



DHS Exhibit 300 Public Release BY08 (Form) / CBP - Automated Targeting System (ATS) Maintenance (2008) (Item)

Form Report, printed by: Administrator, System, Feb 9, 2007

OVERVIEW

General Information

| | |
|--------------------------------|---|
| 1. Date of Submission: | Dec 29, 2006 |
| 2. Agency: | Department of Homeland Security |
| 3. Bureau: | Security, Enforcement and Investigations (SEI) |
| 4. Name of this Capital Asset: | CBP - Automated Targeting System (ATS) Maintenance (2008) |
| Investment Portfolio: | CBP - OFO 2008 |
| 5. Unique ID: | 024-50-01-03-01-5052-00 |

(For IT investments only, see section 53. For all other, use agency ID system.)

All investments

| |
|---|
| 6. What kind of investment will this be in FY2008? (Please NOTE: Investments moving to O&M ONLY in FY2008, with Planning/Acquisition activities prior to FY2008 should not select O&M. These investments should indicate their current status.) |
| Operations and Maintenance |
| 7. What was the first budget year this investment was submitted to OMB? |
| FY2001 or earlier |
| 8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap. |
| The Automated Targeting System (ATS) is an Intranet-based enforcement and decision support tool that is the cornerstone for all Customs and Border Protection's (CBP) targeting efforts. CBP uses ATS to improve the collection, use, analysis, and dissemination of information to target, identify, and prevent potential terrorists and terrorist weapons from entering the United States and identify other violations and violators of U.S. law. ATS enables CBP Officers to focus their efforts on travelers and cargo shipments that most warrant further attention. |
| 9. Did the Agency's Executive/Investment Committee approve this request? |
| Yes |
| 9.a. If "yes," what was the date of this approval? |
| Jul 29, 2005 |
| 10. Did the Project Manager review this Exhibit? |
| Yes |
| 12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project. |
| Yes |
| 12.a. Will this investment include electronic assets (including computers)? |
| Yes |
| 12.b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only) |
| No |
| 12.b.1. If "yes," is an ESPC or UESC being used to help fund this investment? |
| 12.b.2. If "yes," will this investment meet sustainable design principles? |
| 12.b.3. If "yes," is it designed to be 30% more energy efficient than relevant code? |
| 13. Does this investment support one of the PMA initiatives? |
| Yes |
| If "yes," select the initiatives that apply: |

| | |
|--------------------------------|-----|
| Human Capital | Yes |
| Budget Performance Integration | |
| Financial Performance | |
| Expanded E-Government | Yes |

| | |
|---|--|
| Competitive Sourcing | |
| Faith Based and Community | |
| Real Property Asset Management | |
| Eliminating Improper Payments | |
| Privatization of Military Housing | |
| R and D Investment Criteria | |
| Housing and Urban Development Management and Performance | |
| Broadening Health Insurance Coverage through State Initiatives | |
| Right Sized Overseas Presence | |
| Coordination of VA and DoD Programs and Systems | |

13.a. Briefly describe how this asset directly supports the identified initiative(s)?

The time ATS saves its users supports the Human Capital initiative. ATS maximizes available resources thereby supporting a higher performing workforce.

ATS supports Expanded E-Government by providing internal electronic Government services that improve the efficiency and effectiveness of targeting processes and improve security of international shipments and passengers.

14. Does this investment support a program assessed using OMB's Program Assessment Rating Tool (PART)?

Yes

14.a. If "yes," does this investment address a weakness found during the PART review?

No

14.b. If "yes," what is the name of the PART program assessed by OMB's Program Assessment Rating Tool?

CBP - Border Inspection Technology

14.c. If "yes," what PART rating did it receive?

Effective

15. Is this investment for information technology (See section 53 for definition)?

Yes

For information technology investments only:

16. What is the level of the IT Project (per CIO Council's PM Guidance)?

Level 3

17. What project management qualifications does the Project Manager have? (per CIO Council's PM Guidance)

(2) Project manager qualification is under review for this investment

18. Is this investment identified as "high risk" on the Q4 - FY 2006 agency high risk report (per OMB's "high risk" memo)?

No

19. Is this a financial management system?

No


19.a. If "yes," does this investment address a FFMI compliance area?

19.a.1. If "yes," which compliance area:

19.a.2. If "no," what does it address?

19.b. If "yes," please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A-11 section 52.

20. What is the percentage breakout for the total FY2008 funding request for the following? (This should total 100%)

| Area | Percentage | |
|--------------|---------------|---|
| Hardware | 20.00 | |
| Software | 10.00 | |
| Services | 70.00 | |
| Other | 0.00 | |
| Total | 100.00 |  |

21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?

N/A

23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?

No

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|---------------------------|
| SUMMARY OF FUNDING |
|---------------------------|

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|---|
| SUMMARY OF SPENDING FOR PROJECT PHASES (In Millions) |
|---|

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The total estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

All amounts represent Budget Authority

| | PY-1 & Earlier | PY | CY | BY |
|-----------------------------|----------------|--------|--------|--------|
| | -2005 | 2006 | 2007 | 2008 |
| Planning: | | | | |
| Budgetary Resources | 0.400 | 0.000 | 0.000 | 0.000 |
| Acquisition: | | | | |
| Budgetary Resources | 34.232 | 2.931 | 0.000 | 0.000 |
| Subtotal: | | | | |
| Budgetary Resources | 34.632 | 2.931 | 0.000 | 0.000 |
| Maintenance: | | | | |
| Budgetary Resources | 50.392 | 24.428 | 27.298 | 27.018 |
| TOTAL, All Stages | | | | |
| Budgetary Resources | 85.024 | 27.359 | 27.298 | 27.018 |
| Government FTE Cost | 5.020 | 0.963 | 1.263 | 1.574 |
| # of FTEs | 10.00 | 7.00 | 9.00 | 11.00 |
| Total, BR + FTE Cost | 90.044 | 28.322 | 28.561 | 28.592 |

Note: For the cross-agency investments, this table should include all funding (both managing partner and partner agencies).

Government FTE Costs should not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE's?

Yes

2.a. If "yes," how many and in what year?

7 additional FTE's are anticipated to be hired in FY 2008. These FTE's represent CBP Officers who will support CBP's most critical cargo targeting requirement.

3. If the summary of spending has changed from the FY2007 President's budget request, briefly explain those changes.

The summary of spending has changed because this investment now reflects 1) the consolidation of ATS Land consistent with the applications progression to operations and maintenance and 2) revisions to DHS's Future Years Homeland Spending Plan (FYHSP). The summary of spending continues to align with the Future Years Homeland Spending Plan (FYHSP) for ATS and the Systems for Targeting PPA (Program, Project, or Activity).