

Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview (All Capital Assets)

1. Date of Submission: September 2007
2. Agency: District of Columbia Courts
3. Bureau: NA
4. Name of this Capital Asset: **H. Carl Moultrie Renovation and Reorganization**
5. Unique Project (Investment) Identifier: (For IT investment only, see section [53](#). For all other, use agency ID system.) 95-1712
6. What kind of investment will this be in FY2009?
(Please NOTE: Investments moving to O&M ONLY in FY2009, with Planning/Acquisition activities prior to FY2008 should not select O&M. These investments should indicate their current status.)

Planning	_____
Full Acquisition	_____ X _____
Operations and Maintenance	_____
Mixed Life Cycle	_____
E-Gov/LoBOversight	_____

7. What was the first budget year this investment was submitted to OMB? 2005
8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap: The Moultrie Courthouse Renovation and Reorganization Project will further the Family Court renovations plus upgrade and modernize the nearly 35-year-old Moultrie Courthouse to provide more efficient space for the Superior Court and Court System divisions. This project will meet the current and projected needs of the District of Columbia Courts, as described in the District of Columbia Courts Facilities Master Plan, while keeping the Courts operational and minimally disturbed while construction takes place in the Moultrie Courthouse. Renovation and reorganization of the interior of the Moultrie Courthouse is necessary to shift operations to vacate some of the space required to fully consolidate the Family Court within Moultrie and to upgrade and make efficient use of existing space as envisioned in the Facilities Master Plan.

This project’s coordination and completion directly relates to the Courts’ establishment of the Family Court. Fiscal year 2009 funds will allow the Court to relocate the Civil Division to allow more Family Court functions to consolidate on the John Marshall level. It will also allow the Court to relocate Social Services functions, that are part of the Family Court, from Building B to reconfigured space on the C street level of the Moultrie building. The final phase of the Family Court Self Help Center will also be completed. Further consolidation activities will include relocation of the Family Court Immediate Office, Mental Health & Mental Retardation Branch, and the Marriage Bureau and renovation of the Criminal Division. This project year also includes upgrades in the public areas of the John Marshall level, home of the Family Court, the C street level, and the Indiana Avenue level.

In FY 2010, funds will be required for the renovation of the entire sixth floor and portions of the fifth

floor vacated by the departure of the D.C. Court of Appeals. FY 2010 funding will also facilitate the commencement of the first major renovation program for the Moultrie Courthouse including the addition of two new criminal courtrooms.

9. Did the Agency’s Executive/Investment Committee review this request? Yes X No _____

a. If “yes,” what was the date of this approval? 2005

10. Did the Project Manager review this Exhibit? Yes X No _____

11. Contact information of Project Manager?

Name Mary Ann Satterthwaite
 Phone Number 202-879-2898
 E-mail satterma2@dcsc.gov

12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project.

a. Will this investment include electronic assets (including computers)? Yes X No _____

b. Is this investment for new construction or major retrofit of a Federal building or facility? Yes _____ No X

(answer applicable to non-IT assets only)

1. If “yes,” is an ESPC or UESC being used to help fund this investment? NA Yes _____ No X

2. If “yes,” will this investment meet sustainable design principles? NA Yes _____ No _____

3. If “yes,” is it designed to be 30% more energy efficient than relevant code? NA Yes _____ No _____

13. Does this investment directly support one of the PMA initiatives? If “yes” check all that apply: NA

- Human Capital _____
- Budget Performance Integration _____
- Financial Performance _____
- Expanded E-Government _____
- Competitive Sourcing _____
- Faith Based and Community _____
- Real Property Asset Management _____
- Eliminating Improper Payments _____
- Privatization of Military Housing _____
- Research & Development Investment Criteria _____
- Housing & Urban Development Management & Performance _____
- Broadening Health Insurance Coverage through State Initiatives _____
- “Right Sized” Overseas Presence _____
- Coordination of VA & DoD Programs and Systems _____

a. Briefly describe how this asset directly supports the identified initiative(s)? The Moultrie Courthouse renovation supports the PMA initiatives by following the D.C. Courts’ facilities Master Plan which consolidates and reorganizes the space needs of the Courts. It

incorporates the new Family Court within the existing Moultrie Courthouse.

14. Does this investment support a program assessed using the Program Assessment Rating Tool (PART)? (For more information about the PART, visit www.whitehouse.gov/omb/part.)

Yes X No

a. If "yes," does this investment address a weakness found during a PART review?

Yes No X

b. If "yes," what is the name of the PARTed program?

D.C. Courts

c. If "yes," what rating did the PART receive?

Adequate

15. Is this investment for information technology?

Yes No X

If the answer to Question 15 is "Yes," complete questions 16-23 below. If the answer is "No," do not answer questions 16-23.

For information technology investments only:

16. What is the level of the IT Project? (per CIO Council PM Guidance)

Level 1

Level 2

Level 3

17. What project management qualifications does the Project Manager have? (per CIO Council PM Guidance)

(1) Project manager has been validated as qualified for this investment

(2) Project manager qualification is under review for this investment

(3) Project manager assigned to investment, but does not meet requirements

(4) Project manager assigned but qualification status review has not yet started

(5) No Project manager has yet been assigned to this investment

18. Is this investment identified as "high risk" on the Q4 -FY 2006 agency high risk report (per OMB's "high risk" memo)?

19. Is this a financial management system? Yes No

a. If "yes," does this investment address a FFMIA compliance area? Yes No

1. If "yes," which compliance area:

2. If "no," what does it address?

b. If "yes," please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A-11 section 52

20. What is the percentage breakout for the total FY2009 funding request for the following?

(This should total 100%)

Hardware

Software

Services

Other

21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?

Yes

No _____
 NA _____

20. Contact information of individual responsible for privacy related questions:

Name _____
 Phone Number _____
 Title _____
 E-mail _____

23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration’s approval? Yes _____ No _____

Section B: Summary of Spending (All Capital Assets)

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated “Government FTE Cost,” and should be excluded from the amounts shown for “Planning,” “Full Acquisition,” and “Operation/Maintenance.” The “TOTAL” estimated annual cost of the investment is the sum of costs for “Planning,” “Full Acquisition,” and “Operation/Maintenance.” For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

Table 1: SUMMARY OF SPENDING FOR PROJECT PHASES (REPORTED IN MILLIONS) (Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)									
	PY-1 and earlier	PY 2007	CY 2008	BY 2009	BY+1 2010	BY+2 2011	BY+3 2012	BY+4 and beyond	Total
Planning:	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Acquisition :	0.00	0.00	5.99	30.84	39.96	29.31	5.30	0.00	111.40
Subtotal Planning & Acquisition:	0.00	0.00	5.99	30.84	39.96	29.31	5.30	0.00	111.40
Operations & Maintenance:	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
TOTAL:	0.00	0.00	5.99	30.84	39.96	29.31	5.30	0.00	111.40
Government FTE Costs should not be included in the amounts provided above.									
Government FTE Costs									
Number of FTE represented by Costs:									

Note: For the cross-agency investments, this table should include all funding (both managing partner and partner agencies). Government FTE Costs should not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE’s? Yes _____ No X
 a. If “yes,” How many and in what year? _____
 3. If the summary of spending has changed from the FY2008 President’s budget request, briefly explain those changes: _____

Section C: Acquisition/Contract Strategy (All Capital Assets)

Contracts/Task Orders Table:			
Contract or Task Order Number	2009-1 Family Court/ Civil Division Relocation	2009-2 Family Court/ Sixth Floor Design	2009-3 Family Court/ Social Services
Type of Contract/Task Order	Fixed	Fixed	Fixed
Has the contract been awarded (Y/N)	No	No	No
If so what is the date of the award? If not, what is the planned award date?	Quarter 1 FY 2009	Quarter 1 FY 2009	Quarter 1 FY 2009
Start date of Contract/Task Order	Quarter 1 FY 2009	Quarter 1 FY 2009	Quarter 1 FY 2009
End date of Contract/Task Order	Quarter 1 FY 2010	Quarter 1 FY 2010	Quarter 2 Y 2010
Is this an Interagency Acquisition? (Y/N)	NO	NO	NO
Is it performance based? (Y/N)	YES	YES	YES
Competitively awarded? (Y/N)	YES	YES	YES
What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A)	NA	NA	NA
Is EVM in the contract? (Y/N)	YES, Courts' Standard	YES, Courts' Standard	YES, Courts' Standard
Does the contract include the required security & privacy clauses? (Y/N)	YES, Courts' Standard	YES, Courts' Standard	YES, Courts' Standard
Name of CO	Joseph E. Sanchez	Joseph E. Sanchez	Joseph E. Sanchez
CO Contact information (phone/email)	202-879-1700 sanchezj@dcsc.gov	202-879-1700 sanchezj@dcsc.gov	202-879-1700 sanchezj@dcsc.gov
Contracting Officer Certification Level (Level 1, 2, 3, N/A)	NA	NA	NA
If N/A, has the agency determined the CO assigned has the competencies and skills necessary to support this acquisition? (Y/N)	YES	YES	YES

Contracts/Task Orders Table:				
Contract or Task Order Number	2009-4 Family Court/ Criminal Division Renovation	2009-5 Family Court/ Self Help Center	2009-6 Family Court/ Immediate Office, Mental Health, Marriage Bureau	2009-7 Public Corridors Health & Safety Upgrades
Type of Contract/Task Order	Fixed	Fixed	Fixed	Fixed
Has the contract been awarded (Y/N)	No	No	No	No
If so what is the date of the award? If not, what is the planned award date?	Quarter 1 FY 2009	Quarter 1 FY 2009	Quarter 1 FY 2009	Quarter 1 FY 2009
Start date of Contract/Task Order	Quarter 1 FY 2009	Quarter 1 FY 2009	Quarter 1 FY 2009	Quarter 1 FY 2009
End date of Contract/Task Order	Quarter 3 FY 2010	Quarter 4 FY 2009	Quarter 3 FY 2010	Quarter 4 FY 2014
Is this an Interagency Acquisition? (Y/N)	NO	NO	NO	NO
Is it performance based? (Y/N)	YES	YES	YES	YES
Competitively awarded? (Y/N)	YES	YES	YES	YES
What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A)	NA	NA	NA	NA
Is EVM in the contract? (Y/N)	YES, Courts' Standard	YES, Courts' Standard	YES, Courts' Standard	YES, Courts' Standard
Does the contract include the required security & privacy clauses? (Y/N)	YES, Courts' Standard	YES, Courts' Standard	YES, Courts' Standard	YES, Courts' Standard
Name of CO	Joseph E. Sanchez	Joseph E. Sanchez	Joseph E. Sanchez	Joseph E. Sanchez
CO Contact information (phone/email)	202-879-2801 sanchezj@dsc.gov	202-879-2801 sanchezj@dsc.gov	202-879-2801 sanchezj@dsc.gov	202-879-2801 sanchezj@dsc.gov
Contracting Officer Certification Level (Level 1, 2, 3, N/A)	NA	NA	NA	NA
If N/A, has the agency determined the CO assigned has the competencies and skills necessary to support this acquisition? (Y/N)	YES	YES	YES	YES

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why: _____

3. Do the contracts ensure Section 508 compliance? Yes _____

a. Explain why: No X
N/A _____

4. Is there an acquisition plan which has been approved in accordance with agency requirements? Yes X No _____
 a. If "yes," what is the date? 2003
 b. If "no," will an acquisition plan be developed? _____
 1. If "no," briefly explain why: _____

Section D: Performance Information (All Capital Assets)

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency’s mission and strategic goals, and performance measures must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative or qualitative measure.

Agencies must use Table 1 below for reporting performance goals and measures for all non-IT investments and for existing IT investments that were initiated prior to FY 2005. The table can be extended to include measures for years beyond FY 2006.

Performance Information Table 1:					
Fiscal Year	Strategic Goal(s) Supported	Performance Measure	Actual/baseline (from Previous Year)	Planned performance Metric (Target)	Performance Metric Results (Actual)
2004-2008	Goal 2.1: The Courts will ensure that physical facilities are easily accessible by all persons.	Public spaces and counter areas made ADA compliant. Improve public areas to make access to divisions more user friendly.	Facilities have not been updated in 35 years.	All public spaces and counter will be ADA compliant. Centrally locate public division functions. Improve all public corridors and facilities.	N/A
2004-2008	Goal 4.1: The Courts will provide personnel and court participants with a safe, secure, functional, and habitable physical environment.	Improve adjacencies for Court Divisions and consolidate Court Functions	Facilities have not been updated in 35 years	Consolidate and/or relocate related divisions to improve efficiency and upgrade facilities to current workplace standards.	N/A

All new IT investments initiated for FY 2005 and beyond must use Table 2 and are required to use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Please use Table 2 and the PRM to identify the performance information pertaining to this major IT investment. Map all

Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for at least four different Measurement Areas (for each fiscal year). The PRM is available at www.egov.gov.

Performance Information Table 2:						
Fiscal Year	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Planned Improvement to the Baseline	Actual Results
2006 - 2008	Mission & Business Results	NA	NA	NA	NA	NA
2006 - 2008	Customer Results	NA	NA	NA	NA	NA
2006 - 2008	Processes & Activities	NA	NA	NA	NA	NA
2006 - 2008	Technology	NA	NA	NA	NA	NA

Section E: Security and Privacy (IT Capital Assets only)
NA – Not Applicable

Section F: Enterprise Architecture (EA) (IT Capital Assets only)
NA – Not Applicable

Part II: Planning, Acquisition And Performance Information

Part II should be completed only for investments identified as “Planning” or “Full Acquisition”, or “Mixed Life-Cycle” investments in response to Question 6 in Part I, Section A above.

Section A: Alternatives Analysis (All Capital Assets)

In selecting the best capital asset, you should identify and consider at least three viable alternatives, in addition to the current baseline, i.e., the status quo. Use OMB Circular A-94 for all investments and the Clinger Cohen Act of 1996 for IT investments to determine the criteria you should use in your Benefit/Cost Analysis.

1. Did you conduct an alternatives analysis for this project? Yes X No
2002 Facilities Master Plan
- a. If “yes,” provide the date the analysis was completed? _____
- b. If “no,” what is the anticipated date this analysis will be completed? _____
- c. If no analysis is planned, please briefly explain why:

2. Alternatives Analysis Results:			
Use the results of your alternatives analysis to complete the following table:			
Alternative Analyzed	Description of Alternative	Risk Adjusted Lifecycle Costs estimate	Risk Adjusted Lifecycle Benefits estimate
Alternative 1	Renovate and Reorganize the Moultrie Courthouse to fully consolidate a new Family Court within close proximity to support agencies & courtrooms.	Best Alternative – Most Cost Effective	Greatest Operational Benefit

Alternative 2	Renovate and Reorganize the Moultrie Courthouse to marginally consolidate a new Family Court	Fair Alternative	Marginal Operational Benefit
Alternative 3	Renovate the Moultrie Courthouse and lease space or construct a new Family Court building.	Poor Alternative	Appropriate leased space or sites unavailable.

3. Which alternative was selected by the Agency’s Executive/Investment Committee and why was it chosen? Alternative 1

4. What specific qualitative benefits will be realized? Efficient operations, use of existing courthouse infrastructure and independent Family Court identity.

Section B: Risk Management (All Capital Assets)

You should have performed a risk assessment during the early planning and initial concept phase of this investment’s life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment’s life-cycle.

- 1. Does the investment have a Risk Management Plan? Yes X No
 a. If “yes,” what is the date of the plan? Capital Projects Plan 2004
 b. Has the Risk Management Plan been significantly changed since last year’s submission to OMB? Yes No X
 c. If “yes,” describe any significant changes: _____
- 2. If there currently is no plan, will a plan be developed? Yes No
 a. If “yes,” what is the planned completion date? _____
 b. If “no,” what is the strategy for managing the risks? _____

3. Briefly describe how investment risks are reflected in the life cycle cost estimate and investment schedule: Possible risks include delays in the construction schedule due to unforeseen field conditions associated with existing construction. The D.C. Courts are planning to issue multiple contracts for this project to minimize risk exposure with individual contractors.

Section C: Cost and Schedule Performance (All Capital Assets)

EVM is required only on DME portions of investments. For mixed lifecycle investments, O&M milestones should still be included in the table (Comparison of Initial Baseline and Current Approved Baseline). This table should accurately reflect the milestones in the initial baseline, as well as milestones in the current baseline.

1. Does the earned value management system meet the criteria in ANSI/EIA Standard – 748? Yes No Yes X No

2. Answer the following questions about current cumulative cost and schedule performance. The numbers reported below should reflect current actual information. (Per OMB requirements Cost/Schedule Performance information should include both Government and Contractor Costs):

- a. What is the Planned Value (PV)? _____
 - b. What is the Earned Value (EV)? _____
 - c. What is the actual cost of work performed (AC)? _____
 - d. What costs are included in the reported Cost/Schedule Performance information (Government Only/Contractor Only/Both)? _____
 - e. "As of" date: _____
3. What is the calculated Schedule Performance Index (SPI= EV/PV)? _____
4. What is the schedule variance (SV = EV-PV)? _____
5. What is the calculated Cost Performance Index (CPI = EV/AC)? _____
6. What is the cost variance (CV = EV-AC)? _____
7. Is the CV% or SV% greater than ± 10%? (CV%= CV/EV x 100; SV%= SV/PV x 100)
- a. If "yes," was it the?

Yes _____	No _____
CV _____	_____
SV _____	_____
Both _____	_____
 - b. If "yes," explain the variance: _____
 - c. If "yes," what corrective actions are being taken? _____
 - d. What is most current "Estimate at Completion"? _____
8. Have any significant changes been made to the baseline during the past fiscal year? Yes _____ No _____
- a. If "yes," when was it approved by OMB? _____

9. Comparison of Initial Baseline and Current Approved Baseline: Complete the following table to compare actual performance against the current performance baseline and to the initial performance baseline. In the Current Baseline section, for all milestones listed, you should provide both the baseline and actual completion dates (e.g., "03/23/2003"/ "04/28/2004") and the baseline and actual total costs (in \$ Millions). In the event that a milestone is not found in both the initial and current baseline, leave the associated cells blank. Note that the 'Description of Milestone' and 'Percent Complete' fields are required. Indicate '0' for any milestone no longer active.

Description of Milestone	Initial Baseline		Current Baseline				Current Baseline Variance		Percent Complete
	Planned Completion Date	Total Cost Estimated	Completion Date Planned/Actual		Total Cost Planned/ Actual		Schedule/Cost (# days/\$M)		
Phased relocation of non-Family Court related divisions	Quarter 1 2013	\$111.40 million	Quarter 1 2013	NA	\$111.40 million	NA	NA	NA	0%
Phased consolidation of Family Court functions & related divisions	Quarter 1 2013	\$111.40 million	Quarter 1 2013	NA	\$111.40 million	NA	NA	NA	0%

Part III: For “Operation and maintenance” Investments ONLY (Steady State)
Part III should be completed only for investments identified as “Operations and Maintenance” (Steady State) in response to Question 6 in Part I, Section A above.

Section A: Risk Management (All Capital Assets)

You should have performed a risk assessment during the early planning and initial concept phase of this investment’s life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment’s life-cycle.

1. Does the investment have a Risk Management Plan? Yes ___ No ___
 a. If “yes,” what is the date of the plan? _____
 b. Has the Risk Management Plan been significantly changed since last year’s submission to OMB? Yes ___ No ___
 c. If “yes,” describe any significant changes: _____
2. If there currently is no plan, will a plan be developed? Yes ___ No ___
 a. If “yes,” what is the planned completion date? _____
 b. If “no,” what is the strategy for managing the risks? _____

Section B: Cost and Schedule Performance (All Capital Assets)

1. Was operational analysis conducted? Yes ___ No ___
 a. If “yes,” provide the date the analysis was completed. _____
 b. If “yes,” what were the results? _____
 c. If “no,” please explain why it was not conducted and if there are any plans to conduct operational analysis in the future: _____

2. Complete the following table to compare actual cost performance against the planned cost performance baseline. Milestones reported may include specific individual scheduled preventative and predictable corrective maintenance activities, or may be the total of planned annual operation and maintenance efforts).

a. What costs are included in the reported Cost/Schedule Performance information (Government Only/Contractor Only/Both)? _____

2. b Comparison of Plan vs. Actual Performance Table:					
Description of Milestone	Planned		Actual		Variance
	Completion Date (mm/dd/yyyy)	Total Cost (\$M)	Completion Date (mm/dd/yyyy)	Total Cost (\$M)	Schedule/Cost (# days/\$M)

Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview (All Capital Assets)

1. Date of Submission: May 2007
2. Agency: District of Columbia Courts
3. Bureau: NA
4. Name of this Capital Asset: **Adult Holding Facilities/USMS Space Renovation**
5. Unique Project (Investment) Identifier: (For IT investment only, see section 53. For all other, use agency ID system.) 95-1712
6. What kind of investment will this be in FY2009?
 (Please NOTE: Investments moving to O&M ONLY in FY2009, with Planning/Acquisition activities prior to FY2008 should not select O&M. These investments should indicate their current status.)

Planning	_____
Full Acquisition	___X___
Operations and Maintenance	_____
Mixed Life Cycle	_____
E-Gov/LoBOversight	_____

7. What was the first budget year this investment was submitted to OMB? 2007
8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap: The United States Marshal Service (USMS) provides security and protection for the judicial officers and in the courtrooms. The USMS facilities in the Moultrie Courthouse, the location of the largest and busiest USMS operation nationwide, are in need of significant expansion and upgrade to meet the current USMS standards, and the growing demands of the D.C. Courts. Built over 30 years ago, the adult prisoner holding area is inadequate to hold the hundreds of prisoners daily, and is not in compliance with many of the current security standards for detention facilities. Similarly, the USMS administrative and support office space is significantly below USMS standards, and is located in a decentralized configuration which is inefficient. Major upgrades are required to address health, safety and functionality concerns, and the Courts are exploring all options to address the needs of the USMS. This project will address the following areas: the adult prisoner holding unit, arraignment courtroom, prisoner holding areas adjacent to the courtrooms, the sallyport, and USMS administrative and support space.

9. Did the Agency’s Executive/Investment Committee review this request? Yes X No

a. If “yes,” what was the date of this approval? 2007

10. Did the Project Manager review this Exhibit? Yes X No

11. Contact information of Project Manager?

Name	<u> Mary Ann Satterthwaite </u>
Phone Number	<u> 202-879-2898 </u>
E-mail	<u> satterma2@dcsc.gov </u>

12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project.

Yes X No

a. Will this investment include electronic assets (including computers)?

Yes No X

b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)

Yes No X

1. If "yes," is an ESPC or UESC being used to help fund this investment? NA

Yes No

2. If "yes," will this investment meet sustainable design principles? NA

Yes No

3. If "yes," is it designed to be 30% more energy efficient than relevant code? NA

Yes No

13. Does this investment directly support one of the PMA initiatives? If "yes" check all that apply: NA

- Human Capital
- Budget Performance Integration
- Financial Performance
- Expanded E-Government
- Competitive Sourcing
- Faith Based and Community
- Real Property Asset Management
- Eliminating Improper Payments
- Privatization of Military Housing
- Research & Development Investment Criteria
- Housing & Urban Development Management & Performance
- Broadening Health Insurance Coverage through State Initiatives
- "Right Sized" Overseas Presence
- Coordination of VA & DoD Programs and Systems

b. Briefly describe how this asset directly supports the identified initiative(s)? NA

14. Does this investment support a program assessed using the Program Assessment Rating Tool (PART)? (For more information about the PART, visit www.whitehouse.gov/omb/part.)

Yes X No

d. If "yes," does this investment address a weakness found during a PART review?

Yes No X

e. If "yes," what is the name of the PARTed program?

D.C. Courts

f. If "yes," what rating did the PART receive?

Adequate

15. Is this investment for information technology?

Yes No X

If the answer to Question 15 is "Yes," complete questions 16-23 below. If the answer is "No," do not answer questions 16-23.

For information technology investments only:

16. What is the level of the IT Project? (per CIO Council PM Guidance)

Level 1 _____
 Level 2 _____
 Level 3 _____

17. What project management qualifications does the Project Manager have? (per CIO Council PM Guidance)

- (1) Project manager has been validated as qualified for this investment _____
- (2) Project manager qualification is under review for this investment _____
- (3) Project manager assigned to investment, but does not meet requirements _____
- (4) Project manager assigned but qualification status review has not yet started _____
- (5) No Project manager has yet been assigned to this investment _____

18. Is this investment identified as “high risk” on the Q4 -FY 2006 agency high risk report (per OMB’s ‘high risk’ memo)?

19. Is this a financial management system? Yes _____ No _____
 a. If “yes,” does this investment address a FFMIA compliance area? Yes _____ No _____
 1. If “yes,” which compliance area: _____
 2. If “no,” what does it address? _____
 b. If “yes,” please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A-11 section 52 _____

20. What is the percentage breakout for the total FY2009 funding request for the following?
 (This should total 100%)

Hardware _____
 Software _____
 Services _____
 Other _____

21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?

Yes _____
 No _____
 NA _____

22. Contact information of individual responsible for privacy related questions:

Name _____
 Phone Number _____
 Title _____
 E-mail _____

23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration’s approval? Yes _____ No _____

Section B: Summary of Spending (All Capital Assets)

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The "TOTAL" estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

Table 1: SUMMARY OF SPENDING FOR PROJECT PHASES (REPORTED IN MILLIONS) (Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)									
	PY-1 and earlier	PY 2007	CY 2008	BY 2009	BY+1 2010	BY+2 2011	BY+3 2012	BY+4 and beyond	Total
Planning:	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Acquisition :	0.00	0.00	16.00	34.00	15.00	0.00	0.00	0.00	65.00
Subtotal Planning & Acquisition:	0.00	0.00	16.00	34.00	15.00	0.00	0.00	0.00	65.00
Operations & Maintenance:	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
TOTAL:	0.00	0.00	16.00	34.00	15.00	0.00	0.00	0.00	65.00
Government FTE Costs should not be included in the amounts provided above.									
Government FTE Costs									
Number of FTE represented by Costs:									

Note: For the cross-agency investments, this table should include all funding (both managing partner and partner agencies). Government FTE Costs should not be included as part of the TOTAL represented.

- 2. Will this project require the agency to hire additional FTE's? Yes _____ No X
 - a. If "yes," How many and in what year? _____
- 3. If the summary of spending has changed from the FY2008 President's budget request, briefly explain those changes: _____

Section C: Acquisition/Contract Strategy (All Capital Assets)

Contracts/Task Orders Table:			
Contract or Task Order Number	2009-1 Administrative Area	2009-2 HVAC-Lighting Upgrades	2009-3 Initial Cellblock Modifications
Type of Contract/Task Order	Fixed	Fixed	Fixed
Has the contract been awarded (Y/N)	No	No	No
If so what is the date of the award? If not, what is the planned award date?	Quarter 1 FY 2009	Quarter 1 FY 2009	Quarter 1 FY 2009
Start date of Contract/Task Order	Quarter 1 FY 2009	Quarter 1 FY 2009	Quarter 1 FY 2009
End date of Contract/Task Order	Quarter 1 FY 2010	Quarter 1 FY 2010	Quarter 2 FY 2010
Is this an Interagency Acquisition? (Y/N)	NO	NO	NO
Is it performance based? (Y/N)	YES	YES	YES
Competitively awarded? (Y/N)	YES	YES	YES
What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A)	NA	NA	NA
Is EVM in the contract? (Y/N)	YES	YES	YES
Does the contract include the required security & privacy clauses? (Y/N)	YES	YES	YES
Name of CO	Joseph E. Sanchez	Joseph E. Sanchez	Joseph E. Sanchez
CO Contact information (phone/email)	202-879-2801 sanchezj@dcsc.gov	202-879-2801 sanchezj@dcsc.gov	202-879-2801 sanchezj@dcsc.gov
Contracting Officer Certification Level (Level 1, 2, 3, N/A)	NA	NA	NA
If N/A, has the agency determined the CO assigned has the competencies and skills necessary to support this acquisition? (Y/N)	YES	YES	YES

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why: _____

3. Do the contracts ensure Section 508 compliance?
 Yes _____
 No X _____
 N/A _____

a. Explain why:

4. Is there an acquisition plan which has been approved in accordance with agency requirements?

Yes X No

a. If “yes,” what is the date?

b. If “no,” will an acquisition plan be developed?

1. If “no,” briefly explain why:

Section D: Performance Information (All Capital Assets)

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency’s mission and strategic goals, and performance measures must be provided. These goals need to map to the gap in the agency’s strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative or qualitative measure.

Agencies must use Table 1 below for reporting performance goals and measures for all non-IT investments and for existing IT investments that were initiated prior to FY 2005. The table can be extended to include measures for years beyond FY 2006.

Performance Information Table 1:					
Fiscal Year	Strategic Goal(s) Supported	Performance Measure	Actual/baseline (from Previous Year)	Planned performance Metric (Target)	Performance Metric Results (Actual)
2004-2008	Goal 2.1: The Courts will ensure that physical facilities are easily accessible by all persons.	<ul style="list-style-type: none"> ▪ Provide handicap accessible facilities for adult prisoners. ▪ Provide accessible facilities for medical emergencies. 	Existing sallyport, adult holding unit arraignment courtroom, and courtroom prisoner holding units have not been significantly altered or upgraded since the opening of the courthouse in 1976.	<ul style="list-style-type: none"> ▪ Renovate existing cellblock with handicap accessible cells. ▪ Increase corridor widths for gurneys 	NA
2004-2008	Goal 4.1: The Courts will provide personnel and court participants with a safe, secure, functional, and habitable physical environment.	<ul style="list-style-type: none"> ▪ Increase safety by decreasing overcrowding. ▪ Improve security through technology upgrades. ▪ Increase safety by upgrading the cellblocks life safety systems. ▪ Improve habitability through upgrades to M/E/P systems 	Existing sallyport, adult holding unit arraignment courtroom, and courtroom prisoner holding units have not been significantly altered or upgraded since the opening of the courthouse in 1976.	<ul style="list-style-type: none"> ▪ Relocate USMS administrative functions to a location outside the cellblock and complete a phased renovation of the cell block while keeping it operational. 	NA

All new IT investments initiated for FY 2005 and beyond must use Table 2 and are required to use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Please use Table 2 and the

PRM to identify the performance information pertaining to this major IT investment. Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for at least four different Measurement Areas (for each fiscal year). The PRM is available at www.egov.gov.

Performance Information Table 2:						
Fiscal Year	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Planned Improvement to the Baseline	Actual Results
2006 - 2008	Mission & Business Results	NA	NA	NA	NA	NA
2006 - 2008	Customer Results	NA	NA	NA	NA	NA
2006 - 2008	Processes & Activities	NA	NA	NA	NA	NA
2006 - 2008	Technology	NA	NA	NA	NA	NA

Section E: Security and Privacy (IT Capital Assets only)

NA – Not Applicable

Section F: Enterprise Architecture (EA) (IT Capital Assets only)

NA – Not Applicable

Part II: Planning, Acquisition And Performance Information

Part II should be completed only for investments identified as “Planning” or “Full Acquisition”, or “Mixed Life-Cycle” investments in response to Question 6 in Part I, Section A above.

Section A: Alternatives Analysis (All Capital Assets)

In selecting the best capital asset, you should identify and consider at least three viable alternatives, in addition to the current baseline, i.e., the status quo. Use OMB Circular A-94 for all investments and the Clinger Cohen Act of 1996 for IT investments to determine the criteria you should use in your Benefit/Cost Analysis.

- 1. Did you conduct an alternatives analysis for this project? Yes X No _____
 - a. If “yes,” provide the date the analysis was completed? May 2007 - Facilities Improvements Feasibility Study for the USMS
 - b. If “no,” what is the anticipated date this analysis will be completed? _____
 - c. If no analysis is planned, please briefly explain why: _____

Alternatives Analysis Results: Use the results of your alternatives analysis to complete the following table:			
Alternative Analyzed	Description of Alternative	Risk Adjusted Lifecycle Costs estimate	Risk Adjusted Lifecycle Benefits estimate
Alternative 1	Multi-Phased renovation of Moultrie Courthouse prisoner facilities	Best Alternative – Most Cost Effective	Greatest Operational Benefit
Alternative 2	Single Phase renovation of the Moultrie Courthouse prisoner facilities	Poor Alternative	Not feasible due to mandatory continuous operational use.

3. Which alternative was selected by the Agency's Executive/Investment Committee and why was it chosen? _____

4. What specific qualitative benefits will be realized?

Section B: Risk Management (All Capital Assets)

You should have performed a risk assessment during the early planning and initial concept phase of this investment's life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

1. Does the investment have a Risk Management Plan? Yes X No _____
 a. If "yes," what is the date of the plan? Capital Projects Plan 2004
 b. Has the Risk Management Plan been significantly changed since last year's submission to OMB? Yes _____ No X
 c. If "yes," describe any significant changes: _____

2. If there currently is no plan, will a plan be developed? Yes _____ No _____
 a.If "yes," what is the planned completion date? _____
 b.If "no," what is the strategy for managing the risks? _____

3. Briefly describe how investment risks are reflected in the life cycle cost estimate and investment schedule:

Section C: Cost and Schedule Performance (All Capital Assets)

EVM is required only on DME portions of investments. For mixed lifecycle investments, O&M milestones should still be included in the table (Comparison of Initial Baseline and Current Approved Baseline). This table should accurately reflect the milestones in the initial baseline, as well as milestones in the current baseline.

1. Does the earned value management system meet the criteria in ANSI/EIA Standard – 748? Yes No Yes X No _____

2. Answer the following questions about current cumulative cost and schedule performance. The numbers reported below should reflect current actual information. (Per OMB requirements Cost/Schedule Performance information should include both Government and Contractor Costs):

- a. What is the Planned Value (PV)? _____
- b. What is the Earned Value (EV)? _____
- c. What is the actual cost of work performed (AC)? _____
- d. What costs are included in the reported Cost/Schedule Performance information (Government Only/Contractor Only/Both)? _____
- e. "As of" date: _____

3. What is the calculated Schedule Performance Index (SPI= EV/PV)? _____

4. What is the schedule variance (SV = EV-PV)? _____

5. What is the calculated Cost Performance Index (CPI = EV/AC)? _____

6. What is the cost variance (CV = EV-AC)? _____

7. Is the CV% or SV% greater than ± 10%? (CV%= CV/EV x 100; SV%= SV/PV x 100)
 a. If “yes,” was it the? Yes _____ No _____
 CV _____
 SV _____
 Both _____

b. If “yes,” explain the variance: _____

c. If “yes,” what corrective actions are being taken? _____

d. What is most current “Estimate at Completion”? _____

8. Have any significant changes been made to the baseline during the past fiscal year? Yes _____ No _____

a. If “yes,” when was it approved by OMB? _____

9. Comparison of Initial Baseline and Current Approved Baseline: Complete the following table to compare actual performance against the current performance baseline and to the initial performance baseline. In the Current Baseline section, for all milestones listed, you should provide both the baseline and actual completion dates (e.g., “03/23/2003”/ “04/28/2004”) and the baseline and actual total costs (in \$ Millions). In the event that a milestone is not found in both the initial and current baseline, leave the associated cells blank. Note that the ‘Description of Milestone’ and ‘Percent Complete’ fields are required. Indicate ‘0’ for any milestone no longer active.

Description of Milestone	Initial Baseline		Current Baseline				Current Baseline Variance		Percent Complete
	Planned Completion Date	Total Cost Estimated	Completion Date Planned/Actual	Total Cost	Planned/Actual	Schedule/Cost (# days/\$M)			
Relocate USMS Admin. Staff	Q1 09	\$2.5M	Q1 09	N/A	\$25M	N/A	N/A	N/A	0
Complete HVAC improvements	Q1 09	\$1M	Q1 09	N/A	\$1M	N/A	N/A	N/A	0
Begin Cellblock Improvements	Q2 09	\$43M	Q2 09	N/A	\$43M	N/A	N/A	N/A	0

Part III: For “Operation and maintenance” Investments ONLY (Steady State)
Part III should be completed only for investments identified as “Operations and Maintenance” (Steady State) in response to Question 6 in Part I, Section A above.

Section A: Risk Management (All Capital Assets)

You should have performed a risk assessment during the early planning and initial concept phase of this investment’s life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment’s life-cycle.

- 1. Does the investment have a Risk Management Plan? Yes ____ No ____
 - a. If “yes,” what is the date of the plan? _____
 - b. Has the Risk Management Plan been significantly changed since last year’s submission to OMB? Yes ____ No ____
 - c. If “yes,” describe any significant changes: _____

- 2. If there currently is no plan, will a plan be developed? Yes ____ No ____
 - a. If “yes,” what is the planned completion date? _____
 - b. If “no,” what is the strategy for managing the risks? _____

Section B: Cost and Schedule Performance (All Capital Assets)

1. Was operational analysis conducted? <ul style="list-style-type: none"> a. If “yes,” provide the date the analysis was completed. b. If “yes,” what were the results? c. If “no,” please explain why it was not conducted and if there are any plans to conduct operational analysis in the future: 	Yes ____ No ____ _____ _____ _____
--	---

2. Complete the following table to compare actual cost performance against the planned cost performance baseline. Milestones reported may include specific individual scheduled preventative and predictable corrective maintenance activities, or may be the total of planned annual operation and maintenance efforts).

a. What costs are included in the reported Cost/Schedule Performance information (Government Only/Contractor Only/Both)?	_____ _____
--	----------------

2. b Comparison of Plan vs. Actual Performance Table:						
Description of Milestone	Planned		Actual		Variance	
	Completion Date (mm/dd/yyyy)	Total Cost (\$M)	Completion Date (mm/dd/yyyy)	Total Cost (\$M)	Schedule/Cost (# days/\$M)	

Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview (All Capital Assets)

1. Date of Submission: September 2007
2. Agency: District of Columbia Courts
3. Bureau: NA
4. Name of this Capital Asset: **Moultrie Courthouse – Indiana Avenue Expansion**
5. Unique Project (Investment) Identifier: (For IT investment only, see section 53. For all other, use agency ID system.) 95-1712

6. What kind of investment will this be in FY2009?
 (Please NOTE: Investments moving to O&M ONLY in FY2009, with Planning/Acquisition activities prior to FY2008 should not select O&M. These investments should indicate their current status.)

Planning	_____
Full Acquisition	___X___ (fully funded)
Operations and Maintenance	_____
Mixed Life Cycle	_____
E-Gov/LoBOversight	_____

7. What was the first budget year this investment was submitted to OMB? 2003
8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap: The Indiana Avenue entrance to the Moultrie Courthouse was built during a time of fewer security concerns. The lobby was not designed for magnetometers and a queuing area. Each morning, lines extend out the doors of the courthouse as the public must wait in all weather conditions to enter the building. The Indiana Avenue Expansion will have two phases of construction. Phase I, currently in acquisition, addresses the façade and interior entry area and will provide increased space for security equipment and modest additional indoor queuing space to allow for some screening. Phase II, the 2009 budget request, addresses the exterior entry area, and will include design modifications to meet USMS. standards, NCPC Security Guidelines and improve circulation and visual surveillance for Site Security Officers.

9. Did the Agency’s Executive/Investment Committee review this request? Yes X No

a. If “yes,” what was the date of this approval? 2003

10. Did the Project Manager review this Exhibit? Yes X No

11. Contact information of Project Manager?

Name	<u> Mary Ann Satterthwaite </u>
Phone Number	<u> 202-879-2898 </u>
E-mail	<u> satterma2@dcsc.gov </u>

12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project.

Yes X No

a. Will this investment include electronic assets (including computers)?

Yes No X

b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)

Yes No X

1. If “yes,” is an ESPC or UESC being used to help fund this investment? NA
Yes No

2. If “yes,” will this investment meet sustainable design principles? NA
Yes No

3. If “yes,” is it designed to be 30% more energy efficient than relevant code?
NA
Yes No

13. Does this investment directly support one of the PMA initiatives? If “yes” check all that apply: NA

- Human Capital
- Budget Performance Integration
- Financial Performance
- Expanded E-Government
- Competitive Sourcing
- Faith Based and Community
- Real Property Asset Management
- Eliminating Improper Payments
- Privatization of Military Housing
- Research & Development Investment Criteria
- Housing & Urban Development Management & Performance
- Broadening Health Insurance Coverage through State Initiatives
- “Right Sized” Overseas Presence
- Coordination of VA & DoD Programs and Systems

a. Briefly describe how this asset directly supports the identified initiative(s)? NA

14. Does this investment support a program assessed using the Program Assessment Rating Tool (PART)? (For more information about the PART, visit www.whitehouse.gov/omb/part.)

Yes X No

a. If "yes," does this investment address a weakness found during a PART review?

Yes No X

b. If "yes," what is the name of the PARTed program?

D.C. Courts

c. If "yes," what rating did the PART receive?

Adequate

15. Is this investment for information technology?

Yes No X

If the answer to Question 15 is “Yes,” complete questions 16-23 below. If the answer is “No,” do not answer questions 16-23.

For information technology investments only:

16. What is the level of the IT Project? (per CIO Council PM Guidance)

Level 1 _____
 Level 2 _____
 Level 3 _____

17. What project management qualifications does the Project Manager have? (per CIO Council PM Guidance)

- (1) Project manager has been validated as qualified for this investment _____
- (2) Project manager qualification is under review for this investment _____
- (3) Project manager assigned to investment, but does not meet requirements _____
- (4) Project manager assigned but qualification status review has not yet started _____
- (5) No Project manager has yet been assigned to this investment _____

18. Is this investment identified as “high risk” on the Q4 -FY 2006 agency high risk report (per OMB’s ‘high risk’ memo)?

19. Is this a financial management system? Yes _____ No _____
 a. If “yes,” does this investment address a FFMIA compliance area? Yes _____ No _____
 1. If “yes,” which compliance area: _____
 2. If “no,” what does it address? _____
 b. If “yes,” please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A-11 section 52 _____

20. What is the percentage breakout for the total FY2009 funding request for the following?
 (This should total 100%)

Hardware _____
 Software _____
 Services _____
 Other _____

21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?

Yes _____
 No _____
 NA _____

22. Contact information of individual responsible for privacy related questions:

Name _____
 Phone Number _____
 Title _____
 E-mail _____

23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration’s approval? Yes _____ No _____

Section B: Summary of Spending (All Capital Assets)

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The "TOTAL" estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

Table 1: SUMMARY OF SPENDING FOR PROJECT PHASES (REPORTED IN MILLIONS) (Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)									
	PY-1 and earlier	PY 2007	CY 2008	BY 2009	BY+1 2010	BY+2 2011	BY+3 2012	BY+4 and beyond	Total Unfunded
Planning:	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Acquisition :	3.97	0.00	0.00	4.77	0.00	0.00	0.00	0.00	4.77
Subtotal Planning & Acquisition:	3.97	0.00	0.00	4.77	0.00	0.00	0.00	0.00	4.77
Operations & Maintenance:	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
TOTAL:	3.97	0.00	0.00	4.77	0.00	0.00	0.00	0.00	4.77
Government FTE Costs should not be included in the amounts provided above.									
Government FTE Costs									
Number of FTE represented by Costs:									

Note: For the cross-agency investments, this table should include all funding (both managing partner and partner agencies). Government FTE Costs should not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE's? Yes _____ No X
 a. If "yes," How many and in what year? _____
3. If the summary of spending has changed from the FY2008 President's budget request, briefly explain those changes: _____

Section C: Acquisition/Contract Strategy (All Capital Assets)

Contracts/Task Orders Table:			
Contract or Task Order Number	2009-01 Indiana Ave Entry Construction		
Type of Contract/Task Order	Fixed		
Has the contract been awarded (Y/N)	No		
If so what is the date of the award? If not, what is the planned award date?	First Quarter FY 2009		
Start date of Contract/Task Order	First Quarter FY 2009		
End date of Contract/Task Order	Third Quarter FY 2009		
Is this an Interagency Acquisition? (Y/N)	No		
Is it performance based? (Y/N)	Yes		
Competitively awarded? (Y/N)	Yes		
What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A)	NA		
Is EVM in the contract? (Y/N)	Yes		
Does the contract include the required security & privacy clauses? (Y/N)	Yes		
Name of CO	Joseph E. Sanchez		
CO Contact information (phone/email)	sanchezj@dcsc.gov 202-879-2801		
Contracting Officer Certification Level (Level 1, 2, 3, N/A)	NA		
If N/A, has the agency determined the CO assigned has the competencies and skills necessary to support this acquisition? (Y/N)	YES		

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why: _____

3. Do the contracts ensure Section 508 compliance? _____
 Yes _____
 No X
 N/A _____

a. Explain why:

4. Is there an acquisition plan which has been approved in accordance with agency requirements? Yes X No _____
 a. If "yes," what is the date? 2003
 b. If "no," will an acquisition plan be developed? _____
 1. If "no," briefly explain why: _____

Section D: Performance Information (All Capital Assets)

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency’s mission and strategic goals, and performance measures must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative or qualitative measure.

Agencies must use Table 1 below for reporting performance goals and measures for all non-IT investments and for existing IT investments that were initiated prior to FY 2005. The table can be extended to include measures for years beyond FY 2006.

Performance Information Table 1:					
Fiscal Year	Strategic Goal(s) Supported	Performance Measure	Actual/baseline (from Previous Year)	Planned performance Metric (Target)	Performance Metric Results (Actual)
2009	Goal 4.1: The Court will provide personnel and court participants with a safe, secure, functional environment.	Construction of a new Indiana Avenue entry to the Moultrie Courthouse will improve access and security.	The existing entry dates from the original design from 35 years ago. It has outdated security and is not equipped to meet current courthouse visitor volumes.	New entry design.	NA

All new IT investments initiated for FY 2005 and beyond must use Table 2 and are required to use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Please use Table 2 and the PRM to identify the performance information pertaining to this major IT investment. Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for at least four different Measurement Areas (for each fiscal year). The PRM is available at www.egov.gov.

Performance Information Table 2:						
Fiscal Year	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Planned Improvement to the Baseline	Actual Results
2006 - 2008	Mission & Business Results	NA	NA	NA	NA	NA
2006 - 2008	Customer Results	NA	NA	NA	NA	NA
2006 - 2008	Processes & Activities	NA	NA	NA	NA	NA
2006 - 2008	Technology	NA	NA	NA	NA	NA

Section E: Security and Privacy (IT Capital Assets only)
NA – Not Applicable

Section F: Enterprise Architecture (EA) (IT Capital Assets only)
NA – Not Applicable

Part II: Planning, Acquisition And Performance Information
Part II should be completed only for investments identified as “Planning” or “Full Acquisition”, or “Mixed Life-Cycle” investments in response to Question 6 in Part I, Section A above.

Section A: Alternatives Analysis (All Capital Assets)

In selecting the best capital asset, you should identify and consider at least three viable alternatives, in addition to the current baseline, i.e., the status quo. Use OMB Circular A-94 for all investments and the Clinger Cohen Act of 1996 for IT investments to determine the criteria you should use in your Benefit/Cost Analysis.

1. Did you conduct an alternatives analysis for this project? Yes _____ No _____
 a. If “yes,” provide the date the analysis was completed? _____
 b. If “no,” what is the anticipated date this analysis will be completed? _____
 c. If no analysis is planned, please briefly explain why:

2. Alternatives Analysis Results: Use the results of your alternatives analysis to complete the following table:			
Alternative Analyzed	Description of Alternative	Risk Adjusted Lifecycle Costs estimate	Risk Adjusted Lifecycle Benefits estimate
Alternative 1	Expand Indiana Avenue Entry	Least modification and cost	Current location, greatest benefit for public orientation
Alternative 2	Relocate Entry to 6 th Street	Greatest modification and cost	Location would move to JM Level, would eliminate occupied space with little public orientation benefit.
Alternative 3	Relocate entry to C Street	Moderate modification and cost	Location would move to C Street Level with the least benefit for the public. It has the greatest travel distance for the public. Public vehicle drop off and prisoner delivery vehicles would also cross paths.

3. Which alternative was selected by the Agency’s Executive/Investment Committee and why was it chosen? Alternative 1 – least modification and greatest public benefit

4. What specific qualitative benefits will be realized? Greater security, easier access and screening of the public.

Section B: Risk Management (All Capital Assets)

You should have performed a risk assessment during the early planning and initial concept phase of this investment’s life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment’s life-cycle.

- 1. Does the investment have a Risk Management Plan? Yes X No _____
 - a. If “yes,” what is the date of the plan? Capital Projects Plan 2004
 - b. Has the Risk Management Plan been significantly changed since last year’s submission to OMB? Yes _____ No _____
 - c. If “yes,” describe any significant changes: _____

- 2. If there currently is no plan, will a plan be developed? Yes _____ No _____
 - a. If “yes,” what is the planned completion date? _____
 - b. If “no,” what is the strategy for managing the risks? _____

- 3. Briefly describe how investment risks are reflected in the life cycle cost estimate and investment schedule:

Section C: Cost and Schedule Performance (All Capital Assets)

EVM is required only on DME portions of investments. For mixed lifecycle investments, O&M milestones should still be included in the table (Comparison of Initial Baseline and Current Approved Baseline). This table should accurately reflect the milestones in the initial baseline, as well as milestones in the current baseline.

- 1. Does the earned value management system meet the criteria in ANSI/EIA Standard – 748? Yes No Yes X No _____

- 2. Answer the following questions about current cumulative cost and schedule performance. The numbers reported below should reflect current actual information. (Per OMB requirements Cost/Schedule Performance information should include both Government and Contractor Costs):
 - a. What is the Planned Value (PV)? _____
 - b. What is the Earned Value (EV)? _____
 - c. What is the actual cost of work performed (AC)? _____
 - d. What costs are included in the reported Cost/Schedule Performance information (Government Only/Contractor Only/Both)? _____
 - e. “As of” date: _____

- 3. What is the calculated Schedule Performance Index (SPI= EV/PV)? _____

- 4. What is the schedule variance (SV = EV-PV)? _____

- 5. What is the calculated Cost Performance Index (CPI = EV/AC)? _____

- 6. What is the cost variance (CV = EV-AC)? _____

7. Is the CV% or SV% greater than ± 10%? (CV%= CV/EV x 100; SV%= SV/PV x 100)

a. If “yes,” was it the?

Yes _____ No _____
 CV _____
 SV _____
 Both _____

b. If “yes,” explain the variance:

c. If “yes,” what corrective actions are being taken?

d. What is most current “Estimate at Completion”?

8. Have any significant changes been made to the baseline during the past fiscal year?

a. If “yes,” when was it approved by OMB?

Yes _____ No _____

9. Comparison of Initial Baseline and Current Approved Baseline: Complete the following table to compare actual performance against the current performance baseline and to the initial performance baseline. In the Current Baseline section, for all milestones listed, you should provide both the baseline and actual completion dates (e.g., “03/23/2003”/ “04/28/2004”) and the baseline and actual total costs (in \$ Millions). In the event that a milestone is not found in both the initial and current baseline, leave the associated cells blank. Note that the ‘Description of Milestone’ and ‘Percent Complete’ fields are required. Indicate ‘0’ for any milestone no longer active.

Description of Milestone	Initial Baseline		Current Baseline				Current Baseline Variance		Percent Complete
	Planned Completion Date	Total Cost Estimated	Completion Date Planned/Actual	Total Cost Planned/ Actual		Schedule/Cost (# days/\$M)			
Construction	May 2009	\$4.77 M							

Part III: For “Operation and maintenance” Investments ONLY (Steady State)
Part III should be completed only for investments identified as “Operations and Maintenance” (Steady State) in response to Question 6 in Part I, Section A above.

Section A: Risk Management (All Capital Assets)

You should have performed a risk assessment during the early planning and initial concept phase of this investment’s life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment’s life-cycle.

1. Does the investment have a Risk Management Plan?

Yes _____ No _____

a. If “yes,” what is the date of the plan?

b. Has the Risk Management Plan been significantly changed since last year’s submission to OMB?

Yes _____ No _____

c. If “yes,” describe any significant changes:

2. If there currently is no plan, will a plan be developed?

Yes _____ No _____

a. If “yes,” what is the planned completion date?

b. If “no,” what is the strategy for managing the risks? _____

Section B: Cost and Schedule Performance (All Capital Assets)

1. Was operational analysis conducted? Yes _____ No _____
 a. If “yes,” provide the date the analysis was completed. _____
 b. If “yes,” what were the results? _____
 c. If “no,” please explain why it was not conducted and if there are any plans to conduct operational analysis in the future: _____

2. Complete the following table to compare actual cost performance against the planned cost performance baseline. Milestones reported may include specific individual scheduled preventative and predictable corrective maintenance activities, or may be the total of planned annual operation and maintenance efforts).

a. What costs are included in the reported Cost/Schedule Performance information (Government Only/Contractor Only/Both)? _____

2. b Comparison of Plan vs. Actual Performance Table:					
Description of Milestone	Planned		Actual		Variance
	Completion Date (mm/dd/yyyy)	Total Cost (\$M)	Completion Date (mm/dd/yyyy)	Total Cost (\$M)	Schedule/Cost (# days/\$M)

Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview (All Capital Assets)

1. Date of Submission: September 2007
2. Agency: District of Columbia Courts
3. Bureau: NA
4. Name of this Capital Asset: **Building C Modernization**
5. Unique Project (Investment) Identifier: (For IT investment only, see section 53. For all other, use agency ID system.) 95-1712
6. What kind of investment will this be in FY2009?
(Please NOTE: Investments moving to O&M ONLY in FY2009, with Planning/Acquisition activities prior to FY2008 should not select O&M. These investments should indicate their current status.)

Planning	_____
Full Acquisition	_____ X _____
Operations and Maintenance	_____
Mixed Life Cycle	_____
E-Gov/LoBOversight	_____

7. What was the first budget year this investment was submitted to OMB? 2004
8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap: The Family Court Act of 2001 requires that the District of Columbia immediately begin establishing an operating Family Court that is separate and physically distinct from the Superior Court. The D.C. Courts Building C Modernization Project is one of a series of capital projects necessary to establish a fully consolidated Family Court. This project is fully coordinated with the long-range recommendations of the D.C. Courts Facilities Master Plan.

Building C, constructed in the 1930's is in very poor condition and requires significant renovation. This project will provide renovated space for the Information Technology (IT) and Multi-Door (MD) Divisions currently located in the Moultrie Courthouse in order to provide space for the movement of functions needed to consolidate the Family Court.

The funds requested in FY 2009 will finance the design of the modernization.

9. Did the Agency's Executive/Investment Committee review this request? Yes X No
 - a. If "yes," what was the date of this approval? 2004
10. Did the Project Manager review this Exhibit? Yes X No
11. Contact information of Project Manager?

Name Mary Ann Satterthwaite
 Phone Number 202-879-2898
 E-mail satterma2@dcsc.gov

12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project.

Yes X No

a. Will this investment include electronic assets (including computers)?

Yes No X

b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)

Yes No X

1. If "yes," is an ESPC or UESC being used to help fund this investment? NA
 Yes No
2. If "yes," will this investment meet sustainable design principles? NA
 Yes No
3. If "yes," is it designed to be 30% more energy efficient than relevant code? NA
 Yes No

13. Does this investment directly support one of the PMA initiatives? If "yes" check all that apply: NA

- Human Capital
- Budget Performance Integration
- Financial Performance
- Expanded E-Government
- Competitive Sourcing
- Faith Based and Community
- Real Property Asset Management
- Eliminating Improper Payments
- Privatization of Military Housing
- Research & Development Investment Criteria
- Housing & Urban Development Management & Performance
- Broadening Health Insurance Coverage through State Initiatives
- "Right Sized" Overseas Presence
- Coordination of VA & DoD Programs and Systems

a. Briefly describe how this asset directly supports the identified initiative(s)? The reoccupation of the vacant Building C supports the initiative by converting unuseable real estate into efficient, productive workspace.

14. Does this investment support a program assessed using the Program Assessment Rating Tool (PART)? (For more information about the PART, visit www.whitehouse.gov/omb/part.)

Yes X No

- a. If "yes," does this investment address a weakness found during a PART review? Yes No X
- b. If "yes," what is the name of the PARTed program? D.C. Courts

c. If "yes," what rating did the PART receive? Adequate

15. Is this investment for information technology? Yes No X

If the answer to Question 15 is "Yes," complete questions 16-23 below. If the answer is "No," do not answer questions 16-23.

For information technology investments only:

16. What is the level of the IT Project? (per CIO Council PM Guidance)

Level 1	<u> </u>
Level 2	<u> </u>
Level 3	<u> </u>

17. What project management qualifications does the Project Manager have? (per CIO Council PM Guidance)

- (1) Project manager has been validated as qualified for this investment
- (2) Project manager qualification is under review for this investment
- (3) Project manager assigned to investment, but does not meet requirements
- (4) Project manager assigned but qualification status review has not yet started
- (5) No Project manager has yet been assigned to this investment

18. Is this investment identified as "high risk" on the Q4 -FY 2006 agency high risk report (per OMB's "high risk" memo)?

19. Is this a financial management system? Yes No

a. If "yes," does this investment address a FFMIA compliance area? Yes No

1. If "yes," which compliance area:

2. If "no," what does it address?

b. If "yes," please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A-11 section 52

20. What is the percentage breakout for the total FY2009 funding request for the following? (This should total 100%)

- Hardware
- Software
- Services
- Other

21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?

Yes
 No
 NA

22. Contact information of individual responsible for privacy related questions:

Name
 Phone Number
 Title

E-mail _____

23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration’s approval? Yes _____ No _____

Section B: Summary of Spending (All Capital Assets)

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated “Government FTE Cost,” and should be excluded from the amounts shown for “Planning,” “Full Acquisition,” and “Operation/Maintenance.” The “TOTAL” estimated annual cost of the investment is the sum of costs for “Planning,” “Full Acquisition,” and “Operation/Maintenance.” For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

Table 1: SUMMARY OF SPENDING FOR PROJECT PHASES (REPORTED IN MILLIONS) (Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)									
	PY-1 and earlier	PY 2007	CY 2008	BY 2009	BY+1 2010	BY+2 2011	BY+3 2012	BY+4 and beyond	Total Unfunded
Planning:	0.25	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Acquisition :	0.00	0.00	0.00	2.70	25.70	12.00	0.00	0.00	40.40
Subtotal Planning & Acquisition:	0.25	0.00	0.00	2.70	25.70	12.00	0.00	0.00	40.40
Operations & Maintenance:	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
TOTAL:	0.25	0.00	0.00	2.70	25.70	12.00	0.00	0.00	40.40
Government FTE Costs should not be included in the amounts provided above.									
Government FTE Costs									
Number of FTE represented by Costs:									

Note: For the cross-agency investments, this table should include all funding (both managing partner and partner agencies). Government FTE Costs should not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE’s? Yes _____ No X
 a. If “yes,” How many and in what year? _____

3. If the summary of spending has changed from the FY2008 President’s budget request, briefly explain those changes: _____

Section C: Acquisition/Contract Strategy (All Capital Assets)

Contracts/Task Orders Table:			
Contract or Task Order Number	2009-Design		
Type of Contract/Task Order	Fixed		
Has the contract been awarded (Y/N)	No		
If so what is the date of the award? If not, what is the planned award date?	Quarter 1 FY 2009		
Start date of Contract/Task Order	Quarter 1 FY 2009		
End date of Contract/Task Order	Quarter 1 FY 2010		
Is this an Interagency Acquisition? (Y/N)	NO		
Is it performance based? (Y/N)	YES		
Competitively awarded? (Y/N)	YES		
What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A)	NA		
Is EVM in the contract? (Y/N)	YES, Courts' Standard		
Does the contract include the required security & privacy clauses? (Y/N)	YES, Courts' Standard		
Name of CO	Joseph E. Sanchez		
CO Contact information (phone/email)	202-879-2801 sanchezj@dcsc.gov		
Contracting Officer Certification Level (Level 1, 2, 3, N/A)	NA		
If N/A, has the agency determined the CO assigned has the competencies and skills necessary to support this acquisition? (Y/N)	YES		

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why: _____

3. Do the contracts ensure Section 508 compliance?
 Yes _____
 No X
 N/A _____

a. Explain why:

4. Is there an acquisition plan which has been approved in accordance with agency requirements?

Yes X No

a. If "yes," what is the date?

2003

b. If "no," will an acquisition plan be developed?

1. If "no," briefly explain why:

Section D: Performance Information (All Capital Assets)

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative or qualitative measure.

Agencies must use Table 1 below for reporting performance goals and measures for all non-IT investments and for existing IT investments that were initiated prior to FY 2005. The table can be extended to include measures for years beyond FY 2006.

Performance Information Table 1:					
Fiscal Year	Strategic Goal(s) Supported	Performance Measure	Actual/baseline (from Previous Year)	Planned performance Metric (Target)	Performance Metric Results (Actual)
2004-2008	Goal 2.1: The Courts will ensure that physical facilities are easily accessible by all persons.	Building C is currently suffering from extensive lack of maintenance and requires major upgrades in order to house court personnel in Class A office space that is accessible.	TBD	Upgrade of 27,000 OSF of Class D office space into Class A office space. A fully renovated building completed within budget and within the scheduled timeframe	TBD
2004-2008	Goal 4.1: The Courts will provide personnel and court participants with a safe, secure, functional, and habitable physical environment.	Building C is currently suffering from extensive lack of maintenance and requires major upgrades in order to house court personnel in Class A office space that is functional and habitable.	TBD	Upgrade of 27,000 OSF of Class D office space into Class A office space. A fully renovated building completed within budget and within the scheduled timeframe	TBD

All new IT investments initiated for FY 2005 and beyond must use Table 2 and are required to use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Please use Table 2 and the PRM to identify the performance information pertaining to this major IT investment. Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for at least four different Measurement Areas (for each fiscal year). The PRM is available at www.egov.gov.

Performance Information Table 2:						
Fiscal Year	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Planned Improvement to the Baseline	Actual Results
2006 - 2008	Mission & Business Results	NA	NA	NA	NA	NA
2006 - 2008	Customer Results	NA	NA	NA	NA	NA
2006 - 2008	Processes & Activities	NA	NA	NA	NA	NA
2006 - 2008	Technology	NA	NA	NA	NA	NA

Section E: Security and Privacy (IT Capital Assets only)
NA – Not Applicable

Section F: Enterprise Architecture (EA) (IT Capital Assets only)
NA – Not Applicable

Part II: Planning, Acquisition And Performance Information
Part II should be completed only for investments identified as “Planning” or “Full Acquisition”, or “Mixed Life-Cycle” investments in response to Question 6 in Part I, Section A above.

Section A: Alternatives Analysis (All Capital Assets)

In selecting the best capital asset, you should identify and consider at least three viable alternatives, in addition to the current baseline, i.e., the status quo. Use OMB Circular A-94 for all investments and the Clinger Cohen Act of 1996 for IT investments to determine the criteria you should use in your Benefit/Cost Analysis.

- 1. Did you conduct an alternatives analysis for this project? Yes X No
 a. If “yes,” provide the date the analysis was completed? 2005 Building C Prospectus Development Study
- b. If “no,” what is the anticipated date this analysis will be completed? The Courts’ are implementing the Master Plan
- c. If no analysis is planned, please briefly explain why:

1. Alternatives Analysis Results:			
Use the results of your alternatives analysis to complete the following table:			
Alternative Analyzed	Description of Alternative	Risk Adjusted Lifecycle Costs estimate	Risk Adjusted Lifecycle Benefits estimate
Alternative 1	Renovate Building C for new D.C. Court occupants and maintain public circulation.	Best alternative – most cost effective solution.	Meets preservation goals and efficiently meets the needs of the Courts.
Alternative 2	Renovate Building C for new D.C. Court occupants and maintain public circulation and primary public spaces, 2 nd floor courtroom and chamber.	Fair alternative.	Marginal operational benefit.
Alternative 3	Renovate Building C for new D.C. Court occupants and	Poor alternative.	Existing interior

	maintain original interior partitions, public circulation, courtrooms, chambers and toilet rooms.		partitioning does not allow for efficient Court operations.
--	---	--	---

3. Which alternative was selected by the Agency’s Executive/Investment Committee and why was it chosen? Alternative 1

4. What specific qualitative benefits will be realized? Meets preservation goals & efficiently meets the needs of the Courts.

Section B: Risk Management (All Capital Assets)

You should have performed a risk assessment during the early planning and initial concept phase of this investment’s life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment’s life-cycle.

1. Does the investment have a Risk Management Plan? Yes X No _____
 a. If “yes,” what is the date of the plan? Capital Projects Plan 2004
 b. Has the Risk Management Plan been significantly changed since last year’s submission to OMB? Yes _____ No X
 c. If “yes,” describe any significant changes: _____

2. If there currently is no plan, will a plan be developed? Yes _____ No _____
 d. If “yes,” what is the planned completion date? _____
 e. If “no,” what is the strategy for managing the risks? _____

3. Briefly describe how investment risks are reflected in the life cycle cost estimate and investment schedule: Risk Management is an ongoing part of the project’s design process. Possible risks include delays in the construction schedule due to unforeseen field conditions associated with existing construction.

Section C: Cost and Schedule Performance (All Capital Assets)

EVM is required only on DME portions of investments. For mixed lifecycle investments, O&M milestones should still be included in the table (Comparison of Initial Baseline and Current Approved Baseline). This table should accurately reflect the milestones in the initial baseline, as well as milestones in the current baseline.

1. Does the earned value management system meet the criteria in ANSI/EIA Standard – 748? Yes No Yes X No _____

2. Answer the following questions about current cumulative cost and schedule performance. The numbers reported below should reflect current actual information. (Per OMB requirements Cost/Schedule Performance information should include both Government and Contractor Costs):

- f. What is the Planned Value (PV)? _____
- g. What is the Earned Value (EV)? _____
- h. What is the actual cost of work performed (AC)? _____
- i. What costs are included in the reported Cost/Schedule Performance information (Government Only/Contractor Only/Both)? _____

- j. "As of" date: _____
- 3. What is the calculated Schedule Performance Index (SPI= EV/PV)? _____
- 4. What is the schedule variance (SV = EV-PV)? _____
- 5. What is the calculated Cost Performance Index (CPI = EV/AC)? _____
- 6. What is the cost variance (CV = EV-AC)? _____
- 7. Is the CV% or SV% greater than ± 10%? (CV%= CV/EV x 100; SV%= SV/PV x 100)
 - a. If "yes," was it the?

Yes _____	No _____
CV _____	_____
SV _____	_____
Both _____	_____
 - b. If "yes," explain the variance: _____
 - c. If "yes," what corrective actions are being taken? _____
 - d. What is most current "Estimate at Completion"? _____
- 8. Have any significant changes been made to the baseline during the past fiscal year?
 - a. If "yes," when was it approved by OMB?

Yes _____	No _____
-----------	----------

9. Comparison of Initial Baseline and Current Approved Baseline: Complete the following table to compare actual performance against the current performance baseline and to the initial performance baseline. In the Current Baseline section, for all milestones listed, you should provide both the baseline and actual completion dates (e.g., "03/23/2003"/ "04/28/2004") and the baseline and actual total costs (in \$ Millions). In the event that a milestone is not found in both the initial and current baseline, leave the associated cells blank. Note that the 'Description of Milestone' and 'Percent Complete' fields are required. Indicate '0' for any milestone no longer active.

Description of Milestone	Initial Baseline		Current Baseline				Current Baseline Variance		Percent Complete
	Planned Completion Date (mm/dd/yyyy)	Total Cost (\$M) Estimated	Completion Date (mm/dd/yyyy) Planned/Actual		Total Cost (\$M) Planned/ Actual		Schedule/Cost (# days/\$M)		
Planning	NA	NA	NA	NA	NA	NA	NA	NA	100%
Design	October 2010	\$2.7	Oct 2010	NA	\$2.7 million	NA	NA	NA	0%
Construction	June 2012	\$37.7 million	June 2012	NA	\$37.7 million	NA	NA	NA	0%

Part III: For "Operation and maintenance" Investments ONLY (Steady State)

Part III should be completed only for investments identified as "Operations and Maintenance" (Steady State) in response to Question 6 in Part I, Section A above.

Section A: Risk Management (All Capital Assets)

You should have performed a risk assessment during the early planning and initial concept phase of this investment’s life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment’s life-cycle.

- 1. Does the investment have a Risk Management Plan? Yes ____ No ____
 - a. If “yes,” what is the date of the plan? _____
 - b. Has the Risk Management Plan been significantly changed since last year’s submission to OMB? Yes ____ No ____
 - c. If “yes,” describe any significant changes: _____

- 1. If there currently is no plan, will a plan be developed? Yes ____ No ____
 - a. If “yes,” what is the planned completion date? _____
 - b. If “no,” what is the strategy for managing the risks? _____

Section B: Cost and Schedule Performance (All Capital Assets)

- 1. Was operational analysis conducted? Yes ____ No ____
 - a. If “yes,” provide the date the analysis was completed. _____
 - b. If “yes,” what were the results? _____
 - c. If “no,” please explain why it was not conducted and if there are any plans to conduct operational analysis in the future: _____

2. Complete the following table to compare actual cost performance against the planned cost performance baseline. Milestones reported may include specific individual scheduled preventative and predictable corrective maintenance activities, or may be the total of planned annual operation and maintenance efforts).

- a. What costs are included in the reported Cost/Schedule Performance information (Government Only/Contractor Only/Both)? _____

2. b Comparison of Plan vs. Actual Performance Table:					
Description of Milestone	Planned		Actual		Variance
	Completion Date (mm/dd/yyyy)	Total Cost (\$M)	Completion Date (mm/dd/yyyy)	Total Cost (\$M)	Schedule/Cost (# days/\$M)

Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview (All Capital Assets)

1. Date of Submission: September 2007
2. Agency: District of Columbia Courts
3. Bureau: NA
4. Name of this Capital Asset: **Campus Security, Signage, and Lighting**
5. Unique Project (Investment) Identifier: (For IT investment only, see section [53](#). For all other, use agency ID system.) 95-1712
6. What kind of investment will this be in FY2009?
 (Please NOTE: Investments moving to O&M ONLY in FY2009, with Planning/Acquisition activities prior to FY2008 should not select O&M. These investments should indicate their current status.)

Planning	_____
Full Acquisition	_____ X _____
Operations and Maintenance	_____
Mixed Life Cycle	_____
E-Gov/LoBOversight	_____

7. What was the first budget year this investment was submitted to OMB? 2007
8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap: The location of many high profile buildings within and around Judiciary Square requires a comprehensive physical security plan, which serves both to protect the occupants and the users of court buildings and the Courts' property. This project will integrate new security features into landscaped property surrounding Judiciary Square to provide the greatest standoff distances between vehicles at the curb and building facades. These security features will, to the greatest extent possible meet current U.S.M.S. standards and preserve the open landscape treatment of Judiciary Square. The project includes perimeter security for the southeast quadrant and E Street, new lighting and street furnishings. It will also include new exterior signage to direct people to various Court buildings and respond to the relocation of Court operations from the Moultrie Courthouse to the Old D.C. Courthouse and Buildings A, B & C.

The number of people who would benefit from Perimeter Security in Judiciary Square is enormous. These include: residents and visitors in the District of Columbia and all those involved in proceedings in the District of Columbia Courts, including the public, judicial and non-judicial D.C. Courts staff; all those using the open space of Judiciary Square, including those working or visiting surrounding federal institutions; and all those using the Judiciary Square Metro Station at the center of Judiciary Square. The Judiciary Square Master Plan has been approved by the National Capitol Planning Commission and been coordinated with the U.S. Commission for Fine Arts and the District Department of Transportation.

9. Did the Agency’s Executive/Investment Committee review this request? Yes X No

a. If “yes,” what was the date of this approval? 2007

10. Did the Project Manager review this Exhibit? Yes X No

11. Contact information of Project Manager?

Name Mary Ann Satterthwaite
 Phone Number 202-879-2898
 E-mail satterma2@dcsc.gov

12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project.

Yes X No

a. Will this investment include electronic assets (including computers)?

Yes No X

b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)

Yes No X

1. If “yes,” is an ESPC or UESC being used to help fund this investment? NA

Yes No

2. If “yes,” will this investment meet sustainable design principles? NA

Yes No

3. If “yes,” is it designed to be 30% more energy efficient than relevant code? NA

Yes No

13. Does this investment directly support one of the PMA initiatives? If “yes” check all that apply: NA

- Human Capital
- Budget Performance Integration
- Financial Performance
- Expanded E-Government
- Competitive Sourcing
- Faith Based and Community
- Real Property Asset Management
- Eliminating Improper Payments
- Privatization of Military Housing
- Research & Development Investment Criteria
- Housing & Urban Development Management & Performance
- Broadening Health Insurance Coverage through State Initiatives
- “Right Sized” Overseas Presence
- Coordination of VA & DoD Programs and Systems

a. Briefly describe how this asset directly supports the identified initiative(s)? NA

14. Does this investment support a program assessed using the Program Assessment Rating Tool (PART)? (For more information about the PART, visit www.whitehouse.gov/omb/part.)

Yes X No

- a. If "yes," does this investment address a weakness found during a PART review? Yes No X
- b. If "yes," what is the name of the PARTed program? D.C. Courts
- c. If "yes," what rating did the PART receive? Adequate

15. Is this investment for information technology? Yes No X

If the answer to Question 15 is "Yes," complete questions 16-23 below. If the answer is "No," do not answer questions 16-23.

For information technology investments only:

16. What is the level of the IT Project? (per CIO Council PM Guidance)

Level 1
 Level 2
 Level 3

17. What project management qualifications does the Project Manager have? (per CIO Council PM Guidance)

- (1) Project manager has been validated as qualified for this investment
- (2) Project manager qualification is under review for this investment
- (3) Project manager assigned to investment, but does not meet requirements
- (4) Project manager assigned but qualification status review has not yet started
- (5) No Project manager has yet been assigned to this investment

18. Is this investment identified as "high risk" on the Q4 -FY 2006 agency high risk report (per OMB's "high risk" memo)?

- 19. Is this a financial management system? Yes No
 - a. If "yes," does this investment address a FFMIA compliance area? Yes No
 - 1. If "yes," which compliance area:
 - 2. If "no," what does it address?
 - b. If "yes," please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A-11 section 52

20. What is the percentage breakout for the total FY2009 funding request for the following? (This should total 100%)

Hardware
 Software
 Services
 Other

21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?

Yes

No _____
 NA _____

22. Contact information of individual responsible for privacy related questions:

Name _____
 Phone Number _____
 Title _____
 E-mail _____

23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration’s approval? Yes _____ No _____

Section B: Summary of Spending (All Capital Assets)

- Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated “Government FTE Cost,” and should be excluded from the amounts shown for “Planning,” “Full Acquisition,” and “Operation/Maintenance.” The “TOTAL” estimated annual cost of the investment is the sum of costs for “Planning,” “Full Acquisition,” and “Operation/Maintenance.” For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

Table 1: SUMMARY OF SPENDING FOR PROJECT PHASES (REPORTED IN MILLIONS) (Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)									
	PY-1 and earlier	PY 2007	CY 2008	BY 2009	BY+1 2010	BY+2 2011	BY+3 2012	BY+4 and beyond	Total
Planning:	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Acquisition :	0.00	0.00	0.00	21.50	18.00	15.50	0.00	0.00	55.00
Subtotal Planning & Acquisition:	0.00	0.00	0.00	21.50	18.00	15.50	0.00	0.00	55.00
Operations & Maintenance:	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
TOTAL:	0.00	0.00	0.00	21.50	18.00	15.50	0.00	0.00	55.00
Government FTE Costs should not be included in the amounts provided above.									
Government FTE Costs									
Number of FTE represented by Costs:									

Note: For the cross-agency investments, this table should include all funding (both managing partner and partner agencies). Government FTE Costs should not be included as part of the TOTAL represented.

- Will this project require the agency to hire additional FTE’s? Yes _____ No X
 a. If “yes,” How many and in what year? _____
- If the summary of spending has changed from the FY2008 President’s budget request, briefly explain those changes: _____

Section C: Acquisition/Contract Strategy (All Capital Assets)

Contracts/Task Orders Table:			
Contract or Task Order Number	2009-1 Perimeter Security Southeast Quadrant	2009-2 E Street Corridor	
Type of Contract/Task Order	Fixed	Fixed	
Has the contract been awarded (Y/N)	No	No	
If so what is the date of the award? If not, what is the planned award date?	Quarter 1 FY 2009	Quarter 1 FY 2009	
Start date of Contract/Task Order	Quarter 1 FY 2009	Quarter 1 FY 2009	
End date of Contract/Task Order	Quarter 2 FY 2010	Quarter 1 FY 2011	
Is this an Interagency Acquisition? (Y/N)	NO	NO	
Is it performance based? (Y/N)	YES	YES	
Competitively awarded? (Y/N)	YES	YES	
What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A)	NA	NA	
Is EVM in the contract? (Y/N)	YES, Courts' Standard	YES, Courts' Standard	
Does the contract include the required security & privacy clauses? (Y/N)	YES, Courts' Standard	YES, Courts' Standard	
Name of CO	Joseph E. Sanchez	Joseph E. Sanchez	
CO Contact information (phone/email)	202-879-1700 sanchezj@dcsc.gov	202-879-1700 sanchezj@dcsc.gov	
Contracting Officer Certification Level (Level 1, 2, 3, N/A)	NA	NA	
If N/A, has the agency determined the CO assigned has the competencies and skills necessary to support this acquisition? (Y/N)	YES	YES	

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why: _____

3. Do the contracts ensure Section 508 compliance?
 Yes _____
 No X
 N/A _____

a. Explain why:

4. Is there an acquisition plan which has been approved in accordance with agency requirements?
 Yes X No _____
 a. If "yes," what is the date? 2003
 b. If "no," will an acquisition plan be developed? _____

1. If “no,” briefly explain why: _____

Section D: Performance Information (All Capital Assets)

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency’s mission and strategic goals, and performance measures must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative or qualitative measure.

Agencies must use Table 1 below for reporting performance goals and measures for all non-IT investments and for existing IT investments that were initiated prior to FY 2005. The table can be extended to include measures for years beyond FY 2006.

Performance Information Table 1:					
Fiscal Year	Strategic Goal(s) Supported	Performance Measure	Actual/ baseline (from Previous Year)	Planned performance Metric (Target)	Performance Metric Results (Actual)
2004-2008	Goal 2.1: The Courts will ensure that physical facilities are easily accessible by all persons.	Judiciary Square has minimal perimeter security. Existing campus signage does not adequately reflect current court program changes. Current lighting is inadequate for the safety of court personnel and participants as they move between court buildings.	NA	Install NCPC approved campus security, signage, and lighting.	NA
2004-2008	Goal 4.1: The Courts will provide personnel and court participants with a safe, secure, functional, and habitable physical environment.	Judiciary Square has minimal perimeter security. Existing campus signage does not adequately reflect current court program changes. Current lighting is inadequate for the safety of court personnel and participants as they move between court buildings.	NA	Install NCPC approved campus security, signage, and lighting.	NA

All new IT investments initiated for FY 2005 and beyond must use Table 2 and are required to use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Please use Table 2 and the PRM to identify the performance information pertaining to this major IT investment. Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for at least four different Measurement Areas (for each fiscal year). The PRM is available at www.egov.gov.

Performance Information Table 2:						
Fiscal Year	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Planned Improvement to the Baseline	Actual Results
2006 - 2008	Mission & Business Results	NA	NA	NA	NA	NA
2006 - 2008	Customer Results	NA	NA	NA	NA	NA
2006 -	Processes &	NA	NA	NA	NA	NA

2008	Activities					
2006 - 2008	Technology	NA	NA	NA	NA	NA

Section E: Security and Privacy (IT Capital Assets only)

NA – Not Applicable

Section F: Enterprise Architecture (EA) (IT Capital Assets only)

NA – Not Applicable

Part II: Planning, Acquisition And Performance Information
Part II should be completed only for investments identified as “Planning” or “Full Acquisition”, or “Mixed Life-Cycle” investments in response to Question 6 in Part I, Section A above.

Section A: Alternatives Analysis (All Capital Assets)

In selecting the best capital asset, you should identify and consider at least three viable alternatives, in addition to the current baseline, i.e., the status quo. Use OMB Circular A-94 for all investments and the Clinger Cohen Act of 1996 for IT investments to determine the criteria you should use in your Benefit/Cost Analysis.

1. Did you conduct an alternatives analysis for this project? Yes X No _____
 a. If “yes,” provide the date the analysis was completed? _____
 b. If “no,” what is the anticipated date this analysis will be completed? _____
 c. If no analysis is planned, please briefly explain why:

2. Alternatives Analysis Results: Use the results of your alternatives analysis to complete the following table:			
Alternative Analyzed	Description of Alternative	Risk Adjusted Lifecycle Costs estimate	Risk Adjusted Lifecycle Benefits estimate
Alternative 1	Implement the approved Judiciary Square Master Plan improvements through an integrated project that includes security, lighting, signage and landscape.	Most Cost Effective - coordinated improvements eliminate the duplication of efforts.	Least disruptive to Court operations in multiple buildings.
Alternative 2	Implement the approved Judiciary Square Master Plan improvements through separate security, lighting, signage and landscape projects	Least Cost Effective – will result in the duplication of efforts.	Most disruptive to Court operations in multiple buildings.

3. Which alternative was selected by the Agency’s Executive/Investment Committee and why was it chosen?

Alternative 1

4. What specific qualitative benefits will be realized?

Return on investment is protecting the public, judicial and non-judicial D.C. Courts' staff from harm as well as protecting existing assets that are irreplaceable and preventing the disruption of Court operations that would occur with a major assault on Court facilities.

Section B: Risk Management (All Capital Assets)

You should have performed a risk assessment during the early planning and initial concept phase of this investment's life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

- 1. Does the investment have a Risk Management Plan? Yes X No
 - a. If "yes," what is the date of the plan? Capital Projects Plan 2004
 - b. Has the Risk Management Plan been significantly changed since last year's submission to OMB? Yes No X
 - c. If "yes," describe any significant changes: _____

- 2. If there currently is no plan, will a plan be developed? Yes No
 - 2. If "yes," what is the planned completion date? _____
 - 3. If "no," what is the strategy for managing the risks? _____

3. Briefly describe how investment risks are reflected in the life cycle cost estimate and investment schedule:
 No risks have been identified with this project.

Section C: Cost and Schedule Performance (All Capital Assets)

EVM is required only on DME portions of investments. For mixed lifecycle investments, O&M milestones should still be included in the table (Comparison of Initial Baseline and Current Approved Baseline). This table should accurately reflect the milestones in the initial baseline, as well as milestones in the current baseline.

- 1. Does the earned value management system meet the criteria in ANSI/EIA Standard – 748? Yes No Yes X No

- 2. Answer the following questions about current cumulative cost and schedule performance. The numbers reported below should reflect current actual information. (Per OMB requirements Cost/Schedule Performance information should include both Government and Contractor Costs):
 - a. What is the Planned Value (PV)? _____
 - b. What is the Earned Value (EV)? _____
 - c. What is the actual cost of work performed (AC)? _____
 - d. What costs are included in the reported Cost/Schedule Performance information (Government Only/Contractor Only/Both)? _____
 - e. "As of" date: _____

7. Is the CV% or SV% greater than ± 10%? (CV%= CV/EV x 100; SV%= SV/PV x 100)

a. If “yes,” was it the?

Yes _____ No _____
 CV _____
 SV _____
 Both _____

b. If “yes,” explain the variance:

c. If “yes,” what corrective actions are being taken?

d. What is most current “Estimate at Completion”?

8. Have any significant changes been made to the baseline during the past fiscal year?

a. If “yes,” when was it approved by OMB?

Yes _____ No _____

9. Comparison of Initial Baseline and Current Approved Baseline: Complete the following table to compare actual performance against the current performance baseline and to the initial performance baseline. In the Current Baseline section, for all milestones listed, you should provide both the baseline and actual completion dates (e.g., “03/23/2003”/ “04/28/2004”) and the baseline and actual total costs (in \$ Millions). In the event that a milestone is not found in both the initial and current baseline, leave the associated cells blank. Note that the ‘Description of Milestone’ and ‘Percent Complete’ fields are required. Indicate ‘0’ for any milestone no longer active.

Description of Milestone	Initial Baseline		Current Baseline				Current Baseline Variance		Percent Complete
	Planned Completion Date (mm/dd/yyyy)	Total Cost (\$M) Estimated	Completion Date (mm/dd/yyyy) Planned/Actual	Total Cost (\$M) Planned/ Actual		Schedule/Cost (# days/\$M)			
Design & Construction	October 2012	\$55 million	Oct 2012	NA	\$55 million	NA	NA	NA	0%

Part III: For “Operation and maintenance” Investments ONLY (Steady State)
Part III should be completed only for investments identified as “Operations and Maintenance” (Steady State) in response to Question 6 in Part I, Section A above.

Section A: Risk Management (All Capital Assets)

You should have performed a risk assessment during the early planning and initial concept phase of this investment’s life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment’s life-cycle.

1. Does the investment have a Risk Management Plan?

Yes _____ No _____

a. If “yes,” what is the date of the plan?

b. Has the Risk Management Plan been significantly changed since last year’s submission to OMB?

Yes _____ No _____

c. If “yes,” describe any significant changes:

2. If there currently is no plan, will a plan be developed?

Yes _____ No _____

- a. If “yes,” what is the planned completion date? _____
- b. If “no,” what is the strategy for managing the risks? _____

Section B: Cost and Schedule Performance (All Capital Assets)

- 1. Was operational analysis conducted? Yes ____ No ____
 - a. If “yes,” provide the date the analysis was completed. _____
 - b. If “yes,” what were the results? _____
 - c. If “no,” please explain why it was not conducted and if there are any plans to conduct operational analysis in the future: _____

2. Complete the following table to compare actual cost performance against the planned cost performance baseline. Milestones reported may include specific individual scheduled preventative and predictable corrective maintenance activities, or may be the total of planned annual operation and maintenance efforts).

- a. What costs are included in the reported Cost/Schedule Performance information (Government Only/Contractor Only/Both)? _____

2. b Comparison of Plan vs. Actual Performance Table:						
Description of Milestone	Planned		Actual		Variance	
	Completion Date (mm/dd/yyyy)	Total Cost (\$M)	Completion Date (mm/dd/yyyy)	Total Cost (\$M)	Schedule/Cost (# days/\$M)	

Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview (All Capital Assets)

1. Date of Submission: September 2007
2. Agency: District of Columbia Courts
3. Bureau: NA
4. Name of this Capital Asset: **HVAC, Electrical and Plumbing Upgrades**
5. Unique Project (Investment) Identifier: (For IT investment only, see section [53](#). For all other, use agency ID system.) 95-1712
6. What kind of investment will this be in FY2009?
(Please NOTE: Investments moving to O&M ONLY in FY2009, with Planning/Acquisition activities prior to FY2008 should not select O&M. These investments should indicate their current status.)

Planning	_____
Full Acquisition	_____
Operations and Maintenance	_____ X _____
Mixed Life Cycle	_____
E-Gov/LoBOversight	_____

7. What was the first budget year this investment was submitted to OMB? 1999
8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap: The HVAC, electrical, and plumbing upgrade project will ensure that health and safety concerns for the public and the Courts' personnel are addressed in all of the Courts' buildings, and will enable the Courts to make much needed heating, ventilation, air conditioning, mechanical, plumbing and electrical improvements. Analysis of the condition of the Courts' HVAC, electrical and plumbing systems indicated that it was imperative that this project be undertaken to eliminate identified safety and health hazards and restore adequate lighting and ventilation in the Courts' buildings. Frequent breakdowns of the aging systems negatively impact Court operations and employee productivity and moral. This project began in FY 1999 with the replacement of 25-year-old HVAC equipment in the H. Carl Moultrie Courthouse. Updating its inefficient, outdated infrastructure continues to be an important and ongoing project for the Courts. Since FY 2005, 23 of the 31 air-handling units have been replaced and the circulation and overheating problems in several areas of the Courthouse have been drastically improved. In February 2007 after numerous significant power failures in the Moultrie Courthouse, a study analyzing the main power distribution was completed. The study found major life safety and power distribution issues.

The Courts' FY 2009 request includes the following HVAC, electrical and plumbing upgrades:

- Start replacement of main switchgears, motor control centers, and panel boards per the electrical distribution study;
- Modify the emergency generation systems within the Moultrie Courthouse to correct the capacity

shortfall due years of incremental modifications and additional loads from critical electronic systems;

- Installation of wiring for the Digital HVAC Control System to integrate all buildings into one managed system;
- Continue to upgrade the HVAC systems in the Moultrie Courthouse. Ductwork and VAV changes will be needed to properly distribute air within the Courthouse; and
- Other urgent repairs that are required due to the failure of equipment that is functioning beyond its useful life.

9. Did the Agency’s Executive/Investment Committee review this request? Yes No

a. If “yes,” what was the date of this approval? 1999

10. Did the Project Manager review this Exhibit? Yes No

11. Contact information of Project Manager?

Name Mary Ann Satterthwaite
 Phone Number 202-879-2898
 E-mail satterma2@dcsc.gov

12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project.

Yes No

a. Will this investment include electronic assets (including computers)?

Yes No

b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)

Yes No

1. If “yes,” is an ESPC or UESC being used to help fund this investment? NA

Yes No

2. If “yes,” will this investment meet sustainable design principles? NA

Yes No

3. If “yes,” is it designed to be 30% more energy efficient than relevant code? NA

Yes No

13. Does this investment directly support one of the PMA initiatives? If “yes” check all that apply: NA

- Human Capital
- Budget Performance Integration
- Financial Performance
- Expanded E-Government
- Competitive Sourcing
- Faith Based and Community
- Real Property Asset Management
- Eliminating Improper Payments
- Privatization of Military Housing
- Research & Development Investment Criteria
- Housing & Urban Development Management & Performance

Broadening Health Insurance Coverage through State Initiatives _____
 "Right Sized" Overseas Presence _____
 Coordination of VA & DoD Programs and Systems _____

a. Briefly describe how this asset directly supports the identified initiative(s)? NA

14. Does this investment support a program assessed using the Program Assessment Rating Tool (PART)? (For more information about the PART, visit www.whitehouse.gov/omb/part.)

Yes X No _____

- a. If "yes," does this investment address a weakness found during a PART review?
- b. If "yes," what is the name of the PARTed program?
- c. If "yes," what rating did the PART receive?

Yes _____ No X
 D.C. Courts
 Adequate

15. Is this investment for information technology?

Yes _____ No X

If the answer to Question 15 is "Yes," complete questions 16-23 below. If the answer is "No," do not answer questions 16-23.

For information technology investments only:

16. What is the level of the IT Project? (per CIO Council PM Guidance)

Level 1 _____
 Level 2 _____
 Level 3 _____

17. What project management qualifications does the Project Manager have? (per CIO Council PM Guidance)

- (1) Project manager has been validated as qualified for this investment _____
- (2) Project manager qualification is under review for this investment _____
- (3) Project manager assigned to investment, but does not meet requirements _____
- (4) Project manager assigned but qualification status review has not yet started _____
- (5) No Project manager has yet been assigned to this investment _____

18. Is this investment identified as "high risk" on the Q4 -FY 2006 agency high risk report (per OMB's "high risk" memo)?

19. Is this a financial management system?

Yes _____ No _____

a. If "yes," does this investment address a FFMIA compliance area?

Yes _____ No _____

- 1. If "yes," which compliance area: _____
- 2. If "no," what does it address? _____

b. If "yes," please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A-11 section 52 _____

20. What is the percentage breakout for the total FY2009 funding request for the following?

(This should total 100%)

Hardware _____

Software _____
 Services _____
 Other _____

21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?

Yes _____
 No _____
 NA _____

22. Contact information of individual responsible for privacy related questions:

Name _____
 Phone Number _____
 Title _____
 E-mail _____

23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration’s approval? Yes _____ No _____

Section B: Summary of Spending (All Capital Assets)

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated “Government FTE Cost,” and should be excluded from the amounts shown for “Planning,” “Full Acquisition,” and “Operation/Maintenance.” The “TOTAL” estimated annual cost of the investment is the sum of costs for “Planning,” “Full Acquisition,” and “Operation/Maintenance.” For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

Table 1: SUMMARY OF SPENDING FOR PROJECT PHASES (REPORTED IN MILLIONS) (Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)									
	PY-1 and earlier	PY 2007	CY 2008	BY 2009	BY+1 2010	BY+2 2011	BY+3 2012	BY+4 and beyond	Total Unfunded
Planning:	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Acquisition :	23.09	10.33	8.00	8.00	13.70	13.70	10.60	0.00	46.00
Subtotal Planning & Acquisition:	23.09	10.33	8.00	8.00	13.70	13.70	10.60	0.00	46.00
Operations & Maintenance:	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
TOTAL:	23.09	10.33	8.00	8.00	13.70	13.70	10.60	0.00	46.00
Government FTE Costs should not be included in the amounts provided above.									
Government FTE Costs									
Number of FTE represented by Costs:									

Note: For the cross-agency investments, this table should include all funding (both managing partner and

partner agencies). Government FTE Costs should not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE's? Yes _____ No X
 a. If "yes," How many and in what year? _____
 3. If the summary of spending has changed from the FY2008 President's budget request, briefly explain those changes: _____

Section C: Acquisition/Contract Strategy (All Capital Assets)

Contracts/Task Orders Table:			
Contract or Task Order Number	2009-01 Phase II Switchgear Replacement	2009-02 HVAC Upgrades	
Type of Contract/Task Order	Fixed	Fixed	
Has the contract been awarded (Y/N)	No	No	
If so what is the date of the award? If not, what is the planned award date?	Quarter 1 FY 2009	Quarter 1 FY 2009	
Start date of Contract/Task Order	Quarter 1 FY 2009	Quarter 1 FY 2009	
End date of Contract/Task Order	Quarter 2 FY 2010	Quarter 1 FY 2011	
Is this an Interagency Acquisition? (Y/N)	NO	NO	
Is it performance based? (Y/N)	YES	YES	
Competitively awarded? (Y/N)	YES	YES	
What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A)	NA	NA	
Is EVM in the contract? (Y/N)	YES	YES	
Does the contract include the required security & privacy clauses? (Y/N)	YES Courts' Standard	YES Courts' Standard	
Name of CO	Joseph E. Sanchez	Joseph E. Sanchez	
CO Contact information (phone/email)	202-879-2801 sanchezj@dcsc.gov	202-879-2801 sanchezj@dcsc.gov	
Contracting Officer Certification Level (Level 1, 2, 3, N/A)	NA	NA	
If N/A, has the agency determined the CO assigned has the competencies and skills necessary to support this acquisition? (Y/N)	YES	YES	

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain _____

why:

3. Do the contracts ensure Section 508 compliance?

Yes _____
 No X
 N/A _____

a. Explain why:

4. Is there an acquisition plan which has been approved in accordance with agency requirements?

Yes X No _____

a. If "yes," what is the date?

 2003

b. If "no," will an acquisition plan be developed?

1. If "no," briefly explain why:

Section D: Performance Information (All Capital Assets)

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency’s mission and strategic goals, and performance measures must be provided. These goals need to map to the gap in the agency’s strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative or qualitative measure.

Agencies must use Table 1 below for reporting performance goals and measures for all non-IT investments and for existing IT investments that were initiated prior to FY 2005. The table can be extended to include measures for years beyond FY 2006.

Performance Information Table 1:					
Fiscal Year	Strategic Goal(s) Supported	Performance Measure	Actual/baseline (from Previous Year)	Planned performance Metric (Target)	Performance Metric Results (Actual)
2007	Goal 4.1: The Courts will provide personnel and court participants with a safe secure, functional, and habitable physical environment.	Air handling units to operate at standard output for building occupancy load.	Air handling units are operating at 10% to 25% below acceptable output standards.	An air flow quantity measured at 10% to 25% higher CFM.	Air flow tests of new air handling units are producing 12% to 18% greater air flow.
2009	Goal 4.1: The Courts will provide personnel and court participants with a safe secure, functional, and habitable physical environment.	Electrical switchgear upgraded to current code standards	Building is currently served by 35 year old switchgear, transformers, etc.	Bring switchgear and main power distribution up to current code requirements	N/A

All new IT investments initiated for FY 2005 and beyond must use Table 2 and are required to use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Please use Table 2 and the PRM to identify the performance information pertaining to this major IT investment. Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for at least four different

Measurement Areas (for each fiscal year). The PRM is available at www.egov.gov.

Performance Information Table 2:						
Fiscal Year	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Planned Improvement to the Baseline	Actual Results
2006 - 2008	Mission & Business Results	NA	NA	NA	NA	NA
2006 - 2008	Customer Results	NA	NA	NA	NA	NA
2006 - 2008	Processes & Activities	NA	NA	NA	NA	NA
2006 - 2008	Technology	NA	NA	NA	NA	NA

Section E: Security and Privacy (IT Capital Assets only)

NA – Not Applicable

Section F: Enterprise Architecture (EA) (IT Capital Assets only)

NA – Not Applicable

Part II: Planning, Acquisition And Performance Information
Part II should be completed only for investments identified as “Planning” or “Full Acquisition”, or “Mixed Life-Cycle” investments in response to Question 6 in Part I, Section A above.

Section A: Alternatives Analysis (All Capital Assets)

In selecting the best capital asset, you should identify and consider at least three viable alternatives, in addition to the current baseline, i.e., the status quo. Use OMB Circular A-94 for all investments and the Clinger Cohen Act of 1996 for IT investments to determine the criteria you should use in your Benefit/Cost Analysis.

1. Did you conduct an alternatives analysis for this project? Yes _____ No _____
- a. If “yes,” provide the date the analysis was completed? _____
- b. If “no,” what is the anticipated date this analysis will be completed? _____
- c. If no analysis is planned, please briefly explain why: _____

2. Alternatives Analysis Results: Use the results of your alternatives analysis to complete the following table:			
Alternative Analyzed	Description of Alternative	Risk Adjusted Lifecycle Costs estimate	Risk Adjusted Lifecycle Benefits estimate

3. Which alternative was selected by the Agency’s

Executive/Investment Committee and why was it chosen? _____

4. What specific qualitative benefits will be realized?

Section B: Risk Management (All Capital Assets)

You should have performed a risk assessment during the early planning and initial concept phase of this investment’s life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment’s life-cycle.

- 1. Does the investment have a Risk Management Plan? Yes ___ No ___
 - a. If “yes,” what is the date of the plan? _____
 - b. Has the Risk Management Plan been significantly changed since last year’s submission to OMB? Yes ___ No X
 - c. If “yes,” describe any significant changes: _____
- 2. If there currently is no plan, will a plan be developed? Yes ___ No ___
 - 2. If “yes,” what is the planned completion date? _____
 - 3. If “no,” what is the strategy for managing the risks? _____
- 3. Briefly describe how investment risks are reflected in the life cycle cost estimate and investment schedule:
No risks have been identified with this project.

Section C: Cost and Schedule Performance (All Capital Assets)

EVM is required only on DME portions of investments. For mixed lifecycle investments, O&M milestones should still be included in the table (Comparison of Initial Baseline and Current Approved Baseline). This table should accurately reflect the milestones in the initial baseline, as well as milestones in the current baseline.

- 1. Does the earned value management system meet the criteria in ANSI/EIA Standard – 748? Yes No Yes X No ___
- 2. Answer the following questions about current cumulative cost and schedule performance. The numbers reported below should reflect current actual information. (Per OMB requirements Cost/Schedule Performance information should include both Government and Contractor Costs):
 - a. What is the Planned Value (PV)? _____
 - b. What is the Earned Value (EV)? _____
 - c. What is the actual cost of work performed (AC)? _____
 - d. What costs are included in the reported Cost/Schedule Performance information (Government Only/Contractor Only/Both)? _____
 - e. “As of” date: _____
- 3. What is the calculated Schedule Performance Index (SPI= EV/PV)? _____
- 4. What is the schedule variance (SV = EV-PV)? _____
- 5. What is the calculated Cost Performance Index (CPI = EV/AC)? _____

9. Comparison of Initial Baseline and Current Approved Baseline: Complete the following table to compare actual performance against the current performance baseline and to the initial performance baseline. In the Current Baseline section, for all milestones listed, you should provide both the baseline and actual completion dates (e.g., “03/23/2003”/ “04/28/2004”) and the baseline and actual total costs (in \$ Millions). In the event that a milestone is not found in both the initial and current baseline, leave the associated cells blank. Note that the ‘Description of Milestone’ and ‘Percent Complete’ fields are required. Indicate ‘0’ for any milestone no longer active.

6. What is the cost variance (CV = EV-AC)? _____

7. Is the CV% or SV% greater than ± 10%? (CV%= CV/EV x 100; SV%= SV/PV x 100)

a. If “yes,” was it the?

Yes _____ No _____
 CV _____
 SV _____
 Both _____

b. If “yes,” explain the variance:

c. If “yes,” what corrective actions are being taken?

d. What is most current “Estimate at Completion”?

8. Have any significant changes been made to the baseline during the past fiscal year?

a. If “yes,” when was it approved by OMB?

Yes _____ No _____

Description of Milestone	Initial Baseline		Current Baseline				Current Baseline Variance		Percent Complete
	Planned Completion Date (mm/dd/yyyy)	Total Cost (\$M) Estimated	Completion Date (mm/dd/yyyy) Planned/Actual	Total Cost (\$M) Planned/ Actual		Schedule/Cost (# days/\$M)			

Part III: For “Operation and maintenance” Investments ONLY (Steady State)
Part III should be completed only for investments identified as “Operations and Maintenance” (Steady State) in response to Question 6 in Part I, Section A above.

Section A: Risk Management (All Capital Assets)

You should have performed a risk assessment during the early planning and initial concept phase of this

investment’s life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment’s life-cycle.

- 1. Does the investment have a Risk Management Plan? Yes X No
 a. If “yes,” what is the date of the plan? Capital Projects Plan 2004
 b. Has the Risk Management Plan been significantly changed since last year’s submission to OMB? Yes No X
 c. If “yes,” describe any significant changes: _____
- 2. If there currently is no plan, will a plan be developed? Yes No
 a. If “yes,” what is the planned completion date? _____
 b. If “no,” what is the strategy for managing the risks? _____

Section B: Cost and Schedule Performance (All Capital Assets)

- 1. Was operational analysis conducted? Yes X No
 a. If “yes,” provide the date the analysis was completed. 1999
 b. If “yes,” what were the results? Identified potential system failures
 c. If “no,” please explain why it was not conducted and if there are any plans to conduct operational analysis in the future: _____

2. Complete the following table to compare actual cost performance against the planned cost performance baseline. Milestones reported may include specific individual scheduled preventative and predictable corrective maintenance activities, or may be the total of planned annual operation and maintenance efforts).

- a. What costs are included in the reported Cost/Schedule Performance information (Government Only/Contractor Only/Both)? Both

2. b Comparison of Plan vs. Actual Performance Table:						
Description of Milestone	Planned		Actual		Schedule/Cost (# days/\$M)	Variance
	Completion Date	Total Cost	Completion Date	Total Cost		
Replace AHU’s Phase I	10/2005	\$2.53M	10/2005	\$2.53M	\$0	0 days
Replace AHU’s Phase II	9/2006	\$2.86M	9/2006	\$2.86M	\$0	0 days
Replace AHU’s Phase III	8/2007	\$2.6M	8/2007	\$2.6M	\$0	0 days

Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview (All Capital Assets)

1. Date of Submission: September 2007
2. Agency: District of Columbia Courts
3. Bureau: NA
4. Name of this Capital Asset: **Restroom Improvements**
5. Unique Project (Investment) Identifier: (For IT investment only, see section 5.3. For all other, use agency ID system.) 95-1712

6. What kind of investment will this be in FY2009?

(Please NOTE: Investments moving to O&M ONLY in FY2009, with Planning/Acquisition activities prior to FY2008 should not select O&M. These investments should indicate their current status.)

Planning	_____
Full Acquisition	_____
Operations and Maintenance	___X___
Mixed Life Cycle	_____
E-Gov/LoBOversight	_____

7. What was the first budget year this investment was submitted to OMB? 1999

8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap: The restroom improvement project will enhance ADA accessibility and rebuild the aging infrastructure of the Courts' restroom facilities by making plumbing, electrical and design improvements. Approximately 10,000 persons enter the Moultrie Courthouse each day, placing heavy use on the Courts' 380 restroom facilities, which now require rebuilding. This is a steady state project that began in November 1999. The remaining phase of the project will:

- Create new restrooms to increase number of fixtures to replace fixtures lost during ADA upgrades and bring total count up to code;
- Clean ductwork and repair or replace exhaust fan motor, fan, etc. to return exhaust system to full operation.

9. Did the Agency's Executive/Investment Committee review this request? Yes X No

b. If "yes," what was the date of this approval? 1999

10. Did the Project Manager review this Exhibit? Yes X No

11. Contact information of Project Manager?

Name Mary Ann Satterthwaite
 Phone Number 202-879-2898

E-mail satterma2@dcsc.gov

12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project.

Yes X No

a. Will this investment include electronic assets (including computers)?

Yes No X

b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)

Yes No X

1. If "yes," is an ESPC or UESC being used to help fund this investment? NA

Yes No

2. If "yes," will this investment meet sustainable design principles? NA

Yes No

3. If "yes," is it designed to be 30% more energy efficient than relevant code? NA

Yes No

13. Does this investment directly support one of the PMA initiatives? If "yes" check all that apply: NA

- Human Capital
- Budget Performance Integration
- Financial Performance
- Expanded E-Government
- Competitive Sourcing
- Faith Based and Community
- Real Property Asset Management
- Eliminating Improper Payments
- Privatization of Military Housing
- Research & Development Investment Criteria
- Housing & Urban Development Management & Performance
- Broadening Health Insurance Coverage through State Initiatives
- "Right Sized" Overseas Presence
- Coordination of VA & DoD Programs and Systems

a. Briefly describe how this asset directly supports the identified initiative(s)? NA

14. Does this investment support a program assessed using the Program Assessment Rating Tool (PART)? (For more information about the PART, visit www.whitehouse.gov/omb/part.)

Yes X No

a. If "yes," does this investment address a weakness found during a PART review?

Yes No X

b. If "yes," what is the name of the PARTed program?

D.C. Courts

c. If "yes," what rating did the PART receive?

Adequate

15. Is this investment for information technology?

Yes No X

If the answer to Question 15 is "Yes," complete questions 16-23 below. If the answer is "No," do not

answer questions 16-23.

For information technology investments only:

16. What is the level of the IT Project? (per CIO Council PM Guidance)

Level 1 _____
 Level 2 _____
 Level 3 _____

17. What project management qualifications does the Project Manager have? (per CIO Council PM Guidance)

- (1) Project manager has been validated as qualified for this investment _____
- (2) Project manager qualification is under review for this investment _____
- (3) Project manager assigned to investment, but does not meet requirements _____
- (4) Project manager assigned but qualification status review has not yet started _____
- (5) No Project manager has yet been assigned to this investment _____

18. Is this investment identified as “high risk” on the Q4 -FY 2006 agency high risk report (per OMB’s “high risk” memo)?

19. Is this a financial management system? Yes _____ No _____
 a. If “yes,” does this investment address a FFMIA compliance area? Yes _____ No _____

- 1. If “yes,” which compliance area: _____
- 2. If “no,” what does it address? _____

b. If “yes,” please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A-11 section 52 _____

20. What is the percentage breakout for the total FY2009 funding request for the following?
 (This should total 100%)

Hardware _____
 Software _____
 Services _____
 Other _____

21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?

Yes _____
 No _____
 NA _____

22. Contact information of individual responsible for privacy related questions:

Name _____
 Phone Number _____
 Title _____
 E-mail _____

23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration’s approval? Yes _____ No _____

Section B: Summary of Spending (All Capital Assets)

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The "TOTAL" estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

Table 1: SUMMARY OF SPENDING FOR PROJECT PHASES (REPORTED IN MILLIONS) (Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)									
	PY-1 and earlier	PY 2007	CY 2008	BY 2009	BY+1 2010	BY+2 2011	BY+3 2012	BY+4 and beyond	Total Unfunded
Planning:	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Acquisition :	5.47	0.00	0.00	3.20	1.00	1.00	1.00	1.00	7.20
Subtotal Planning & Acquisition:	5.47	0.00	0.00	3.20	1.00	1.00	1.00	1.00	7.20
Operations & Maintenance:	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
TOTAL:	5.47	0.00	0.00	3.20	1.00	1.00	1.00	1.00	7.20
Government FTE Costs should not be included in the amounts provided above.									
Government FTE Costs									
Number of FTE represented by Costs:									

Note: For the cross-agency investments, this table should include all funding (both managing partner and partner agencies). Government FTE Costs should not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE's? Yes ____ No X
 a. If "yes," How many and in what year? _____
3. If the summary of spending has changed from the FY2008 President's budget request, briefly explain those changes: _____

Section C: Acquisition/Contract Strategy (All Capital Assets)

Contracts/Task Orders Table:			
Contract or Task Order Number	2009-01 New Restrooms	2009-02 Exhaust System Repair/Replacement	
Type of Contract/Task Order	Fixed	Fixed	
Has the contract been awarded (Y/N)	No	No	
If so what is the date of the award? If not, what is the planned award date?	Quarter 1 FY 2009	Quarter 1 FY 2009	
Start date of Contract/Task Order	Quarter 1 FY 2009	Quarter 1 FY 2009	
End date of Contract/Task Order	Quarter 2 FY 2010	Quarter 1 FY 2011	
Is this an Interagency Acquisition? (Y/N)	NO	NO	
Is it performance based? (Y/N)	YES	YES	
Competitively awarded? (Y/N)	YES	YES	
What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A)	NA	NA	
Is EVM in the contract? (Y/N)	YES, Courts' Standards	YES, Courts' Standards	
Does the contract include the required security & privacy clauses? (Y/N)	YES, Courts' Standards	YES, Courts' Standards	
Name of CO	Joseph E. Sanchez	Joseph E. Sanchez	
CO Contact information (phone/email)	202-879-2801 sanchezj@dcsc.gov	202-879-2801 sanchezj@dcsc.gov	
Contracting Officer Certification Level (Level 1, 2, 3, N/A)	NA	NA	
If N/A, has the agency determined the CO assigned has the competencies and skills necessary to support this acquisition? (Y/N)	YES	YES	

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why: _____

3. Do the contracts ensure Section 508 compliance?
 Yes _____
 No X
 N/A _____

a. Explain why:

4. Is there an acquisition plan which has been approved in accordance with agency requirements?

Yes X No
 2003

a. If “yes,” what is the date?

b. If “no,” will an acquisition plan be developed?

1. If “no,” briefly explain why:

Section D: Performance Information (All Capital Assets)

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency’s mission and strategic goals, and performance measures must be provided. These goals need to map to the gap in the agency’s strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative or qualitative measure.

Agencies must use Table 1 below for reporting performance goals and measures for all non-IT investments and for existing IT investments that were initiated prior to FY 2005. The table can be extended to include measures for years beyond FY 2006.

Performance Information Table 1:					
Fiscal Year	Strategic Goal(s) Supported	Performance Measure	Actual/ baseline (from Previous Year)	Planned performance Metric (Target)	Performance Metric Results (Actual)
2003	Goal 2.1: The Courts will ensure that physical facilities are easily accessible by all persons. Goal 4.1: The Courts will provide personnel and court participants with a safe, secure, functional, and habitable physical environment.	Meet the plumbing fixture count requirements as per current code in the Moultrie Courthouse.	Currently functioning with a deficit of fixtures due to ADA renovations and a decrease in fixture count in the Moultrie Courthouse.	New public restrooms on Indiana Avenue Level and the Second Floor to meet plumbing fixture count requirements.	NA

All new IT investments initiated for FY 2005 and beyond must use Table 2 and are required to use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Please use Table 2 and the PRM to identify the performance information pertaining to this major IT investment. Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for at least four different Measurement Areas (for each fiscal year). The PRM is available at www.egov.gov.

Performance Information Table 2:						
Fiscal Year	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Planned Improvement to the Baseline	Actual Results
2006 - 2008	Mission & Business Results	NA	NA	NA	NA	NA

2006 - 2008	Customer Results	NA	NA	NA	NA	NA
2006 - 2008	Processes & Activities	NA	NA	NA	NA	NA
2006 - 2008	Technology	NA	NA	NA	NA	NA

Section E: Security and Privacy (IT Capital Assets only)

NA – Not Applicable

Section F: Enterprise Architecture (EA) (IT Capital Assets only)

NA – Not Applicable

Part II: Planning, Acquisition And Performance Information
Part II should be completed only for investments identified as “Planning” or “Full Acquisition”, or “Mixed Life-Cycle” investments in response to Question 6 in Part I, Section A above.

Section A: Alternatives Analysis (All Capital Assets)

In selecting the best capital asset, you should identify and consider at least three viable alternatives, in addition to the current baseline, i.e., the status quo. Use OMB Circular A-94 for all investments and the Clinger Cohen Act of 1996 for IT investments to determine the criteria you should use in your Benefit/Cost Analysis.

1. Did you conduct an alternatives analysis for this project? Yes ___ No ___
 - a. If “yes,” provide the date the analysis was completed? _____
 - b. If “no,” what is the anticipated date this analysis will be completed? _____
 - c. If no analysis is planned, please briefly explain why: _____

2. Alternatives Analysis Results: Use the results of your alternatives analysis to complete the following table:			
Alternative Analyzed	Description of Alternative	Risk Adjusted Lifecycle Costs estimate	Risk Adjusted Lifecycle Benefits estimate

3. Which alternative was selected by the Agency’s Executive/Investment Committee and why was it chosen? _____

4. What specific qualitative benefits will be realized? _____

Section B: Risk Management (All Capital Assets)

You should have performed a risk assessment during the early planning and initial concept phase of this investment’s life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment’s life-cycle.

- 1. Does the investment have a Risk Management Plan? Yes ___ No ____
 - a. If “yes,” what is the date of the plan? _____
 - b. Has the Risk Management Plan been significantly changed since last year’s submission to OMB? Yes ___ No ____
 - c. If “yes,” describe any significant changes: _____

- 2. If there currently is no plan, will a plan be developed? Yes ____ No ____
 - a. If “yes,” what is the planned completion date? _____
 - b. If “no,” what is the strategy for managing the risks? _____

3. Briefly describe how investment risks are reflected in the life cycle cost estimate and investment schedule:
 No risks have been identified with this project.

Section C: Cost and Schedule Performance (All Capital Assets)

EVM is required only on DME portions of investments. For mixed lifecycle investments, O&M milestones should still be included in the table (Comparison of Initial Baseline and Current Approved Baseline). This table should accurately reflect the milestones in the initial baseline, as well as milestones in the current baseline.

1. Does the earned value management system meet the criteria in ANSI/EIA Standard – 748? Yes No Yes ___ No ____

2. Answer the following questions about current cumulative cost and schedule performance. The numbers reported below should reflect current actual information. (Per OMB requirements Cost/Schedule Performance information should include both Government and Contractor Costs):

- a. What is the Planned Value (PV)? _____
- b. What is the Earned Value (EV)? _____
- c. What is the actual cost of work performed (AC)? _____
- d. What costs are included in the reported Cost/Schedule Performance information (Government Only/Contractor Only/Both)? _____
- e. “As of” date: _____

3. What is the calculated Schedule Performance Index (SPI= EV/PV)? _____

4. What is the schedule variance (SV = EV-PV)? _____

5. What is the calculated Cost Performance Index (CPI = EV/AC)? _____

6. What is the cost variance (CV = EV-AC)?

—

7. Is the CV% or SV% greater than ± 10%? (CV% = CV/EV x 100; SV% = SV/PV x 100)

Yes _____ No _____
 CV _____
 SV _____
 Both _____

- a. If “yes,” was it the?
- b. If “yes,” explain the variance:
- c. If “yes,” what corrective actions are being taken?
- d. What is most current “Estimate at Completion”?

8. Have any significant changes been made to the baseline during the past fiscal year?

Yes _____ No _____

- a. If “yes,” when was it approved by OMB?

9. Comparison of Initial Baseline and Current Approved Baseline: Complete the following table to compare actual performance against the current performance baseline and to the initial performance baseline. In the Current Baseline section, for all milestones listed, you should provide both the baseline and actual completion dates (e.g., “03/23/2003”/ “04/28/2004”) and the baseline and actual total costs (in \$ Millions). In the event that a milestone is not found in both the initial and current baseline, leave the associated cells blank. Note that the ‘Description of Milestone’ and ‘Percent Complete’ fields are required. Indicate ‘0’ for any milestone no longer active.

Description of Milestone	Initial Baseline		Current Baseline			Current Baseline Variance		Percent Complete
	Planned Completion Date (mm/dd/yyyy)	Total Cost (\$M) Estimated	Completion Date (mm/dd/yyyy) Planned/Actual	Total Cost (\$M) Planned/ Actual		Schedule/Cost (# days/\$M)		

Part III: For “Operation and maintenance” Investments ONLY (Steady State)
Part III should be completed only for investments identified as “Operations and Maintenance” (Steady State) in response to Question 6 in Part I, Section A above.

Section A: Risk Management (All Capital Assets)

You should have performed a risk assessment during the early planning and initial concept phase of this investment’s life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment’s life-cycle.

1. Does the investment have a Risk Management Plan?

Yes X No _____
Capital Projects Plan 2004

- a. If “yes,” what is the date of the plan?
- b. Has the Risk Management Plan been significantly changed since last year’s submission to OMB?
- c. If “yes,” describe any significant changes:

Yes _____ No X

2. If there currently is no plan, will a plan be developed? Yes ___ No ___
- a. If “yes,” what is the planned completion date? _____
- b. If “no,” what is the strategy for managing the risks? _____

Section B: Cost and Schedule Performance (All Capital Assets)

1. Was operational analysis conducted? Yes ___ No X
- a. If “yes,” provide the date the analysis was completed. _____
- b. If “yes,” what were the results? _____
- c. If “no,” please explain why it was not conducted and if there are any plans to conduct operational analysis in the future: Code Analysis of required fixture counts conducted

2. Complete the following table to compare actual cost performance against the planned cost performance baseline. Milestones reported may include specific individual scheduled preventative and predictable corrective maintenance activities, or may be the total of planned annual operation and maintenance efforts).

- a. What costs are included in the reported Cost/Schedule Performance information (Government Only/Contractor Only/Both)? _____

2. b Comparison of Plan vs. Actual Performance Table:						
Description of Milestone	Planned		Actual		Variance	
	Completion Date (mm/dd/yyyy)	Total Cost (\$M)	Completion Date (mm/dd/yyyy)	Total Cost (\$M)	Schedule/Cost (# days/\$M)	
Complete construction new Public Restrooms 1 st & 2 nd Floors	Q2 2010	\$2M	N/A	N/A	N/A	N/A
Complete Exhaust system repair/ replacement	Q1 2011	\$1.2M	N/A	N/A	N/A	N/A

Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview (All Capital Assets)

1. Date of Submission: September 2007
2. Agency: District of Columbia Courts
3. Bureau: NA
4. Name of this Capital Asset: **Elevator and Escalator Repairs and Replacement**
5. Unique Project (Investment) Identifier: (For IT investment only, see section [53](#). For all other, use agency ID system.) 95-1712
6. What kind of investment will this be in FY2009?
(Please NOTE: Investments moving to O&M ONLY in FY2009, with Planning/Acquisition activities prior to FY2008 should not select O&M. These investments should indicate their current status.)

Planning	_____
Full Acquisition	_____
Operations and Maintenance	_____ <u>X</u> _____
Mixed Life Cycle	_____
E-Gov/LoBOversight	_____

7. What was the first budget year this investment was submitted to OMB? 1999
8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap: The Elevator and Escalator Repairs and Replacement Project will rebuild the nearly 35-year-old escalator equipment in the Moultrie Courthouse and the aging elevator equipment in all D.C. Courts' buildings, to include peripheral equipment and controls in Buildings A and B. In the Moultrie Courthouse, there are public elevators and escalators, secure elevators for judges, freight elevators and prisoner elevators. The Courthouse accommodates 10,000 daily visitors and the largest prisoner control facility in the nation for the U.S. Marshals Service. This multi-year project began in December 1999. Funds maintain the value of this investment. Upcoming projects include upgrades to the North Prisoner elevator and freight elevator.
9. Did the Agency's Executive/Investment Committee review this request? Yes X No ___
 a. If "yes," what was the date of this approval? 1999
10. Did the Project Manager review this Exhibit? Yes X No ___
11. Contact information of Project Manager?
 Name Mary Ann Satterthwaite
 Phone Number 202-879-2898
 E-mail satterma2@dcsc.gov

12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project.

Yes X No

a. Will this investment include electronic assets (including computers)?

Yes No X

b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)

Yes No X

1. If "yes," is an ESPC or UESC being used to help fund this investment? NA

Yes No

2. If "yes," will this investment meet sustainable design principles? NA

Yes No

3. If "yes," is it designed to be 30% more energy efficient than relevant code? NA

Yes No

13. Does this investment directly support one of the PMA initiatives? If "yes" check all that apply: NA

- Human Capital
- Budget Performance Integration
- Financial Performance
- Expanded E-Government
- Competitive Sourcing
- Faith Based and Community
- Real Property Asset Management
- Eliminating Improper Payments
- Privatization of Military Housing
- Research & Development Investment Criteria
- Housing & Urban Development Management & Performance
- Broadening Health Insurance Coverage through State Initiatives
- "Right Sized" Overseas Presence
- Coordination of VA & DoD Programs and Systems

a. Briefly describe how this asset directly supports the identified initiative(s)? This project provides for continuing the efficiency of visitor circulation and building maintenance.

14. Does this investment support a program assessed using the Program Assessment Rating Tool (PART)? (For more information about the PART, visit www.whitehouse.gov/omb/part.)

Yes X No

a. If "yes," does this investment address a weakness found during a PART review?

Yes No X

b. If "yes," what is the name of the PARTed program?

D.C. Courts

c. If "yes," what rating did the PART receive?

Adequate

15. Is this investment for information technology?

Yes No X

If the answer to Question 15 is “Yes,” complete questions 16-23 below. If the answer is “No,” do not answer questions 16-23.

For information technology investments only:

16. What is the level of the IT Project? (per CIO Council PM Guidance)

Level 1 _____
 Level 2 _____
 Level 3 _____

17. What project management qualifications does the Project Manager have? (per CIO Council PM Guidance)

- (1) Project manager has been validated as qualified for this investment _____
- (2) Project manager qualification is under review for this investment _____
- (3) Project manager assigned to investment, but does not meet requirements _____
- (4) Project manager assigned but qualification status review has not yet started _____
- (5) No Project manager has yet been assigned to this investment _____

18. Is this investment identified as “high risk” on the Q4 -FY 2006 agency high risk report (per OMB’s “high risk” memo)?

19. Is this a financial management system? Yes _____ No _____
- a. If “yes,” does this investment address a FFMIA compliance area? Yes _____ No _____
- 1. If “yes,” which compliance area: _____
 - 2. If “no,” what does it address? _____
- b. If “yes,” please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A-11 section 52 _____

20. What is the percentage breakout for the total FY2009 funding request for the following?
 (This should total 100%)

Hardware _____
 Software _____
 Services _____
 Other _____

21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?

Yes _____
 No _____
 NA _____

22. Contact information of individual responsible for privacy related questions:

Name _____
 Phone Number _____
 Title _____
 E-mail _____

23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration’s approval? Yes _____ No _____

Section B: Summary of Spending (All Capital Assets)

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The "TOTAL" estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

Table 1: SUMMARY OF SPENDING FOR PROJECT PHASES (REPORTED IN MILLIONS) (Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)									
	PY-1 and earlier	PY 2007	CY 2008	BY 2009	BY+1 2010	BY+2 2011	BY+3 2012	BY+4 and beyond	Total Unfunded
Planning:	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Acquisition :	4.28	0.00	0.00	1.30	.80	.00	.00	.00	2.10
Subtotal Planning & Acquisition:	4.28	0.00	0.00	1.30	.80	.00	.00	.00	2.10
Operations & Maintenance:	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
TOTAL:	4.28	0.00	0.00	1.30	.80	.00	.00	.00	2.10
Government FTE Costs should not be included in the amounts provided above.									
Government FTE Costs									
Number of FTE represented by Costs:									

Note: For the cross-agency investments, this table should include all funding (both managing partner and partner agencies). Government FTE Costs should not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE's? Yes _____ No X
- a. If "yes," How many and in what year? _____
3. If the summary of spending has changed from the FY2008 President's budget request, briefly explain those changes: _____

Section C: Acquisition/Contract Strategy (All Capital Assets)

Contracts/Task Orders Table:			
Contract or Task Order Number	2009-01 North Prisoner Elevator	2009-02 Main Freight Elevator	
Type of Contract/Task Order	Fixed	Fixed	
Has the contract been awarded (Y/N)	No	No	
If so what is the date of	Quarter 1 FY 2009	Quarter 1 FY 2009	

the award? If not, what is the planned award date?			
Start date of Contract/Task Order	Quarter 1 FY 2009	Quarter 1 FY 2009	
End date of Contract/Task Order	Quarter 2 FY 2010	Quarter 1 FY 2011	
Is this an Interagency Acquisition? (Y/N)	NO	NO	
Is it performance based? (Y/N)	YES	YES	
Competitively awarded? (Y/N)	YES	YES	
What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A)	NA	NA	
Is EVM in the contract? (Y/N)	YES, Courts' Standard	YES, Courts' Standards	
Does the contract include the required security & privacy clauses? (Y/N)	YES	YES	
Name of CO	Joseph E. Sanchez	Joseph E. Sanchez	
CO Contact information (phone/email)	202-879-2801 sanchezj@dsc.gov	202-879-2801 sanchezj@dsc.gov	
Contracting Officer Certification Level (Level 1, 2, 3, N/A)	NA	NA	
If N/A, has the agency determined the CO assigned has the competencies and skills necessary to support this acquisition? (Y/N)	YES	YES	

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why: _____

3. Do the contracts ensure Section 508 compliance? _____

Yes _____
 No X
 N/A _____

a. Explain why:

4. Is there an acquisition plan which has been approved in accordance with agency requirements? _____

Yes X No _____

a. If "yes," what is the date?

b. If "no," will an acquisition plan be developed?

1. If "no," briefly explain why:

Section D: Performance Information (All Capital Assets)

In order to successfully address this area of the exhibit 300, performance goals must be provided for the

agency and be linked to the annual performance plan. The investment must discuss the agency’s mission and strategic goals, and performance measures must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative or qualitative measure.

Agencies must use Table 1 below for reporting performance goals and measures for all non-IT investments and for existing IT investments that were initiated prior to FY 2005. The table can be extended to include measures for years beyond FY 2006.

Performance Information Table 1:					
Fiscal Year	Strategic Goal(s) Supported	Performance Measure	Actual/ baseline (from Previous Year)	Planned performance Metric (Target)	Performance Metric Results (Actual)
2007	Goal 2.1: The Courts will ensure that physical facilities are easily accessible by all persons.	1. Increase security, safety and reliability of the prisoner and freight elevators. 2. Lessen out of service time due to unscheduled faults.	Original 1978 elevators and escalators needed constant service calls and were regularly out of service.	More closely adhere to USMS standards	NA

All new IT investments initiated for FY 2005 and beyond must use Table 2 and are required to use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Please use Table 2 and the PRM to identify the performance information pertaining to this major IT investment. Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for at least four different Measurement Areas (for each fiscal year). The PRM is available at www.egov.gov.

Performance Information Table 2:						
Fiscal Year	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Planned Improvement to the Baseline	Actual Results
2006 - 2008	Mission & Business Results	NA	NA	NA	NA	NA
2006 - 2008	Customer Results	NA	NA	NA	NA	NA
2006 - 2008	Processes & Activities	NA	NA	NA	NA	NA
2006 - 2008	Technology	NA	NA	NA	NA	NA

Section E: Security and Privacy (IT Capital Assets only)

NA – Not Applicable

Section F: Enterprise Architecture (EA) (IT Capital Assets only)

NA – Not Applicable

Part II: Planning, Acquisition And Performance Information

Part II should be completed only for investments identified as "Planning" or "Full Acquisition", or "Mixed Life-Cycle" investments in response to Question 6 in Part I, Section A above.

Section A: Alternatives Analysis (All Capital Assets)

In selecting the best capital asset, you should identify and consider at least three viable alternatives, in addition to the current baseline, i.e., the status quo. Use OMB Circular A-94 for all investments and the Clinger Cohen Act of 1996 for IT investments to determine the criteria you should use in your Benefit/Cost Analysis.

1. Did you conduct an alternatives analysis for this project? Yes _____ No _____
- a. If "yes," provide the date the analysis was completed? _____
- b. If "no," what is the anticipated date this analysis will be completed? _____
- c. If no analysis is planned, please briefly explain why: _____

2. Alternatives Analysis Results:			
Use the results of your alternatives analysis to complete the following table:			
Alternative Analyzed	Description of Alternative	Risk Adjusted Lifecycle Costs estimate	Risk Adjusted Lifecycle Benefits estimate

3. Which alternative was selected by the Agency's Executive/Investment Committee and why was it chosen? _____

4. What specific qualitative benefits will be realized?

Section B: Risk Management (All Capital Assets)

You should have performed a risk assessment during the early planning and initial concept phase of this investment's life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

1. Does the investment have a Risk Management Plan? Yes _____ No _____
- a. If "yes," what is the date of the plan? _____
- b. Has the Risk Management Plan been significantly changed since last year's submission to OMB? Yes _____ No _____
- c. If "yes," describe any significant changes: _____
2. If there currently is no plan, will a plan be developed? Yes _____ No _____

- a. If “yes,” what is the planned completion date? _____
 - b. If “no,” what is the strategy for managing the risks? _____
3. Briefly describe how investment risks are reflected in the life cycle cost estimate and investment schedule:
 No risks have been identified with this project.

Section C: Cost and Schedule Performance (All Capital Assets)

EVM is required only on DME portions of investments. For mixed lifecycle investments, O&M milestones should still be included in the table (Comparison of Initial Baseline and Current Approved Baseline). This table should accurately reflect the milestones in the initial baseline, as well as milestones in the current baseline.

1. Does the earned value management system meet the criteria in ANSI/EIA Standard – 748? Yes No Yes _____ No _____

2. Answer the following questions about current cumulative cost and schedule performance. The numbers reported below should reflect current actual information. (Per OMB requirements Cost/Schedule Performance information should include both Government and Contractor Costs):

- a. What is the Planned Value (PV)? _____
- b. What is the Earned Value (EV)? _____
- c. What is the actual cost of work performed (AC)? _____
- d. What costs are included in the reported Cost/Schedule Performance information (Government Only/Contractor Only/Both)? _____
- e. “As of” date: _____

3. What is the calculated Schedule Performance Index (SPI= EV/PV)? _____

4. What is the schedule variance (SV = EV-PV)? _____

5. What is the calculated Cost Performance Index (CPI = EV/AC)? _____

6. What is the cost variance (CV = EV-AC)? _____

7. Is the CV% or SV% greater than ± 10%? (CV%= CV/EV x 100; SV%= SV/PV x 100) Yes _____ No _____

- a. If “yes,” was it the? CV _____ SV _____ Both _____

b. If “yes,” explain the variance: _____

c. If “yes,” what corrective actions are being taken? _____

d. What is most current “Estimate at Completion”? _____

8. Have any significant changes been made to the baseline during the past fiscal year? Yes _____ No _____

- a. If “yes,” when was it approved by OMB? _____

9. Comparison of Initial Baseline and Current Approved Baseline: Complete the following table to compare actual performance against the current performance baseline and to the initial performance baseline. In the Current Baseline section, for all milestones listed, you should provide both the baseline and actual completion dates (e.g., “03/23/2003”/ “04/28/2004”) and the baseline and actual total costs (in \$ Millions). In the event that a milestone is not found in both the initial and current baseline, leave the associated cells blank. Note that the ‘Description of Milestone’ and ‘Percent Complete’ fields are required. Indicate ‘0’ for any milestone no longer active.

Description of Milestone	Initial Baseline		Current Baseline				Current Baseline Variance	
	Planned Completion Date (mm/dd/yyyy)	Total Cost (\$M) Estimated	Completion Date (mm/dd/yyyy) Planned/Actual	Total Cost (\$M) Planned/ Actual		Schedule/Cost (# days/\$M)		Percent Complete
Planning								
Design & Construction								

Part III: For “Operation and maintenance” Investments ONLY (Steady State)
Part III should be completed only for investments identified as “Operations and Maintenance” (Steady State) in response to Question 6 in Part I, Section A above.

Section A: Risk Management (All Capital Assets)

You should have performed a risk assessment during the early planning and initial concept phase of this investment’s life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment’s life-cycle.

1. Does the investment have a Risk Management Plan? Yes X No
 a. If “yes,” what is the date of the plan? Capital Projects Plan 2004
 b. Has the Risk Management Plan been significantly changed since last year’s submission to OMB? Yes No X
 c. If “yes,” describe any significant changes: _____

2. If there currently is no plan, will a plan be developed? Yes No
 a. If “yes,” what is the planned completion date? _____
 b. If “no,” what is the strategy for managing the risks? _____

Section B: Cost and Schedule Performance (All Capital Assets)

1. Was operational analysis conducted? Yes No X
 a. If “yes,” provide the date the analysis was completed. _____
 b. If “yes,” what were the results? _____
 c. If “no,” please explain why it was not conducted and if there are any plans to conduct operational analysis in the future:
 The Court conducted an evaluation report instead and followed the recommendations provided.

2. Complete the following table to compare actual cost performance against the planned cost performance baseline. Milestones reported may include specific individual scheduled preventative and predictable corrective maintenance activities, or may be the total of planned annual operation and maintenance efforts).

a. What costs are included in the reported Cost/Schedule Performance information (Government Only/Contractor Only/Both)?

2. b Comparison of Plan vs. Actual Performance Table:						
Description of Milestone	Planned		Actual		Variance	
	Completion Date	Total Cost	Completion Date	Total Cost (\$M)	Schedule/Cost (# days/\$M)	
Replace Major Components of North Prisoner Elevator	Q2 2010	\$0.7M	N/A	N/A	N/A	N/A
Replace Major Components of Main Freight Elevator	Q1 2011	\$0.6M	N/A	N/A	N/A	N/A

Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview (All Capital Assets)

1. Date of Submission: September 2007
2. Agency: District of Columbia Courts
3. Bureau: NA
4. Name of this Capital Asset: **Fire and Security Alarm Systems**
5. Unique Project (Investment) Identifier: (For IT investment only, see section 53. For all other, use agency ID system.) 95-1712

6. What kind of investment will this be in FY2009?
 (Please NOTE: Investments moving to O&M ONLY in FY2009, with Planning/Acquisition activities prior to FY2008 should not select O&M. These investments should indicate their current status.)

Planning	_____
Full Acquisition	_____
Operations and Maintenance	_____ <u>X</u> _____
Mixed Life Cycle	_____
E-Gov/LoBOversight	_____

7. What was the first budget year this investment was submitted to OMB? 1999
8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap: The Fire and Security Alarm System project is the phased implementation of a comprehensive upgrade to security within Court buildings.
 In 2009 the project will include:
 - Installation of a dedicated court telephone system in areas where the U.S. Marshals Service is on a Department of Justice system.
 - Continuation of the installation of the infrastructure of a new sprinkler system for increased fire protection and added life safety.
 - Installation of bullet resistant glass at street level windows of the H. Carl Moultrie Courthouse.

9. Did the Agency’s Executive/Investment Committee review this request? Yes X No

a. If “yes,” what was the date of this approval? 2004

10. Did the Project Manager review this Exhibit? Yes X No

11. Contact information of Project Manager?

Name	<u> Mary Ann Satterthwaite </u>
Phone Number	<u> 202-879-2898 </u>
E-mail	<u> satterma2@dcsc.gov </u>

12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project.

Yes X No

a. Will this investment include electronic assets (including computers)?

Yes No X

b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)

Yes No X

1. If "yes," is an ESPC or UESC being used to help fund this investment? NA

Yes No

2. If "yes," will this investment meet sustainable design principles? NA

Yes No

3. If "yes," is it designed to be 30% more energy efficient than relevant code? NA

Yes No

13. Does this investment directly support one of the PMA initiatives? If "yes" check all that apply: NA

- Human Capital
- Budget Performance Integration
- Financial Performance
- Expanded E-Government
- Competitive Sourcing
- Faith Based and Community
- Real Property Asset Management
- Eliminating Improper Payments
- Privatization of Military Housing
- Research & Development Investment Criteria
- Housing & Urban Development Management & Performance
- Broadening Health Insurance Coverage through State Initiatives
- "Right Sized" Overseas Presence
- Coordination of VA & DoD Programs and Systems

a. Briefly describe how this asset directly supports the identified initiative(s)? NA

14. Does this investment support a program assessed using the Program Assessment Rating Tool (PART)? (For more information about the PART, visit www.whitehouse.gov/omb/part.)

Yes X No

a. If "yes," does this investment address a weakness found during a PART review?

Yes No X

b. If "yes," what is the name of the PARTed program?

D.C. Courts

c. If "yes," what rating did the PART receive?

Adequate

15. Is this investment for information technology?

Yes No X

If the answer to Question 15 is "Yes," complete questions 16-23 below. If the answer is "No," do not answer questions 16-23.

For information technology investments only:

16. What is the level of the IT Project? (per CIO Council PM Guidance)

Level 1 _____
 Level 2 _____
 Level 3 _____

17. What project management qualifications does the Project Manager have? (per CIO Council PM Guidance)

- (1) Project manager has been validated as qualified for this investment _____
- (2) Project manager qualification is under review for this investment _____
- (3) Project manager assigned to investment, but does not meet requirements _____
- (4) Project manager assigned but qualification status review has not yet started _____
- (5) No Project manager has yet been assigned to this investment _____

18. Is this investment identified as “high risk” on the Q4 -FY 2006 agency high risk report (per OMB’s “high risk” memo)?

19. Is this a financial management system? Yes _____ No _____
- a. If “yes,” does this investment address a FFMIA compliance area? Yes _____ No _____
- 1. If “yes,” which compliance area: _____
 - 2. If “no,” what does it address? _____
- b. If “yes,” please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A-11 section 52 _____

20. What is the percentage breakout for the total FY2009 funding request for the following?
 (This should total 100%)

Hardware _____
 Software _____
 Services _____
 Other _____

21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?

Yes _____
 No _____
 NA _____

22. Contact information of individual responsible for privacy related questions:

Name _____
 Phone Number _____
 Title _____
 E-mail _____

23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration’s approval? Yes _____ No _____

Section B: Summary of Spending (All Capital Assets)

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All

amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated “Government FTE Cost,” and should be excluded from the amounts shown for “Planning,” “Full Acquisition,” and “Operation/Maintenance.” The “TOTAL” estimated annual cost of the investment is the sum of costs for “Planning,” “Full Acquisition,” and “Operation/Maintenance.” For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

Table 1: SUMMARY OF SPENDING FOR PROJECT PHASES (REPORTED IN MILLIONS) (Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)									
	PY-1 and earlier	PY 2007	CY 2008	BY 2009	BY+1 2010	BY+2 2011	BY+3 2012	BY+4 and beyond	Total Unfunded
Planning:	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Acquisition :	8.19	9.07	0.00	3.18	2.12	2.12	.00	.00	7.42
Subtotal Planning & Acquisition:	8.19	9.07	0.00	3.18	2.12	2.12	.00	.00	7.42
Operations & Maintenance:	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
TOTAL:	8.19	9.07	0.00	3.18	2.12	2.12	.00	.00	7.42
Government FTE Costs should not be included in the amounts provided above.									
Government FTE Costs									
Number of FTE represented by Costs:									

Note: For the cross-agency investments, this table should include all funding (both managing partner and partner agencies). Government FTE Costs should not be included as part of the TOTAL represented.

- 2. Will this project require the agency to hire additional FTE’s? Yes _____ No X
 - a. If “yes,” How many and in what year? _____
- 3. If the summary of spending has changed from the FY2008 President’s budget request, briefly explain those changes: _____

Section C: Acquisition/Contract Strategy (All Capital Assets)

Contracts/Task Orders Table:			
Contract or Task Order Number	2009-01 USMS Telephone System	2009-02 Sprinkler System	2009-03 Bullet Resistant Glass
Type of Contract/Task Order	Fixed	Fixed	Fixed
Has the contract been awarded (Y/N)	No	No	No
If so what is the date of the award? If not, what is the planned award date?	Quarter 1 FY 2009	Quarter 1 FY 2009	Quarter 1 FY 2009
Start date of Contract/Task Order	Quarter 1 FY 2009	Quarter 1 FY 2009	Quarter 1 FY 2009
End date of Contract/Task Order	Quarter 1 FY 2010	Quarter 4 FY 2014	Quarter 1 FY 2011
Is this an Interagency Acquisition? (Y/N)	NO	NO	NO
Is it performance based? (Y/N)	YES	YES	YES
Competitively awarded? (Y/N)	YES	YES	YES
What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A)	NA	NA	NA
Is EVM in the contract? (Y/N)	YES, Courts' Standards	YES, Courts' Standards	YES, Courts' Standards
Does the contract include the required security & privacy clauses? (Y/N)	YES	YES	YES
Name of CO	Joseph E. Sanchez	Joseph E. Sanchez	Joseph E. Sanchez
CO Contact information (phone/email)	202-879-2801 sanchezj@dcsc.gov	202-879-2801 sanchezj@dcsc.gov	202-879-2801 sanchezj@dcsc.gov
Contracting Officer Certification Level (Level 1, 2, 3, N/A)	NA	NA	NA
If N/A, has the agency determined the CO assigned has the competencies and skills necessary to support this acquisition? (Y/N)	YES	YES	YES

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why: _____

3. Do the contracts ensure Section 508 compliance? _____
 Yes _____
 No _____ **X** _____
 N/A _____

a. Explain why:

4. Is there an acquisition plan which has been approved in accordance with agency requirements?

Yes X No _____
 2003

- a. If “yes,” what is the date?
- b. If “no,” will an acquisition plan be developed?
 - 1. If “no,” briefly explain why:

Section D: Performance Information (All Capital Assets)

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency’s mission and strategic goals, and performance measures must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative or qualitative measure.

Agencies must use Table 1 below for reporting performance goals and measures for all non-IT investments and for existing IT investments that were initiated prior to FY 2005. The table can be extended to include measures for years beyond FY 2006.

Performance Information Table 1:					
Fiscal Year	Strategic Goal(s) Supported	Performance Measure	Actual/ baseline (from Previous Year)	Planned performance Metric (Target)	Performance Metric Results (Actual)
2004	Goal 4.1: The Courts will provide personnel and court participants with a safe, secure, functional, and habitable physical environment.	Installation of a sprinkler system throughout the Moultrie Courthouse.	No existing sprinkler system.	Installation of a sprinkler system throughout the Moultrie Courthouse.	5% complete as of May 2007
2004	Goal 4.1: The Courts will provide personnel and court participants with a safe, secure, functional, and habitable physical environment.	Complete replacement of the existing fire alarm system.	Existing 1979 fire alarm system has exceeded its useful life.	New state of the art fire alarm system.	100% complete as of January 2005.
2009	Goal 4.1: The Courts will provide personnel and court participants with a safe, secure, functional, and habitable physical environment.	Complete installation of bullet resistant glass on first floor	35 year old building was constructed prior to new security requirements	Complete installation of bullet resistant glass on first floor	N/A

All new IT investments initiated for FY 2005 and beyond must use Table 2 and are required to use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Please use Table 2 and the PRM to identify the performance information pertaining to this major IT investment. Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for at least four different Measurement Areas (for each fiscal year). The PRM is available at www.egov.gov.

Performance Information Table 2:						
Fiscal Year	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Planned Improvement to the Baseline	Actual Results
2006 - 2008	Mission & Business Results	NA	NA	NA	NA	NA
2006 - 2008	Customer Results	NA	NA	NA	NA	NA
2006 - 2008	Processes & Activities	NA	NA	NA	NA	NA
2006 - 2008	Technology	NA	NA	NA	NA	NA

Section E: Security and Privacy (IT Capital Assets only)
 NA – Not Applicable

Section F: Enterprise Architecture (EA) (IT Capital Assets only)
 NA – Not Applicable

Part II: Planning, Acquisition And Performance Information
Part II should be completed only for investments identified as “Planning” or “Full Acquisition”, or “Mixed Life-Cycle” investments in response to Question 6 in Part I, Section A above.

Section A: Alternatives Analysis (All Capital Assets)

In selecting the best capital asset, you should identify and consider at least three viable alternatives, in addition to the current baseline, i.e., the status quo. Use OMB Circular A-94 for all investments and the Clinger Cohen Act of 1996 for IT investments to determine the criteria you should use in your Benefit/Cost Analysis.

1. Did you conduct an alternatives analysis for this project? Yes _____ No _____
- a. If “yes,” provide the date the analysis was completed? _____
 - b. If “no,” what is the anticipated date this analysis will be completed? _____
 - c. If no analysis is planned, please briefly explain why: _____

2. Alternatives Analysis Results:			
Use the results of your alternatives analysis to complete the following table:			
Alternative Analyzed	Description of Alternative	Risk Adjusted Lifecycle Costs estimate	Risk Adjusted Lifecycle Benefits estimate

3. Which alternative was selected by the Agency’s Executive/Investment Committee and why was it chosen? _____

4. What specific qualitative benefits will be realized?

Section B: Risk Management (All Capital Assets)

You should have performed a risk assessment during the early planning and initial concept phase of this investment’s life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment’s life-cycle.

- 1. Does the investment have a Risk Management Plan? Yes ____ No ____
 - a. If “yes,” what is the date of the plan?
 - b. Has the Risk Management Plan been significantly changed since last year’s submission to OMB? Yes ____ No ____
 - c. If “yes,” describe any significant changes: _____

- 2. If there currently is no plan, will a plan be developed? Yes ____ No ____
 - a. If “yes,” what is the planned completion date? _____
 - b. If “no,” what is the strategy for managing the risks? _____

3. Briefly describe how investment risks are reflected in the life cycle cost estimate and investment schedule: No risks have been identified with this project.

Section C: Cost and Schedule Performance (All Capital Assets)

EVM is required only on DME portions of investments. For mixed lifecycle investments, O&M milestones should still be included in the table (Comparison of Initial Baseline and Current Approved Baseline). This table should accurately reflect the milestones in the initial baseline, as well as milestones in the current baseline.

- 1. Does the earned value management system meet the criteria in ANSI/EIA Standard – 748? Yes No Yes ____ No ____

2. Answer the following questions about current cumulative cost and schedule performance. The numbers reported below should reflect current actual information. (Per OMB requirements Cost/Schedule Performance information should include both Government and Contractor Costs):

- a. What is the Planned Value (PV)? _____
- b. What is the Earned Value (EV)? _____
- c. What is the actual cost of work performed (AC)? _____
- d. What costs are included in the reported Cost/Schedule Performance information (Government Only/Contractor Only/Both)? _____
- e. “As of” date: _____

3. What is the calculated Schedule Performance Index (SPI= EV/PV)? _____

4. What is the schedule variance (SV = EV-PV)? _____

5. What is the calculated Cost Performance Index (CPI = _____

EV/AC)?

6. What is the cost variance (CV = EV-AC)?

7. Is the CV% or SV% greater than ± 10%? (CV%= CV/EV x 100; SV%= SV/PV x 100)

a. If “yes,” was it the?

Yes ____ No ____
 CV _____
 SV _____
 Both _____

b. If “yes,” explain the variance:

c. If “yes,” what corrective actions are being taken?

d. What is most current “Estimate at Completion”?

8. Have any significant changes been made to the baseline during the past fiscal year?

a. If “yes,” when was it approved by OMB?

Yes ____ No ____

9. Comparison of Initial Baseline and Current Approved Baseline: Complete the following table to compare actual performance against the current performance baseline and to the initial performance baseline. In the Current Baseline section, for all milestones listed, you should provide both the baseline and actual completion dates (e.g., “03/23/2003”/ “04/28/2004”) and the baseline and actual total costs (in \$ Millions). In the event that a milestone is not found in both the initial and current baseline, leave the associated cells blank. Note that the ‘Description of Milestone’ and ‘Percent Complete’ fields are required. Indicate ‘0’ for any milestone no longer active.

Description of Milestone	Initial Baseline		Current Baseline		Current Baseline Variance	Percent Complete
	Planned Completion Date (mm/dd/yyyy)	Total Cost (\$M) Estimated	Completion Date (mm/dd/yyyy) Planned/Actual	Total Cost (\$M) Planned/ Actual	Schedule/Cost (# days/\$M)	
Planning						
Design & Construction						

Part III: For “Operation and maintenance” Investments ONLY (Steady State)
Part III should be completed only for investments identified as “Operations and Maintenance” (Steady State) in response to Question 6 in Part I, Section A above.

Section A: Risk Management (All Capital Assets)

You should have performed a risk assessment during the early planning and initial concept phase of this investment’s life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment’s life-cycle.

1. Does the investment have a Risk Management Plan?
 a. If “yes,” what is the date of the plan?

Yes X No ____
Capital Projects Plan 2004

b. Has the Risk Management Plan been significantly changed since last year’s submission to OMB?

Yes ____ No X

c. If “yes,” describe any significant changes:

a. If there currently is no plan, will a plan be developed?

Yes ____ No ____

b. If “yes,” what is the planned completion date?

c. If “no,” what is the strategy for managing the risks?

Section B: Cost and Schedule Performance (All Capital Assets)

1. Was operational analysis conducted?

Yes ____ No X

a. If “yes,” provide the date the analysis was completed.

b. If “yes,” what were the results?

c. If “no,” please explain why it was not conducted and if there are any plans to conduct operational analysis in the future:

The systems being replaced were inefficient, inoperable, non-existent or had exceeded their expected life cycle.

2. Complete the following table to compare actual cost performance against the planned cost performance baseline. Milestones reported may include specific individual scheduled preventative and predictable corrective maintenance activities, or may be the total of planned annual operation and maintenance efforts).

a. What costs are included in the reported Cost/Schedule Performance information (Government Only/Contractor Only/Both)?

2. b Comparison of Plan vs. Actual Performance Table:						
Description of Milestone	Planned		Actual		Variance	
	Completion Date (mm/dd/yyyy)	Total Cost (\$M)	Completion Date (mm/dd/yyyy)	Total Cost (\$M)	Schedule/Cost (# days/\$M)	
Complete USMS Telephone System in existing space	Q1 2010	\$0.1M	n/a	n/a	n/a	
Complete 20% of fire sprinkler installation in Moultrie CH	Q4 2010	\$2.08M	n/a	n/a	n/a	
Install bullet-resistant glass on 1 st Floor	Q1 2011	\$1M	n/a	n/a	n/a	

Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview (All Capital Assets)

- 1. Date of Submission: September 2007
- 2. Agency: District of Columbia Courts
- 3. Bureau: NA
- 4. Name of this Capital Asset: **Courtrooms and Judges Chambers**
- 5. Unique Project (Investment) Identifier: (For IT investment only, see section 53. For all other, use agency ID system.) 95-1712

6. What kind of investment will this be in FY2009?
 (Please NOTE: Investments moving to O&M ONLY in FY2009, with Planning/Acquisition activities prior to FY2008 should not select O&M. These investments should indicate their current status.)

Planning	_____
Full Acquisition	_____
Operations and Maintenance	_____ X _____
Mixed Life Cycle	_____
E-Gov/LoBOversight	_____

- 7. What was the first budget year this investment was submitted to OMB? 1999
- 8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap: Courtroom and Chambers projects will include the following: (1) Upgrade secure corridors including new fire sprinkler system, lighting, finishes and ADA hardware. (2) Upgrade courtrooms, including architectural modifications to accommodate technology such as additional space for computers, printers and staff for the IJIS system, sound attenuation for digital sound recording, decreased reverberation to improve conditions for the hearing impaired, and power upgrades to support the aforementioned. (3) Install new fire sprinkler system in courtrooms. (4) Replace courtroom finishes that have far exceeded their useful life. (5) Upgrade chambers, including installation of standardized furniture systems, ADA restroom upgrades, and installation of fire sprinkler system.

9. Did the Agency’s Executive/Investment Committee review this request? Yes X No
 a. If “yes,” what was the date of this approval? 1999

10. Did the Project Manager review this Exhibit? Yes X No

11. Contact information of Project Manager?
 Name Mary Ann Satterthwaite
 Phone Number 202-879-2898
 E-mail satterma2@dcsc.gov

12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally

sustainable techniques or practices for this project.

- Yes X No _____
- a. Will this investment include electronic assets (including computers)?
- Yes _____ No X
- b. Is this investment for new construction or major retrofit of a Federal building or facility?
(answer applicable to non-IT assets only)
- Yes _____ No X
1. If "yes," is an ESPC or UESC being used to help fund this investment? NA
Yes _____ No _____
 2. If "yes," will this investment meet sustainable design principles? NA
Yes _____ No _____
 3. If "yes," is it designed to be 30% more energy efficient than relevant code? NA
Yes _____ No _____

13. Does this investment directly support one of the PMA initiatives? If "yes" check all that apply: NA

- | | |
|--|-------|
| Human Capital | _____ |
| Budget Performance Integration | _____ |
| Financial Performance | _____ |
| Expanded E-Government | _____ |
| Competitive Sourcing | _____ |
| Faith Based and Community | _____ |
| Real Property Asset Management | _____ |
| Eliminating Improper Payments | _____ |
| Privatization of Military Housing | _____ |
| Research & Development Investment Criteria | _____ |
| Housing & Urban Development Management & Performance | _____ |
| Broadening Health Insurance Coverage through State Initiatives | _____ |
| "Right Sized" Overseas Presence | _____ |
| Coordination of VA & DoD Programs and Systems | _____ |

a. Briefly describe how this asset directly supports the identified initiative(s)? These renovations support the PMA Initiatives by updating the Courtrooms and Chambers with new energy efficient lighting, improved air conditioning, new sprinklers for life safety and by choosing environmentally friendly finishes.

14. Does this investment support a program assessed using the Program Assessment Rating Tool (PART)? (For more information about the PART, visit www.whitehouse.gov/omb/part.)

- Yes X No _____
4. If "yes," does this investment address a weakness found during a PART review? Yes _____ No X
 5. If "yes," what is the name of the PARTed program? D.C. Courts
 6. If "yes," what rating did the PART receive? Adequate

15. Is this investment for information technology? Yes _____ No X

If the answer to Question 15 is "Yes," complete questions 16-23 below. If the answer is "No," do not

answer questions 16-23.

For information technology investments only:

16. What is the level of the IT Project? (per CIO Council PM Guidance)

Level 1 _____
 Level 2 _____
 Level 3 _____

17. What project management qualifications does the Project Manager have? (per CIO Council PM Guidance)

- (1) Project manager has been validated as qualified for this investment _____
- (2) Project manager qualification is under review for this investment _____
- (3) Project manager assigned to investment, but does not meet requirements _____
- (4) Project manager assigned but qualification status review has not yet started _____
- (5) No Project manager has yet been assigned to this investment _____

18. Is this investment identified as “high risk” on the Q4 -FY 2006 agency high risk report (per OMB’s “high risk” memo)?

19. Is this a financial management system? Yes _____ No _____
 a. If “yes,” does this investment address a FFMIA compliance area? Yes _____ No _____
 1. If “yes,” which compliance area: _____
 2. If “no,” what does it address? _____
 b. If “yes,” please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A-11 section 52 _____

20. What is the percentage breakout for the total FY2009 funding request for the following?
 (This should total 100%)

Hardware _____
 Software _____
 Services _____
 Other _____

21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?

Yes _____
 No _____
 NA _____

22. Contact information of individual responsible for privacy related questions:

Name _____
 Phone Number _____
 Title _____
 E-mail _____

23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration’s approval? Yes _____ No _____

Section B: Summary of Spending (All Capital Assets)

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated “Government FTE Cost,” and should be excluded from the amounts shown for “Planning,” “Full Acquisition,” and “Operation/Maintenance.” The “TOTAL” estimated annual cost of the investment is the sum of costs for “Planning,” “Full Acquisition,” and “Operation/Maintenance.” For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

Table 1: SUMMARY OF SPENDING FOR PROJECT PHASES (REPORTED IN MILLIONS) (Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)									
	PY-1 and earlier	PY 2007	CY 2008	BY 2009	BY+1 2010	BY+2 2011	BY+3 2012	BY+4 and beyond	Total Unfunded
Planning:	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Acquisition :	6.03	4.10	0.00	14.77	19.97	15.40	39.61	0.00	89.75
Subtotal Planning & Acquisition:	6.03	4.10	0.00	14.77	19.97	15.40	39.61	0.00	89.75
Operations & Maintenance:	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
TOTAL:	6.03	4.10	0.00	14.77	19.97	15.40	39.61	0.00	89.75
Government FTE Costs should not be included in the amounts provided above.									
Government FTE Costs									
Number of FTE represented by Costs:									

Note: For the cross-agency investments, this table should include all funding (both managing partner and partner agencies). Government FTE Costs should not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE’s? Yes _____ No X_____
 - a. If “yes,” How many and in what year? _____
3. If the summary of spending has changed from the FY2008 President’s budget request, briefly explain those changes: _____

Section C: Acquisition/Contract Strategy (All Capital Assets)

Contracts/Task Orders Table:			
Contract or Task Order Number	2009-1 Chamber Renovations	2009-2 Courtroom Renovations	
Type of Contract/Task Order	Fixed	Fixed	
Has the contract been awarded (Y/N)	No	No	
If so what is the date of the award? If not, what is the planned award date?	Quarter 1 FY 2009	Quarter 1 FY 2009	
Start date of Contract/Task Order	Quarter 1 FY 2009	Quarter 1 FY 2009	
End date of Contract/Task Order	Quarter 2 FY 2010	Quarter 1 FY 2011	
Is this an Interagency Acquisition? (Y/N)	NO	NO	
Is it performance based? (Y/N)	YES	YES	
Competitively awarded? (Y/N)	YES	YES	
What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A)	NA	NA	
Is EVM in the contract? (Y/N)	YES, Courts' Standard	YES, Courts' Standard	
Does the contract include the required security & privacy clauses? (Y/N)	YES, Courts' Standard	YES, Courts' Standard	
Name of CO	Joseph E. Sanchez	Joseph E. Sanchez	
CO Contact information (phone/email)	202-879-2801 sanchezj@dcsc.gov	202-879-2801 sanchezj@dcsc.gov	
Contracting Officer Certification Level (Level 1, 2, 3, N/A)	NA	NA	
If N/A, has the agency determined the CO assigned has the competencies and skills necessary to support this acquisition? (Y/N)	YES	YES	

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why: _____

3. Do the contracts ensure Section 508 compliance?
 Yes _____
 No X
 N/A _____

a. Explain why:

4. Is there an acquisition plan which has been approved in accordance with agency requirements?
 a. If “yes,” what is the date?
 b. If “no,” will an acquisition plan be developed?
 1. If “no,” briefly explain why:

Yes X No
 2003

Section D: Performance Information (All Capital Assets)

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency’s mission and strategic goals, and performance measures must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative or qualitative measure.

Agencies must use Table 1 below for reporting performance goals and measures for all non-IT investments and for existing IT investments that were initiated prior to FY 2005. The table can be extended to include measures for years beyond FY 2006.

Performance Information Table 1:					
Fiscal Year	Strategic Goal(s) Supported	Performance Measure	Actual/ baseline (from Previous Year)	Planned performance Metric (Target)	Performance Metric Results (Actual)
2004-2006	Goal 4.1: The Courts will provide personnel and court participants with a safe, secure, functional environment.	Phased upgrade, floor-by-floor, of courtrooms, chambers, and secure corridors with minimal Court disruption.	1. Existing Chambers and secure corridors have had no major renovations in 15 years. 2. Existing courtrooms have had no major electrical upgrades and improvements to public spectator areas	1. Renovate ten chambers per year. 2. Renovation of sixteen courtrooms per year.	

All new IT investments initiated for FY 2005 and beyond must use Table 2 and are required to use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Please use Table 2 and the PRM to identify the performance information pertaining to this major IT investment. Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for at least four different Measurement Areas (for each fiscal year). The PRM is available at www.egov.gov.

Performance Information Table 2:						
Fiscal Year	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Planned Improvement to the Baseline	Actual Results
2006 - 2008	Mission & Business Results	NA	NA	NA	NA	NA
2006 - 2008	Customer Results	NA	NA	NA	NA	NA
2006 - 2008	Processes & Activities	NA	NA	NA	NA	NA

2006 - 2008	Technology	NA	NA	NA	NA	NA
-------------	------------	----	----	----	----	----

Section E: Security and Privacy (IT Capital Assets only)

NA – Not Applicable

Section F: Enterprise Architecture (EA) (IT Capital Assets only)

NA – Not Applicable

Part II: Planning, Acquisition And Performance Information
Part II should be completed only for investments identified as “Planning” or “Full Acquisition”, or “Mixed Life-Cycle” investments in response to Question 6 in Part I, Section A above.

Section A: Alternatives Analysis (All Capital Assets)

In selecting the best capital asset, you should identify and consider at least three viable alternatives, in addition to the current baseline, i.e., the status quo. Use OMB Circular A-94 for all investments and the Clinger Cohen Act of 1996 for IT investments to determine the criteria you should use in your Benefit/Cost Analysis.

2. Did you conduct an alternatives analysis for this project? Yes _____ No _____
- a. If “yes,” provide the date the analysis was completed? _____
 - b. If “no,” what is the anticipated date this analysis will be completed?
 - c. If no analysis is planned, please briefly explain why:

3. Alternatives Analysis Results: Use the results of your alternatives analysis to complete the following table:			
Alternative Analyzed	Description of Alternative	Risk Adjusted Lifecycle Costs estimate	Risk Adjusted Lifecycle Benefits estimate

3. Which alternative was selected by the Agency’s Executive/Investment Committee and why was it chosen? _____

4. What specific qualitative benefits will be realized?

Section B: Risk Management (All Capital Assets)

You should have performed a risk assessment during the early planning and initial concept phase of this investment’s life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate

or manage risk, and be actively managing risk throughout the investment’s life-cycle.

- 2. Does the investment have a Risk Management Plan? Yes ____ No ____
 a. If “yes,” what is the date of the plan? _____
 b. Has the Risk Management Plan been significantly changed since last year’s submission to OMB? Yes ____ No ____
 c. If “yes,” describe any significant changes: _____

- 2. If there currently is no plan, will a plan be developed? Yes ____ No ____
 a. If “yes,” what is the planned completion date? _____
 b. If “no,” what is the strategy for managing the risks? _____

3. Briefly describe how investment risks are reflected in the life cycle cost estimate and investment schedule: No risks have been identified with this project.

Section C: Cost and Schedule Performance (All Capital Assets)

EVM is required only on DME portions of investments. For mixed lifecycle investments, O&M milestones should still be included in the table (Comparison of Initial Baseline and Current Approved Baseline). This table should accurately reflect the milestones in the initial baseline, as well as milestones in the current baseline.

1. Does the earned value management system meet the criteria in ANSI/EIA Standard – 748? Yes No Yes ____ No ____

2. Answer the following questions about current cumulative cost and schedule performance. The numbers reported below should reflect current actual information. (Per OMB requirements Cost/Schedule Performance information should include both Government and Contractor Costs):

- a. What is the Planned Value (PV)? _____
- b. What is the Earned Value (EV)? _____
- c. What is the actual cost of work performed (AC)? _____
- d. What costs are included in the reported Cost/Schedule Performance information (Government Only/Contractor Only/Both)? _____
- e. “As of” date: _____

3. What is the calculated Schedule Performance Index (SPI= EV/PV)? _____

4. What is the schedule variance (SV = EV-PV)? _____

5. What is the calculated Cost Performance Index (CPI = EV/AC)? _____

6. What is the cost variance (CV = EV-AC)? _____

7. Is the CV% or SV% greater than ± 10%? (CV%= CV/EV x 100; SV%= SV/PV x 100) Yes ____ No ____

a. If “yes,” was it the? CV _____
SV _____
Both _____

b. If “yes,” explain the variance: _____

c. If “yes,” what corrective actions are being taken? _____

d. What is most current “Estimate at Completion”? _____

8. Have any significant changes been made to the baseline during the past fiscal year? Yes _____ No _____

a. If “yes,” when was it approved by OMB? _____

9. Comparison of Initial Baseline and Current Approved Baseline: Complete the following table to compare actual performance against the current performance baseline and to the initial performance baseline. In the Current Baseline section, for all milestones listed, you should provide both the baseline and actual completion dates (e.g., “03/23/2003”/ “04/28/2004”) and the baseline and actual total costs (in \$ Millions). In the event that a milestone is not found in both the initial and current baseline, leave the associated cells blank. Note that the ‘Description of Milestone’ and ‘Percent Complete’ fields are required. Indicate ‘0’ for any milestone no longer active.

Description of Milestone	Initial Baseline		Current Baseline				Current Baseline Variance		Percent Complete
	Planned Completion Date (mm/dd/yyyy)	Total Cost (\$M) Estimated	Completion Date (mm/dd/yyyy) Planned/Actual	Total Cost (\$M) Planned/ Actual		Schedule/Cost (# days/\$M)			
Planning									
Design & Construction									

Part III: For “Operation and maintenance” Investments ONLY (Steady State)
Part III should be completed only for investments identified as “Operations and Maintenance” (Steady State) in response to Question 6 in Part I, Section A above.

Section A: Risk Management (All Capital Assets)

You should have performed a risk assessment during the early planning and initial concept phase of this investment’s life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment’s life-cycle.

c. Does the investment have a Risk Management Plan? Yes X No _____
 a. If “yes,” what is the date of the plan? Capital Projects Plan 2004

b. Has the Risk Management Plan been significantly changed since last year’s submission to OMB? Yes _____ No X

c. If “yes,” describe any significant changes: _____

d. If there currently is no plan, will a plan be developed? Yes _____ No _____

a. If “yes,” what is the planned completion date? _____

b. If “no,” what is the strategy for managing the risks? _____

Section B: Cost and Schedule Performance (All Capital Assets)

4. Was operational analysis conducted? Yes X No _____
 a. If “yes,” provide the date the analysis was completed. Facilities Master Plan 2002
 b. If “yes,” what were the results? Courtroom upgrades needed.
 c. If “no,” please explain why it was not conducted and if there are any plans to conduct operational analysis in the future: _____

2. Complete the following table to compare actual cost performance against the planned cost performance baseline. Milestones reported may include specific individual scheduled preventative and predictable corrective maintenance activities, or may be the total of planned annual operation and maintenance efforts).

- a. What costs are included in the reported Cost/Schedule Performance information (Government Only/Contractor Only/Both)? _____

2. b Comparison of Plan vs. Actual Performance Table:						
Description of Milestone	Planned		Actual		Variance	
	Completion Date (mm/dd/yyyy)	Total Cost (\$M)	Completion Date (mm/dd/yyyy)	Total Cost (\$M)	Schedule/Cost (# days/\$M)	
Complete renovation of 10 Judges Chambers	Q2 2010	\$8M	n/a	n/a	n/a	
Complete renovation of 6 courtrooms	Q1 2011	\$6.77M	n/a	n/a	n/a	

Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview (All Capital Assets)

1. Date of Submission: September 2007
2. Agency: District of Columbia Courts
3. Bureau: NA
4. Name of this Capital Asset: **General Repair Projects**
5. Unique Project (Investment) Identifier: (For IT investment only, see section [53](#). For all other, use agency ID system.) 95-1712

6. What kind of investment will this be in FY2009?
 (Please NOTE: Investments moving to O&M ONLY in FY2009, with Planning/Acquisition activities prior to FY2008 should not select O&M. These investments should indicate their current status.)

Planning	_____
Full Acquisition	_____
Operations and Maintenance	_____ <u>X</u> _____
Mixed Life Cycle	_____
E-Gov/LoBOversight	_____

7. What was the first budget year this investment was submitted to OMB? Steady State
8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap: The General Repair Projects is designed to provide capital improvements to the aging infrastructure of the Courts’ facilities by making various necessary improvements to the Moultrie Courthouse, Building A, and Building B. It includes replacing interior sign systems in the buildings, providing accessibility for the handicapped, painting, and, in general repairing and restoring these aging facilities.

The General Repair Project for FY 2009 will include both exterior and interior projects:

- Replacing fixtures, lighting, flooring, ceiling tiles and other related repairs in office areas in all Courts’ Buildings.
- Reconfiguring public counters and workspace in compliance with ADA.
- General painting, carpet, and window treatment replacement.
- Adding 5” of new reinforced concrete to the garage floor in the Moultrie Courthouse. (Concrete was deleted in the original construction.)
- Readjusting loading dock height.
- Continuing exterior window and door replacement in the Moultrie Courthouse to conserve energy and reduce utility costs.
- Urgent or emergent repairs, as required due to failure of equipment that is functioning beyond its useful life.
- Implementation of the Courts interior wayfinding and signage program.

9. Did the Agency’s Executive/Investment Committee review this request? Yes X No

a. If "yes," what was the date of this approval? 1999

10. Did the Project Manager review this Exhibit? Yes No

11. Contact information of Project Manager?

Name Mary Ann Satterthwaite
 Phone Number 202-879-2898
 E-mail satterma2@dcsc.gov

12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project.

Yes No

a. Will this investment include electronic assets (including computers)?

Yes No

b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)

Yes No

1. If "yes," is an ESPC or UESC being used to help fund this investment? NA

Yes No

2. If "yes," will this investment meet sustainable design principles? NA

Yes No

3. If "yes," is it designed to be 30% more energy efficient than relevant code? NA

Yes No

13. Does this investment directly support one of the PMA initiatives? If "yes" check all that apply: NA

- Human Capital
- Budget Performance Integration
- Financial Performance
- Expanded E-Government
- Competitive Sourcing
- Faith Based and Community
- Real Property Asset Management
- Eliminating Improper Payments
- Privatization of Military Housing
- Research & Development Investment Criteria
- Housing & Urban Development Management & Performance
- Broadening Health Insurance Coverage through State Initiatives
- "Right Sized" Overseas Presence
- Coordination of VA & DoD Programs and Systems

a. Briefly describe how this asset directly supports the identified initiative(s)? Directly supports the upgrade of existing infrastructure for energy efficiency, maximized space use and replacement of failing systems.

14. Does this investment support a program assessed using the Program Assessment Rating Tool (PART)? (For more information about the PART, visit www.whitehouse.gov/omb/part.)

- a. If "yes," does this investment address a weakness found during a PART review? Yes X No
- b. If "yes," what is the name of the PARTed program? Yes No X
 D.C. Courts
 Adequate
- c. If "yes," what rating did the PART receive?

15. Is this investment for information technology? Yes No X

If the answer to Question 15 is "Yes," complete questions 16-23 below. If the answer is "No," do not answer questions 16-23.

For information technology investments only:

16. What is the level of the IT Project? (per CIO Council PM Guidance)

- Level 1
- Level 2
- Level 3

17. What project management qualifications does the Project Manager have? (per CIO Council PM Guidance)

- (1) Project manager has been validated as qualified for this investment
- (2) Project manager qualification is under review for this investment
- (3) Project manager assigned to investment, but does not meet requirements
- (4) Project manager assigned but qualification status review has not yet started
- (5) No Project manager has yet been assigned to this investment

18. Is this investment identified as "high risk" on the Q4 -FY 2006 agency high risk report (per OMB's "high risk" memo)?

- 19. Is this a financial management system? Yes No
 - a. If "yes," does this investment address a FFMIA compliance area? Yes No
 - 1. If "yes," which compliance area:
 - 2. If "no," what does it address?
 - b. If "yes," please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A-11 section 52

20. What is the percentage breakout for the total FY2009 funding request for the following? (This should total 100%)

- Hardware
- Software
- Services
- Other

21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?

- Yes
- No

NA _____

22. Contact information of individual responsible for privacy related questions:

Name _____
 Phone Number _____
 Title _____
 E-mail _____

23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration’s approval? Yes _____ No _____

Section B: Summary of Spending (All Capital Assets)

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated “Government FTE Cost,” and should be excluded from the amounts shown for “Planning,” “Full Acquisition,” and “Operation/Maintenance.” The “TOTAL” estimated annual cost of the investment is the sum of costs for “Planning,” “Full Acquisition,” and “Operation/Maintenance.” For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

Table 1: SUMMARY OF SPENDING FOR PROJECT PHASES (REPORTED IN MILLIONS) (Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)									
	PY-1 and earlier	PY 2007	CY 2008	BY 2009	BY+1 2010	BY+2 2011	BY+3 2012	BY+4 and beyond	Total Unfunded
Planning:	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Acquisition :	28.37	12.40	2.60	9.54	8.45	5.30	5.30	0.00	28.59
Subtotal Planning & Acquisition:	28.37	12.40	2.60	9.54	8.45	5.30	5.30	.00	28.59
Operations & Maintenance:	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
TOTAL:	28.37	12.40	2.60	9.54	8.45	5.30	5.30	.00	28.59
Government FTE Costs should not be included in the amounts provided above.									
Government FTE Costs									
Number of FTE represented by Costs:									

Note: For the cross-agency investments, this table should include all funding (both managing partner and partner agencies). Government FTE Costs should not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE’s? Yes _____ No X
 a. If “yes,” How many and in what year? _____
 3. If the summary of spending has changed from the FY2008 President’s budget request, briefly explain those changes: _____

Section C: Acquisition/Contract Strategy (All Capital Assets)

Contracts/Task Orders Table:			
Contract or Task Order Number	2009-1 Interim Upgrades		
Type of Contract/Task Order	Fixed		
Has the contract been awarded (Y/N)	No		
If so what is the date of the award? If not, what is the planned award date?	Quarter 1 FY 2009		
Start date of Contract/Task Order	Quarter 1 FY 2009		
End date of Contract/Task Order	Quarter 2 FY 2010		
Is this an Interagency Acquisition? (Y/N)	NO		
Is it performance based? (Y/N)	YES		
Competitively awarded? (Y/N)	YES		
What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A)	NA		
Is EVM in the contract? (Y/N)	YES, Courts' Standard		
Does the contract include the required security & privacy clauses? (Y/N)	YES, Courts' Standard		
Name of CO	Joseph E. Sanchez		
CO Contact information (phone/email)	202-879-2801 sanchezj@dcsc.gov		
Contracting Officer Certification Level (Level 1, 2, 3, N/A)	NA		
If N/A, has the agency determined the CO assigned has the competencies and skills necessary to support this acquisition? (Y/N)	YES		

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why: _____

3. Do the contracts ensure Section 508 compliance?

Yes _____
 No _____
 N/A X

a. Explain why: _____

4. Is there an acquisition plan which has been approved in accordance with agency requirements?

Yes X No _____

a. If "yes," what is the date?

 2003

b. If "no," will an acquisition plan be developed?

1. If "no," briefly explain why: _____

Section D: Performance Information (All Capital Assets)

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency’s mission and strategic goals, and performance measures must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative or qualitative measure.

Agencies must use Table 1 below for reporting performance goals and measures for all non-IT investments and for existing IT investments that were initiated prior to FY 2005. The table can be extended to include measures for years beyond FY 2006.

Performance Information Table 1:					
Fiscal Year	Strategic Goal(s) Supported	Performance Measure	Actual/ baseline (from Previous Year)	Planned performance Metric (Target)	Performance Metric Results (Actual)
2009	Goal 2.1: The Courts will ensure that physical facilities are easily accessible by all persons.	Increase the accessibility of Court facilities.	The D.C. Courts inventory includes 645,000 OSF of space. The D.C. Courts have ongoing projects to improve accessibility to all buildings.	Reconfigure public counters and workspace in compliance with ADA and implement the Courts interior wayfinding and signage program.	NA
2009	Goal 4.1: The Courts will provide personnel and court participants with a safe, secure, functional, and habitable physical environment.	Improved energy efficiency through the replacement of doors and windows, repair of mortar joints and exterior masonry. Structural repairs to parking garage. Emergency repairs to equipment.	The D.C. Courts inventory includes 645,000 OSF of space. Currently occupied Court’s Buildings A and B were constructed in the 1930’s and the Moultrie Courthouse was constructed in the 1970’s. All Court buildings are continually used and require ongoing capital refurbishment.	To maintain safe and functional facilities.	Performance results limited due to limited funding

All new IT investments initiated for FY 2005 and beyond must use Table 2 and are required to use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Please use Table 2 and the PRM to identify the performance information pertaining to this major IT investment. Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for at least four different Measurement Areas (for each fiscal year). The PRM is available at www.egov.gov.

Performance Information Table 2:						
Fiscal Year	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Planned Improvement to the Baseline	Actual Results
2006 - 2008	Mission & Business Results	NA	NA	NA	NA	NA

2006 - 2008	Customer Results	NA	NA	NA	NA	NA
2006 - 2008	Processes & Activities	NA	NA	NA	NA	NA
2006 - 2008	Technology	NA	NA	NA	NA	NA

Section E: Security and Privacy (IT Capital Assets only)
NA – Not Applicable

Section F: Enterprise Architecture (EA) (IT Capital Assets only)
NA – Not Applicable

Part II: Planning, Acquisition And Performance Information

Part II should be completed only for investments identified as “Planning” or “Full Acquisition”, or “Mixed Life-Cycle” investments in response to Question 6 in Part I, Section A above.

Section A: Alternatives Analysis (All Capital Assets)

In selecting the best capital asset, you should identify and consider at least three viable alternatives, in addition to the current baseline, i.e., the status quo. Use OMB Circular A-94 for all investments and the Clinger Cohen Act of 1996 for IT investments to determine the criteria you should use in your Benefit/Cost Analysis.

2. Did you conduct an alternatives analysis for this project? Yes _____ No _____
- a. If “yes,” provide the date the analysis was completed? _____
- b. If “no,” what is the anticipated date this analysis will be completed? _____
- c. If no analysis is planned, please briefly explain why: _____

3. Alternatives Analysis Results:			
Use the results of your alternatives analysis to complete the following table:			
Alternative Analyzed	Description of Alternative	Risk Adjusted Lifecycle Costs estimate	Risk Adjusted Lifecycle Benefits estimate

3. Which alternative was selected by the Agency’s Executive/Investment Committee and why was it chosen? _____

4. What specific qualitative benefits will be realized?

Section B: Risk Management (All Capital Assets)

You should have performed a risk assessment during the early planning and initial concept phase of this investment’s life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment’s life-cycle.

- 3. Does the investment have a Risk Management Plan? Yes ____ No ____
 - a. If “yes,” what is the date of the plan? _____
 - b. Has the Risk Management Plan been significantly changed since last year’s submission to OMB? Yes ____ No ____
 - c. If “yes,” describe any significant changes: _____

- 2. If there currently is no plan, will a plan be developed? Yes ____ No ____
 - b. If “yes,” what is the planned completion date? _____
 - c. If “no,” what is the strategy for managing the risks? _____

- 3. Briefly describe how investment risks are reflected in the life cycle cost estimate and investment schedule:
 No risks have been identified with this project.

Section C: Cost and Schedule Performance (All Capital Assets)

EVM is required only on DME portions of investments. For mixed lifecycle investments, O&M milestones should still be included in the table (Comparison of Initial Baseline and Current Approved Baseline). This table should accurately reflect the milestones in the initial baseline, as well as milestones in the current baseline.

- 1. Does the earned value management system meet the criteria in ANSI/EIA Standard – 748? Yes No Yes ____ No ____

- 2. Answer the following questions about current cumulative cost and schedule performance. The numbers reported below should reflect current actual information. (Per OMB requirements Cost/Schedule Performance information should include both Government and Contractor Costs):
 - f. What is the Planned Value (PV)? _____
 - g. What is the Earned Value (EV)? _____
 - h. What is the actual cost of work performed (AC)? _____
 - i. What costs are included in the reported Cost/Schedule Performance information (Government Only/Contractor Only/Both)? _____
 - j. “As of” date: _____

- 3. What is the calculated Schedule Performance Index (SPI= EV/PV)? _____

- 4. What is the schedule variance (SV = EV-PV)? _____

- 5. What is the calculated Cost Performance Index (CPI = EV/AC)? _____

6. What is the cost variance (CV = EV-AC)? _____

7. Is the CV% or SV% greater than ± 10%? (CV%= CV/EV x 100; SV%= SV/PV x 100)

a. If “yes,” was it the?

Yes _____ No _____
 CV _____
 SV _____
 Both _____

b. If “yes,” explain the variance:

c. If “yes,” what corrective actions are being taken?

d. What is most current “Estimate at Completion”?

8. Have any significant changes been made to the baseline during the past fiscal year?

a. If “yes,” when was it approved by OMB?

Yes _____ No _____

9. Comparison of Initial Baseline and Current Approved Baseline: Complete the following table to compare actual performance against the current performance baseline and to the initial performance baseline. In the Current Baseline section, for all milestones listed, you should provide both the baseline and actual completion dates (e.g., “03/23/2003”/ “04/28/2004”) and the baseline and actual total costs (in \$ Millions). In the event that a milestone is not found in both the initial and current baseline, leave the associated cells blank. Note that the ‘Description of Milestone’ and ‘Percent Complete’ fields are required. Indicate ‘0’ for any milestone no longer active.

Description of Milestone	Initial Baseline		Current Baseline				Current Baseline Variance		Percent Complete
	Planned Completion Date (mm/dd/yyyy)	Total Cost (\$M) Estimated	Completion Date (mm/dd/yyyy) Planned/Actual	Total Cost (\$M) Planned/ Actual		Schedule/Cost (# days/\$M)			
Planning									
Design & Construction									

Part III: For “Operation and maintenance” Investments ONLY (Steady State)
Part III should be completed only for investments identified as “Operations and Maintenance” (Steady State) in response to Question 6 in Part I, Section A above.

Section A: Risk Management (All Capital Assets)

You should have performed a risk assessment during the early planning and initial concept phase of this investment’s life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment’s life-cycle.

d. Does the investment have a Risk Management Plan?

a. If “yes,” what is the date of the plan?

b. Has the Risk Management Plan been significantly changed since last year’s submission to OMB?

c. If “yes,” describe any significant changes:

Yes X No _____
Capital Projects Plan 2004

Yes _____ No X

- e. If there currently is no plan, will a plan be developed? Yes ____ No ____
 a. If "yes," what is the planned completion date? _____
 b. If "no," what is the strategy for managing the risks? _____

Section B: Cost and Schedule Performance (All Capital Assets)

4. Was operational analysis conducted? Yes X No ____
 a. If "yes," provide the date the analysis was completed. Facilities Master Plan 2002
 b. If "yes," what were the results? _____
 c. If "no," please explain why it was not conducted and if there are any plans to conduct operational analysis in the future: _____

2. Complete the following table to compare actual cost performance against the planned cost performance baseline. Milestones reported may include specific individual scheduled preventative and predictable corrective maintenance activities, or may be the total of planned annual operation and maintenance efforts).

- a. What costs are included in the reported Cost/Schedule Performance information (Government Only/Contractor Only/Both)? _____

2. b Comparison of Plan vs. Actual Performance Table:						
Description of Milestone	Planned		Actual		Variance	
	Completion Date (mm/dd/yyyy)	Total Cost (\$M)	Completion Date (mm/dd/yyyy)	Total Cost (\$M)	Schedule/Cost (# days/\$M)	
Complete Select Upgrades for non-Reorganization Divisions	Q2 2010	\$3M	n/a	n/a	n/a	
Structural repairs to the parking garage floor and service roadway	Q2 2010	\$2M	n/a	n/a	n/a	

Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview (All Capital Assets)

1. Date of Submission: September 2007
2. Agency: District of Columbia Courts
3. Bureau: NA
4. Name of this Capital Asset: **Technology Infrastructure**
5. Unique Project (Investment) Identifier: (For IT investment only, see section [53](#). For all other, use agency ID system.) 95-1712
6. What kind of investment will this be in FY2009?
(Please NOTE: Investments moving to O&M ONLY in FY2009, with Planning/Acquisition activities prior to FY2008 should not select O&M. These investments should indicate their current status.)

Planning	_____
Full Acquisition	_____ X _____
Operations and Maintenance	_____
Mixed Life Cycle	_____
E-Gov/LoBOversight	_____

7. What was the first budget year this investment was submitted to OMB? 2007
8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap: The Information Technology (IT) Technology Infrastructure Modernization initiative is a multi-year effort to modernize IT infrastructure and align routine replacement of equipment with a replenishment schedule that promotes cost effectiveness and cost predictability and is consistent with industry best practice. This initiative covers shared IT infrastructure and equipment dedicated to operate the D.C. Courts.

This project will improve the technical quality of the D.C. Courts’ integrated case management system and its most critical information asset. D.C. Courts completed the integrated case management system implementation in 2006. This will standardize the Family Court, Civil Division, Criminal Division and other Superior Court components on a single integrated system. Typically, organizations that migrate to an integrated information system on the scale of the Courts’ case management system make significant investment in consolidating IT infrastructure, automating IT management tasks, and improving their information security risk posture. Because of budgetary constraints D.C. Courts’ IT infrastructure has not been upgraded to address server consolidation, data integrity, systems management and user support integration, information security management and continuity of operations. This project will address these shortcomings and help ensure the reliability, availability, security and scalability of Information Technology critical systems supporting the Courts’ operation.

This investment will reduce costs and improve efficiencies in four ways. First, it will improve efficiency and lower indirect costs by reducing the impact of unreliable performance and unplanned outages on the productivity of the Superior Court operations that the case management system automates. Second, investment in consolidating IT infrastructure and improving systems management will improve the efficiency of IT personnel responsible for supporting the Courts' case management system. Third, standardizing replacement cycles for equipment will reduce component failure rates and the impact of high failure rates on employee productivity and cost predictability. Finally, investing in continuity of operations will mitigate the risk of a disaster rendering the Courts' critical systems non-operational for an extended and unacceptable period of time.

9. Did the Agency's Executive/Investment Committee review this request? Yes X No

a. If "yes," what was the date of this approval? 2007

10. Did the Project Manager review this Exhibit? Yes X No

11. Contact information of Project Manager?

Name Yuan Burns
 Phone Number 202 879-0028
 E-mail Yuan.Burns@dcsc.gov

12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project.

Yes X No

a. Will this investment include electronic assets (including computers)?

Yes X No

b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)

Yes No X

1. If "yes," is an ESPC or UESC being used to help fund this investment? NA

Yes No

2. If "yes," will this investment meet sustainable design principles? NA

Yes No

3. If "yes," is it designed to be 30% more energy efficient than relevant code? NA

Yes No

13. Does this investment directly support one of the PMA initiatives? If "yes" check all that apply: NA

Human Capital
 Budget Performance Integration
 Financial Performance
 Expanded E-Government
 Competitive Sourcing
 Faith Based and Community

Real Property Asset Management	_____
Eliminating Improper Payments	_____
Privatization of Military Housing	_____
Research & Development Investment Criteria	_____
Housing & Urban Development Management & Performance	_____
Broadening Health Insurance Coverage through State Initiatives	_____
“Right Sized” Overseas Presence	_____
Coordination of VA & DoD Programs and Systems	_____

b. Briefly describe how this asset directly supports the identified initiative(s)? NA

14. Does this investment support a program assessed using the Program Assessment Rating Tool (PART)? (For more information about the PART, visit www.whitehouse.gov/omb/part.)

Yes X No _____

4. If "yes," does this investment address a weakness found during a PART review?

Yes _____ No X

5. If "yes," what is the name of the PARTed program?

D.C. Courts

6. If "yes," what rating did the PART receive?

Adequate

15. Is this investment for information technology?

Yes X No _____

If the answer to Question 15 is “Yes,” complete questions 16-23 below. If the answer is “No,” do not answer questions 16-23.

For information technology investments only: NA

16. What is the level of the IT Project? (per CIO Council PM Guidance)

Level 1 X

Level 2 _____

Level 3 _____

17. What project management qualifications does the Project Manager have? (per CIO Council PM Guidance)

(1) Project manager has been validated as qualified for this investment X

(2) Project manager qualification is under review for this investment _____

(3) Project manager assigned to investment, but does not meet requirements _____

(4) Project manager assigned but qualification status review has not yet started _____

(5) No Project manager has yet been assigned to this investment _____

18. Is this investment identified as “high risk” on the Q4 -FY 2006 agency high risk report (per OMB’s “high risk” memo)? No

19. Is this a financial management system?

Yes _____ No X

a. If “yes,” does this investment address a FFMIA compliance area?

Yes _____ No _____

1. If “yes,” which compliance area:

2. If “no,” what does it address?

Infrastructure for new technology

b. If “yes,” please identify the system name(s) and system

acronym(s) as reported in the most recent financial systems _____
 inventory update required by Circular A-11 section 52

20. What is the percentage breakout for the total FY2009 funding request for the following?
 (This should total _____)

Hardware	<u>90%</u>
Software	_____
Services	<u>10%</u>
Other	_____

21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?

Yes	<u> X </u>
No	_____
NA	_____

22. Contact information of individual responsible for privacy related questions:

Name	<u>Yuan Burns</u>
Phone Number	<u>202 879-0028</u>
Title	<u>Acting-Director</u>
	<u>Information Technology</u>
E-mail	<u>Yuan.Burns@dcsc.gov</u>

23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval? NA Yes _____ No _____

Section B: Summary of Spending (All Capital Assets)

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The "TOTAL" estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

Table 1: SUMMARY OF SPENDING FOR PROJECT PHASES (REPORTED IN MILLIONS) (Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)									
	PY-1 and earlier	PY 2007	CY 2008	BY 2009	BY+1 2010	BY+2 2011	BY+3 2012	BY+4 and beyond	Total Unfunded
Planning:	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Acquisition :	19.98	5.73	0.00	1.33	1.33	.99	.99	0.00	4.64
Subtotal Planning & Acquisition:	0.00	0.00	0.00	1.33	1.33	.99	.99	0.00	4.64
Operations & Maintenance:	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
TOTAL:	19.98	5.73	0.00	1.33	1.33	.99	.99	0.00	4.64
Government FTE Costs should not be included in the amounts provided above.									
Government FTE Costs									
Number of FTE represented by Costs:									

Note: For the cross-agency investments, this table should include all funding (both managing partner and partner agencies). Government FTE Costs should not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE's? Yes ____ No X
 a. If "yes," How many and in what year? _____
3. If the summary of spending has changed from the FY2008 President's budget request, briefly explain those changes: _____

Section C: Acquisition/Contract Strategy (All Capital Assets)

Contracts/Task Orders Table:			
Contract or Task Order Number	2009-01 Technology Infrastructure		
Type of Contract/Task Order	Fixed		
Has the contract been awarded	No		

(Y/N)			
If so what is the date of the award? If not, what is the planned award date?	Quarter 1 FY 2009		
Start date of Contract/Task Order	Quarter 1 FY 2009		
End date of Contract/Task Order	Quarter 2 FY 2010		
Is this an Interagency Acquisition? (Y/N)	NO		
Is it performance based? (Y/N)	YES		
Competitively awarded? (Y/N)	YES		
What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A)	NA		
Is EVM in the contract? (Y/N)	YES		
Does the contract include the required security & privacy clauses? (Y/N)	YES		
Name of CO	Louis Parker		
CO Contact information (phone/email)	Louis.Parker 202 879-2803 Louis.Parker@dcsc.gov		
Contracting Officer Certification Level (Level 1, 2, 3, N/A)	NA		
If N/A, has the agency determined the CO assigned has the competencies and skills necessary to support this acquisition? (Y/N)	YES		

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why: _____

3. Do the contracts ensure Section 508 compliance?

Yes _____
 No X
 N/A _____

a. Explain why:

4. Is there an acquisition plan which has been approved in accordance with agency requirements?

Yes X No _____

a. If "yes," what is the date?

 2003

b. If "no," will an acquisition plan be developed?

1. If "no," briefly explain why: _____

Section D: Performance Information (All Capital Assets)

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve

efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative or qualitative measure.

Agencies must use Table 1 below for reporting performance goals and measures for all non-IT investments and for existing IT investments that were initiated prior to FY 2005. The table can be extended to include measures for years beyond FY 2006.

Performance Information Table 1:					
Fiscal Year	Strategic Goal(s) Supported	Performance Measure	Actual/baseline (from Previous Year)	Planned performance Metric (Target)	Performance Metric Results (Actual)
FY 2003	4.2 The Courts will provide technology that supports efficient and effective case processing, court management, and judicial decision-making	Implementation of a enterprise-wide integrated case management system. Ensuring the efficient operation of the case management system	18 disparate systems that were not integrated	A single integrated case management system.	95% The system implementation was completed in FY2006 with modifications and customizations ongoing.

All new IT investments initiated for FY 2005 and beyond must use Table 2 and are required to use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Please use Table 2 and the PRM to identify the performance information pertaining to this major IT investment. Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for at least four different Measurement Areas (for each fiscal year). The PRM is available at www.egov.gov.

Performance Information Table 2:						
Fiscal Year	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Planned Improvement to the Baseline	Actual Results
2006 - 2008	Mission & Business Results	NA	NA	NA	NA	NA
2006 - 2008	Customer Results	NA	NA	NA	NA	NA
2006 - 2008	Processes & Activities	NA	NA	NA	NA	NA
2006 - 2008	Technology	NA	NA	NA	NA	NA

Section E: Security and Privacy (IT Capital Assets only)

In order to successfully address this area of the business case, each question below must be answered at the system/application level, not at a program or agency level. Systems supporting this investment on the planning and operational systems security tables should match the systems on the privacy table below. Systems on the Operational Security Table must be included on your agency FISMA system inventory and should be easily referenced in the inventory (i.e., should use the same name or identifier).

All systems supporting and/or part of this investment should be included in the tables below, inclusive of both agency owned systems and contractor systems. For IT investments under development, security and privacy planning must proceed in parallel with the development of the system(s) to ensure IT security and privacy requirements and costs are identified and incorporated into the overall lifecycle of the system(s).

Please respond to the questions below and verify the system owner took the following actions:

1. Have the IT security costs for the system(s) been identified and integrated into the overall costs of the investment: Yes _____ No X_____
 - a. If “yes,” provide the “Percentage IT Security” for the budget year: _____

2. Is identifying and assessing security and privacy risks a part of the overall risk management effort for each system supporting or part of this investment. Yes _____ No X_____

3. Systems in Planning – Security Table:			
Name of System	Agency/ or Contractor Operated System?	Planned Operational Date	Planned or Actual C&A Completion Date

4. Operational Systems – Security Table:							
Name of System	Agency/ or Contractor Operated System?	NIST FIPS 199 Risk Impact level (High, Moderate, Low)	Has C&A been Completed, using NIST 800-37? (Y/N)	Date C&A Complete	What standards were used for the Security Controls tests?" (FIPS 200/NIST 800-53, NIST 800-26, Other, N/A)	Date Complete(d): Security Control Testing	Date the contingency plan tested

5. Have any weaknesses, not yet remediated, related to any of the systems part of or supporting this investment been identified by the agency or IG?

Yes _____ No _____

a. If “yes,” have those weaknesses been incorporated into the agency’s plan of action and milestone process?

6. Indicate whether an increase in IT security funding is requested to remediate IT security weaknesses?

Yes _____ No _____

a. If “yes,” specify the amount, provide a general description of the weakness, and explain how the funding request will remediate the weakness.

7. How are contractor security procedures monitored, verified, and validated by the agency for the contractor systems above?

8. Planning & Operational Systems – Privacy Table:					
(a) Name of System	(b) Is this a new system? (Y/N)	(c) Is there a Privacy Impact Assessment (PIA) that covers this system? (1,2,3,4)	(d) Is the PIA available to the public? (1,2,3)	(e) Is a System of Records Notice (SORN) required for this system? (Y/N)	(f) Was a new or amended SORN published in FY 06? (1,2,3,4,5)

Section F: Enterprise Architecture (EA) (IT Capital Assets only)

In order to successfully address this area of the business case and capital asset plan you must ensure the investment is included in the agency’s EA and Capital Planning and Investment Control (CPIC) process, and is mapped to and supports the FEA. You must also ensure the business case demonstrates the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency’s EA.

- 1. Is this investment included in your agency’s target enterprise architecture? Yes _____ No ___N/A____
 a. If “no,” please explain why? _____
- 2. Is this investment included in the agency’s EA Transition Strategy? Yes _____ No ___N/A____
 a. If “yes,” provide the investment name as identified in the Transition Strategy provided in the agency’s most recent annual EA Assessment.
 b. If “no,” please explain why? _____

3. Service Component Reference Model (SRM) Table : Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to <http://www.egov.gov>.

Agency Component Name	Agency Component Description	FEA SRM Service Type	FEA SRM Component (a)	FEA Service Component Reused (b)		Internal or External Reuse? (c)	BY Funding Percentage (d)
				Component Name	UPI		

- a. Use existing SRM Components or identify as “NEW”. A “NEW” component is one not already identified as a service component in the FEA SRM.
- b. A reused component is one being funded by another investment, but being used by this investment. Rather than answer yes or no, identify the reused service component funded by the other investment and identify the other investment using the Unique Project Identifier (UPI) code from the OMB Ex 300 or Ex 53 submission.
- c. ‘Internal’ reuse is within an agency. For example, one agency within a department is reusing a service component provided by another agency within the same department. ‘External’ reuse is one agency within a department reusing a service component provided by another agency in another department. A good example of this is an E-Gov initiative service being reused by multiple organizations across the federal government.
- d. Please provide the percentage of the BY requested funding amount used for each service component listed in the table. If external, provide the funding level transferred to another agency to pay for the service.

4. Technical Reference Model (TRM) Table: To demonstrate how this major IT investment aligns with the FEA Technical Reference Model (TRM), please list the Service Areas, Categories, Standards, and Service Specifications supporting this IT investment.

FEA SRM Component (a)	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard	Service Specification (b) (i.e., vendor and product name)

- a. Service Components identified in the previous question should be entered in this column. Please enter multiple rows for FEA SRM Components supported by multiple TRM Service Specifications
- b. In the Service Specification field, agencies should provide information on the specified technical standard or vendor product mapped to the FEA TRM Service Standard, including model or version numbers, as appropriate.

<p>2. Will the application leverage existing components and/or applications across the Government (i.e., FirstGov, Pay.Gov, etc)?</p> <p>a. If “yes,” please describe.</p>	<p>Yes _____ No _____</p> <p>_____</p>
<p>6. Does this investment provide the public with access to a government automated information system?</p> <p>a. If “yes,” does customer access require specific software (e.g., a specific web browser version)?</p> <p>1. If “yes,” provide the specific product name(s) and version number(s) of the required software and the date when the public will be able to access this investment by any software (i.e. to ensure equitable and timely access of government information and services).</p>	<p>Yes _____ No _____</p> <p>Yes _____ No _____</p> <p>_____</p>

Part II: Planning, Acquisition And Performance Information

Part II should be completed only for investments identified as "Planning" or "Full Acquisition", or "Mixed Life-Cycle" investments in response to Question 6 in Part I, Section A above.

Section A: Alternatives Analysis (All Capital Assets)

In selecting the best capital asset, you should identify and consider at least three viable alternatives, in addition to the current baseline, i.e., the status quo. Use OMB Circular A-94 for all investments and the Clinger Cohen Act of 1996 for IT investments to determine the criteria you should use in your Benefit/Cost Analysis.

1. Did you conduct an alternatives analysis for this project? Yes _____ No X
- a. If "yes," provide the date the analysis was completed? _____
 - b. If "no," what is the anticipated date this analysis will be completed? _____
 - c. If no analysis is planned, please briefly explain why: _____

2.Alternatives Analysis Results:			
Use the results of your alternatives analysis to complete the following table:			
Alternative Analyzed	Description of Alternative	Risk Adjusted Lifecycle Costs estimate	Risk Adjusted Lifecycle Benefits estimate

3. Which alternative was selected by the Agency's Executive/Investment Committee and why was it chosen? _____

4. What specific qualitative benefits will be realized?

Section B: Risk Management (All Capital Assets)

You should have performed a risk assessment during the early planning and initial concept phase of this investment's life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

4. Does the investment have a Risk Management Plan? Yes ___ No X
 a. If "yes," what is the date of the plan?
 b. Has the Risk Management Plan been significantly changed since last year's submission to OMB? Yes ___ No X
 c. If "yes," describe any significant changes: _____
2. If there currently is no plan, will a plan be developed? Yes X No ___
 f. If "yes," what is the planned completion date? Fy2009
 g. If "no," what is the strategy for managing the risks? _____
3. Briefly describe how investment risks are reflected in the life cycle cost estimate and investment schedule:
 No risks have been identified with this project.

Section C: Cost and Schedule Performance (All Capital Assets)

EVM is required only on DME portions of investments. For mixed lifecycle investments, O&M milestones should still be included in the table (Comparison of Initial Baseline and Current Approved Baseline). This table should accurately reflect the milestones in the initial baseline, as well as milestones in the current baseline.

1. Does the earned value management system meet the criteria in ANSI/EIA Standard – 748? Yes No Yes X No ___
2. Answer the following questions about current cumulative cost and schedule performance. The numbers reported below should reflect current actual information. (Per OMB requirements Cost/Schedule Performance information should include both Government and Contractor Costs):
- k. What is the Planned Value (PV)? _____
 - l. What is the Earned Value (EV)? _____
 - m. What is the actual cost of work performed (AC)? _____
 - n. What costs are included in the reported Cost/Schedule Performance information (Government Only/Contractor Only/Both)? _____
 - o. "As of" date: _____
3. What is the calculated Schedule Performance Index (SPI= EV/PV)? _____
4. What is the schedule variance (SV = EV-PV)? _____
5. What is the calculated Cost Performance Index (CPI = EV/AC)? _____
6. What is the cost variance (CV = EV-AC)? _____
7. Is the CV% or SV% greater than ± 10%? (CV%= CV/EV x 100; SV%= SV/PV x 100)
 a. If "yes," was it the? Yes ___ No ___
 CV _____
 SV _____

- Both _____
- b. If “yes,” explain the variance: _____
- c. If “yes,” what corrective actions are being taken? _____
- d. What is most current “Estimate at Completion”? _____

8. Have any significant changes been made to the baseline during the past fiscal year? Yes _____ No _____
- a. If “yes,” when was it approved by OMB? _____

9. Comparison of Initial Baseline and Current Approved Baseline: Complete the following table to compare actual performance against the current performance baseline and to the initial performance baseline. In the Current Baseline section, for all milestones listed, you should provide both the baseline and actual completion dates (e.g., “03/23/2003”/ “04/28/2004”) and the baseline and actual total costs (in \$ Millions). In the event that a milestone is not found in both the initial and current baseline, leave the associated cells blank. Note that the ‘Description of Milestone’ and ‘Percent Complete’ fields are required. Indicate ‘0’ for any milestone no longer active.

Description of Milestone	Initial Baseline		Current Baseline			Current Baseline Variance		Percent Complete
	Planned Completion Date (mm/dd/yyyy)	Total Cost (\$M) Estimated	Completion Date (mm/dd/yyyy) Planned/Actual	Total Cost (\$M) Planned/ Actual		Schedule/Cost (# days/\$M)		
Planning								
Design & Construction								

Part III: For “Operation and maintenance” Investments ONLY (Steady State)
Part III should be completed only for investments identified as “Operations and Maintenance” (Steady State) in response to Question 6 in Part I, Section A above.

Section A: Risk Management (All Capital Assets)

You should have performed a risk assessment during the early planning and initial concept phase of this investment’s life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment’s life-cycle.

- h. Does the investment have a Risk Management Plan? Yes _____ No _____
- a. If “yes,” what is the date of the plan? _____
- b. Has the Risk Management Plan been significantly changed since last year’s submission to OMB? Yes _____ No _____
- c. If “yes,” describe any significant changes: _____
- i. If there currently is no plan, will a plan be developed? Yes _____ No _____
- a. If “yes,” what is the planned completion date? _____
- b. If “no,” what is the strategy for managing the risks? _____

Section B: Cost and Schedule Performance (All Capital Assets)

2. Was operational analysis conducted? Yes ___ No ___
- a. If “yes,” provide the date the analysis was completed. _____
- b. If “yes,” what were the results? _____
- c. If “no,” please explain why it was not conducted and if there are any plans to conduct operational analysis in the future: _____

2. Complete the following table to compare actual cost performance against the planned cost performance baseline. Milestones reported may include specific individual scheduled preventative and predictable corrective maintenance activities, or may be the total of planned annual operation and maintenance efforts).

- a. What costs are included in the reported Cost/Schedule Performance information (Government Only/Contractor Only/Both)? _____

2. b Comparison of Plan vs. Actual Performance Table:					
Description of Milestone	Planned		Actual		Variance
	Completion Date (mm/dd/yyyy)	Total Cost (\$M)	Completion Date (mm/dd/yyyy)	Total Cost (\$M)	Schedule/Cost (# days/\$M)

Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview (All Capital Assets)

1. Date of Submission: September 2007
2. Agency: District of Columbia Courts
3. Bureau: NA
4. Name of this Capital Asset: **Restoration of the Old Courthouse**
5. Unique Project (Investment) Identifier: (For IT investment only, see section 53. For all other, use agency ID system.) 95-1712
6. What kind of investment will this be in FY2009?
 (Please NOTE: Investments moving to O&M ONLY in FY2009, with Planning/Acquisition activities prior to FY2008 should not select O&M. These investments should indicate their current status.)

Planning	_____
Full Acquisition	_____ <u>X</u> _____
Operations and Maintenance	_____
Mixed Life Cycle	_____
E-Gov/LoBOversight	_____

7. What was the first budget year this investment was submitted to OMB? 1999
8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap: The relocation of the Court of Appeals from its existing location in the Moultrie Courthouse to the Old Courthouse at 430 E Street is a critical step toward meeting the space needs of the D.C. Court of Appeals and providing critical additional space for Superior Court operations, including the newly formed Family Court, in the Moultrie Building. The re-adaptation of the Old Courthouse for modern-day use as a functional courthouse includes restoration of this 200 year old national historic landmark, expansion of the courthouse, and construction of an underground parking garage west of the historic building. The project will permit the adaptive reuse of this historic structure and architectural jewel to house the highest court of the District of Columbia. The Restoration of the Old Courthouse Project is a recommendation of both the D.C. Courts Facilities Master Plan and the Judiciary Square Master Plan and has been fully integrated into the Courts’ long-term strategy for achieving their mission and strategic goals.

Design and construction documents for the restoration and expansion are complete and the National Capital Planning Commission (NCPC), Commission of Fine Arts (CFA), and D.C. Historic Preservation Office have approved the project. The project was publicly bid and awarded in March of 2006 to a General Contractor on a fixed fee basis and construction is currently underway.

9. Did the Agency’s Executive/Investment Committee review this request? Yes X No
 - a. If “yes,” what was the date of this approval? 2005

10. Did the Project Manager review this Exhibit? Yes No

11. Contact information of Project Manager?

Name Mary Ann Satterthwaite
 Phone Number 202-879-2898
 E-mail satterma2@dcsc.gov

12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project.

Yes No

a. Will this investment include electronic assets (including computers)?

Yes No

b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)

Yes No

1. If "yes," is an ESPC or UESC being used to help fund this investment? NA

Yes No

2. If "yes," will this investment meet sustainable design principles? NA

Yes No

3. If "yes," is it designed to be 30% more energy efficient than relevant code? NA

Yes No

13. Does this investment directly support one of the PMA initiatives? If "yes" check all that apply: NA

- Human Capital
- Budget Performance Integration
- Financial Performance
- Expanded E-Government
- Competitive Sourcing
- Faith Based and Community
- Real Property Asset Management
- Eliminating Improper Payments
- Privatization of Military Housing
- Research & Development Investment Criteria
- Housing & Urban Development Management & Performance
- Broadening Health Insurance Coverage through State Initiatives
- "Right Sized" Overseas Presence
- Coordination of VA & DoD Programs and Systems

a. Briefly describe how this asset directly supports the identified initiative(s)? Reuse previously vacant real estate to create a new home for the D.C. Court of Appeals.

14. Does this investment support a program assessed using the Program Assessment Rating Tool (PART)? (For more information about the PART, visit www.whitehouse.gov/omb/part.)

Yes No

- a. If "yes," does this investment address a weakness found during a PART review? Yes _____ No X
- b. If "yes," what is the name of the PARTed program? D.C. Courts
- c. If "yes," what rating did the PART receive? Adequate

15. Is this investment for information technology? Yes _____ No X

If the answer to Question 15 is "Yes," complete questions 16-23 below. If the answer is "No," do not answer questions 16-23.

For information technology investments only:

16. What is the level of the IT Project? (per CIO Council PM Guidance)

Level 1 _____
 Level 2 _____
 Level 3 _____

17. What project management qualifications does the Project Manager have? (per CIO Council PM Guidance)

- (1) Project manager has been validated as qualified for this investment _____
- (2) Project manager qualification is under review for this investment _____
- (3) Project manager assigned to investment, but does not meet requirements _____
- (4) Project manager assigned but qualification status review has not yet started _____
- (5) No Project manager has yet been assigned to this investment _____

18. Is this investment identified as "high risk" on the Q4 -FY 2006 agency high risk report (per OMB's "high risk" memo)?

19. Is this a financial management system? Yes _____ No _____

- a. If "yes," does this investment address a FFMIA compliance area? Yes _____ No _____
 - 1. If "yes," which compliance area: _____
 - 2. If "no," what does it address? _____
- b. If "yes," please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A-11 section 52 _____

20. What is the percentage breakout for the total FY2009 funding request for the following?
 (This should total 100%)

Hardware _____
 Software _____
 Services _____
 Other _____

21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?

Yes _____
 No _____
 NA _____

22. Contact information of individual responsible for privacy related questions:

Name _____
 Phone Number _____
 Title _____
 E-mail _____

23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration’s approval? Yes _____ No _____

Section B: Summary of Spending (All Capital Assets)

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated “Government FTE Cost,” and should be excluded from the amounts shown for “Planning,” “Full Acquisition,” and “Operation/Maintenance.” The “TOTAL” estimated annual cost of the investment is the sum of costs for “Planning,” “Full Acquisition,” and “Operation/Maintenance.” For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

Table 1: SUMMARY OF SPENDING FOR PROJECT PHASES (REPORTED IN MILLIONS) (Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)									
	PY-1 and earlier	PY 2007	CY 2008*	BY 2009	BY+1 2010	BY+2 2011	BY+3 2012	BY+4 and beyond	Total
Planning:	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Acquisition :	100.06	23.00	30.00	0.00	0.00	0.00	0.00	0.00	153.06
Subtotal Planning & Acquisition:	100.06	23.00	30.00	0.00	0.00	0.00	0.00	0.00	153.06
Operations & Maintenance:	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
TOTAL:	100.06	23.00	30.00	0.00	0.00	0.00	0.00	0.00	153.06
Government FTE Costs should not be included in the amounts provided above.									
Government FTE Costs									
Number of FTE represented by Costs:									

* Reflects FY 2008 President’s Recommendation, as an FY 2008 appropriation has not been enacted at the time of this submission.

Note: For the cross-agency investments, this table should include all funding (both managing partner and partner agencies). Government FTE Costs should not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE’s? Yes _____ No X _____
 a. If “yes,” How many and in what year? _____
 3. If the summary of spending has changed from the FY2008 President’s budget request, briefly explain those changes: _____

Section C: Acquisition/Contract Strategy (All Capital Assets)

Contracts/Task Orders Table:			
Contract or Task Order Number	2009-1 Old D.C. Courthouse CBD 06007		
Type of Contract/Task Order	FIXED		
Has the contract been awarded (Y/N)	YES		
If so what is the date of the award? If not, what is the planned award date?	MARCH 2006		
Start date of Contract/Task Order	MARCH 2006		
End date of Contract/Task Order	JULY 2008		
Is this an Interagency Acquisition? (Y/N)	NO		
Is it performance based? (Y/N)	YES		
Competitively awarded? (Y/N)	YES		
What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A)	NA		
Is EVM in the contract? (Y/N)	YES		
Does the contract include the required security & privacy clauses? (Y/N)	YES		
Name of CO	Joseph E. Sanchez		
CO Contact information (phone/email)	202-879-2801 sanchezj@dsc.gov		
Contracting Officer Certification Level (Level 1, 2, 3, N/A)	NA		
If N/A, has the agency determined the CO assigned has the competencies and skills necessary to support this acquisition? (Y/N)	YES		

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why: _____

3. Do the contracts ensure Section 508 compliance? _____

Yes _____
 No X
 N/A _____

a. Explain why: _____

4. Is there an acquisition plan which has been approved in accordance with agency requirements? _____

a. If "yes," what is the date? _____

b. If "no," will an acquisition plan be developed? _____

Yes X No _____
 2003

1. If “no,” briefly explain why: _____

Section D: Performance Information (All Capital Assets)

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency’s mission and strategic goals, and performance measures must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative or qualitative measure.

Agencies must use Table 1 below for reporting performance goals and measures for all non-IT investments and for existing IT investments that were initiated prior to FY 2005. The table can be extended to include measures for years beyond FY 2006.

Performance Information Table 1:					
Fiscal Year	Strategic Goal(s) Supported	Performance Measure	Actual/baseline (from Previous Year)	Planned performance Metric (Target)	Performance Metric Results (Actual)
2004-2008	Goal 2.1: The Courts will ensure that physical facilities are easily accessible by all persons.	Renovate the Old Courthouse to more efficiently use the Courts’ available occupiable space in Judiciary Square.	The building will be 100% habitable in 2008	100% occupancy of 53,000 OSF currently uninhabitable.	
2004-2008	Goal 4.1: The Courts will provide personnel and court participants with a safe, secure, functional environment.	Renovate the Old Courthouse to more efficiently use the Courts’ available occupiable space in Judiciary Square.	The building will be 100% habitable in 2008	100% occupancy of 53,000 OSF currently uninhabitable.	

All new IT investments initiated for FY 2005 and beyond must use Table 2 and are required to use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Please use Table 2 and the PRM to identify the performance information pertaining to this major IT investment. Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for at least four different Measurement Areas (for each fiscal year). The PRM is available at www.egov.gov.

Performance Information Table 2:						
Fiscal Year	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Planned Improvement to the Baseline	Actual Results
2006 - 2008	Mission & Business Results	NA	NA	NA	NA	NA
2006 - 2008	Customer Results	NA	NA	NA	NA	NA
2006 - 2008	Processes & Activities	NA	NA	NA	NA	NA
2006 - 2008	Technology	NA	NA	NA	NA	NA

Section E: Security and Privacy (IT Capital Assets only)
 NA – Not Applicable

Section F: Enterprise Architecture (EA) (IT Capital Assets only)
 NA – Not Applicable

Part II: Planning, Acquisition And Performance Information
Part II should be completed only for investments identified as “Planning” or “Full Acquisition”, or “Mixed Life-Cycle” investments in response to Question 6 in Part I, Section A above.

Section A: Alternatives Analysis (All Capital Assets)

In selecting the best capital asset, you should identify and consider at least three viable alternatives, in addition to the current baseline, i.e., the status quo. Use OMB Circular A-94 for all investments and the Clinger Cohen Act of 1996 for IT investments to determine the criteria you should use in your Benefit/Cost Analysis.

1. Did you conduct an alternatives analysis for this project? Yes X No _____
- a. If “yes,” provide the date the analysis was completed? 1993 Feasibility Study
(2002 Revision)
- b. If “no,” what is the anticipated date this analysis will be completed? _____
- c. If no analysis is planned, please briefly explain why: _____

2. Alternatives Analysis Results:			
Use the results of your alternatives analysis to complete the following table:			
Alternative Analyzed	Description of Alternative	Risk Adjusted Lifecycle Costs estimate	Risk Adjusted Lifecycle Benefits estimate
Alternative 1	Renovation for D.C. Court of Appeals	Excellent – Most Cost Effective Alternative	Courthouse is an excellent fit for the needs of the Court of Appeals.
Alternative 2	Renovation for Divisions under the Executive Officer	Fair	Building will not accommodate operations. Would result in a split operations.
Alternative 3	Renovation for Civil Division	Fair	Building will not accommodate operations. Would result in a split operations.
Alternative 4	Renovation for Criminal Courts	Poor	Courthouse is an historic structure that cannot accommodate security needs of Criminal Court.

3. Which alternative was selected by the Agency’s Executive/Investment Committee and why was it chosen? Alternative 1 - allows for a separate identity of the Court of Appeals

4. What specific qualitative benefits will be realized? Will allow for the full consolidation of the Family Court in the Moultrie Courthouse

Section B: Risk Management (All Capital Assets)

You should have performed a risk assessment during the early planning and initial concept phase of this investment’s life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment’s life-cycle.

- 1. Does the investment have a Risk Management Plan? Yes X No
 a. If “yes,” what is the date of the plan? Capital Project Plan 2004
 b. Has the Risk Management Plan been significantly changed since last year’s submission to OMB? Yes No X
 c. If “yes,” describe any significant changes: _____

- 2. If there currently is no plan, will a plan be developed? Yes No
 a. If “yes,” what is the planned completion date? _____
 b. If “no,” what is the strategy for managing the risks? _____

3. Briefly describe how investment risks are reflected in the life cycle cost estimate and investment schedule: Research on physical conditions was undertaken as part of the project’s feasibility to assess and estimate the scope of work. Numerous borings have been completed for this project to determine the water table of the site, soil types, and the depth of foundations for the Court of Appeals for the Armed Forces and the Old Courthouse. These investigations assess the risks involved in locating a new underground structure adjacent to these historic buildings and have allowed for mitigation of potential risks.

Section C: Cost and Schedule Performance (All Capital Assets)

EVM is required only on DME portions of investments. For mixed lifecycle investments, O&M milestones should still be included in the table (Comparison of Initial Baseline and Current Approved Baseline). This table should accurately reflect the milestones in the initial baseline, as well as milestones in the current baseline.

- 1. Does the earned value management system meet the criteria in ANSI/EIA Standard – 748? Yes No Yes X No

- 2. Answer the following questions about current cumulative cost and schedule performance. The numbers reported below should reflect current actual information. (Per OMB requirements Cost/Schedule Performance information should include both Government and Contractor Costs):
 - f. What is the Planned Value (PV)? \$32.00 M
 - g. What is the Earned Value (EV)? \$33.56 M
 - h. What is the actual cost of work performed (AC)? \$33.56 M
 - i. What costs are included in the reported Cost/Schedule Performance information (Government Only/Contractor Only/Both)? Both
 - j. “As of” date: July 31, 2007

- 3. What is the calculated Schedule Performance Index (SPI= EV/PV)? 1.05

4. What is the schedule variance (SV = EV-PV)? _____ \$ 1.56 M _____
5. What is the calculated Cost Performance Index (CPI = EV/AC)? _____ 1 _____
6. What is the cost variance (CV = EV-AC)? _____ 0 _____
7. Is the CV% or SV% greater than ± 10%? (CV%= CV/EV x 100; SV%= SV/PV x 100)
- a. If “yes,” was it the? Yes _____ No X
- CV _____
- SV _____
- Both _____
- b. If “yes,” explain the variance: _____
- c. If “yes,” what corrective actions are being taken? _____
- d. What is most current “Estimate at Completion”? _____
8. Have any significant changes been made to the baseline during the past fiscal year? Yes _____ No X
- a. If “yes,” when was it approved by OMB? _____

9. Comparison of Initial Baseline and Current Approved Baseline: Complete the following table to compare actual performance against the current performance baseline and to the initial performance baseline. In the Current Baseline section, for all milestones listed, you should provide both the baseline and actual completion dates (e.g., “03/23/2003”/ “04/28/2004”) and the baseline and actual total costs (in \$ Millions). In the event that a milestone is not found in both the initial and current baseline, leave the associated cells blank. Note that the ‘Description of Milestone’ and ‘Percent Complete’ fields are required. Indicate ‘0’ for any milestone no longer active.

Description of Milestone	Initial Baseline		Current Baseline				Current Baseline Variance		Percent Complete
	Planned Completion Date (mm/dd/yyyy)	Total Cost (\$M) Estimated	Completion Date (mm/dd/yyyy) Planned/Actual		Total Cost (\$M) Planned/ Actual		Schedule/Cost (# days/\$M)		
Design, bid, award the contract, and complete the Old Courthouse Restoration.	Dec. 2008	\$85 million	April 2009	April 2009	\$129	\$129	91	\$44	23%

Part III: For “Operation and maintenance” Investments ONLY (Steady State)
Part III should be completed only for investments identified as “Operations and Maintenance” (Steady State) in response to Question 6 in Part I, Section A above.

Section A: Risk Management (All Capital Assets)

You should have performed a risk assessment during the early planning and initial concept phase of this investment’s life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment’s life-cycle.

- 1. Does the investment have a Risk Management Plan? Yes ___ No ___
 a. If “yes,” what is the date of the plan? _____
 b. Has the Risk Management Plan been significantly changed since last year’s submission to OMB? Yes ___ No ___
 c. If “yes,” describe any significant changes: _____

- 2. If there currently is no plan, will a plan be developed? Yes ___ No ___
 a. If “yes,” what is the planned completion date? _____
 b. If “no,” what is the strategy for managing the risks? _____

Section B: Cost and Schedule Performance (All Capital Assets)

- 1. Was operational analysis conducted? Yes ___ No ___
 a. If “yes,” provide the date the analysis was completed. _____
 b. If “yes,” what were the results? _____
 c. If “no,” please explain why it was not conducted and if there are any plans to conduct operational analysis in the future: _____

2. Complete the following table to compare actual cost performance against the planned cost performance baseline. Milestones reported may include specific individual scheduled preventative and predictable corrective maintenance activities, or may be the total of planned annual operation and maintenance efforts).

a. What costs are included in the reported Cost/Schedule Performance information (Government Only/Contractor Only/Both)? _____

2. b Comparison of Plan vs. Actual Performance Table:					
Description of Milestone	Planned		Actual		Variance
	Completion Date (mm/dd/yyyy)	Total Cost (\$M)	Completion Date (mm/dd/yyyy)	Total Cost (\$M)	Schedule/Cost (# days/\$M)

Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview (All Capital Assets)

1. Date of Submission: September 2007
2. Agency: District of Columbia Courts
3. Bureau: NA
4. Name of this Capital Asset: Moultrie Courthouse – **Annex Renovation for Juvenile Holding**
5. Unique Project (Investment) Identifier: (For IT investment only, see section [53](#). For all other, use agency ID system.) 95-1712
6. What kind of investment will this be in FY2009?
(Please NOTE: Investments moving to O&M ONLY in FY2009, with Planning/Acquisition activities prior to FY2008 should not select O&M. These investments should indicate their current status.)

Planning	_____
Full Acquisition	___ <u>X</u> _____ (fully funded)
Operations and Maintenance	_____
Mixed Life Cycle	_____
E-Gov/LoBOversight	_____

7. What was the first budget year this investment was submitted to OMB? 2005
8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap: The Family Court Act of 2001 requires that the District of Columbia immediately begin establishing an operating Family Court that is separate and physically distinct from the Superior Court. The Moultrie Courthouse Annex renovation is one of a series of capital projects necessary to establish a fully consolidated Family Court. This project is fully coordinated with the long-range recommendations of the D.C. Courts Facilities Master Plan.

The Renovation and Expansion of Juvenile Holding Facilities in the Moultrie Annex will provide new, versatile secure facilities for both Juvenile Detainees and Juveniles-At-Risk. These facilities will eliminate the mixing of the public with Juvenile Detainees, allow the U.S. Marshals Service to improve the separation of prisoner populations between group and single cells, and provide improved holding area layouts and electronic surveillance equipment. Existing holding facilities for Juveniles and Juveniles-At-Risk are sub-standard and under-sized. Holding facilities also are not directly connected to the Moultrie Courthouse prisoner circulation corridors and elevators, which requires Juveniles-At-Risk to be escorted in shackles through public and judicial corridors to reach courtrooms. This situation is neither safe nor secure.

The design for the Renovation and Expansion of Juvenile Holding Facilities in the Moultrie Annex has been developed through extensive interviews and presentations to the D.C. Courts judiciary, the U.S. Marshals Service, and the District’s Department of Youth Rehabilitation Services. The project has been designed in accordance with the U.S. Marshal Service Requirements and Specifications for Engineering, Architecture, Electronics, and Security Hardware.

9. Did the Agency’s Executive/Investment Committee review this request? Yes X No

a. If “yes,” what was the date of this approval? 2005

10. Did the Project Manager review this Exhibit? Yes X No

11. Contact information of Project Manager?

Name Mary Ann Satterthwaite
 Phone Number 202-879-2898
 E-mail satterma2@dcsc.gov

12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project.

Yes X No

a. Will this investment include electronic assets (including computers)?

Yes No X

b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)

Yes No X

1. If “yes,” is an ESPC or UESC being used to help fund this investment? NA

Yes No

2. If “yes,” will this investment meet sustainable design principles? NA

Yes No

3. If “yes,” is it designed to be 30% more energy efficient than relevant code? NA

Yes No

13. Does this investment directly support one of the PMA initiatives? If “yes” check all that apply: NA

- Human Capital
- Budget Performance Integration
- Financial Performance
- Expanded E-Government
- Competitive Sourcing
- Faith Based and Community
- Real Property Asset Management
- Eliminating Improper Payments
- Privatization of Military Housing
- Research & Development Investment Criteria
- Housing & Urban Development Management & Performance
- Broadening Health Insurance Coverage through State Initiatives

“Right Sized” Overseas Presence _____
 Coordination of VA & DoD Programs and Systems _____

a. Briefly describe how this asset directly supports the identified initiative(s)? NA

14. Does this investment support a program assessed using the Program Assessment Rating Tool (PART)? (For more information about the PART, visit www.whitehouse.gov/omb/part.)

Yes X No _____

d. If "yes," does this investment address a weakness found during a PART review?

Yes _____ No X

e. If "yes," what is the name of the PARTed program?

D.C. Courts

f. If "yes," what rating did the PART receive?

Adequate

15. Is this investment for information technology?

Yes _____ No X

If the answer to Question 15 is “Yes,” complete questions 16-23 below. If the answer is “No,” do not answer questions 16-23.

For information technology investments only:

16. What is the level of the IT Project? (per CIO Council PM Guidance)

Level 1 _____

Level 2 _____

Level 3 _____

17. What project management qualifications does the Project Manager have? (per CIO Council PM Guidance)

(1) Project manager has been validated as qualified for this investment _____

(2) Project manager qualification is under review for this investment _____

(3) Project manager assigned to investment, but does not meet requirements _____

(4) Project manager assigned but qualification status review has not yet started _____

(5) No Project manager has yet been assigned to this investment _____

18. Is this investment identified as “high risk” on the Q4 -FY 2006 agency high risk report (per OMB’s “high risk” memo)?

19. Is this a financial management system?

Yes _____ No _____

a. If “yes,” does this investment address a FFMIA compliance area?

Yes _____ No _____

1. If “yes,” which compliance area:

2. If “no,” what does it address?

b. If “yes,” please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A-11 section 52

20. What is the percentage breakout for the total FY2009 funding request for the following?

(This should total 100%)

Hardware _____

Software _____

Services _____

Other _____

21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?

Yes _____
 No _____
 NA _____

22. Contact information of individual responsible for privacy related questions:

Name _____
 Phone Number _____
 Title _____
 E-mail _____

23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration’s approval? Yes _____ No _____

Section B: Summary of Spending (All Capital Assets)

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated “Government FTE Cost,” and should be excluded from the amounts shown for “Planning,” “Full Acquisition,” and “Operation/Maintenance.” The “TOTAL” estimated annual cost of the investment is the sum of costs for “Planning,” “Full Acquisition,” and “Operation/Maintenance.” For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

Table 1: SUMMARY OF SPENDING FOR PROJECT PHASES (REPORTED IN MILLIONS) (Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)									
	PY-1 and earlier	PY 2007	CY 2008	BY 2009	BY+1 2010	BY+2 2011	BY+3 2012	BY+4 and beyond	Total Unfunded
Planning:	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Acquisition :	8.32	3.10	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Subtotal Planning & Acquisition:	8.32	3.10	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Operations & Maintenance:	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
TOTAL:	8.32	3.10	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Government FTE Costs should not be included in the amounts provided above.									
Government FTE Costs									
Number of FTE represented by Costs:									

Note: For the cross-agency investments, this table should include all funding (both managing partner and partner agencies). Government FTE Costs should not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE’s? Yes _____ No X
 a. If “yes,” How many and in what year? _____

3. If the summary of spending has changed from the FY2008 President's budget request, briefly explain those changes: _____

Section C: Acquisition/Contract Strategy (All Capital Assets)

Contracts/Task Orders Table:			
Contract or Task Order Number	2009-01 Juvenile Holding CSP07014		
Type of Contract/Task Order	FIXED		
Has the contract been awarded (Y/N)	YES		
If so what is the date of the award? If not, what is the planned award date?	MARCH 2007		
Start date of Contract/Task Order	MARCH 2007		
End date of Contract/Task Order	DECEMBER 2007		
Is this an Interagency Acquisition? (Y/N)	NO		
Is it performance based? (Y/N)	YES		
Competitively awarded? (Y/N)	YES		
What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A)	NA		
Is EVM in the contract? (Y/N)	YES		
Does the contract include the required security & privacy clauses? (Y/N)	YES		
Name of CO	Joseph E. Sanchez		
CO Contact information (phone/email)	sanchezj@dsc.gov 202-879-2801		
Contracting Officer Certification Level (Level 1, 2, 3, N/A)	NA		
If N/A, has the agency determined the CO assigned has the competencies and skills necessary to support this acquisition? (Y/N)	YES		

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why: _____

3. Do the contracts ensure Section 508 compliance? _____
 Yes _____
 No _____
 N/A _____

a. Explain why:

4. Is there an acquisition plan which has been approved in accordance with agency requirements? Yes X No _____
 a. If "yes," what is the date? 2003
 b. If "no," will an acquisition plan be developed? _____
 1. If "no," briefly explain why: _____

Section D: Performance Information (All Capital Assets)

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency’s mission and strategic goals, and performance measures must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative or qualitative measure.

Agencies must use Table 1 below for reporting performance goals and measures for all non-IT investments and for existing IT investments that were initiated prior to FY 2005. The table can be extended to include measures for years beyond FY 2006.

Performance Information Table 1:					
Fiscal Year	Strategic Goal(s) Supported	Performance Measure	Actual/baseline (from Previous Year)	Planned Performance Metric (Target)	Performance Metric Results (Actual)
2006-2008	Goal 4.1: The Court will provide personnel and court participants with a safe, secure, functional environment.	Construction of a new holding facility in the Moultrie Courthouse to U.S.M.S. standards.	Existing juvenile holding facility has outdated security features.	New secure facility.	NA
2006-2008	Goal 4.1: The Court will provide personnel and court participants with a safe, secure, functional environment.	Decrease in the potential co-mingling of adult and juvenile detainees.	Currently there is the shared use of vertical transportation.	New vertical transportation element.	NA

All new IT investments initiated for FY 2005 and beyond must use Table 2 and are required to use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Please use Table 2 and the PRM to identify the performance information pertaining to this major IT investment. Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for at least four different Measurement Areas (for each fiscal year). The PRM is available at www.egov.gov.

Performance Information Table 2:						
Fiscal Year	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Planned Improvement to the Baseline	Actual Results
2006 - 2008	Mission & Business Results	NA	NA	NA	NA	NA
2006 - 2008	Customer Results	NA	NA	NA	NA	NA
2006 - 2008	Processes & Activities	NA	NA	NA	NA	NA
2006 - 2008	Technology	NA	NA	NA	NA	NA

Section E: Security and Privacy (IT Capital Assets only)
NA – Not Applicable

Section F: Enterprise Architecture (EA) (IT Capital Assets only)
NA – Not Applicable

Part II: Planning, Acquisition And Performance Information

Part II should be completed only for investments identified as “Planning” or “Full Acquisition”, or “Mixed Life-Cycle” investments in response to Question 6 in Part I, Section A above.

Section A: Alternatives Analysis (All Capital Assets)

In selecting the best capital asset, you should identify and consider at least three viable alternatives, in addition to the current baseline, i.e., the status quo. Use OMB Circular A-94 for all investments and the Clinger Cohen Act of 1996 for IT investments to determine the criteria you should use in your Benefit/Cost Analysis.

1. Did you conduct an alternatives analysis for this project? Yes X No
- a. If “yes,” provide the date the analysis was completed? _____
- b. If “no,” what is the anticipated date this analysis will be completed? _____
- c. If no analysis is planned, please briefly explain why: _____

2. Alternatives Analysis Results:			
Use the results of your alternatives analysis to complete the following table:			
Alternative Analyzed	Description of Alternative	Risk Adjusted Lifecycle Costs estimate	Risk Adjusted Lifecycle Benefits estimate
Alternative 1	Juvenile Holding in Moultrie	Most cost effective	Locates Juvenile Holding as part of the consolidated Family Court and reuses existing courtrooms and secure circulation systems in the Moultrie Courthouse.
Alternative 2	Juvenile Holding in Buildings A & B	Not cost effective	Does not locate Juvenile Holding as part of the consolidated Family Court. Would require the complete reconstruction of building circulation systems to accommodate detainee circulation.
Alternative 3	Juvenile Holding in Leased Space	Not feasible	Appropriate leased space not available in close proximity to the Family Court.

3. Which alternative was selected by the Agency’s Executive/Investment Committee and why was it chosen? Alternative 1 - most cost effective with the greatest operational benefit.

4. What specific qualitative benefits will be realized?

Greater security and a decrease in the potential co-mingling of adult and juvenile detainees.

Section B: Risk Management (All Capital Assets)

You should have performed a risk assessment during the early planning and initial concept phase of this investment's life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

1. Does the investment have a Risk Management Plan? Yes X No _____
 a. If "yes," what is the date of the plan? Capital Projects Plan 2004
 b. Has the Risk Management Plan been significantly changed since last year's submission to OMB? Yes _____ No X
 c. If "yes," describe any significant changes: _____
2. If there currently is no plan, will a plan be developed? Yes _____ No _____
 k. If "yes," what is the planned completion date? _____
 l. If "no," what is the strategy for managing the risks? _____

3. Briefly describe how investment risks are reflected in the life cycle cost estimate and investment schedule:

Section C: Cost and Schedule Performance (All Capital Assets)

EVM is required only on DME portions of investments. For mixed lifecycle investments, O&M milestones should still be included in the table (Comparison of Initial Baseline and Current Approved Baseline). This table should accurately reflect the milestones in the initial baseline, as well as milestones in the current baseline.

1. Does the earned value management system meet the criteria in ANSI/EIA Standard – 748? Yes No Yes X No _____
2. Answer the following questions about current cumulative cost and schedule performance. The numbers reported below should reflect current actual information. (Per OMB requirements Cost/Schedule Performance information should include both Government and Contractor Costs):
- a. What is the Planned Value (PV)? \$1 M
 b. What is the Earned Value (EV)? \$1.09 M
 c. What is the actual cost of work performed (AC)? \$1.09 M
 d. What costs are included in the reported Cost/Schedule Performance information (Government Only/Contractor Only/Both)? CO
July 2007
 e. "As of" date: _____
3. What is the calculated Schedule Performance Index (SPI= EV/PV)? 1.09

4. What is the schedule variance (SV = EV-PV)? _____ .09 _____
5. What is the calculated Cost Performance Index (CPI = EV/AC)? _____ 1 _____
_____ 0 _____
6. What is the cost variance (CV = EV-AC)?
7. Is the CV% or SV% greater than ± 10%? (CV%= CV/EV x 100; SV%= SV/PV x 100) Yes _____ No X
 a. If “yes,” was it the? CV _____
SV _____
Both _____
- b. If “yes,” explain the variance: _____
- c. If “yes,” what corrective actions are being taken? _____
- d. What is most current “Estimate at Completion”? _____
8. Have any significant changes been made to the baseline during the past fiscal year? Yes _____ No X
 a. If “yes,” when was it approved by OMB? _____

9. Comparison of Initial Baseline and Current Approved Baseline: Complete the following table to compare actual performance against the current performance baseline and to the initial performance baseline. In the Current Baseline section, for all milestones listed, you should provide both the baseline and actual completion dates (e.g., “03/23/2003”/ “04/28/2004”) and the baseline and actual total costs (in \$ Millions). In the event that a milestone is not found in both the initial and current baseline, leave the associated cells blank. Note that the ‘Description of Milestone’ and ‘Percent Complete’ fields are required. Indicate ‘0’ for any milestone no longer active.

Description of Milestone	Initial Baseline		Current Baseline				Current Baseline Variance		Percent Complete
	Planned Completion Date (mm/dd/yyyy)	Total Cost (\$M) Estimated	Completion Date (mm/dd/yyyy) Planned/Actual		Total Cost (\$M) Planned/ Actual		Schedule/Cost (# days/\$M)		
Design/ Preconstruction	December 2005	\$ 3.9 M	Jan 2007	Jan 2007	\$.7 M	\$.7 M	0	0	100%
Construction	June 2007	\$ 8.1 M	Dec 2008	NA	\$10.7 M	NA	NA	NA	13%

Part III: For “Operation and maintenance” Investments ONLY (Steady State)

Part III should be completed only for investments identified as “Operations and Maintenance” (Steady State) in response to Question 6 in Part I, Section A above.

Section A: Risk Management (All Capital Assets)

You should have performed a risk assessment during the early planning and initial concept phase of this investment’s life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment’s life-cycle.

3. Does the investment have a Risk Management Plan? Yes _____ No _____
 a. If “yes,” what is the date of the plan? _____
 b. Has the Risk Management Plan been significantly _____

changed since last year’s submission to OMB? Yes ____ No ____
 c. If “yes,” describe any significant changes: _____

4. If there currently is no plan, will a plan be developed? Yes ____ No ____
 a. If “yes,” what is the planned completion date? _____
 b. If “no,” what is the strategy for managing the risks? _____

Section B: Cost and Schedule Performance (All Capital Assets)

21. Was operational analysis conducted? Yes ____ No ____
 a. If “yes,” provide the date the analysis was completed. _____
 b. If “yes,” what were the results? _____
 c. If “no,” please explain why it was not conducted and if there are any plans to conduct operational analysis in the future: _____

2. Complete the following table to compare actual cost performance against the planned cost performance baseline. Milestones reported may include specific individual scheduled preventative and predictable corrective maintenance activities, or may be the total of planned annual operation and maintenance efforts).

a. What costs are included in the reported Cost/Schedule Performance information (Government Only/Contractor Only/Both)? _____

2. b Comparison of Plan vs. Actual Performance Table:					
Description of Milestone	Planned		Actual		Variance
	Completion Date (mm/dd/yyyy)	Total Cost (\$M)	Completion Date (mm/dd/yyyy)	Total Cost (\$M)	Schedule/Cost (# days/\$M)

Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview (All Capital Assets)

- 2. Date of Submission: September 2007
- 3. Agency: District of Columbia Courts
- 4. Bureau: NA
- 5. Name of this Capital Asset: **Moultrie Courthouse – C Street Expansion**
- 6. Unique Project (Investment) Identifier: (For IT investment only, see section 53. For all other, use agency ID system.) 95-1712
- 7. What kind of investment will this be in FY2009?
(Please NOTE: Investments moving to O&M ONLY in FY2009, with Planning/Acquisition activities prior to FY2008 should not select O&M. These investments should indicate their current status.)

Planning	_X_
Full Acquisition	_____
Operations and Maintenance	_____
Mixed Life Cycle	_____
E-Gov/LoBOversight	_____

- 8. What was the first budget year this investment was submitted to OMB? 2003
- 9. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap: The project goal is to fully consolidate the Family Court and build upon the work completed as part of the John Marshall Level Interim Renovation, and to meet court wide space needs. This expansion proposes an addition to the Moultrie Courthouse on the south side, facing C Street. This approach is dependent on several projects, including the restoration and expansion of the Old D.C. Courthouse, acquisition of Building C, and existing building renovations. The project is coordinated with the Moultrie Courthouse Renovation and Reorganization and is fully coordinated with the long-range recommendations of the D.C. Courts Facilities Master Plan. This project must be conducted in carefully planned phases to accommodate court operations in the Moultrie Courthouse as the construction proceeds.

To complete the full consolidation required, the D.C. Courts propose expansion of the Moultrie Courthouse. In May 2001, the D.C. Courts and GSA completed their Facilities Master Plan documenting system-wide space needs and addressing alternatives for meeting these needs. In May 2003, the D.C. Courts, with GSA management, completed the “Feasibility Study for the Expansion of the H. Carl Moultrie I Courthouse”.

- 10. Did the Agency’s Executive/Investment Committee review this request? Yes X No ___
 - a. If “yes,” what was the date of this approval? 2003

11. Did the Project Manager review this Exhibit? Yes X No

12. Contact information of Project Manager?
 Name Mary Ann Satterthwaite
 Phone Number 202-879-2898
 E-mail satterma2@dcsc.gov

12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project.

Yes X No

a. Will this investment include electronic assets (including computers)?
 Yes No X

b. Is this investment for new construction or major retrofit of a Federal building or facility?
 (answer applicable to non-IT assets only)

Yes No X

- 1. If "yes," is an ESPC or UESC being used to help fund this investment? NA
 Yes No
- 2. If "yes," will this investment meet sustainable design principles? NA
 Yes No
- 3. If "yes," is it designed to be 30% more energy efficient than relevant code?
 NA
 Yes No

13. Does this investment directly support one of the PMA initiatives? If "yes" check all that apply: NA

- Human Capital
- Budget Performance Integration
- Financial Performance
- Expanded E-Government
- Competitive Sourcing
- Faith Based and Community
- Real Property Asset Management
- Eliminating Improper Payments
- Privatization of Military Housing
- Research & Development Investment Criteria
- Housing & Urban Development Management & Performance
- Broadening Health Insurance Coverage through State Initiatives
- "Right Sized" Overseas Presence
- Coordination of VA & DoD Programs and Systems

a. Briefly describe how this asset directly supports the identified initiative(s)? NA

14. Does this investment support a program assessed using the Program Assessment Rating Tool (PART)? (For more information about the PART, visit www.whitehouse.gov/omb/part.)

Yes X No

a. If "yes," does this investment address a weakness found

- during a PART review? Yes _____ No X
- b. If "yes," what is the name of the PARTed program? D.C. Courts
- c. If "yes," what rating did the PART receive? Adequate

15. Is this investment for information technology? Yes _____ No X

If the answer to Question 15 is "Yes," complete questions 16-23 below. If the answer is "No," do not answer questions 16-23.

For information technology investments only:

16. What is the level of the IT Project? (per CIO Council PM Guidance)

- Level 1 _____
- Level 2 _____
- Level 3 _____

17. What project management qualifications does the Project Manager have? (per CIO Council PM Guidance)

- (1) Project manager has been validated as qualified for this investment _____
- (2) Project manager qualification is under review for this investment _____
- (3) Project manager assigned to investment, but does not meet requirements _____
- (4) Project manager assigned but qualification status review has not yet started _____
- (5) No Project manager has yet been assigned to this investment _____

18. Is this investment identified as "high risk" on the Q4 -FY 2006 agency high risk report (per OMB's "high risk" memo)?

19. Is this a financial management system? Yes _____ No _____
- a. If "yes," does this investment address a FFMIA compliance area? Yes _____ No _____
1. If "yes," which compliance area: _____
2. If "no," what does it address? _____
- b. If "yes," please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A-11 section 52 _____

20. What is the percentage breakout for the total FY2009 funding request for the following?
(This should total 100%)

- Hardware _____
- Software _____
- Services _____
- Other _____

21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?

- Yes _____
- No _____
- NA _____

22. Contact information of individual responsible for privacy related questions:

Name _____

Phone Number _____
 Title _____
 E-mail _____

23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration’s approval? Yes _____ No _____

Section B: Summary of Spending (All Capital Assets)

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated “Government FTE Cost,” and should be excluded from the amounts shown for “Planning,” “Full Acquisition,” and “Operation/Maintenance.” The “TOTAL” estimated annual cost of the investment is the sum of costs for “Planning,” “Full Acquisition,” and “Operation/Maintenance.” For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

Table 1: SUMMARY OF SPENDING FOR PROJECT PHASES (REPORTED IN MILLIONS) (Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)									
	PY-1 and earlier	PY 2007	CY 2008	BY 2009	BY+1 2010	BY+2 2011	BY+3 2012	BY+4 and beyond	Total Unfunded
Planning:	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Acquisition :	0.00	0.00	0.00	0.00	9.54	68.90	0.00	0.00	78.44
Subtotal Planning & Acquisition:	0.00	0.00	0.00	0.00	9.54	68.90	0.00	0.00	78.44
Operations & Maintenance:	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
TOTAL:	0.00	0.00	0.00	0.00	9.54	68.90	0.00	0.00	78.44
Government FTE Costs should not be included in the amounts provided above.									
Government FTE Costs									
Number of FTE represented by Costs:									

Note: For the cross-agency investments, this table should include all funding (both managing partner and partner agencies). Government FTE Costs should not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE’s? Yes _____ No X
 a. If “yes,” How many and in what year? _____
 3. If the summary of spending has changed from the FY2008 President’s budget request, briefly explain those changes: _____

Section C: Acquisition/Contract Strategy (All Capital Assets)

Contracts/Task Orders Table:			
Contract or Task Order Number	2009-01 Design & Preconstruction		
Type of Contract/Task Order	Fixed		
Has the contract been awarded (Y/N)	No		
If so what is the date of the award? If not, what is the planned award date?	FY 2010		
Start date of Contract/Task Order	FY 2010		
End date of Contract/Task Order	FY 2011		
Is this an Interagency Acquisition? (Y/N)	No		
Is it performance based? (Y/N)	Yes		
Competitively awarded? (Y/N)	Yes		
What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A)	NA		
Is EVM in the contract? (Y/N)	Yes		
Does the contract include the required security & privacy clauses? (Y/N)	Yes		
Name of CO	Joseph E. Sanchez		
CO Contact information (phone/email)	sanchezj@dsc.gov 202-879-2801		
Contracting Officer Certification Level (Level 1, 2, 3, N/A)	NA		
If N/A, has the agency determined the CO assigned has the competencies and skills necessary to support this acquisition? (Y/N)	YES		

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why: _____

3. Do the contracts ensure Section 508 compliance?
 Yes _____
 No X
 N/A _____

a. Explain why:

4. Is there an acquisition plan which has been approved in accordance with agency requirements?
 a. If “yes,” what is the date?
 b. If “no,” will an acquisition plan be developed?
 1. If “no,” briefly explain why:

Yes X No
 2003

Section D: Performance Information (All Capital Assets)

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency’s mission and strategic goals, and performance measures must be provided. These goals need to map to the gap in the agency’s strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative or qualitative measure.

Agencies must use Table 1 below for reporting performance goals and measures for all non-IT investments and for existing IT investments that were initiated prior to FY 2005. The table can be extended to include measures for years beyond FY 2006.

Performance Information Table 1:					
Fiscal Year	Strategic Goal(s) Supported	Performance Measure	Actual/baseline (from Previous Year)	Planned performance Metric (Target)	Performance Metric Results (Actual)

All new IT investments initiated for FY 2005 and beyond must use Table 2 and are required to use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Please use Table 2 and the PRM to identify the performance information pertaining to this major IT investment. Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for at least four different Measurement Areas (for each fiscal year). The PRM is available at www.egov.gov.

Performance Information Table 2:						
Fiscal Year	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Planned Improvement to the Baseline	Actual Results
2006 - 2008	Mission & Business Results	NA	NA	NA	NA	NA
2006 - 2008	Customer Results	NA	NA	NA	NA	NA
2006 - 2008	Processes & Activities	NA	NA	NA	NA	NA
2006 - 2008	Technology	NA	NA	NA	NA	NA

Section E: Security and Privacy (IT Capital Assets only)
NA – Not Applicable

Section F: Enterprise Architecture (EA) (IT Capital Assets only)
NA – Not Applicable

Part II: Planning, Acquisition And Performance Information
Part II should be completed only for investments identified as “Planning” or “Full Acquisition”, or “Mixed Life-Cycle” investments in response to Question 6 in Part I, Section A above.

Section A: Alternatives Analysis (All Capital Assets)

In selecting the best capital asset, you should identify and consider at least three viable alternatives, in addition to the current baseline, i.e., the status quo. Use OMB Circular A-94 for all investments and the Clinger Cohen Act of 1996 for IT investments to determine the criteria you should use in your Benefit/Cost Analysis.

- | | |
|---|------------------------------------|
| 1. Did you conduct an alternatives analysis for this project? | Yes <u> X </u> No <u> </u> |
| 2. If “yes,” provide the date the analysis was completed? | <u>2002 Facilities Master Plan</u> |
| 3. If “no,” what is the anticipated date this analysis will be completed? | _____ |
| 4. If no analysis is planned, please briefly explain why: | _____ |

2. Alternatives Analysis Results:			
Use the results of your alternatives analysis to complete the following table:			
Alternative Analyzed	Description of Alternative	Risk Adjusted Lifecycle Costs estimate	Risk Adjusted Lifecycle Benefits estimate
Alternative 1	Consolidating the Family Court within the Moultrie Courthouse – The D.C. Courts are proceeding with this alternative because it has the greatest quantitative as well as qualitative return on investment. An underlying assumption of this alternative includes the use of existing courtrooms and circulation systems within the Moultrie Courthouse.	Best Cost Effectiveness	Using and augmenting existing resources has a major cost benefit, made even greater by the high cost of constructing new specialized Court facilities.
Alternative 2	<u>Consolidating the Family Court in other Court Buildings</u> – Court Buildings A and B were considered for the consolidation of the Family Court. This alternative was not chosen for a number of reasons. Neither building is large enough to house the full Family Court and would require the physical split of functions between the two buildings. Judiciary Square is an historic open space, and the National Law Enforcement Memorial occupies the major public space between the two buildings.	Fair Cost Effectiveness	Above ground linkages are not possible given this context. Below grade, the Metro’s red line runs between the two buildings, creating a major obstacle to below grade connections. In addition, these buildings were designed during an era when security was not central to courthouse design and thus they are not up to contemporary standards. Transport of inmates would be highly problematic.

Alternative 3	<u>Consolidating the Family Court in new space</u> - Investigation has indicated that not enough significant blocks of space capable of accommodating the new Family Court in its entirety are immediately available for purchase within close proximity of Judiciary Square.	Unfeasible	Leasing of space for the Family Court would be costly and would require a major infrastructure and security investment by the Courts. This alternative did not have long-term cost benefits for the Courts.
---------------	---	------------	---

3. Which alternative was selected by the Agency’s Executive/Investment Committee and why was it chosen? Alternative 1

4. What specific qualitative benefits will be realized? Re-Use of existing infrastructure and consolidation of operations.

Section B: Risk Management (All Capital Assets)

You should have performed a risk assessment during the early planning and initial concept phase of this investment’s life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment’s life-cycle.

1. Does the investment have a Risk Management Plan? Yes X No
 a. If “yes,” what is the date of the plan? Capitol Projects Plan 2004
 b. Has the Risk Management Plan been significantly changed since last year’s submission to OMB? Yes No X
 c. If “yes,” describe any significant changes: _____

2. If there currently is no plan, will a plan be developed? Yes No
 f. If “yes,” what is the planned completion date? _____
 g. If “no,” what is the strategy for managing the risks? _____

3. Briefly describe how investment risks are reflected in the life cycle cost estimate and investment schedule:

Section C: Cost and Schedule Performance (All Capital Assets)

EVM is required only on DME portions of investments. For mixed lifecycle investments, O&M milestones should still be included in the table (Comparison of Initial Baseline and Current Approved Baseline). This table should accurately reflect the milestones in the initial baseline, as well as milestones in the current baseline.

1. Does the earned value management system meet the criteria in ANSI/EIA Standard – 748? Yes No Yes X No

2. Answer the following questions about current cumulative cost and schedule performance. The numbers reported below should reflect current actual information. (Per OMB requirements Cost/Schedule Performance information should include both Government and Contractor Costs):

a. What is the Planned Value (PV)? _____

- b. What is the Earned Value (EV)? _____
 - c. What is the actual cost of work performed (AC)? _____
 - d. What costs are included in the reported Cost/Schedule Performance information (Government Only/Contractor Only/Both)? _____
 - e. "As of" date: _____
3. What is the calculated Schedule Performance Index (SPI= EV/PV)? _____
4. What is the schedule variance (SV = EV-PV)? _____
5. What is the calculated Cost Performance Index (CPI = EV/AC)? _____
6. What is the cost variance (CV = EV-AC)? _____
7. Is the CV% or SV% greater than ± 10%? (CV%= CV/EV x 100; SV%= SV/PV x 100)
- a. If "yes," was it the?

Yes _____	No _____
CV _____	_____
SV _____	_____
Both _____	_____
 - b. If "yes," explain the variance: _____
 - c. If "yes," what corrective actions are being taken? _____
 - d. What is most current "Estimate at Completion"? _____
8. Have any significant changes been made to the baseline during the past fiscal year? Yes _____ No _____
- a. If "yes," when was it approved by OMB? _____

9. Comparison of Initial Baseline and Current Approved Baseline: Complete the following table to compare actual performance against the current performance baseline and to the initial performance baseline. In the Current Baseline section, for all milestones listed, you should provide both the baseline and actual completion dates (e.g., "03/23/2003"/ "04/28/2004") and the baseline and actual total costs (in \$ Millions). In the event that a milestone is not found in both the initial and current baseline, leave the associated cells blank. Note that the 'Description of Milestone' and 'Percent Complete' fields are required. Indicate '0' for any milestone no longer active.

Description of Milestone	Initial Baseline		Current Baseline		Current Baseline Variance		Percent Complete
	Planned Completion Date (mm/dd/yyyy)	Total Cost (\$M) Estimated	Completion Date (mm/dd/yyyy) Planned/Actual	Total Cost (\$M) Planned/ Actual	Schedule/Cost (# days/\$M)		
Design	July 2011	\$ 9 M					

Part III: For “Operation and maintenance” Investments ONLY (Steady State)
Part III should be completed only for investments identified as “Operations and Maintenance” (Steady State) in response to Question 6 in Part I, Section A above.

Section A: Risk Management (All Capital Assets)

You should have performed a risk assessment during the early planning and initial concept phase of this investment’s life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment’s life-cycle.

1. Does the investment have a Risk Management Plan? Yes ___ No ___
 a. If “yes,” what is the date of the plan? _____
 b. Has the Risk Management Plan been significantly changed since last year’s submission to OMB? Yes ___ No ___
 c. If “yes,” describe any significant changes: _____

2. If there currently is no plan, will a plan be developed? Yes ___ No ___
 a. If “yes,” what is the planned completion date? _____
 b. If “no,” what is the strategy for managing the risks? _____

Section B: Cost and Schedule Performance (All Capital Assets)

1. Was operational analysis conducted? Yes ___ No ___
 a. If “yes,” provide the date the analysis was completed. _____
 b. If “yes,” what were the results? _____
 c. If “no,” please explain why it was not conducted and if there are any plans to conduct operational analysis in the future: _____

2. Complete the following table to compare actual cost performance against the planned cost performance baseline. Milestones reported may include specific individual scheduled preventative and predictable corrective maintenance activities, or may be the total of planned annual operation and maintenance efforts).

a. What costs are included in the reported Cost/Schedule Performance information (Government Only/Contractor Only/Both)? _____

2. b Comparison of Plan vs. Actual Performance Table:					
Description of Milestone	Planned		Actual		Variance
	Completion Date (mm/dd/yyyy)	Total Cost (\$M)	Completion Date (mm/dd/yyyy)	Total Cost (\$M)	Schedule/Cost (# days/\$M)

Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview (All Capital Assets)

- 1. Date of Submission: September 2007
- 2. Agency: District of Columbia Courts
- 3. Bureau: NA
- 4. Name of this Capital Asset: **Building A Modernization**
- 5. Unique Project (Investment) Identifier: (For IT investment only, see section 53. For all other, use agency ID system.) 95-1712

6. What kind of investment will this be in FY2009?
 (Please NOTE: Investments moving to O&M ONLY in FY2009, with Planning/Acquisition activities prior to FY2008 should not select O&M. These investments should indicate their current status.)

Planning	_____
Full Acquisition	_____ <u>X</u> (fully funded)
Operations and Maintenance	_____
Mixed Life Cycle	_____
E-Gov/LoBOversight	_____

- 7. What was the first budget year this investment was submitted to OMB? 2003
- 8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap: This Project is one of a series of capital projects necessary to establish a fully consolidated Family Court. Building A was constructed in the 1930’s following a Congressional appropriation. The Building A interior renovation was complete and occupied in July 2007 on time and within budget. The Building A exterior renovation is in the planning phase. The exterior work will include masonry restoration, masonry cleaning, refurbishment of the existing windows to improve energy efficiency, entry modifications to provide ADA accessibility, improved lighting and upgrade of the security perimeter. This project is fully coordinated with the long-range recommendations of the D.C. Courts Facilities Master Plan and the Judiciary Square Master Plan.

9. Did the Agency’s Executive/Investment Committee review this request? Yes X No ___
 a. If “yes,” what was the date of this approval? 2003

10. Did the Project Manager review this Exhibit? Yes X No ___

11. Contact information of Project Manager?
 Name Mary Ann Satterthwaite
 Phone Number 202-879-2898
 E-mail satterma2@dcsc.gov

12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project.

Yes X No

a. Will this investment include electronic assets (including computers)?

Yes No X

b. Is this investment for new construction or major retrofit of a Federal building or facility?
(answer applicable to non-IT assets only)

Yes No X

1. If "yes," is an ESPC or UESC being used to help fund this investment? NA

Yes No

2. If "yes," will this investment meet sustainable design principles? NA

Yes No

3. If "yes," is it designed to be 30% more energy efficient than relevant code? NA

Yes No

13. Does this investment directly support one of the PMA initiatives? If "yes" check all that apply: NA

- Human Capital
- Budget Performance Integration
- Financial Performance
- Expanded E-Government
- Competitive Sourcing
- Faith Based and Community
- Real Property Asset Management
- Eliminating Improper Payments
- Privatization of Military Housing
- Research & Development Investment Criteria
- Housing & Urban Development Management & Performance
- Broadening Health Insurance Coverage through State Initiatives
- "Right Sized" Overseas Presence
- Coordination of VA & DoD Programs and Systems

a. Briefly describe how this asset directly supports the identified initiative(s)? NA

14. Does this investment support a program assessed using the Program Assessment Rating Tool (PART)? (For more information about the PART, visit www.whitehouse.gov/omb/part.)

Yes X No

a. If "yes," does this investment address a weakness found during a PART review?

Yes No X

d. If "yes," what is the name of the PARTed program?

D.C. Courts

e. If "yes," what rating did the PART receive?

Adequate

15. Is this investment for information technology?

Yes No X

If the answer to Question 15 is "Yes," complete questions 16-23 below. If the answer is "No," do not answer questions 16-23.

For information technology investments only:

16. What is the level of the IT Project? (per CIO Council PM Guidance)

Level 1 _____
 Level 2 _____
 Level 3 _____

17. What project management qualifications does the Project Manager have? (per CIO Council PM Guidance)

- (1) Project manager has been validated as qualified for this investment _____
- (2) Project manager qualification is under review for this investment _____
- (3) Project manager assigned to investment, but does not meet requirements _____
- (4) Project manager assigned but qualification status review has not yet started _____
- (5) No Project manager has yet been assigned to this investment _____

18. Is this investment identified as “high risk” on the Q4 -FY 2006 agency high risk report (per OMB’s ‘high risk’ memo)?

19. Is this a financial management system? Yes _____ No _____
- a. If “yes,” does this investment address a FFMIA compliance area? Yes _____ No _____
- 1. If “yes,” which compliance area: _____
 - 2. If “no,” what does it address? _____
- b. If “yes,” please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A-11 section 52 _____

20. What is the percentage breakout for the total FY2009 funding request for the following?
 (This should total 100%)

Hardware _____
 Software _____
 Services _____
 Other _____

21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?

Yes _____
 No _____
 NA _____

22. Contact information of individual responsible for privacy related questions:

Name _____
 Phone Number _____
 Title _____
 E-mail _____

23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration’s approval? Yes _____ No _____

Section B: Summary of Spending (All Capital Assets)

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The "TOTAL" estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

Table 1: SUMMARY OF SPENDING FOR PROJECT PHASES (REPORTED IN MILLIONS) (Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)									
	PY-1 and earlier	PY 2007	CY 2008	BY 2009	BY+1 2010	BY+2 2011	BY+3 2012	BY+4 and beyond	Total Unfunded
Planning:	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Acquisition :	9.62	11.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Subtotal Planning & Acquisition:	9.62	11.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Operations & Maintenance:	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
TOTAL:	9.62	11.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Government FTE Costs should not be included in the amounts provided above.									
Government FTE Costs									
Number of FTE represented by Costs:									

Note: For the cross-agency investments, this table should include all funding (both managing partner and partner agencies). Government FTE Costs should not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE's? Yes _____ No X
 a. If "yes," How many and in what year? _____
3. If the summary of spending has changed from the FY2008 President's budget request, briefly explain those changes: _____

Section C: Acquisition/Contract Strategy (All Capital Assets)

Contracts/Task Orders Table:			
Contract or Task Order Number	2009-01 Building A CSP-05-039		
Type of Contract/Task Order	Fixed		
Has the contract been awarded (Y/N)	Yes		
If so what is the date of the award? If not, what is the planned award date?	September 2005		
Start date of Contract/Task Order	September 2005		
End date of Contract/Task Order	December 2007		
Is this an Interagency Acquisition? (Y/N)	No		
Is it performance based? (Y/N)	Yes		
Competitively awarded? (Y/N)	Yes		
What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A)	NA		
Is EVM in the contract? (Y/N)	Yes		
Does the contract include the required security & privacy clauses? (Y/N)	Yes		
Name of CO	Joseph E. Sanchez		
CO Contact information (phone/email)	sanchezj@dsc.gov 202-879-2801		
Contracting Officer Certification Level (Level 1, 2, 3, N/A)	NA		
If N/A, has the agency determined the CO assigned has the competencies and skills necessary to support this acquisition? (Y/N)	Yes		

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why: _____

3. Do the contracts ensure Section 508 compliance? Yes _____
 No X _____
 N/A _____

a. Explain why:

4. Is there an acquisition plan which has been approved in accordance with agency requirements?

Yes X No
 2003

a. If "yes," what is the date?

b. If "no," will an acquisition plan be developed?

1. If "no," briefly explain why:

Section D: Performance Information (All Capital Assets)

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative or qualitative measure.

Agencies must use Table 1 below for reporting performance goals and measures for all non-IT investments and for existing IT investments that were initiated prior to FY 2005. The table can be extended to include measures for years beyond FY 2006.

Performance Information Table 1:					
Fiscal Year	Strategic Goal(s) Supported	Performance Measure	Actual/baseline (from Previous Year)	Planned performance Metric (Target)	Performance Metric Results (Actual)
2007	Goal 4.1: The Court will provide personnel and court participants with a safe, secure, functional environment.	Relocate the Probate Division to Building A in order to further consolidate Family Court functions and more adequately meet the needs of the District's residents.	Under construction and on schedule.	Upgrade of 60,000 OSF of Class C office space into Class A office space and reclamation of existing courtrooms for civil proceedings.	Construction completed on time and on budget with all 60,000 OSF of space upgraded, occupied and functional.
2008	Goal 4.1: The Court will provide personnel and court participants with a safe, secure, functional environment.	Exterior building restoration to maintain the building façade, improve energy efficiency, provide accessibility and improve safety.	Building has suffered from deferred maintenance. Windows and doors are in a severe state of deterioration. Building masonry requires re-pointing and cleaning.	The exterior work will include masonry restoration, masonry cleaning, refurbishment of the existing windows to improve energy efficiency, entry modifications to provide ADA accessibility, improved lighting and upgrade of the security perimeter.	NA

All new IT investments initiated for FY 2005 and beyond must use Table 2 and are required to use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Please use Table 2 and the PRM to identify the performance information pertaining to this major IT investment. Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping"

identified in the PRM. There should be at least one Measurement Indicator for at least four different Measurement Areas (for each fiscal year). The PRM is available at www.egov.gov.

Performance Information Table 2:						
Fiscal Year	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Planned Improvement to the Baseline	Actual Results
2006 - 2008	Mission & Business Results	NA	NA	NA	NA	NA
2006 - 2008	Customer Results	NA	NA	NA	NA	NA
2006 - 2008	Processes & Activities	NA	NA	NA	NA	NA
2006 - 2008	Technology	NA	NA	NA	NA	NA

Section E: Security and Privacy (IT Capital Assets only)
NA – Not Applicable

Section F: Enterprise Architecture (EA) (IT Capital Assets only)
NA – Not Applicable

Part II: Planning, Acquisition And Performance Information

Part II should be completed only for investments identified as “Planning” or “Full Acquisition”, or “Mixed Life-Cycle” investments in response to Question 6 in Part I, Section A above.

Section A: Alternatives Analysis (All Capital Assets)

In selecting the best capital asset, you should identify and consider at least three viable alternatives, in addition to the current baseline, i.e., the status quo. Use OMB Circular A-94 for all investments and the Clinger Cohen Act of 1996 for IT investments to determine the criteria you should use in your Benefit/Cost Analysis.

1. Did you conduct an alternatives analysis for this project? Yes _____ No _____
- a. If “yes,” provide the date the analysis was completed? _____
 - b. If “no,” what is the anticipated date this analysis will be completed? _____
 - c. If no analysis is planned, please briefly explain why: _____

2. Alternatives Analysis Results:
 Use the results of your alternatives analysis to complete the following table:

Alternative Analyzed	Description of Alternative	Risk Adjusted Lifecycle Costs estimate	Risk Adjusted Lifecycle Benefits estimate

3. Which alternative was selected by the Agency’s Executive/Investment Committee and why was it chosen? _____

4. What specific qualitative benefits will be realized?

Section B: Risk Management (All Capital Assets)

You should have performed a risk assessment during the early planning and initial concept phase of this investment’s life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment’s life-cycle.

1. Does the investment have a Risk Management Plan? Yes X No _____
 a. If “yes,” what is the date of the plan? Capital Projects Plan
 b. Has the Risk Management Plan been significantly changed since last year’s submission to OMB? Yes _____ No X
 c. If “yes,” describe any significant changes: _____

2. If there currently is no plan, will a plan be developed? Yes _____ No _____
 a. If “yes,” what is the planned completion date? _____
 b. If “no,” what is the strategy for managing the risks? _____

3. Briefly describe how investment risks are reflected in the life cycle cost estimate and investment schedule:

Section C: Cost and Schedule Performance (All Capital Assets)

EVM is required only on DME portions of investments. For mixed lifecycle investments, O&M milestones should still be included in the table (Comparison of Initial Baseline and Current Approved Baseline). This table should accurately reflect the milestones in the initial baseline, as well as milestones in the current baseline.

1. Does the earned value management system meet the criteria in ANSI/EIA Standard – 748? Yes No Yes X No _____

2. Answer the following questions about current cumulative cost and schedule performance. The numbers reported below should reflect current actual information. (Per OMB requirements Cost/Schedule Performance information should include both Government and Contractor Costs):

- a. What is the Planned Value (PV)? _____
- b. What is the Earned Value (EV)? _____
- c. What is the actual cost of work performed (AC)? _____
- d. What costs are included in the reported Cost/Schedule Performance information (Government _____)

9. Comparison of Initial Baseline and Current Approved Baseline: Complete the following table to compare actual performance against the current performance baseline and to the initial performance baseline. In the Current Baseline section, for all milestones listed, you should provide both the baseline and actual completion dates (e.g., “03/23/2003”/ “04/28/2004”) and the baseline and actual total costs (in \$ Millions). In the event that a milestone is not found in both the initial and current baseline, leave the associated cells blank. Note that the ‘Description of Milestone’ and ‘Percent Complete’ fields are required. Indicate ‘0’ for any milestone no longer active.

Only/Contractor Only/Both)? _____

e. “As of” date: _____

3. What is the calculated Schedule Performance Index (SPI= EV/PV)? _____

4. What is the schedule variance (SV = EV-PV)? _____

5. What is the calculated Cost Performance Index (CPI = EV/AC)? _____

6. What is the cost variance (CV = EV-AC)? _____

7. Is the CV% or SV% greater than ± 10%? (CV%= CV/EV x 100; SV%= SV/PV x 100)

a. If “yes,” was it the? Yes _____ No _____
 CV _____
 SV _____
 Both _____

b. If “yes,” explain the variance: _____

c. If “yes,” what corrective actions are being taken? _____

d. What is most current “Estimate at Completion”? _____

8. Have any significant changes been made to the baseline during the past fiscal year? Yes _____ No _____

a. If “yes,” when was it approved by OMB? _____

9. Comparison of Initial Baseline and Current Approved Baseline: Complete the following table to compare actual performance against the current performance baseline and to the initial performance baseline. In the Current Baseline section, for all milestones listed, you should provide both the baseline and actual completion dates (e.g., “03/23/2003”/ “04/28/2004”) and the baseline and actual total costs (in \$ Millions). In the event that a milestone is not found in both the initial and current baseline, leave the associated cells blank. Note that the ‘Description of Milestone’ and ‘Percent Complete’ fields are required. Indicate ‘0’ for any milestone no longer active.

Description of Milestone	Initial Baseline		Current Baseline				Current Baseline Variance		Percent Complete
	Planned Completion Date (mm/dd/yyyy)	Total Cost (\$M) Estimated	Completion Date (mm/dd/yyyy) Planned/Actual		Total Cost (\$M) Planned/ Actual		Schedule/Cost (# days/\$M)		
Construction completion	Sept. 2006	\$21 M	Dec 2007	July 2007	\$19 M	\$18.95 M	- 6 months	- 0.05 M	100%

Part III: For “Operation and maintenance” Investments ONLY (Steady State)
Part III should be completed only for investments identified as “Operations and Maintenance” (Steady State) in response to Question 6 in Part I, Section A above.

Section A: Risk Management (All Capital Assets)

You should have performed a risk assessment during the early planning and initial concept phase of this investment’s life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment’s life-cycle.

- 1. Does the investment have a Risk Management Plan? Yes ___ No ___
 a. If “yes,” what is the date of the plan? _____
 b. Has the Risk Management Plan been significantly changed since last year’s submission to OMB? Yes ___ No ___
 c. If “yes,” describe any significant changes: _____

- 2. If there currently is no plan, will a plan be developed? Yes ___ No ___
 a. If “yes,” what is the planned completion date? _____
 b. If “no,” what is the strategy for managing the risks? _____

Section B: Cost and Schedule Performance (All Capital Assets)

- 1. Was operational analysis conducted? Yes ___ No ___
 a. If “yes,” provide the date the analysis was completed. _____
 b. If “yes,” what were the results? _____
 c. If “no,” please explain why it was not conducted and if there are any plans to conduct operational analysis in the future: _____

2. Complete the following table to compare actual cost performance against the planned cost performance baseline. Milestones reported may include specific individual scheduled preventative and predictable corrective maintenance activities, or may be the total of planned annual operation and maintenance efforts).

a. What costs are included in the reported Cost/Schedule Performance information (Government Only/Contractor Only/Both)? _____

2. b Comparison of Plan vs. Actual Performance Table:

Description of Milestone	Planned		Actual		Variance	
	Completion Date (mm/dd/yyyy)	Total Cost (\$M)	Completion Date (mm/dd/yyyy)	Total Cost (\$M)	Schedule/Cost (# days/\$M)	

Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview (All Capital Assets)

1. Date of Submission: September 2007
2. Agency: District of Columbia Courts
3. Bureau: NA
4. Name of this Capital Asset: **Building B Modernization**
5. Unique Project (Investment) Identifier: (For IT investment only, see section 53. For all other, use agency ID system.) 95-1712
6. What kind of investment will this be in FY2009?
(Please NOTE: Investments moving to O&M ONLY in FY2009, with Planning/Acquisition activities prior to FY2008 should not select O&M. These investments should indicate their current status.)

Planning	_____
Full Acquisition	_____ X _____
Operations and Maintenance	_____
Mixed Life Cycle	_____
E-Gov/LoBOversight	_____

7. What was the first budget year this investment was submitted to OMB? 2007
8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap: The Building B Modernization Project is a long-term project that includes three phases of work. Phases I and II, which were completed as part of the implementation of the Family Court Act, addressed construction necessary to consolidate the public face of the Family Court: the relocation of Small Claims Court and Landlord Tenant Court and upgrading of building systems, and interior reconfiguration. Phase III will address final occupancy fit out and relocation of Budget and Finance from leased swing space. These renovations respond to the Family Court Act of 2001, and are fully coordinated with the long-range recommendations of the D.C. Courts' Facilities Master Plan.
9. Did the Agency's Executive/Investment Committee review this request? Yes X No
 - a. If "yes," what was the date of this approval? 2006
10. Did the Project Manager review this Exhibit? Yes X No
11. Contact information of Project Manager?

Name	<u> Mary Ann Satterthwaite </u>
Phone Number	<u> 202-879-2898 </u>
E-mail	<u> satterma2@dcsc.gov </u>

12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project.

- Yes X No
- a. Will this investment include electronic assets (including computers)?
- Yes No X
- b. Is this investment for new construction or major retrofit of a Federal building or facility?
(answer applicable to non-IT assets only)
- Yes No X
1. If “yes,” is an ESPC or UESC being used to help fund this investment? NA
- Yes No
2. If “yes,” will this investment meet sustainable design principles? NA
- Yes No
3. If “yes,” is it designed to be 30% more energy efficient than relevant code? NA
- Yes No

13. Does this investment directly support one of the PMA initiatives? If “yes” check all that apply: NA

- Human Capital _____
- Budget Performance Integration _____
- Financial Performance _____
- Expanded E-Government _____
- Competitive Sourcing _____
- Faith Based and Community _____
- Real Property Asset Management _____
- Eliminating Improper Payments _____
- Privatization of Military Housing _____
- Research & Development Investment Criteria _____
- Housing & Urban Development Management & Performance _____
- Broadening Health Insurance Coverage through State Initiatives _____
- “Right Sized” Overseas Presence _____
- Coordination of VA & DoD Programs and Systems _____

b. Briefly describe how this asset directly supports the identified initiative(s)? The Building B modernization supports the PMA initiative by temporarily being used as a Courts owned swing space for Social Services, then as a permanent space for some Administrative Services functions.

14. Does this investment support a program assessed using the Program Assessment Rating Tool (PART)? (For more information about the PART, visit www.whitehouse.gov/omb/part.)

- Yes X No
2. If "yes," does this investment address a weakness found during a PART review? Yes No X
3. If "yes," what is the name of the PARTed program? D.C. Courts
- If "yes," what rating did the PART receive? Adequate
15. Is this investment for information technology? Yes No X

If the answer to Question 15 is “Yes,” complete questions 16-23 below. If the answer is “No,” do not answer questions 16-23.

For information technology investments only:

16. What is the level of the IT Project? (per CIO Council PM Guidance)

Level 1 _____
 Level 2 _____
 Level 3 _____

17. What project management qualifications does the Project Manager have? (per CIO Council PM Guidance)

- (1) Project manager has been validated as qualified for this investment _____
- (2) Project manager qualification is under review for this investment _____
- (3) Project manager assigned to investment, but does not meet requirements _____
- (4) Project manager assigned but qualification status review has not yet started _____
- (5) No Project manager has yet been assigned to this investment _____

18. Is this investment identified as “high risk” on the Q4 -FY 2006 agency high risk report (per OMB’s ‘high risk’ memo)?

19. Is this a financial management system? Yes _____ No _____
- a. If “yes,” does this investment address a FFMIA compliance area? Yes _____ No _____
- 1. If “yes,” which compliance area: _____
 - 2. If “no,” what does it address? _____
- b. If “yes,” please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A-11 section 52 _____

20. What is the percentage breakout for the total FY2009 funding request for the following?
 (This should total 100%)

Hardware _____
 Software _____
 Services _____
 Other _____

21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?

Yes _____
 No _____
 NA _____

22. Contact information of individual responsible for privacy related questions:

Name _____
 Phone Number _____
 Title _____
 E-mail _____

23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration’s approval? Yes _____ No _____

Section B: Summary of Spending (All Capital Assets)

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated “Government FTE Cost,” and should be excluded from the amounts shown for “Planning,” “Full Acquisition,” and “Operation/Maintenance.” The “TOTAL” estimated annual cost of the investment is the sum of costs for “Planning,” “Full Acquisition,” and “Operation/Maintenance.” For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

Table 1: SUMMARY OF SPENDING FOR PROJECT PHASES (REPORTED IN MILLIONS) (Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)									
	PY-1 and earlier	PY 2007	CY 2008	BY 2009	BY+1 2010	BY+2 2011	BY+3 2012	BY+4 and beyond	Total
Planning:	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Acquisition :	0.00	0.00	0.00	0.00	2.00	13.00	0.00	0.00	15.00
Subtotal Planning & Acquisition:	0.00	0.00	0.00	0.00	2.00	13.00	0.00	0.00	15.00
Operations & Maintenance:	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
TOTAL:	0.00	0.00	0.00	0.00	2.00	13.00	0.00	0.00	15.00
Government FTE Costs should not be included in the amounts provided above.									
Government FTE Costs									
Number of FTE represented by Costs:									

Note: For the cross-agency investments, this table should include all funding (both managing partner and partner agencies). Government FTE Costs should not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE’s? Yes _____ No X
 a. If “yes,” How many and in what year? _____

3. If the summary of spending has changed from the FY2008 President’s budget request, briefly explain those changes: _____

Section C: Acquisition/Contract Strategy (All Capital Assets)

Contracts/Task Orders Table:			
Contract or Task Order Number	2009-1 Budget & finance Relocation from off-site		
Type of Contract/Task Order	Fixed		
Has the contract been awarded (Y/N)	No		
If so what is the date of the award? If not, what is the planned award date?	-		
Start date of Contract/Task Order	2010		
End date of Contract/Task Order	2012		
Is this an Interagency Acquisition? (Y/N)	No		
Is it performance based? (Y/N)	Yes		
Competitively awarded? (Y/N)	Yes		
What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A)	NA		
Is EVM in the contract? (Y/N)	Yes		
Does the contract include the required security & privacy clauses? (Y/N)	Yes		
Name of CO	Joseph E. Sanchez Jr.		
CO Contact information (phone/email)	202-879-2801 sanchezj@dsc.gov		
Contracting Officer Certification Level (Level 1, 2, 3, N/A)	NA		
If N/A, has the agency determined the CO assigned has the competencies and skills necessary to support this acquisition? (Y/N)	Yes		

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why: _____

3. Do the contracts ensure Section 508 compliance? _____
 Yes _____
 No _____ X _____
 N/A _____

a. Explain why:

4. Is there an acquisition plan which has been approved in accordance with agency requirements?

Yes X No
 2003

a. If "yes," what is the date?

b. If "no," will an acquisition plan be developed?

1. If "no," briefly explain why:

Section D: Performance Information (All Capital Assets)

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency’s mission and strategic goals, and performance measures must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative or qualitative measure.

Agencies must use Table 1 below for reporting performance goals and measures for all non-IT investments and for existing IT investments that were initiated prior to FY 2005. The table can be extended to include measures for years beyond FY 2006.

Performance Information Table 1:					
Fiscal Year	Strategic Goal(s) Supported	Performance Measure	Actual/baseline (from Previous Year)	Planned performance Metric (Target)	Performance Metric Results (Actual)
2004-2008	Goal 4.1: The Courts will provide personnel and court participants with a safe, secure, functional, and habitable physical environment.	Building B, as it currently existed, could not accommodate the Divisions that needed to relocate to allow for the initial consolidation of the Family Court in Moultrie Courthouse.	Relocation of Landlord and Tenant Court and Small Claims Court to Building B for Phase I Family Court Consolidation	Upgrade of 51,000 OSF of Class C office space into Class A office space and renovation of existing courtrooms.	NA

All new IT investments initiated for FY 2005 and beyond must use Table 2 and are required to use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Please use Table 2 and the PRM to identify the performance information pertaining to this major IT investment. Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for at least four different Measurement Areas (for each fiscal year). The PRM is available at www.egov.gov.

Performance Information Table 2:						
Fiscal Year	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Planned Improvement to the Baseline	Actual Results
2006 - 2008	Mission & Business Results	NA	NA	NA	NA	NA

2006 - 2008	Customer Results	NA	NA	NA	NA	NA
2006 - 2008	Processes & Activities	NA	NA	NA	NA	NA
2006 - 2008	Technology	NA	NA	NA	NA	NA

Section E: Security and Privacy (IT Capital Assets only)

NA – Not Applicable

Section F: Enterprise Architecture (EA) (IT Capital Assets only)

NA – Not Applicable

Part II: Planning, Acquisition And Performance Information

Part II should be completed only for investments identified as “Planning” or “Full Acquisition”, or “Mixed Life-Cycle” investments in response to Question 6 in Part I, Section A above.

Section A: Alternatives Analysis (All Capital Assets)

In selecting the best capital asset, you should identify and consider at least three viable alternatives, in addition to the current baseline, i.e., the status quo. Use OMB Circular A-94 for all investments and the Clinger Cohen Act of 1996 for IT investments to determine the criteria you should use in your Benefit/Cost Analysis.

1. Did you conduct an alternatives analysis for this project? Yes X No
 - a. If “yes,” provide the date the analysis was completed? 2002 Facilities Master Plan
 - b. If “no,” what is the anticipated date this analysis will be completed? Following Master Plan
 - c. If no analysis is planned, please briefly explain why:

2. Alternatives Analysis Results:			
Use the results of your alternatives analysis to complete the following table:			
Alternative Analyzed	Description of Alternative	Risk Adjusted Lifecycle Costs estimate	Risk Adjusted Lifecycle Benefits estimate
Alternative 1	Relocating Court functions to Buildings A & B and Consolidating the Family Court within the Moultrie Courthouse	Reprogramming of this existing building provides an excellent return on investment.	Operationally efficient – provides long term life cycle cost benefits.
Alternative 2	Consolidating the Family Court in Court Buildings A and B	Poor return on investment	Operationally inefficient – no long term benefits
Alternative 3	Consolidating the Family Court in new space	NA – Appropriate space or property unavailable	NA – Appropriate space or property unavailable

3. Which alternative was selected by the Agency's Executive/Investment Committee and why was it chosen?

Following Master Plan _____

4. What specific qualitative benefits will be realized?

The Building B Modernization Project is one of a series of steps planned for full Family Court consolidation. The project is in keeping with the mandates of the Family Court Act and through evaluation was proven to provide the greatest system efficiencies for the Family Court and the entire court system.

Section B: Risk Management (All Capital Assets)

You should have performed a risk assessment during the early planning and initial concept phase of this investment's life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

1. Does the investment have a Risk Management Plan?

Yes X No _____
Capital Projects Plan 2004

a. If "yes," what is the date of the plan?

b. Has the Risk Management Plan been significantly changed since last year's submission to OMB?

Yes _____ No X

c. If "yes," describe any significant changes:

2. If there currently is no plan, will a plan be developed?

Yes _____ No _____

c. If "yes," what is the planned completion date?

d. If "no," what is the strategy for managing the risks?

3. Briefly describe how investment risks are reflected in the life cycle cost estimate and investment schedule: Risk Management is an ongoing part of the project's design process. Possible risks include delays in the construction schedule due to unforeseen field conditions associated with existing construction.

Section C: Cost and Schedule Performance (All Capital Assets)

EVM is required only on DME portions of investments. For mixed lifecycle investments, O&M milestones should still be included in the table (Comparison of Initial Baseline and Current Approved Baseline). This table should accurately reflect the milestones in the initial baseline, as well as milestones in the current baseline.

1. Does the earned value management system meet the criteria in ANSI/EIA Standard – 748? Yes No

Yes X No _____

2. Answer the following questions about current cumulative cost and schedule performance. The numbers reported below should reflect current actual information. (Per OMB requirements Cost/Schedule Performance information should include both Government and Contractor Costs):

a. What is the Planned Value (PV)?

b. What is the Earned Value (EV)?

- c. What is the actual cost of work performed (AC)? _____
 - d. What costs are included in the reported Cost/Schedule Performance information (Government Only/Contractor Only/Both)? _____
 - e. "As of" date: _____
3. What is the calculated Schedule Performance Index (SPI= EV/PV)? _____
4. What is the schedule variance (SV = EV-PV)? _____
5. What is the calculated Cost Performance Index (CPI = EV/AC)? _____
6. What is the cost variance (CV = EV-AC)? _____
7. Is the CV% or SV% greater than ± 10%? (CV%= CV/EV x 100; SV%= SV/PV x 100)
- a. If "yes," was it the?

Yes _____	No _____
CV _____	_____
SV _____	_____
Both _____	_____
 - b. If "yes," explain the variance: _____
 - c. If "yes," what corrective actions are being taken? _____
 - d. What is most current "Estimate at Completion"? _____
8. Have any significant changes been made to the baseline during the past fiscal year? Yes _____ No _____
- a. If "yes," when was it approved by OMB? _____

9. Comparison of Initial Baseline and Current Approved Baseline: Complete the following table to compare actual performance against the current performance baseline and to the initial performance baseline. In the Current Baseline section, for all milestones listed, you should provide both the baseline and actual completion dates (e.g., "03/23/2003"/ "04/28/2004") and the baseline and actual total costs (in \$ Millions). In the event that a milestone is not found in both the initial and current baseline, leave the associated cells blank. Note that the 'Description of Milestone' and 'Percent Complete' fields are required. Indicate '0' for any milestone no longer active.

Description of Milestone	Initial Baseline		Current Baseline				Current Baseline Variance		Percent Complete
	Planned Completion Date (mm/dd/yyyy)	Total Cost (\$M) Estimated	Completion Date (mm/dd/yyyy) Planned/Actual		Total Cost (\$M) Planned/Actual		Schedule/Cost (# days/\$M)		
Phase I & II	NA	NA	NA	NA	NA	NA	NA	NA	100%
Phase III	July 2012	\$15 million	July 2012	NA	\$15 million	NA	NA	NA	0%

Part III: For “Operation and maintenance” Investments ONLY (Steady State)
Part III should be completed only for investments identified as “Operations and Maintenance” (Steady State) in response to Question 6 in Part I, Section A above.

Section A: Risk Management (All Capital Assets)

You should have performed a risk assessment during the early planning and initial concept phase of this investment’s life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment’s life-cycle.

- 1. Does the investment have a Risk Management Plan? Yes ___ No ___
 a. If “yes,” what is the date of the plan? _____
 b. Has the Risk Management Plan been significantly changed since last year’s submission to OMB? Yes ___ No ___
 c. If “yes,” describe any significant changes: _____

- 2. If there currently is no plan, will a plan be developed? Yes ___ No ___
 a. If “yes,” what is the planned completion date? _____
 b. If “no,” what is the strategy for managing the risks? _____

Section B: Cost and Schedule Performance (All Capital Assets)

- 2. Was operational analysis conducted? Yes ___ No ___
 a. If “yes,” provide the date the analysis was completed. _____
 b. If “yes,” what were the results? _____
 c. If “no,” please explain why it was not conducted and if there are any plans to conduct operational analysis in the future: _____

2. Complete the following table to compare actual cost performance against the planned cost performance baseline. Milestones reported may include specific individual scheduled preventative and predictable corrective maintenance activities, or may be the total of planned annual operation and maintenance efforts).

a. What costs are included in the reported Cost/Schedule Performance information (Government Only/Contractor Only/Both)? _____

2. b Comparison of Plan vs. Actual Performance Table:					
Description of Milestone	Planned		Actual		Variance
	Completion Date (mm/dd/yyyy)	Total Cost (\$M)	Completion Date (mm/dd/yyyy)	Total Cost (\$M)	Schedule/Cost (# days/\$M)

Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview (All Capital Assets)

- 1. Date of Submission: September 2007
- 2. Agency: District of Columbia Courts
- 3. Bureau: NA
- 4. Name of this Capital Asset: **East Garage – New Construction**
- 5. Unique Project (Investment) Identifier: (For IT investment only, see section 53. For all other, use agency ID system.) 95-1712

6. What kind of investment will this be in FY2009?
 (Please NOTE: Investments moving to O&M ONLY in FY2009, with Planning/Acquisition activities prior to FY2008 should not select O&M. These investments should indicate their current status.)

Planning	_____
Full Acquisition	_____X_____
Operations and Maintenance	_____
Mixed Life Cycle	_____
E-Gov/LoBOversight	_____

- 7. What was the first budget year this investment was submitted to OMB? 2003
- 8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap: This project will provide secure, underground parking for judges and court staff and replace surface parking with green space to return Judiciary Square to a park-like setting for public enjoyment. The D.C. Courts currently have parking adjacent to Building A, on the west side of the building, and adjacent to Building B, on the east side of the building. This project is detailed in the Judiciary Square Master Plan and meets goals of the D.C. Courts as well as the District of Columbia. The project is included in the DDOT Judiciary Square Transportation and Security Study. With high terror and security concerns, security of Judicial and non-judicial D.C. Courts’ staff is critical, and the East Garage will provide a safe and secure environment for judges and staff.
- 9. Did the Agency’s Executive/Investment Committee review this request? Yes X No
 - a. If “yes,” what was the date of this approval? 2003
- 10. Did the Project Manager review this Exhibit? Yes X No
- 11. Contact information of Project Manager?

Name	<u> Mary Ann Satterthwaite </u>
Phone Number	<u> 202-879-2898 </u>
E-mail	<u> satterma2@dcsc.gov </u>

12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally

sustainable techniques or practices for this project.

- Yes X No
- a. Will this investment include electronic assets (including computers)?
 Yes No X
- b. Is this investment for new construction or major retrofit of a Federal building or facility?
 (answer applicable to non-IT assets only)
 Yes No X

1. If "yes," is an ESPC or UESC being used to help fund this investment? NA
 Yes No
2. If "yes," will this investment meet sustainable design principles? NA
 Yes No
3. If "yes," is it designed to be 30% more energy efficient than relevant code?
 NA
 Yes No

13. Does this investment directly support one of the PMA initiatives? If "yes" check all that apply: NA

- Human Capital
- Budget Performance Integration
- Financial Performance
- Expanded E-Government
- Competitive Sourcing
- Faith Based and Community
- Real Property Asset Management
- Eliminating Improper Payments
- Privatization of Military Housing
- Research & Development Investment Criteria
- Housing & Urban Development Management & Performance
- Broadening Health Insurance Coverage through State Initiatives
- "Right Sized" Overseas Presence
- Coordination of VA & DoD Programs and Systems

- a. Briefly describe how this asset directly supports the identified initiative(s)? NA

14. Does this investment support a program assessed using the Program Assessment Rating Tool (PART)? (For more information about the PART, visit www.whitehouse.gov/omb/part.)

- Yes X No
- a. If "yes," does this investment address a weakness found during a PART review?
 Yes No X
- b. If "yes," what is the name of the PARTed program?
 D.C. Courts
- c. If "yes," what rating did the PART receive?
 Adequate
15. Is this investment for information technology?
 Yes No X

If the answer to Question 15 is "Yes," complete questions 16-23 below. If the answer is "No," do not answer questions 16-23.

For information technology investments only:

16. What is the level of the IT Project? (per CIO Council PM Guidance)

Level 1 _____
 Level 2 _____
 Level 3 _____

17. What project management qualifications does the Project Manager have? (per CIO Council PM Guidance)

- (1) Project manager has been validated as qualified for this investment _____
- (2) Project manager qualification is under review for this investment _____
- (3) Project manager assigned to investment, but does not meet requirements _____
- (4) Project manager assigned but qualification status review has not yet started _____
- (5) No Project manager has yet been assigned to this investment _____

18. Is this investment identified as “high risk” on the Q4 -FY 2006 agency high risk report (per OMB’s ‘high risk’ memo)?

19. Is this a financial management system? Yes _____ No _____
- a. If “yes,” does this investment address a FFMIA compliance area? Yes _____ No _____
- 1. If “yes,” which compliance area: _____
 - 2. If “no,” what does it address? _____
- b. If “yes,” please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A-11 section 52 _____

20. What is the percentage breakout for the total FY2009 funding request for the following?
 (This should total 100%)

Hardware _____
 Software _____
 Services _____
 Other _____

21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?

Yes _____
 No _____
 NA _____

22. Contact information of individual responsible for privacy related questions:

Name _____
 Phone Number _____
 Title _____
 E-mail _____

23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration’s approval? Yes _____ No _____

Section B: Summary of Spending (All Capital Assets)

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The "TOTAL" estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

Table 1: SUMMARY OF SPENDING FOR PROJECT PHASES (REPORTED IN MILLIONS) (Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)									
	PY-1 and earlier	PY 2007	CY 2008	BY 2009	BY+1 2010	BY+2 2011	BY+3 2012	BY+4 and beyond	Total Unfunded
Planning:	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Acquisition :	0.00	0.00	0.00	0.00	0.00	5.30	33.39	0.00	38.69
Subtotal Planning & Acquisition:	0.00	0.00	0.00	0.00	0.00	5.30	33.39	0.00	38.69
Operations & Maintenance:	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
TOTAL:	0.00	0.00	0.00	0.00	0.00	5.30	33.39	0.00	38.69
Government FTE Costs should not be included in the amounts provided above.									
Government FTE Costs									
Number of FTE represented by Costs:									

Note: For the cross-agency investments, this table should include all funding (both managing partner and partner agencies). Government FTE Costs should not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE's? Yes _____ No X
 a. If "yes," How many and in what year? _____
3. If the summary of spending has changed from the FY2008 President's budget request, briefly explain those changes: _____

Section C: Acquisition/Contract Strategy (All Capital Assets)

Contracts/Task Orders Table:			
Contract or Task Order Number	2009-01 East Garage		
Type of Contract/Task Order	Fixed		
Has the contract been awarded (Y/N)	No		
If so what is the date of the award? If not, what is the planned award date?	FY 2011		
Start date of Contract/Task Order	FY 2011		
End date of Contract/Task Order	FY 2013		
Is this an Interagency Acquisition? (Y/N)	No		
Is it performance based? (Y/N)	Yes		
Competitively awarded? (Y/N)	Yes		
What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A)	NA		
Is EVM in the contract? (Y/N)	Yes		
Does the contract include the required security & privacy clauses? (Y/N)	Yes		
Name of CO	Joseph E. Sanchez		
CO Contact information (phone/email)	sanchezj@dcsc.gov 202-879-2801		
Contracting Officer Certification Level (Level 1, 2, 3, N/A)	NA		
If N/A, has the agency determined the CO assigned has the competencies and skills necessary to support this acquisition? (Y/N)	Yes		

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why: _____

3. Do the contracts ensure Section 508 compliance?
 Yes _____
 No _____
 N/A X

a. Explain why:

4. Is there an acquisition plan which has been approved in accordance with agency requirements?
 Yes X No _____
 a. If "yes," what is the date? 2003
 b. If "no," will an acquisition plan be developed? _____
 1. If "no," briefly explain why: _____

Section D: Performance Information (All Capital Assets)

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and

external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative or qualitative measure.

Agencies must use Table 1 below for reporting performance goals and measures for all non-IT investments and for existing IT investments that were initiated prior to FY 2005. The table can be extended to include measures for years beyond FY 2006.

Performance Information Table 1:					
Fiscal Year	Strategic Goal(s) Supported	Performance Measure	Actual/baseline (from Previous Year)	Planned performance Metric (Target)	Performance Metric Results (Actual)
2011	Goal 4.1: The Court will provide personnel and court participants with a safe, secure, functional environment.	This project will provide secure, underground parking for judges and court staff and replace surface parking with green space to return Judiciary Square to a park-like setting for public enjoyment.	The D.C. Courts currently have parking adjacent to Building A, on the west side of the building, and adjacent to Building B, on the east side of the building.	Replace the unprotected surface parking lots with secure underground parking.	NA

All new IT investments initiated for FY 2005 and beyond must use Table 2 and are required to use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Please use Table 2 and the PRM to identify the performance information pertaining to this major IT investment. Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for at least four different Measurement Areas (for each fiscal year). The PRM is available at www.egov.gov.

Performance Information Table 2:						
Fiscal Year	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Planned Improvement to the Baseline	Actual Results
2006 - 2008	Mission & Business Results	NA	NA	NA	NA	NA
2006 - 2008	Customer Results	NA	NA	NA	NA	NA
2006 - 2008	Processes & Activities	NA	NA	NA	NA	NA
2006 - 2008	Technology	NA	NA	NA	NA	NA

Section E: Security and Privacy (IT Capital Assets only)
NA – Not Applicable

Section F: Enterprise Architecture (EA) (IT Capital Assets only)
NA - Not Applicable

Part II: Planning, Acquisition And Performance Information

Part II should be completed only for investments identified as “Planning” or “Full Acquisition”, or “Mixed Life-Cycle” investments in response to Question 6 in Part I, Section A above.

Section A: Alternatives Analysis (All Capital Assets)

In selecting the best capital asset, you should identify and consider at least three viable alternatives, in addition to the current baseline, i.e., the status quo. Use OMB Circular A-94 for all investments and the Clinger Cohen Act of 1996 for IT investments to determine the criteria you should use in your Benefit/Cost Analysis.

1. Did you conduct an alternatives analysis for this project? Yes X No
 d. If “yes,” provide the date the analysis was completed? Judiciary Square Master Plan 2005
 e. If “no,” what is the anticipated date this analysis will be completed? _____
 f. If no analysis is planned, please briefly explain why: _____

2. Alternatives Analysis Results:

Use the results of your alternatives analysis to complete the following table:

Alternative Analyzed	Description of Alternative	Risk Adjusted Lifecycle Costs estimate	Risk Adjusted Lifecycle Benefits estimate
Alternative 1	Construct new underground East Garage	Most cost effective – provides secure parking and lowers operating costs.	Will both benefit the Courts by providing secure parking and benefit the public by restoring green landscape to Judiciary square.
Alternative 2	Continue use of existing surface parking	Unfeasible – does not meet the requirements of the approved Master Plan and would result in higher operating costs and greater risk to judges and staff.	No benefits.

3. Which alternative was selected by the Agency’s Executive/Investment Committee and why was it chosen? Alternative 1- cost effective & beneficial to the Courts & the City
4. What specific qualitative benefits will be realized? Will provide secure parking and restore landscaped open space to Judiciary Square.

Section B: Risk Management (All Capital Assets)

You should have performed a risk assessment during the early planning and initial concept phase of this investment’s life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate

or manage risk, and be actively managing risk throughout the investment’s life-cycle.

- 1. Does the investment have a Risk Management Plan? Yes X No _____
 - a. If “yes,” what is the date of the plan? Capital Projects Plan 2006
 - b. Has the Risk Management Plan been significantly changed since last year’s submission to OMB? Yes _____ No X
 - c. If “yes,” describe any significant changes: _____

- 2. If there currently is no plan, will a plan be developed? Yes _____ No _____
 - c. If “yes,” what is the planned completion date? _____
 - d. If “no,” what is the strategy for managing the risks? _____

3. Briefly describe how investment risks are reflected in the life cycle cost estimate and investment schedule:

Section C: Cost and Schedule Performance (All Capital Assets)

EVM is required only on DME portions of investments. For mixed lifecycle investments, O&M milestones should still be included in the table (Comparison of Initial Baseline and Current Approved Baseline). This table should accurately reflect the milestones in the initial baseline, as well as milestones in the current baseline.

- 1. Does the earned value management system meet the criteria in ANSI/EIA Standard – 748? Yes No Yes X No _____

2. Answer the following questions about current cumulative cost and schedule performance. The numbers reported below should reflect current actual information. (Per OMB requirements Cost/Schedule Performance information should include both Government and Contractor Costs):

- f. What is the Planned Value (PV)? _____
- g. What is the Earned Value (EV)? _____
- h. What is the actual cost of work performed (AC)? _____
- i. What costs are included in the reported Cost/Schedule Performance information (Government Only/Contractor Only/Both)? _____
- j. “As of” date: _____

3. What is the calculated Schedule Performance Index (SPI= EV/PV)? _____

4. What is the schedule variance (SV = EV-PV)? _____

5. What is the calculated Cost Performance Index (CPI = EV/AC)? _____

6. What is the cost variance (CV = EV-AC)? _____

7. Is the CV% or SV% greater than ± 10%? (CV%= CV/EV x 100; SV%= SV/PV x 100)

Yes _____ No _____
 CV _____
 SV _____
 Both _____

a. If “yes,” was it the?

b. If “yes,” explain the variance:

c. If “yes,” what corrective actions are being taken?

d. What is most current “Estimate at Completion”?

8. Have any significant changes been made to the baseline during the past fiscal year?

Yes _____ No _____

a. If “yes,” when was it approved by OMB?

9. Comparison of Initial Baseline and Current Approved Baseline: Complete the following table to compare actual performance against the current performance baseline and to the initial performance baseline. In the Current Baseline section, for all milestones listed, you should provide both the baseline and actual completion dates (e.g., “03/23/2003”/ “04/28/2004”) and the baseline and actual total costs (in \$ Millions). In the event that a milestone is not found in both the initial and current baseline, leave the associated cells blank. Note that the ‘Description of Milestone’ and ‘Percent Complete’ fields are required. Indicate ‘0’ for any milestone no longer active.

Description of Milestone	Initial Baseline		Current Baseline		Current Baseline Variance		Percent Complete
	Planned Completion Date (mm/dd/yyyy)	Total Cost (\$M) Estimated	Completion Date (mm/dd/yyyy) Planned/Actual	Total Cost (\$M) Planned/ Actual	Schedule/Cost (# days/\$M)		
East Garage	FY 2013	\$ 38.69 M					

Part III: For “Operation and maintenance” Investments ONLY (Steady State)
Part III should be completed only for investments identified as “Operations and Maintenance” (Steady State) in response to Question 6 in Part I, Section A above.

Section A: Risk Management (All Capital Assets)

You should have performed a risk assessment during the early planning and initial concept phase of this investment’s life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment’s life-cycle.

1. Does the investment have a Risk Management Plan?

Yes _____ No _____

a. If “yes,” what is the date of the plan?

b. Has the Risk Management Plan been significantly changed since last year’s submission to OMB?

Yes _____ No _____

c. If “yes,” describe any significant changes:

2. If there currently is no plan, will a plan be developed?

Yes _____ No _____

a. If “yes,” what is the planned completion date?

b. If “no,” what is the strategy for managing the risks?

Section B: Cost and Schedule Performance (All Capital Assets)

11. Was operational analysis conducted? Yes _____ No _____
- a. If “yes,” provide the date the analysis was completed. _____
 - b. If “yes,” what were the results? _____
 - c. If “no,” please explain why it was not conducted and if there are any plans to conduct operational analysis in the future: _____

2. Complete the following table to compare actual cost performance against the planned cost performance baseline. Milestones reported may include specific individual scheduled preventative and predictable corrective maintenance activities, or may be the total of planned annual operation and maintenance efforts).

- a. What costs are included in the reported Cost/Schedule Performance information (Government Only/Contractor Only/Both)? _____

2. b Comparison of Plan vs. Actual Performance Table:						
Description of Milestone	Planned		Actual		Variance	
	Completion Date (mm/dd/yyyy)	Total Cost (\$M)	Completion Date (mm/dd/yyyy)	Total Cost (\$M)	Schedule/Cost (# days/\$M)	