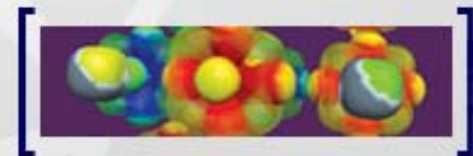




National Science Foundation



# **NSF Regional Grants Conference**

## **Challenges, Opportunities & New Directions**

**October 20-21, 2008**

**Hosted by: The University of Nebraska Lincoln  
Omaha, NE**

# Ask Early, Ask Often!

Name	Title	Contact
<b>Tom Cooley</b>	Chief Financial Officer & Director, Office of Budget, Finance & Award Management (BFA)	<a href="mailto:tcooley@nsf.gov">tcooley@nsf.gov</a> (703) 292-8200
<b>Andrea Norris</b>	Division Director Division of Information Systems; Office of Information & Resource Management (OIRM)	<a href="mailto:anorris@nsf.gov">anorris@nsf.gov</a> (703) 292-8150



# Coverage

- **Challenges and Opportunities Along The Road Ahead**
  - Tom Cooley
- **Electronic Initiatives**
  - Andrea Norris



# Challenges & Opportunities Along the Road Ahead

- **Backdrop – The overall “environment” includes:**
  - The political landscape: a time of change ahead
  - Constrained budgets; sensitivity to earmarking
  - “War time” environment and costs ~ \$10 Billion/month
  - Deficit reduction & weak dollar
  - Economic downturn; mortgage and banking crisis; energy costs; rising unemployment and deficit
  - Trade deficit
  - “Stimulus Package”; “Buy-out Package”



# Challenges & Opportunities Along the Road Ahead

- There is “some” good news for R&D
- The America **COMPETES** Act and the American Competitiveness Initiative



# America COMPETES Act

- Signed into law on August 9, 2007
- Shares goals of the American Competitiveness Initiative (ACI)
- Focuses on three primary areas of importance:
  - Increasing research investment;
  - Strengthening educational opportunities in science, technology, engineering, and mathematics from elementary through graduate school;
  - Developing an innovation infrastructure.



# America **COMPETES** Act (Cont'd)

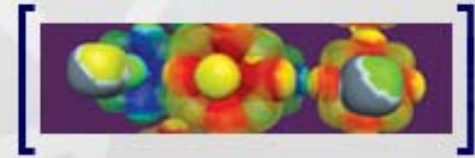
- **Highlights**

- Doubles NSF Funding from \$5.6 billion in FY2006 to \$11.2 billion in FY 2011
- Authorizes the National Institute of Standards and Technology (NIST) from approximately \$703 million in FY 2008 to approximately \$937 million in FY 2011
- Doubles Department of Energy's Office of Science funding over ten years
- Innovation Acceleration Research Program directs federal agencies funding research in science and technology to set as a goal dedicating approximately 8% of their R&D budgets toward high-risk frontier research
- Directs NASA to increase funding for basic research





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# Congress and the Budget: The Future is Clouded

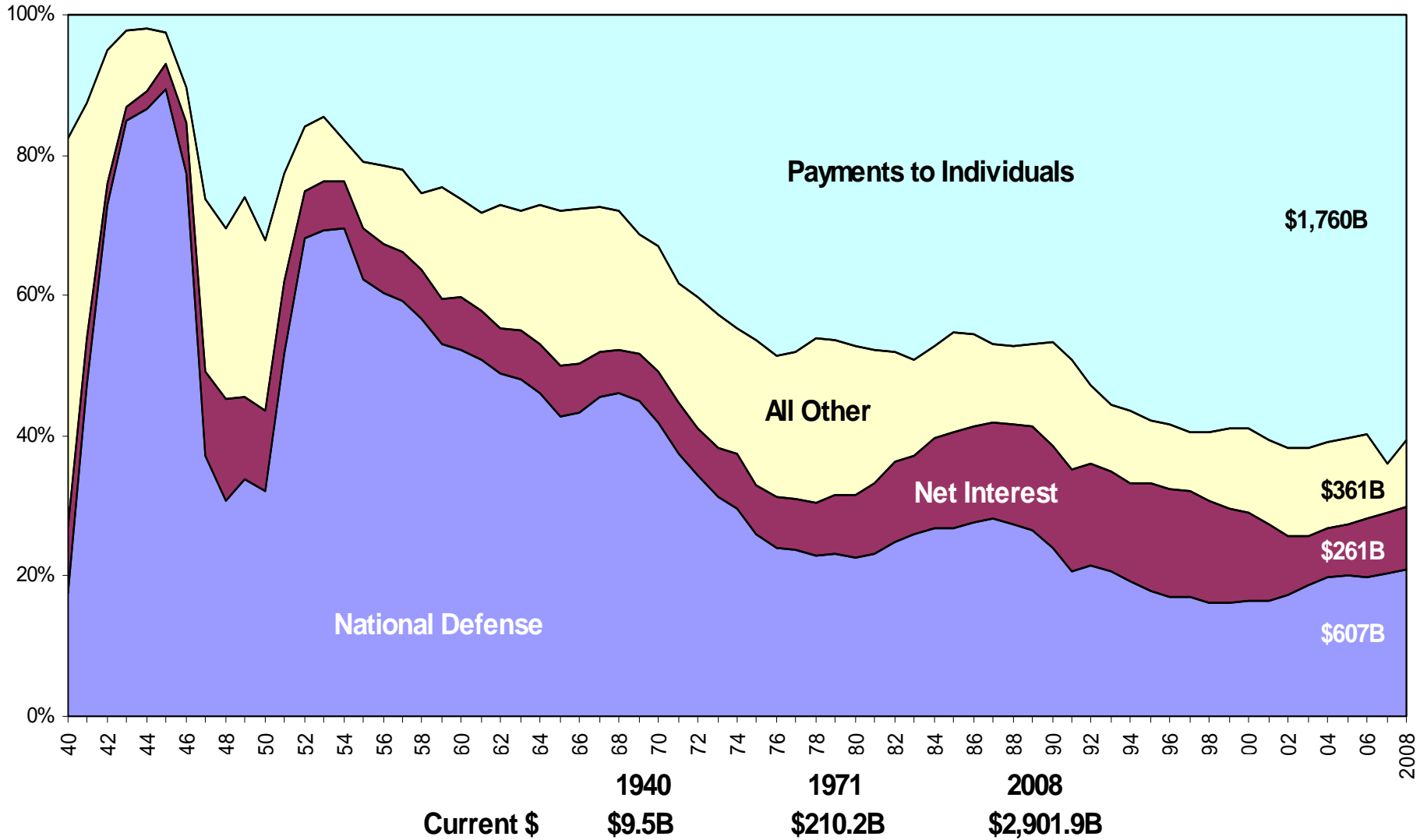


# NSF's Key Players

- **New President – new administration**
- **New Congress - major shift of leadership and likely further changes for 2009**
- **House and Senate Budget Committees**
- **Authorization Committees**



# Percentage Composition of Federal Government Outlays

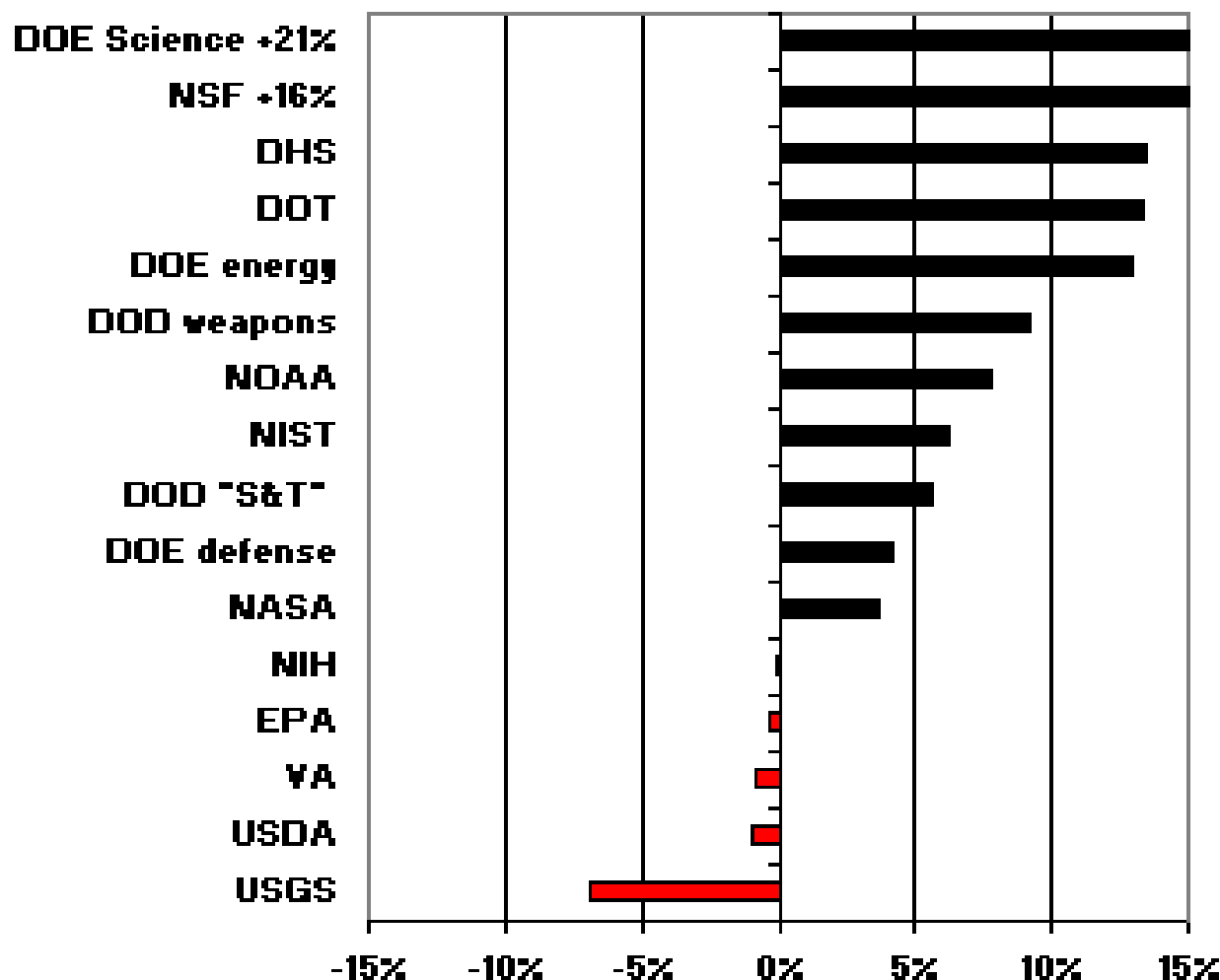


# R&D Budget

<b>Budget Authority (Dollar amounts in millions)</b>	<b>2009 Proposed</b>	<b>Percent Change</b>
Defense	80,494	0%
Health and Human Services	29,480	0%
NASA	10,737	3%
Energy	10,558	8%
National Science Foundation	5,201	16%
Agriculture/USDA	1,952	-15%
Veterans Affairs	884	-8%
Commerce	1,157	4%
Homeland Security	3,287	188%
Transportation	901	9%
Interior	617	-9%
Environmental Protection Agency	550	-1%
Other	1,145	0%
<b>TOTAL</b>	<b>146,963</b>	<b>3%</b>

# FY 2009 R&D Request (without earmarks; preliminary)

Percent Change from FY 2008 excluding '08 earmarks



Source: AAAS, based on OMB R&D data and agency estimates for FY 2009.

DOD "S&T" = DOD R&D in "6.1" through "6.3" categories plus medical research.

DOD weapons = DOD R&D in "6.4" and higher categories. R&D earmarks as tabulated by AAAS from final 2008 appropriations in December 2007 analyses. FEB. '08 PRELIMINARY © 2008 AAAS



# So, where's the \$\$ going to show up?

- **Basic Research (\$29.2 B out of \$145.4 B):**

- DOD: +4.0 %
- NIH: +0.1 %
- NASA: -9.1 %
- DOE/Sci. +16.7 %
- NSF +17.4 %
- USDA: -6.8 %
- Commerce: +83.3 %
- Interior: -7.0 %
- DHS: +12.8 %



# NSF – Where's the \$\$ and how do I get some?

- Starting FY 2009 at FY 2008 levels (i.e., ~ \$6 billion)
- Fifty percent on the table; fifty percent off the table
- Any additional money from appropriations likely not available until May 2009
- Talk to your program manager



# Where's the \$\$ - program level?

- I have an idea for a proposal and I can pitch it to the “regular program” or I can pitch it to the “NSF Initiative”

	"Regular Program"	"NSF Initiative" (solicited proposals)
<b>Budget</b>	<b>\$20 million</b>	<b>\$200 million</b>



# Where's the \$\$ - program level?

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# Where's the \$\$ - program level?

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Budget	\$20 million	\$200 million
Available	\$10 million	\$100 million
<b>No. of Proposals/Yr</b>	<b>100</b>	<b>1000</b>



# Where's the \$\$ - program level?

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	"Regular Program"	"NSF Initiative" (solicited proposals)
Budget	\$20 million	\$200 million
Available	\$10 million	\$100 million
No. of Proposals/Yr	100	1000
<b>Success Rate</b>	<b>24%</b>	<b>7%</b>



# Where's the \$\$ - program level?

- I have an idea for a proposal and I can pitch it to the “regular program” or I can pitch it to the “NSF Initiative”

	"Regular Program"	"NSF Initiative" (solicited proposals)	Program % of NSF Initiative
Budget	\$20 million	\$200 million	\$2 million
Available	\$10 million	\$100 million	\$1 million
No. of Proposals/Yr	100	1000	50
Success Rate	24%	7%	4 %

- Be informed so you can make an informed choice



# Along the Road Ahead

- **There are some continuing “challenges” for our community:**
  - Policies and procedures at Federal agencies “all over the map”
  - Compliance looming large
  - NSF: Difficult to balance award size, duration and success rates
  - Audits continue to frustrate, but efforts to address quality of single audits (A-133) underway
  - Time and effort audits
  - Cost sharing
  - Unfunded mandates



# The Federal Grant Streamlining Program

**National Science and  
Technology Policy Council**

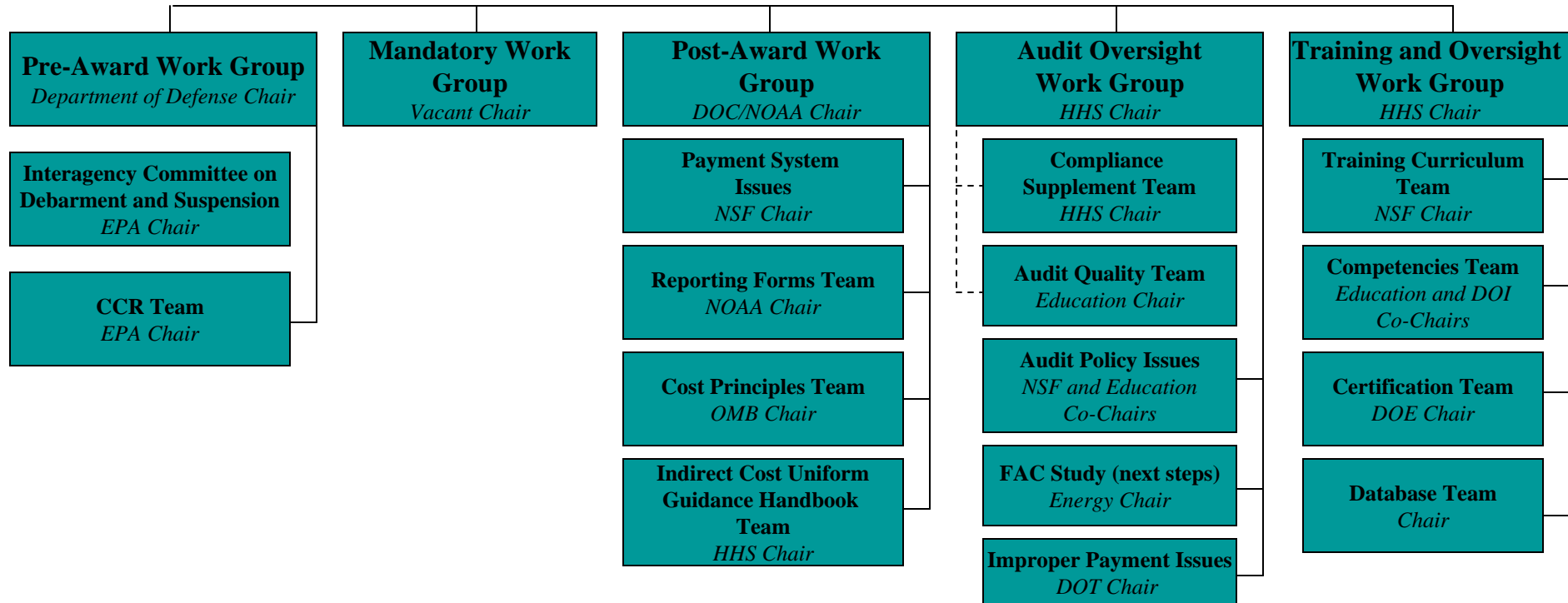
**Committee on Science**

**Research Business Models  
Subcommittee**

**The Chief Financial Officers Council**

**Grants Policy Committee**  
*National Science Foundation and Energy  
Co-Chairs*

**P.L. 106-107 PMO**  
*HHS*



# Grants Policy Committee



GRANTS POLICY  
COMMITTEE

- **Hot Topics:**

- 1 Transparency Act Subaward Guidance
- 2 GPC Implementation Plan
- 3 GPC Stakeholder Survey on new website

- **New GPC website: [www.GPC.gov](http://www.GPC.gov)**

- **Next webcast: Tues. Oct. 28, 2008, 2pm at HUD Headquarters**



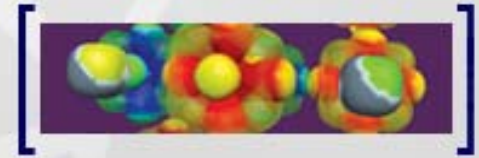
# Federal Funding Accountability & Transparency Act (FFATA)

- FederalSpending.gov launched (Feb. 2007)
- Data elements defined
- Impact: Place of performance
- Next Step: Pilot grantee and sub-awardee data





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# Electronic Initiatives



# What's the Latest On?

- **Grants.gov**
- **Grants Management Line of Business (GMLoB)**
- **Research.gov**



# Grants.gov – Purpose & Goals

- A single source for finding grant opportunities
- A standardized manner of locating and learning more about funding opportunities
- A single, secure and reliable source for applying for Federal grants online
- A simplified grant application process with reduction of paperwork
- A unified interface for all agencies to announce their grant opportunities, and for all grant applicants to find and apply for those opportunities



# NSF Grants.gov Implementation in 2009

- **Unless otherwise specified, optional submission for the vast majority of NSF programs**
- **Will not be used until a Grants.gov solution has been developed for:**
  - Separately submitted collaborative proposals
  - Fellowship programs that require submission of reference letters



# GMLOB's Background, Vision, and Goals

## Background

- High volume of grants money spread over many agencies/programs:
  - Over \$ 526 billion spent on grants in FY04
  - 26 primary grant-making agencies
  - Over 900 programs

## Vision

- A government-wide solution supporting end-to-end grants management activities

## Goals

- Improve customer service
- Reduce cost
- Increase standards and streamlining





# Grants Management Line of Business

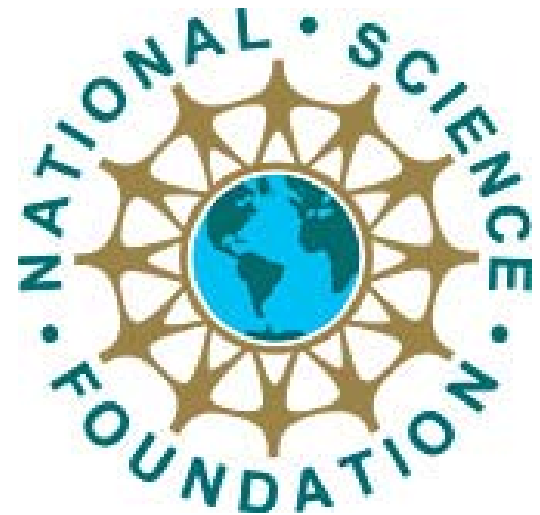
October 2008

The GMLOB landscape has been updated to include a new group called the Transitioning Agencies Group. These agencies have demonstrated through their GMLOB implementation plans and other legitimate forms of intent, their commitment to partnering with a Consortium Lead or forming a strategic partnership with other similar agencies.

GMLOB LANDSCAPE					
Groups	Consortium Leads Partnership Group	Strategic Partnership Group	Alternative Solutions Group	Transitioning Agencies Group	Agencies Yet To Align
Group Descriptions	<ul style="list-style-type: none"> <li>Consortium partnership where agencies share best practices and collectively design a Consortium solution.</li> <li>Partner agencies migrate to Consortium solution.</li> </ul>	<ul style="list-style-type: none"> <li>Strategic partnership of agencies with similar size, mission, and processing volume.</li> <li>NEH provides efficient, cost-effective support to NARA and NEA.</li> </ul>	<ul style="list-style-type: none"> <li>Agencies granted temporary approval for continued use of an efficient, cost-effective alternative solution.</li> <li>COTS Sub-Group agencies work together to establish single voice with common vendor.</li> </ul>	<ul style="list-style-type: none"> <li>Agencies that have completed key milestones and directives and are entering into the planning stages of the partnering process.</li> <li>Agencies partner with a Consortium Lead or form a strategic partnership with other agencies.</li> </ul>	<ul style="list-style-type: none"> <li>Agencies directed to complete specific milestones, reporting requirements, and further explore CL partnership opportunities.</li> </ul>
Member Agencies	<p><b>HHS/ACF Partner Agencies:</b> CNCS, DOT, EPA, IMLS, State, Treasury, VA, SSA</p> <p><b>ED Partner Agencies:</b> DOI, DOJ/COPS, DOL</p> <p><b>NSF Partner Agencies:</b> DOD, NASA</p>	<p>NEH</p> <p>NARA</p> <p>NEA</p>	<p>HHS/NIH</p> <p><b>COTS Sub-Group:</b></p> <p>DOE</p> <p>SBA</p> <p>USAID</p>	<p>DOJ/OJP DOJ/OVW</p> <p>USDA</p>	<p>DHS</p> <p>DOC</p> <p>HUD</p>

# Why NSF was Chosen as a Consortium Lead

- Focus on the research community
- Recognized for high standards by customers
- Leadership position in the grants community
- 12 years of experience and capabilities as shown by FastLane
- Unique operating model—menu of high-value services for both Federal partners and the research community



# NSF GMLoB Consortium

## Guiding Principles

- The approach will be deliberate, modular, conservative, and research community focused.
- Research community must directly benefit from this initiative.
- Whatever we do, we are going to do it well.
- Low cost, high impact offerings that deliver value to grantees will be implemented first.
- The initiative must focus on improvement; cost avoidance, not just cost savings.



# What is Research.gov?

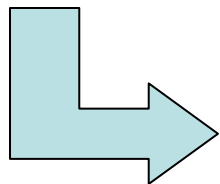
Research.gov is a...

- **New initiative that enables institutions and grantees to:**
  - access a menu of services
  - for multiple federal agencies
  - in one place
- **Modernization of FastLane that provides a menu of services:**
  - tailored to meeting the unique needs of the research community
  - aimed at easing the grants administrative burden
- **Research-oriented solution for delivering services under the Grants Management Line of Business initiative.**





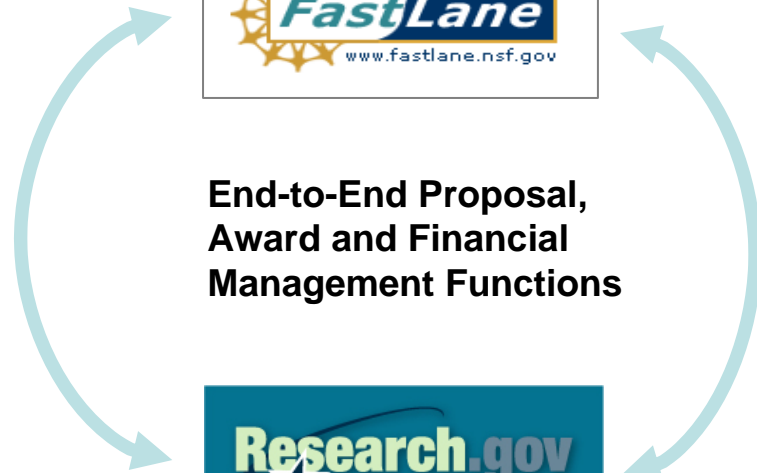
# NSF Grants Management Environment



**“Find” Funding Opportunities and “Apply” for Grants**



**End-to-End Proposal, Award and Financial Management Functions**



**★ Users can log into FastLane & Research.gov using the same user name, NSF ID and password**



# What does Research.gov offer today?

**Research.gov**  
POWERING KNOWLEDGE AND INNOVATION

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Welcome Anonymous | October 06, 2008

### About Research.gov

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Led by the National Science Foundation (NSF), Research.gov is a partnership of federal research-oriented grant making agencies with a shared vision of increasing customer service for the research community, while streamlining and standardizing business processes amongst partner agencies. [MORE](#)

#### Service Offerings

- Policy Library
- Research Spending and Results
- Partnership Model
- Partner Agency List
- Latest News
- Frequently Asked Questions

#### Apply For Grants

- [Grants.gov](#)
- [NSF FastLane](#)
- [NASA NSPIRES](#)






#### Feedback

[Tell Us What You Think](#)

### Services

Online tools to help you manage your grants

Welcome to Research.gov. Below is a list of services that we offer. Select a service from below to find out more.

-  [Research Spending and Results](#)  
NSF and NASA award information available to be searched by the public in compliance with the Federal Funding Accountability and Transparency Act of 2006.
-  [Policy Library](#)  
An electronic library that consolidates Federal and agency-specific policies, guidelines and procedures for use by Federal agencies and the awardee community. Agency-specific documents are included only for Research.gov partner agencies.
-  [Grants Application Status](#)  
COMING SOON. Principal Investigators and Sponsored Research Offices check the status of grant applications, from submission to decision, submitted to NSF and USDA/CSREES. Account Required.
-  [Institution and User Management](#)  
COMING SOON. Online tool for Administrators to grants user rights and privileges. Account Required.
-  [Federal Financial Report](#)  
COMING SOON. Online tool to complete and submit grant financial reports using the new government-wide standard form. Account Required.

### Events


**October 9 - 9, 2008**  
[NSF Day at the University of Alabama in Huntsville](#)  
Outreach

**October 19 - 20, 2008**  
[Center for Advanced Knowledge Abatement IAB Meeting](#)  
Partnership meeting

**December 3 - 4, 2008**  
[CHREC Annual Workshop \(CAW08\)](#)  
Partnership meeting

[View All Events](#)

### My Weather

 **64°F**  
Cloudy

Arlington, VA  
Mon, 06 Oct 2008 10:52 am EDT

Enter Your Zip:  [go!](#)

## Public Services

- Research Spending & Results
- Policy Library
- Research News & Events

## Beta Services

- Grants Application Status
- Federal Financial Report
- Institution & User Management

# Research.gov Partnerships

- Research.gov **simplifies the research community's access to information and grant services** for multiple federal agencies
  - National Science Foundation (Lead)
  - National Aeronautics and Space Administration (Partner)
  - Department of Defense Research (Partner)
  - USDA's Cooperative State Research, Education, and Extension Service (Partner)



# Public Facing Services: Research Spending and Results

- Search for award information, including publication citations and award abstracts.

*You can now search both NSF & NASA awards in Research.gov!*

The screenshot displays the Research.gov website interface. The top navigation bar includes 'Home', 'Contact Us', 'Site Map', and 'Help'. The main header features the 'Research.gov' logo and the tagline 'POWERING KNOWLEDGE AND INNOVATION'. A left sidebar contains various navigation links such as 'About Research.gov', 'Who We Are', 'Service Offerings', 'Policy Library', 'Research Spending and Results', 'Partnership Model', 'Partner Agency List', 'Latest News', 'Frequently Asked Questions', 'Apply For Grants', 'Grants.gov', 'NSF FastLane', 'Feedback', and 'Tell Us What You Think'. The main content area is titled 'Research Spending and Results Search' and includes a search form with fields for 'Awardee or Award Information', 'Funds Obligated to Date Between', 'Project Director/Principal Investigator', and 'Award Date Between'. Below the search form, a hint states: 'Hint: The 'The Awardee or Award Information' text field searches for Awardee, Title, ...'. The search results section, titled 'Research Spending and Results', shows '2000 awards found: "space"'. A message indicates that a maximum of 2000 awards are displayed and provides options to 'VIEW ALL' or 'Printable Version'. The results are displayed in a table with columns for Agency, Awardee, Awardee Location, Federal Award ID Number, Funds Obligated to Date, PO/PI Name, Award Title, and Award Date. The table lists three awards: one from NASA to Baylor College Medicine in Houston, TX; one from NASA to Kansas State Univ in Manhattan, KS; and one from NSF to University of Washington in Seattle, WA.

Agency	Awardee	Awardee Location	Federal Award ID Number	Funds Obligated to Date	PO/PI Name	Award Title	Award Date
NASA	BAYLOR COLLEGE MEDICINE	HOUSTON, TX	<a href="#">NCC958</a>	\$256,555,931	sutton, jeff	NATIONAL SPACE BIOMEDICAL RESEARCH INSTITUTE	03/26/1997
NASA	KANSAS STATE UNIV	MANHATTAN, KS	<a href="#">NAG21274</a>	\$995,660	CHAPES, STEPHEN K	DIFFERENTIATION OF BONE MARROW MACROPHAGES IN SPACE-PHASE A	12/28/1998
NSF	UNIVERSITY OF WASHINGTON	SEATTLE, WA	<a href="#">9911036</a>	\$2,461,523	Eriksen, Charles C	Collaborative Research: Incorporation of Sensors into Autonomous Gliders for 4-D Measurement of Bio-Optical and Chemical Parameters	08/27/1999



# Public-facing Services: Policy Library

- Find Federal and agency-specific policies, guidelines and procedures.

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### Policy Library

[Policy Home](#) | [Relevant Statutes](#) | [Regulations](#) | [OMB Circulars & Agency-Specific Policies](#) | [Draft Policies](#) | [Terms & Conditions](#) | [FDP](#) | [Specialized Topics](#) | [OSTP](#) | [BBM](#) | [Science & Engineering Statistics](#) | [Other Resources](#)

#### Policy Library

An electronic library that consolidates Federal and agency-specific policies, guidelines and procedures for use by Federal agencies and the awardee community. Agency-specific documents are included only for Research.gov partner agencies.

#### Relevant Statutes

Relevant statutes applicable to grant programs and grants management initiatives. [MORE](#)

#### Regulations

Government-wide and agency-specific regulations relating to grants and cooperative agreements. [MORE](#)

#### OMB Circulars & Agency-Specific Policies

OMB Circulars and agency-specific policy documents for Research.gov partner agencies. [MORE](#)

#### IGNet Federal Inspectors General

Inspectors General across the US government. Information on IGs, how to handle concerns about or allegations of fraud, waste, abuse or research misconduct as well as the conduct of investigations and audits. Links to other related web sites. [MORE](#)

#### Draft Policies

Links to Government-wide or agency-specific draft grant policies. [MORE](#)

#### Terms & Conditions

Agency-specific and Federal Demonstration Partnership (FDP) terms and conditions that govern grants and cooperative agreements. [MORE](#)

#### Federal Demonstration Partnership

The [Federal Demonstration Partnership](#) (FDP) is a cooperative initiative among federal agencies and institutional recipients of federal funds. It was established to increase research productivity by streamlining the administrative process and minimizing the administrative burden on principal investigators while maintaining effective stewardship of federal funds. A brief history of the FDP, operating procedures, prior approval requirements, and terms and conditions for awards from FDP member federal agencies. [MORE](#)

- [FDP Phase V Solicitation](#)




# Public-facing Services:

## Research News and Events


- View highlighted research activities for NSF, NASA, and USDA/CSREES.

### Headlines

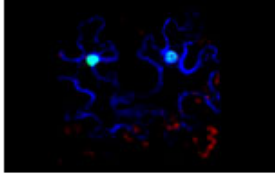
September 03, 2008 [View All Headlines](#)



[Multi-Core Chip Research to Lead to Performance Gains, Power Reduction for High- and Low-End Computing with \\$6 Million Support from NSF, SRC](#)  
**September 02, 2008** - Leaders of the National Science Foundation (NSF) and Semiconductor Research Corporation (SRC), the world's leading university-research consortium for semiconductors and related technologies, today announced a major joint initiative... [More](#)



[Understanding the Science of Solar-Based Energy: More Researchers Are Better Than One](#)  
**August 28, 2008** - With the assistance of a five-year \$20 million award from the National Science Foundation (NSF), the California Institute of Technology (Caltech) Chemical Bonding Center (CBC) project, called "Powering the Planet," [More](#)



[Plant Genetic Transformation Mechanisms Emerge](#)  
**August 04, 2008** - Scientists are unlocking the process of how bacteria transfer genetic material into the plant genome. This information may lead to crop plants with improved resistance to pests and disease. [More](#)



# Beta Services:

## Grants Application Status

- As a researcher or sponsored program office, you can view the status of proposals submitted to NSF and USDA/CSREES

**Coming soon!**  
**Check**  
**Application**  
**Status for Army**  
**Research Office**

Grants Application Dashboard

### Grants Application Dashboard BETA

Show my recent grant applications for  [View all Recent Grant Applications](#)

**Please Note: Grant Application Statuses are updated nightly for NSF and every Friday for CSREES.**

<u>Agency</u>	<u>Agency Tracking Number</u>	<u>PD/PI Name</u>	<u>Legal Name</u>	<u>Grant Application Title</u>	<u>Status</u>	<u>Status Date</u>	<u>Requested Amount</u>
NSF	<a href="#">0810311</a>	Wilkerson, John	U of Washington	DUSEL R&D tow...	Pending...	08/13/2008	\$1,099,991
NSF	<a href="#">0651604</a>	McCallum, Ian	U of Washington	Sit amet...	Pending...	08/13/2008	\$831,338
CSREES	<a href="#">2007-01300</a>	Tester, John	UNIVERSITY OF WASHINGTON	Praesent...	Awarded	05/08/2008	\$119,521
CSREES	<a href="#">2007-01776</a>	Testcase, Donna	UNIVERSITY OF WASHINGTON	Fusce et...	Decline...	05/07/2008	\$995,981



# Beta Services:

## Federal Financial Report

- Complete and submit grant financial reports using the new government-wide standard form.

Federal Financial Report (FFR) Dashboard

### Financial Report Dashboard BETA

---

[Federal Financial Report \(FFR\)](#) : 3 total FFRs  
Requires Your Action (0) | Pending Agency Action (1) | Approved (2)

Federal Financial Report

### Federal Financial Report History BETA

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Adjust Font Size: [A](#) [A](#) [A](#)

3 FFRs found  
PAGE: 1 of 1

<a href="#">Quarter Ending</a>	<a href="#">Status</a>	<a href="#">Required</a>	<a href="#">FFR Type</a>
<a href="#">06/30/2008</a>	Submitted	Required	FFR
<a href="#">12/31/2007</a>	Approved	Required	FFR
<a href="#">09/30/2007</a>	Approved	Required	FFR

Export options:  [Excel](#)





# This is Just the Beginning...

- **More Research.gov services are coming soon:**
  - **InCommon Pilot** – Tool to allow grantees to login to Research.gov using credentials issued by their research institution.
  - **Researcher Profile Update** - Integrated online tool to allow Principal Investigators and Reviewers to update their profile in one easy place.
  - **Research Performance Progress Reports** - Online tool to complete and submit research performance progress reports using the new government-wide research and related dataset.



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**Partnership Model**

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Online tools to help you manage your grants

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COMING SOON. Principal Investigators and Sponsored Research Offices check the status of grant applications, from submission to decision, submitted to NSF and USDA/CSREES. Account Required.

**Institution and User Management**  
COMING SOON. Online tool for Administrators to grants user rights and privileges. Account Required.

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**October 9 - 9, 2008**  
[NSF Day at the University of Alabama in Huntsville](#)  
Outreach

**October 19 - 20, 2008**  
[Center for Advanced Knowledge Abatement IAB Meeting](#)  
Partnership meeting

**December 3 - 4, 2008**  
[CHREC Annual Workshop \(CAW08\)](#)  
Partnership meeting

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