

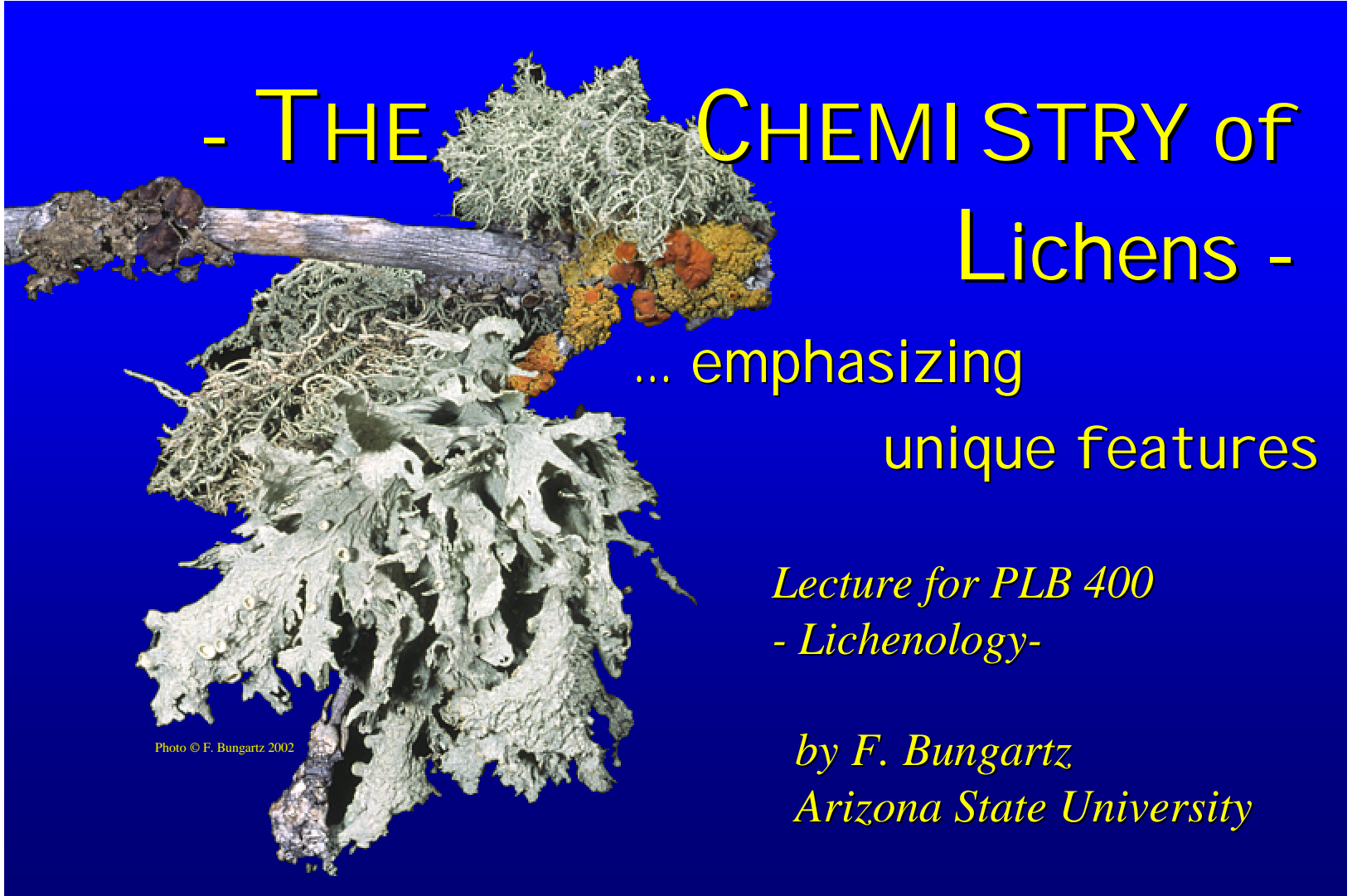
# - THE CHEMISTRY of Lichens -

... emphasizing  
unique features

*Lecture for PLB 400  
- Lichenology-*

*by F. Bungartz  
Arizona State University*

Photo © F. Bungartz 2002





# A bit of history...

---

- first isolation of lichen substances:
  - **BEBERT** (1831) *Vulpinic Acid*
  - **ALMS** (1832) *Picrolichenic Acid*
  - **Knop** (1844) *Usnic Acid*
- classical period (early 1900's):
  - **ZOPF** (1907): *Die Flechtenstoffe in chemischer, botanischer pharmakologischer und technischer Beziehung*
  - **HESSE** (1912): *Flechtenstoffe in Biochemisches Handlexikon*
- **ASAHINA** (1954): *Chemistry of Lichen Substances*
- Chicta F. **CULBERSON** & William L. **CULBERSON** (1970's)
- John A. **ELIX**

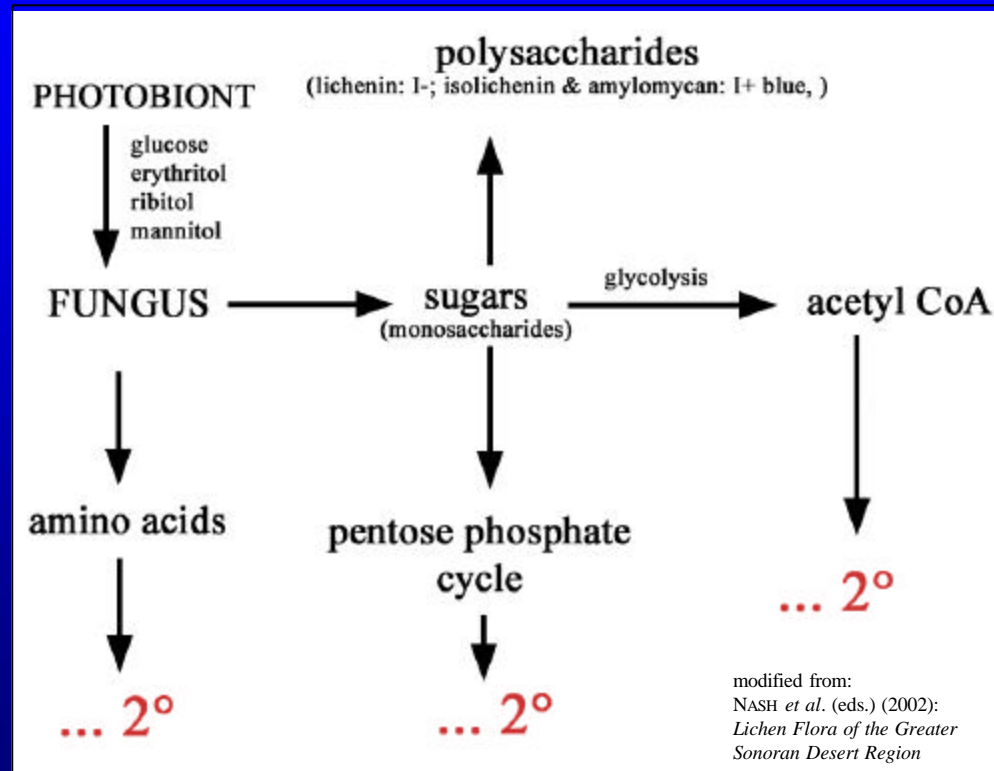


# Lichen Substances

---

- ca. **600 - 700 secondary metabolites** currently known
- only **60 - 80** also occur in other organisms like vascular plants
- **extracellular**
- often **crystalline** on lichen hyphae
- usually complex **organic acids**
- many **phenolic** substances
- large amounts: **usually 1-5%** (rarely up to 36% of the dry weight)!

# Secondary Metabolism





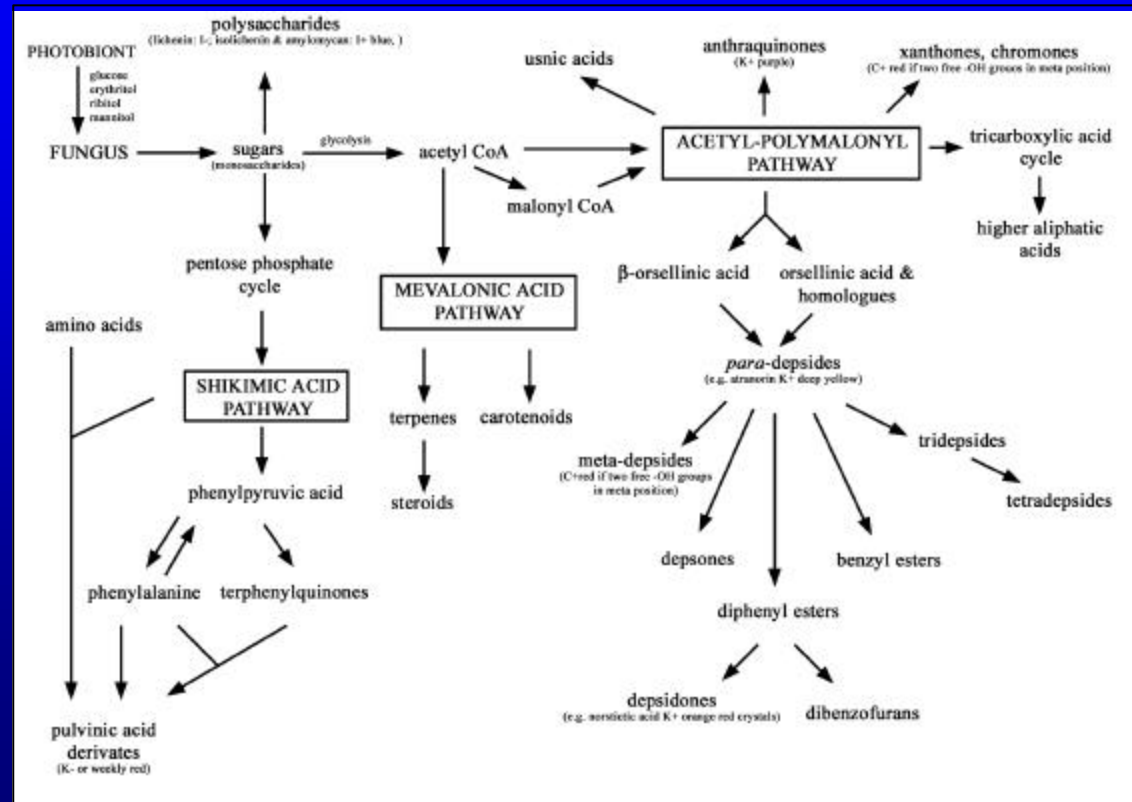


# Major Pathways

---

- Shikimic Acid Pathway
- Mevalonic Acid Pathway
- Acetyl Polymalonyl Pathway

# Major Pathways - Overview



from:  
 NASH *et al.* (eds.)  
 (2002): *Lichen  
 Flora of the Greater  
 Sonoran Desert  
 Region*



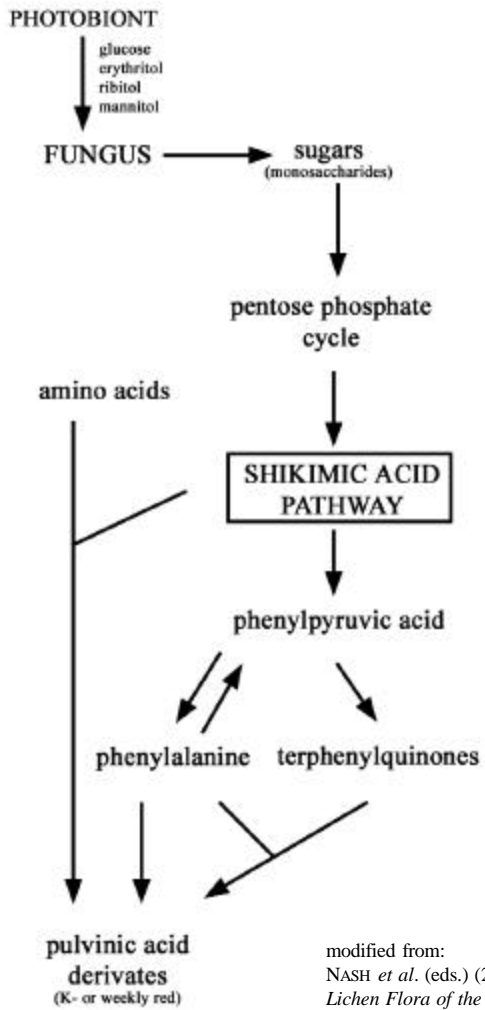
# Shikimic Acid Pathway

---

- rather **small group** of lichen secondary metabolites
- **often unique to lichens**
- derived from **pentose phosphate cycle** and **amino-biosynthesis**
- i.e. pulvinic acid derivatives,  
e.g. vulpinic acid, rhizocarpic acid (bright yellow pigments, K-)



# Shikimic Acid Pathway

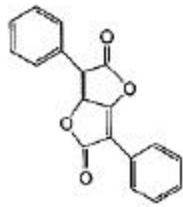


modified from:  
NASH *et al.* (eds.) (2002):  
*Lichen Flora of the Greater  
Sonoran Desert Region*

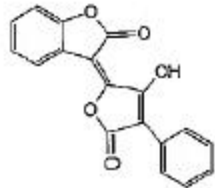


bright yellow color because of rhizocarpic acid in *Acarospora* subg. *Xanthothallia*

Photos © F. Bungartz 2002

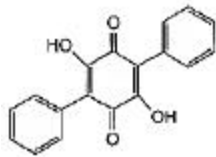


PULVINIC DILACTONE



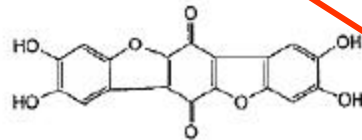
CALYCIN

Pulvinic Acid Derivates:

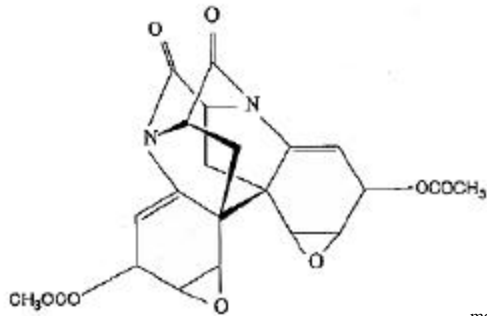


POLYPORIC ACID

Terphenylquinones:



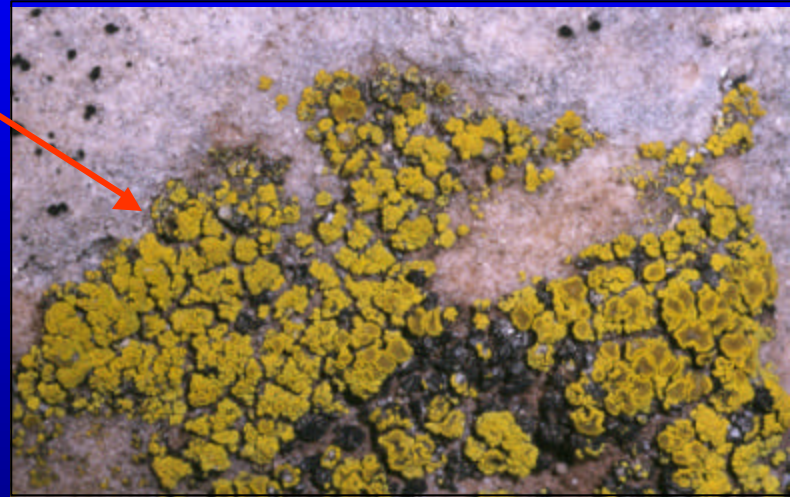
THELEPHORIC ACID



Amino Acid Derivate:  
SCABROSIN 4,4'-DIACETATE

modified from:  
NASH (ed.) (1996):  
*Lichen Biology.* –  
Cambridge University  
Press

# Shikimic Acid Pathway



egg-yolk color caused by Calycin  
in *Candelariella rosulans*

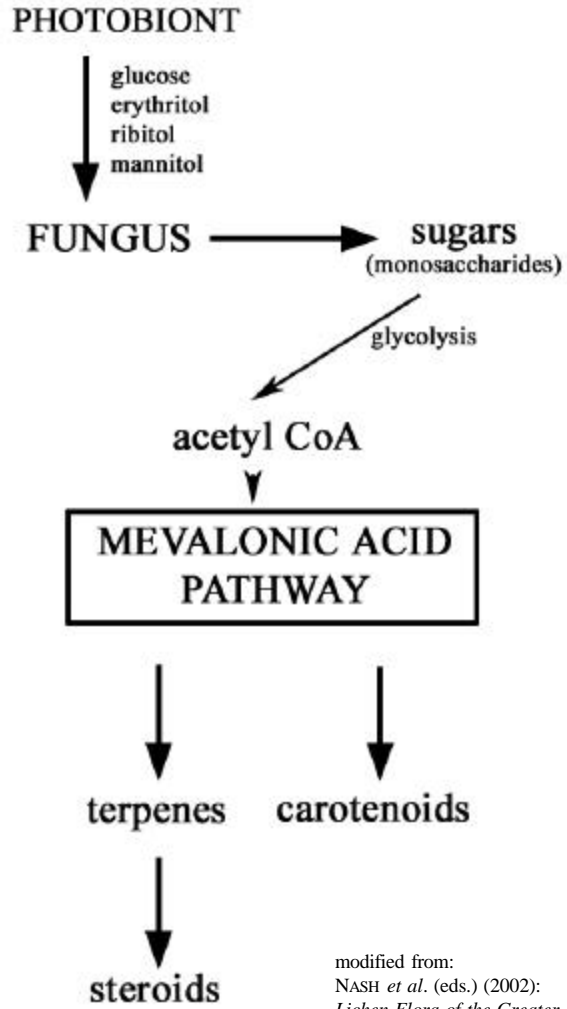
Photo © F. Bungartz 2002



# Mevalonic Acid Pathway

---

- a large group of secondary metabolites
- **usually not restricted to lichens** but also common in other organisms
- derived from **Acetyl CoA**
- i.e. terpenes, carotenoids and steroids  
e.g. Zeorin (= *hopane-6a, 22-diol*)

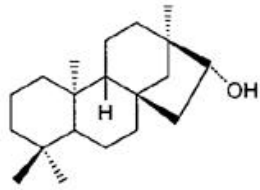


modified from:  
 NASH *et al.* (eds.) (2002):  
*Lichen Flora of the Greater  
 Sonoran Desert Region*

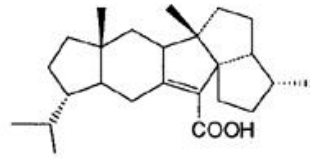
# Mevalonic Acid Pathway



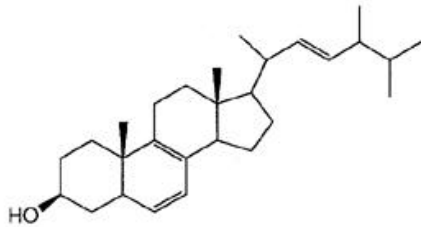




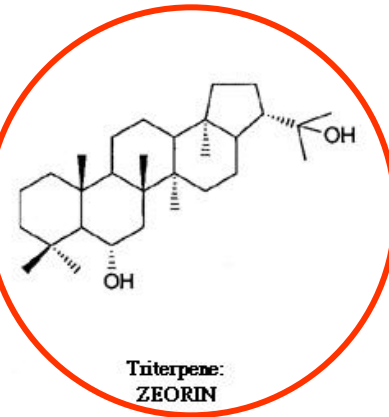
**Diterpene:**  
**16 $\alpha$ -HYDROXYKAURANE**



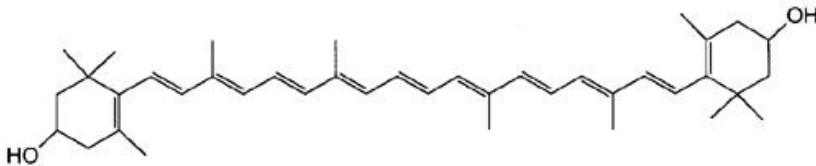
**Sesterpene:**  
**RETIGERANIC ACID**



**Steroid:**  
**ERGOSTEROL**



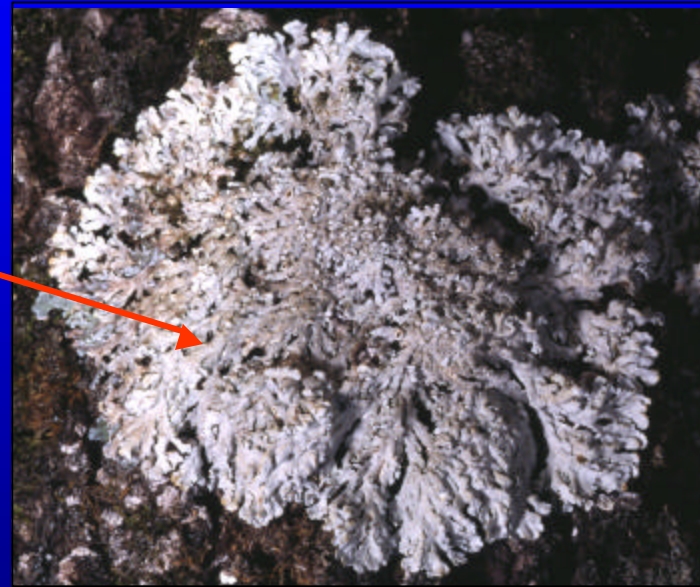
**Triterpene:**  
**ZEORIN**



**Carotenoid:**  
**ZEAXANTHIN**

modified from:  
NASH (ed.) (1996); *Lichen  
Biology*. – Cambridge University  
Press

## Mevalonic Acid Pathway



the colorless substance zeorin  
occurs in the medulla of  
*Heterodermia rugulosa*,

Photos © F. Bungartz 2002



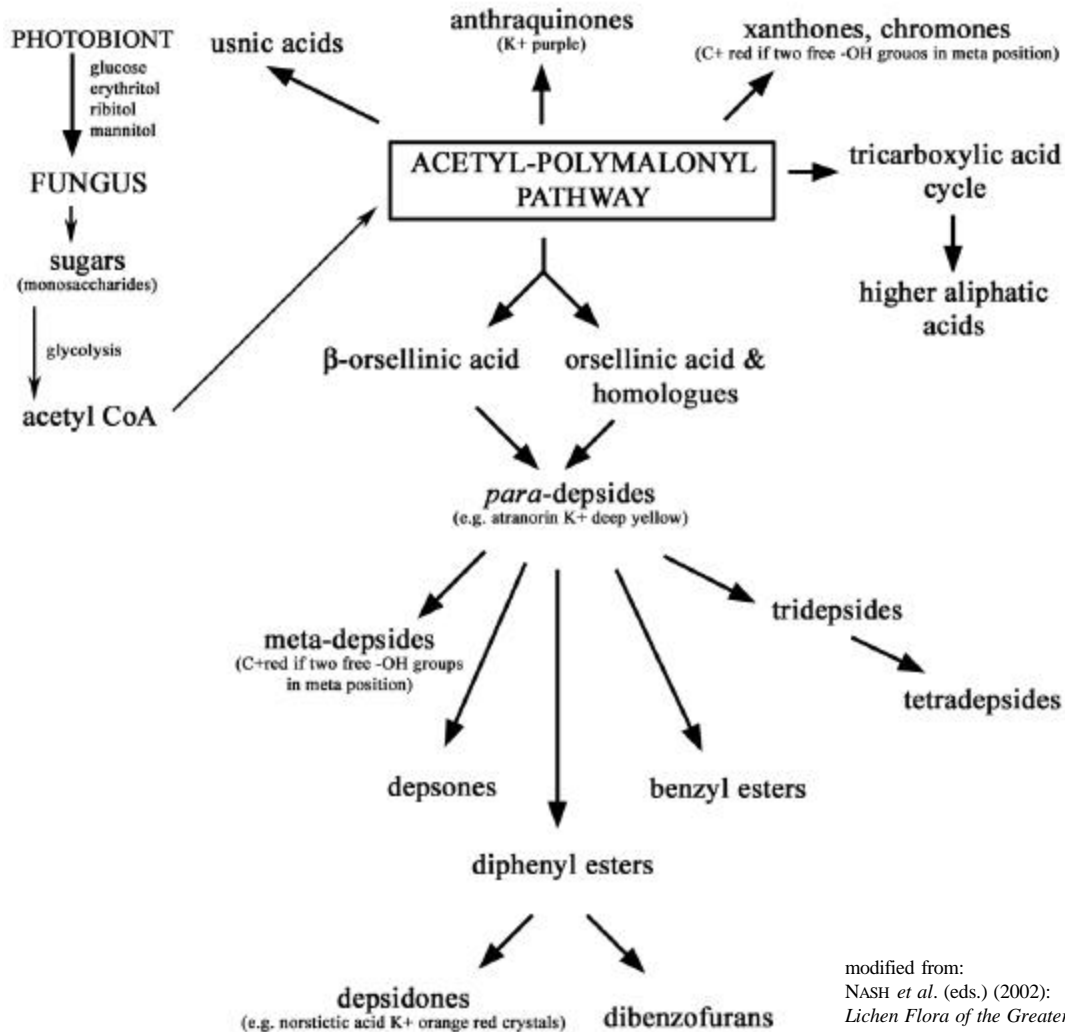


# Acetyl Polymalonyl Pathway

---

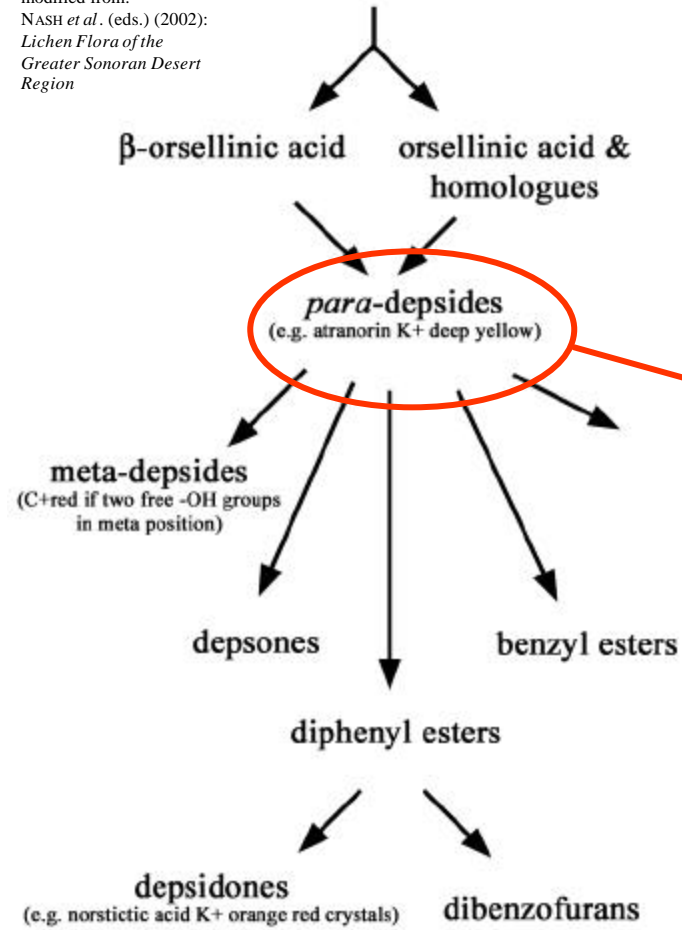
- the **largest group** of lichen secondary metabolites
- usually **unique to lichens**, but a few also found in other organisms
- derived from:
  - **polymalonyl pathway** without pre-cursors,  
e.g. usnic acids, anthraquinones, xanthones & chromones
  - or
  - via **orsellinic acid** as a pre-cursor  
i.e. depsides (e.g. atranorin), depsidones (e.g. norstictic acid),  
dibenzofuranes (e.g. pannaric acid) ...

# Acetyl Polymalonyl Pathway

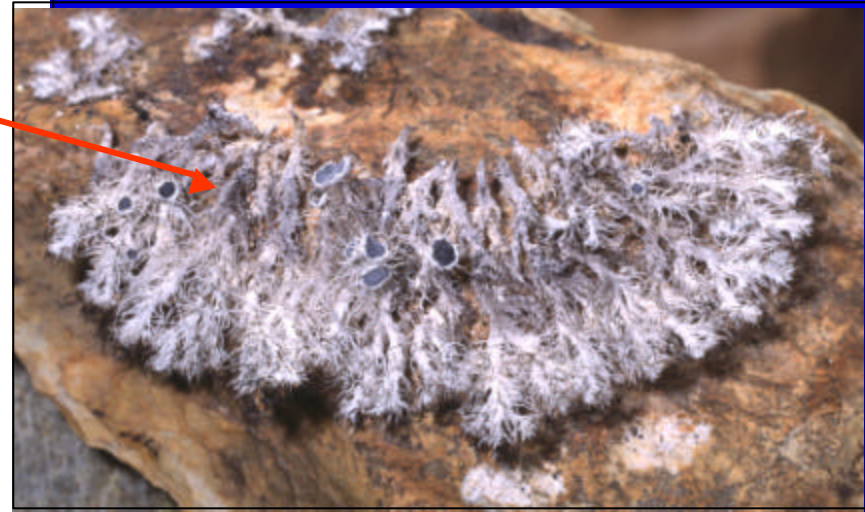


**ACETYL-POLYMALONYL  
PATHWAY**

modified from:  
NASH *et al.* (eds.) (2002):  
*Lichen Flora of the  
Greater Sonoran Desert  
Region*



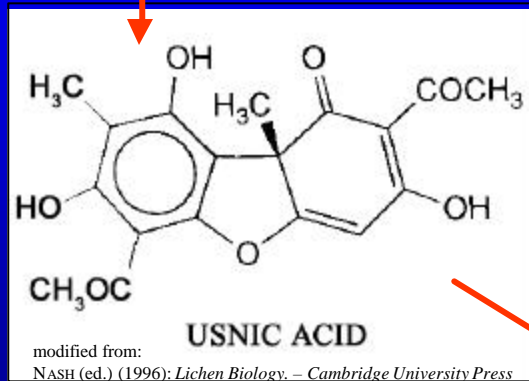
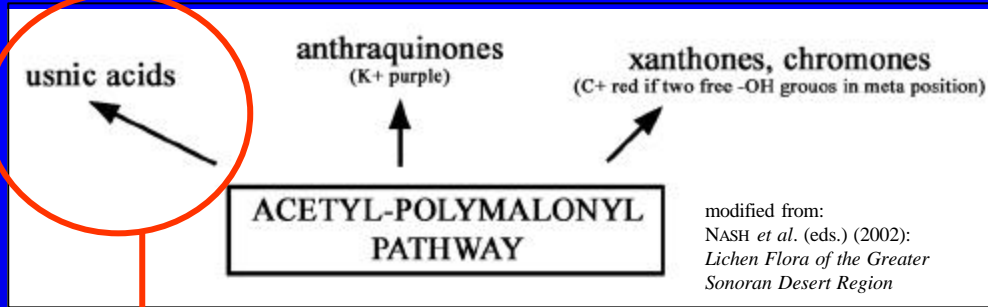
# Acetyl Polymalonyl Pathway



*atranorin as colorless sunscreen pigment  
in Heterodermia ciliatomarginata,*

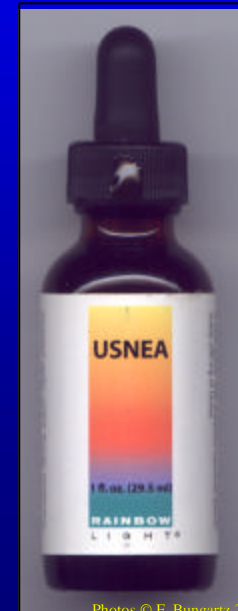
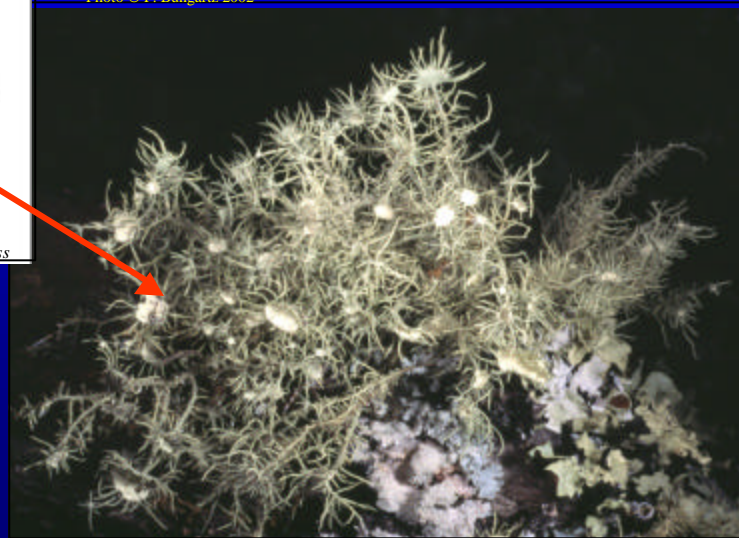
Photo © F. Bungartz 2002

# Acetyl Polymalonyl Pathway



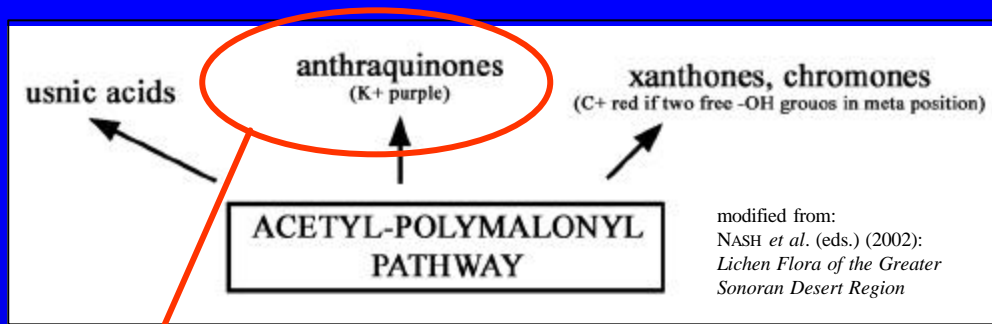
*yellow lime-green color of  
usnic acid in Usnea arizonica*

Photo © F. Bungartz 2002



Photos © F. Bungartz 2002

# Acetyl Polymalonyl Pathway



modified from:  
NASH *et al.* (eds.) (2002):  
*Lichen Flora of the Greater  
Sonoran Desert Region*



orange red anthraquinones in  
*Caloplaca ignea* Photo © F. Bungartz 2002



# Chemotaxonomy

from  
**CULBERSON, W.L.**  
**& CULBERSON, C.F. (1970):**  
**A phylogenetic view of**  
**chemical evolution in**  
**lichens.**  
**The Bryologist 73(1): 1-31**

	Orcinol			$\beta$ -Orcinol			dibenzofurans	uronic acids	fatty acids	anthraquinones	xanthones	chromones	terpenes, etc.	polybasic acid derivatives	sugarbides
	para-depsides, tridepsides	meta-depsides	depsidones	para-depsides	meta-depsides	depsidones									
Number of compounds	23	9	11	7	4	14	6	4	21	25	16	4	32	9	24
<b>Class Ascomycetes</b>															
<b>Order Lecanorales</b>															
Lichinaceae	-	-	-	-	-	-	-	-	-	-	-	-	-	-	11+
Collemaaceae	-	-	-	-	-	1	-	-	-	-	-	-	+	-	-
Pannariaceae	-	-	-	1	-	-	-	-	-	-	-	-	-	-	-
Peltigeraceae	1	-	-	-	-	-	-	-	+	2	-	-	2	5	-
Nephromataceae	-	-	-	-	-	-	-	+	-	6	-	-	2	2	4
Stictaceae	5	1	-	1	-	4	-	+	-	+	-	-	6+	5	4
Graphidaceae	1	-	-	1	-	4	-	-	-	-	1	-	1	-	-
Thelotremataceae	-	-	-	-	-	4	-	-	-	-	1	-	-	-	-
Lecideaceae	6	-	1	3	-	6	1	+	2	5	6	-	1	6	-
Stereocaulaceae	2	-	2	2	1	5	1	+	1	1	-	-	4	-	1
Cladoniaceae	3	6	1	4	3	4	2	+	4+	-	-	-	6+	-	6+
Umbilicariaceae	3	-	-	1	-	2	-	-	1	3	-	-	1	1	11
Diploschistaceae	2	-	-	-	-	1	-	-	-	-	-	-	-	-	2
Pertusariaceae	2	-	1	1	2	4	-	-	2	-	6	-	-	-	2
Acarosporaceae	1	-	-	-	-	1	-	+	-	-	-	-	-	4	-
Lecanoraceae	5	-	3	3	2	8	1	+	4+	-	12	2	4	2	8
Candelariaceae	-	-	-	-	-	-	-	-	-	-	-	-	-	3	1
Parmeliaceae	11	1	6	5	1	9	-	+	10+	1	1	-	13	2	14
Anziaceae	2	1	1	2	-	1	-	-	1	-	-	-	-	-	-
Ramalinaceae	5	5	-	4	-	6	-	+	1+	-	-	-	1	-	4
Usneaceae	5	-	2	8	3	11	2	+	4+	+	-	1	4	1	7
Buelliaaceae	1	-	1	2	-	3	-	+	-	-	4	-	1	2	1
Physciaceae	1	2	-	2	-	3	-	-	-	8	1	-	2	-	3
Teloschistaceae	-	-	-	1	-	1	-	-	-	6	-	-	3	2	9
<b>Order Sphaeriales</b>															
Verrucariaceae	-	-	-	-	-	-	-	-	-	-	-	-	1	-	4
<b>Order Caliciales</b>															
Caliciaceae	-	-	-	-	-	-	-	-	-	-	-	-	-	3	-
Cyphellaceae	-	-	-	-	-	-	-	-	-	-	-	-	-	1	-
Sphaerophoraceae	3	-	-	2	-	2	-	+	-	4	-	-	1	1	2
<b>Order Myriangiiales</b>															
Arthoniaceae	-	-	-	1	1	1	-	+	-	-	1	-	-	-	-
<b>Order Pleosporales</b>															
Arthopyreniaceae	-	-	-	-	-	-	-	-	-	1	1	-	-	-	-
Pylopyreniaceae	-	-	-	-	-	-	-	-	-	-	1	-	-	-	-
<b>Order Hysteriales</b>															
Opegraphaceae	4	-	-	-	-	1	-	-	-	-	-	-	-	-	-
Roccellaceae	3	-	-	-	-	4	1	-	2+	-	-	1	5	-	7+
<b>Class Fungi Imperfecti</b>															
Lepraria	-	-	-	1	-	1	1	-	1	-	-	1	1	5	2



# Chemotypic variation in lichens

---

- **replacement substances:**  
one substance replaced by a closely related substance
- **chemosyndromes:**  
several substances regularly occur together, e.g.  
stictic acid complex
- **accessories:**  
substances may or may not occur, of little taxonomic value



# Sibling Species Concept (sensu CULBERSON)

---

- Cryptic Species:  
... species with identical morphology, but nevertheless genetically isolated & distinct, e.g.:
  - Zoology: Similar birds with different mating songs being reproductively isolated
- CULBERSON & CULBERSON: Chemical diversity as evidence for "Sibling Species" in Lichens, e.g.:

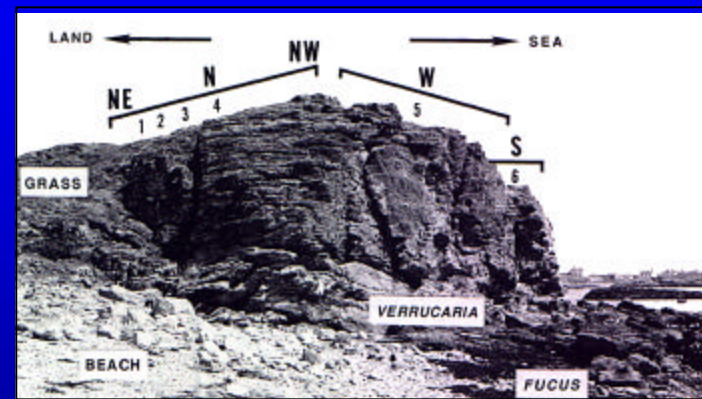
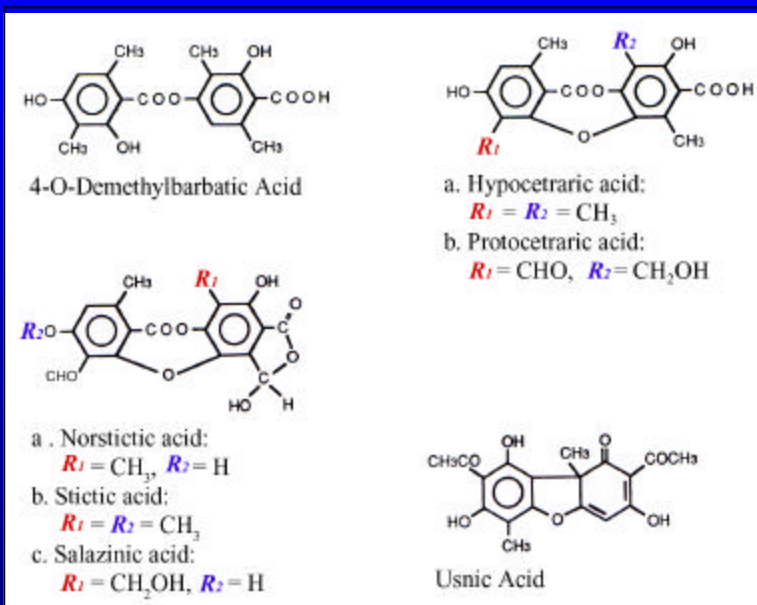
*Ramalina*      &      *Cladonia*





# Sibling species of *Ramalina siliquosa* agg.

- Ecological variation along the shore of Anglesey, Wales, Great Britain



from CULBERSON, W.L., CULBERSON, C.F. & JOHNSON A. (1993):  
 Speciation of lichens of the  
*Ramalina siliquosa* complex...  
 Am. J. Bot. 80(12): 1472-1481



# Challenging the Sibling Species Concept

Substances	protocetraric	hypocetraric	salazinic	norstictic	no stictic acid
Ecology	sheltered $\longrightarrow$ exposed				

## Taxonomy:

Fries (1831)

*R. siliquosa* s. l.

Sheard (1978)

*R. siliquosa* s. str.

*R. cuspidata* s. str.

Culberson (1967)

*R. siliquosa* s.str.

*R. druidarum*

*R. crassa*

*R. stenoclada*

*R. atlantica*

*R. curnowii*

Culberson et al. (1993)

not enough data

hybridization ?

hybridization ?

## Sibling Species of *Cladonia chlorophaea* agg.



- Chemical variation within a population of *Cladonia chlorophaea* agg.



*Cladonia pyxidata* (L.) Hoffm.

Photo © F. Bungartz 2002



	Fumarproto- cetraric Acid	Grayanic Acid	Merochloro- phaeic Acid	Cryptochloro- phaeic Acid	4-0-methyl- cryptochloro- phaeic Acid	Per- latolic Acid
<i>C. chlorophaea</i>	+					
<i>C. grayi</i>	+/-	+				
<i>C. merochlorophaea</i>	+		+	trace	+	
<i>C. cryptochlorophaea</i>	+/-			+		
<i>C. perloma</i>			+	trace	+	+



## Analysis of podetia and sporelings

---

- frequent hybridization:  
sporelings of *C. grayi* with chemistry of *C. merochlorophaea* and vice versa
- frequent hybridization:  
sporelings of *C. chrytochlorophaea* with chemistry of *C. perlomera* and vice versa
- hybridization extremely rare:  
*C. chlorophaea* produced only one single sporeling with cryptochlorophaeic acid (i.e. the chemotype of *C. chrytochlorophaea*)



## possible function of lichen substances

---

- cortical pigments (for UV-protection) e.g. atranorin, usnic acid, lichexanthone (ivory UV+ yellow), various brown pigments
- allelopathic:
  - protection against herbivores
  - antibiotic properties
- may have some effect on weathering of rock substrates, as complexing agents (chelates), but largely insoluble under natural conditions...



# Analysis of Lichen Substances

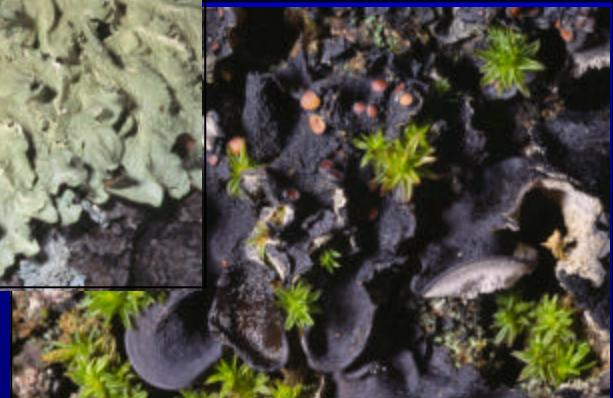
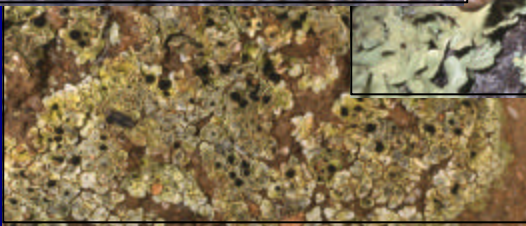
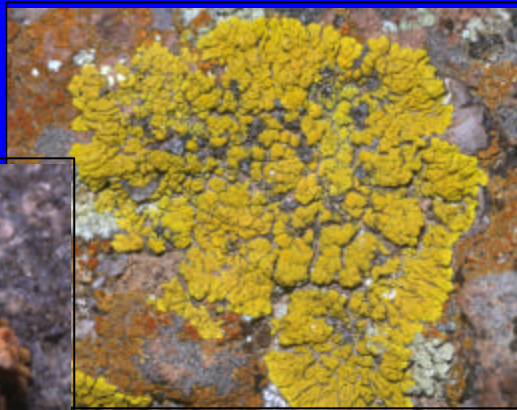
---

- observational: **color**
- **spot tests, UV- fluorescence**
- microcrystallization techniques
- **thin-layer chromatography (TLC)**
- high performance liquid chromatography (HPLC)
- mass spectroscopy (structural analysis)



# Color

---







# Spot

# Tests ...



- **P** (para-phenylendiamine):  
yellow – orange – red with depsides & depsidones containing aldehyde groups (-CHO)
- **K** (potassium hydroxide KOH):  
K+ purple with orange anthraquinones, e.g. *Caloplaca*, *Xanthoria*, *Teloschistes*  
K- with yellow pulvinic acids, e.g. *Candelaria*, *Candelariella*, *Candelina*, *Acarospora*, *Letharia*
- **C** (Ca-hypochlorite):  
pink with depsides and xanthonones with two free hydroxyl groups (-OH)



# UV-Fluorescence

...

various color reactions, e.g.:

- *Lichexanthone*: UV+ yellow
- *Squamatic Acid*: UV+ white
- *Barbatic Acid*: UV+ whitish blue
- *Arthothelin*: UV+ orange

*etc.*





# Thin-Layer Chromatography

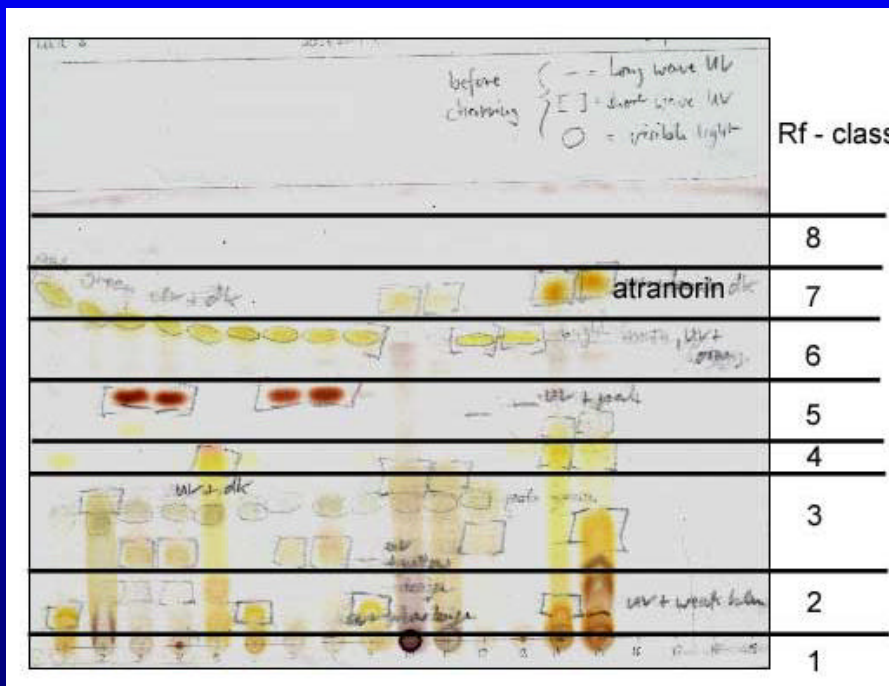


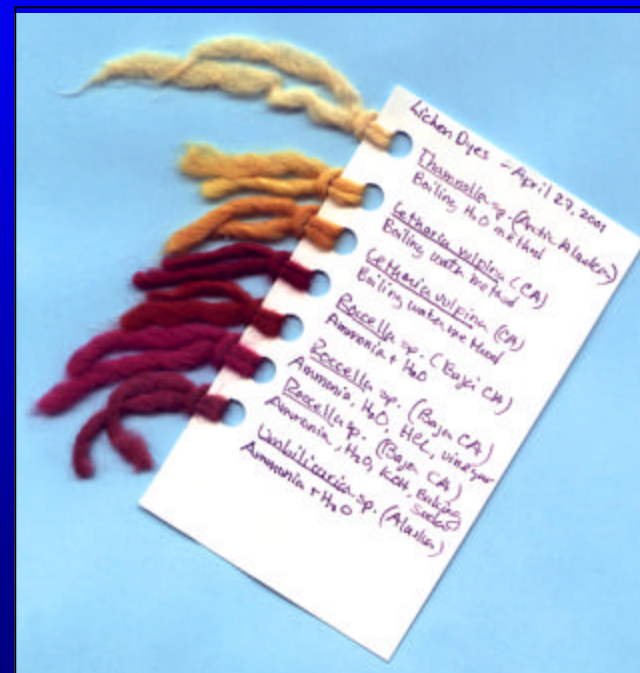
Photo © F. Bungartz 2002

# the use of lichen substances

- colors and dyes
- perfumes
- pharmaceuticals



from SCHÖLLER (ed.) (2002): Flechten.  
Kleine Senckenberg Reihe 27



© Karen Dillman, ASU Graduate Student  
Lichenology Class, Spring 2001

And if you didn't like all the  
chemistry talk ...

---



... you may want to try some  
*Cetraria islandica-Schnapps*