



NATIONAL ENDOWMENT FOR THE HUMANITIES

**BUDGET REQUEST
FOR FISCAL YEAR 2009**

**SUBMITTED TO CONGRESS
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NATIONAL ENDOWMENT FOR THE HUMANITIES

FISCAL YEAR 2009 APPROPRIATION REQUEST

Table of Contents

	<u>Page</u>
I. SUMMARY and HIGHLIGHTS	
<i>We the People</i>	1
Picturing America.....	3
Additional Highlights of the Budget Request	4
II. BUDGET TABLE.....	11
III. PROGRAMS	
We the People.....	13
Digital Humanities.....	19
Federal/State Partnership.....	23
Education Programs.....	29
Preservation and Access.....	37
Public Programs.....	45
Research Programs.....	53
Office of Challenge Grants.....	59
Treasury Funds.....	65
IV. FY 2009 PERFORMANCE PLAN.....	67
V. ADMINISTRATIVE BUDGET.....	91

NATIONAL ENDOWMENT FOR THE HUMANITIES

Fiscal Year 2009 Appropriation Request

SUMMARY AND HIGHLIGHTS

The Administration and the National Endowment for the Humanities (NEH) request an appropriation totaling \$144.355 million for the agency for fiscal year 2009:

- \$20.000 million to support the agency's *We the People* program on American history and culture, including the new Picturing America initiative;
- \$2.000 million for the Endowment's Digital Humanities program;
- \$84.242 million for NEH's grant programs in support of high quality education, research, preservation and access, and public programming in the humanities; and the projects and programs of the 56 state humanities councils;
- \$7.082 million for the NEH Challenge Grants program to stimulate and match private, nonfederal donations in support of humanities institutions and organizations;
- \$5.031 million to stimulate and match nonfederal contributions to humanities projects supported by the Endowment; and
- \$26.000 million for administrative expenses necessary to operate the agency efficiently and effectively.

Since 1965, the National Endowment for the Humanities has worked to fulfill its mandate to preserve, promote, and disseminate the best of the humanities in America. NEH was founded in the belief that cultivating the best scholarship, education, and public programming in the humanities has real, tangible benefits for all Americans. Identifying excellence in the humanities enables and furthers the study and understanding of human history and culture, thereby enriching the lives of all Americans and better equipping them to participate fully as citizens in our democracy.

We the People

Funds requested for FY 2009 would support an expansion of NEH's path-breaking *We the People* program, including support for our new special initiative, Picturing America, which will bring images of iconic pieces of American art into the nation's classrooms and libraries to help teach American history.

We the People is a comprehensive strategy that is designed to encourage and enhance the teaching, study, and understanding of American history and culture. The program consists of special activities NEH sponsors directly, as well as support for research, education, preservation and access, and public audience projects through the Endowment's grant-making programs.

A sampling of the impressive record of accomplishments of *We the People* over its first five years includes:

- More than 1,380 grants have been awarded through NEH's grant programs. From museum exhibitions to Emmy-award winning television programs to summertime workshops for teachers, these grants are helping to improve Americans' knowledge and understanding of their nation's history and culture.
- More than 7,000 K-12 teachers and community college faculty have attended NEH-sponsored Landmarks of American History and Culture workshops at historical sites across the nation. We estimate that these teachers have shared their new knowledge with nearly one million students.
- NEH's National Digital Newspaper Program has begun transferring historically important United States newspapers onto a fully searchable database available on the Internet. Developed in partnership with the Library of Congress, this project ultimately will make millions of pages of newspapers accessible online to students, teachers, parents, scholars, and historians. A prototype of the first 250,000 pages is now available on the Library of Congress's "Chronicling America" website. Hundreds of thousands of additional pages will be added in the coming year.
- In partnership with the American Library Association, the Endowment annually has provided sets of classic works of history and literature to thousands of public and school libraries as part of the *We the People Bookshelf* program. These books convey important themes from American history and culture to an audience of young readers. Since the program began in 2004, more than 6,000 sets of books have been distributed to libraries in every state of the nation.
- Through the NEH Federal/State Partnership, all 56 state humanities councils have conducted major *We the People* programs, reaching millions of citizens from the Manhattan to the Northern Marianas Islands.

(See page 13 for additional *We the People* highlights.)

Since the Endowment launched this innovative program more than five years ago, it has received broad support from teachers, humanities scholars, civic leaders, and the

American people. We are especially proud that President Bush has publicly endorsed *We the People* and has requested substantial funding from Congress each year.

We are pleased that Congress also has enthusiastically endorsed and provided critical support for *We the People*. In FY 2004, the Endowment was appropriated new funds of \$9.876 million to begin the program. In recognition of *We the People*'s initial accomplishments, funding was increased to \$11.217 million for FY 2005 and \$15.239 million for FY 2006 and FY 2007. Congress continued this budget level for FY 2008 (which was subsequently reduced by an across-the-board rescission of 1.56 percent under P.L. 110-161). These funds have helped NEH put many new programs and grant opportunities in place and to support significant projects in American history and culture for the American people.

The agency's budget request for FY 2009 continues the Endowment's strong commitment to *We the People*. The request would support full implementation of the new Picturing America special initiative, as well as fund Landmarks of American History and Culture workshops for teachers, the digitization of hundred of thousands of pages of U.S. newspapers, *We the People* activities of the state humanities councils, and hundreds of other projects supported through the agency's grant programs. In FY 2009, *We the People* also will encourage and support projects relating to the 2009 bicentennial of Abraham Lincoln's birth and the upcoming sesquicentennial of the American Civil War.

Picturing America

NEH is poised to launch a major new initiative, Picturing America, under the *We the People* banner. The goal of this bold, innovative program is to share lessons about American history and culture through the study of iconic works of American art and thus to open up another avenue of discovery and appreciation of the nation's legacy. By placing representations of masterworks of art in classrooms and allowing students to view them over an extended period of time, Picturing America will help spark a vibrant and sustained discussion of the nation's history.

The centerpiece of the initiative is a set of twenty 24 x 36 inch high-quality, laminated color reproductions containing forty masterpieces of American art. A teachers resource book will accompany the reproductions. The book, thumbnail representations of the images, and additional curricular resources also will be placed on the NEH website so that access is readily available to anyone interested. In the pilot phase of Picturing America, 1,557 sets of these materials have been made available to K-12 schools and public libraries that applied for them in a special competition NEH sponsored in cooperation with the American Library Association.

As a whole, the collection represents a broad range of artwork, from American Indian pottery and basketry to Spanish colonial architecture to the abstract work of the latter half of the twentieth century. Students and teachers will be able to learn the stories behind iconic images, such as Gilbert Stuart's full-length portrait of George Washington, now in the National Portrait Gallery in Washington, D.C., as well as the fascinating

lessons taught by lesser known pieces, such as a quilt made by an African-American woman who was born into slavery.

The funds we are requesting for *We the People* for FY 2009 would help the Endowment to extend the availability of Picturing America materials to every school in the nation, as well as to every public library. Our FY 2009 request also would make possible special projects related to Picturing America themes supported through NEH's grant programs, including Landmarks of American History workshops and summer seminars and institutes for school teachers; special projects in America's museums, libraries, and other historical and cultural institutions; and projects sponsored by individual state humanities councils.

As schools and libraries display the posters and use them as teaching tools, Picturing America will have an impact for years to come.

Additional Budget Highlights

NEH also requests funding for FY 2009 that would enable the agency's other national programs to continue supporting high quality projects across all fields and disciplines of the humanities. These time-tested and cost-effective programs advance scholarship, education, preservation and access, and public understanding in the humanities throughout the United States. A brief overview of the Endowment's strategic objectives and plans for FY 2009 follows. These objectives and plans are discussed in more detail in the individual division and program sections of this budget submission.

- Digital Humanities: In 2006, the Endowment launched a major effort to encourage and support projects that utilize or study the impact of digital technology on research, education, preservation and access, and public programming in the humanities. Through our new Digital Humanities program, NEH is fostering the growth of a wide variety of digital humanities projects including, for example, those that deploy technologies and methods to enhance our understanding of a topic or issue in the humanities; those that study the impact of digital technology on the humanities—exploring the ways technology changes how we read, write, think, and learn; and those that digitize important materials, thereby increasing the public's ability to search and access humanities information. (See page 19 for additional information about the NEH's Digital Humanities program.)

Our request for FY 2009 will enable the Endowment to build on the program's impressive accomplishments in its first year. In FY 2007, for example, NEH made 33 awards for projects that are now exploring innovative approaches to studying and disseminating the humanities. More than half of these grantees had never received NEH grants, which suggests that the agency has tapped an important unmet need in the humanities. We also established several new grant categories that are now encouraging scholars, teachers, and other humanities practitioners to develop digital projects. In 2007, we also

sponsored two conferences that brought together experts to discuss new approaches to and key issues in digital humanities.

- Maintain and strengthen the programs and activities of the state humanities councils: NEH's work in FY 2009 will be complemented and extended by the local programming of the 56 state humanities councils. The councils also are essential partners in the agency's *We the People* program. With their strong networks of cultural and educational institutions, these organizations are well positioned to ensure that the program reaches every state and territory. Since *We the People* received its first appropriation in FY 2004, the Endowment has annually provided the state humanities councils with major funding to support projects and activities related to American history and culture. Significant funding for these projects will again be provided at the Endowment's FY 2009 request level. In FY 2009, the state councils can be expected to play a critical role in expanding the reach of the agency's Picturing America initiative.
- Strengthen humanities teaching and learning in the nation's schools and colleges: The FY 2009 request will enable NEH to continue to be a critical resource for projects to improve the quality of humanities instruction at all levels of the nation's educational system. Projects support teacher and faculty development and curricular resources that are based on rigorous humanities scholarship. The ultimate beneficiaries of these projects are the hundreds of thousands of American students who annually are taught by teachers who have attended NEH-sponsored enrichment programs.

Support for projects for humanities teachers will continue to be a critical feature of the agency's *We the People* efforts, especially those that relate to the Picturing America special initiative. Funding would also be provided to maintain the Endowment's acclaimed programs of Summer Seminars and Institutes for school, college, and university teachers, as well the outreach program of Humanities Initiatives for Faculty at Historically Black, Hispanic Serving, and Tribal Colleges and Universities. In FY 2009, as part of the new Digital Humanities effort, the Endowment will support digital workshops for the nation's elementary and secondary school teachers.

- Facilitate basic research and original scholarship in the humanities: The FY 2009 budget request will allow NEH to continue its role as the nation's largest single source of support for advanced research and scholarship in the humanities. The Endowment annually makes hundreds of awards to individual scholars, as well as to teams of scholars engaged in collaborative projects, to explore subjects that enrich our knowledge of the people, ideas, and events that make up the record of civilization. By supporting humanities research and scholarship, the Endowment also contributes to faculty enrichment, improved teaching, and quality public programming throughout the nation.

In FY 2009, the Endowment will continue to be a major funder of comprehensive, annotated editions of the papers and writings of important historical and cultural figures and texts that document critical events in history. Many of these projects present the most important political, philosophical, and literary ideas and works in the American intellectual tradition, as well as important documentary materials bearing on the American experience. As part of our collaboration with the National Science Foundation on the Documenting Endangered Languages initiative, the Endowment also will continue to support fellowships and collaborative research projects that seek to document languages that are in danger of perishing.

In FY 2009, NEH will introduce a new program of NEH Fellowships at Digital Humanities Centers, which will provide residencies for scholars at centers that specialize in the use of technology to advance humanistic understanding.

- Preserve and increase access to cultural and intellectual resources essential for the American people: In FY 2009, the agency's programs in this area will continue to focus on preserving and reformatting the intellectual content of historically significant books and periodicals; preserving and providing access to important archival materials and library special collections; creating humanities research tools and reference works such as dictionaries, bibliographies, and encyclopedias; offering preservation education and training opportunities; and providing grants to smaller institutions to support their special preservation and training needs. Funds also would be available to support a fourth round of grants in the National Digital Newspaper Program, a *We the People* program that is converting microfilmed U.S. newspapers into digital files and mounting them on a national, web-accessible database.

Support also will be provided in FY 2009 for humanities projects awarded under NEH's partnership with the National Science Foundation on Documenting Endangered Languages. This effort provides funds for projects that are recording, documenting, and archiving information relating to the estimated 3,000 current spoken languages that are on the verge of extinction.

With the advent in recent years of digital technology and the Internet as tools for preserving and accessing important humanities resources, grant applications for projects to microfilm brittle books, newspapers, and other humanities materials have declined steadily and the Endowment has reduced its support for such projects. In addition, one of NEH's long-standing preservation microfilming programs—the United States Newspaper Program (USNP)—came to a successful conclusion in 2007. Begun in 1982, this program awarded grants to organizations and institutions in all 50 states, the

Virgin Islands, Puerto Rico, and the District of Columbia to locate and catalog more than 140,000 unique newspaper titles published in America since 1690 and to microfilm more than 70 million pages that would have otherwise been lost to future generations. The decline in microfilming applications and the completion of the USNP program have afforded the Endowment an opportunity to reassess its preservation and access grant categories. The FY 2009 budget for NEH's Division of Preservation and Access has been adjusted accordingly.

- Provide opportunities for Americans to engage in lifelong learning in the humanities: In FY 2009, the Endowment will provide support for projects—such as television and radio documentaries, museum exhibitions, and reading and discussion programs in libraries—that help the nation's citizens engage in a lifetime of learning in the humanities. NEH's public programs support activities that help millions of Americans learn more about important works, ideas, and events. These programs contribute to the agency's broad strategic goals of advancing knowledge and understanding of the humanities in the U.S. and increasing public awareness of, access to, and support for the humanities.

The Endowment recently established a new program of special grants for major traveling exhibitions for museums that will reach large numbers of Americans with high quality humanities programming. Such projects also will be widely accessible through companion websites or other creative digital components.

In FY 2009, the Endowment's programs for general audiences also will continue to play important roles as part of the *We the People* program. In particular, projects keyed to Picturing America themes will be encouraged and supported. The Endowment also will support projects related to the 2009 bicentennial of the birth of Abraham Lincoln. In addition, the agency will encourage planning projects for public audiences in anticipation of the sesquicentennial of the Civil War, which will be observed between 2011 and 2015.

- Strengthen the institutional base of the humanities: The NEH Challenge Grants program in FY 2009 will continue to assist nonprofit institutions in developing new sources of long-term support for their humanities programs. Challenge grants, which require \$3 or \$4 in gifts for every NEH dollar awarded to a humanities institution, are recognized for their success in encouraging private giving to the humanities.

In FY 2009, the Endowment will be placing a lower priority on endowment-building Challenge Grants. Such projects tend to consume a significant amount of federal resources in their first few years while returning only modest benefits as the endowment accumulates earnings. We also will make a

special effort to encourage applicants to seek support for projects related to current NEH priorities, such as *We the People*, Picturing America, and the Digital Humanities. As we expect the refocused program to make fewer and smaller awards, the FY 2009 budget for Challenge Grants has been reduced accordingly.

- Leverage third-party contributions to humanities projects: For FY 2009, NEH requests funding for its Treasury funds account to match nonfederal contributions to individual projects in the humanities. Encouraging private-sector support for cultural activities is an important goal of the agency. NEH Treasury matching grants, which match nonfederal donations on a one-to-one basis, have proven to be an effective mechanism for leveraging the contributions of businesses, foundations, and individuals on behalf of the humanities. At the FY 2009 request level, Treasury funds totaling \$5.031 million would leverage an equal amount in nonfederal contributions to humanities projects.
- Fostering international programs and activities in the humanities: The National Endowment for the Humanities has always promoted the study of other cultures and will continue to do so in FY 2009. NEH projects on the history and culture of other countries have resulted in scholarly works and reference materials on a vast array of topics, contributed to the preparation of teachers at all levels, and brought the world to America's communities through museums, media, and other public programs. Such projects typically receive about \$2 of funding for every \$3 NEH spends on projects devoted to the study of American history and culture. The Endowment is currently exploring other avenues for enhancing its support for projects that will help Americans develop a broader understanding of other cultures.

NEH is also an active participant in the State Department's *Global Cultural Initiative*, a major public-private initiative launched in 2006 to coordinate, enhance, and expand America's cultural diplomacy efforts worldwide. As part of *We the People*, for example, the Endowment will continue to work with the State Department to bring foreign teachers and administrators to the U.S. to participate in selected NEH-supported Landmarks of American History and Culture workshops. This new partnership will further promote understanding of the United States and its democratic principles.

The Chairman of NEH is an active member of the U.S. National Commission on UNESCO and, at the request of the State Department, has been a member of the U.S. delegation to UNESCO meetings and Organization of American States cultural ministerial meetings. Important international issues related to the humanities are discussed at UNESCO and OAS meetings.

The Endowment recently has embarked on a number of other international collaborations to encourage global engagement in the humanities. This

activity is in keeping with the statutory charge in our enabling legislation to “foster international programs and exchanges.” In October 2007, for example, NEH and the Consiglio Nazionale delle Ricerche (National Research Council, or “CNR”) of Italy signed a memorandum of understanding that aims to encourage scholarly collaboration in the humanities. NEH and CNR began their collaboration by jointly sponsoring a conference, “Using New Technologies to Explore Cultural Heritage,” hosted at NEH headquarters. The conference brought together American and Italian scholars and technology experts to discuss the use of digital tools to preserve and study cultural heritage.

NEH also has forged a cooperative program with the United Kingdom’s Joint Information Systems Committee to support joint digitization projects in the humanities. Grants under this unique partnership will be awarded in FY 2008. Discussions are underway with representatives of the governments of China, Germany, Japan, and Mexico about other collaborative efforts.

NEH Chairman Bruce Cole, together with senior leaders of other federal cultural agencies, traveled to the People’s Republic of China—at the host country’s invitation—as part of the first such U.S. cultural delegation. NEH also recently participated in three bi-national meetings intended to strengthen cultural exchange with Mexico. The meetings have resulted in collaborative program efforts between state humanities councils on each side of the U.S.-Mexico border.

- Provide administrative funds to operate the agency effectively and efficiently: The NEH budget request includes funds needed to support the cost of operating the agency at the FY 2009 request level while maintaining a high level of customer service. Funds requested would support personnel compensation and benefits; building rent and security; the agency's grant application review system; and NEH’s participation in the government-wide Grants.gov program and other E-Gov initiatives.

NATIONAL ENDOWMENT FOR THE HUMANITIES

Summary of FY 2009 Congressional Request
(in thousands of dollars)

	<u>FY 2007</u> <u>Approp.</u>	<u>FY 2008</u> <u>Approp. *</u>	<u>FY 2009</u> <u>Request</u>
We the People	\$15,239	\$15,001	\$20,000
Digital Humanities	--	1,969	2,000
Federal/State Partnership	30,926	31,712	31,712
Education Programs	12,266	12,601	12,601
Preservation and Access	18,368	18,380	13,861
Public Programs	12,381	12,709	12,709
Research Programs	12,692	13,003	13,003
<u>Program Development</u>	<u>375</u>	<u>356</u>	<u>356</u>
Subtotal	102,247	105,731	106,242
Challenge Grants	9,852	9,331	7,082
<u>Treasury Funds</u>	<u>5,369</u>	<u>4,953</u>	<u>5,031</u>
Subtotal	15,221	14,284	12,113
<u>Administration</u>	<u>23,637</u>	<u>24,692</u>	<u>26,000</u>
TOTAL	\$141,105	\$144,707	\$144,355

*Reflects 1.56 percent across-the-board rescission under P.L. 110-161.

WE THE PEOPLE

FY 2009 Request: \$20,000,000

On Constitution Day 2002, President Bush and the National Endowment for the Humanities announced the creation of a major NEH initiative, *We the People*. Since that time, *We the People* has become one of the Endowment's core programs. *We the People* is a series of innovative programs aimed at inspiring the best in citizenship by reinvigorating the teaching, study, and understanding of American history and culture. The program is animated by the principle that as citizens, Americans are bound not by blood or birth, but by shared values: a reverence for justice and the rule of law; a belief in the dignity of the individual; and the conviction that "life, liberty, and the pursuit of happiness" is not just a platitude, but a reality.

The nation's Founders knew that democracy demands wisdom and that therefore the study of our history is essential to the preservation of freedom. As Thomas Jefferson stated, "If a nation expects to be ignorant and free, it expects what never was and never will be." But surveys and reports repeatedly show that Americans, particularly our young people, do not know their nation's history as well as they should. Surely we diminish our citizens' lives if they do not know how the society in which they live came to be. The Administration's and NEH's *We the People* program is designed to address the problem of historical amnesia in the United States.

Both the President and Congress have enthusiastically endorsed *We the People*. The Endowment received new funds for the program totaling \$9.876 million for FY 2004 and appropriations were increased to \$11.217 million for FY 2005 and \$15.239 million for FY 2006 and FY 2007. Congress recently continued this level of funding for FY 2008 (which was subsequently reduced by an across-the-board rescission). These funds have helped NEH implement a number of new, innovative programs and grant opportunities and to support significant projects in American history and culture.

The Endowment requests \$20 million for *We the People* for FY 2009. At the request level, NEH would be able to continue support for programs now in place and to implement fully the new Picturing America initiative, which will bring images of iconic pieces of American art into the nation's classrooms and public libraries, while also permitting the program to enhance ongoing activities and grant opportunities. This initiative will help young people follow the course of our national story through our greatest masterpieces.

During the past five years, the Endowment has used funds appropriated for *We the People* to lead a renaissance in knowledge about American history and principles among all our citizens. With more than four decades of experience as the federal government's chief vehicle for advancing the humanities, NEH is well positioned to lead this important effort. The Endowment continues to receive broad support for *We the People* from teachers, scholars, educational and cultural organizations, state humanities councils, local and national leaders, and most importantly, the American people.

We the People has had an impressive record of accomplishments:

- To date, more than 1,380 projects awarded through the agency's major grant programs have received funds appropriated for *We the People*. Notable projects include support for collected editions of the papers of George C. Marshall, Abraham Lincoln and a Documentary History of the Ratification of the Constitution and the Bill of Rights; television documentaries on the War of 1812, the founding of Jamestown, Alexander Hamilton, and Dolley Madison; seminars and institutes for teachers on "FDR and the World Crisis, 1933-1945," the origins of the U.S. Civil War, and political and constitutional theory; and public programs at 100 community libraries across the nation on "Abraham Lincoln's Journey to Emancipation."
- All of the state humanities councils have embraced the goals of *We the People*. The Endowment has provided a significant portion of funds appropriated for *We the People* each year, as well as a portion of the agency's annual Treasury matching funds appropriation, to the state councils to help them develop local and statewide projects and programs on American history and culture. Through FY 2007, NEH has awarded nearly \$20 million (outright funds and federal matching funds) to the state humanities councils. These funds are supporting expanded Chautauqua programs; reading and discussion programs on important events and principles in American history and culture, such as the history of voting, Brown vs. Board of Education, and westward expansion; public symposia; and expanded teacher institutes in a number of states that enable humanities teachers to be more effective instructors of important topics in American history and culture. The state humanities councils are helping to ensure that *We the People* reaches citizens in every state.
- In FY 2004, NEH established a new program, Landmarks of American History and Culture, to support enrichment workshops for K-12 school teachers at important historical and cultural sites around the nation. At these workshops, teachers gain direct experience in interpreting significant historical sites, using archival and other primary historical evidence, connecting what they learn with what they teach, and developing new teaching materials for their classrooms. Workshops have explored such topics as "A Revolution in Government: Philadelphia, American Independence, and the Constitution," "Contested Visions of Freedom and the Missouri-Kansas Border Wars," "Congress and the Capitol," and "The Lincoln Home: Society and Politics in Antebellum America." The Endowment expanded the Landmarks program in 2005 to include community college faculty. More than half of the nation's postsecondary students receive their first, and often only, contact with college courses in the humanities at community colleges. Community college workshops have been convened on such topics as "The Columbia River and the Making of the American West," "Encountering John

Adams,” “The American Lyceum and Public Culture,” and “African-American History and Culture in the Georgia Lowcountry.” To date, approximately 7,000 K-12 teachers and community college faculty have participated in NEH’s Landmarks workshops.

- To further promote understanding of the United States and American democratic principles, the Endowment began a partnership in 2007 with the Department of State to include a number of teachers and other humanities practitioners from other nations in selected NEH-supported Landmarks of American History and Culture workshops. Approximately twelve teachers will participate in three or four summer workshops each summer. These international participants will explore significant events and themes in American history and have an opportunity to share their national experiences and lessons with their American counterparts. This innovative partnership will help to promote a better understanding of the United States and American democratic principles.
- NEH and the Library of Congress forged a long-term partnership to support a National Digital Newspaper Program, which is converting microfilm of U.S. newspapers from 1836 to 1922 into fully searchable digital files and then mounting the files on the Internet. Under this partnership, NEH awards grants for individual state projects and the Library of Congress provides technical assistance and mounts and maintains the database of digitized files on a website called “American Chronicles.” This database will be a permanent resource for the American people and for education, scholarship, and public programming in the humanities. For the development phase of the program, the Endowment awarded six cooperative agreements to projects to digitize newspapers published in California, Florida, Kentucky, New York, Utah, and Virginia during the decade of 1900 to 1910. The Library also contributed newspapers published in Washington, D.C., during this period. These initial files are now posted on the Library’s “American Chronicles” website. In FY 2007, three additional grants were awarded to begin digitizing newspapers in Minnesota, Nebraska, and Texas for eventual inclusion on the website.
- NEH continues to expand opportunities for lifelong learning in American history and culture through programs for the general public. Recent awards, for example, have helped to support local programs along the route of Captain John Smith’s 1608 Chesapeake voyages; implementation of a website on Williamsburg and the American Revolution; a permanent interpretive exhibition at the home and studio of Thomas Cole, founder of the Hudson River School of Art; and a traveling exhibition, publications, and website examining the American Revolution in the West. In 2004, NEH established a new grant category, “Interpreting America’s Historic Places,” which uses one or more historic sites to address themes and issues central to American history and culture. Another new category, “Family and Youth Programs in American History,” has supported after-school programs on humanities topics; history exhibits at children’s museums in Boston, Brooklyn, and

Indianapolis; and history camps for young people on civil rights and other significant chapters in American history.

- The Endowment, in partnership with the American Library Association, continues to provide classic works of literature to public and school libraries through its *We the People Bookshelf* program. In addition to the books, NEH provides materials to help with publicity and the organization of public programs tied to the readings. Each year the *Bookshelf* program explores a different theme. NEH distributed books to 1,000 libraries during each of the first two years of the program, 2004 and 2005, when the themes were “Courage” and “Freedom,” respectively. With a generous gift from the McCormick Tribune Foundation for the 2006 *Bookshelf* on “Becoming American,” NEH was able to double the number of awards. In FY 2007, NEH again awarded 2,000 sets of books on the theme “Pursuit of Happiness.” Applications are currently being accepted for the *Bookshelf* on “Created Equal,” which will be awarded to 3,000 libraries in spring 2008. The 200th anniversary of Abraham Lincoln’s birthday in February 2009 makes this theme especially timely. “Created Equal” books will include *The Gettysburg Address*, *The Ugly Duckling*, *Give Me Liberty! The Story of the Declaration of Independence*, *Freedom Walkers: The Story of the Montgomery Bus Boycott*, and *Lincoln’s Virtues: An Ethical Biography*.
- The NEH Challenge Grants program has offered special awards for educational and cultural institutions to strengthen their programs that advance knowledge of the founding principles and enduring themes of American democracy. Grantees have included the American Philosophical Society, the University of Notre Dame, the National Constitution Center, Washington College’s C.V. Starr Center for the Study of the American Experience, and Colonial Williamsburg Foundation for staffing, programming, and digital technology acquisitions in a program focused on the role of African Americans in the founding era of the republic.

Picturing America

The Endowment requests \$20 million in funding for *We the People* for FY 2009. These funds will help the agency continue to support current programs and to expand the reach of the agency's new Picturing America initiative. Picturing America will introduce young people to American history through images of the nation’s art. By placing representations of iconic pieces of art in classrooms and libraries and allowing students to view them over an extended period of time, Picturing America will help spark a vibrant and sustained discussion of the nation's history. NEH is sponsoring this initiative in partnership with the American Library Association.

The centerpiece of Picturing America is a set of high-quality, laminated color reproductions containing forty masterpieces of American art. A teachers resource book, which will help instructors use the images in their core curriculum classes, will accompany the reproductions. The book, thumbnail representations of the images, and

additional curricular resources also will be placed on the NEH website so that access is readily available to anyone interested in these materials.

The collection represents a broad range of American art—spanning the eighteenth, nineteenth, and twentieth centuries—and includes a variety of media. As a whole, the collection represents a broad range of artwork, from American Indian pottery and basketry to Spanish colonial architecture to the abstract work of the latter half of the twentieth century. Students and teachers will be able to learn the stories behind iconic images, such as Gilbert Stuart’s full-length portrait of George Washington, now in the National Portrait Gallery in Washington, D.C., as well as the fascinating lessons taught by lesser known pieces, such as a quilt made by an African-American woman who was born into slavery.

In its pilot phase, *Picturing America* materials are being awarded to 1,557 schools representing every state in the Union. In FY 2008, NEH plans to provide materials to another 20,000 schools and libraries. At the Endowment’s FY 2009 request level, thousands of additional schools and libraries would be able to receive *Picturing America* materials.

In FY 2009, *Picturing America* also will be featured in a number of the Endowment’s grant programs. For example, the theme of the *We the People Bookshelf* will be “*Picturing America*.” This edition of the *Bookshelf* will offer young readers the opportunity to experience vividly some of the iconic times, places, people, and stories in American history and culture. The Endowment also plans to support summer seminars and institutes and Landmarks of American History and Culture workshops for teachers related to the initiative and the state humanities councils will be developing programs on *Picturing America* themes.

Other Special Emphases in FY 2009

The *We the People* program also will feature other special emphases in the coming year that will encourage Americans to learn more about their nation’s history and culture, including:

- NEH is poised to make significant contributions to the celebration of the Abraham Lincoln Bicentennial in 2009. To date, NEH has invested more than \$4.7 million in projects that will be available to the public during the period of the bicentennial. In addition to the already-mentioned *We the People Bookshelf* on “Created Equal,” which will have a distinct emphasis on Lincoln, NEH funds have supported the creation and nationwide circulation of a panel exhibition, “Forever Free: Abraham Lincoln’s Journey to Emancipation.” This exhibition, which incorporates rare documents and drawings on Lincoln’s role in the emancipation of slaves during the Civil War, is scheduled to travel to more than 100 libraries through early 2010. Public audiences in communities across the nation will benefit from two additional NEH-supported traveling exhibitions on Lincoln: The Gilder Lehrman Institute of American History in New York City is developing a

panel exhibition that will travel to 40 libraries, and the Abraham Lincoln Presidential Library and Museum Foundation is sending another exhibition to 40 small museums and historical societies. In the summer of 2008, as a result of a grant awarded through the Endowment's Landmarks of American History and Culture program, 100 school teachers will attend workshops on *Abraham Lincoln and the Forging of Modern America* at sites in and near Springfield, Illinois. With a grant of \$345,000 from NEH, the National Trust for Historic Preservation is creating an exhibition and guided tours at the newly restored *Lincoln Cottage* in Washington, DC, which was used by Abraham Lincoln and his family as a seasonal retreat from 1862 to 1864.

- Building on the Endowment's support for projects relating to Lincoln, the agency also is embarking on a long-term initiative to observe the sesquicentennial of the Civil War in 2011. The Endowment will encourage and support projects for teachers, as well as scholarship, preservation, and public programs. NEH has already invested in the initial planning for some Civil War-related programs, including a broad-based Civil War Sesquicentennial Project hosted by the Chicago Historical Society; interpretive programs for the public on the home front in Vermont; an exhibition and programs on the war in Missouri; creation of a Web-based role-playing simulation on the Civil War entitled *Living in the Valley of the Shadow*; workshops in Mississippi for community college faculty on "*War, Death, and Remembrance: The Memory and Commemoration of the American Civil War*"; a challenge grant to the University of Pennsylvania to strengthen the study and teaching of the Civil War at The Richards Civil War Era Center; and the preservation of Civil War muster rolls by the Pennsylvania Heritage Society. In 2009, the interest among cultural organizations to mount such programs will intensify, and NEH is prepared to invest *We the People* funds to support these teaching and learning opportunities.

These and other humanities projects and programs will make major contributions to national efforts to address the problem of American historical and cultural illiteracy. NEH's FY 2009 budget request for *We the People* will enable the agency to continue its efforts to advance these goals and objectives on behalf of the American people.

DIGITAL HUMANITIES

FY 2009 Request: \$2,000,000

In 2006, the National Endowment for the Humanities launched a major, agency-wide effort to encourage and support projects that utilize or study the impact of digital technology on research, education, preservation, and public programming in the humanities. The Endowment requests \$2,000,000 for fiscal year 2009 in support of this important new Digital Humanities program.

Through this coordinated effort, NEH is fostering the growth of the “digital humanities” and supporting a wide variety of projects including, for example, those that deploy technologies and methods to enhance our understanding of a topic or issue in the humanities; those that study the impact of digital technology on the humanities—exploring the ways technology changes how we read, write, think, and learn; and those that digitize important materials, thereby increasing the public’s ability to search and access humanities information.

While the emergence of the Internet and information technologies has begun to transform the ways in which history, literature, philosophy, and other humanities subjects are studied, taught, and disseminated, the humanities disciplines have lagged far behind the sciences in promoting innovation and building the infrastructure needed to hasten and sustain this transformation. NEH’s new grant programs focusing on the digital humanities are designed to address this need. They build on the Endowment’s many contributions to the digital humanities over the years. Indeed, NEH’s experience in supporting humanities projects that employ electronic technologies has enabled the agency to define many needs and opportunities for expansion in this area that can met with strategically targeted funds.

The new programs NEH has developed also grew out of the proceedings of a conference on the state of digital humanities the Endowment convened in March 2006. This conference, which brought together some of the best minds in the field, produced a number of recommendations for how NEH could use its good offices to spur the growth of information technology and computer networks in humanities research, education, preservation and access, and public programming. Conferees identified gaps in the Endowment’s programs and grant categories and suggested a number of ways the agency could play a more prominent leadership role. Many of these recommendations are reflected in the specific components of our initiative.

The Endowment’s new programming also is informed by the findings of a national Commission on Cyberinfrastructure for the Humanities and Social Sciences sponsored by the American Council of Learned Societies, a private nonprofit federation of 69 national scholarly organizations. The commission’s report (<http://www.acls.org/cyberinfrastructure/index.htm>) posits that greater investment in cyberinfrastructure is a matter of strategic priority for the United States and urges federal and private funding agencies, as well as the nation’s colleges and universities, to increase

their support for the digital humanities infrastructure over the next several years. Also helping to shape the goals and objectives of our initiative are the insights of Dr. Vinton Cerf, one of the “Fathers of the Internet.” Dr. Cerf, who received the National Medal of Technology from President Clinton in 1997 and the National Medal of Freedom from President Bush in 2005, was interviewed by NEH Chairman Bruce Cole in an issue of NEH's bimonthly magazine, *Humanities* (<http://www.neh.gov/news/humanities/2006-03/unchartedterritory.html>). In it, they discuss the need for new approaches to employing the Internet and computers to broaden access to knowledge in the humanities.

Drawing on this expert advice, as well as the extensive knowledge and expertise of NEH's talented staff, the Endowment has fashioned a multi-faceted series of programs that focus attention on the challenge at hand. Digital Humanities consists of a number of new cross-cutting grant categories and new emphases in our current programs:

- **Digital Humanities Start-Up Grants.** This innovative new program invites proposals for the planning or early stages of experimental digital projects in all areas of the humanities. The program provides seed grants to enable humanities practitioners with bright ideas to get their projects off the ground quickly. Projects may involve research that brings new digital approaches to the study of the humanities; new digital modes of publication and dissemination of humanities scholarship; explorations of digital methods or approaches to preserve, archive, and make accessible traditional (i.e., analog) and “new media” resources in the humanities; and programs that address the innovative use of emerging digital technologies in formal and informal educational settings. Digital Humanities Start-Up Grants result in plans, prototypes, or demonstration models for long-term digital humanities projects. For example, a grant in FY 2007 to the University of Central Florida called *Come Back to the Fair* will allow the project team to recreate the 1964-1965 New York World's Fair as a fully interactive 3-D environment. This 3-D environment serves as a navigational tool for mixed media Internet-based archival holdings. Images, documents, and video footage are accessed through the 3-D environment permitting researchers to place the materials within the special context of the actual Fair environment. During FY 2007, this program received 243 applications and made 30 awards. Based on the large number and high quality of the applications, the Endowment believes it has identified an important area of need in the humanities.
- A new grant category—Institutes for Advanced Topics in the Digital Humanities—to encourage the sharing of best technology practices among humanities scholars. More and more, humanities scholars are using sophisticated technology tools and techniques. This program will sponsor workshops and other training events that will allow scholars to attend in-depth training on the application of technology to the study of the humanities. Topics such as mapping, digitization, and text mining might be covered by humanities experts who will help spread this expertise to others.

- The Digital Humanities program is making a point to work collaboratively with other divisions and offices of the Endowment, as well as other government and private sector funders. This allows the Endowment to exchange ideas and long-term goals about the best ways to invest grant dollars. For example, during FY 2007, the Endowment hosted a meeting of twenty leading digital humanities centers. We also invited major funders from across the nation, including the National Science Foundation, the U.S. Department of Energy, the U.S. Department of Education, the Institute of Museum and Library Services, the MacArthur Foundation, the Mellon Foundation, and several others. This was an excellent opportunity for funders to meet face-to-face with leading scholars and exchange ideas and help formulate research agendas. During FY 2009, the Endowment plans to continue working with other funders on conferences and joint programs to encourage continued collaboration.
- In 2007, NEH and the Institute of Museum and Library Services (IMLS) joined forces to develop an innovative grant program called “Advancing Knowledge: The IMLS/NEH Digital Partnership.” This program, which is being administered through NEH’s Division of Preservation and Access, supports proposals for innovative, collaborative humanities projects using the latest digital technologies for the benefit of the American public, humanities scholarship, and the nation's cultural and educational institutions. Projects are being encouraged that will explore new ways to share, examine, and interpret humanities collections in a digital environment and to develop new uses and audiences for existing digital resources. The program made three awards in its first grant competition, including one to the Historical Society of Pennsylvania for its project: *PhilaPlace: A Neighborhood History and Culture Project*. The Historical Society of Pennsylvania, in collaboration with the Philadelphia Department of Records and the University of Pennsylvania's School of Design, will develop PhilaPlace, an interactive Web resource chronicling the history, culture, and architecture of Philadelphia's neighborhoods. Complete with maps, historical records, photographs, and digital models of select neighborhoods, PhilaPlace will serve as a prototype website for communities wishing to digitize their cultural heritage.
- The NEH and the Higher Education Funding Council for England, acting through the Joint Information Systems Committee in the United Kingdom, are working together to offer support for digitization projects in the humanities. In FY 2008, this program will provide funding for one year of development in any of the following areas: new digitization projects and pilot projects, the addition of important materials to existing digitization projects, or the development of infrastructure to support U.S.-England digitization work.
- NEH Fellowships at Digital Humanities Centers. This new program, which is administered through NEH’s Division of Research Programs, encourages

research in the humanities that uses electronic technologies and computational analysis. NEH Fellowships at Digital Humanities Centers support collaboration between individual scholars and digital humanities centers. The intellectual cooperation between visiting scholar and center may take many different forms and may involve humanities scholars of any level of digital expertise. Fellows may work exclusively on their own projects in consultation with center staff, function as “apprentices” on existing digital center projects, or any combination of these. By encouraging intensive cooperation between visiting scholars and digital centers, this grant opportunity seeks to expand cyberinfrastructure, broaden public access, and enhance digital research in the humanities.

- Digital Humanities Workshops, which is supported through the NEH’s Division of Education Programs, is a new program for the nation’s elementary and secondary school teachers. These intensive professional development workshops help teachers deepen their knowledge, understanding, and skills in using digital resources in their classrooms. As with other NEH programs for teachers, Digital Humanities Workshops grants will draw on sound humanities scholarship, provide opportunities for participating teachers to work with humanities scholars, and provide access to relevant collections and archives or cultural and historical sites. The learning experience also will emphasize the use of the rich content available on the Internet.
- The NEH Challenge Grants program is encouraging institutions to strengthen their capacity to take advantage of the latest innovations in digital technology. Digital technology is vital for humanities planning, for scholarly access to collections, for creating large databases in the humanities, and for teaching. Challenge funds can support maintenance and upgrading of equipment, software, licensing fees, and data. Through invested endowments, Challenge funds also can support salaries of technical staff, faculty and staff training in the uses of digital technology, and other ongoing expenses associated with the uses of digital technology in the humanities.

With the \$2 million NEH has requested for Digital Humanities in FY 2009, these new programs and special emphases will help advance the digital humanities.

We regard our new Digital Humanities programming as a logical extension of our commitment to using information technology and the Internet to transform the relationship between citizens and their government. While the Endowment has been on the forefront in implementing some of the most important components of the Administration’s E-Gov initiative—notably, as a leader in efforts to develop Grants.gov—Digital Humanities takes this one step further by using our grant-making function to support digital projects that will greatly increase Americans’ access to important historical and cultural information and materials. This effort will have a wide-ranging impact on the American people.

FEDERAL/STATE PARTNERSHIP

FY 2009 Request: \$31,712,000

The NEH Federal/State Partnership is a model of American federalism in action. This collaborative effort dedicated to the study and enjoyment of the humanities links a national federal agency with fifty-six state and jurisdictional humanities councils. Federal/State Partnership helps the Endowment realize its two primary strategic goals: (1) to advance knowledge and understanding of the humanities, and (2) to increase public awareness of, access to, and support for the humanities in the United States. The Partnership makes humanities education and lifelong learning readily available at the local level, tailored to local interests and needs and drawing upon local resources, traditions and heritage.

State humanities councils are nonprofit 501(c) (3) organizations governed by volunteer boards of directors. They operate in each of the fifty states as well as in the District of Columbia, Puerto Rico, the U.S. Virgin Islands, Guam, the Commonwealth of the Northern Mariana Islands, and Amerika Samoa. The councils were established to fulfill the requirement in the agency's enabling legislation—National Foundation on the Arts and the Humanities Act of 1965, as amended—that the Endowment support humanities programs “in each of the several states.”

Federal/State Partnership protects the federal investment by ensuring that state councils are strong organizations capable of delivering high quality humanities programming. It does so by collaborating with them on a regularly updated, state-of-the-art self-assessment and evaluation process, and by maintaining high levels of accountability. For example, the Endowment brings new executive directors of state humanities councils to Washington, DC, for an orientation meeting with the NEH Chairman and agency staff. These meetings help new directors learn more about the programs, policies, and procedures of NEH and the Partnership. The Endowment also annually presents an orientation for new board members of state humanities councils. NEH has launched a website dedicated to the Federal/State Partnership network that provides tools and information necessary for the maintenance of a strong relationship and effective communication between the Councils and the Partnership. This website highlights resources on governance and policy and directs attention to effective Council programming and outreach.

State councils receive funds each year from the NEH appropriation according to a statutory formula. In accordance with the federal mandate, every NEH dollar that a council receives is matched by local contributions of cash, goods, or services. In recent years councils annually attracted nonfederal contributions well in excess of the required 1:1 match, including \$11.6 million from state legislatures in FY 2006. Thirty-seven per cent of total council income in FY 2006 came from nonfederal sources.

State humanities councils have two major ways to support the humanities in their jurisdictions: They grant a portion of their funds on a competitive basis to locally initiated programs, and they also develop and carry out their own programs. In their grant making role, they act as foundations from which eligible organizations and individuals seek funding; in their program-generating role, they are nonprofit service providers drawing on their own resources and looking to the public to support the benefits they offer. Schools, libraries, historical societies, museums, filmmakers, literacy programs, teachers, researchers, writers, and storytellers are among the people and organizations with which the state humanities councils work.

In the last year, councils supported thousands of programs that reached millions of Americans. Councils are continuing to design and sponsor programs and produce materials that reach new audiences. In 2007 reports indicate 50 councils sponsored K-12 teacher projects for more than 574,500 participants; all 56 councils sponsored literacy programs that drew 1.5 million participants; more than 20 million people participated in reading and discussion programs; 17 million participated in local history projects; nearly 5 million attended scholar-led conferences, lectures and seminars; 1.1 million people attended Chautauqua type performances; and approximately 5.8 million visitors attended council-funded exhibitions. Media projects (radio, television and film) attracted audiences of approximately 110 million, while technology projects, including internet-based programs such as online encyclopedias, streaming video and audio programs and cd-rom projects had over 8.5 million users. Finally, some 901,500 school-aged students participated in council-funded projects. Council programs reach into rural areas, urban neighborhoods, and suburban communities. They generate new audiences for the humanities while enriching the lives of those who already know the humanities well.

State humanities councils have revived Chautauqua, the nineteenth-century combination of public entertainment and learning that Theodore Roosevelt called “the most American thing in America.” In these dramatic historical re-enactments, each performer speaks as a character in history and then gives the audience an opportunity to ask questions. The answers are then given in character. At the end, the performer shifts roles and addresses the audience as a twenty-first-century scholar. Chautauqua programming takes place year-round, both as presentations lasting several days given by a troupe of performers in one location and as one-person performances for such audiences as schools, libraries, and service organizations. Councils’ Chautauqua programming often includes educational workshops to train both youth and adults to carry out the background research on historical characters and to present these characters in public.

State humanities councils strengthen the bonds of community by bringing citizens together to discuss issues of importance in the humanities. They serve as “trusted conveners” who can draw together disparate sectors of the community to work and plan together. The New Hampshire Humanities Council, for example, is undertaking a two-year program to examine the role of religion in public life, beginning with a statewide conference followed up by community discussions. Programs such as these demonstrate not only that the humanities can be an integral part of the daily lives of Americans

everywhere but also that public audiences—including those with limited formal schooling and those located in small towns, inner cities, or rural areas—are eager to learn about and discuss history, ethics, and other humanities-related subjects.

We the People

With their strong networks of cultural and educational institutions, state humanities councils are especially well-positioned to ensure that NEH's *We the People* program reaches throughout every state and jurisdiction. Since funds were first appropriated for *We the People* in FY 2004, the Endowment has provided significant funding each year to support a wide array of state programs focused on topics related to American history and culture. Examples of the councils' contributions to *We the People* include the following:

- The Virginia Foundation for the Humanities is focusing on the 400th anniversary of the Jamestown Colony and is exploring and documenting the Commonwealth's people, history, traditions, and cultures, with emphasis on its African American and Indian heritage.
- The Kansas Humanities Council's initiative, *Kansans Tell Their Stories*, encourages communities to examine the immigrant and ethnic history of the state. Building on this initiative, the Kansas Humanities Council premiered the new Kansas Chautauqua series in FY 2007, sharing stories of notable Kansans with public audiences. Kansas Chautauqua served as the host for the Library of Congress *Story Corps* national oral history project.
- A course sponsored by Humanities Washington, serving primarily low income women, explores how our unique relationship to the landscape has defined American political, cultural, and social history.
- The Illinois Humanities Council has just released a two-CD Illinois Music Heritage set which it will distribute free to over 2,500 school libraries. It is also developing programming to celebrate the Lincoln Bicentennial in 2009.
- In commemoration of the 150th anniversary of the Civil War, the Vermont Humanities Council is carrying out a multi-year initiative on the "Civil War Home Front."

State humanities councils are also energetically promoting NEH's *We the People* programming by alerting the citizens of their states to the agency's *We the People Bookshelf* program for public and school libraries and other specific funding opportunities related to this Endowment-wide program.

State and territorial humanities councils also will be full partners in the Endowment's Picturing America projects as part of the agency's *We the People* program. Councils will use their statewide networks to assist NEH in reaching all public libraries

and schools in their states and jurisdictions. Councils will be important resources for supporting programming in communities so that the initiative reaches deeply into local schools and libraries throughout the country.

Literacy, Reading, and Literature Programs

One of the important ways state councils encourage reading is through their support for book festivals. These festivals attract large, devoted audiences, featuring writers and poets, storytellers and booksellers, exhibitors and artisans of bookmaking. The nine councils that serve as their state's Center for the Book participate in the annual National Book Festival sponsored by the Library of Congress's Center for the Book and First Lady Laura Bush.

State humanities councils also support reading and discussion programs for children, families, and the newly literate that take place in libraries and other civic spaces; some are run in corrections systems. Programs provide forums of reading and discussion for adults with beginning to intermediate level reading ability and help participants to develop the skills and motivation to read, the enjoyment of reading, and the confidence to talk about books and ideas. Several councils sponsor seminars that use humanities texts to stimulate discussion about the complex social and ethical issues that professionals face in fields such as medicine, philanthropy, and law. Eighteen state humanities councils offer "Literature and Medicine: Humanities at the Heart of Health Care," a program for medical professionals developed by the Maine Humanities Council.

Teacher Resources and Professional Development

One of NEH's strategic objectives is to strengthen humanities teaching and learning in schools and colleges, and state councils play an important role in support of this effort. Councils help to create networks linking institutions of higher learning, secondary schools, state departments of education, and such cultural institutions as museums and libraries. These institutional networks involve collaborations of college and university professors, teachers, parents, and students with the goal of enriching and enlivening humanities classroom activities. Councils host resource centers that provide books, films, exhibitions, and other materials for teachers to use in classroom activities, and support programming that helps teachers respond at the local level to statewide initiatives and requirements.

Council-initiated programs also draw large student audiences. The popularity of such programs is often the result of creative marketing by the councils themselves. The Georgia Humanities Council, for example, in partnership with Scholastic Corporation, the children's book publisher, has produced and disseminated a historical timeline poster to teachers that highlights the *New Georgia Encyclopedia* and the *Digital Library of Georgia*, two of the council's recently created electronic resources. It has also published *The New Georgia Encyclopedia Companion to Georgia Literature*, a compilation of

biographical discussions and analysis on Georgia's writers from nineteenth century to the present.

State humanities councils annually support professional development institutes and seminars for teachers in their state. For example, the Indiana Humanities Council has developed a teacher institute, which focuses participants on the construction of teachers' guides aligned with state standards related to humanities subjects and on high tech interactive student modules using online learning resources, including primary documents, images, and lesson plans. These plans and modules are then catalogued into the Indiana Humanities Council's smartDESKTOP's Resource Connection, a database of links to teacher and student resources. The Minnesota Humanities Center's professional development program for teachers and educators will focus on the state's sesquicentennial and the impact of statehood on the Dakota and Ojibwe communities. Grants will support scholarly and educational resources for the increasing number of K-12 and college programs in Dakota and Ojibwe languages.

Partnership Development

Most councils collaborate with private sector organizations, with state departments of education and tourism, and with other federal agencies, such as the National Park Service. Cultural tourism is an especially rich area for partnership development. The Arizona Humanities Council, in partnership with the Arizona Office of Tourism, sponsors the interactive, itinerary-building Arizona Heritage Traveler website. This website debuted in September 2005 with almost 300 cultural attractions. State humanities councils also have developed humanities-based film festivals, produced magazines, and created websites to open up their state's resources to visitors and residents. For example, the *New Georgia Encyclopedia*—a project of the Georgia Humanities Council in collaboration with the Office of the Governor, the University of Georgia Press, and the University System of Georgia—was launched in February 2004 and had received its millionth hit only four months later. In 2004, *Library Journal* magazine named the encyclopedia a "Best Reference on the Web."

The Illinois Humanities Council offers *Justice Talking* to AmeriCorps and other service organizations. These programs are discussions that use short philosophical and literary texts on the nature of justice, service, and related themes. Supported with funding from NEH, this program is being disseminated to other parts of Illinois as well as to other states. The Virginia Foundation for the Humanities participated in the Annual Smithsonian Folklife Festival on the National Mall in 2007. The Rhode Island Council for the Humanities regularly collaborates with Rhode Island Public Broadcasting to air documentary film series related to Council programming topics. Councils work with nontraditional partners to heighten understanding of the critical importance of the humanities in all aspects of community life.

In the aftermath of the 2005 hurricanes Katrina and Rita, the Mississippi Humanities Council found itself in the position of being the one statewide organization that could bring together all the players—spanning all sectors of the state, including

FEMA—in the disaster relief efforts. The discussions the Mississippi Council sponsored helped to bring about partnerships and the sharing of information and needs, as well as provide funds for local cultural organizations to meet their most pressing needs. The Council's support of oral history projects, carried out by experts from around the country, to record the experiences of those who had lost so much was reported in an issue of the magazine *Southern Living*.

New Paths

At the FY 2009 request level, the state humanities councils will continue to make use of exhibits, books, films, websites, historic re-enactments, and public festivals. They will support the study and preservation of their states' heritage and culture. They will nurture new readers, opening their minds to the myriad worlds that only books and an educated imagination can explore. They will help members of different generations to read together. They will help increase the educational achievement of the nation's school children by providing resources and cultural networks that further teacher professional development and enhance classroom activities. They will educate those who might otherwise miss out on learning and hence on full participation in civic life.

The councils have extensive experience in bringing outstanding digital resources to public audiences, and some also have experience in supporting original digital scholarship and programming. The Maine Humanities Council, for example, has been awarded an NEH Digital Humanities Start-Up Grant to help it utilize Maine's extensive digital network to record and transmit its programs through podcasts. This will allow the Maine Humanities Council to reach virtually its entire constituent base and preserve formerly ephemeral public programs as a long-term accessible archive of the council's work. Council websites are increasingly becoming public repositories of humanities knowledge. Available online are such materials as a course in Alaska history, magazines devoted to the history and culture of individual states, curriculum development materials for teachers and students, and radio archives.

EDUCATION PROGRAMS

FY 2009 Request: \$12,601,000

Programs/Grant Categories:

- Professional Development
 - Summer Seminars and Institutes for School Teachers
 - Summer Seminars and Institutes for College and University Teachers
 - Landmarks of American History and Culture
 - Faculty Humanities Workshops
 - Digital Humanities Workshops

- Teaching and Learning Resources
 - Curriculum and Materials Development
 - Humanities Initiatives for Faculty at Presidentially Designated Colleges and Universities

The NEH Division of Education Programs supports teacher and faculty professional development, model curricula, and classroom resources that are based on rigorous humanities scholarship. These programs address one of the Endowment's key strategic objectives: to strengthen teaching and learning of the humanities at all grade levels, from elementary through graduate training, in the nation's schools, colleges, and universities.

Endowment-funded projects help educators become well versed in their subject areas. NEH continues to focus on deep subject matter training for teachers, often through projects involving collaboration between scholars and teachers. A recent grant to the Center for Education Studies in New York City, for example, supports the development of a website designed for high school level instruction on the influence of classical antiquity on the arts and letters and civic life of late eighteenth- and early nineteenth-century America. In cooperation with master teachers and scholars, the Center is developing ten units on topics such as "Republican Constitutional Themes," "The American Yeoman Ideal," "The Founders' Heroes," and "Americans and the Empire." Lesson plans will be aligned to state history frameworks in California, Massachusetts, and Virginia—three states whose strong history standards have drawn national attention—but the web resources are also envisioned as appropriate for use in Advanced Placement and undergraduate classrooms. The website development is undertaken in tandem with the NEH-funded workshop for teachers on the topic, "Neoclassicism in Europe and North America, 1750-1825."

The NEH Division of Education Programs also advances the objectives of the agency-wide *We the People* program. The Landmarks of American History and Culture program, for example, supports teacher training workshops at presidential homes, battlefields, and colonial-era settlements, as well as at sites important for the nation's

literary, artistic, and cultural achievements. Initially focused only on K-12 teachers, in 2005 NEH expanded the program to include humanities faculty in the nation's community colleges. Landmarks workshops present educators with the best available scholarship on these sites, while enabling the participants to gain experience in conveying to students the importance of historical places and enhancing their teaching materials. In the summer of 2007, 19 school teacher workshops reached 1,875 teachers and 5 community college workshops reached 250 faculty members.

In FY 2009, the Endowment's education programs will also contribute to the new Picturing America program. Scholars in American art history, American history, and American studies will be encouraged to develop Seminars and Institutes for School Teachers and Landmarks workshops for teachers that relate to the initiative. Projects may include professional development opportunities that focus on the Picturing America art works and featured artists and studies of the periods of American art depicted and the humanities themes represented. Other projects may focus on one or more of the artists and their works and their physical context, including the homes and studios of the artists and the settings depicted in their works.

Support for digital education projects will be a major component of the Endowment's new Digital Humanities program. Since the early 1990's, NEH has been a leader in encouraging innovative uses of new technologies to teach history, literature, languages, and other areas of the humanities. The goal of these endeavors has been to promote a more engaged approach to learning, an approach that gives teachers and students wider access to a rich intellectual infrastructure of primary documents, images, and audio materials in digital form for use in humanities education. Building on this foundation, a new grant category, Digital Humanities Workshops, has been developed for workshops that offer academically rigorous professional development programs for K-12 educators seeking to use digital resources to strengthen the teaching of the humanities.

Professional Development Program

Seminars and Institutes

NEH Summer Seminars and Institutes have long been the nation's premier form of professional development in the humanities for elementary and secondary school teachers. NEH offers college and school teachers opportunities to pursue serious, substantive intellectual inquiry in fields such as history, foreign languages, literature, philosophy, and political science. Working with distinguished scholars, participants deepen their knowledge of the subjects they teach and explore effective ways of bringing this understanding to their students. Increasingly, both seminars and institutes have been integrating the use of digitized materials with printed books and articles.

Summer Seminars enable fifteen school or college teachers to study for two to six weeks under the guidance of a senior scholar. The principal goal is to engage teachers in the scholarly enterprise, thus equipping them for deeper understanding of their subject areas and more effective teaching. In Summer Institutes, school or college teachers

participate in an intensive program of study with teams of humanities scholars who present a broad range of perspectives on a given topic. Well suited to larger groups (as many as thirty-five school teachers or twenty-five college teachers), institutes also last from two to six weeks and are a particularly appropriate mechanism for creating foreign language immersion opportunities. During the summer of 2007, for example, Professor Mary Ann Witt of North Carolina State University led a four-week seminar, in French, for fifteen school teachers on major twentieth-century French dramas by Jean-Paul Sartre, Jean Genet, Eugene Ionesco, and Samuel Beckett. Held in Avignon, France, a location well-suited to the goal of “total immersion” in the target language, the seminar took advantage of the annual theater festival there, which enabled the participants to study such works as *Huis Clos (No Exit)*, *Les Bonnes (The Maids)*, and *En attendant Godot (Waiting for Godot)* “from page to stage.”

Each year, NEH seminars and institutes make available a variety of learning opportunities for the nation’s teachers. For the summer of 2008, for example, literature teachers will be able to choose seminars or institutes focusing on the plays of William Shakespeare, Chaucer’s *Canterbury Tales* and its cultural context, major Arabic novels, and the works of William Butler Yeats. Teachers of history might choose to study the events of 1989 that pre-figured the collapse of Communism in Eastern Europe and the Tiananmen Square student uprising in China, the experience of industrialization in Britain between 1750 and 1850, Africa and the trans-Atlantic slave trade between the 16th and 18th centuries, or the abolitionist movement from the Revolutionary War era to the Civil War. Other seminars and institutes will explore the music of Wolfgang Amadeus Mozart in its cultural and historical context, the study of Mesoamerican history and culture through artifacts, architectural remains, and manuscript sources, and the advent of printing and its effects on the religion, history, and literature in England from 1385 to 1685.

Teachers who are excited about the subjects they teach remain in the profession and communicate their enthusiasm to students, making the classroom an exhilarating place while also fostering understanding and retention of the material that is taught. The transforming impact that NEH seminars and institutes have on teachers is communicated to their students for many years thereafter. In the summer of 2007, for example, 47 NEH-supported seminars and institutes served approximately 925 school and college teachers. By June of next year, it is estimated that these teachers will have reached approximately 139,000 students.

In FY 2007, 145 grant applications were submitted to direct seminars and institutes, of which 42 were funded. In FY 2008 and FY 2009, approximately 150 applications and 52 awards per year are anticipated.

Landmarks of American History and Culture

In FY 2003, as part of the *We the People* program, NEH established the Landmarks of American History grant category. The goals of this program of summer workshops for K-12 educators were to train teachers to employ historical sites as the basis for communicating central themes and issues of American history, to increase the knowledge and appreciation of these sites, and to encourage staff at historical sites to develop greater capacity and scale for professional development programs.

Landmarks workshops are held at or near presidential residences, colonial-era settlements, major battlefields, and presidential libraries. Projects accommodate a minimum of forty teachers at one-week sessions, which are repeated between two and four times during the summer. They are academically rigorous, involve leading scholars, and help participants develop new teaching resources. For example, participation in the workshop, “Between Columbus and Jamestown: Spanish St. Augustine,” led an elementary school teacher to remark: “Many of the concepts we learned were new to me and will have a definite impact on my teaching of U. S. history and Florida history. My students will learn of the importance of the events that occurred in St. Augustine well before the Jamestown settlement but even more important (I think) is that the students will develop a deeper understanding of the drama of human exploration and will be able to see how past events impact our lives today.”

In 2005, NEH expanded the Landmarks concept to include workshops that provide opportunities for community college teachers to deepen their knowledge of the nation’s history and culture. Projects accommodate a minimum of twenty-five faculty at one-week sessions, which are repeated between two and four times during the summer. Enrolling more than half of America’s undergraduate students, community colleges are a cornerstone of undergraduate teaching and learning. These institutions also are where a significant proportion of the nation’s college students receive their first, and often only, contact with college courses in the humanities. A recent NEH grant, for example, enabled Boston College to host two one-week workshops in the summer of 2007 for fifty community college faculty on the life and writings of John Adams. Held at sites throughout the Boston area, the workshops were organized around four topics: Adams and Colonial America, Adams as Revolutionary, Adams as a Constitutionalist, and the Legacy of John Adams. Participants read works by Adams, such as his *Thoughts on Government* and *Report of a Constitution or Form of Government for the Commonwealth of Massachusetts*, and toured relevant sites including the Adams National Historical Park, the Massachusetts Historical Society, the Massachusetts Archives, and the Boston Public Library.

For the FY 2006 grant competition, the Endowment re-designated the program as Landmarks of American History and Culture to include workshops at sites associated with notable writers, architects, and artists who have made major contributions to the nation’s culture. One recent grant, for example, enabled fifty community college faculty to study the Transcendentalists and other utopian movements and communities in early nineteenth-century Concord, Massachusetts, and surrounding areas. Another award enabled school teachers to explore the cultural and historical significance of Mark Twain, his era, and his writings by examining his treatment of race. The Endowment also co-

sponsored, with the Pew Charitable Trusts in Philadelphia, a special workshop focused on Benjamin Franklin, as part of the observance of the 300th anniversary of the birth of this extraordinary American. Hosted by Villanova University, “Benjamin Franklin and the Invention of America” brought two groups of 50 school teachers to Philadelphia for intensive one-week residence-based workshops on Franklin, with particular focus on his contributions to American government and civic life. Participants gained experience in the use and interpretation of historical and cultural sites and the material and archival evidence of American history and cultural traditions. The project will be reprised (solely with NEH funds) in the summer of 2008.

The Endowment has forged a partnership with the United States Department of State to include a number of teachers and other humanities practitioners from other nations in selected NEH-supported Landmarks of American History and Culture workshops. Thirteen foreign visitors participated in three workshops in the summer of 2007 and explored significant events and themes in American history. This innovative partnership helped these educators have a better understanding of the history and culture of the United States and our democratic principles. We anticipate that this partnership with the Department of State and its Global Cultural Initiative will continue and expand in the summers of 2008 and 2009.

In FY 2007, the Landmarks of American History and Culture competition received 67 applications and awarded 26 workshops—20 for school teacher workshops and 6 for community college faculty. In both FY 2008 and FY 2009, the Endowment anticipates receiving 70 applications and making 24 awards—19 for school teacher workshops and 5 for community college teacher workshops.

Faculty Humanities Workshops

The Endowment's Faculty Humanities Workshops program funds institutionally and regionally focused professional development opportunities for school teachers (including charter school teachers and home schooling parents) and college and university faculty. Faculty Humanities Workshops are offered during the school year in or near the communities where teachers live and work. The program complements the longer, residential seminars and institutes that are offered during the summer and whose participants are drawn from across the nation. Awards in this grant category represent considerable breadth and diversity of approaches and subject matter. One recently funded workshop, for example, enabled twenty-four local school teachers to study the life and work of Emily Dickinson, drawing on the cultural resources of Dickinson's home in Amherst, Massachusetts. Another award provided support for a ten-session series of workshops for sixteen high school Latin teachers from the mid-Atlantic region on the history and culture of Augustan Rome.

For the FY 2007 competition, the program received 61 applications and made 14 awards. In both FY 2008 and FY 2009, 90 applications and 17 awards are anticipated.

Digital Humanities Workshops

In 2007, as part of the new Digital Humanities program, the Endowment issued a Request for Proposals for institutions to develop projects that offer K-12 teachers intensive professional development workshops where they can deepen their knowledge, understanding, and skills in using digital resources in their classrooms. Projects supported will help teachers in their efforts to exploit the full potential of digital resources to strengthen the teaching of significant topics in the K-12 humanities curriculum.

This grant category represents a synthesis of NEH's successful experience in two core activities: generating effective content-based improvements in the teaching of English, history, foreign languages and cultures; and supporting the creation of durable tools for K-12 humanities education. As with other NEH programs for teachers, Digital Humanities Workshops grants draw on sound humanities scholarship, provide opportunities for participating teachers to work with humanities scholars, and provide access to relevant collections and archives or cultural and historical sites. The learning experience also emphasizes the use of the rich content available on the Internet. Projects that would explore distance education delivery through video, web-conferencing, and/or digital platforms were also encouraged.

One of the program's FY 2007 grants was awarded to Columbia University for a project—in cooperation with the University of Florida at Gainesville and the University of North Texas in Dallas—to develop workshops that will use the resources of Columbia's *Asia for Educators* website to explore topics in early modern China. The project will focus on four hand scrolls that present a rich visual and artistic testimony to the political, economic, social, and cultural realities of China in the late imperial period and the making of the modern world and comparative issues in Chinese and European history. Seventy-two teachers, twenty-four in each of the three locations, would convene four times at two-week intervals in early 2008. Workshop sites have “smart classrooms” to enable online and videoconferencing linkage among each of the groups, as well as linkage between the groups and a roster of guest scholars who would make their presentations to all three workshops simultaneously.

In FY 2007, NEH received 18 applications and made 2 awards in this category; in both FY 2008 and FY 2009, 40 applications and 5 awards are anticipated.

Teaching and Learning Resources Program

While the federal government provides support to education in many forms, NEH's programs uniquely focus on the importance of deep knowledge of humanities subject matter and on the necessity that humanities faculty be well versed in the subjects they teach. Over more than three decades, the Endowment has helped foster important and far-reaching improvements in American education, such as the creation of web-based humanities teaching and learning tools and the revival of interest in core curricula and foreign-languages-across-the-curriculum programs. No other federal agency so steadily generates effective, content-based improvements in the teaching of English, history,

foreign cultures, and the other humanities subjects. NEH projects ultimately help students master the core disciplines that are crucial for an educated citizenry in the twenty-first century.

For the FY 2007 grant competitions, the Teaching and Learning Resources program (Curriculum and Materials Development grants and Humanities Initiatives for Faculty grants) received 138 applications and made 19 awards. In both FY 2008 and FY 2009, the program expects to receive 148 applications and expects to support 21 projects.

Curriculum Development and Materials Development Grants

NEH's Teaching and Learning Resources program focuses on classroom resources as the deliverable grant product. Curriculum Development Grants help educators marshal the necessary resources to launch broadly based curricular efforts of national significance, such as a recent project at Shimer College in Chicago, Illinois, to integrate great art works into a rigorous Great Books core curriculum. Materials Development Grants support the creation and wide dissemination of print, video, and digital instructional materials. A grant to the University of Pennsylvania, for example, is supporting the development of a website enabling students to examine and synthesize information about the African-American experience in the sixty-block area of Philadelphia that was the subject of W. E. B. Du Bois's classic 1899 study, *The Philadelphia Negro*. The project will use web-based geographic information system interactive mapping, which will allow students to correlate information from a variety of sources, including Du Bois's original data, historical censuses, fire insurance maps, photographs, and newspaper articles. Another recent grant to the University of California, Los Angeles, is supporting the development and testing of a web-based, three-dimensional model and related learning materials of the ancient Egyptian temple site of Karnak. Originally a local shrine in a regional town that became a national center of power, the site experienced dramatic modifications due to political shifts, religious reform, and ritual changes. In addition to the model, the related archive would consist of an excavation history of the site; a catalog of excavated objects with associated descriptive materials; photographs from the current excavation sites; additional images and texts currently available in the public domain; and interpretative materials prepared by the project team that would provide the undergraduate learner with an explanation of the Karnak complex, its salient features, and its place in world history.

Humanities Initiatives for Faculty at Presidentially Designated Colleges and Universities

In 2003, in response to Presidential Executive Orders 12876, 12900, and 13021, NEH established a program to extend the reach of its grant opportunities to three types of institutions: historically black colleges and universities, institutions with high Hispanic enrollments, and tribal colleges and universities. Humanities Initiatives for Faculty awards may be used to enhance the humanities content of existing programs, develop new programs, or lay the foundation for more extensive endeavors in the future. Each project is organized around a core topic or set of themes. For example, an award to White Earth Tribal and Community College, a tribal college in Mahnomen, Minnesota, in

partnership with Itasca Community College, the University of Minnesota-Duluth, and the University of Pennsylvania, would create a digital archive of stories and artifacts to support the preservation and revitalization of the Ojibwe language and culture. The University of Puerto Rico, Rio Piedras, a university with high Hispanic enrollment, received a grant to enable faculty to study the multiple representations of the city in twentieth-century Latin American literature, thus strengthening the content of existing first-year humanities courses at the university. And a grant to Mississippi Valley State University, a historically black university in Itta Bena, Mississippi, will enable faculty and school teachers from the Mississippi Delta to study, in depth, the life and works of Richard Wright, their “native son.” A workshop devoted to the fiction, non-fiction, and poetry of Wright would include presentations by Jerry Ward of Dillard University on “Richard Wright’s Literary Imagination” and would be followed by the development of a five-week supplementary teaching unit on Wright and scholarly perspectives on his work.

Humanities Initiatives for Faculty grants also may create opportunities for faculty members to study together while improving their capacity to teach the humanities, support collaborative work among faculty members to devise ways for strengthening humanities programs, and fund visiting scholars to help improve or redefine an institution's humanities programs. Also supported are projects to help faculty develop new humanities programs or take advantage of underused humanities resources, train staff and faculty members in the use of humanities materials and technologies, or build ties among faculty at several institutions.

While projects in all areas of the humanities are welcome, for the FY 2008 grant competition the NEH has announced that it is particularly interested in proposals in the following categories: American history; world literatures; languages; humanities connections to science, medicine, and technology; and humanities approaches to business, law, and economics.

PRESERVATION AND ACCESS

FY 2009 Request: \$13,861,000

Programs/Grant Categories:

- Humanities Collections and Resources
- Documenting Endangered Languages
- National Digital Newspaper Program
- Preservation and Access Education and Training
- Preservation Assistance Grants for Smaller Institutions

The Endowment supports projects that preserve and increase the availability of resources important for research, education, and public programming in the humanities. These resources include books, journals, newspapers, manuscript and archival collections, maps, photographs, films, sound recordings, oral histories, and objects of material culture. They are held by libraries, archives, museums, historical organizations, and other repositories. The Endowment also makes grants to create databases, dictionaries, atlases, encyclopedias, and other major reference works that preserve and portray the history and culture of the United States, as well as the history and culture of other nations.

As a testimony to the agency's accomplishments, the Board of Directors of the Association of Research Libraries, a non-profit organization of the nation's academic and scholarly research libraries, issued a statement commending "the overwhelming success of the NEH preservation program in preserving the nation's heritage and culture" and hailed the Endowment's effort "as a critically important program to ensure the preservation of and access to important . . . materials that are vital to our nation's ability to function as a democracy."

By ensuring the long-term and wide availability of primary resources, Preservation and Access grants help NEH achieve one of its major strategic goals: to advance knowledge and understanding of the humanities in the United States. These grants are based on the principle that preserving a collection without creating an adequate description of its location and holdings for the prospective user is ultimately as futile as establishing access to materials that are too fragile to be handled. A significant part of the nation's cultural legacy is at risk: printed holdings in research libraries are deteriorating because of the acidity of their paper and materials such as photographs, films, and sound recordings are vulnerable because of their composition. Newer digital resources pose particular challenges related to permanence, authenticity, and rapid technological change.

Natural disasters present a serious threat to repositories of humanities materials. The devastating hurricanes of 2005 in the Gulf of Mexico, for example, reinforced the need for workable institutional plans for disaster preparedness and response.

Immediately after the extent of the damage caused by Hurricane Katrina became apparent, Chairman Bruce Cole announced that the Endowment would make Emergency Grants of up to \$30,000 for salvage and recovery efforts on the part of cultural institutions in the disaster areas. The staff of the agency's Division of Preservation and Access served as the principal point of contact for museums, libraries, archives, and other organizations seeking emergency assistance. Inadequate planning for emergencies remains a serious problem for the nation's cultural institutions. At present, four out of five cultural repositories in the United States lack disaster or emergency plans and the trained staff to carry them out. The Endowment helps to integrate emergency management into all aspects of a collecting institution's operations by supporting preservation education programs and the work of the regional preservation field services to provide the training needed to plan effectively for disaster preparedness and response.

Support for digital preservation and access is central to the work of the Endowment. By funding projects that digitize important humanities materials, NEH increases the public's ability to obtain information that would otherwise be difficult or impossible to access. Preservation and access grants also are an important component of the agency-wide Digital Humanities program. In 2007, NEH and the Institute of Museum and Library Services launched a special grant opportunity called "Advancing Knowledge: The IMLS/NEH Digital Partnership." This program, which NEH administers, supports projects that involve collaboration among libraries, museums, archives, and universities and that make use of digital technologies to benefit the millions served by the nation's cultural institutions. A grant to the Historical Society of Pennsylvania, for example, will establish an interactive Web site for cultural repositories and community members in Philadelphia to share knowledge about the historical, cultural, and architectural landscape of the city's neighborhoods. In 2007, NEH also joined with the Joint Information Systems Committee in England to support digitization projects that will rejoin "split" humanities collections that currently exist on either side of the Atlantic and to encourage collaboration between institutions of higher education in both the United States and the United Kingdom. Awards will be made under this unique international partnership in FY 2008.

With the advent in recent years of digital technology and the Internet as tools for preserving and accessing important humanities resources, grant applications for projects to microfilm brittle books, newspapers, and other humanities materials have declined steadily and the Endowment has reduced its support for such projects. In addition, one of NEH's long-standing microfilming programs—the United States Newspaper Program (USNP)—was recently successfully completed. Begun in 1982, this program awarded grants to organizations and institutions in all 50 states, the Virgin Islands, Puerto Rico, and the District of Columbia to locate and catalog more than 140,000 unique newspaper titles published in America since 1690 and to microfilm more than 70 million pages that would have otherwise been lost to future generations.

The decline in applications for microfilming projects and the completion of the USNP program have afforded the Endowment an opportunity to reassess its preservation and access grant categories. In FY 2009, NEH will focus on areas where its funds can be

the most effective—preserving and reformatting the contents of books and serials; creating reference works and research tools; preserving and widening access to other threatened humanities resources, such as newspapers and archival collections; supporting regional preservation and consultation services and programs to educate and train preservation administrators and conservators; and providing special preservation assistance to small and mid-sized institutions. With these priorities in mind, the Endowment will be discontinuing its Stabilizing Humanities Collections grant category, which provided grants to help humanities institutions and organizations preserve their humanities collections through support for improved housing and storage, environmental conditions, security, lighting, and fire protection. The agency's ongoing grant category of Preservation Assistance Grants for Smaller Institutions will continue to meet many of the preservation storage needs of small- and mid-size institutions.

In light of the diminution of microfilming projects and the Endowment's refocused preservation and access priorities, the budget for this division has been reduced accordingly.

The Endowment received 417 preservation and access applications and made 183 grants in FY 2007. In FY 2008, approximately 544 applications and 183 grants are anticipated. At the FY 2009 request level, the division anticipates receiving approximately 450 applications and making 130 awards.

Humanities Collections and Resources

The Endowment provides grants to projects that preserve and create intellectual access to collections that, because of their content and value as cultural artifacts, are considered highly important to the humanities. Grants support the digitization of collections to enhance their accessibility, as well as the integration of humanities materials that are geographically dispersed. Humanities Collections and Resources awards also fund preservation reformatting and the deacidification of humanities collections; arranging and describing archival and manuscript collections; and cataloging collections of printed works, photographs, recorded sound, moving images, and other materials important for humanities research and education. Recent grants, for example, have gone to preserve and extend access to a collection of centuries-old Persian and Arabic manuscripts, which include rare documents in the fields of philosophy, law, government, and science; the records of the New York Chamber of Commerce and Industry, the oldest commercial organization the United States; and a collection of historic photographs, including fragile glass plate negatives, from the *San Francisco Examiner*.

The Endowment has long supported the creation of a wide array of humanities research tools and reference works. Some of these resources, such as ancient language historical dictionaries and descriptive catalogs of manuscripts and rare books, serve primarily the needs of scholars. Others have been widely acclaimed for their contributions to education and lifelong learning in the humanities. Among these are the *Dictionary of American Regional English*, the *American National Biography*, and the

History of Cartography (a comprehensive account of the evolution of maps and map-making).

Humanities research tools and reference works increasingly appear in electronic form. Indeed, NEH has long been on the forefront in encouraging digital projects. Endowment funds have supported the development of online encyclopedias and dictionaries, as well as databases of bibliographical information, digital archives of textual and visual materials, and historical atlases. For example, NEH has been a major supporter of the English Short-Title Catalogue (ESTC), a database of works printed in English-speaking countries from the 1470s to 1800. Directed by Henry Snyder, a professor at the University of California at Riverside, the ESTC has incorporated hundreds of thousands of records from more than two thousand libraries on five continents. By locating additional copies of early printed works, the ESTC provides better access for researchers. The project has also uncovered many previously unknown works and others that were considered lost.

Other previously printed works, such as *The Atlas of Historical County Boundaries*, are also available in a digital version. Interactive digital maps allow users to view changes in county boundaries or to combine geographical information with historical statistics or census records to map change over time. Another electronic reference work supported by the Endowment, the *Stanford Encyclopedia of Philosophy*, has received international recognition for the high quality of its scholarly articles written in a language that is accessible to general readers and students as well as scholars. Nearly 3 million queries are processed each month. As part of a special NEH initiative to assist Afghanistan in preserving and documenting its cultural resources, a grant was made recently to New York University to digitize thousands of pages of Afghan books, serials, and documents published between 1870 and 1930 and currently held in public and private collections in Afghanistan, the United States, and Europe.

Finally, the Endowment encourages research on and the development of tools for enhancing the preservation of and access to humanities materials. For example, a grant to Tufts University will enable researchers to conduct robust contextual searches of people and places in the ancient world, using Greek and Latin texts in the Perseus Digital Library. George Mason University received support to develop and test a suite of easy-to-use tools for historians to locate, extract, and analyze information from large collections of texts.

Documenting Endangered Languages

The Endowment also supports the creation of tools—such as bilingual dictionaries, grammars, and text collections—to document and preserve languages. In recent years, NEH has placed particular emphasis on documenting endangered languages. Of the 6,000 to 7,000 currently spoken languages, at least 3,000 are threatened with extinction, including hundreds of American Indian languages. These lesser known languages constitute an irreplaceable treasure for scholars who need to consider evidence from past and presently spoken languages in order to understand the nature of language.

Moreover, a language embodies unique local knowledge of the cultures and natural systems of the region in which it is spoken. In an effort to address this issue, in 2005 NEH and the National Science Foundation established a joint, multi-year special initiative, “Documenting Endangered Languages,” to support linguistic projects that exploit digital technology. Grants support fieldwork and other activities relevant to recording, documenting, and archiving endangered languages, including the preparation of lexicons, grammars, text samples, and databases. Recent awards have supported the digitization of Cherokee language materials held by the Smithsonian Institution; the digital archiving of linguistic materials from Brazil, Colombia, Costa Rica, Mexico, and Venezuela; and the preparation of a dictionary of Klallam, an Indian language spoken on only three reservations in Washington's Olympic Peninsula and Vancouver Island in Canada.

National Digital Newspaper Program

Newspapers chronicle the daily life of our citizens in towns and cities. They also document the civic, legal, historical, and cultural events in every region during the past 300 years. The Endowment has helped to preserve the content of historically important American newspapers. NEH support has enabled organizations and institutions in 50 states, the Virgin Islands, and Puerto Rico to locate, catalog, and microfilm their newspaper holdings and to create a centralized bibliographic record of all newspaper titles published in America since 1690.

With the advent of digital technology, there is now a means of providing full text searching of newspaper content. As part of the Endowment's *We the People* program, a major effort is being made to digitize microfilmed pages of historically significant newspapers, which could be searched on a national database freely accessible via the Internet. In pursuit of this objective, NEH and the Library of Congress signed a memorandum of understanding in 2004 establishing a partnership to create the National Digital Newspaper Program (NDNP). Under the terms of this partnership, over a period of approximately 20 years, the Endowment will provide grants to institutions and organizations in each state of the nation to digitize titles published between 1836 and 1922 and to prepare fully searchable files that the Library of Congress would permanently maintain on the World Wide Web.

The NDNP is a complex, multiyear undertaking that will be implemented in successive phases. To date, the NEH has provided support under this grant category for nine state projects (California, Florida, Kentucky, Minnesota, Nebraska, New York, Texas, Utah, and Virginia), which are creating a test bed of hundreds of thousands of digitized newspaper pages published between 1880 and 1910. These projects have already digitized and submitted to the Library of Congress a large sample of newspaper titles. The selected pages, along with a directory of papers published in the United States from 1690 to the present, are now publicly available through a prototype website. In FY 2009, NEH will continue to support newspaper digitization projects throughout the country.

Preservation and Access Education and Training

Complementing the Endowment's support for preserving and establishing access to a variety of cultural resources are its grants for projects to increase the ability of the nation's libraries, archival repositories, and museums to care for their collections. NEH has always considered support for education and training to be an important component of its national preservation effort. Grants are made for regional preservation field services to help ensure that smaller cultural institutions across the country receive the kind of advice and knowledge they need to preserve their collections.

NEH supports regional services that reach thousands of cultural repositories with preservation information and education. For example, recent awards to the Northeast Document Conservation Center in Massachusetts, the Southeastern Library Network in Atlanta, the Midwest Art Conservation Center in Minneapolis, and the Bay Area & Peninsula Library System in San Mateo, California, are supporting preservation surveys, workshops and seminars, and information services to libraries, archives, museums, and historical organizations throughout the country. The Southeastern Library Network's field service program has focused much of its work since Hurricane Katrina on assisting institutions and organizations in the Gulf Coast states to respond to the disaster.

NEH also supports academic programs in conservation. New York University, SUNY-Buffalo, the University of Delaware, and the University of Texas at Austin received recent grants to support the training of conservators and preservation administrators who become the leaders and practitioners responsible for the stewardship of humanities collections. Conservators must also keep abreast of new developments and enhance their skills and knowledge to provide the best possible care for humanities collections in museums, libraries, and archives. To this end, for example, a recent grant to the American Institute for Conservation, the membership organization for conservation professionals, is supporting advanced workshops on preservation topics across the country.

Preservation Assistance Grants for Smaller Institutions

Smaller cultural repositories constitute the large majority of collecting institutions in the United States. These organizations often lack the resources to address the preservation needs of their collections. The Endowment's program of Preservation Assistance Grants provides small and mid-sized libraries, archives, museums, and historical organizations with grants of up to \$5,000. Funds support on-site consultation by a preservation professional, enable staff to attend preservation training workshops or other events, and help purchase preservation supplies and equipment. Since FY 2000, 1,091 grants have been made to institutions in 50 states, the District of Columbia, and Puerto Rico. Nearly half of the successful applicants received their first Endowment grant through this program. Recent awards include grants to the Benton County Museum and Historical Society in Washington to support a preservation assessment of its collection of library materials, photographs, textiles, and antique radios; to the National Orphan Train Complex in Concordia, Kansas, to purchase storage furniture and

preservation supplies for its holdings of correspondence, vital records, photographs, and other items related to the history of the Orphan Train Movement in the United States; and to the Carbon County Museum, which houses a variety of materials related to the mining and ranching culture of southeastern Wyoming, for staff workshops on disaster training and preparedness.

PUBLIC PROGRAMS

FY 2009 Request: \$12,709,000

Programs/Grant Categories:

- America's Historical and Cultural Organizations (museums, historical organizations, libraries, community and cultural organizations, and historic sites)
- America's Media Makers (television and radio documentaries)
- Chairman's Special Awards (large-scale, collaborative, multi-format projects or traveling large-scale exhibition projects)
- *We the People* programs (*We the People Bookshelf*, Picturing America projects, Interpreting America's Historic Places grants, and Family and Youth Programs in American History projects)
- Small Grants to Libraries and NEH on the Road (extend the reach of NEH grants)

NEH's Division of Public Programs supports activities that engage millions of Americans in the study and interpretation of significant humanities works, ideas, and events. By providing opportunities for people to engage in lifelong learning in history, literature, comparative religion, philosophy, and other fields of the humanities, these programs address two of the Endowment's strategic goals: to advance knowledge and understanding of the humanities in the United States; and to broaden public awareness of, access to, and support for the humanities.

In FY 2009, the Endowment will emphasize core public humanities programs for which it is well known: interpretive exhibitions, reading and discussion programs, the interpretation of historic sites, radio and television documentaries, and history and literature programs for families. The Endowment will add to these core programs enhanced support for large-scale, collaborative, multi-format projects and traveling, large-scale exhibitions of national visibility that have exceptional potential for attracting large audiences. We will also continue to emphasize support for the use of new technologies for the delivery of content to the American public.

The Endowment's America's Historical and Cultural Organizations program supports interpretive exhibitions about important subjects in the nation's history as well as the history and culture of other nations. For example, a recent award is supporting a permanent exhibition in a new visitor's center at Thomas Jefferson's Monticello, including a film, a model of the plantation, a website, and publications that explore how the third President applied Enlightenment ideas.

Exciting films and radio series are supported through the Endowment's America's Media Makers program. For example, major support was provided for *The War*, a 14-hour, seven-episode film on World War II by filmmakers Ken Burns and Lynn Novick. Launched in the fall of 2007 as a weekly series on PBS and PBS High Definition Channels, the documentary tells how residents of four communities (Luverne, Minnesota; Mobile, Alabama; Sacramento, California; Waterbury, Connecticut) experienced the war. The broadcast is accompanied by extensive outreach activities that include a companion book, a website with curriculum materials and teachers' tools, and an initiative to gather World War II veterans' stories in partnership with the Library of Congress Veterans History Project.

The Endowment recently established a new grant category, Chairman's Special Awards, that provide grants of up to \$1 million for projects of national visibility that are of compelling interest to the general public, show exceptional promise of dealing with important humanities ideas in new ways, and reach large numbers of Americans. Achieving the goals of Chairman's Special Award can be accomplished by combining a variety of program formats, forming creative collaborations among diverse institutions, and expanding their scope and reach through the employment of multiple venues and new technologies.

For many years, NEH has supported projects that use digital technologies such as virtual exhibitions, interactive websites to accompany television series, and film documentary databases. In 2009 and beyond, as part of the agency's new Digital Humanities program, the Public Programs division will seek out and encourage projects that make innovative use of technologies such as PDAs (personal digital assistants), podcasts, blogs, wikis, virtual imaging, video on demand, games and gaming, and other digitally-based interoperable applications. These projects will incorporate humanities scholarship and be appropriate for and accessible to the American public, including younger audiences.

As part of its *We the People* program, the Endowment supports a wide range of projects for general audiences, including documentary films on such figures as Alexander Hamilton, John Muir, Dolley Madison, and Theodore Dreiser and exhibitions on such subjects as the Native American Plains Tipi, the American Revolution in the West, and the history of Galveston Island, Texas, as an important immigration entry point. The Public Programs division also helps to support the agency's *We the People Bookshelf* program, through which public and school libraries throughout the country receive a set of featured books and use them in programs designed for their communities. This project, undertaken with the collaboration of the American Library Association, encourages young people to read and understand carefully chosen books that explore themes in American history and democratic principles. The 2007 theme, "Pursuit of Happiness," proved to be extremely popular, and NEH awarded 2,000 sets of books to as many communities. Anticipating the same enthusiasm for the 2008 theme, "Created Equal," which was chosen to help celebrate the Abraham Lincoln bicentennial in 2009, NEH plans to award 3,000 sets of books.

The Endowment invites other projects that explore the life of our 16th President. For example, we recently supported a major traveling panel exhibition, *Forever Free: Abraham Lincoln's Journey to Emancipation*, that incorporates more than 60 rare documents from the Huntington Library in California and draws on the latest scholarship about Lincoln's role in the emancipation of slaves. The exhibition will travel to 100 sites through early 2010.

The Public Programs division also will make grants to further the reach of the Endowment-wide, Picturing America project. In conjunction with this project, grants will be made for community-wide use of the Picturing America selections, such as, for example, Gilbert Stuart's *George Washington*, Thomas Hart Benton's *The Source of Country Music*, and *Migrant Mother*, Dorothea Lange's famous photograph. Applicants for a Chairman's Special Award for a traveling exhibition of some of the selected works are encouraged, as are proposals for panel versions that would travel to as many as 50 sites throughout the country.

The Small Grants to Libraries category enables individual libraries to take part in exemplary programs, such as exhibitions on important topics in the humanities, circulated by national organizations and major institutions. Similarly, the NEH on the Road program is designed to extend the useful life and geographic reach of selected NEH-funded exhibitions by reconfiguring them to fit into spaces of about 2,000 square feet so that small and mid-sized museums can host NEH exhibits.

In FY 2007, Public Programs made 45 major awards—including one Chairman's Special Award for \$1,000,000—43 Small Grants to Libraries, and 10 outreach awards for NEH on the Road. In FY 2008, 35 major awards are anticipated, including 8 radio and television projects; 19 exhibitions, reading and discussion programs, web-based programs, and other public education programs; and 8 grants to America's historic sites. Within these grant categories, we anticipate making 3 Chairman's Special Awards. In addition, 15 NEH on the Road grants would be made to museums and approximately 110 Small Grants to Libraries would be awarded, including small grants for the *We the People Bookshelf*, the Picturing America initiative, and projects focusing on the Lincoln bicentennial celebration. In FY 2009, 35 major awards again are anticipated, including 6 radio and television projects; 21 exhibitions, reading, viewing, and discussion programs, web-based programs, and other public education programs employing various delivery mechanisms at venues across the country. Within these categories, 3 Chairman's Special Awards are anticipated. In addition, 35 NEH on the Road grants would be made to museums, and approximately 140 Small Grants to Libraries would be awarded, including small grants for the *We the People Bookshelf* and the Picturing America project and for projects focusing on the Lincoln bicentennial celebration.

America's Historical and Cultural Organizations

The Endowment is a major source of support for substantive humanities projects and programs in the nation's historical and cultural institutions and organizations. These projects, all grounded in sound humanities scholarship, include exhibitions of artistic,

cultural, and historical artifacts; the interpretation of American historic sites; reading and discussion programs in the nation's libraries, a natural setting to increase public understanding of the humanities through the discovery and interpretation of significant texts, media, and special collections; and book and film discussion series, traveling exhibitions, lecture series, and other lifelong learning activities. The Endowment also encourages collaborations among community libraries and museums, school systems and home schooling groups, parent-teacher organizations, television and radio stations, and literacy coalitions.

At any time, hundreds of NEH-sponsored exhibitions are on view at large and small museums and historical sites throughout the country, enabling millions of Americans to learn more about their nation and the world through the humanities. Families and children travel through the world of ancient Greece and follow the epic journey of Homer's Odysseus in a new exhibition at the Children's Museum of Manhattan, *Gods, Myths, and Mortals: Discover Ancient Greece*. Featuring creative interactive environments as well as original artifacts, coins, and cast replicas, the exhibition examines Greek mythology, culture, and society and the relationship between the worlds of humans and the divine. The exhibition is slated to travel to children's museums across the country over the course of four years. Another exhibition, on Generals Lee and Grant, will draw on the collections of many institutions to compare and contrast these two major military figures--their rise to prominence, their changing views on such crucial issues as slavery, and their contrasting familial and regional roots. The exhibition, the first of its kind, was developed jointly by the New-York Historical Society and the Virginia Historical Society and is scheduled to travel to five venues.

NEH provides support for significant educational interpretation of America's historic sites. For example, a recent grant is supporting an exhibition, *Sailors Speak: Life Aboard Constitution in 1812*, at the U.S.S. Constitution Museum in Boston. Aimed specifically at family audiences, this participatory exhibition explores the daily lives of the officers and crewmen of "Old Ironsides," while explaining how the ship became an important patriotic symbol of the young American republic. Historic sites also can be natural settings. A recent award to the Arizona State University is supporting an interactive website, audio tours, and other materials interpreting the natural and cultural history of the Grand Canyon Landscape. This project encourages visitors to think about the Canyon as a cultural artifact whose meanings and value to Americans have evolved over time. Audio tours, an interactive website and DVD, and a virtual tour of eighty-eight sites with links to maps, photographs, interpretive text, and primary sources are being developed in conjunction with the Endowment's Digital Humanities program.

The America's Historical and Cultural Organization program also supports projects in our nation's libraries. For example, a recent grant is developing a series of reading and film discussion programs at 30 public libraries, with a companion website, that will occur simultaneously with the broadcast of the NEH-supported documentary film, *Soul of a People: Voices from the Federal Writers' Project*. All of the various formats, including the film, explore the story of one of the largest cultural experiments in U.S. history—the Federal Writers Project of the Works Progress Administration—against

the backdrop of the Great Depression. By conducting related reading and film discussion programs as the film airs, programs in libraries will deepen public engagement with central ideas of the film: the relationship of regional cultures to national identity, the importance of individuals' stories in American history, the connections of history and literature, and the competing visions of America within the Federal Writers' Project's series of state and local travel guides.

Collaborative work among cultural and historical organizations is also supported through this grant category. For example, support was provided for a three-year after-school program that is helping middle and high school students conduct historical research about Brooklyn, New York's past. The project is a collaboration of Brooklyn Information and Culture, Inc., and the borough's historical society, museum, public library, and three local schools. Each year, thirty young people participate as "history sleuths" in first-hand historical research, investigating a mystery about Brooklyn's past.

America's Media Makers

NEH supports media projects—principally film documentaries and radio series—that explore significant figures and events in the humanities and examine the history and culture of America and other nations. Programs present fresh approaches to interpreting the humanities and provide stimulating and substantive educational opportunities for Americans of all ages. The Endowment also encourages and supports creative approaches—especially those that use new digital technologies—that expand the content and reach of television and radio programs in the humanities. To ensure that humanities themes and questions are well conceived, the agency requires that projects draw their content from humanities scholarship and use a team of scholars who are knowledgeable of the subject matter and that have diverse perspectives and approaches.

Endowment-supported media projects continue to garner prestigious national awards. Radio and television projects have won numerous George Foster Peabody awards for excellence in broadcasting. Two NEH-supported documentaries—*Broadway: The American Musical* and *The Fight*, about the Joe Louis-Max Schmeling fight of 1938—have received recent Emmy Awards.

The Endowment also provided funding for a two-hour documentary on Alexander Hamilton, architect of the modern American economy, champion of a strong central government, and leader of one of the nation's first political parties. The prime-time broadcast of this film on PBS was accompanied by an extensive website with special features that included an interactive timeline, teacher's guide, and video streaming. In addition, the Gilder Lehrman Institute of American History in New York created an online exhibition, *Alexander Hamilton and the Creation of the United States*, in support of the television program and distributed it to urban and rural communities throughout the U.S. Another recent film supported by the Endowment, *The Rape of Europa*, is now being shown through theatrical release and at film festivals internationally. Adapted from a National Book Award-winning history by Lynne Nicholas, the documentary tells

the story of the looting of European art treasures by the Nazis during World War II and the efforts to restore these artworks to their rightful owners.

Notable NEH-supported television programs currently in development include a documentary about Abdul Rahman bin Ibrahim Sori, an African prince who was captured in battle in 1787, sold into slavery, shipped to Mississippi, and, 40 years later, freed and sent back to Africa. In addition to a two-hour film that explores the origins, history, and nature of writing, also in production are biographies about the naturalist, John Muir; the muralist, Jose Clemente Orozco; the American novelist, Theodore Dreiser; and Dolley Madison, the wife of the fourth president of the United States.

The NEH also supports radio programs that examine the lives of important individuals, significant events, notable developments in the humanities, or the critical analysis of themes or genre. These projects draw their content from humanities scholarship. Awards are made to radio organizations and stations as well as to independent radio producers affiliated with non-profit institutions. The Folger Shakespeare Library, for example, produced *Shakespeare in American Life*, three one-hour radio documentaries that explored the influence of William Shakespeare's works on American civic, political, and cultural life. Narrated by renowned actor Sam Waterston and broadcast on more than 50 public radio stations, the documentaries were complemented by a comprehensive website housed on www.folger.edu, which features educational activities including lesson plans for K-12 teachers, and interactive games and learning activities for families. Other recent radio projects supported by the NEH include *Afropop Worldwide*, a weekly series showcasing the contemporary musical cultures of Africa and the African Diaspora in the Caribbean, the Americas, and Europe; and *American Routes*, a weekly two-hour documentary program that presents and interprets American vernacular music as an expression of America's varied cultures and their histories.

Chairman's Special Awards

In FY 2007, the Endowment established a new category of Chairman's Special Awards of up to \$1 million for projects that will increase the impact and reach of public programming in the humanities. Through these awards, NEH will encourage and support large-scale, collaborative, complex projects with national visibility and compelling interest to the general public that show exceptional promise of dealing with humanities topics in new ways. The first Chairman's Special Award was made in FY 2007 to the Asian Art Museum of San Francisco for an exhibition exploring the arts and cultural heritage of ancient Afghanistan. The museum is developing an exhibition, catalog, website, and public educational programs in collaboration with the National Geographic Society and other organizations. Afghanistan is well known as a site of conflict and war, but its history and culture is not generally understood. The project situates ancient Afghanistan at the nexus of the Silk Road, and the objects, many discovered in recent years by archaeologists, were rescued from destruction by the Taliban. The exhibition, which will travel with support from the Endowment to four sites— San Francisco, Houston, New York City, and Washington, DC—is expected to reach millions of

Americans. In terms of audience size, compelling topic, engaging format, extensive educational and public programs, and the promise of an outstanding web presence, this project is exemplary as the first Chairman's Special Award.

Small Grants to Libraries and NEH on the Road

The Endowment is committed to extending the reach of high quality educational exhibitions to audiences throughout the country. To achieve this objective, the agency supports two special programs, NEH on the Road and Small Grants to Libraries. NEH on the Road sends intellectually engaging, scaled-down versions of major NEH-funded exhibitions to cultural organizations at sites across America. The first of these exhibitions began circulating in 2005. NEH now has four exhibitions available and will increase the number to eight in 2008 and twelve in 2009. The Endowment provides support to supplement the host site for these exhibitions by awarding a grant of \$1,000 for local programmatic and scholarly activities. The currently available exhibitions are: "Heroes of the Sky," an exhibition that examines the early days of powered flight; "Wrapped in Pride," a show on the changing cultural meanings of Ghanaian Kente cloth; "Going Places," about carriage transportation in the 19th and 20th centuries; and "Lee and Grant," a story about the two generals before, during, and after the Civil War.

The Small Grants to Libraries program provides support for libraries and other cultural institutions to receive traveling panel exhibitions or pre-packaged reading and film discussion programs. The program helps smaller, less-experienced organizations bring strong humanities programming to their communities. For example, two organizations have received support to develop panel exhibitions—one on Benjamin Franklin, the other on Lewis and Clark—that will each travel to libraries throughout the nation. These small, 1,000-square-foot exhibitions are designed to educate audiences in selected communities about the life and works of the subjects, focusing on the breadth of their accomplishments. Beyond the panel exhibition, selected sites also receive an array of educational and promotional materials keyed to the themes of the exhibitions, as well as training, with presentations by scholars and curators, exhibit designers, and librarians from around the country with experience in public programming. A site support notebook for libraries will feature program ideas, speaker lists, bibliographies, film lists, exhibit set-up instructions, and other aid for the selected sites. Grants ranging from \$1,000 to \$2,500 are made to the selected sites, which may be used to defray the cost of travel to the training sessions or the cost of local programming.

RESEARCH PROGRAMS

FY 2009 Request: \$13,003,000

Programs/Grant Categories:

- Fellowships and Summer Stipends Program
 - Fellowships
 - Summer Stipends
 - Faculty Research Awards (for Historically Black Colleges and Universities, Institutions with High Hispanic Enrollment, and Tribal Colleges and Universities)

- Collaborative Research Program
 - Collaborative Research
 - Scholarly Editions
 - Fellowship Programs at Independent Research Institutions
 - Fellowships at Digital Humanities Centers

Advancing knowledge and understanding in the humanities is a major strategic goal of NEH and the principal mission of the agency's Division of Research Programs. The Endowment upholds the very highest standards of scholarly excellence and intellectual significance. As a result, NEH awards for advanced research in the humanities have become among the most coveted by American scholars. Grants support research by individual scholars (Fellowships and Summer Stipends); long-term, complex projects carried out by teams of scholars (Collaborative Research and Scholarly Editions); and focused, individual projects that draw upon the collections and expertise of leading humanities institutions and overseas research centers (Fellowship Programs at Independent Research Institutions and Fellowships at Digital Humanities Centers).

Supported projects cover an area as wide as the humanities themselves, from history, philosophy, literature, and the arts to classics, Western civilization, and Asian studies. Many of these projects involve the most significant political, philosophical, and literary ideas in the American intellectual tradition. For example, NEH is a major source of funding for authoritative editions of the papers of such notable statesmen as Benjamin Franklin, George Washington, Thomas Jefferson, James Madison, and Abraham Lincoln; such quintessential American authors as Henry David Thoreau, Mark Twain, and Robert Frost; and more recent figures in American history, such as George C. Marshall, Eleanor Roosevelt, and Albert Einstein. These projects help fulfill the aim of the Endowment's agency-wide *We the People* program to deepen our knowledge of American history and culture. They make available to scholars, teachers, students, and the general public the texts that are essential for understanding America's experiment in republican government.

The results of humanities research are typically communicated through books and articles, and each year NEH-supported scholars produce hundreds of such publications,

many with leading trade and academic publishers. However, grantees are increasingly making their research available in electronic formats, such as interactive sites on the World Wide Web. The Endowment will continue to harness the vast potential of advanced digital technology, especially to help fulfill the *We the People* program's commitment to broaden and deepen our citizens' understanding of the American heritage. In FY 2009, for example, the agency will continue to encourage projects to digitize and publish online authoritative scholarly editions that, because of their intellectual content and value, provide a basis for the exploration of significant events and themes in our nation's history. The Collaborative Research program and the Fellowships and Stipends program will also support projects that use digital technologies and methods to enhance our understanding of a topic or issue—one of the goals of the new NEH Digital Humanities.

Fellowships and Summer Stipends Programs

Fellowships and Summer Stipends

NEH Fellowships and Summer Stipends advance knowledge and understanding in all fields of the humanities. Over the years, these awards have proven to be an extremely effective and efficient means of supporting excellent humanities research, resulting in the publication of more than 6,500 books. Nearly all grantees report success in publishing books and articles or making presentations based on their research for both specialized and general audiences, and many also report that their research enriched their teaching. Grants are awarded to a wide range of scholars in diverse settings, from colleges to universities to research institutes, and to independent scholars without teaching appointments.

The intellectual impact of NEH Fellowships and Summer Stipends is not confined to the academy. Many fellowship-supported projects have enjoyed broad appeal and have been published by major trade publishers, including, most recently, Joseph Horowitz's *Classical Music in America*, Harvey Kaye's *Thomas Paine and the Promise of America*, and Catherine Clinton's *Harriet Tubman: The Road to Freedom*. A fellowship to Martin J. Sherwin, Professor of History at Tufts University, resulted in the publication (with co-author Kai Bird) of *American Prometheus: The Triumph and Tragedy of J. Robert Oppenheimer*, which received the 2006 Pulitzer Prize in Biography. Fourteen Pulitzer Prizes, in fact, have been awarded to the products of the agency's Fellowships and Summer Stipends programs.

In view of the need to provide adequate support for scholars to pursue advanced research in the humanities, the Endowment recently increased the amounts of these awards. For many years NEH Fellowships supported six to twelve months of research and writing with one-year stipends of up to \$40,000, and Summer Stipends supported two months of research at \$5,000. In FY 2008, the Endowment increased its one-year Fellowship to \$50,400 and the two-month Summer Stipend to \$6,000.

The Endowment is widely respected for its rigorous review process. This strength has attracted strategic partnerships that further extend the Endowment's impact. Currently, for example, the Research Programs division administers the evaluation of applications to the Library of Congress's John W. Kluge Fellows Program. This program provides stipends to junior scholars from the U.S. and abroad to conduct research in the Library's rich collections in the humanities. NEH also carries out the evaluation of applications and serves as fiscal agent for fellowships awarded by the Japan-United States Friendship Commission. These awards encourage American scholars to pursue advanced research on Japan and U.S.-Japan relations. The Endowment's collaborations with the Library of Congress and the Japan-U.S. Friendship Commission also provide for several jointly funded fellowship awards.

Finally, the Endowment continues its multi-year funding partnership with the National Science Foundation in support of Documenting Endangered Languages, a program to provide awards to scholars engaged in recording and preserving key languages before they become extinct. This collaboration is made urgent by the imminent demise of an estimated half of the 6,000 to 7,000 currently used languages worldwide.

Faculty Research Awards at Historically Black Colleges and Universities, Institutions with High Hispanic Enrollment, and Tribal Colleges and Universities

As part of the agency's efforts to extend the reach of its grant opportunities, the Endowment has established a program to support faculty research at institutions designated by Presidential Executive Orders 13230, 13256, and 13270. This program currently provides fellowship awards to humanities scholars at the nation's 430 historically black colleges and universities, institutions with high Hispanic enrollment, and tribal colleges and universities. The awards are offered for up to full-year periods at a maximum stipend of \$50,400; they are submitted at the same time and evaluated with the same rigor as fellowship applications. Faculty who recently received awards are exploring a wide variety of humanities subjects, such as "Good Business in a Global Age: A History of Ethics, Responsibility, and American Economic Expansion," "An Environmental History of China," "The Lives and Writings of Indigenous Nuns in Colonial Mexico," and "Cicero's Ethics."

In FY 2007, the NEH Fellowships and Stipends program and the Faculty Research Awards Program received 2,329 applications and made 251 awards. This total includes nine awards for Documenting Endangered Languages and two awards jointly funded with the Japan-United States Friendship Commission. In 2008, the program estimates receiving 2,318 applications and making 180 awards. The reduction in awards is the result of higher award amounts in both Fellowships and Summer Stipends. In 2009, the program expects to receive 2,109 applications and to make 173 awards.

Collaborative Research Programs

Modern scholarly endeavors increasingly require the collaboration of many scholars working across a wide range of specialties or scholars working alongside one another in research centers and archives. The Endowment nurtures such collaborative efforts through three programs—Scholarly Editions, Collaborative Research, and Fellowship Programs at Independent Research Institutions.

Scholarly Editions grants support the preparation of important texts and documents that would otherwise be inaccessible to the public. Projects involving significant literary, philosophical, and historical materials are typical, with the majority being in U.S. history and literature. Most are produced in print editions, but increasingly also in a variety of digital formats. Recent grants have supported, for example, editions of the papers of such statesmen as George Washington, John Adams, Abraham Lincoln, Ulysses S. Grant, and Dwight D. Eisenhower, and such towering literary figures as Ralph Waldo Emerson and Ernest Hemingway. By making America's history and literature more readily available to scholars, teachers, students, and the public, such projects help assure that current and future generations understand this nation's achievements and guiding principles. These projects have played a key role in furthering the mission of the Endowment's *We the People* program.

Collaborative Research grants support teams of researchers involved in a variety of large-scale projects, including translations of multi-volume works, archaeological research, scholarly conferences, and wide-ranging syntheses of great importance to the humanities. The results of these projects are published either as traditional print publications or increasingly in digital format on the Internet. For example, an NEH-supported group of researchers created "Aquae Urbis Romae, the Waters of the City of Rome," an interactive website featuring the cartographic history of water systems in ancient Rome. Other recent Collaborative Research awards have supported an annotated English translation of the correspondence of American Lutheran leader Heinrich Melchior Mühlenberg (1711-1787), the preparation of an illustrated volume on ancient Corinth's stone architecture, an archaeological excavation of a site in present-day Turkey that was a provincial capital of the Late Assyrian Empire, a volume on the history of Islam, and a scholarly translation of a collection of ancient Jewish writings, including the Dead Sea Scrolls.

Both programs—Scholarly Editions and Collaborative Research—are helping to fulfill the goals of NEH's new Digital Humanities program. Collaborative Research encourages applications for projects that employ digital technology in the research methodology and the dissemination of project results, while Scholarly Editions encourages applications that employ digital technology in both the management and online publication of critical editions and documentary editions. In the evaluation of grant applications, preference is given to projects that include Text Encoding Initiative-conformant transcription (a set of standards that guides the encoding and transmission of humanities materials in digital formats), free access, online publication, and advanced searching technology.

Fellowship Programs at Independent Research Institutions

The Fellowship Programs at Independent Research Institutions (FPIRI) grant program supports residential fellowships at major U.S. research centers located at home and abroad and fellowships awarded under the auspices of U.S. organizations that facilitate international research. These partnerships expand the access of American scholars to important research collections and scholarly communities, including many with rare and specialized expertise. NEH funds partially support the costs of fellowship stipends, while the partner institution covers such costs as meals, lodging, copying and library services, computer access, and, in the case of organizations supporting international research, assistance in securing the necessary visas and research permits. The Endowment supports approximately 75-80 fellows annually.

The FPIRI program was created partly in recognition of the fact that intellectual exchange and collaboration are vital to the scholarly enterprise and advanced research. The distinguished publication record of its fellows demonstrates this. For example, Christopher Brown, a fellow at the Omohundro Institute of Early American History and Culture in Williamsburg, Virginia, recently published *Moral Capital: Foundations of British Abolitionism*. Wayne Franklin's fellowship at the American Antiquarian Society in Worcester, Massachusetts, supported research that led to the recent publication of the first volume of a projected two-volume biography, *James Fenimore Cooper: The Early Years*.

Fellowships at Digital Humanities Centers

In FY 2009, the Endowment will introduce a new program, NEH Fellowships at Digital Humanities Centers (FDHC). The program will provide residencies for humanities scholars at centers that specialize in the use of technology to advance humanistic understanding. Among the goals of the FDHC are increasing the general public's access to the humanities, enhancing the nation's cyberinfrastructure, nurturing digital literacy and expertise, encouraging innovative digital humanities projects, and promoting the work of digital humanities centers. Grants have a wide range of potential outcomes, from building digital collections or displays to developing new analytical digital tools and innovative digital products.

In FY 2007, Collaborative Research programs received 206 applications and made 55 awards. For FY 2008, 210 applications and 50 awards are anticipated. At the proposed FY 2009 funding level, approximately 55 awards would be made from an anticipated pool of 235 applications. In FY 2007, FPIRI awards supported 78 fellows at 25 research institutions, both in the United State and abroad. In FY 2008 and FY 2009, FPIRI awards will support an anticipated 75 fellows at 25 research institutions.

OFFICE OF CHALLENGE GRANTS

FY 2009 Request: \$7,082,000

Support for Long-Term Institutional Development:

- *We the People* and NEH Challenge Grants
- Enhancing infrastructure through Digital Humanities Challenge Grants
- Encouraging financial planning
- Strengthening humanities education
- Facilitating humanities research and scholarship
- Preserving and increasing access to cultural resources
- Providing opportunities for lifelong learning in the humanities

A tribal college in South Dakota raises \$1.5 million to earn a \$500,000 match from NEH for faculty positions in indigenous language and culture. A small public library in Alaska constructs a new facility. A regional historical society in Arkansas expands its public programming by endowing a position of humanities educator. A major university in Indiana conducts research and public outreach on the place of religion in American civic life. A new museum of African American history in Maryland raises funds to support educational programming in partnership with the state's Department of Education. A small college in Ohio creates a new Center for the Study of Democracy. Consortia of research libraries join together to underwrite—and thus to ensure open access to—an online encyclopedia of philosophy.

This brief sampling of recent NEH Challenge Grants illustrates how the Endowment helps local, state, and national institutions secure their humanities resources and activities for the long term. Crucial to achieving this goal is the “multiplier effect”: First-time recipients of a challenge grant must match every federal dollar with three nonfederal dollars, and recipients of subsequent awards must raise four nonfederal dollars for every federal dollar. (Different matching ratios may obtain in special initiatives.) In fact, many grantees raise even more than the required amount.

Both the NEH challenge funds and the matched nonfederal funds can be used for a variety of long-term institutional purposes. The money may be used to purchase capital equipment and upgrade technology, renovate or construct facilities, and add to library holdings or museum collections. Challenge grants can also augment or establish endowments that support basic humanities needs such as staff and programming. A wide array of nonprofit organizations have taken up the NEH “challenge,” including museums, tribal centers, libraries, colleges and universities, scholarly research organizations, state humanities councils, public radio and television stations, and historical societies and historic sites.

In FY 2009, the Endowment will be placing a lower priority on endowment-building projects. Such projects tend to consume a significant amount of federal

resources in their first few years while returning only modest benefits as the endowment accumulates earnings. We also will make a special effort to encourage applicants to seek support for projects related to current NEH priorities, such as *We the People*, Picturing America, and the Digital Humanities. As we expect the refocused program to make fewer and smaller awards, the FY 2009 budget for Challenge Grants has been reduced accordingly.

In FY 2007, the Office of Challenge Grants received 127 applications and made 20 awards. The program anticipates receiving 100 applications and making 10 awards in FY 2008. In FY 2009, 75 applications for 8 awards are anticipated.

We the People and NEH Challenge Grants

In FY 2009 the Challenge Grants program will continue to encourage applications under the Endowment's *We the People* program. Proposals are welcomed in a special competition to strengthen programs that enhance our understanding of the nation's founding principles, events, democratic institutions, and cultural heritage. One hundred and sixteen applications have been submitted in the first four years of the *We the People* Challenge Grants special initiative, and the Endowment has made eighteen awards. For example, the National Constitution Center (NCC) has received offers of funds from NEH totaling \$1,500,000 (which will match \$4,500,000 in nonfederal donations) to construct a new gallery for, and to endow ongoing expenses of, a program of temporary educational exhibits illustrating various aspects of the U.S. Constitution. On July 4, 2003, the NCC museum opened in Philadelphia's Independence National Historic Park just two blocks from Independence Hall. The museum addresses the history and contemporary relevance of the Constitution and the principles of constitutional democracy. Washington College, in historic Chestertown, Maryland, is renovating the 1735 Buck-Chambers house for fellows in residence at the college's C.V. Starr Center for the Study of the American Experience. The fellows who will be living in this 18th-century house will be conducting research and writing on the nation's Founding Era. The impact of this grant will be felt far beyond the college campus. Because the fellowship opportunity is open to nonacademic historians, it will benefit a group that has produced some of the preeminent books on the Founding Era.

Grants awarded in the regular Challenge program, as well as in the special competition, exemplify the goals of *We the People*. The nation's identity and cultural heritage, for example, have been formed in part by armed conflict. In 2005 NEH offered a challenge grant of \$500,000 (matching \$1,500,000 in nonfederal gifts) to the Liberty Memorial Association of Kansas City, Missouri. Liberty Memorial opened in 1926 and was rededicated in 2002. Over 100,000 individuals visit the memorial every year. Its original mission was to memorialize those who fought in World War I, but as the centennial of the war approaches (in 2014), the organization finds itself taking on a more active educational mission. It now focuses on educating audiences, especially school children grades 5-12, about the historical context of World War I and its relevance for understanding today's events. The challenge grant will support a historian/education

director, educational programming, and related acquisitions—vital support for the institution's shift from static memorial to dynamic educational resource.

Other recent grants, as well, reflect the extent to which the nation's identity has been profoundly influenced by the harsh reality of war, both "hot" and "cold." The Endowment has offered a challenge grant of \$500,000 (to match \$1.5 million in nonfederal contributions) to the Virginia Military Institute in Lexington, Virginia, to endow the John A. Adams '71 Center for Military History and Strategic Analysis. The center examines how the Cold War defined the second half of the twentieth century and how the history of this era can illuminate key events in today's post-Cold War world. The challenge grant will support, among other resources, an oral history archive gathered from important participants in central events of the Cold War.

Another challenge grant that exemplifies *We the People* themes is the \$300,000 award to the Pilgrim Hall Museum. Since its opening in 1826, the Pilgrim Hall has portrayed in its exhibits the historical and human context of the earliest American experience. The challenge grant (including \$900,000 in nonfederal donations) will help the museum construct a new wing, install a climate-control system, and better preserve century-old objects of daily life in America.

Enhancing institutional infrastructure for digital humanities initiatives

In FY 2009 the Office of Challenge Grants will continue its special emphasis on Digital Humanities Challenge Grants. The program invites applications to enhance the institutional infrastructure that makes sustained use of advanced technology possible. For example, The University of Virginia has been offered a \$750,000 NEH Challenge Grant (to match \$2,250,000 in nonfederal gifts) to support the university's highly regarded Institute for Advanced Technology in the Humanities (IATH). IATH has been the sponsor of some of the most defining projects of the digital revolution in the humanities, and scholars affiliated with IATH have been recognized for their efforts in the digital humanities as recipients of such prizes as the Richard W. Lyman Award of the National Humanities Center, E-Lincoln Awards, and the Modern Language Association Prize for a Distinguished Scholarly Edition. The challenge grant will support IATH's efforts to advance digital technology in the humanities through pioneering collaborative projects in multisensory 3D user interfaces that integrate analytical tools with texts, images, maps, and dynamic 3D representations. The grant will be used to support IATH's staff costs and the maintenance and enhancement of IATH's networking hardware and software. Another example is a recently completed challenge grant to the Crow Canyon Archaeological Center. Through electronic publishing, Crow Canyon (located in a valley west of Cortez, Colorado) has evolved from a regional research and education center into a national provider of humanities information. The \$500,000 grant, successfully matched with more than \$2,100,000 in nonfederal funds, supports a Distance Learning Team to undertake archaeological research and to develop educational materials for electronic publication and delivery to students throughout the nation (see www.crowcanyon.org).

Encouraging financial planning

The Endowment's enabling legislation calls for it to encourage “administrative and management improvements . . . particularly in the field of long-range financial planning.” The Challenge Grants program fulfills this mission by requiring applicants to demonstrate how their planning—for fund raising, program development, and resource allocation—will sustain their endeavors well into the future. The benefits are clearly evident in the results of recently completed grants. For example, Shady Side Rural Heritage Society in Maryland recently completed the matching requirement for its \$100,000 Local History Initiative challenge grant, raising more than \$100,000 in nonfederal funds. (The Local History Initiative, conducted in 2002 and 2003, provided a special opportunity for small local historical organizations to build endowments to support humanities programming. The awards were up to \$100,000, matched one-to-one.) The combined amount of \$200,000 allows the museum to pay for a professional curator to research local history, develop humanities programs, and maintain collections for the society’s Captain Salem Avery House Museum. This museum preserves southern Anne Arundel County, Maryland history and its traditions. The NEH challenge inspired the society to seek partnerships with other humanities organizations, to collaborate with scholars, and to engage the public actively in its efforts to preserve history.

Strengthening humanities education

In accord with a key element of the Endowment's strategic plan, the NEH Challenge Grants program helps strengthen humanities teaching and learning across the nation. For example, Carnegie Mellon University received a challenge offer of \$500,000 (to match \$1.5 million in nonfederal gifts) to endow a humanities center at the university. Known worldwide for its strong scientific curricula and programs, the university seeks to enhance the status of humanistic inquiry at this technical institution. The center's programs are interdisciplinary and are designed to show the relevance of the humanities to real world problems. The endowment will support external and internal research fellows, a research seminar, and public programming—all focused on an annual theme. Swarthmore College received a \$600,000 challenge grant (to match \$2,400,000 in nonfederal funds) for teaching positions in Modern Standard Arabic. The college currently has substantial enrollments in Islamic and Middle Eastern Studies, and it seeks to address a national need by offering instruction in first- and second-year Arabic in a tri-college consortium with Haverford College and Bryn Mawr College. The consortium seeks to integrate language and cultural study and to encourage participation by its students in overseas residential programs. The grant will support a full-time faculty position at Swarthmore, a part-time faculty position to be shared with Haverford and Bryn Mawr, and Arabic language drill instructors.

Support for educational excellence also can be achieved through smaller, sharply focused challenge grants. Macon State College in Georgia, for example, received a \$50,000 offer from NEH (to match \$150,000 in nonfederal gifts) in support of its library. Having recently grown from a two-year community college into a four-year college (with a second campus that serves Warner Robins Air Force base), Macon seeks to enhance its

library resources by purchasing the archives of an online literary database and by supporting ongoing costs of online access and print acquisitions in the humanities.

Challenge grants also strengthen humanities education in K-12 schools. For example, the Montpelier Foundation in Virginia received an NEH *We the People* challenge offer of \$1 million (to match \$3 million in nonfederal donations) to support the educational activities of its Center for the Constitution at James Madison's home estate, Montpelier. The grant will support constitutional study programs for school teachers, as well as in-school programming. Five farmhouses at Montpelier are being renovated to create a retreat facility, a "constitutional village," where teachers will reside for extended conferences and workshops, such as the week-long "James Madison and Constitutional Citizenship" program.

Facilitating humanities research and scholarship

To help NEH achieve its strategic objective of facilitating basic research and original scholarship, challenge grants support institutions that serve humanities researchers and make scholarly resources available to the public. The American Philosophical Society (APS), for example, is upgrading and planning for long-term support of the digital technology in its world renowned research library. The library houses collections in fields such as early American history, the history of science, medicine, and Native American anthropology. Some of the nation's most treasured documents and artifacts are held in the APS library, including the complete journals of Lewis and Clark (deposited in the APS library by order of President Thomas Jefferson), and papers of Benjamin Franklin. The APS library is using its NEH Challenge Grant of \$500,000 (which will match \$2,000,000 in nonfederal donations) to endow costs of ongoing technology that will better preserve these cherished documents and enhance scholars' and the public's access to the society's pre-eminent collections. NEH also awarded a grant of \$500,000 (to match \$2 million in nonfederal funds) to the Wisconsin Historical Society to endow the preservation of its important collections. The Society's North American history collections, which include four million library items and 130,000 cubic feet of archival materials, document the development of communities, nations, and social groups from the first contact between European and Native American cultures to the present. The challenge grant will provide continuing support for the protection of these valuable collections and making them accessible not only for research but also for classroom use, exhibits, and public programs.

Preserving and increasing access to cultural resources

The Challenge Grants program supports long-term institutional capacity to preserve manuscripts, art works, artifacts, documents, and other collections important to our cultural heritage. Conservation facilities, programs, and staff have become an important part of an increasing number of challenge grants. The Nebraska State Historical Society, for example, received a challenge offer of \$550,000, to match \$1,650,000 in nonfederal donations, to hire a paintings conservator and related conservation expenses at the Gerald R. Ford Conservation Center's (GRFCC) laboratory.

Established in 1995, GRFCC is one of twelve regional conservation centers in the United States and one of only three west of the Mississippi River. As a regional facility, GRFCC plays a significant role in the conservation of humanities materials in the central and northern states. The center offers workshops, lectures, and educational programs on conservation, and the staff teaches preventive conservation to students in graduate-level museum studies programs.

A \$1 million challenge grant has been offered to Humanities Texas, the state's humanities council. When matched by \$3 million in nonfederal donations, the grant will allow the council to complete the purchase and restoration of the historic Byrne-Reed House. Acquiring this 100-year-old property and restoring it to its original appearance will provide the council with greater visibility close to Austin's government center and cultural district. The restoration itself will present the council with the opportunity to enact the practice of public programming—to demonstrate the importance of historical and cultural interpretation. The new headquarters will include not only administrative offices but also exhibit galleries and conference meeting rooms for humanities programming.

Providing opportunities for lifelong learning in the humanities

Challenge grants also help institutions—such as libraries—enhance their capacity to facilitate lifelong learning. Throughout our nation's history, libraries have played a crucial role as repositories of knowledge and as resources for learning by members of the public. The Redwood Library and Athenaeum of Newport, Rhode Island, for example, was chartered in 1747 and is our nation's oldest lending library. The Redwood's early catalogue illustrates the intellectual climate of the American colonies—what patrons wanted to read as well as what they thought they should read. NEH offered a \$500,000 challenge grant (to match \$1.5 million in nonfederal gifts) to the Redwood for restoration of its historic 1750 building. The restoration and renovation will enhance the library's capacity for public programming and outreach to its community, as well as preserving a building that is itself of historic importance. On the opposite sea coast is to be found Port Townsend, Washington, one of three Victorian seaports to be listed on the National Register of Historic Places. The Jefferson County Historical Society, which operates a history museum in the 1892 Port Townsend City Hall, received a challenge grant of \$200,000 (to match \$600,000 in nonfederal gifts) to purchase a building to house archives and a research library. The grant will enable the Society to maintain the building's historic integrity and yet improve access to its valuable collection of artifacts and documents.

TREASURY FUNDS

FY 2009 Request: \$5,031,000

NEH uses Treasury funds to match nonfederal contributions in support of humanities projects. Encouraging private-sector support for cultural activities is an important goal of the Administration and of Congress, and NEH Treasury funds and Challenge Grants have proven to be an effective means of leveraging the contributions of the nation's businesses, foundations, and individuals on behalf of the humanities. From the establishment of the agency in 1965 through fiscal year 2007, Endowment matching grants for humanities projects have stimulated \$403 million in third-party donations. (NEH Challenge Grants have leveraged another \$1.58 billion in institutional support.) In order to build on that record of success, we are requesting an appropriation of \$5.031 million for the Endowment's Treasury funds program in FY 2009.

Matching grants are awarded in most programs of the Endowment. A matching award entails an offer of NEH funding that is conditioned on an equivalent amount of fund-raising by the recipient. A matching offer may comprise the entire amount of the Endowment's support. More often, however, it is combined with an outright grant that permits the project activity to begin while a fund-raising effort is being organized.

Although Treasury matching funds support discrete projects rather than basic institutional needs, matching grants awarded with Treasury funds generally have the effect of improving the financial stability of humanities institutions. By providing incentives for fund-raising, Treasury funds help humanities institutions establish long-term relationships with potential donors.

In FY 2007, NEH matching grants, leveraging an equivalent amount in nonfederal giving, supported humanities activities of every kind. Awards of Treasury matching funds included the following:

- \$2.192 million awarded to the state humanities councils for projects conducted by local organizations and groups or by the councils themselves. These sums include \$561,695 awarded in support of such NEH-approved *We the People* projects as the Virginia Foundation for the Humanities' observance of the 400th anniversary of the Jamestown Colony; Humanities Washington's family reading program, *My United States*; and the Vermont Humanities Council's multi-year initiative on the Civil War Home Front.
- \$42,574 awarded to Lehman College Art Gallery for a three-year project to develop a multimedia website for teachers that will document fifty historically and architecturally significant buildings in the Bronx and include photographs and written commentaries, biographies of architects, neighborhood histories, lesson plans, virtual walking tours, and resources for further learning.
- \$10,000 awarded to the University of Virginia to expand the hypermedia study environment, *The World of Dante*.

- \$100,000 awarded to the National Building Museum in support of *Building America: House and Home*, a permanent exhibition, a website, a catalog, and public programs interpreting the history of American domestic architecture.
- \$100,000 awarded to Community Television of Southern California for a four-hour television documentary series on *Andrew Jackson and the Shaping of American History*.
- \$75,000 awarded to Children's Museum of Indianapolis for a permanent exhibition examining key events in the 20th century through the lives of three children whose individual lives made a difference: Anne Frank, Ruby Bridges, and Ryan White.
- \$50,000 awarded to the American Council of Learned Societies in support of a program of humanities fellowships in international and area studies jointly sponsored by ACLS, the Social Science Research Council, and NEH.
- \$1.4 million in support of 24 Scholarly Editions projects, including long-term efforts that are publishing the papers of such essential Americans as George Washington, Thomas Jefferson, Abraham Lincoln, James Madison, Thomas Edison, Benjamin Franklin, the Adams family, and Martin Luther King. Jr.
- \$80,000 awarded to the University of the State of New York to prepare an annotated translation of the *New Netherland Archive*, a collection of documents bearing on the Dutch colonial presence in North America.
- \$20,000 awarded to the University of Virginia for *Aquae Urbis Romae: The Waters of the City of Rome*, a freely accessible and fully interactive inventory of Roman hydraulic infrastructure from the early Christian era through the early modern period.



NATIONAL
ENDOWMENT
FOR THE
HUMANITIES

MISSION STATEMENT

Because democracy demands wisdom, the National Endowment for the Humanities serves and strengthens our Republic by promoting excellence in the humanities and conveying the lessons of history to all Americans.

NATIONAL ENDOWMENT FOR THE HUMANITIES

FY 2009 PERFORMANCE BUDGET

I. INTRODUCTION

The National Endowment for the Humanities is pleased to present the agency's FY 2009 Performance Budget. This document provides a measure of the Endowment's progress toward attaining the goals and objectives of the NEH multi-year strategic plan. In form and content, it conforms to the requirements of the Government Performance and Results Act of 1993 (GPRA) and to the published guidance of the Office of Management and Budget. The annual performance goals and indicators below are integrally connected to the long-range goals, objectives, and strategies detailed in the Endowment's newly revised FY 2007-FY 2012 strategic plan. FY 2009 performance data are consistent with the program activities currently planned at levels of the Endowment's FY 2009 Congressional requests. FY 2008 performance data are consistent with the program activities currently planned at levels of the Endowment's FY 2008 appropriation. Also shown are actual performance results for fiscal year 2007.

II. PERFORMANCE GOALS AND INDICATORS

In the tables that follow, nine objectives of the Endowment's strategic plan are expressed as goals of the NEH performance budgets for FY 2009 and for the two preceding fiscal years. Annual progress toward the attainment of those goals is calibrated with reference to numerical or qualitative indicators. The integration of the Endowment's performance budget and its budget submission is fully evident in these tables. In each, the left-most column explicitly relates requested (or appropriated) funding for each of the major programmatic areas of the Endowment to a specific performance goal and to anticipated (or actual) performance levels. The nine strategic objectives/performance goals below all address the Endowment's primary strategic goal: **To advance knowledge and understanding in the humanities in the United States.** They are:

- To facilitate basic research and original scholarship in the humanities.
- To strengthen teaching and learning in the humanities in schools and colleges across the nation.
- To preserve and increase the availability of cultural and intellectual resources essential to the American people.
- To provide opportunities for Americans to engage in lifelong learning in the humanities.

- To create new program initiatives that respond to needs and opportunities in American society.
- To strengthen the institutional base of the humanities.
- To maintain and strengthen partnerships with the state humanities councils.
- To establish collaborative partnerships with individuals and institutions in support of the humanities.

NATIONAL ENDOWMENT FOR THE HUMANITIES
FY 2009 PERFORMANCE BUDGET

II. Table A

PROGRAM DIVISION/ REQUIRED FUNDING	PERFORMANCE GOALS AND INDICATORS	FY 2009 PLANNED PERFORMANCE LEVELS
RESEARCH PROGRAMS \$13,003,000	A: To facilitate basic research and original scholarship in the humanities.	
	1) Support is provided that enables scholars-- both those affiliated with educational institutions and those working independently-- to devote a concentrated period of time to research and writing on significant subjects in all fields of the humanities.	Support would be provided for 190 individual scholars to make significant progress on important humanities research projects through fellowships and stipends.
	2) Support is provided for collaborative research projects that develop significant intellectual advances and resources for scholars, teachers, students, and the general public.	Support would be provided for 38 important long-term collaborative projects in the humanities such as scholarly editions, translations, archaeological excavations and analyses and other complex, large-scale undertakings. In addition, 24 previously awarded grants would receive ongoing support through NEH matching funds, in part through the use of <i>We the People</i> funding.
	3) Support is provided for overseas research in the humanities by American scholars and, where appropriate, encouragement offered for international collaboration in research on significant topics in the humanities.	Awards to 24 humanities fellowship programs at independent research institutions would support the work of 75 humanities scholars who would make significant contributions to scholarship in the humanities.
	4) Support is provided for humanities scholarship by faculty members at Historically Black, Hispanic-serving, and Tribal colleges and universities.	Support would enable up to 5 individual scholars who teach at historically black colleges and universities, at institutions with high Hispanic enrollment, and at tribal colleges and universities to make significant progress on important scholarly projects in the humanities through faculty research awards.

PROGRAM DIVISION/ REQUIRED FUNDING	PERFORMANCE GOALS AND INDICATORS	FY 2009 PLANNED PERFORMANCE LEVELS
EDUCATION PROGRAMS \$12,601,000	B: To strengthen teaching and learning in the humanities in schools and colleges across the nation.	
	1) Teachers are provided opportunities to renew and deepen their knowledge of the humanities.	<p>Support for 52 NEH summer seminars and institutes would enable 520 college teachers and 520 school teachers to revitalize their knowledge and teaching of the humanities. College teachers participating in seminars and institutes during the summer of 2010 would reach approximately 91,000 students annually; school teacher participants would reach approximately 65,000 students annually.</p> <p>Seventeen Faculty Humanities Workshops would enable groups of school and/or college teachers (totaling 400) to work together on the study of specific humanities topics or the planning and designing of new courses. These teachers would reach approximately 50,000 students annually.</p> <p>Support for 19 “Landmarks of American History and Culture” workshops to take place in the summer of 2009 would enable approximately 1,875 school teachers to revitalize their knowledge and teaching of American history, particularly as it relates to the relationship between specific sites and the episodes in history, the writers, and/or the artists associated with that location. These teachers would annually reach approximately 234,000 students. Through a partnership of NEH and the U.S. Department of State, selected “Landmarks” workshops will invite participation by teachers from other nations.</p> <p>Support for 5 “Landmarks of American History and Culture for Community College Faculty” workshops to take place during the summer of 2009 would enable 200 community college teachers to reach 35,000 students annually with their revitalized knowledge, understanding, appreciation, and teaching of American History, particularly as it relates to the relationship between specific sites and the episodes in history, the writers, and/or the artists associated with them.</p> <p>Five Digital Humanities Workshops would enable groups of school teachers (totaling approximately 200) to work together on the study of specific humanities topics, with an emphasis on the use and development of digital humanities resources. These teachers would then reach approximately 25,000 students annually.</p>
	2) Support is provided for efforts to develop new teaching and learning resources, particularly in digitized forms.	Twelve Teaching and Learning Resources (Materials Development and Curriculum Development) projects would improve the quality and dissemination of instructional models and materials for more than 336 school and college teachers of the humanities, reaching more than 42,000 students annually.
	3) Support is provided for humanities education programming in Historically Black, Hispanic-serving, and Tribal colleges and universities across the country.	The Humanities Initiatives for Faculty would provide 9 grants to support faculty professional development activities for improvement in humanities instruction.

PROGRAM DIVISION/ REQUIRED FUNDING	PERFORMANCE GOALS AND INDICATORS	FY 2009 PLANNED PERFORMANCE LEVELS
\$13,861,000 PRESERVATION AND ACCESS	C: To preserve and increase the availability of cultural and intellectual resources essential to the American people.	
	1) Support is provided to create research tools and reference works of major importance to the humanities.	Grants would be made to 17 projects to begin or continue work on the preparation of dictionaries, atlases, encyclopedias, and textbases central to knowledge and understanding of the humanities.
	2) Support is provided for the preservation of and expanded access to historically significant collections of books, journals, newspapers, manuscripts and archival materials, maps, still and moving images, sound recordings, and objects of art and material culture.	<p>Twenty-one projects would preserve and/or provide access to 2,000 hours of recorded sound collections; 1,600 linear feet of archival documents; and 200,000 manuscripts, broadsides, oversize volumes, and other non-print materials.</p> <p>Cooperative agreements supported through the <i>We the People</i> program would digitize hundreds of thousands of microfilm pages of historic newspapers.</p>
	3) Support is provided to stabilize fragile collections held by American museums, libraries, archives, historical organizations, and other cultural institutions.	In FY 2009, the Stabilizing Humanities Collections grant category will be discontinued.
	4) Support is provided to develop technical standards, best practices, and tools for preserving and enhancing access to humanities collections.	Support would be provided for 3 research and development projects concerned with standards and procedures.
	5) Support is provided to train staff from the nation's cultural repositories in the appropriate procedures for preserving and enhancing access to the humanities collections for which they are responsible.	Seven awards would be made for regional and national education programs that would provide training for 3,800 people in U.S. museums, libraries, archives, and historical organizations.
	6) The Endowment extends its reach to institutions across the country by providing support for basic preservation activities to small and mid-sized libraries, archives, museums, and historical organizations.	Projects supported would assist in preserving collections at 110 institutions in all fifty states and two U.S. Territories. Approximately 30 percent of the awards would be to first-time NEH grantees.

PROGRAM DIVISION/ REQUIRED FUNDING	PERFORMANCE GOALS AND INDICATORS	FY 2009 PLANNED PERFORMANCE LEVELS
PUBLIC PROGRAMS \$12,709,000	D: To provide opportunities for Americans to engage in lifelong learning in the humanities.	
	1) Substantive media presentations, exhibitions, reading and discussion programs, and other public projects advance public understanding of the humanities.	<p>Six television/radio projects would produce 40 broadcast hours and draw a cumulative audience of approximately 22 million people.</p> <p>Twenty-one exhibitions, reading/viewing and discussion programs, web-based programs, including 3 large-scale Chairman's Special Awards, would attract approximately 5 million people.</p> <p>Eight historic site-specific interpretations and programming would attract over 1 million people.</p>
	2) Support is provided for projects that use digital technologies to convey the humanities to the American public.	Initial awards are made in conjunction with the Endowment's Digital Humanities program.
	3) High quality interpretative panel exhibitions and public programs are circulated to libraries through Small Grants to Libraries, and selected sites that receive smaller versions of NEH-funded exhibitions through the NEH on the Road cooperative agreement receive funds for additional public programming.	One hundred and forty grants to small and mid-sized libraries would reach tribal communities, rural, and inner-city audiences, and inner-city audiences and thirty-five grants would be awarded to NEH on the Road participating institutions.

PROGRAM DIVISION/ REQUIRED FUNDING	PERFORMANCE GOALS AND INDICATORS	FY 2009 PLANNED PERFORMANCE LEVELS
NEW PROGRAM INITIATIVES 22,000,000	E: To create new program initiatives that respond to needs and opportunities in American society.	
	1) New initiatives and programs that address important concerns and opportunities in the humanities are established.	<p>Significant funding would be provided through <i>We the People</i> to support a variety of new programmatic initiatives: 1) <i>We the People Bookshelf</i> grants for up to 3,000 public and school libraries; 2) “Landmarks of American History and Culture” workshops for K-12 teachers and community college faculty, several of which will invite participation by teachers from other nations; 3) Picturing America, a special program that would provide educational materials on key works of American art to every school and public library in the United States; 4) public programs supported through the new “America’s Historic Places” and “Family and Youth Programs in American History” categories; 5) additional awards through the NEH/Library of Congress <i>National Digital Newspaper Program</i>; 6) special NEH Challenge Grants for educational and cultural institutions working to advance knowledge of the founding principles of the United States; and 7) an Endowment-wide effort to contribute to the celebration of the Abraham Lincoln Bicentennial in 2009. Additional humanities projects supported by NEH core programs would receive <i>We the People</i> grants. The state humanities councils also would receive significant funding to support projects and programs related to the initiative.</p> <p>Funding would be provided through a major Digital Humanities program to support projects that utilize or study the impact of digital technology on research, education, preservation, and public programming in the humanities. Digital Humanities would support a variety of new funding categories or programmatic emphases: 1) Digital Start-Up Grants to encourage innovative work at the nexus of information technology and the humanities; 2) Digital Humanities Fellowships to encourage humanities scholars to use advanced electronic technologies and to work collaboratively with scholars in computing and other fields; 3) Digital Humanities Workshops for the nation’s elementary and secondary school teachers to help them deepen their knowledge, understanding, and skills in using digital resources in their classrooms; 4) Institutes for Advanced Applications in the Digital Humanities, a new program to encourage the sharing of best practices among humanities scholars; and 5) an effort to support digital projects by the state humanities councils. Enhanced funding would also be provided for humanities projects supported by NEH core programs.</p>
	2) Agency-wide initiatives are developed in selected humanities areas.	<p>“Rediscovering Afghanistan,” will promote research, education, and public programs about Afghanistan and encourage U.S. institutions to assist that country in its effort to preserve and document its cultural resources. Additional awards would be made through the NEH/NSF “Documenting Endangered Languages” special initiative.</p>

PROGRAM DIVISION/ REQUIRED FUNDING	PERFORMANCE GOALS AND INDICATORS	FY 2009 PLANNED PERFORMANCE LEVELS
CHALLENGE GRANTS \$7,082,000	F: To strengthen the institutional base of the humanities.	
	Support is provided for institutions to increase nonfederal contributions for their humanities activities and enhance their resources over the long term.	By FY 2012, NEH Challenge Grants awarded in FY 2009 would generate more than \$21.5 million in nonfederal donations to recipient institutions in support of their humanities activities.
FEDERAL/STATE PARTNERSHIP \$31,712,000	G: To maintain and strengthen partnerships with the state humanities councils.	
	Support is provided to the councils to encourage locally initiated, substantive humanities programs for the people in each state.	Support for the programs and operations of 56 state humanities councils would make possible high quality state and local humanities projects throughout the nation, including 13,500 reading and discussion programs, 3,000 exhibitions, 4,600 literacy programs, 4,800 speakers bureau presentations, 3,900 teacher institutes and workshops, 4,750 conferences and symposia, 8,800 Chautauqua events, 6,000 media program events, 375 technology projects, 300 preservation projects and 5,300 local history projects.
PROGRAM DEVELOPMENT \$356,000	H: To establish collaborative partnerships with individuals and institutions in support of the humanities.	
	1) Partnerships are forged to leverage new resources for the humanities and expand audiences for the humanities.	The Endowment would develop of a number of new partnerships with other institutions and organizations, leveraging approximately \$1.5 million in new funding for humanities programs.
	2) New programming, funding, and administrative partnerships are established with other agencies, foundations, and organizations, both public and private.	NEH partnerships would include the following: 1) a program of fellowships to be sponsored jointly by NEH and the Library of Congress to support humanities scholars who wish to conduct research at the Library of Congress; 2) the National Digital Newspapers Program, a multi-year collaboration with the Library of Congress to digitize and make publicly available on the World Wide Web newspapers already preserved on microfilm through the United States Newspapers Program; 3) the Advanced Research Fellowships on Japan Program to be jointly sponsored by NEH and the Japan-United States Friendship Commission; 4) the interagency <i>Save America's Treasures</i> initiative; 5) a "Cultural Diplomacy" partnership of NEH and the U.S. Department of State that will enable teachers from other nations to participate in "Landmarks of American History and Culture" workshops; and 6) programmatic collaboration with the National Trust for the Humanities.

NATIONAL ENDOWMENT FOR THE HUMANITIES
FY 2008 PERFORMANCE BUDGET

II. Table B

PROGRAM DIVISION/ REQUIRED FUNDING	PERFORMANCE GOALS AND INDICATORS	FY 2008 PLANNED PERFORMANCE LEVELS
RESEARCH PROGRAMS \$13,003,000	A: To facilitate basic research and original scholarship in the humanities.	
	1) Support is provided that enables scholars-- both those affiliated with educational institutions and those working independently-- to devote a concentrated period of time to research and writing on significant subjects in all fields of the humanities.	Support would be provided for 190 individual scholars to make significant progress on important humanities research projects through fellowships and stipends.
	2) Support is provided for collaborative research projects that develop significant intellectual advances and resources for scholars, teachers, students, and the general public.	Support would be provided for 39 important long-term collaborative projects in the humanities such as scholarly editions, translations, archaeological excavations and analyses and other complex, large-scale undertakings. In addition, 25 previously awarded grants would receive ongoing support through NEH matching funds, in part through the use of <i>We The People</i> funding.
	3) Support is provided for overseas research in the humanities by American scholars and, where appropriate, encouragement offered for international collaboration in research on significant topics in the humanities.	Awards to 23 humanities fellowship programs at independent research institutions would support the work of 73 humanities scholars who would make significant contributions to scholarship in the humanities.
	4) Support is provided for humanities scholarship by faculty members at Historically Black, Hispanic-serving, and Tribal colleges and universities.	Support would enable up to 5 individual scholars who teach at historically black, Hispanic-serving, and tribal colleges and universities to make significant progress on important scholarly projects in the humanities through faculty research awards.

PROGRAM DIVISION/ REQUIRED FUNDING	PERFORMANCE GOALS AND INDICATORS	FY 2008 PLANNED PERFORMANCE LEVELS
EDUCATION PROGRAMS \$12,601,000	B: To strengthen teaching and learning in the humanities in schools and colleges across the nation.	
	1) Teachers are provided opportunities to renew and deepen their knowledge of the humanities.	<p>Support for 52 NEH summer seminars and institutes would enable 520 college teachers and 520 school teachers to revitalize their knowledge and teaching of the humanities. College teachers participating in seminars and institutes during the summer of 2009 would reach approximately 91,000 students annually; school teacher participants would reach approximately 65,000 students annually.</p> <p>Seventeen Faculty Humanities Workshops would enable groups of school and/or college teachers (totaling 400) to work together on the study of specific humanities topics or the planning and designing of new courses. These teachers would reach approximately 50,000 students annually.</p> <p>Support for 20 “Landmarks of American History and Culture” workshops during the summer of 2008 would enable approximately 2,000 school teachers to revitalize their knowledge and teaching of American history, particularly as it relates to the relationship between specific sites and the episodes in history, the writers, and/or the artists associated with that location. These teachers would annually reach approximately 250,000 students. Through a partnership of NEH and the U.S. Department of State, selected “Landmarks” workshops will invite participation by teachers from other nations.</p> <p>Support for 6 “Landmarks of American History and Culture for Community College Faculty” workshops during the summer of 2008 would enable 300 community college teachers to reach 52,500 students annually with their revitalized knowledge, understanding, appreciation, and teaching of American History, particularly as it relates to the relationship between specific sites and the episodes in history, the writers, and/or the artists associated with them.</p> <p>Five Digital Humanities Workshops would enable groups of school teachers (totaling approximately 200) to work together on the study of specific humanities topics, with an emphasis on the use and development of digital humanities resources. These teachers would then reach approximately 25,000 students annually.</p>
	2) Support is provided for efforts to develop new teaching and learning resources, particularly in digitized forms.	Twelve Teaching and Learning Resources (Materials Development and Curriculum Development) projects would improve the quality and dissemination of instructional models and materials for more than 336 school and college teachers of the humanities, reaching more than 42,000 students annually.
	3) Support is provided for humanities education programming in Historically Black, Hispanic-serving, and Tribal colleges and universities across the country.	The Humanities Initiatives for Faculty would provide 9 grants to support faculty professional development activities for improvement in humanities instruction.

PROGRAM DIVISION/ REQUIRED FUNDING	PERFORMANCE GOALS AND INDICATORS	FY 2008 PLANNED PERFORMANCE LEVELS
\$18,380,000 PRESERVATION AND ACCESS	C: To preserve and increase the availability of cultural and intellectual resources essential to the American people.	
	1) Support is provided to create research tools and reference works of major importance to the humanities.	Grants would be made to 17 projects to begin or continue work on the preparation of dictionaries, atlases, encyclopedias, and textbases central to knowledge and understanding of the humanities.
	2) Support is provided for the preservation of and expanded access to historically significant collections of books, journals, newspapers, manuscripts and archival materials, maps, still and moving images, sound recordings, and objects of art and material culture.	Twenty-one projects would preserve and/or provide access to 2,000 hours of recorded sound collections; 1,600 linear feet of archival documents; and 200,000 manuscripts, broadsides, oversize volumes, and other non-print materials. Cooperative agreements supported through the <i>We the People</i> program would digitize hundreds of thousands of microfilm pages of historic newspapers.
	3) Support is provided to stabilize fragile collections held by American museums, libraries, archives, historical organizations, and other cultural institutions.	Projects supported would stabilize or provide documentation for collections at 10 institutions, helping to preserve and making available approximately 600,000 historically significant objects as well as 5 million photographs, books, and library collections, and 11 million feet of film.
	4) Support is provided to develop technical standards, best practices, and tools for preserving and enhancing access to humanities collections.	Support would be provided for 3 research and development projects concerned with standards and procedures.
	5) Support is provided to train staff from the nation's cultural repositories in the appropriate procedures for preserving and enhancing access to the humanities collections for which they are responsible.	Seven awards would be made for regional and national education programs that would provide training for 3,800 people in U.S. museums, libraries, archives, and historical organizations.
	6) The Endowment extends its reach to institutions across the country by providing support for basic preservation activities to small and mid-sized libraries, archives, museums, and historical organizations.	Projects supported would assist in preserving collections at 119 institutions in all fifty states and two U.S. Territories. Approximately 30 percent of the awards would be to first-time NEH grantees.

PROGRAM DIVISION/ REQUIRED FUNDING	PERFORMANCE GOALS AND INDICATORS	FY 2008 PLANNED PERFORMANCE LEVELS
PUBLIC PROGRAMS \$12,709,000	D: To provide opportunities for Americans to engage in lifelong learning in the humanities.	
	1) Substantive media presentations, exhibitions, reading and discussion programs, and other public projects advance public understanding of the humanities.	<p>Eight television/radio projects would produce 45 broadcast hours and draw a cumulative audience of approximately 25 million people.</p> <p>Nineteen exhibitions supported would be presented at 50 museums, historical organizations, and other sites across the country and attract over 4.5 million visitors.</p> <p>Eight library reading and discussion projects and special projects supported would result in more than 1,200 programs at approximately 300 sites involving more than 3 million people.</p>
	2) Support is provided for projects that use digital technologies to convey the humanities to the American public.	Initial awards are made in conjunction with the Endowment's Digital Humanities Initiative.
	3) The Endowment's extends its reach by building the capacity of smaller institutions to implement humanities projects through consultation with outside experts.	Consultation Grants will be discontinued in 2008.
	4) High quality interpretative panel exhibitions and public programs are circulated to libraries through Small Grants to Libraries, and selected sites that receive smaller versions of NEH-funded exhibitions through the NEH on the Road cooperative agreement receive funds for additional public programming.	One hundred and ten grants to small and mid-sized libraries would reach tribal communities, rural, and inner-city audiences and ten grants would be awarded to NEH on the Road participating institutions.

PROGRAM DIVISION/ REQUIRED FUNDING	PERFORMANCE GOALS AND INDICATORS	FY 2008 PLANNED PERFORMANCE LEVELS
NEW PROGRAM INITIATIVES \$16,970,000	E: To create new program initiatives that respond to needs and opportunities in American society.	
	1) New initiatives and programs that address important concerns and opportunities in the humanities are established.	<p>Significant funding would be provided through <i>We the People</i> to support a variety of new programmatic initiatives: 1) <i>We the People Bookshelf</i> grants for up to 2,000 public and school libraries; 2) “Landmarks of American History and Culture” workshops for K-12 teachers and community college faculty, several of which will invite participation by teachers from other nations; 3) Picturing America, a new program to provide elementary and middle schools with educational materials on key works of American art; 4) public programs supported through the new “America’s Historic Places” and “Family and Youth Programs in American History” categories; 5) additional awards through the NEH/Library of Congress <i>National Digital Newspaper Program</i>; 6) special NEH Challenge Grants for educational and cultural institutions working to advance knowledge of the founding principles of the United States; and 7) an Endowment-wide effort to contribute to the celebration of the Abraham Lincoln Bicentennial in 2009. Additional humanities projects supported by NEH core programs would receive <i>We the People</i> grants. The state humanities councils also would receive significant funding to support projects and programs related to the initiative.</p> <p>Funding would be provided through a new, major Digital Humanities program to support projects that utilize or study the impact of digital technology on research, education, preservation, and public programming in the humanities. Digital Humanities would launch a variety of new funding categories or programmatic emphases: 1) Digital Start-Up Grants to encourage innovative work at the nexus of information technology and the humanities; 2) Digital Humanities Fellowships to encourage humanities scholars to use advanced electronic technologies and to work collaboratively with scholars in computing and other fields; 3) Digital Humanities Workshops for the nation’s elementary and secondary school teachers to help them deepen their knowledge, understanding, and skills in using digital resources in their classrooms; and 4) an effort to support digital projects by the state humanities councils. Enhanced funding would also be provided through the initiative for humanities projects supported by NEH core programs.</p>
	2) Agency-wide initiatives are developed in selected humanities areas.	<p>“Rediscovering Afghanistan,” will promote research, education, and public programs about Afghanistan and encourage U.S. institutions to assist that country in its effort to preserve and document its cultural resources. Additional awards would be made through the NEH/NSF “Documenting Endangered Languages” special initiative.</p>

PROGRAM DIVISION/ REQUIRED FUNDING	PERFORMANCE GOALS AND INDICATORS	FY 2008 PLANNED PERFORMANCE LEVELS
CHALLENGE GRANTS \$9,331,000	F: To strengthen the institutional base of the humanities.	
	Support is provided for institutions to increase nonfederal contributions for their humanities activities and enhance their resources over the long term.	By FY 2011, NEH Challenge Grants awarded in FY 2008 would generate nearly \$32 million in nonfederal donations to recipient institutions in support of their humanities activities.
FEDERAL/STATE PARTNERSHIP \$31,712,000	G: To maintain and strengthen partnerships with the state humanities councils.	
	Support is provided to the councils to encourage locally initiated, substantive humanities programs for the people in each state.	Support for the programs and operations of 56 state humanities councils would make possible high quality state and local humanities projects throughout the nation, including 13,400 reading and discussion programs, 3,000 exhibitions, 4,500 literacy programs, 4,600 speakers bureau presentations, 3,800 teacher institutes and workshops, 4,700 conferences and symposia, 8,600 Chautauqua events, 5,900 media program events, 325 technology projects, 300 preservation projects and 5,200 local history projects.
PROGRAM DEVELOPMENT \$356,000	H: To establish collaborative partnerships with individuals and institutions in support of the humanities.	
	1) Partnerships are forged to leverage new resources for the humanities and expand audiences for the humanities.	The Endowment would develop of a number of new partnerships with other institutions and organizations, leveraging approximately \$1.5 million in new funding for humanities programs.
	2) New programming, funding, and administrative partnerships are established with other agencies, foundations, and organizations, both public and private.	NEH partnerships would include the following: 1) a program of fellowships to be sponsored jointly by NEH and the Library of Congress to support humanities scholars who wish to conduct research at the Library of Congress; 2) the National Digital Newspapers Program, a multi-year collaboration with the Library of Congress to digitize and make publicly available on the World Wide Web newspapers already preserved on microfilm through the United States Newspapers Program; 3) the Advanced Research Fellowships on Japan Program to be jointly sponsored by NEH and the Japan-United States Friendship Commission (JUSFC); 4) the interagency <i>Save America's Treasures</i> initiative; 5) a "Cultural Diplomacy" partnership of NEH and the U.S. Department of State that will enable teachers from other nations to participate in "Landmarks of American History and Culture" workshops; and 6) programmatic collaboration with the National Trust for the Humanities.

II. Table C

NATIONAL ENDOWMENT FOR THE HUMANITIES
FY 2007 PERFORMANCE BUDGET

PROGRAM DIVISION/ REQUIRED FUNDING	PERFORMANCE GOALS AND INDICATORS	FY 2007 PLANNED PERFORMANCE LEVELS
<p style="text-align: center;">RESEARCH PROGRAMS</p> <p style="text-align: center;">\$12,605,000</p>	<p>A: To facilitate basic research and original scholarship in the humanities.</p>	
	<p>1) Support is provided that enables individual scholars to devote a concentrated period of time to research and writing on significant subjects in all fields of the humanities.</p>	<p>Support was provided for 251 individual scholars to make significant progress on important humanities research projects through fellowships and stipends.</p>
	<p>2) Support is provided for collaborative research projects that make significant intellectual resources available to scholars, teachers, students, and the general public.</p>	<p>Support was provided for 44 important long-term collaborative projects in the humanities such as scholarly editions, translations, archaeological excavations and analyses and other complex, large-scale undertakings. In addition, 29 previously awarded grants are receiving ongoing support through NEH matching funds, in part through the use of <i>We The People</i> funding.</p>
	<p>3) Support is provided for overseas research in the humanities by American scholars and, where appropriate, encouragement offered for international collaboration in research on significant topics in the humanities.</p>	<p>Awards to 25 humanities fellowship programs at independent research institutions are supporting the work of 78 humanities scholars who making significant contributions to scholarship in the humanities.</p>
	<p>4) Support is provided for humanities scholarship by faculty members at Historically Black, Hispanic-serving, and Tribal colleges and universities.</p>	<p>Support is enabling 6 individual scholars who teach at historically black, Hispanic-serving, and tribal colleges and universities to make significant progress on important scholarly projects in the humanities through faculty research awards.</p>
<p style="text-align: center;">EDUCATION PROGRAMS</p> <p style="text-align: center;">\$12,182,000</p>	<p>B: To strengthen teaching and learning in the humanities in schools and colleges across the nation.</p>	
	<p>1) Teachers are provided opportunities to renew and deepen their knowledge of the humanities.</p>	<p>Support for 43 NEH summer seminars and institutes will enable 361 college teachers and 544 school teachers to revitalize their knowledge and teaching of the humanities. College teachers participating in seminars and institutes during the summer of 2008 will reach approximately 63,000 students annually; school teacher participants would reach approximately 68,000 students annually</p>

PROGRAM DIVISION/ REQUIRED FUNDING	PERFORMANCE GOALS AND INDICATORS	FY 2007 PLANNED PERFORMANCE LEVELS
EDUCATION PROGRAMS (cont'd) \$12,182,000		<p>Fourteen Faculty Humanities Workshops are enabling groups of school and/or college teachers (totaling 336) to work together on the study of specific humanities topics or the planning and designing of new courses. These teachers will reach approximately 42,000 students annually.</p> <p>Support for 19 “Landmarks of American History and Culture” workshops during the summer of 2007 enabled approximately 1,875 school teachers to revitalize their knowledge and teaching of American history, particularly as it relates to the relationship between specific sites and the episodes in history, the writers, and/or the artists associated with that location. These teachers will annually reach approximately 234,375 students. Through a partnership of NEH and the U.S. Department of State, selected “Landmarks” workshops invited participation by teachers from other nations.</p> <p>A new competition, Digital Humanities Workshops, was conducted in FY 2007 through a Request for Proposals (RFP). Two Digital Humanities Workshops will enable groups of school teachers (totaling 102) to work together on the study of specific humanities topics, with an emphasis on the use and development of digital humanities resources. These teachers will reach approximately 12,750 students annually.</p>
	2) Support is provided for efforts to develop new teaching and learning resources, particularly in digitized forms.	Ten Teaching and Learning Resources (Materials Development and Curriculum Development) projects will improve the quality and dissemination of instructional models and materials for more than 280 school and college teachers of the humanities, reaching more than 35,000 students annually.
	3) Support is provided for humanities education programming in Historically Black, Hispanic-serving, and Tribal colleges and universities across the country.	The Humanities Initiatives for Faculty provided 9 grants to support faculty professional development activities for improvement in humanities instruction.

PROGRAM DIVISION/ REQUIRED FUNDING	PERFORMANCE GOALS AND INDICATORS	FY 2007 PLANNED PERFORMANCE LEVELS
\$18,243,000 PRESERVATION AND ACCESS	C: To preserve and increase the availability of cultural and intellectual resources essential to the American people.	
	1) Support is provided to create research tools and reference works of major importance to the humanities.	Grants were made to 17 projects to begin or continue work on the preparation of dictionaries, atlases, encyclopedias, and textbases central to knowledge and understanding of the humanities.
	2) Support is provided for the preservation of and expanded access to historically significant collections of books, journals, newspapers, manuscripts and archival materials, maps, still and moving images, sound recordings, and objects of art and material culture.	<p>Twenty-one projects are preserving and/or providing access to 2,000 hours of recorded sound collections; 1,567 linear feet and 4,340 cubic feet of archival documents; and 391,524 manuscripts, broadsides, oversize volumes, and other non-print materials.</p> <p>Eight cooperative agreements supported through the <i>We the People</i> program are digitizing hundreds of thousands of microfilm pages of historic newspapers.</p>
	3) Support is provided to stabilize fragile collections held by American museums, libraries, archives, historical organizations, and other cultural institutions.	Projects supported are stabilizing or providing documentation for collections at 11 institutions, helping to preserve and making available approximately 600,000 historically significant objects as well as 8.2 million photographs, books, and library collections.
	4) Support is provided to develop technical standards, best practices, and tools for preserving and enhancing access to humanities collections.	Support was provided for 3 research and development projects concerned with standards and procedures.
	5) Support is provided to train staff from the nation's cultural repositories in the appropriate procedures for preserving and enhancing access to the humanities collections for which they are responsible.	Nine awards were made for regional and national education programs that would provide training for 4,200 people in U.S. museums, libraries, archives, and historical organizations.
	6) The Endowment extends its reach to institutions across the country by providing support for basic preservation activities to small and mid-sized libraries, archives, museums, and historical organizations.	Projects supported are assisting in preserving collections at 106 institutions in all fifty states and two U.S. Territories. Approximately 40 percent of the awards are to first-time NEH grantees.

PROGRAM DIVISION/ REQUIRED FUNDING	PERFORMANCE GOALS AND INDICATORS	FY 2007 PLANNED PERFORMANCE LEVELS
PUBLIC PROGRAMS \$12,296,000	D: To provide opportunities for Americans to engage in lifelong learning in the humanities.	
	1) Substantive media presentations, exhibitions, reading and discussion programs, and other public projects advance public understanding of the humanities.	Nine television/radio projects will produce 52 broadcast hours and draw a cumulative audience of approximately 80 million people. Twenty-seven exhibitions supported will be presented at 70 museums, historical organizations, and other sites across the country and attract over 5 million visitors. Nine library reading and discussion projects and special projects supported will result in more than 1,360 programs at approximately 340 sites involving more than 3 million people.
	2) Support is provided for projects that use digital technologies to convey the humanities to the American public.	Initial awards were made in conjunction with the Endowment's new Digital Humanities Initiative.
	3) The Endowment's extends its reach by building the capacity of smaller institutions to implement humanities projects through consultation with outside experts.	Thirteen Consultation grants are involving new scholars and humanities professionals in high quality programs for adult audiences.
	4) Smaller versions of NEH-funded, high quality interpretative exhibitions are circulated to small- and mid-sized museums.	Forty-three grants to small and mid-sized libraries are reaching tribal communities, rural, and inner-city audiences.

PROGRAM DIVISION/ REQUIRED FUNDING	PERFORMANCE GOALS AND INDICATORS	FY 2007 PLANNED PERFORMANCE LEVELS
<p style="text-align: center;">NEW PROGRAM INITIATIVES</p> <p style="text-align: center;">\$15,239,000</p>	<p>E: To create new program initiatives that respond to needs and opportunities in American society.</p>	
	<p>1) New initiatives and programs that address important concerns and opportunities in the humanities are established.</p>	<p>Significant funding was provided through <i>We the People</i> to support a variety of new programmatic initiatives: 1) <i>We the People Bookshelf</i> grants for 2,000 public and school libraries; 2) “Landmarks of American History and Culture” workshops for K-12 teachers and community college faculty; 3) an effort to digitize the papers of the first four U.S. presidents; 4) a new program to provide elementary and middle schools with educational materials on key works of American art; 5) public programs supported through the new “America’s Historic Places” and “Family and Youth Programs in American History” categories; 6) additional awards through the NEH/Library of Congress <i>National Digital Newspaper Program</i>; and 7) special NEH Challenge Grants for educational and cultural institutions working to advance knowledge of the founding principles of the United States. Additional humanities projects supported by NEH core programs are receiving <i>We the People</i> grants. The state humanities councils also are receiving significant funding to support projects and programs related to the initiative.</p> <p>Significant funding was provided through a major, multi-year Digital Humanities Initiative (DHI) to support projects that utilize or study the impact of digital technology on research, education, preservation, and public programming in the humanities. DHI will launch a variety of new funding categories or programmatic emphases: 1) Digital Start-Up Grants to encourage innovative work at the nexus of information technology and the humanities; 2) Digital Humanities Fellowships to encourage humanities scholars to use advanced electronic technologies and to work collaboratively with scholars in computing and other fields; 3) Digital Humanities Workshops for the nation’s elementary and secondary school teachers to help them deepen their knowledge, understanding, and skills in using digital resources in their classrooms; and 4) an effort to expand support of digital projects by the state humanities councils. Enhanced funding would also be provided through the initiative for humanities projects supported by NEH core programs.</p>
	<p>2) Agency-wide initiatives are developed in selected humanities areas.</p>	<p>“Rediscovering Afghanistan,” is promoting research, education, and public programs about Afghanistan and encourage U.S. institutions to assist that country in its effort to preserve and document its cultural resources. Additional awards were made through the NEH/NSF “Documenting Endangered Languages” special initiative.</p>

PROGRAM DIVISION/ REQUIRED FUNDING	PERFORMANCE GOALS AND INDICATORS	FY 2007 PLANNED PERFORMANCE LEVELS
CHALLENGE GRANTS \$9,852,000	F: To strengthen the institutional base of the humanities.	
	Support is provided for institutions to increase nonfederal contributions for their humanities activities and enhance their resources over the long term.	By FY 2010, NEH Challenge Grants awarded in FY 2007 will generate nearly \$38 million in nonfederal donations to recipient institutions in support of their humanities activities.
FEDERAL/STATE PARTNERSHIP \$30,926,000	G: To maintain and strengthen partnerships with the state humanities councils.	
	Support is provided to the councils to encourage locally initiated, substantive humanities programs for the people in each state.	Support for the programs and operations of 56 state humanities councils is making possible high quality state and local humanities projects throughout the nation, including 13,476 reading and discussion programs, 3,196 exhibitions, 4,580 literacy programs, 4,661 speakers bureau presentations, 3,898 teacher institutes and workshops, 4,772 conferences and symposia, 8,636 Chautauqua events, 5,985 media program events, 376 technology projects, 326 preservation projects and 5,224 local history projects.
PROGRAM DEVELOPMENT \$375,000	H: To establish collaborative partnerships with individuals and institutions in support of the humanities.	
	1) Partnerships are forged to leverage new resources for the humanities and expand audiences for the humanities.	The Endowment is developing of a number of new partnerships with other institutions and organizations, leveraging approximately \$1.5 million in new funding for humanities programs.
	2) New programming, funding, and administrative partnerships are established with other agencies, foundations, and organizations, both public and private.	NEH partnerships include the following: 1) a program of fellowships to be sponsored jointly by NEH and the Library of Congress to support humanities scholars who wish to conduct research at the Library of Congress; 2) the National Digital Newspapers Program, a multi-year collaboration with the Library of Congress to digitize and make publicly available on the World Wide Web newspapers already preserved on microfilm through the United States Newspapers Program; 3) the Advanced Research Fellowships on Japan Program to be jointly sponsored by NEH and the Japan-United States Friendship Commission (JUSFC); 4) the interagency <i>Save America's Treasures</i> initiative; 5) a "Cultural Diplomacy" partnership of NEH and the U.S. Department of State that will enable teachers from other nations to participate in "Landmarks of American History and Culture" workshops; and 6) programmatic collaboration with the National Trust for the Humanities.

III. MEETING THE PERFORMANCE GOALS: REQUIRED RESOURCES AND OPERATIONAL PROCESSES

A. **Required Resources.** NEH promotes national progress in research, education, and lifelong learning in the humanities by awarding project grants to organizations and individuals in all parts of the United States. A program appropriation adequate to provide for a sufficient range of grant opportunities across all disciplines of the humanities is, thus, the Endowment's most important resource requirement. For each performance goal, we have estimated a sum that would be required to produce the desired outcomes in FY 2009:

<u>Performance Goal</u>	<u>Funding Required*</u>
1. To facilitate basic research and original scholarship in the humanities.	\$13,003,000
2. To strengthen teaching and learning in the humanities in schools and colleges across the nation.	\$12,601,000
3. To preserve and increase the availability of cultural resources for the American people.	\$13,861,000
4. To provide opportunities for Americans to engage in lifelong learning in the humanities.	\$12,709,000
5. To create new program initiatives that respond to emerging needs and opportunities in American society.	\$22,000,000
6. To strengthen the institutional base of the humanities.	\$7,082,000
7. To maintain and strengthen partnerships with the state humanities councils.	\$31,712,000

* In FY 2009, the Endowment plans to allocate \$112,962,000 in definite and Challenge funds among programmatic activities that address the above performance goals. Another \$356,000 in definite funds would be provided for Program Development. During the year, Treasury funds totaling \$5,031,000 would also be allocated among program areas of the Endowment as needed to match nonfederal contributions in support of NEH-sponsored projects.

Also important are the kinds of administrative resources that it make possible for the Endowment's staff to advance the agency's performance goals through our daily work. Chief among these resources are the following:

- A highly trained **staff**, many of whom are credentialed practitioners of a humanities discipline;
- access to the new **information technologies** that reinforce the agency's efforts to serve the public efficiently; and
- a **physical office environment** conducive to productive work.

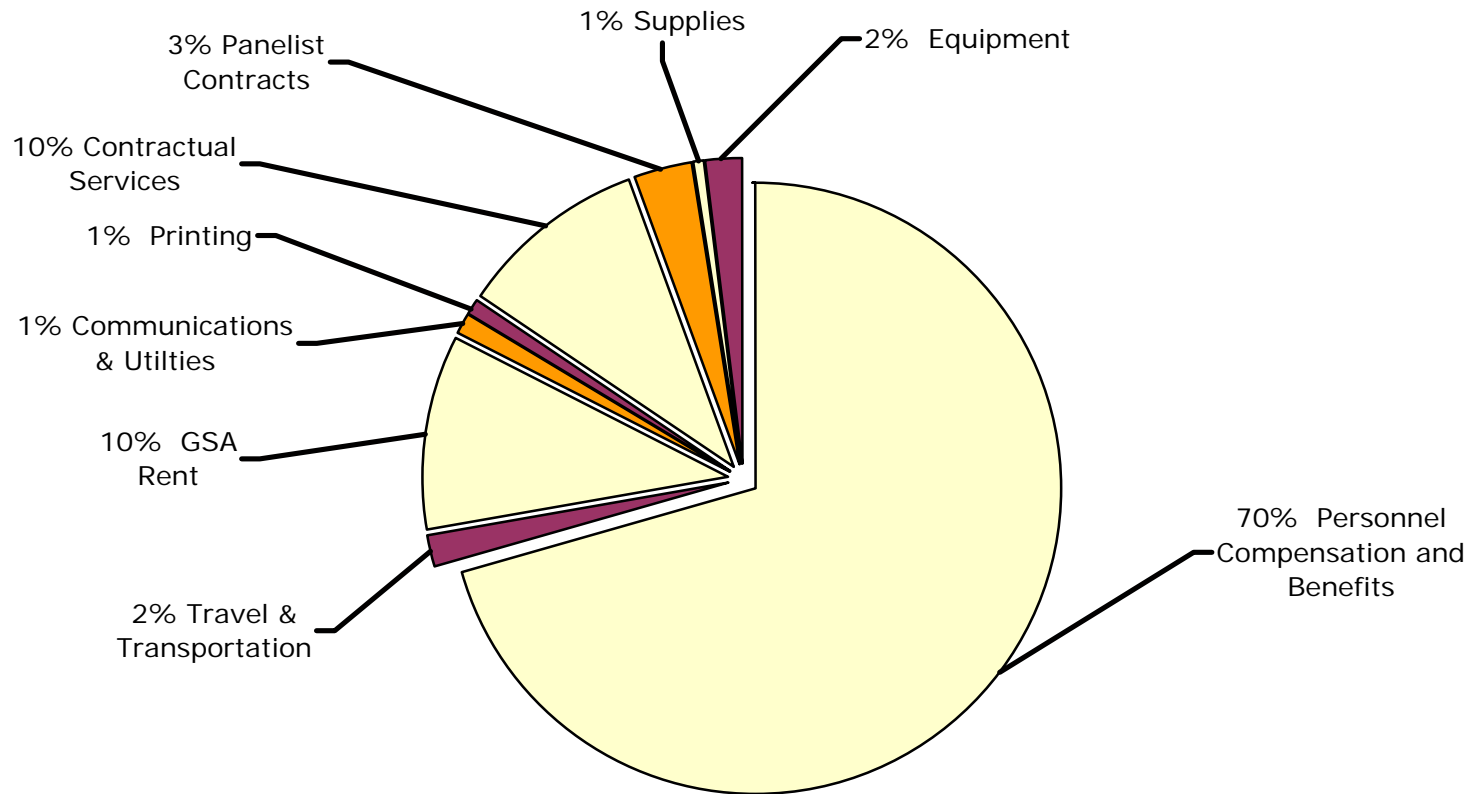
B. **Operational Processes.** NEH support for the humanities is provided through an annual sequence of highly selective grant competitions. Because insuring the fairness and rigor of that award process is of paramount importance—both for the sake of taxpayer value and for the integrity of the humanities—the Endowment's most essential operational process is that of conducting a national, merit-based system of grant application review. Through this system, we annually draw upon the services of hundreds of humanities practitioners from outside the agency in order knowledgeably to evaluate each project proposal submitted to NEH. The judgments of panelists and reviewers from all humanities disciplines and every part of the country weigh heavily in the agency's funding decisions.

IV. MEANS OF VERIFYING AND VALIDATING PERFORMANCE MEASURES

The Endowment will use a variety of means to collect and verify information that measures our progress in attaining our performance goals:

- **Interim and final reports of grantees** will provide the most comprehensive source of information about the outcomes of NEH-supported work. From these reports the Endowment will regularly compile data about the results of activities undertaken in connection with each of our performance goals.
- To systematize the information collected from grantee reports, NEH continues to develop an **outcomes database** for its grant programs. This database is capturing information about the short- and long-term results of funded projects. At present, it links information about approximately 4,481 humanities research projects in the Endowment's grant information database to bibliographic information about the approximately 3,155 published books that these projects produced between 1980 and 2005.
- The Endowment will conduct **site visits** as a means of documenting the progress and accomplishments of selected grantees.
- **Evaluations** of selected projects and programs will be conducted to determine whether program goals are being met.
- Information on **awards, prizes, and other forms of recognition**, such as favorable press articles, will be collected so that the agency can gain a better sense of the impact and quality of our funded projects.

**FY 2009 Administrative Budget
\$26,000,000**



Administrative Budget by Object Classification
(\$ in thousands)

OBJECT CLASSES		FY 2007 Actual	FY 2008 Estimated	FY 2009 Request
11.1	PERSONNEL COMPENSATION	13,838	14,773	14,830
12.1	AND BENEFITS	3,400	3,493	3,515
21.0	TRAVEL AND TRANSPORTATION	373	405	410
23.1	GSA RENT	2,141	1,982	2,671
23.3	COMMUNICATIONS AND UTILITIES	263	272	277
24.0	PRINTING	290	295	280
25.1	OTHER CONTRACTUAL SERVICES	2,748	2,912	2,575
25.9	PANELIST CONTRACTS	780	792	822
26.0	SUPPLIES	120	120	120
31.0	EQUIPMENT	269	190	500
	TOTAL	24,222¹	25,234²	26,000

¹ Includes \$23.637 million appropriation, \$404 thousand in FY 2006 carry-over funds, and \$381 thousand of reprogrammed funds. Excludes \$200 thousand carried forward to 2008.

² Includes \$24.692 million appropriation, \$200 thousand in carry-over funds in 2007, and \$342 thousand in prior-year de-obligations.

ADMINISTRATIVE BUDGET
{PRIVATE }
FY 2009 Request: \$26,000,000

Introduction

The administrative budget for the National Endowment for the Humanities (NEH) supports staff salaries and benefits, rental of office space, building security at the Old Post Office Building, staff travel, contractual services, equipment, supplies, and related program support activities that enable the agency to achieve its legislated mission to advance high quality research, education, preservation, and public programming in the humanities. NEH is requesting \$26,000,000 in administrative funds for FY 2009, which will support the program request level of \$118,355,000.

The Endowment's grant-making function is the single most important factor shaping the agency's administrative budget. The majority of NEH's staff (more than 60 percent of the total), for example, is directly involved in this process, which begins with the planning of programs and special initiatives and continues through the development of application guidelines, receipt and processing of proposals, review of applications, awarding of grants, and management and oversight of awards. These varied labor-intensive tasks require a highly trained program staff that is knowledgeable about the content and methods of the humanities. Most NEH program staff have Ph.D.s or other advanced degrees in the humanities. In addition to this highly specialized program staff, the Endowment retains the services of the personnel needed to perform the everyday but essential activities involved in operating a federal agency, such as budgeting, accounting, and other financial functions; human resources; information resources management; legal counsel; public affairs and communications; and administrative services.

Personnel compensation and benefits account for approximately 70 percent of overhead expenses. A significant portion of our budget also is taken up with "fixed" costs—that is, items over which we have no control, including annual rent payments to the General Services Administration, building security payments to the Department of Homeland Security, mandated transfers to other agencies for various E-Gov services, and increases in the federal pay scale.

As a grant-making agency, there are a number of items in NEH's administrative budget that are not typically included in other federal agencies' overhead. The most prominent of these is the annual cost of operating our system for evaluating grant applications. The Endowment currently administers more than three dozen discrete grant categories that receive between 4,500 and 5,000 applications annually. These applications are all processed and read by NEH staff and then evaluated by knowledgeable persons outside the agency who are asked for their judgments about the quality and significance of the proposed projects. Approximately 800 scholars, teachers, museum curators, filmmakers, and other humanities professionals and experts serve on the more than 170 panels we convene throughout the course of a typical year. Each of these evaluators receives a \$250 honorarium. Since most of our panels are sitting panels—that is, are convened at the Endowment's offices in D.C., to review applications to various grant programs—we also incur substantial travel, lodging, and per diem expenses for each panelist. In

FY 2009, for example, we estimate that panelists will cost us approximately \$822,000, or about 3 percent of our total overhead.

Another cost directly related to our grant-making function is the expense of convening the National Council on the Humanities. The 26-member Council—which is statutorily mandated to advise the NEH Chairman on all grant applications—meets in Washington four times annually. Each Council member is compensated for his or her service. The Endowment also incurs travel, lodging, and per diem expenses for the preponderance of Council members who live outside of the Washington metropolitan area. The annual cost of convening these meetings is approximately \$100,000.

Other expenses that are directly related to our role as a grant-making agency include the cost of participating in the government-wide online grant application portal, Grants.gov, and the Administration's new Grants Management Line of Business initiative. These transfers of funds to the Department of Health and Human Services will cost NEH approximately \$200,000, and perhaps more, in FY 2009.

More than \$1.1 million of our overhead, or about 4 percent of our administrative budget, is spent directly on expenses that are not typical of most other federal agencies. Moreover, we understand that some other grant-making agencies do not use administrative funds exclusively to cover all of their program support expenses.

Recent Administrative Highlights

NEH is an efficient and well-managed agency. We have an exemplary record of economizing and extracting the most value from our administrative resources, while providing quality service to our customers. We make every effort to reduce overhead costs whenever possible, and we continually stress this priority to all our program and office managers. In the face of upward pressures in various cost categories in recent years, we have made a conscientious effort to limit other administrative cost increases, including holding the number of NEH staff level in spite of recent increases to our program budget as a result of our *We the People* program; and increasing our use of nontraditional panel arrangements, such as mail panels and conference panels, as a means of keeping our panel costs in check.

Among our administrative highlights and achievements in recent years are:

- The installation of a new agency-wide accounting system that replaced a 25-year-old system that had outlived its usefulness and reliability. The new Oracle system is user-friendly and provides for retrieval of up-to-the minute data, which has allowed senior managers better oversight of the agency's funds and operations. With this system in place, NEH obtained "clean" audits in fiscal years 2005, 2006, and 2007.
- Our move to Grants.gov, the new online portal for all federal grant-making agencies, has made it easier for applicants to submit grant proposals to NEH. We now receive all grant applications completely electronically via Grants.gov. This enables us to save, view,

route, and analyze the applications and allows peer reviewers to read the applications via the web.

- The Endowment is participating in the Administration's new Grants Management Line of Business (GMLoB) initiative. Currently, most grant-making agencies develop and fund their own grants processing systems. Many large agencies have more than one such system; there are more than 100 different grants processing systems in use government-wide. GMLoB is exploring the idea of having agencies "cross-service" one another for grants management. The concept is to have a small number of agencies provide grants servicing to all the other agencies. NEH currently provides cross-servicing to the National Archives and Records Administration (at a reimbursable cost for services provided).

Among the many pressures on the Endowment's FY 2009 administrative budget are the following:

- The proposed 2.9 percent 2009 federal pay raise, as well as the final quarter of the 4.45 percent 2008 pay increase;
- An increase of nearly 35 percent in GSA rent charges in FY 2009;
- Additional peer review panels needed to evaluate grants applications to new grant programs and special grant categories;
- Higher equipment costs as a result of new lease-to-purchase agreements for photocopiers and computers;
- Costs associated with participation in the E-Travel initiative, one of the President's Management Agenda items, which NEH will contract for in 2008 and incur operational expenses in 2009; and
- NEH's transfer of funds to other agencies to support participation in the Administration's other E-Gov initiatives, including Grants.gov, Grants Management Line of Business, and Electronic-Official Personnel File.

Budget Estimates in Detail
(\$ in thousands)

	FY 2007	FY 2008	FY 2009
PERSONNEL COMPENSATION AND BENEFITS	17,238	18,266	18,345

These object classes fund the salaries and benefits of all employees of the Endowment, including full-time permanent, part-time permanent, temporary, and intermittent appointments (for example, the members of the National Council on the Humanities). The estimates for personnel benefits provide for the Endowment's share of contributions toward employees' retirement, health, and life insurance plans.

The FY 2009 request includes funding for the Administration's proposed 2.9 percent 2009 federal pay raise, as well as the final quarter of the realized 4.45 percent increase in 2008. This funding level will provide for 167 FTEs. This staffing level will be sufficient for the Endowment to administer the *We the People* program, including the Picturing America initiative, as well as the new Digital Humanities program.

	FY 2007	FY 2008	FY 2009
TRAVEL AND TRANSPORTATION	373	405	410

Travel funds support local and out-of-town travel of NEH staff to make site visits to perform grant audits, make presentations on Endowment programs, conduct outreach activities, attend conferences and training courses, and conduct other business critical to the mission of the agency. Also supported are the complete travel expenses for the members of the National Council on the Humanities, who come to Washington four times per year to discuss Endowment policies, review applications, and provide advice to the NEH Chairman concerning funding of specific projects.

In addition to these needs the Endowment is estimating a modest increase in this object class to support additional staff travel associated with the budget request levels for *We the People* and the Digital Humanities program.

	FY 2007	FY 2008	FY 2009
GSA RENT	2,141	1,982	2,671

The FY 2009 space rental estimate reflects the occupancy agreement that NEH negotiated with GSA in its new multi-year lease arrangement. The estimate includes GSA's recent reassessment of local commercial values for business space, which will increase the Endowment's rental costs in 2009. This expense is nearly 35 percent higher than FY 2008, which saw an unexpected decrease from the 2007 rent levels due to the GSA methodology for determination of local rent shares.

An office move from our current space remains unlikely for the foreseeable future. If NEH is informed that it has to move or prepare to move from the Old Post Office Building sometime in FY 2009, we will return to OMB and Congress with a request for supplemental funding, as the costs of relocation are likely to be substantial.

	FY 2007	FY 2008	FY 2009
COMMUNICATIONS AND UTILITIES	263	272	277

This object class primarily funds telecommunications and postage expenses. NEH telecommunications costs include local call message units, telephone lines, instruments, installation/service, Federal Telecommunication Service, mobile phones, and Internet line access fees. Our FY 2009 request will fund a customary level of expenditures for telephones, postage and courier charges, and continued charges for Internet access. The Endowment continues to achieve success in directing more of our promotional efforts toward the Internet, thereby holding expected cost increases to a minimum.

	FY 2007	FY 2008	FY 2009
PRINTING	290	295	280

This object class covers the costs of printing NEH publications such as the Endowment's award-winning, bimonthly periodical *Humanities*; the agency's annual report; posters, program announcements and program brochures; and stationery and envelopes.

	FY 2007	FY 2008	FY 2009
OTHER CONTRACTUAL SERVICES	2,748	2,912	2,575

The Endowment supports a wide range of contractual services under this object classification. This includes contracts that are required for running the agency but are not covered in any of the preceding categories. These services include, for example: (1) support, maintenance, and improvement of information technology systems; (2) automated payroll services supplied by the U.S. Department of Agriculture; (3) employee training; (4) fees for site visitors who evaluate selected NEH grants; (5) security guards at the Old Post Office building; (6) alterations and updating of office space; (7) coverage of humanities-related non-personnel and non-travel costs for the President's Committee on the Arts and the Humanities; (8) improving and updating the agency website; (9) maintenance and repair of agency-owned equipment; and (10) interagency transfers in support of the Administration's various E-Gov initiatives.

Anticipated cost pressures in this object classification include:

- Participation in the Grants.gov initiative, which is managed by the Department of Health and Human Services, and the Administration's Grants Management Line of Business (GMLoB) initiative. We are aware that GMLoB is being restructured and that NEH may need to align with one of the three consortia lead agencies. This development may have some adverse budget implications for us.
- Participation in the electronic travel initiative with GSA. NEH expects to enter into a contract (for approximately \$230,000) for E-Travel service in FY 2008 and will incur approximately \$50,000 in operational and licensing fees in FY 2009.
- Costs related to building security have increased substantially in recent fiscal years—for example, from \$491,000 in FY 2007 to an estimated \$540,000 in FY 2009.
- The cost of continued contract assistance to help NEH fulfill the mandates for its annual audit of its financial statements, which will include a new contract for this service beginning in FY 2009.
- Resources needed to support slight increases in ongoing service expenses, such as IT support, mail services, office equipment maintenance service contracts and repairs, consultation services and maintenance for the Oracle accounting system, and the like.

	FY 2007	FY 2008	FY 2009
PANELIST CONTRACTS	780	792	822

These funds provide for the contract costs (travel, subsistence, honoraria) of panelists. NEH convenes more than 170 panels each year to evaluate the quality of grant applications submitted to our programs. Panelists are selected from a database that includes scholars, teachers, librarians, archivists, curators, media producers, and other humanities professionals. NEH panelists represent a diversity of disciplinary, institutional, and regional backgrounds. Sufficient funding for panel reviews of grant applications is one of the Endowment's highest priorities. We strive to recruit the most competent panelists and to give them the time needed to perform a thorough evaluation of proposals. Our overriding goal is to ensure that the projects ultimately receiving federal support will be those most likely to make significant contributions to the humanities.

Panelist expenses are expected to increase modestly in FY 2009 as a result of new programs related to the Digital Humanities program and the new Picturing America initiative.

	FY 2007	FY 2008	FY 2009
SUPPLIES	120	120	120

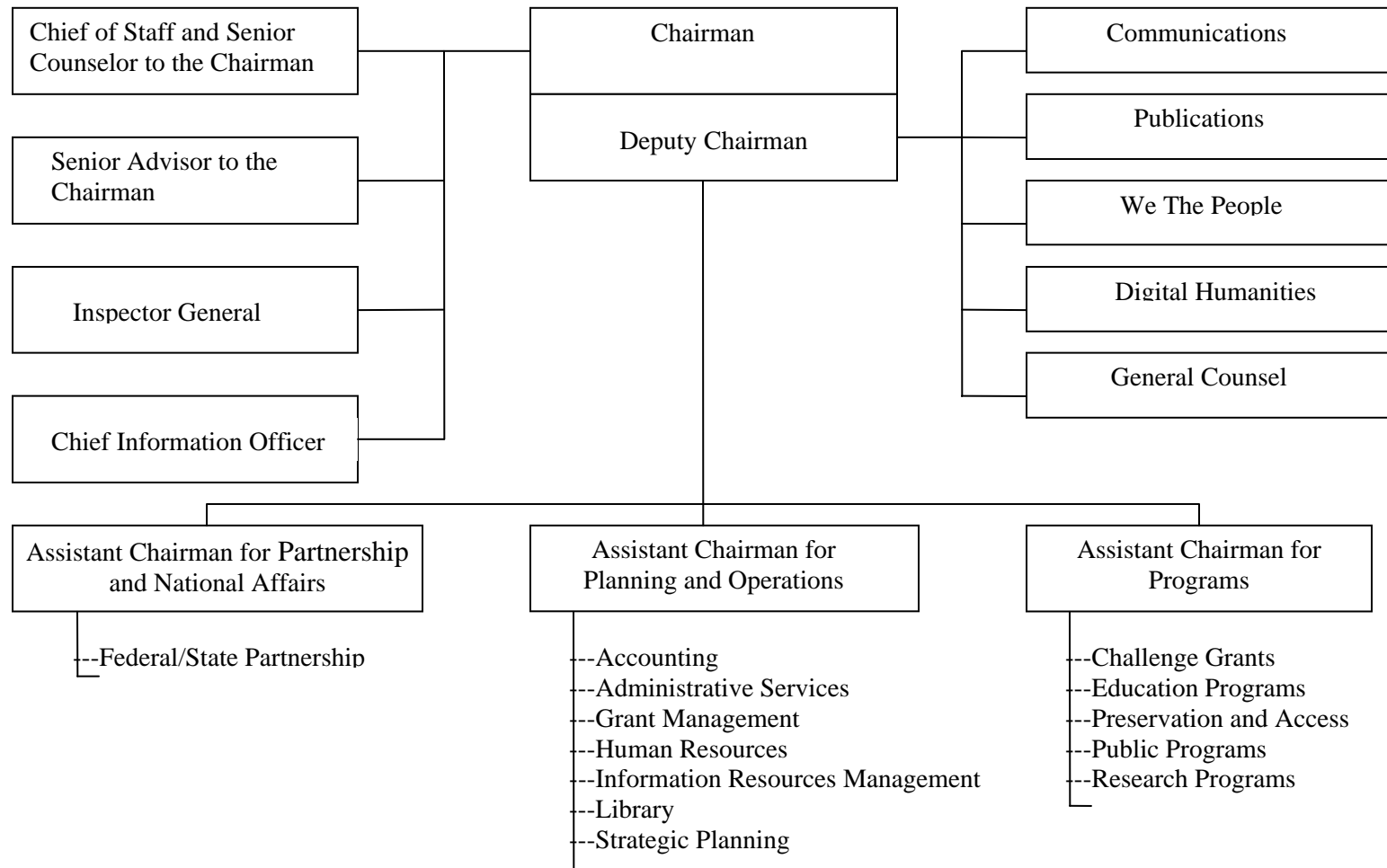
The estimates in this object class provide for the cost of expendable supplies required to carry on the daily business of the Endowment. In addition to supporting the purchase of routine office supplies, funds in this category cover IT supplies, including disks and toner cartridges for printers, and the cost of maintaining the agency's subscriptions to essential periodicals.

	FY 2007	FY 2008	FY 2009
EQUIPMENT	269	190	500

This spending category provides for all equipment, furniture, and office machines having a useful life in excess of one year--items such as chairs, desks, file cabinets, computers, software, and miscellaneous equipment. The category also includes photocopying machines procured under a lease-to-purchase agreement. In 2009 the purchase agreement for the Endowment's photocopying machines is up for renewal. Also in FY 2009, we expect to enter into a long-term agreement to replace our desktop computers. The Endowment will need to capitalize these two long-term capital leases using current year (FY 2009) funds, thus accounting for the increased funding request in 2009. Finally, in 2009 NEH will give consideration to updating its office space, including new modular offices, furniture, and carpeting. The current office configurations

are now twenty-five years old and sorely outdated. These modifications, if begun, could stretch out over two or more years, depending on the availability of funds for this project.

National Endowment for the Humanities



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