14
 - requirements for, No. 9, pp. 1-7; No. 14, p. 1; No. 16, pp. 3-9
 - transfer of, No. 16, pp. 15-17
 - waiver of requirements, No. 9, pp. 1-7, No. 9, p. 10; No. 14, p. 1
- Salaries
 - workload distribution, No. 1, p. 3

ADJUSTMENTS

- Unobligated award balances, No. 6, pp. 5-6

ALLERGY AND INFECTIOUS DISEASES

- Collaborative (Contract) programs, No. 10, pp. 1-2

ALLOWANCES

- Dependency
 - fellowships, No. 3, pp. 1-2
- Indirect cost on training grants, No. 1, p. 5

ANIMALS, LABORATORY

- Care and treatment, No. 7, pp. 3-5

APPLICATIONS

- Construction grants
 - statement re historic places (PL 89-665), No. 4, p. 4
- Continuation grants, PHS-2590-1
 - center grants, No. 6, pp. 5-6
 - program projects, No. 6, pp. 5-6
 - research projects, No. 6, pp. 5-6
- Digestive diseases and nutrition centers, deadline dates, Vol. 1, No. 22, p. 9
- Fellowships
 - deadline dates, No. 6, p. 4
 - correction, No. 7, p. 11
 - postdoctoral, vision research, No. 18, p. 17
 - predoctoral research, No. 2, p. 1
 - special, vision research, No. 18, p. 17
- Research projects
 - deadline dates, No. 6, p. 4
 - exceptions to public disclosure, No. 2, p. 4
 - information requirements and instructions, No. 1, p. 4
 - release of information, No. 2, pp. 3-4
- Salary, omission of, No. 7, pp. 1-2
- Signatures required on, No. 3, p. 8
- Training projects
 - deadline dates, No. 6, p. 4
 - exceptions to public disclosure, No. 3, p. 4
 - release of information, No. 3, pp. 3-4

APPROPRIATIONS ACTS-HEW

Restriction of funds, "student unrest," No.4, p.1; No.11, p.7

ARTHRITIS AND METABOLIC DISEASES

Collaborative (contract) programs - artificial kidney, chronic uremia, No.11,p.5

Digestive diseases & nutrition centers, Vol. 1, No.22, p.9

AWARDS

In Digestive Diseases and Nutrition, ~~No.9, p.14~~; rescinded by No.18, p.5

BALANCE

Disposition of grant unexpended, ~~No.3, pp.6-7~~; superseded by No.13, pp.3-4

Unexpended and unobligated grant, No.6, pp.5-6

BASIC AGREEMENT WITH UNIVERSITY CONTRACTORS, No.11, p.3

BIOLOGICS STANDARDS

Collaborative (contract) programs, No.9, p.17

BUDGETS, ESTIMATED

Exceptions to public disclosure

research projects, No.2, p.4

training projects, No.3, p.4

CANCER

Collaborative (contract) programs, No.7, pp.7-10

chemotherapy, No.7, p.7

etiology, No.7, pp.7-10

carcinogenesis, No.7, p.9

demography, No.7, pp.9-10

viral oncology, No.7, pp.8-9

general laboratories and clinics, No.7, p.10

CARIES

Collaborative program, NIDR, No. 21, p.4

CENTERS

Digestive diseases and nutrition, Vol.1, No. 22, p.9

CHANGES

Grantee institution, No.1, p.2

revised policy, No.6, p.3; superseded by No. 16, p.19-23

Research project support when principal investigator leaves grantee

institution, No.16, pp. 19-24

Research Career Development Awardee, Vol.1, No.22, p.7

COLLABORATIVE (CONTRACT) PROGRAMS

Allergy and infectious diseases, No.10, pp.1-2

Arthritis and metabolic diseases

artificial kidney - chronic uremia, No. 11, p.5

Biologics standards, No.9, p.17

Cancer, No. 7, pp.7-10

Caries program, NIDR, ~~No.20, p.17~~; rescinded, No.21, p.4

Dental research, National caries program, ~~No.20, p.17~~; rescinded, No.21, p.4

Descriptions of, general, No.6, p.2

Environmental health sciences, No.9, p.18

Eye, No.16, p.1

General medical sciences, No. 20, p. 19

Heart and lung, No.14, pp.7-9

Population research, No.9, pp.15-16

CONSTRUCTION GRANTS

Restriction re historic places (PL 89-665) No.4, p.4

CONSTRUCTION PROJECTS

Employment and training requirements, Model Cities, No.13, p.7

CONSULTANTS

Fees, payment from grant funds, No. 5, pp.4-5

CONTRACTORS

Hiring requirements for, No. 17, p.4

Selection of, No.6, p.2

CONTRACTS

NIH biomedical research

cost-reimbursement, special provision with educational institutions, No. 20, pp.3-15

criteria for use, No.6, pp.1-2

incrementally funded, No.21, p.3

unsolicited proposals for, No.18, pp.3-4; No. 21, p.5

vesting title to equipment, No.18, pp.19-21

COPYRIGHT POLICY, NIH

Proposed implementation, No.7, p.6

COPYRIGHTS

Motion pictures on work supported by NIH, No.3, p.5

Published work supported by NIH, No.2, p.3; No. 3, p.3

COST SHARING

Disposition of NIH grant-related income, No.2, pp.5-9

Requirements applicable to research project grants, No.19, pp.7-12

COSTS, DIRECT

Consultant fees paid from grant funds, No.5, pp.4-5

COSTS, INDIRECT

Ceiling, training grants, No.1, p.5

Project grants with final negotiated rates, No.12, pp.1-5

DEADLINES, APPLICATIONS

digestive diseases and nutrition centers, Vol.1, No.22, p.9
fellowships, No.6, p.4

research career awards, No.6, p.4: (Correction, No.7, p.11)

research grants, No.6, p.4

training grants, No.6, p.4

DENTAL RESEARCH

Collaborative (contract) program, ~~No.20, p.17~~; rescinded by No.21, p.4

National caries program, ~~No.20, p.17~~; rescinded by No. 21, p.4

DIGESTIVE DISEASES

Awards in, ~~No.9, p.14~~; superseded by No.18, p.6

DIGESTIVE DISEASE AND NUTRITION CENTERS

Research in obesity, peptic ulcer,

hepatitis and cirrhosis, Vol.1, No. 22, p.9

ENVIRONMENTAL HEALTH

Collaborative (contract) programs, No.9, p.18

EQUIPMENT

Acquired under NIH contracts

vesting title to, No.18, pp.19-21

Acquired under NIH grants

accountability for, No.9, pp.1-7; No.14, p.1; No.16, pp.3-9

in project periods terminated prior to July 1, 1972, No.16, pp.11-14

management of, No.9, pp.1-7; No.14, p.1; No.16, pp.3-9

transfer of, No.16, pp.15-17

EXPENDITURES

- Release of information on,
 - research projects, No.2, pp.3-4
 - training projects, No.3, p.4
- Reports, annual, on training grants, No.6, p.7

EYE

- Collaborative (contract) programs, No.16, p.1

FACILITIES

- Laboratory animals
 - care and treatment, No.7, pp.3-5

FEES

- Consultant
 - payment from grant funds, No.5, pp.4-5
- Disposition of NIH grant-related income, general provisions, No.2, pp.5-6
 - direct fellows and direct trainees, No.2, p.8
 - professional consultation or practice, No.2, pp.2-9
 - research career program awardees, No.2, p.9
 - trainees under training grants, No.2, p.7

FELLOWSHIPS

- Applications, deadline dates, No.6, p.4
 - correction, No.7, p.11
- Applications, predoctoral research, No.2, p.1
- Dependency allowances, No.3, pp.1-2
- Fees earned, No.2, pp.5-6, 8
- Postdoctoral, vision research, No.18, p.17
- Special, vision research, No.18, p.17

FILMS (See Motion Pictures)

FREEDOM OF INFORMATION ACT (PL 90-23)

- Research projects
 - release of information, No.2, pp.3-4
 - exceptions, No.2, p.4
- Training projects
 - release of information, No.3, pp.3-4
 - exceptions, No.3, p.4

FUNDING

- Contracts, incrementally funded, No.21, p.3

FUNDS

- Rebudgeting of, within NIH grants, ~~No.19, pp.1-6; No.21, p.2;~~
 - rescinded by Vol.1, No. 22, pp.1-6
- Restriction of
 - appropriations Acts-HEW, "student unrest," No.4, p.1; No.11, p.7

GENERAL MEDICAL SCIENCES

- Collaborative (contract) programs
 - automation of the clinical laboratory
 - pharmacology/toxicology
 - genetics & genetic chemistry, No.20, p.19

GRANTEE INSTITUTIONS

- Change of
 - Research grants, No.1, p.2; No.6, p.3; No.16, p.19
 - Research Career Development Award, Vol.1, No.22, p.7
 - Hiring requirements for employment of Vietnam Veterans, No.21, p.7
 - Supplies and services, GSA, ~~No.4, p.5;~~ rescinded by Vol.1, No.22, p.11

GUIDE

Applicability, No.3, p.9; ~~No.16, p.1~~; Rescinded by No.18, p.5
Distribution, No.3, p.9; Vol.1, No.22, p.12
Expanded scope, No.5, p.2

HEART AND LUNG

Collaborative (contract) programs, No.14, pp.7-9; No.15, pp.5-9
blood resource, No.14, p.7
clinical applications, No.14, p.9
lipid metabolism, No.15, p.7-8
medical devices, No.15, p.5
myocardial infarction, No.14, p.8
respiratory diseases program, No.15, p.9

HIRING REQUIREMENTS

Contractors, No.17, p.4
Grantees, Employment of Vietnam Veterans, No.21, p.7

HISTORIC PLACES, PROTECTION OF (PL 89-665), No.4, p.4

HUMAN SUBJECTS

Protection of, in grants involving, No.18, pp.7-9

INCOME, GRANT-RELATED

Disposition of, general provisions, No.2, pp.5-9
direct fellows and direct trainees, No.2, p.8
income derived from the sale of communications materials, No.10, pp.7-8
professional consultation or practice, No.2, pp.5-9
research career program awardees, No.2, p.9
trainees under training grants, No.2, p.7

INCREMENTS, ANNUAL

"Special" trainee stipends, No.1, p.1

INDIRECT COSTS

Ceiling, training grants, No.1, p.5
Settlement of, on project grants with final negotiated rates, No.12, p.1-5

INFORMATION, RELEASE OF

Research projects, No.2, pp.3-4
exceptions, No.2, p.4
Training projects, No.3, pp.3-4
exceptions, No.3, p.4

INSTITUTIONS, GRANTEE

See GRANTEE, INSTITUTIONS

INTERNATIONAL MEETINGS

Grant support of, No.9, pp.9-13

INVENTIONS

Reports on those supported by
research career awards, No.14, p.3
research career development awards, No.14, p.3
research training grants, No.14, p.3

INVESTIGATOR, PRINCIPAL

Responsibilities for direction of research project, No.5, p.3

LOANS

Restriction of funds
Appropriation Acts-HEW, "student unrest," No.4, p.1; No.11, p.7

MEETINGS, SCIENTIFIC

Grant support of, No.9, pp.9-13

MINORITY-OWNED BUSINESSES

Use of, by NIH grantees, No.18, pp.11-16

MOTION PICTURES

Copyright, No.3, p.5
Productions by grantees, No.3, p.5
Support identification, No.3, p.5

NUTRITION

Awards in, ~~No.9, p.14~~. Rescinded by No.18, p.6
Centers for study of, Vol.1, No.22, p.9

ORGANIZATION CHARTS

DHEW, No.3, p.10

POLICY STATEMENT

"Grants for Research Projects" publication, No.21, p.1

POPULATION RESEARCH

Collaborative (contract) programs, No.9, pp.15-16

PRIOR APPROVAL, REBUDGETING

Funds within NIH grants, ~~No.19, pp.1-6; No.21, p.2~~ rescinded by
Vol.1, No.22, pp.1-6

PROGRESS REPORTS

Interim

research projects, No.2, pp.3-4
training activities, No.3, pp.3-4

Terminal

research projects, No.2, pp.3-4
training activities, No.3, pp.3-4

PROJECT PERIODS

Extension of, for
center grants, No.2, p.2
program project grants, No.2, p.2
research project grants, No.2, p.2
resource grants, No.2, p.2
training project grants, No.2, p.2

PROPOSALS

Unsolicited, for research contracts, No.18, pp.3-4; No.21, p.5

PUBLICATION

"Grants for Research Projects--Policy Statement," No.21, p.1

PUBLICATIONS

Disposition of income derived from sale of communication materials, No.10, pp.7-8
"Grants for Research Projects" publication, No.21, p.1
Guidance on

Copyright, proposed implementation, No. 7, p.6
Research findings, No.2, pp.3-4
Training activities, No.3, pp.3-4

PUBLIC DISCLOSURE

Exceptions to, on research projects, No.2, p.4
applications, No.2, p.4
budget, estimated, No.2, p.4
discussions, advisory bodies, No.2, p.4
reports, interim, No.2, p.4
Exceptions to, on training activities, No.3, p.4
applications, No. 3, p.4
budgets, estimated, No.3, p.4
discussions, advisory bodies, No.3, p.4
reports, interim, No.3, p.4

REBUDGETING

Funds within NIH grants, ~~No.19, pp.1-6; No.21, p.2~~ rescinded by
Vol.1, No.22, pp.1-6

RECORDS

Retention requirements, for grantee institutions, ~~No.5, p.6~~, rescinded by No.20, pp.21-22

REPORTS

Annual, training grant expenditures, No.6, p.7

Equipment acquired with

NIH contract funds, No.18, pp.19-21

NIH grant funds, ~~No.9, pp.1-7; No.14, p.1~~, rescinded by No.16, pp.3-9

Equipment in project periods terminated prior to July 1, 1972, No.16, pp.11-14

Equipment, transfer of, No.16, pp.15-17; No.18, pp.19-21

Final, number required on research and training grants, No. 20, p.1

Grant support of scientific meetings, No.9, pp.9-13

Inventions supported by research career awards research career development awards, and research training grants, No.14, p.3

Progress, interim

research projects, No. 2, pp.3-4

training activities, No.3, pp.3-4

Progress, terminal

research projects, No.2, pp.3-4

training activities, No.3, pp.3-4

Reprints, number required on research and training grants, No. 20, p.1

Workload distribution, change in, No. 1, p.3

RESEARCH CAREER DEVELOPMENT AWARDS

Applications, deadline dates, No.6, p.4

correction, No.7, p.11; Vol.1, No.22, p.7

Change of institution by RCD Awardee, Vol.1, No.22, p.7

Duration of, ~~No.5, p.1~~; Vol.1, No.22, p.7

RESEARCH CAREER PROGRAM AWARDS

Fees earned, No.2, pp.5-6, 9

RESEARCH PROJECT

Support of

when principal investigator leaves grantee institution, No.16, pp.19-24

SALARIES

Non-stipulated, No.1, p.3; No.1, p.4

Omission of on grant applications, No.7, pp.1-2

Restriction of funds, Appropriation Acts, HEW "student unrest," No.4, p.1; No.11, p.7

Stipulated, No.1, p.3; No.1, p.4

Workload distribution, report change, No.1, p.3

SIGNATURES

Grant application requirement, No.3, p.8

SMALL BUSINESSES

Use of, by NIH grantees, No.18, pp.11-16

STIPENDS

"Special" trainee, annual increments for, No.1, p.1

SUPPLIES AND SERVICES, SOURCE OF

Grantee institutions

Government Services Adm., ~~No.4, p.5~~, rescinded by Vol.1, No.22, p.11

Small businesses and minority-owned businesses, No.18, pp.11-16

TRAINEESHIPS, DIRECT

Fees earned, No.2, pp.5-6, 8

TRANSFERS

Equipment

Acquired under NIH contracts; No.18, pp.19-21

Acquired under NIH grants, No.16, pp.15-17

Research projects, ~~No.1, p.2~~; rescinded by No.6, p.3, No. 16, pp.19-23

WORKLOAD DISTRIBUTION

Change in, No.1, p.3