



DHS Exhibit 300 Public Release BY08 (Form) / USCIS - Central Index System (CIS) (2008) (Item)

Form Report, printed by: Administrator, System, Feb 12, 2007

OVERVIEW

General Information

1. Date of Submission:	Sep 1, 2006
2. Agency:	Department of Homeland Security
3. Bureau:	Citizenship and Immigration Services (CIS)
4. Name of this Capital Asset:	USCIS - Central Index System (CIS) (2008)
Investment Portfolio:	CIS Home Portfolio 2008
5. Unique ID:	024-30-01-08-01-3010-00

(For IT investments only, see section 53. For all other, use agency ID system.)

All investments

6. What kind of investment will this be in FY2008?
(Please NOTE: Investments moving to O&M ONLY in FY2008, with Planning/Acquisition activities prior to FY2008 should not select O&M. These investments should indicate their current status.)

Operations and Maintenance

7. What was the first budget year this investment was submitted to OMB?

FY2002

8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap.

The Central Index System (CIS) is a legacy Immigration and Naturalization Service (INS) system that became operational in 1985 and was designed to support INS (now US Citizenship and Immigration Services (USCIS) CIS under the Department of Homeland Security (DHS)) records management needs to collect, store, and disseminate automated biographical and historical information related to individuals of interest to the agency. CIS was designed to replace the old Master Index system. CIS supports the Program Assessment Rating Tool (PART) program, Immigration Services. CIS currently provides information to organizations granting benefits and capturing subsequent status changes; documents chain of custody for enforcement; provides immigrant statistics and controls, and accounts for records keeping services in compliance with the Code of Federal Regulations (CFR) to certify the existence or non-existence of records. Additionally, CIS contains information on the status of over 55 million individuals, including permanent residents, naturalized citizens, border crossers, apprehended aliens, legalization aliens; aliens issued employment authorization documents (EAD) cards.

9. Did the Agency's Executive/Investment Committee approve this request?

Yes

9.a. If "yes," what was the date of this approval?

Sep 1, 2006

10. Did the Project Manager review this Exhibit?

Yes

12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project.

Yes

12.a. Will this investment include electronic assets (including computers)?

Yes

12.b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)

No

12.b.1. If "yes," is an ESPC or UESC being used to help fund this investment?

12.b.2. If "yes," will this investment meet sustainable design principles?

12.b.3. If "yes," is it designed to be 30% more energy efficient than relevant code?

13. Does this investment support one of the PMA initiatives?

Yes

If "yes," select the initiatives that apply:

Human Capital	Yes
Budget Performance Integration	Yes
Financial Performance	Yes
Expanded E-Government	Yes
Competitive Sourcing	No
Faith Based and Community	No
Real Property Asset Management	No
Eliminating Improper Payments	No
Privatization of Military Housing	No
R and D Investment Criteria	No
Housing and Urban Development Management and Performance	No
Broadening Health Insurance Coverage through State Initiatives	No
Right Sized Overseas Presence	No
Coordination of VA and DoD Programs and Systems	No

13.a. Briefly describe how this asset directly supports the identified initiative(s)?

USCIS will assess CIS quarterly for conformance with DHS and federal regulatory guidance. CIS provides integrated budgeting/performance/accounting data at the program level for timely feedback; provide adjudicators/law enforcement/and other agencies with data for making decisions that support DHS' mission. Although a positive return on investment, modernization of the system adds more management reports; eliminate paying contractors for ad hoc reports; decrease processing time/operational costs.

14. Does this investment support a program assessed using OMB's Program Assessment Rating Tool (PART)?

Yes

14.a. If "yes," does this investment address a weakness found during the PART review?

No

14.b. If "yes," what is the name of the PART program assessed by OMB's Program Assessment Rating Tool?

USCIS - Immigration Services

14.c. If "yes," what PART rating did it receive?

Moderately Effective

15. Is this investment for information technology (See section 53 for definition)?

Yes

For information technology investments only:

16. What is the level of the IT Project (per CIO Council's PM Guidance)?

Level 3

17. What project management qualifications does the Project Manager have? (per CIO Council's PM Guidance)

(1) Project manager has been validated as qualified for this investment

18. Is this investment identified as "high risk" on the Q4 - FY 2006 agency high risk report (per OMB's "high risk" memo)?

No

19. Is this a financial management system?

No

19.a. If "yes," does this investment address a FFMIA compliance area?

19.a.1. If "yes," which compliance area:

19.a.2. If "no," what does it address?

19.b. If "yes," please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A-11 section 52.

20. What is the percentage breakout for the total FY2008 funding request for the following? (This should total 100%)

Area	Percentage	
Hardware	0.00	
Software	0.00	
Services	100.00	
Other	0.00	
Total	100.00	★

21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?

N/A

23. *Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?*

Yes

SUMMARY OF FUNDING

SUMMARY OF SPENDING FOR PROJECT PHASES (In Millions)

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The total estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

All amounts represent Budget Authority

	PY-1 & Earlier	PY	CY	BY
	-2005	2006	2007	2008
Planning:				
Budgetary Resources	0.360	0.400	0.150	0.005
Acquisition:				
Budgetary Resources	0.110	0.000	0.000	0.000
Subtotal:				
Budgetary Resources	0.470	0.400	0.150	0.005
Maintenance:				
Budgetary Resources	14.850	2.212	2.278	2.346
TOTAL, All Stages				
Budgetary Resources	15.320	2.612	2.428	2.351
Government FTE Cost	0.660	0.250	0.260	0.270
# of FTEs	0.00	0.00	0.00	0.00
Total, BR + FTE Cost	15.980	2.862	2.688	2.621

Note: For the cross-agency investments, this table should include all funding (both managing partner and partner agencies).

Government FTE Costs should not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE's?

No

2.a. If "yes," how many and in what year?

3. If the summary of spending has changed from the FY2007 President's budget request, briefly explain those changes.