



National Archives and Records Administration

Three Years After Katrina *Lessons Learned and Actions Taken*

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Katrina and Records

What Did We Learn?

- The [then] National Response Plan was silent on records
- Federal COOP plans worked “pretty well”
- Records issues for State and Local Government were more problematic
 - ★ Issues of Identity Loss
- There was a lack of information at all levels on records conditions and what to do/how to do it
- There was a lack of coordination in all sectors and levels
- Our conceptual framework for Disaster Preparedness and Recovery was inadequate



What Has Happened Since Katrina at NARA?

- We built responsibilities for disaster preparedness within our new Strategic Plan
- We created a dedicated position to work with the Federal emergency management and records communities to address records issues
- We updated our course offerings on vital records and on emergency preparedness and tasked our staff to offer them at least annually in each NARA region
- We partnered with the Council of State Archivists to look at records issues across governments
- We partnered with FEMA's National Continuity of Operations Division and with FEMA regional counterparts across the country
 - ★ We also started to work with Federal Executive Boards across the country on emergency management and vital records issue
- We became a partner in the emergency response infrastructure through Emergency Support Function #11 of the National Response Framework



What's Happened Since Katrina in the Emergency Management?

- National Infrastructure Protection Plan [NIPP] [2006] now includes “records” within the definition of “asset” that the plan addresses
- National Security Presidential Directive 51/ Homeland Security Directive 20 [May 2007]
- In August 2007 the President approved a National Continuity Policy Implementation Plan
- The National Response Framework [January 2008] replaced the National Response Plan
 - ★ NARA [and records] are recognized in the ESF #11 Annex with specific roles for emergency preparedness and response for government records and archives
- On February 5, 2008 DHS issued Federal Continuity Directive #1 and #2

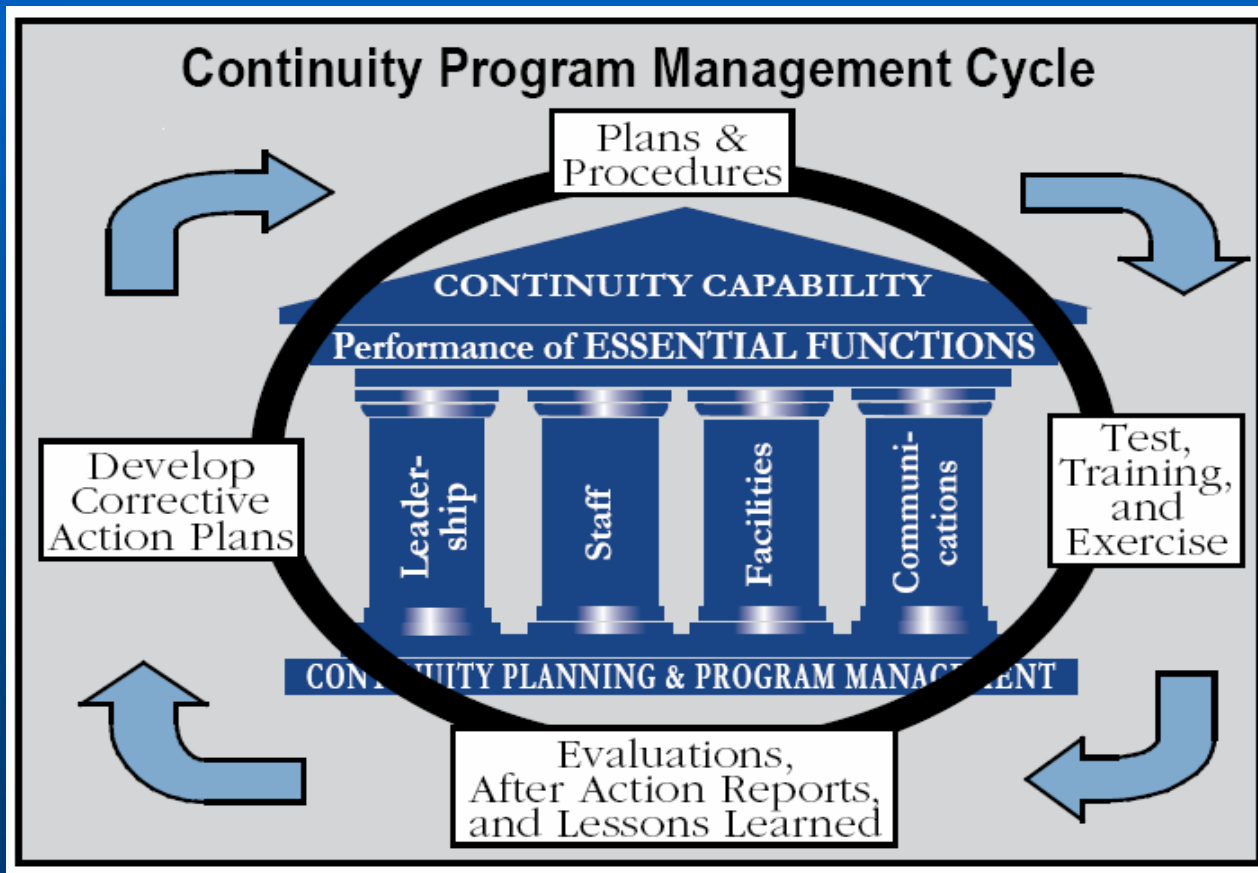


Federal Continuity Directive #1

- Pillars for Performance of Essential Functions
 - ★ People – Leadership and Staff
 - ★ Communications and Technology
 - ★ Facilities
- Foundation
 - ★ Continuity Planning and Program Management



Framework for Planning



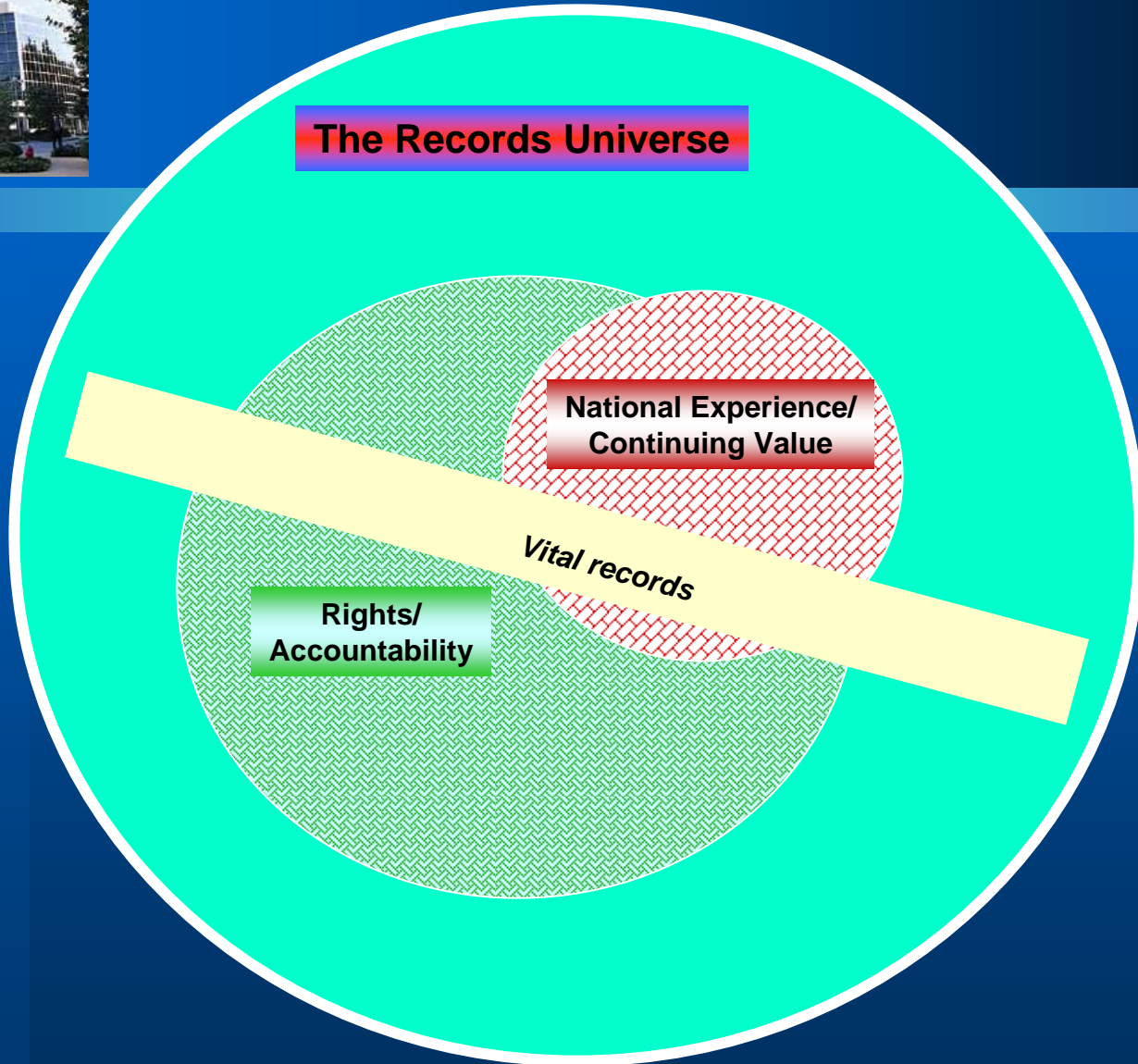


FCD #1 Annex I Requirements

- “The identification, protection and ready availability” of records that support an agency’s defined Mission Essential Functions
 - ★ Emergency Operating Records
 - ★ Rights and Interest Records
- Defines twelve “characteristics” required for an agency to be compliant with the Directive
- Handout – Annex I



The Records Universe





Our Panelists

- Doris Hamburg, Director of Preservation Services at NARA
- Julie Framingham, IPER Project Manager for the Council of State Archivists and its FEMA-funded Vital Records Training Initiative
- Debra Byrne, Chief, Administrative Management Staff at the National Finance Center