

TO: HEADS OF GOVERNMENT DEPARTMENTS, AGENCIES, AND OTHERS CONCERNED

1. PURPOSE

This transmittal letter releases I TFM 5-4500: Deposits to Treasury Through the Fedwire Deposit System, which replaces I TFM 5-4500: Treasury Financial Communications System Deposits.

2. PAGE CHANGES

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3. EFFECTIVE DATE

Upon receipt.

4. INQUIRIES

Questions concerning this transmittal letter should be directed to:

Department of the Treasury  
Financial Management Service  
Banking Operations Branch  
3700 East-West Highway, 5A06  
Hyattsville, MD 20782  
(Telephone 202-874-6900)



Date: November 24, 1997

Russell D. Morris  
Commissioner

## DEPOSITS TO TREASURY THROUGH THE FEDWIRE DEPOSIT SYSTEM

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This chapter prescribes procedures for deposits to the account of the U.S. Treasury made through the Fedwire Deposit System (FDS).

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### Section 4510 - Scope and Applicability

This chapter applies to Government departments, agencies, corporations, and others concerned with deposits for credit to the account of the U.S. Treasury made over Fedwire. Instructions for Federal Reserve Banks (FRBs) and other banks that maintain an account at an FRB are prescribed in II TFM 2-4000. The transfer of deposits over Fedwire to the Department of the Treasury (Treasury) will be effected only in those cases where it has been determined that to do so will be advantageous to the Government.

### Section 4515 - Authority

The authority for the procedures included in this chapter are found in the 31 CFR 202.

### Section 4520 - Definition of Terms

**Agency Location Code (ALC)** - A numeric symbol used to identify accounting reports and documents prepared by or for agency accounting stations and disbursing offices (e.g., eight-digit agency location station code, four-digit checking account symbol, and three-digit Treasury office number).

**Depositor** - An individual who draws or issues an order of payment to a Federal agency.

**Deposit Ticket** - This term refers to the SF 215C Deposit Ticket issued by Treasury.

**Federal Reserve Bank (FRB)** - Any district bank or branch bank of the Federal Reserve System.

**Fedwire** - An electronic transfer system developed and maintained by the Federal Reserve. The system connects Federal Reserve Banks, the Treasury and Government agencies, and depository institutions which maintain an account at an FRB.

### Section 4525 - Background and Concepts

The Treasury Fedwire Deposit System (FDS) is a computer-to-computer link between Treasury and the Federal Reserve Bank of New York (FRBNY). This system provides the capability for: (1) automated receipt and processing of funds transfers and (2) computer-assisted generation of funds transfers between Treasury, FRBs, and other banks utilizing Fedwire. FDS also integrates this traffic into Treasury's government-wide accounting system which accounts for all Federal receipts and outlays. Treasury maintains an account at FRBNY. As a result, banks that maintain an account at an FRB may send funds transfers to Treasury over Fedwire for credit to the account of the U.S. Treasury at FRBNY. Likewise, Treasury may send funds transfers,

charged to the Treasury's General Account at FRBNY over Fedwire, to banks that maintain an account at an FRB. Funds transfers between Treasury and banks that do not maintain an account at an FRB are processed through correspondent banks that do maintain an account at an FRB.

### Section 4530 - Required Information for Funds Transfers

Information that must be provided on a funds transfer message to Treasury is specified in Appendix 1.

Requests for transmitting funds to Treasury will normally be made to banks' Fedwire departments. Banks that do not maintain an account at an FRB must utilize the services of correspondent banks when making an FDS deposit.

Policies and procedures regarding depositors' requests for funds transfers are determined by the bank sending the transfer; for example, timing, payment requirements, and so forth.

### Section 4535 - Agency Notification of Deposits and Deposit Adjustments

#### 4535.10 - Deposit Ticket and Support Listing

As funds transfer messages are received by Treasury, the mes-

sages are accumulated at the level of each Agency Location Code (ALC). At the end of the day, a Daily Support Listing of FDS Deposits is produced showing all deposit messages received that day (see Appendix 2). The Daily Support Listing also shows a consolidated total amount representing the total of the funds transfers received for the particular ALC on that day. The total amount shown on the Daily Support Listing will support an SF 215C Deposit Ticket (see Appendix 3) prepared by Treasury. The Daily Support Listing and the confirmed SF 215C will be forwarded, usually by mail, to the agency concerned the following workday.

#### **4535.20 - Same-Day Notification**

Agencies requiring same-day deposit notification may receive this data from CASH-LINK's Agency Access. Agency Access allows the agency to receive immediate notification of incoming FDS deposits via online inquiry or PC download. This method is intended to supplement the Daily Support Listing of FDS Deposits

and SF 215C which will still be sent to the agency. Agency Access software will be provided by the Financial Management Service free of charge. See Contacts page for information on ordering Agency Access.

#### **4535.30 - Errors and Deposit Adjustments**

Agencies will review their FDS deposits daily for errors and will promptly notify the Financial Analysis Branch (see Contacts) if errors are discovered.

Occasionally, banks may request a reversal of an FDS deposit. A written request or service message from the sending bank requesting a reversal of a deposit must be received by Treasury before the funds are returned.

Same day reversal of deposit messages are initiated by Treasury without agency concurrence. Subsequent day reversal requests, already classified to an ALC, will be referred to the appropriate agency for authorization to return the funds.

A reversal of a Deposit Message can be identified by the word

“Reversal” printed next to the amount field on the Daily Support Listing of FDS Deposits.

Treasury also has the authority to issue adjusting deposit documents; i.e., SF 5515 “Debit Voucher” for FDS deposits erroneously sent to an agency. An explanation of why the adjustment was made will be included on the adjusting deposit documents.

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#### **Section 4540 - Erroneous Agency Reporting of Deposits**

Agencies will report all FDS SF 215Cs which show the ALC of the reporting office even if the reporting office knows the item does not belong to that office. Such erroneous deposits will be reported to one of the agency's suspense accounts (i.e., F3875 or X6875) until an adjustment document is received from Treasury to correct the error. Agencies should be guided by the provisions of I TFM 2-3100 and 2-3300 in reporting SF 215Cs and SF 5515s.

## CONTACTS

*Inquiries concerning this chapter should be directed to:*

Department of the Treasury  
Financial Management Service  
Banking Operations Branch  
3700 East West Highway, 5A06  
Hyattsville, MD 20782  
(Telephone 202-874-6900)

*Inquiries concerning FDS deposit errors and adjustments will be directed to:*

Department of the Treasury  
Financial Management Service  
Financial Analysis Branch  
3700 East-West Highway, 500E  
Hyattsville, MD 20782  
(Telephone 202-874-7980)

*To obtain Agency Access software contact:*

Department of the Treasury  
Financial Management Service  
Banking Operations Branch  
3700 East-West Highway, 5A06  
Hyattsville, MD 20782  
(Telephone 202-874-6900)

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**APPENDICES LISTING**

<b>App. No.</b>	<b>Form</b>	<b>Title</b>
1		Required Information for Funds Transfer Message to Treasury and Funds Transfer Message Format
2		Daily Support Listing of FDS Deposits
3	SF 215C	Deposit Ticket

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## Required Information for Funds Transfer Messages to Treasury

Tag Name	Tag	Required Information
Type/Subtype Code	(1510)	Type and Subtype Codes entered by the sender. EXAMPLE: 10 00
Amount	(2000)	The dollar amount of transfer. The amount will include the dollar sign and the appropriate punctuation including cents digits. EXAMPLE: \$9,999,999.99
Sender FI	(3100)	Identifies the sending Financial Institution's nine digit routing number and short name. Sending Bank will provide. EXAMPLE: 999999999 BANK XYZ
Sender Reference	(3320)	The sender institution's reference information may be inserted by the sending bank to identify the transaction. EXAMPLE: 97040300066030303
Receiver FI	(3400)	Identifies the receiving institution. The nine digit identifier 021030004 is the routing number of the Treasury. The short name for Treasury is TREAS NYC. This item is a constant and is required for all funds transfer messages sent to Treasury. EXAMPLE: 021030004 TREAS NYC
Beneficiary	(4200)	Provided by Agency. The three, four, or eight digit numeric symbol (Agency Location Code) used to identify Government departments and agencies. The Agency Location Code is critical and must be specified in the funds transfer message in order for the funds to be correctly classified to the respective agency. The agency name may also be provided and entered in this field. EXAMPLE: D 12345678 Government Agency XYZ
Originator to Beneficiary Info	(6000)	Used to identify information conveyed from originator to the beneficiary; e.g., details of payment, including invoice numbers and amounts.



*Sample of Funds Transfer Format*

Type/Subtype Code	(1510)	10 00
Amount	(2000)	\$9,999,999.99
Sender FI	(3100)	999999999 BANK XYZ
Sender Reference	(3320)	97040300066030303
Receiver FI	(3400)	021030004 TREAS NYC
Beneficiary	(4200)	D 12345678 Government Agency XYZ
Originator to Beneficiary Info	(6000)	XX XX XX XX

PGM : TFO16RPT01129-02  
 AS-OF: 04/09/97 AT 20:41

DAILY SUPPORT LISTING OF FDS DEPOSITS  
 FOR 04/09/97

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ALC 12345678  
 FINANCIAL MANAGEMENT SERVICE  
 BANKING OPERATIONS BRANCH  
 3700 EAST-WEST HIGHWAY ROOM 5A05  
 HYATTSVILLE MD

MSG NO.	----- M E S S A G E T E X T -----	AMOUNT
	TAG (1100) 01 P N MESSAGE DISPOSITION 0409 0827 FT01 ACCEPTANCE TIME STAMP OMAD (1120) 19970409 B1QFD01A 000006 0409 0827 FT01 (1510) 10 32 IMAD (1520) 19970409 A1Q007AC 000115 AMOUNT (2000) \$ 58,211,382.41 SENDER FI (3100) SENDER REFERENCE 9704090001870115 RECEIVER FI (3400) 021030004 TREAS NYC BUSINESS FUNCTION (3600) DRW BENEFICIARY (4200) D 12345678 GOVERNMENT AGENCY XYZ REF FOR BENEFICIARY (4320) REQ FOR FUNDS FI TO FI INFORMATION (6500) THIS APPROVAL IS IN RESPONSE TO YOUR FED DRAWDOWN REF #000020. SEQ-970409000186 / SEQ-970409000187	\$ 58,211,382.41
04-09-000030	TAG (1100) 01 P N MESSAGE DISPOSITION 0409 0827 FT01 ACCEPTANCE TIME STAMP OMAD (1120) 19970409 B1QFD01A 000007 0409 0827 FT01 TYPE/SUBTYPE CODE 10 32 IMAD (1520) 19970409 A1Q007AC 000116 AMOUNT (2000) \$ 1,453,506.93 SENDER FI (3100) 999999999 BANK XYZ SENDER REFERENCE (3320) 9704090001890116 RECEIVER FI (3400) 021030004 TREAS NYC BUSINESS FUNCTION (3600) DRW BENEFICIARY (4200) D 12345678 GOVERNMENT AGENCY XYZ REF FOR BENEFICIARY (4320) REQ FOR FUNDS FI TO FI INFORMATION (6500) THIS APPROVAL IS IN RESPONSE TO YOUR FED DRAWDOWN REF #000021. SEQ-970409000188 / SEQ-970409000189	\$ 1,453,506.93

PGM : TFO16RPT01129-02  
AS-OF: 04/09/97 AT 20:41

DAILY SUPPORT LISTING OF FDS DEPOSITS  
FOR 04/09/97

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ALC 12345678 DEPARTMENT OF THE TREASURY

MSG NO.	----- M E S S A G E T E X T -----		AMOUNT
04-09-000646	TAG		\$ 440,724.60
	MESSAGE DISPOSITION	(1100) 01 P N	
	ACCEPTANCE TIME STAMP	(1110) 0409 1631 FT01	
	OMAD	(1120) 19970409 B1QFDO1A 000513 0409 1631 FT01	
	TYPE/SUBTYPE CODE	(1510) 10 32	
	IMAD	(1520) 19970409 G1QX030C 000323	
	AMOUNT	(2000) \$ 440,724.60	
	SENDER FI	(3100) 999999999 BANK XYZ	
	SENDER REFERENCE	(3320) 000000000D81471	
	RECEIVER FI	(3400) 021030004 TREAS NYC	
	BUSINESS FUNCTION	(3600) DRW	
	FREE TEXT	(9000) ORG=U S TREASURY OGB=FOA-IL DRW/BNF=GOVERNMENT AGENCY XYZ/AC-12345678// RFB=BATCH #1709815Y	

*****	*****
*** DAILY TOTAL ***	CONFIRMED DATE 04/09/97 DEPOSIT TICKET NUMBER 800581
*****	*****
	\$ 60,105,613.94
	*****

MESSAGES PROCESSED TODAY	3
MESSAGES IMPROPERLY FORMATTED / CORRECTED	0

STANDARD FORM 215C (12-87) PRESCRIBED BY DEPT. OF TREASURY		DEPOSIT TICKET		DEPARTMENT OF THE TREASURY FINANCIAL MANAGEMENT SERVICE	
DEPOSIT NUMBER	DATE PRESENTED OR MAILED TO BANK M M D D Y Y	8-DIGIT AGENCY ACCOUNTING STATION CODE OR 4-DIGIT DISBURSING OFFICE SYMBOL	AMOUNT	DATE CONFIRMED M M D D Y Y	
(1) <span style="border: 1px solid black; padding: 2px;">800581</span>	(2) <span style="border: 1px solid black; padding: 2px;">0 4-0 9-9 7</span>	(3) <span style="border: 1px solid black; padding: 2px;">1 2 3 4 5 6 7 8</span>	(4) <span style="border: 1px solid black; padding: 2px;">60,105,613.94</span>	(5) <span style="border: 1px solid black; padding: 2px;">0 4-0 9-9 7</span>	
(6) AGENCY USE					
THIS DOCUMENT WAS GENERATED BY THE FEDWIRE DEPOSIT SYSTEM. SEE DAILY SUPPORT LISTING OF FDS DEPOSITS.					
(7) NAME AND ADDRESS OF DEPOSITARY			(9) DEPOSITORS TITLE, DEPARTMENT OR AGENCY AND ADDRESS		(10) OFFICIAL USE ONLY
US TREASURY DEPARTMENT FIN. MAN. FINANCIAL MANAGEMENT SERVICES			DEPARTMENT OF THE TREASURY FINANCIAL MANAGEMENT SERVICE BANKING OPERATIONS BRANCH 3700 EAST-WEST HIGHWAY ROOM 5A05 HYATTSVILLE MD 20782		
(8) I CERTIFY THAT THE ABOVE AMOUNT HAS BEEN RECEIVED FOR CREDIT IN THE ACCOUNT OF THE U.S. TREASURY ON THE DATE SHOWN. SUBJECT TO ADJUSTMENT OF UNCOLLECTIBLE ITEMS INCLUDED THEREIN.					
MANAGER FINANCIAL ADJUSTMENT BRANCH					
(11) DEPOSITARY DATE, SIGN, AND RETURN THIS TO DEPOSITOR					
CONFIRMED COPY					

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