

DHS Exhibit 300 Public Release BY08 (Form) / PREP - Protected Critical Infrastructure Information Program (2008) (Item)



Form Report, printed by: Administrator, System, Feb 12, 2007

OVERVIEW

General Information

1. Date of Submission:	Jun 15, 2004
2. Agency:	Department of Homeland Security
3. Bureau:	Preparedness (PREP)
4. Name of this Capital Asset:	PREP - Protected Critical Infrastructure Information Program (2008)
Investment Portfolio:	PREP IP Home 2008
5. Unique ID:	024-65-01-04-01-9505-00

(For IT investments only, see section 53. For all other, use agency ID system.)

All investments

6. What kind of investment will this be in FY2008?

(Please NOTE: Investments moving to O&M ONLY in FY2008, with Planning/Acquisition activities prior to FY2008 should not select O&M. These investments should indicate their current status.)

Acquisition

7. What was the first budget year this investment was submitted to OMB?

FY2006

8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap.

The PCII Program is a Congressionally mandated program that increases government access to Critical Infrastructure Information (CII) that has historically not been available from the private sector. The CII Act of 2002 established a statutory exemption to FOIA for CII voluntarily shared with DHS and requires the establishment of uniform procedures for receipt, care, secure storage, and sharing of this information with Federal, State, and local entities to protect the nation's critical infrastructures. The Final Rule on Procedures for Handling Critical Infrastructure Information is codified at 6 CFR Part 29. The PCII Program Office, established in 2004, fulfills the requirements and mission mandated in the CII Act and 6 CFR Part 29. The Fiscal Year Homeland Security Program (FYHSP) covers costs for the entire program, which supports a distributed architecture of managing PCII, while providing protection for the submitted material. Without the information this program provides, the government would be unaware of critical vulnerabilities and would be unable to adequately respond to an incident. This could lead to enormous costs associated with the disruption, preemption, or destruction of critical infrastructure, as well as personal injuries and loss of lives. Since an estimated 85 percent of the nation's critical infrastructure is managed by the private sector, failure to implement the PCII Program and its essential elements would result in the government's inability to receive and access information about the nation's critical infrastructure.

9. Did the Agency's Executive/Investment Committee approve this request?

Yes

9.a. If "yes," what was the date of this approval?

Apr 14, 2006

10. Did the Project Manager review this Exhibit?

Yes

12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project.

Yes

12.a. Will this investment include electronic assets (including computers)?

Yes

12.b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)

No

12.b.1. If "yes," is an ESPC or UESC being used to help fund this investment?

12.b.2. If "yes," will this investment meet sustainable design principles?

12.b.3. If "yes," is it designed to be 30% more energy efficient than relevant code?

13. Does this investment support one of the PMA initiatives?

Yes

If "yes," select the initiatives that apply:

Human Capital	Yes
Budget Performance Integration	Yes
Financial Performance	
Expanded E-Government	
Competitive Sourcing	Yes
Faith Based and Community	
Real Property Asset Management	
Eliminating Improper Payments	
Privatization of Military Housing	
R and D Investment Criteria	
Housing and Urban Development Management and Performance	
Broadening Health Insurance Coverage through State Initiatives	
Right Sized Overseas Presence	
Coordination of VA and DoD Programs and Systems	

13.a. Briefly describe how this asset directly supports the identified initiative(s)?

Program supports citizen-centered government. Small cadre of Federal employees is skilled, diverse, accountable for performance results. Supports GPRA, FFMIA, and OMB A-11, focusing on performance/ results. Aligned to DHS goals/objectives. Supports FAIR Act, & minimalization of workforce; work outsourced at 76%. Contractors supported initial efforts; as Program matures, % shifts downward, providing continuity and solid knowledge base. Contracts have been openly competed.

14. Does this investment support a program assessed using OMB's Program Assessment Rating Tool (PART)?

No

14.a. If "yes," does this investment address a weakness found during the PART review?

14.b. If "yes," what is the name of the PART program assessed by OMB's Program Assessment Rating Tool?

14.c. If "yes," what PART rating did it receive?

15. Is this investment for information technology (See section 53 for definition)?

Yes

For information technology investments only:

16. What is the level of the IT Project (per CIO Council's PM Guidance)?

Level 3

17. What project management qualifications does the Project Manager have? (per CIO Council's PM Guidance)

(2) Project manager qualification is under review for this investment

18. Is this investment identified as "high risk" on the Q4 - FY 2006 agency high risk report (per OMB's "high risk" memo)?

No

19. Is this a financial management system?

No


19.a. If "yes," does this investment address a FFMIA compliance area?

19.a.1. If "yes," which compliance area:

19.a.2. If "no," what does it address?

19.b. If "yes," please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A-11 section 52.

20. What is the percentage breakout for the total FY2008 funding request for the following? (This should total 100%)

Area	Percentage	
Hardware	0.00	
Software	0.00	
Services	100.00	
Other	0.00	
Total	100.00	

21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?

N/A

23. *Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?*

Yes

SUMMARY OF FUNDING

SUMMARY OF SPENDING FOR PROJECT PHASES (In Millions)

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The total estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

All amounts represent Budget Authority

	PY-1 & Earlier	PY	CY	BY
	-2005	2006	2007	2008
Planning:				
Budgetary Resources	3.871	0.000	1.000	0.000
Acquisition:				
Budgetary Resources	3.886	6.440	2.000	1.701
Subtotal:				
Budgetary Resources	7.757	6.440	3.000	1.701
Maintenance:				
Budgetary Resources	0.000	1.871	6.440	1.918
TOTAL, All Stages				
Budgetary Resources	7.757	8.311	9.440	3.619
Government FTE Cost	3.640	2.740	2.740	1.449
# of FTEs	18.00	12.00	12.00	12.00
Total, BR + FTE Cost	11.397	11.051	12.180	5.068

Note: For the cross-agency investments, this table should include all funding (both managing partner and partner agencies).

Government FTE Costs should not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE's?

Yes

2.a. If "yes," how many and in what year?

6 in FY08

3. If the summary of spending has changed from the FY2007 President's budget request, briefly explain those changes.

None