



DHS Exhibit 300 Public Release BY08 (Form) / USSS - Enterprise Financial Management System (TOPS) (2008) (Item)

Form Report, printed by: Administrator, System, Feb 12, 2007

OVERVIEW

General Information

1. Date of Submission:	Sep 14, 2006
2. Agency:	Department of Homeland Security
3. Bureau:	United States Secret Service (USSS)
4. Name of this Capital Asset:	USSS - Enterprise Financial Management System (TOPS) (2008)
Investment Portfolio:	USSS Home Portfolio 2008
5. Unique ID:	024-40-01-01-01-4010-00

(For IT investments only, see section 53. For all other, use agency ID system.)

All investments

6. What kind of investment will this be in FY2008? (Please NOTE: Investments moving to O&M ONLY in FY2008, with Planning/Acquisition activities prior to FY2008 should not select O&M. These investments should indicate their current status.)	Operations and Maintenance
7. What was the first budget year this investment was submitted to OMB?	FY2007
8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap.	Investment 024-40-01-01-01-4010-00 is for steady state operations and maintenance and supports the President's Management Agenda with an emphasis on improving financial performance and the integration of budget with performance. This investment is required to meet critical operational and reporting requirements at the Bureau, Department and government wide levels. The investment has been designated as a Departmental Center of Excellence and supports the closing of a Departmental gap for improving financial performance. The Center of Excellence designation along with an operational analysis indicates that the investment is meeting or exceeding expectations.
9. Did the Agency's Executive/Investment Committee approve this request?	Yes
9.a. If "yes," what was the date of this approval?	May 31, 2006
10. Did the Project Manager review this Exhibit?	Yes
12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project.	Yes
12.a. Will this investment include electronic assets (including computers)?	Yes
12.b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)	No
12.b.1. If "yes," is an ESPC or UESC being used to help fund this investment?	
12.b.2. If "yes," will this investment meet sustainable design principles?	
12.b.3. If "yes," is it designed to be 30% more energy efficient than relevant code?	
13. Does this investment support one of the PMA initiatives?	Yes
If "yes," select the initiatives that apply:	

Human Capital

Budget Performance Integration

Financial Performance

Yes

Expanded E-Government	
Competitive Sourcing	
Faith Based and Community	
Real Property Asset Management	
Eliminating Improper Payments	
Privatization of Military Housing	
R and D Investment Criteria	
Housing and Urban Development Management and Performance	
Broadening Health Insurance Coverage through State Initiatives	
Right Sized Overseas Presence	
Coordination of VA and DoD Programs and Systems	

13.a. Briefly describe how this asset directly supports the identified initiative(s)?
 TOPS is an integrated financial system that provides for more efficient and effective business processes. Our ability to provide timely and useful data is directly related to TOPS and the four day close. Our contribution results in a green score for USSS and directly supports the efforts of DHS to achieve a green for improved financial performance.

14. Does this investment support a program assessed using OMB's Program Assessment Rating Tool (PART)?
 Yes

14.a. If "yes," does this investment address a weakness found during the PART review?
 No

14.b. If "yes," what is the name of the PART program assessed by OMB's Program Assessment Rating Tool?
 USSS – Protective Intelligence

14.c. If "yes," what PART rating did it receive?
 Effective

15. Is this investment for information technology (See section 53 for definition)?
 Yes

For information technology investments only:

16. What is the level of the IT Project (per CIO Council's PM Guidance)?
 Level 1

17. What project management qualifications does the Project Manager have? (per CIO Council's PM Guidance)
 (1) Project manager has been validated as qualified for this investment

18. Is this investment identified as "high risk" on the Q4 - FY 2006 agency high risk report (per OMB's "high risk" memo)?
 No


19. Is this a financial management system?
 Yes

19.a. If "yes," does this investment address a FFMA compliance area?
 No

19.a.1. If "yes," which compliance area:
 19.a.2. If "no," what does it address?
 This investment is for operations and maintenance of TOPS an integrated financial system.

19.b. If "yes," please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A-11 section 52.

20. What is the percentage breakout for the total FY2008 funding request for the following? (This should total 100%)

Area	Percentage	
Hardware	8.00	
Software	22.00	
Services	70.00	
Other	0.00	
Total	100.00	

21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?
 N/A

23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?
 Yes

SUMMARY OF FUNDING

SUMMARY OF SPENDING FOR PROJECT PHASES (In Millions)

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The total estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

All amounts represent Budget Authority

	PY-1 & Earlier	PY	CY	BY
	-2005	2006	2007	2008
Planning:				
Budgetary Resources	0.330	0.000	0.000	0.000
Acquisition:				
Budgetary Resources	9.400	0.000	0.000	0.000
Subtotal:				
Budgetary Resources	9.730	0.000	0.000	0.000
Maintenance:				
Budgetary Resources	4.280	3.800	3.800	6.075
TOTAL, All Stages				
Budgetary Resources	14.010	3.800	3.800	6.075
Government FTE Cost	0.000	0.000	0.000	0.000
# of FTEs	0.00	0.00	0.00	0.00
Total, BR + FTE Cost	14.010	3.800	3.800	6.075

Note: For the cross-agency investments, this table should include all funding (both managing partner and partner agencies).

Government FTE Costs should not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE's?

No

2.a. If "yes," how many and in what year?

3. If the summary of spending has changed from the FY2007 President's budget request, briefly explain those changes.

Summary changed due to implementation of DHS eTravel initiative.