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## Sacramento's parking lot shading ordinance: environmental and economic costs of compliance

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### Abstract

A survey of 15 Sacramento parking lots and computer modeling were used to evaluate parking capacity and compliance with the 1983 ordinance requiring 50% shade of paved areas (PA) 15 years after development. There were 6% more parking spaces than required by ordinance, and 36% were vacant during peak use periods. Current shade was 14% with 44% of this amount provided by covered parking. Shade was projected to increase to 27% (95% CI 24–37%) when all lots in the sample were 15-year-old. Annual benefits associated with the corresponding level of tree shade were estimated to be US\$ 1.8 million (CI US\$ 1.5–2.6 million) annually citywide, or US\$ 2.2 million less than benefits from 50% shade (CI US\$ 1.4–2.5 million). The cost of replacing dying trees and addressing other health issues was US\$ 1.1 million. Planting 116,000 trees needed to achieve 50% shade was estimated to cost approximately US\$ 20 million. Strategies for revising parking ordinances to enhance their effectiveness are presented. © 2001 Published by Elsevier Science B.V.

*Keywords:* Planning; Tree shade; Natural resource valuation

### 1. Introduction

Planners who write parking lot ordinances balance the need for parking with other community goals such as a more compact urban form, enhanced urban design, and an improved environment. Communities want businesses to provide adequate on-site parking to prevent spillover parking in surrounding neighborhoods, reduce traffic congestion on public streets, and promote economic development. However, providing adequate parking can conflict with other goals when large surface parking lots contribute to drainage and flooding problems, increase urban heat islands, create “eyesores”, or encourage people to abandon

mass transit, thereby, accentuating air quality problems (Smith, 1988).

Parking lots occupy about 10% of the land in our cities and as cities build outward parking is expected to cover relatively more area (Schiavo, 1991; Wells, 1995). To size parking lots planners use parking demand ratios that specify the minimum and, in some cases, the maximum number of spaces per gross square foot of leaseable floor area (GFA) or dwelling unit (DU) (Bergman, 1991). Parking ratios have been based on surveys of parking rates (Institute of Transportation Engineers, 1987), and result in parking built to handle peak demand, for example, the number of cars that will use a shopping mall on weekends between Thanksgiving and Christmas. Parking lot standards specify minimum stall and aisle dimensions, landscaping, lighting, and signage requirements (ULI-NPA, 2000).

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The 1970s energy crisis spurred implementation of parking lot shading ordinances in cities such as Sacramento, Davis, Los Angeles and California. These ordinances required that 50% of the total paved area (PA) be shaded within 15 years of the issuance of development permits. Tree List contains the 15 years crown diameter and crown projection area (i.e. area under a tree's dripline) of species recommended for planting, data used by planners to calculate PA that would be shaded under each tree. Many parking lot ordinances specify one tree for a certain number of parking spaces or a certain amount of landscaped area per space, but trees can be clustered in islands or along the parking lot perimeter, often resulting in large areas of unshaded pavement (Beatty, 1989). The Sacramento ordinance, adopted in 1983, is a performance standard that ensures a distribution of shade throughout the lot. It has not been evaluated or amended since its inception. Examples of the Sacramento and Davis ordinances are available on the Internet (<http://cufr.ucdavis.edu/parkordinance.htm> and [shaderevised.htm](http://shaderevised.htm)).

Sacramento is one of several US cities in the Cool Communities Program. The goal of this program is to improve air quality by lowering summertime temperatures through tree planting and light-colored surfacing. In Sacramento, where summer temperatures exceed 32.2 °C an average of 73.6 days per year (Western Regional Climate Center, 2000), tree planting is one of the most cost-effective means of mitigating urban heat islands and associated expenditures for air conditioning (Huang et al., 1987; Akbari et al., 1992; Simpson and McPherson, 1998). Trees are considered essential to moderating the heat gained by asphalt parking lots (Asaeda et al., 1996). Cooler air temperatures reduce ozone (O<sub>3</sub>) concentrations by lowering emissions of hydrocarbons (HCs) that are involved in O<sub>3</sub> formation. For instance, trees in a Davis, CA, parking lot reduced air temperatures 0.5–1.5 °C (Scott et al., 1999), which in turn reduced HC emissions from gasoline that evaporated out of leaky fuel tanks and worn hoses. Planting trees in parking lots throughout the Sacramento region so as to achieve 50% shade on PAs was estimated to reduce HC emissions by 0.9 tonnes per day, comparable to the levels achieved through some of the local air quality district's currently funded programs (e.g. graphic arts, waste burning, vehicle scrap-page). Results from other modeling studies indicate that air quality benefits associated with pollutant

uptake and climate modification by urban forests can be substantial (Taha, 1996; McPherson et al., 1998; Rosenfeld et al., 1998; Nowak et al., 2000).

Reducing the amount of parking-related impervious surface can reduce the volume of polluted run-off, and the size and costs of stormwater facilities needed to store and treat the run-off (Ferguson and Debo, 1990; Arnold and Gibbons, 1996; Schueler, 1997). The quantity of pollutants in parking lot run-off is related to vehicular traffic, vehicle condition, and atmospheric deposition. Parking lot run-off has relatively high concentrations of trace metals, oil, and grease (Bannerman et al., 1993; Hahn and Pfeifer, 1994).

Given the many benefits associated with parking lot shade and anecdotal evidence that the amount of shading stipulated in the 16-year-old Sacramento ordinance was not being attained, this study was designed to answer the following policy and planning questions.

1. Are current parking demand ratios adequate?
2. Are requirements for parking lot shade being met, and if not, why?
3. What are the environmental and economic costs of compliance and non-compliance?
4. How can the ordinance and its implementation be modified to increase effectiveness?

## 2. Methods

Tasks undertaken in this study are illustrated (Fig. 1) and described in this section.

### 2.1. Citywide parking lot assessment

Data from interpretation of a 1992 black and white aerial photograph of Sacramento (print scale 1:6857) were used to describe the relations among parking lots, impervious services, and land uses. Random dots (5262) were laid on photos for the entire city (249 km<sup>2</sup>) and the point below each dot was classified by land-use type and cover type (i.e. parking lot) (US Forest Service, 1997).

### 2.2. Parking capacity analysis

Parking ratios were obtained from the city ordinance (City of Sacramento, 1992). Multi-family resi-

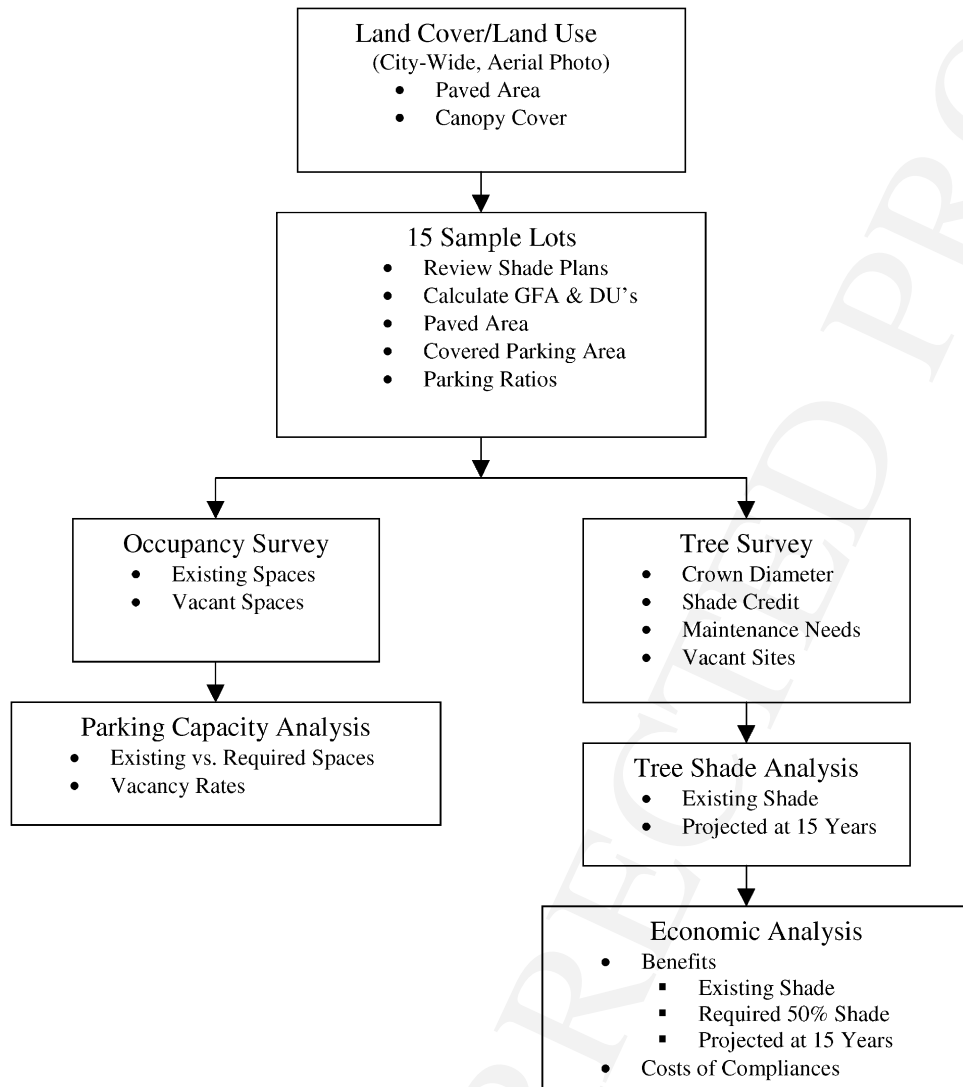


Fig. 1. Tasks and data collected for this study.

143 dental (apartment) lots were required to have 1.5  
 144 spaces/DU and 1 guest space/15 DUs. The parking  
 145 requirement for retail stores was one space for each  
 146 23.2 m<sup>2</sup> (250 ft<sup>2</sup>) of GFA. A new shopping center with  
 147 theater (Laguna Village) was designated a planned  
 148 unit development and required to provide a minimum  
 149 and maximum of one space/92.9 m<sup>2</sup> (1000 ft<sup>2</sup>) and  
 150 46.5 m<sup>2</sup> (500 ft<sup>2</sup>) of GFA, respectively as well as one  
 151 space/six theater seats. The ordinance also establishes  
 152 a minimum and maximum for offices of one space/  
 153 37.2 m<sup>2</sup> (400 ft<sup>2</sup>) and 23.2 m<sup>2</sup> (250 ft<sup>2</sup>) of GFA,

154 respectively. GFA and the number of DUs were  
 155 obtained from site design plans and property man-  
 156 agers. The number of required parking spaces was  
 157 calculated and the number of actual spaces were  
 158 counted in each lot. A survey was conducted between  
 159 30 November and 26 December 1999 to count vacant  
 160 spaces during peak use periods. Apartment and office  
 161 lots were surveyed on week days, the former between  
 162 00:00 and 2:00 h and the latter from 9:30 to 11:00 h  
 163 and 13:30 to 15:00 h. Retail lots were surveyed at least  
 164 twice, a week day from 16:00 to 18:30 h and a week-

165 end day from 13:30 to 16:30 h. The number of vacant  
 166 spaces are reported when parking occupancy was  
 167 highest.

168 *2.3. Tree survey and shading analysis*

169 A random sample of 15 parking lots was selected by  
 170 the City of Sacramento Planning Department staff.  
 171 During summer 1999 the trees in each lot were  
 172 surveyed to obtain the following information: species,  
 173 diameter at breast height (dbh, to nearest 0.1 cm by  
 174 tape), average crown diameter (two radii measure-  
 175 ments at 90° to the nearest 0.5 m by tape), shade credit  
 176 (SC or percentage of crown that shades parking lot  
 177 pavement to the nearest 25% excluding overlapping  
 178 shade), management needs, and vacant planting sites.

179 PA was calculated as the average of three measure-  
 180 ments taken off the site plan with a planimeter.  
 181 Covered parking area (CPA) was measured off site  
 182 plans and field checked. Adjusted paved area (APA)  
 183 was calculated as PA – CPA and represents the area  
 184 where trees could be planted for shade. Required  
 185 shaded area (RSA) was defined as 50% of the PA.  
 186 The effective tree shaded area (ETSA) was calculated  
 187 for each tree *i* as

189  $ETSA_i = TSA_i \times SC_i$  (1)

190 where tree shaded area ( $TSA_i$ ) is the area under the  
 191 dripline of tree *i*. TSA was calculated with measured  
 192 average crown diameter assuming a circular crown.  
 193 Actual shaded area (ASA) for parking lot *j* was defined  
 194 as the amount of PA shaded at the time of the survey  
 195 and calculated as

197  $ASA_j = CPA_j + \sum_{i=1}^{n_j} ESTA_{ji}$  (2)

198 The means and standard deviations (S.D.) of CPA,  
 199 ETSA, and ASA were calculated for the 15 lot sample.

200 Projected tree shaded area (PTSA) was estimated at  
 201 15 years after planting for trees in lots less than 15-  
 202 year-old. Each tree was “grown” to its projected  
 203 crown diameter 15 years after planting using annual  
 204 dimensional data for street trees in nearby Modesto,  
 205 CA (Peper et al., in press). The Modesto data were  
 206 derived from a sample of 616 trees representing 22  
 207 species and dimensions were available for seven of the  
 208 eight most abundant species in the Sacramento park-  
 209 ing lots. In cases where dimensional data for the

species were unavailable, dimensions from a species  
 with comparable mature size and growth rate were  
 applied. The crown diameter at 15 years after planting  
 was estimated by adding the increment of growth for  
 the period of years remaining until it reached 15 years.  
 Inventoried crown diameter dimensions were directly  
 applied for trees in lots that were 15 and 16-year-old.  
 The total amount of TSA projected 15 years after  
 development was calculated for each tree<sub>*i*</sub> in lot<sub>*j*</sub> as

210  $\sum_{i=1}^{n_j} PTSA_{ji} \times SC_{ji}$  (3)

211 Variability of this estimated parameter was calculated  
 212 using the 95% confidence limits for each species  
 213 (Peper et al., in press).  
 214  
 215  
 216  
 217  
 218

219 *2.4. Economic analysis of tree shade*

220 An economic analysis was conducted to estimate  
 221 the value of benefits associated with (1) 50% tree  
 222 shade, as per the ordinance, (2) amount of tree shade  
 223 typically achieved after 15 years under current  
 224 conditions (PTSA) and (3) amount of tree shade that exists  
 225 at present (ETSA). Because the effects of shade from  
 226 covered parking on energy, air quality, hydrology, and  
 227 aesthetics are unknown they were excluded from this  
 228 analysis.  
 229  
 230  
 231  
 232  
 233

234 Annual benefits were estimated from results of the  
 235 Sacramento Urban Forest Ecosystem Study (SUFES)  
 236 on a unit tree canopy cover (CC) basis. SUFES com-  
 237 bined aerial photo analysis and ground sampling of  
 238 vegetation to characterize urban forest cover, species  
 239 composition, age structure, and condition (McPherson,  
 240 1998a). This information was combined with hourly  
 241 data on local meteorology, air pollutant concentrations,  
 242 and other information in computer models to simulate  
 243 impacts of the urban forest on environment.

244 To ascribe dollar values to benefits, air conditioning  
 245 savings were directly estimated, while air quality,  
 246 stormwater run-off, and other benefits were implied.  
 247 Implied valuation is used to price society’s willingness  
 248 to pay for environmental services not directly priced  
 249 by market transactions. Because trees are not paid for  
 250 pollutant uptake their air quality benefits are estimated  
 251 using prices that reflect the costs of reducing station-  
 252 ary source emissions in the Sacramento region  
 253 (SMAQMD, 1993). If it is cost-effective for a corpora-  
 254 tion to pay US\$ 1/kg to reduce future emissions, then

Table 1  
Metrics for estimating the value of benefits from parking lot tree shade in Sacramento

	RU/m <sup>2</sup> CC <sup>a</sup>	Price (US\$/RU <sup>b</sup> )	Value (US\$/m <sup>2</sup> CC)
Air conditioning (kWh)	0.80	0.08	0.064
CO <sub>2</sub>	3.10	0.03	0.102
Stormwater (m <sup>3</sup> )	0.02	0.83	0.020
Aesthetic (retail)			0.154
Aesthetic (office/apartment)			0.175
O <sub>3</sub>	4.01	27.01	0.108
PM <sub>10</sub>	4.10	11.68	0.048
NO <sub>2</sub>	1.13	27.01	0.030
SO <sub>2</sub>	0.15	20.17	0.003
HC avoided	5.92	19.29	0.114
HC released	0.90	19.29	0.017
BVOC (low)	0.09	19.29	0.002
BVOC (medium)	0.86	19.29	0.017
BVOC (high)	4.28	19.29	0.083

<sup>a</sup> All resource units (RU) in g/unit CC unless otherwise noted.

<sup>b</sup> All prices in US\$/kg unless otherwise noted.

the air pollution mitigation value of a tree that absorbs or intercepts 1 kg of air pollution should be US\$ 1. Costs for tree planting and care were obtained from a municipal urban forest benefit–cost analysis (McPherson et al., 1999).

The annual rate of dry deposition of gaseous pollutants (O<sub>3</sub>, nitrogen dioxide (NO<sub>2</sub>), sulfur dioxide (SO<sub>2</sub>)) to the tree canopy was estimated, as was interception of particulate matter (PM<sub>10</sub>). For example, 3078 ha of existing CC (1.73 million trees) in the City of Sacramento was estimated to remove 34.7 metric tonnes of NO<sub>2</sub> pollutant annually, or 1.1 g/m<sup>2</sup> CC (Scott et al., 1998). The implied value of this benefit was estimated as US\$ 0.03/m<sup>2</sup> CC given the control cost (US\$ 27,007/tonne) (Table 1). Annual uptake rates and implied values were calculated in the same manner for other criteria pollutants.

Simpson (1998) found that 467 ha of existing CC in Sacramento's small commercial and industrial lands reduced summer air conditioning from 314 to 297 GWh, with savings of 17 GWh attributed to air temperature reductions. In this study it is assumed that trees do not shade buildings during summer and impacts on winter heating are negligible. Given current parking lot CC of 8.2 or 0.4% citywide (US Forest Service, 1997), increasing parking lot cover to 50% will result in a 2.2% increase in citywide CC. Previous

studies indicate that a 10% increase in tree cover is associated with a 1 °C air temperature reduction and this results in a 6.7% reduction in commercial/industrial air conditioning consumption (Simpson, 1998). Therefore, a 2.2% increase in CC (536 ha) is estimated to reduce air temperature 0.21 °C, thereby, reducing air conditioning use by 1.4% or 4.28 GWh. This savings translates into 0.8 kWh/m<sup>2</sup> CC. Electricity sold to the commercial sector is priced at US\$ 0.081/kWh.

McPherson (1998b) reported that Sacramento's existing urban forest reduced atmospheric carbon dioxide (CO<sub>2</sub>) by 103 tonnes per year. Trees sequestered 74 tonnes, provided avoided power plant emissions through energy savings in the amount of 33 tonnes, and 4 tonnes were released through tree care activities (e.g. chain saws, chippers, vehicles). This analysis assumes that parking lot trees have the same annual sequestration (2.4 kg/m<sup>2</sup> CC) and release rates (0.1 kg/m<sup>2</sup> CC) per unit of CC as the average for trees throughout Sacramento. The avoided emission rate accounts for the tree-related parking lot air conditioning savings, as well as the Sacramento Municipal Utility District's emission factor of 400 tonnes CO<sub>2</sub>/GWh. The average annual avoided emissions rate is 0.8/kg m<sup>2</sup> CC and the net CO<sub>2</sub> reduction is 3.1 kg/m<sup>2</sup> CC (Table 1). The implied value of CO<sub>2</sub> reduction is US\$ 0.03/kg (California Energy Commission, 1994).

Using a numerical interception model Xiao et al. (1998) estimated that 1.73 million trees in Sacramento reduced 728,500 m<sup>3</sup> of annual stormwater run-off by storing 23.5 mm of rainfall in the urban forest canopy. Annual interception for the largely deciduous canopy was 0.024 m<sup>3</sup>/m<sup>2</sup> CC, a relatively small amount due to the winter rainfall pattern when most trees are leafless. Sacramento's Department of Utilities requires that parking lots be designed to retain the first 19 mm of run-off on-site for flood control and water quality protection. Expenditures for two common best management practices were annualized to estimate the implied value of rainfall intercepted by parking lot trees. The capital cost of an infiltration basin and vegetated swale designed to retain 19 mm of run-off on a 2 ha site in a US\$ 6.5 million commercial project was US\$ 17,550 and the annual maintenance cost was US\$ 1350 (California Regional Water Quality Control Board, 2000). The total cost for a 10-year-period was US\$ 31,050. Average annual rainfall in Sacramento is

330 393 mm and an analysis of the distribution of rainfall  
 331 by event indicates that approximately 50% of this  
 332 would be treated by the basin and swale, the remainder  
 333 falling during events that exceed the system's capa-  
 334 city, or during small events that generate a negligible  
 335 amount of run-off. The volume of rainfall treated was  
 336 37,347 m<sup>3</sup> and the control cost was US\$ 0.83/m<sup>3</sup> of  
 337 run-off (Table 1).

338 Scott et al. (1998) reported that increasing parking lot  
 339 tree CC in Sacramento to the 50% standard would  
 340 reduce evaporative HC emission reductions from  
 341 parked cars by 0.96 g/car per day. Based on a compar-  
 342 ison of BVOC emissions peak per day and per year, an  
 343 average annual reduction of 192 g was calculated assum-  
 344 ing emissions occurred 180 days per year (May–  
 345 October). A large tree (12 m crown diameter) can  
 346 nearly shade eight facing spaces, each 5.8 m × 2.4 m.  
 347 Research indicates that as air temperatures increase the  
 348 occupancy rate of shaded spaces increases (Elliott,  
 349 1986). Therefore, this analysis assumes that a large  
 350 tree shades four cars (50% stall occupancy), producing  
 351 a benefit of 691 g/tree per year or 5.9 g/m<sup>2</sup> CC (Table 1).

352 The annual release of biogenic volatile organic  
 353 compounds (BVOCs) was estimated for each tree  
 354 because these HCs are involved in O<sub>3</sub> formation. Each  
 355 tree species was categorized based on hourly isoprene  
 356 and monoterpene emission rates normalized to a per  
 357 tree basis: low emitter 0.1 g/tree per day (0.086 g/m<sup>2</sup>  
 358 CC), medium emitter 1 g/tree per day (0.86 g/m<sup>2</sup> CC),  
 359 and high emitter 5 g/tree per day (4.28 g/m<sup>2</sup> CC)  
 360 (Benjamin et al., 1996) (Table 1). BVOC emissions  
 361 were estimated for 100 days per year (July–Septem-  
 362 ber) and priced at US\$ 19.29/kg for HCs.

363 Chain saws and chippers release HCs during opera-  
 364 tion. It takes approximately 30 min to prune a large  
 365 tree (46 cm dbh) with a 33 cm<sup>3</sup> chain saw at 50% load  
 366 and this results in 145 g HC emissions (Martin Fitch,  
 367 Sacramento Tree Services Division, personal commu-  
 368 nication). To chip the pruned wood takes a chipper  
 369 (65 hp, four-stroke, gas powered) approximately  
 370 15 min operating at 50% load and results in 65 g  
 371 HC emissions. Assuming the parking lot trees are  
 372 pruned biannually the average annual HC emissions  
 373 is 0.105 kg/tree or 0.9 kg/m<sup>2</sup> CC (Table 1).

374 Some of the benefits associated with trees in com-  
 375 mercial settings are difficult to translate into economic  
 376 terms. Survey research found that consumer prefer-  
 377 ence ratings increased with the presence of trees in the

378 commercial streetscape and well-landscaped business  
 379 districts had significantly higher priced goods and  
 380 increased patronage compared to a no-tree district  
 381 (Wolf, 1999). A study of change over a 25-year-period  
 382 for 30 San Jose area shopping centers found a high  
 383 degree of association between urban tree cover and the  
 384 presence of high-end offerings of goods and services  
 385 (Ellefsen et al., 1998). Most of the obviously success-  
 386 ful shopping centers and downtowns had many trees,  
 387 while the least successful had few trees.

388 Lacking research that directly links parking lot tree  
 389 cover to economic indicators of value such as selling  
 390 price, rents, leases, and occupancy rates, this study  
 391 adjusts the results of research that compared differ-  
 392 ences in sales prices of residential properties to sta-  
 393 tistically quantify the amount of difference associated  
 394 with trees. Anderson and Cordell (1988) surveyed 844  
 395 single family residences and found that each large  
 396 front yard tree was associated with a US\$ 336 increase  
 397 in sales price or nearly 1% of the average sales price of  
 398 US\$ 38,100 (in 1978 US\$). In this study the 1% of  
 399 sales price figure is adjusted downward because trees  
 400 can create more conflicts in commercial, office, and  
 401 multi-family residential properties than in single  
 402 family properties. For example, in retail settings trees  
 403 can screen signs, storefronts, and window displays.  
 404 Trees reduce usable outdoor space and their debris can  
 405 dirty sidewalks, parked cars, and pedestrians. Trees in  
 406 cutouts or small tree wells can buckle sidewalks and  
 407 crack curbs, in the process creating trip and fall  
 408 hazards. The crowns of trees can grow into pole-  
 409 mounted lights, thereby, reducing nighttime illumina-  
 410 tion and personal security.

411 The median sales price of residential properties in  
 412 Sacramento was US\$ 109,000 (California Association  
 413 of Realtors, 1999). The value of a large tree that adds  
 414 1% to the sales price of such a property is US\$  
 415 109,000. Assuming the large front yard tree has a  
 416 12 m crown diameter and is 40-year-old the annual-  
 417 ized benefit per unit CC is US\$ 0.23/m<sup>3</sup> CC. This  
 418 value was multiplied by 0.75 for office and multi-  
 419 family residential land uses and by 0.66 for retail land  
 420 uses. Reduction factors were arbitrarily determined  
 421 after discussion with local real estate agents and they  
 422 reflect the observation that trees contribute more to the  
 423 value of office and apartment properties than retail  
 424 properties. Thus, the average annual aesthetic benefit  
 425 for a parking lot tree on retail property was US\$ 0.15/

426 m<sup>2</sup> CC, and US\$ 0.18/m<sup>2</sup> CC for a tree on office and  
427 multi-family residential property (Table 1).

428 The economic value of annual benefits produced by  
429 a tree  $y_i$  with dimensions measured or anticipated 15  
430 years after planting was calculated as

$$432 \text{PAN}_{ji} = (e + a + c + h + o) \times \text{PTSA}_{ji} \quad (4)$$

433 where  $e$  is the implied value (Table 1) of air con-  
434 ditioning benefits (US\$/kWh m<sup>2</sup> CC);  $a$  the implied  
435 value of each air pollutant (US\$/kg m<sup>2</sup> CC);  $c$  the  
436 implied value of net carbon dioxide reduction (US\$/  
437 kg m<sup>2</sup> CC);  $h$  the implied value of stormwater run-off  
438 reduction (US\$/m<sup>3</sup> m<sup>2</sup> CC);  $o$  is the implied value of  
439 aesthetics and other benefits (US\$/m<sup>2</sup> CC).

440 The benefits produced by each tree  $i$  in lot  $j$  were  
441 summed to capture the total value of annual benefits  
442 PAB <sub>$j$</sub>  assuming tree dimensions typically achieved  
443 after 15 years. Total annual benefits PAB were  
444 summed for the 15 lot sample and this result was  
445 scaled up to the city using the ratio of paved parking  
446 lot area in the sample to paved parking lot area in  
447 Sacramento (2%).

448 The ratio of total PAB to total PTSA served as the  
449 basis for calculating the total annual value of benefits  
450 assuming existing tree dimensions EAB, and for the  
451 50% tree shade scenario RAB as

$$453 \text{EAB} = \text{ETSA} \times \frac{\text{PAB}}{\text{PTSA}} \quad (5)$$

$$455 \text{RAB} = 0.50 \times \text{APA} \times \frac{\text{PAB}}{\text{PTSA}} \quad (6)$$

456 Citywide results were similarly inferred from the  
457 sample totals. Measures of variability rely on var-  
458 iances in the amount of shade per unit PA for EAB and  
459 95% confidence limits of tree crown diameter esti-  
460 mates for PAB.

### 461 3. Results

#### 462 3.1. Citywide parking lot assessment

463 Aerial photo analysis indicated that 38% (9580 ha)  
464 of the city was covered with impervious surfaces, 48%  
465 (11,850 ha) pervious surfaces, and 14% (3512 ha) tree  
466 CC. Parking lots accounted for 13% (1280 ha) of the  
467 city's total impervious surfaces, with the remainder  
468 being roofs (40%), streets/walks (31%), and other

(15%) impervious surfaces. As expected, parking lots 469  
themselves were largely impervious pavement (91%), 470  
with 7% tree canopy and 1% other pervious land- 471  
scaping materials. Approximately 70% (976 ha) of 472  
total parking lot area was associated with commer- 473  
cial/industrial land uses, 16% (228 ha) with institu- 474  
tional land uses, and 11% (156 ha) with multi-family 475  
residential land uses. Citywide, parking lots occupied 476  
5.6% (1403 ha) of the total land area. 477

#### 478 3.2. Sample parking lots

479 The 15 sample parking lots contained a diverse mix  
480 of types, ages, and sizes (Table 2). Six lots were retail  
481 shopping centers, six were office uses, and three were  
482 multi-family residential units. Five lots were 15 or 16-  
483 year-old, and thus, supposed to provide 50% shading  
484 of PAs. Four lots were 11–14-year-old. The remaining  
485 six lots were one to 7-year-old. Six lots contained 100–  
486 300 spaces, five had 301–600 spaces, two had 601–  
487 900 spaces, and two had 901–1247 spaces. The sample  
488 contained 28.7 ha of PA, or 2% of the citywide total  
489 parking lot PA. Covered parking occurred on all three  
490 apartment lots occupying 1.8 ha (6.3%) of PA. Two  
491 office lots (Cal Farm and Tribute) had parking under-  
492 neath the buildings. Based on discussion with Plan-  
493 ning Department Staff, the portion of PA under  
494 buildings was excluded from the shading analysis,  
495 while these parking spaces were included in the  
496 parking capacity analysis.

#### 497 3.3. Parking capacity analysis

498 The total number of existing parking spaces for the  
499 15 lots sample (7271) was 6% more than the number  
500 required (6836), assuming the maximum numbers for  
501 seven lots with both minimums and maximums spe-  
502 cified (Table 3). Ten lots had more spaces than  
503 required and excess spaces totaled to more than  
504 20% of the number required in six of these lots. Five  
505 lots had fewer spaces than the maximum required. One  
506 lot (Riverlake) had a 24% parking deficit. There was  
507 little systematic variation in surplus and deficit park-  
508 ing by lot size or age.

509 Sacramento's parking ordinance limited the max-  
510 imum number of spaces for six office lots and one  
511 PUD lot. The number of existing spaces fell within the  
512 range specified by ordinance in three of the seven lots.

Table 2  
Information on the sample lots

Lot	Type	Age (years)	PA <sup>a</sup> (ha)	GFA <sup>b</sup> /DUs (m <sup>2</sup> )
Kaiser	Office	4	3.0	21367
Arden	Office	14	1.2	12939
Campus	Office	16	0.8	7209
Cal Farm	Office	2	0.8	9222
Sutter	Office	15	0.6	3623
Tribute	Office	15	0.1	2290
Costco	Retail	4	3.7	13055
Home Depot	Retail	3	1.9	11713
Promenade	Shopping center	12	1.7	12636
Laguna	Shopping center	1	6.9	7143
Riverlake	Shopping center	11	1.6	6214
Norwood	Shopping center	8	0.7	6322
Tameron	Apartment	15	3.5	796
Hidden Lake	Apartment	15	1.6	190
Landing	Apartment	13	0.6	145
Total			28.7	

<sup>a</sup> PA: paved area.

<sup>b</sup> GFA: gross square foot of leaseable floor area and number of DUs for apartments.

513 Four lots had more existing spaces than the maximum  
514 number allowed. Laguna Village had 45% more  
515 spaces than the maximum allowed.

516 A total of 36% (2593) of the existing spaces were  
517 vacant when surveyed during peak occupancy periods  
518 (Table 3). Vacancy rates were near or above 50% for

519 four lots (one office, two retail, one apartment lot) and  
520 less than 25% for three lots (one office, retail, and  
521 apartment lot). Vacancy rates at retail lots were rela-  
522 tively high (32–66%), except for the Costco lot (21%).

523 Inference from the sample indicates that there were  
524 approximately 351,000 parking spaces in Sacramento,

Table 3  
Numbers of required and existing parking spaces and number of vacant spaces during peak use periods

Lot	Required spaces		Existing spaces	Difference from maximum required (%)	Number of vacant spaces	Vacant spaces (%)
	Minimum	Maximum				
Kaiser	575	828	840	1.4	292	34.8
Arden	348	506	374	-26.2	155	41.4
Campus	194	279	232	-17.0	81	34.9
Cal Farm	248	357	251	-29.8	138	55.0
Sutter	98	142	184	29.7	31	16.8
Tribute	62	90	108	20.5	36	33.3
Costco	562	0	759	35.0	161	21.2
Home Depot	504	0	528	4.7	262	49.6
Promenade	544	0	591	8.6	220	37.2
Laguna	638	711	1029	44.7	404	39.3
Riverlake	268	0	204	-23.8	65	31.9
Norwood	272	0	327	20.1	216	66.1
Tameron	1247	0	1180	-5.4	277	23.5
Hidden Lake	298	0	436	46.5	99	22.7
Landing	227	0	228	0.4	156	68.4
Total	6085	2914	7271	6.0	2593	35.7



Table 4  
Shading analysis<sup>a,b</sup>

Lot	CPA (ha)	CPA as % of PA	ETSA (ha)	ETSA as % of PA	ASA (ha)	ASA as % of PA	PTSA (ha)	PTSA as % of PA	PSA (%)
Kaiser			0.1	2.7	0.1	2.7	0.7	21.8	21.8
Arden			0.3	23.5	0.3	23.5	0.3	25.1	25.1
Campus			0.2	23.9	0.2	23.9	0.2	23.9	23.9
Cal Farm			0.0	3.6	0.0	3.6	0.4	48.7	48.7
Sutter			0.1	18.5	0.1	18.5	0.1	18.5	18.5
Tribute			0.0	30.9	0.0	30.9	0.0	30.9	30.9
Costco			0.1	2.6	0.1	2.6	0.7	18.0	18.0
Home Depot			0.0	2.1	0.0	2.1	0.6	29.3	29.3
Promenade			0.2	11.6	0.2	11.6	0.3	15.0	15.0
Laguna			0.1	2.0	0.1	2.0	1.5	22.3	22.3
Riverlake			0.1	3.6	0.1	3.6	0.1	6.5	6.5
Norwood			0.1	14.2	0.1	14.2	0.2	30.7	30.7
Tameron	1.2	34.9	0.4	12.2	1.7	47.1	0.4	12.2	47.1
Hidden Lake	0.4	27.9	0.4	27.4	0.9	55.3	0.4	27.4	55.3
Landing	0.1	22.3	0.1	18.7	0.2	41.0	0.1	20.6	42.9
Total	1.8	6.3	2.3	8.1	4.1	14.4	6.0	20.9	27.3
Mean (upper CI)	0.60	28.4	0.16	13.2	0.28	18.8	8.8	30.5	36.8
S.D. (lower CI)	0.56	6.3	0.13	10.2	0.44	17.8	5.0	17.5	23.8

<sup>a</sup> Upper and lower confidence intervals (95%) apply to estimates of PTSA and PSA only. Mean and S.D. are listed for other parameters.

<sup>b</sup> CPA: covered paved area; PA: paved area; ETSA: effective tree shade area (PA shaded at present); ASA: adjusted shade area (PA – CPA); PTSA: projected tree shade area at 15 years after development; PSA: projected shade area (covered + tree) at 15 years after development.

525 or nearly one space per resident (1999 population:  
526 388,333). The average amount of PA per space was  
527 40.8 m<sup>2</sup>. Knowing that 36% of all spaces are not used  
528 during the peak-period and assuming that 25% of all  
529 spaces are “excess parking” that could be converted  
530 to impervious surfaces, it was calculated that the  
531 sample’s 1818 “excess spaces” occupy 7.4 ha. City-  
532 wide, approximately 35,000 “excess spaces” occupy  
533 141 ha.

534 It is important to note that this analysis assumed that  
535 the number of spaces required followed parking ratios  
536 stipulated in the ordinance. In four cases, parking  
537 ratios shown on the site plans differed from those in  
538 the ordinance. Three office sites used ratios for retail  
539 sites that increased allowable parking spaces. The  
540 Planning Commission approved changing the parking  
541 ratio for a theater in the Laguna Village shopping  
542 center from 1:6 to 1:3 seats. These actions increased  
543 the number of required spaces by 12%, from 6836 to  
544 7640. Hence, the 7640 spaces approved for construc-  
545 tion by Planning Department Staff exceeded the num-  
546 ber of existing spaces (7271) by 5%.

### 3.4. Shading analysis

547  
548 RSA (50% of PA) was 14.4 ha and ASA for all lots  
549 was 4.1 ha or 14.4% of total PA (Table 4). ETSA was  
550 8.1% (2.3 ha) and effective crown diameter averaged  
551 only 3.9 m. The sample mean ETSA was 13% and  
552 large variance among lots was reflected in a S.D. of  
553 10%. Five lots were 15 and 16-year-old, but only one  
554 exceeded the 50% shade requirement (Hidden Lake,  
555 55%). Tameron apartment nearly complied, achieving  
556 47% shade. Tree shade (ETSA) ranged from 18 to  
557 31% in the three office lots and from 2 to 14% in the  
558 six retail lots. The role of covered parking was sur-  
559 prisingly important. Although only the three apart-  
560 ment lots had covered parking, these were the shadiest  
561 lots. CPA ranged from 22 to 35% of PA, thus, reducing  
562 the need for extensive tree shade. Covered parking in  
563 the three lots (1.8 ha) provided 44% of the total shade  
564 in all 15 lots.

565 After “growing” trees in lots less than 15-year-old  
566 to their projected 15 years size, tree shade (PTSA)  
567 increased from 2.3 ha (8%) to 6 ha (21%) and average

568 effective crown diameter increased to 6 m. Lower and  
 569 upper confidence limits for estimated PTSA were 5 ha  
 570 (18%) and 8.8 ha (31%), respectively. With covered  
 571 parking added, projected shaded area (PSA) increased  
 572 to 27% (7.8 ha) from 14% (4.2 ha) ASA, still well  
 573 short of the 50% goal. Only Hidden Lake (55%) was  
 574 projected to achieve 50% shade, but an additional lot  
 575 nearly complied (Cal Farm 49%) and two other lots  
 576 were projected to shade more than 40% of PA  
 577 (Tameron 47%, Landing 43%). These relatively shady  
 578 lots included all three apartments and one office, but  
 579 no retail lots.

580 On average, tree shade was projected to achieve  
 581 only 21% (18–31% CI) shade after 15 years (Table 4).  
 582 Younger lots were projected to achieve the most tree  
 583 shade (i.e. Cal Farm 49%, Norwood 31% and Home  
 584 Depot 29%). In part, this was due to application of tree  
 585 growth data derived from street trees in Modesto front  
 586 yards that tended to over estimate the growth of  
 587 parking lot trees (see Section 4). Many large-statured  
 588 trees in these younger lots were projected to produce  
 589 substantial shade during the next 8–12 years. River-  
 590 lake (6% PTSA) was a notable exception. This 11-  
 591 year-old lot contained many smaller growing species  
 592 (crab apple, pear) that were projected to provide  
 593 relatively little increase in shade during the remaining  
 594 4 years.

595 To better understand relations between parking lot  
 596 planting design and tree shade, means and S.D. were  
 597 calculated for three design parameters by lot: (1) tree  
 598 density (trees/100 m<sup>2</sup> APA), (2) shade credit and (3) 15  
 599 years crown diameter. Tree density reflects the relative  
 600 abundance of trees, whereas shade credit and crown  
 601 diameter influence the amount of PA shaded by each  
 602 tree.

603 The tree survey found 2031 tree sites and 1918  
 604 trees, or an average tree density of 0.92 (S.D. 0.35).  
 605 The mean tree density for retail lots was 0.64 (S.D.  
 606 0.22), significantly lower than for office (1.13, S.D.  
 607 0.35) and multi-family residential (0.91, S.D. 0.27)  
 608 lots. On the other hand, in retail lots the mean shade  
 609 credit was 79.9% (S.D. 8.3%) compared to 62% (S.D.  
 610 14.8%) and 57.8% (S.D. 8.4%) for office and apart-  
 611 ment lots, respectively. Mean crown diameters were  
 612 not significantly different among the three types of  
 613 lots. In summary, although retail lots had fewer trees  
 614 per unit area compared to office or apartment lots,  
 615 each tree crown shaded a greater percentage of PA on

average. The finding that trees in retail lots produced  
 616 more shade per tree is supported by the observation  
 617 that retail lots tended to be larger and contain more  
 618 double-loaded spaces than the other types of lots.  
 619 Also, the ratio of interior to perimeter trees appeared  
 620 to be greater in retail lots than office or apartment lots.  
 621

### 3.5. Economic analysis of tree shade 622

#### 3.5.1. Projected annual benefits for sample lots 623

624 Projected annual benefit (PAB) from all trees after  
 625 15 years was projected to total US\$ 36,829 (US\$ 19.20/  
 626 tree average). Trees in the three largest lots accounted  
 627 for 47% of total benefits (Fig. 2). A total of 69%  
 628 benefits were related to air quality (42%) and aesthetic  
 629 improvements (27%), while remaining benefits were  
 630 due to atmospheric CO<sub>2</sub> reduction (17%), cooling  
 631 energy savings (11%), and stormwater run-off reduc-  
 632 tion (3%). Assuming that trees in the sample shaded  
 633 50% of APA as per the ordinance, required annual  
 634 benefits (RAB) totaled US\$ 81,722 (US\$ 42.61/tree).  
 635 Hence, benefits foregone due to non-compliance were  
 636 valued at US\$ 44,893, or 55% of total RAB (Fig. 2).

#### 3.5.2. Benefits foregone citywide 637

638 Citywide, current CC from approximately 93,700  
 639 trees (9% APA) was estimated to produce annual  
 640 benefits valued between US\$ 545,000 and 853,000  
 641 with a mean of US\$ 699,000. Annual benefits increased  
 642 to a mean value of US\$ 1.8 million (US\$ 19.20/tree)  
 643 with lower and upper limits of US\$ 1.5 million and  
 644 US\$ 2.6 million, assuming tree shade increased to the  
 645 amount projected when all lots were 15-year-old (22%  
 646 APA) (Table 5). At 50% tree shade annual benefits  
 647 were US\$ 4 million. Therefore, not achieving the  
 648 ordinance's 50% shade target after 15 years resulted  
 649 in forgone benefits priced between US\$ 1.4 million and  
 650 US\$ 2.5 million annually.

651 Current tree shade for the 15 lots sample (8%  
 652 ETSA) was similar to that observed from aerial photos  
 653 for the city (7%). This suggests that the amount of  
 654 shade associated with the mix of old and young lots in  
 655 the sample reflects that found throughout the city. The  
 656 discrepancy between current shade and the 50% sti-  
 657 pulated by ordinance corresponds to US\$ 3.2–3.5  
 658 million in foregone benefits annually. Since the ordi-  
 659 nance is over 15-year-old, a large increase in overall  
 660 parking lot tree shade is not likely in the near future.

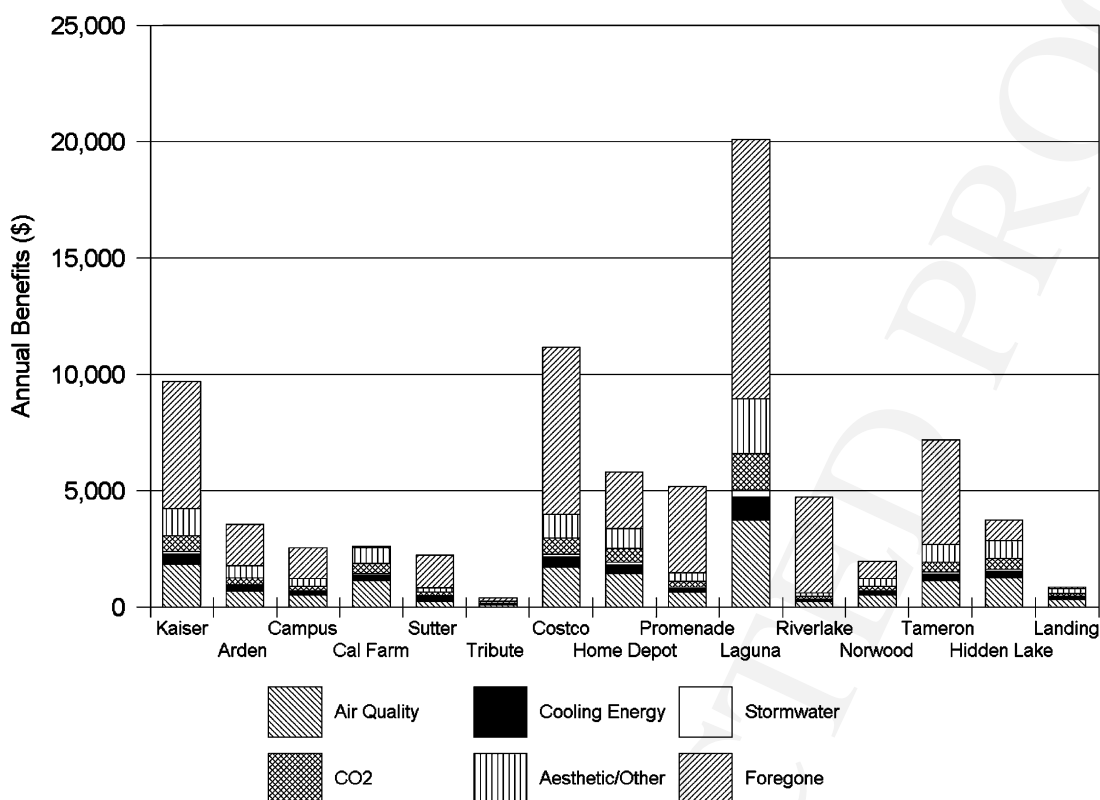


Fig. 2. PAB after 15 years by type for each sample lot and benefits foregone. Benefits foregone are the difference between RAB from 50% tree shade and PAB.

Table 5

Estimated annual benefits citywide from tree shade after trees reach dimensions projected for 15 years after planting given current design and management<sup>a</sup>

Benefit type	Total RU	Total US\$	Average RU/tree	Average US\$/tree
Air conditioning (MWh)	2347	194723	25.05	2.08
CO <sub>2</sub>	9094	302688	0.10	3.23
Stormwater (m <sup>3</sup> )	69084	57320	0.74	0.61
Aesthetic/other	0	479093		5.11
O <sub>3</sub>	11793	317799	0.13	3.39
PM <sub>10</sub>	12060	140498	0.13	1.50
NO <sub>2</sub>	3313	89285	0.04	0.95
SO <sub>2</sub>	430	8672	0.00	0.09
Total uptake	27596	556254	0.29	5.94
HC avoided	17395	332133	0.19	3.55
HC released	2642	50590	-0.03	-0.54
BVOC	3766	72654	-0.04	-0.78
Total HC	10986	208888	0.12	2.23
Grand total		1798965		19.20

<sup>a</sup> All resource units (RU) in kg unless otherwise noted.

661 The distribution of benefits were analyzed assuming  
 662 trees reached their projected sizes in 15-year-old lots  
 663 (Table 5). Dry deposition of air pollutants to the tree  
 664 canopy totaled US\$ 556,000, with O<sub>3</sub> uptake the single  
 665 largest value (US\$ 318,000). Total annual aesthetic  
 666 and other benefits were US\$ 479,000. Net atmospheric  
 667 carbon dioxide reduction and air conditioning savings  
 668 were estimated to produce combined benefits valued  
 669 at about US\$ 500,000. The net HC benefit was valued  
 670 at US\$ 208,000, with avoided evaporative emissions  
 671 from motor vehicles due to tree shade (17,400 kg)  
 672 nearly three times greater than the sum of BVOC  
 673 emissions from trees and HC emissions associated  
 674 with tree care (6400 kg). Stormwater run-off reduction  
 675 attributed to rainfall interception averaged 0.74 m<sup>3</sup>  
 676 (214 gal) per tree (US\$ 57,000).

### 677 3.5.3. Costs of compliance

678 Greater benefits from increased tree shade will be  
 679 offset to some extent by increased tree care costs.  
 680 Although tree care costs were not available for this  
 681 sample of parking lots, it is likely that in well-maintained  
 682 lots expenditures are similar to those for street

and park trees due to high amounts of public use and  
 significant liability. California cities spent US\$ 19/tree  
 on average in 1997 (Thompson and Ahern, 2000), while  
 the US average was US\$ 4.64/tree (Tschantz and Sacamano,  
 1994). These costs do not fully account for other  
 expenditures associated with trees, such as repair of  
 pavement and curbs damaged by tree roots, litter clean-up,  
 and property damage caused by tree failures during  
 storms. In general, maintenance expenditures increase  
 as the number and size of trees increase. Parking lot  
 property managers are investing in maintenance of the  
 existing canopy, but this investment may not be actualizing  
 an increase in tree CC and health, as when tree  
 crowns are headed back to reduce their size (Fig. 3).

Improving the health of existing trees and replacing  
 removed or dying trees is a first step toward increasing  
 CC in existing lots. The survey identified 42 trees to  
 remove and replace and this will cost approximately  
 US\$ 13,400 (US\$ 144/tree for removal, US\$ 175 for  
 replacement). Removing and adjusting staking on 235  
 trees will cost about US\$ 1410 (US\$ 6/tree), while  
 trimming 41 trees will cost US\$ 2255 (US\$ 55/tree).  
 Initially addressing other tree health problems such as



Fig. 3. Coast live oak (*Quercus agrifolia*) trimmed to control size rather than shade PAs.

706 trunk wounds, sparse foliage, and lack of irrigation for  
707 620 trees will cost approximately US\$ 6200 (US\$ 10/  
708 tree). The total cost is US\$ 23,265 for the 15 lots  
709 sample. By inference the citywide total is US\$ 1.1  
710 million. This amount is less than the US\$ 1.4–2.5  
711 million in benefits foregone annually because trees are  
712 only producing 14–27% shade after 15 years.

713 Although this finding provides some economic ratio-  
714 nale for investing in restoration of tree health, such an  
715 investment may not in itself be sufficient to achieve 50%  
716 shade. For example, replacing the 42 dead or stunted  
717 trees increases CC by only 0.01% assuming an effective  
718 average 15 years crown spread of 6.3 m. To promote  
719 more extensive shade it may be necessary to increase  
720 tree numbers, provide more soil volume for tree roots,  
721 and provide information to property managers and  
722 arborists on tree care practices that increase CC.

723 Assuming that other investments in tree health and  
724 replacement increase shade from 9 to 22% APA, it will  
725 cost an additional US\$ 20 million to plant enough trees  
726 citywide (116,000 at US\$ 175 each) to achieve 50%  
727 shade. This US\$ 20 million is equivalent to about 10  
728 years of foregone benefits. Securing US\$ 20 million to  
729 retrofit parking lot landscapes will require cost-shar-  
730 ing among stakeholders such as the local air quality  
731 district, electric utility, business community, city, and  
732 non-profit tree planting organization.

#### 733 4. Discussion

734 Retrofitting existing parking lot landscapes will be a  
735 relatively expensive and long-term proposition. A  
736 complementary strategy that may be easier and less  
737 costly to implement is to modify the existing parking  
738 lot shade ordinance.

##### 739 4.1. Plan review and tree installation

740 Only four of the 15 parking lots had completed  
741 shade plans. Planning staff did not require shade plans  
742 or lacked the time necessary to fully review them. A  
743 comparison of these three plans with the ordinance's  
744 Tree List and field survey results identified following  
745 several concerns to address during ordinance revision.

- 748 • Over estimated tree shade because overlapping  
shade was double-counted.

- Commonly used species omitted from the Tree  
List. Four of the seventeen species frequently  
observed in the sample are not on the ordinance's  
Tree List (Table 6). 750 751 752
- Incorrect crown diameters used in the plan. On two  
plans pear trees were incorrectly shown with diame-  
ters of 10.7 m, not 6.1 m as specified in the Tree  
List. 754 755 756
- Over stated crown diameters in the Tree List.  
Crown diameters measured in 14–16-year-old lots  
were significantly smaller for the five most com-  
mon species than their corresponding dimensions  
cited in the ordinance (Table 6). In most cases,  
diameters for 15-year-old Modesto street trees were  
greater than measured for parking lot trees, indicat-  
ing that previously cited estimates of tree shade  
after 15 years are liberal. 758 759 760 761 762 763 764 765
- Trees shown on the plan were not planted or  
removed shortly after planting, especially at sites  
near store fronts where trees could obstruct signs. 767 768
- Instead of trees planted as per the plan, substitute  
tree species were used. In one lot, palm trees and  
pears were substituted for larger-growing tallows. 770 771
- Parking ratios approved in planning documents  
allowed for more spaces than stipulated in the  
ordinance. 773 774

775 These findings suggest that updating the ordi-  
776 nance's Tree List to include more accurate estimates  
777 of 15 years crown diameter for a wider range of  
778 species should be a high priority. Providing planning  
779 staff with adequate time and training to review shade  
780 plans and parking ratios is essential to successful  
781 implementation of the ordinance. Although the exist-  
782 ing ordinance requires a site check after construction  
783 to ensure consistency with the plan, inspections may  
784 not be as systematic and thorough as needed. Teaching  
785 inspectors how to identify common problems is one-  
786 way to remediate this problem. Requiring certification  
787 by the landscape architect that parking spaces and  
788 trees are located as per the ordinance and plan is  
789 another means of promoting compliance.

##### 790 4.2. Site planning and design issues

791 Findings from this sample suggest that even during  
792 peak use periods a substantial amount of parking goes  
793 unoccupied. Reducing unnecessary impervious sur-

Table 6

Species composition of sample trees, 15 years crown diameter specified in the Sacramento ordinance, measured means (S.D.) for 14–16-year-old trees in Sacramento parking lots, and predicted (95% confidence intervals) dimensions for street trees 15 years after planting in nearby Modesto, CA

Tree species	Sacramento lots			Ordinance 15 years crown diameter (m)	Sacramento lots		Modesto streets <sup>a</sup> crown diameter (m)
	Sample number	% of total	Number of lots		Number of trees	Crown diameter (m)	
Chinese hackberry	284	14.8	6	10.7	6	7.4 (1.3)	10.9 (0.7)
Southern magnolia	200	10.4	3	10.7	87	5.1 (1.5)	5.0 (0.5)
Chinese pistache	196	10.2	7	10.7	31	7.3 (2.0)	9.1 (0.6)
Chinese tallow	132	6.9	5	9.1	16	3.9 (0.8)	
Plane/sycamore	110	5.7	6	10.7	77	6.3 (2.2)	10.2 (0.8)
Holly oak	108	5.6	1	10.7			7.9 (1.0)
Bradford pear	107	5.6	6	6.1	46	6.0 (2.4)	7.9 (1.0)
Raywood ash	101	5.3	3		100	8.8 (2.5)	9.1 (0.5)
Coast redwood	77	4.0	6	7.6	77	6.1 (2.0)	
Southern live oak	66	3.4	4	10.7			
Golden rain	58	3.0	3	9.1			6.8 (0.5)
Sweet gum	55	2.9	6	6.1	32	7.0 (1.5)	5.5 (0.6)
Flowering plum	30	1.6	5	7.6	10	7.6 (1.4)	6.3 (1.0)
Crape myrtle	24	1.3	5	6.1	5	2.0 (1.9)	3.4 (0.3)
Honey locust	22	1.1	2		22	4.2 (1.7)	8.3 (1.2)
White birch	19	1.0	2		15	8.7 (1.6)	5.9 (0.4)
Chinese elm	17	0.9	2		24	9.4 (3.0)	

<sup>a</sup> Data adopted from Peper et al. (in press).

794 faces can produce environmental benefits. Also, park-  
 795 ing lot environments are hostile conditions in which  
 796 trees will never reach their mature size unless provided  
 797 adequate space both above and below ground. During  
 798 construction top soil is removed and subsoil is com-  
 799 pacted. Debris is often disposed of in planting islands  
 800 and soils can become polluted from deicing salts and  
 801 run-off. A parking lot tree growing 25–60 cm dbh in  
 802 15 years requires 14–28 m<sup>3</sup> of soil (Urban, 1992),  
 803 while the standard tree well (1.8 m × 2.4 m × 0.6 m)  
 804 provides only 3 m<sup>3</sup>. Above ground conditions are hot  
 805 and arid during summer, windy and cold during  
 806 winter. Strategies to promote tree growth, reduce  
 807 the amount of paved impervious surfaces, and increase  
 808 environmental benefits are illustrated for the Home  
 809 Depot lot (Figs. 4–6) and described as follows.

- Reduce parking ratios to decrease the number of  
 812 unused parking spaces.
- Identify peripheral and overflow parking areas,  
 814 especially in retail lots, and determine the appro-  
 815 priate landscape treatment (e.g. pervious paving,  
 816 stormwater infiltration areas) (Girling et al., 2000).

- Narrow the width of aisles between rows of spaces.  
 In many cases aisle widths exceeded the standard  
 818 7.9 m. 819
- Increase the ratio of compact to full-sized spaces.  
 Although Sacramento’s ordinance allows for up to  
 821 40% compact spaces, only 16% of all spaces in the  
 822 sample were designated for compact cars. 823
- Convert double-loaded full-sized spaces to com-  
 825 pact spaces with a tree in between to increase shade  
 826 without reducing the number of spaces.
- Increase use of one-way aisles, angled parking  
 828 spaces, and shared parking to reduce overall imper-  
 829 viousness (ULI, 1983; Center for Watershed Pro-  
 830 tection, 1998).
- Increase soil volume and reduce soil compaction.  
 Increase tree well and planting island minimum  
 832 dimensions to 2.4 m. Use structural soil mix under  
 833 paving to retain parking spaces while increasing  
 834 soil volume (Grabosky and Bassuk, 1996). Require  
 835 soil in tree wells be excavated to a depth of 1 m and  
 836 amended as necessary. 837
- Use vegetated swales instead of tree wells or con-  
 839 vex-shaped islands to treat stormwater, promote

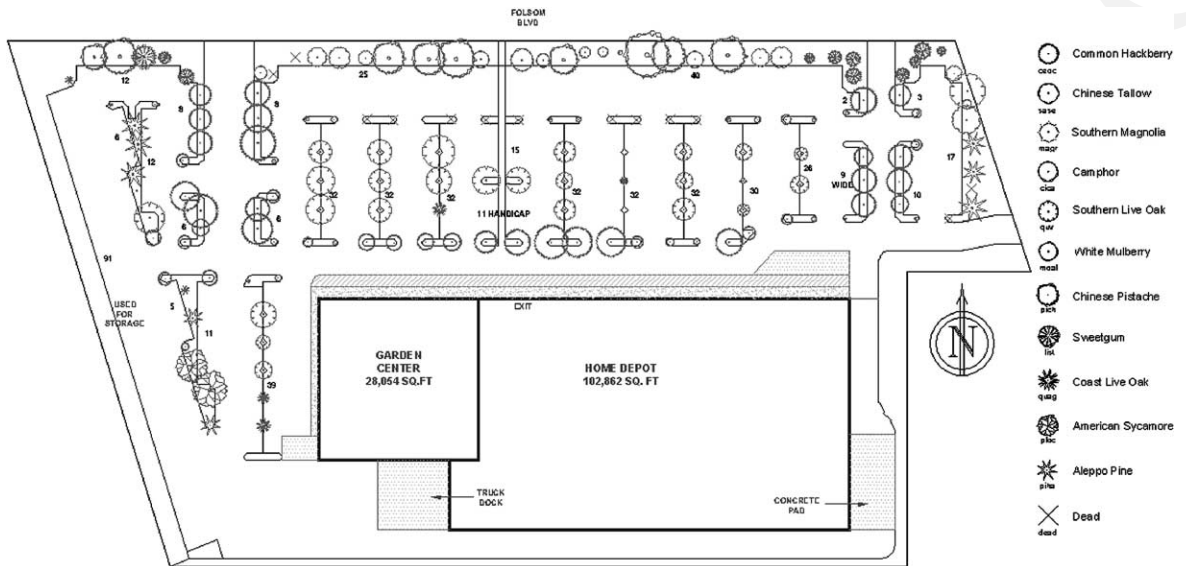


Fig. 4. Based on growth and condition at time of the survey, trees in the Home Depot lot were projected to shade only 29% of the PA after 15 years. The lot was 3-year-old when surveyed, had 1.9 ha PA, 528 parking spaces, and 156 trees that shaded 2.1% of PA. At the time of the survey 28 trees were stunted or dead, 83 required staking removal or adjustment, and 22 needed pruning (lifting or thinning). There were 24 more parking spaces than planned for, and stalls on the west side of the lot were seldom used. During the peak-period occupancy survey 50% of all stalls were vacant. Trees were planned to shade 42% of PA after 15 years.

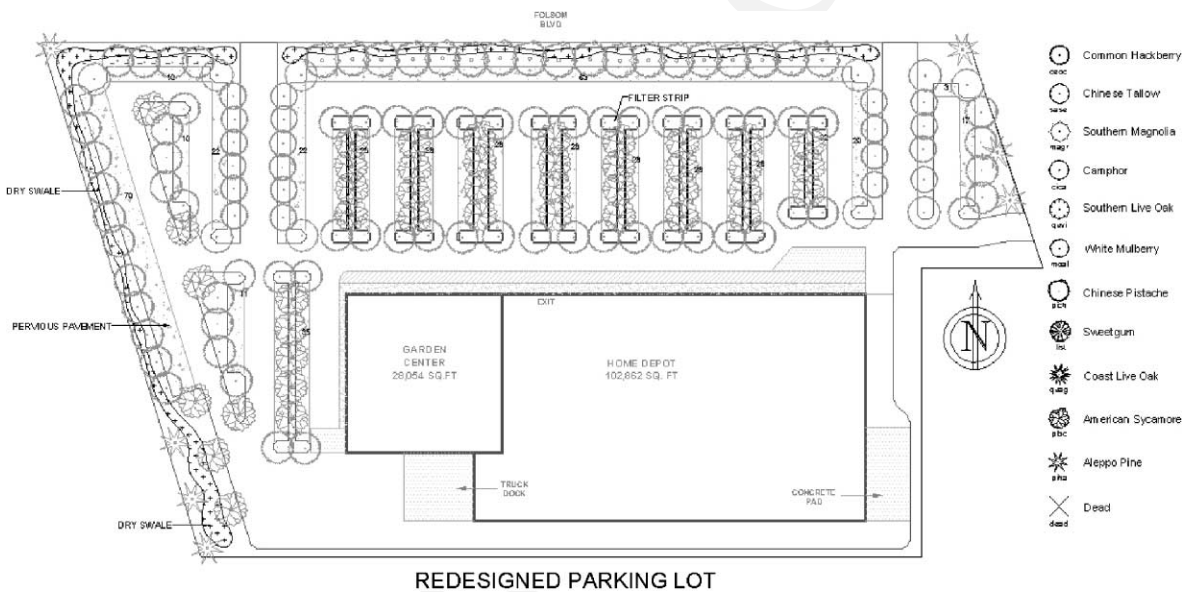


Fig. 5. The redesigned Home Depot lot increases planned tree shade to 58% and pervious cover by 18%. There are 106 fewer parking spaces (20%), creating new areas for perimeter swales to reduce stormwater run-off. Interior planting islands replace tree wells and contain with filter strips over infiltration trenches. Pervious concrete is shown where cars park. Tree species that have proven to grow well in other Sacramento parking lots are featured in the redesign.

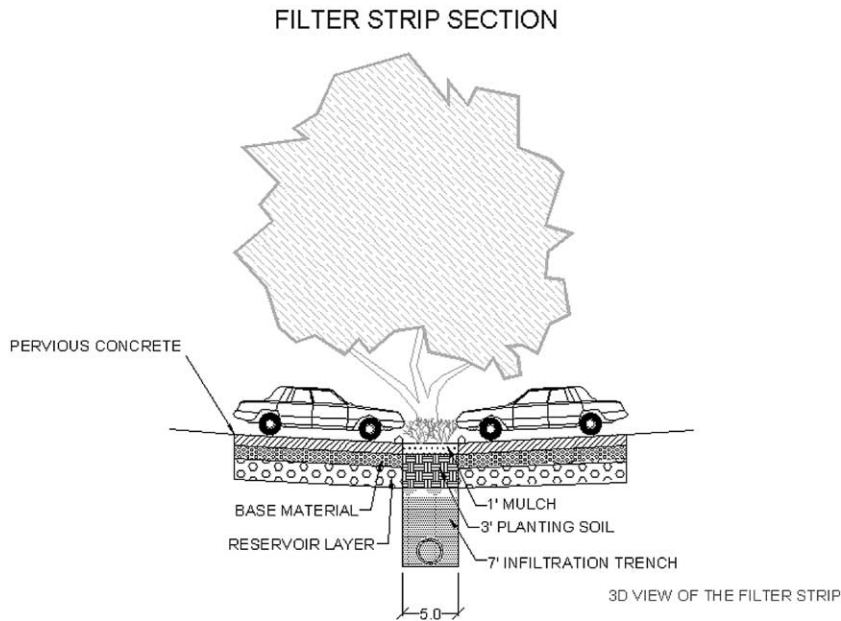


Fig. 6. Planting island with pervious concrete, filter strip, and infiltration bed to promote better tree growth through increased soil volume and enhanced on-site stormwater retention.

840 infiltration, and increase soil volume for trees  
841 (Richman, 1997) (Figs. 5 and 6).

- 843 • Reduce conflicts between trees, lighting, and sign-  
844 age. Coordinate location of trees, light poles, and  
845 signs. Reduce the maximum height of parking lot  
846 light poles from 7.6 to 4.9 m, the height trees are  
847 typically pruned for clearance. Amend sign ordi-  
848 nances to allow monument signs (eye-level signs  
849 located near the street) to have the names of major  
850 tenants listed on them and promote site designs that  
851 locate businesses closer to the street and move  
852 parking behind the buildings.
- 853 • Insure adequate species diversity. A total of 90%  
854 (64) of all trees in one lot were the same species. A  
855 guideline under consideration for the revised Sacra-  
856 mento ordinance is if 20–40 trees are required no  
857 more than 50% are the same type, and if more than  
858 40 trees are required no more than 25% are the  
859 same type.
- 860 • Develop a master Tree List, omit species that are  
861 not suitable for parking lots (e.g. pines, poplars,  
862 birch), and consider specifying recommended tree  
863 spacing and minimum planting island widths for  
864 each species.

- 865 • Encourage covered parking as the most reliable and  
866 quickest means of achieving parking lot shade. Multi-level  
867 parking structures achieve desired shade and reduce impervious surface area com-  
868 pared to surface parking, but are expensive to  
869 construct.

#### 4.3. Post installation issues 870

871 Lack of adequate tree care after installation reduces  
872 tree vigor, crown growth, and shade density. Nearly  
873 half of the trees surveyed (938) required some kind of  
874 management action and 2% (42) required removal  
875 because they were dead, dying, or hazardous. Remov-  
876 ing stakes and pruning trees were the most common  
877 maintenance needs in younger lots. In older lots more  
878 trees had sparse or discolored foliage, and roots were  
879 heaving paving and curbs. In several lots pruning  
880 practices kept the crowns of large growing trees such  
881 as oaks from reaching their potential size (Fig. 3).  
882 Achieving ample parking lot tree shade requires  
883 awareness of shade benefits by property owners,  
884 managers, and arborists, as well as a commitment  
885 to professional tree care on a regular basis. Enforce-



886 ment is critical to success of the ordinance. Timely  
887 enforcement should insure that trees are growing at  
888 acceptable rates, properly pruned and watered, and  
889 promptly replaced after removal. The current ordi-  
890 nance should be revised to address the following  
891 issues.

- Require that proper tree care practices are used by  
894 qualified professionals.
- Replace removed trees with trees of equivalent size  
896 or value according to a replacement schedule (e.g. a  
897 10 cm tree is replaced by a tree in a 0.9 m box or a  
898 15 gal tree and a US\$ 350 replacement fee).
- Develop an enforcement and monitoring program  
900 that records information on the management needs  
901 of every tree, and results in a letter sent to the  
902 property manager requesting corrective measures  
903 be made within a specific time frame. Inspections  
904 should be conducted several times over the 15-  
905 year-period. An inspection fee could be collected at  
906 the time of building permit issuance to avoid an on-  
907 going billing process. Failure to make the requested  
908 improvements could result in a fine or a lien on the  
909 property. Alternatively, an interest-bearing bond  
910 could be required initially to pay for landscape  
911 improvements throughout the life of the project.

913 Although well intentioned, the current ordinance is  
914 not effective as implemented. Achieving 50% shade  
915 will come with a price. Policy-makers must determine  
916 what price is appropriate and who will pay given  
917 societal benefits associated with different levels of  
918 tree shade. For instance, a least-cost alternative is to  
919 continue business-as-usual and reduce the shade  
920 requirement to a more feasible 40%. This strategy  
921 minimizes costs to parking lot developers but  
922 increases foregone benefits to society. A second strat-  
923 egy is to maintain the 50% target, but encourage more  
924 covered parking, revise the ordinance to promote tree  
925 growth, and verify compliance in new parking lots.  
926 This will increase costs for developers of new lots  
927 relative to existing non-compliant lots, as well as  
928 increase societal benefits associated with greater park-  
929 ing lot tree shade in the long-term. Expenditures for  
930 monitoring and enforcement could be borne by the  
931 city or developer. A third option could add a retrofit  
932 component that brings shade deficient lots into com-  
933 pliance. This option could become mandatory when  
934 building permits are requested, or voluntary based on

availability of funding and other incentives. Owners of 935  
existing lots could pay part of the retrofit costs, as well 936  
as other stakeholders that benefit from increased 937  
shade, reduced electricity demand for air condition- 938  
ing, cleaner air, and reduced run-off. 939

## 5. Conclusion 940

Fifteen years after development average parking lot 941  
shade was 22% (CI 14–27%), not 50% as stipulated by 942  
ordinance. Citywide, this deficiency translated into 943  
US\$ 1.4–2.5 million in foregone benefits from tree 944  
shade annually. Replacing non-functional trees and 945  
addressing other tree health issues that limit their 946  
growth citywide will cost approximately US\$ 1 mil- 947  
lion, while planting 116,000 new trees needed to 948  
achieve 50% shade in the future will cost about 949  
US\$ 20 million. Hence, the US\$ 21 million investment 950  
needed to bring parking lots into compliance is 951  
approximately equivalent to 10 years of foregone 952  
benefits assuming 15-year-old lots with 22% tree 953  
shade. 954

The significance of this research is three-fold. First, 955  
it presents a new approach for evaluating the effec- 956  
tiveness of parking lot shade ordinances that is trans- 957  
ferable to other cities with similar requirements. 958  
Second, many of the observations concerning causes 959  
and remedies for non-compliance can be generally 960  
applied. Third, quantifying foregone benefits of non- 961  
compliance makes the consequences more tangible. 962  
This assists those evaluating policy alternatives and 963  
provides a scientific basis for leveraging investment 964  
from other stakeholders. Quantifying the “green” 965  
infrastructure’s impacts on quality of life and the 966  
environment is fundamental to its integration with 967  
other more readily perceived and measured infrastruc- 968  
ture components such as streets, buildings, and park- 969  
ing lots. 970

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