



DHS Exhibit 300 Public Release BY08 (Form) / USCG - Core Accounting System (CAS) (2008) (Item)

Form Report, printed by: Administrator, System, Feb 12, 2007

OVERVIEW

General Information

1. Date of Submission:	Sep 1, 2006
2. Agency:	Department of Homeland Security
3. Bureau:	United States Coast Guard (USCG)
4. Name of this Capital Asset:	USCG - Core Accounting System (CAS) (2008)
Investment Portfolio:	USCG Home Portfolio 2008
5. Unique ID:	024-60-01-01-01-6104-00

(For IT investments only, see section 53. For all other, use agency ID system.)

All investments

6. What kind of investment will this be in FY2008?

(Please NOTE: Investments moving to O&M ONLY in FY2008, with Planning/Acquisition activities prior to FY2008 should not select O&M. These investments should indicate their current status.)

Operations and Maintenance

7. What was the first budget year this investment was submitted to OMB?

FY2001 or earlier

8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap.

CAS is the core accounting system for USCG, Transportation Security Agency (TSA) and Federal Air Marshall Service (FAMS), and hosts a suite of applications at USCG Finance Center in Chesapeake, VA. The CAS suite includes the following applications: CAS (Oracle COTS product that includes Accounts Receivable, Assets, Projects, Inventory, Accounts Payable, Purchasing, and General Ledger); Sunflower (property management system used by TSA and FAMS); Finance and Procurement Desktop (FPD) (enterprise-wide accounting and procurement system assigned to assist in funds and procurement management); Workflow Imaging Network System (WINS) (imaging and document processing system feeding CAS); Contract Information Management System (CIMS) (contracts management feeding CAS). In previous OMB Exhibit 300 submissions, USCG reported CAS and FPD separately (Sunflower and WINS were not reported at all). Now USCG reports CAS as a suite of applications, inclusive of CAS, Sunflower, FPD, WINS and CIMS. CAS services over 2,400 units and commands across USCG, TSA and FAMS. The application suite processes reimbursement claims for 100,000 personnel, invoices and payments to thousands of commercial vendors, manages inventories, provides updated budget information and generates regulatory reports. CAS handles these critical services accurately and on time even during times of crisis, having provided relief workers from USCG, TSA and FAMS with uninterrupted paychecks, travel claims and expense processing during the 2005 Hurricane Katrina crisis. Continued maintenance and enhancement of CAS supports DHS financial consolidation initiatives by providing a state-of-the-art, centralized financial system, thus lowering financial costs for DHS and the taxpayer.

9. Did the Agency's Executive/Investment Committee approve this request?

Yes

9.a. If "yes," what was the date of this approval?

Aug 30, 2005

10. Did the Project Manager review this Exhibit?

Yes

12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project.

Yes

12.a. Will this investment include electronic assets (including computers)?

Yes

12.b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)

No

12.b.1. If "yes," is an ESPC or UESC being used to help fund this investment?

12.b.2. If "yes," will this investment meet sustainable design principles?

12.b.3. If "yes," is it designed to be 30% more energy efficient than relevant code?

13. Does this investment support one of the PMA initiatives?

Yes

If "yes," select the initiatives that apply:

Human Capital	
Budget Performance Integration	Yes
Financial Performance	Yes
Expanded E-Government	Yes
Competitive Sourcing	
Faith Based and Community	
Real Property Asset Management	
Eliminating Improper Payments	
Privatization of Military Housing	
R and D Investment Criteria	
Housing and Urban Development Management and Performance	
Broadening Health Insurance Coverage through State Initiatives	
Right Sized Overseas Presence	
Coordination of VA and DoD Programs and Systems	

13.a. Briefly describe how this asset directly supports the identified initiative(s)?

CAS supports PMA initiatives by integrating procurement, contracting, and financial, project and performance management functions enabling DHS to execute business processes more effectively and efficiently than the previous stand-alone systems. The consolidation of IT investment functions in to one system provides decision makers with rapid access to comprehensive financial data. CAS promotes information sharing across TSA and FAMS, meeting performance goals and increasing DHS productivity.

14. Does this investment support a program assessed using OMB's Program Assessment Rating Tool (PART)?

Yes

14.a. If "yes," does this investment address a weakness found during the PART review?

Yes

14.b. If "yes," what is the name of the PART program assessed by OMB's Program Assessment Rating Tool?

USCG - Ports Waterways and Coastal Security

14.c. If "yes," what PART rating did it receive?

15. Is this investment for information technology (See section 53 for definition)?

Yes

For information technology investments only:

16. What is the level of the IT Project (per CIO Council's PM Guidance)?

Level 2

17. What project management qualifications does the Project Manager have? (per CIO Council's PM Guidance)

(2) Project manager qualification is under review for this investment

18. Is this investment identified as "high risk" on the Q4 - FY 2006 agency high risk report (per OMB's "high risk" memo)?

No

19. Is this a financial management system?

Yes

19.a. If "yes," does this investment address a FFMIA compliance area?

Yes

19.a.1. If "yes," which compliance area:

Efficiency & Economy

19.a.2. If "no," what does it address?

19.b. If "yes," please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A-11 section 52.

CAS

FPD

WINS

20. What is the percentage breakout for the total FY2008 funding request for the following? (This should total 100%)

Area	Percentage	
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Hardware	5.00	
Software	10.00	
Services	85.00	
Other	0.00	
Total	100.00	★

21. *If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?*

No

23. *Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?*

No

SUMMARY OF FUNDING

SUMMARY OF SPENDING FOR PROJECT PHASES (In Millions)

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The total estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

All amounts represent Budget Authority

	PY-1 & Earlier	PY	CY	BY
	-2005	2006	2007	2008
Planning:				
Budgetary Resources	1.000	0.000	0.000	0.000
Acquisition:				
Budgetary Resources	56.400	0.000	0.000	0.000
Subtotal:				
Budgetary Resources	57.400	0.000	0.000	0.000
Maintenance:				
Budgetary Resources	10.660	8.960	8.960	8.960
TOTAL, All Stages				
Budgetary Resources	68.060	8.960	8.960	8.960
Government FTE Cost	0.000	4.030	4.050	4.080
# of FTEs	0.00	0.00	0.00	0.00
Total, BR + FTE Cost	68.060	12.990	13.010	13.040

Note: For the cross-agency investments, this table should include all funding (both managing partner and partner agencies).

Government FTE Costs should not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE's?

No

2.a. If "yes," how many and in what year?

3. If the summary of spending has changed from the FY2007 President's budget request, briefly explain those changes.