

1 to let Paul Beatty go through these, but the  
2 questionnaires, the medication deferral lists, the  
3 donor educational materials were evaluated by these  
4 individuals, and the questions were probed in a  
5 one-on-one laboratory setting with a trained  
6 interviewer to determine whether or not the  
7 questions were understandable and what revisions  
8 should be made.

9 [Slide.]

10 Now, I am not going to go through every  
11 single change that was made to every single  
12 question because we will be here until 10 o'clock  
13 tonight if we do that. I know that we enjoy each  
14 other's company, but perhaps not that much. But  
15 the committee members do have copies of all the  
16 questions, the revisions that were made, and the  
17 rationale for each one.

18 So, I am going to go over some basic  
19 features, some key features of the full-length  
20 questionnaire.

21 First of all, the questions all start out  
22 with a time-bounded approach. This gives the donor  
23 a frame of reference. "In the past [however long]  
24 have you done this or that?" "Between the years of  
25 X and Y, have you done this or that?"

1           The time frames we put into chronological  
2 order. In the current questionnaire, they are not,  
3 they are all over the map and requires basically  
4 the donors to engage in what I like to refer to as  
5 "mental time travel," which can be very confusing.  
6 So, the questions now are in chronological order  
7 from the most recent, which is 48 hours for aspirin  
8 use or something that has aspirin in it, to have  
9 you ever.

10                   [Slide.]

11           We also used something called "capture  
12 questions." You might also refer to these as wide  
13 net or umbrella questions which have already been  
14 used for many years to screen donors, and it is a  
15 standard screening tool.

16           You want to throw out a wide net, so that  
17 you can identify donors to which that information  
18 applies, but also to which it doesn't apply. If  
19 the information doesn't apply, the donors can move  
20 on. It's a nice streamlining tool. If the donor  
21 says yes to a capture question, then, there are a  
22 series of additional questions that have to be  
23 asked to find out what part of that information  
24 applies.

25           It is very useful for a number of things -

1 medications, travel, and so forth.

2 The user brochure, which I will be  
3 discussing shortly, contains suggested follow-up  
4 questions for those instances in which a donor  
5 replies yes to a capture question, and the specific  
6 follow-up questions, though, could be spelled out  
7 individually by blood centers in their standard  
8 operating procedures.

9 [Slide.]

10 Here are two capture questions that are  
11 already in use. No. 14 on the AABB questionnaire.  
12 We have used this for many years. "In the past 3  
13 years have you been outside the United States or  
14 Canada?" The purpose of this question is to  
15 identify individuals who might have traveled in an  
16 area where they could have been exposed to malaria.

17 We felt this was a great question. We  
18 couldn't think of a better way to reword it. We  
19 kept it.

20 Question 30A. This is to identify people  
21 who may have been exposed to HIV Group O. This is  
22 how it currently reads, but we felt we really want  
23 to know if they have been in Africa. That is the  
24 capture information. So, we asked them, and we  
25 have changed this, we will ask them: "Have you

1 ever been in Africa?"

2 If they say no, it takes care of travel,  
3 it takes care of residence. You can move on to the  
4 next item. But if they say yes, then, they are  
5 asked, "Did you travel there, did you live there?"

6 So, this is just an example of capture  
7 questions and how they are used.

8 [Slide.]

9 We have also embedded within the full-  
10 length questionnaire, some quality assurance tools.  
11 The purpose of these are to assess if the donor is  
12 paying attention, what I call are so-called  
13 surprise questions. The donor really is expecting  
14 to answer "no" to a lot of these, but if you have  
15 something in there where they have to say "yes,"  
16 perhaps if they answer "no" to it inappropriately,  
17 then, the staff can pick up on that and probe with  
18 them further on, is it truly relevant information  
19 that they are trying to give or were they not  
20 paying attention.

21 Another kind of quality assurance tool is  
22 that the gender-based questions require specific  
23 responses from a member of the opposite sex, to  
24 there are at least three questions in the full-  
25 length questionnaire that are gender specific. It



1 starts out "Female donors, have you X, Y, Z," and  
2 there is a little set of instructions in  
3 parentheses that say "male donors check no."

4 They are not allowed to leave it blank,  
5 they are not allowed to write "not applicable." If  
6 they do those things, we have to ask, one, were  
7 they not following instructions, or, two, weren't  
8 they paying attention.

9 So, these are the kinds of things that are  
10 in the questionnaire for determining if the donor  
11 is with it, if you will, or with us.

12 [Slide.]

13 Now, there are many medications listed on  
14 the medication list, specific medications, most of  
15 which are FDA-deferrable medications. This has  
16 cluttered the questionnaire and also made it more  
17 difficult as questions need to be asked for blood  
18 center to incorporate that information.

19 [Slide.]

20 Question No. 10 actually is the question  
21 that deals with medications. I have just  
22 abbreviated them for you. They are actually full-  
23 length questions, a proper question with a noun and  
24 verb.

25 What we have determined is another

1 approach may be to simply say to the donor, "Please  
2 read the Medication Deferral List," which I will  
3 show you in a second. "Have you ever taken any  
4 medications on the Medication Deferral List?"

5 [Slide.]

6 Again, the committee has a copy of this,  
7 but this is what the Medical Deferral looks like,  
8 and the donor is expected to go down and look at  
9 each of these items. If they haven't taken any of  
10 them, they say "no," the screener moves on. If  
11 they say "yes," which medication, when did you take  
12 it?

13 If it is clear that the donor is not  
14 giving the medication list its due, the donor  
15 glances at it, says "no," it is up to the screener  
16 to give the list back to the donor and ask them to  
17 review it carefully. This is not something we want  
18 them to blow off.

19 So, that is the full-length questionnaire.  
20 We did not set out with a specific number of  
21 questions what we wanted it to have. It turns out  
22 that it has 48 questions, which actually is a  
23 little more than the number of numbered questions  
24 on the current questionnaire, but as you look at  
25 the full-length questionnaire, I think you can

1 appreciate and compared to the AABB, the current  
2 version, that is much simpler and the wording is  
3 much easier to understand.

4 [Slide.]

5 I would like to say a few words now about  
6 the abbreviated questionnaire for frequent donors.

7 Currently, a donor has to answer every  
8 question at every donation including things that  
9 never could have been repeated, for instance, if  
10 they had ever taken Human Derived Growth Hormone.  
11 Well, that product was not available after the  
12 early 1980s. Why do you have to keep asking that  
13 question of a donor every time they donate when, if  
14 they have said no, and it was an accurate answer,  
15 it's over, it's done.

16 I would like to go to the next slide and  
17 then come back to this one, if I could, please.

18 [Slide.]

19 What the abbreviated questionnaire does is  
20 that it integrates the FDA parameters. Elizabeth  
21 Callaghan has given a number of very helpful talks  
22 on what the FDA expectations were for an  
23 abbreviated questionnaire, things like what do you  
24 do if a blood center accidentally administers an  
25 abbreviation questionnaire to a donor who should

1 have had the full-length questionnaire, how do you  
2 handle new questions. These are examples of some  
3 of the issues that the FDA wanted us to address and  
4 we have. The committee has that.

5           Also, to define a frequent donor, and this  
6 is someone who has donated at least twice. One of  
7 those donations had to have been within the past  
8 six months, and both donations, at least two  
9 donations, the donor had to have been screened  
10 using the full-length questionnaire.

11           We chose twice because we feel that  
12 screening is an educational process. If they have  
13 been through the full-length questionnaire at least  
14 twice--and I can tell you there are millions of  
15 donors out there who have been through the full-  
16 length questionnaire now many, many, many times--if  
17 they have been through it at least twice, they have  
18 gotten the gist of the kind of information that we  
19 are seeking.

20           Now, if we could go back. Thank you.

21           [Slide.]

22           So, what the abbreviated questionnaire  
23 does is it eliminates the non-repeatable event  
24 questions, and it identifies recent changes, that  
25 is, since their last donation, which could not have

1 been any longer than six months ago, in their  
2 health, travel, and behavior, and this is where we  
3 are able to pare out a number of the questions that  
4 appear on the full-length questionnaire.

5 It obviously retains questions about risk-  
6 associated activities that are relevant, it uses  
7 capture questions, it is in a time-bounded format.

8 Basically, the abbreviated questionnaire  
9 now has 27 questions on it, and this is a  
10 significant difference from the full-length  
11 questionnaire with its 48 questions on it.

12 [Slide.]

13 The pre-screening donor educational  
14 materials. The idea of providing donors with  
15 materials before they donate originated in 1984  
16 because there was not an HIV assay available, so  
17 donors were given written materials that contained  
18 the HIV signs and symptoms, and risk information.

19 The goal was to get the donor to self-  
20 defer or at least get them to ask the blood center  
21 staff about these things, so they would know  
22 whether or not to be deferred.

23 The educational materials in use by blood  
24 donors currently include all of this information  
25 plus information about new and potential risks, and

1 information about the donation process, but we had  
2 some concerns about the educational materials.

3 First of all, they are not uniform within  
4 the U.S. Now, blood centers do include the FDA  
5 required information on the educational materials,  
6 but there is a great deal of variability. We felt  
7 that there needed to be more emphasis on accuracy  
8 and honesty in the donor, so the second paragraph  
9 in the educational materials hits them with that  
10 please provide us with information that is honest  
11 and accurate as possible.

12 We tried to answer questions for donors,  
13 what is sex. Now, we will get to that in a second.

14 [Slide.]

15 Therefore, we have a new emphasis on  
16 accuracy and honesty, an emphasis on encouraging  
17 donors to ask questions. We feel this has to be a  
18 two-way interactive process, that we needed to  
19 define sexual contact, because in about 20 percent  
20 of the questions, there is a question about sexual  
21 contact or sex, and we know from the survey of  
22 design literature or survey literature, that people  
23 have very differing views of what constitutes sex.

24 For instance, in a 1998 JAMA article  
25 published by Sanders, et al., there was clearly 50

1 percent of donors or of respondees did not think  
2 that oral sex constituted sex. We know that poses a  
3 risk for transmission of a number of agents.

4 A fifth of the respondents did not think  
5 that anal sex was sex, and there are a number of  
6 other studies out there that we looked at, and, of  
7 course, our former president, Bill Clinton, I think  
8 helps to drive home this point. So, we do have  
9 definitions of sex listed.

10 We decided to go with medical jargon. To  
11 try to use street terms, we thought just would be  
12 really pushing the envelope especially with the  
13 blood drives to high schools where there are 17-  
14 and 18-year-olds although they probably know a lot  
15 more than the rest of us.

16 We are also recommending that the  
17 educational materials be standardized, that the  
18 blood centers can add to them, but they cannot  
19 rearrange the current format, and they cannot  
20 delete anything.

21 [Slide.]

22 The user brochures. These are brochures  
23 that have been designed to help blood centers and  
24 donor screeners learn how to use the new materials.  
25 There is one for the full-length questionnaire and

1 one for the abbreviated version.

2 It explains the concept of capture  
3 questions, which I think many blood centers already  
4 understand because they have been using them for  
5 years, but for a new screener, this is helpful  
6 information, and it offers suggested follow-up  
7 questions to any affirmative answers for capture  
8 questions.

9 [Slide.]

10 In terms of administration around the  
11 U.S., currently there is significant variation.  
12 The American Red Cross, with FDA approval in 1998,  
13 began to use the self-administered questionnaire.  
14 There was one question at the end asked by staff in  
15 order to ascertain whether the donor had any  
16 questions or there was anything they didn't  
17 understand.

18 In some centers, the donor uses the pencil  
19 or the pen and paper approach, answers the  
20 questions, answers the questions, and blood bank  
21 staff will either ask all of the questions again or  
22 just selected questions. In some blood centers,  
23 they just ask questions orally of donors. So,  
24 there is a fairly significant degree of variation.

25 [Slide.]



1           We are recommending that the full-length  
2 and abbreviated screening questionnaires be self-  
3 administered by blood donors for the following  
4 reasons.

5           [Slide.]

6           1. Survey literature shows that people  
7 are less likely to disclose personal information in  
8 a face-to-face interview versus a self-administered  
9 questionnaire.

10           2. The NCHS cognitive evaluations and the  
11 entire process was geared toward a self-  
12 administered questionnaire with the input and buy-  
13 in of our survey design experts, so we are  
14 recommending a self-administered questionnaire.

15           [Slide.]

16           Now, one of the issues that we grappled  
17 with was how we get the word out to our  
18 constituents, blood centers, and donor screeners  
19 about what we are doing, and how do we know that  
20 they support this process.

21           First of all, we included what we felt  
22 were the key people, and we have discussed that  
23 already, but starting with the FDA. Alan actually  
24 has done this, so I won't reiterate the FDA  
25 involvement, but there were two representatives

1 ultimately who communicated informally with CBER.  
2 This was presented to the BPAC last year, and then  
3 we incorporated the input that CBER provided last  
4 fall.

5 [Slide.]

6 America's Blood Centers, the American Red  
7 Cross, American Association of Blood Banks had  
8 numerous meetings, conference calls, web postings,  
9 publications in which the task force activity was  
10 well chronicled, and in all of those, there were  
11 requests for feedback, which was provided.

12 [Slide.]

13 American Association of Blood Banks  
14 especially was very proactive in doing this.

15 [Slide.]

16 As Chair of the task force, I got to  
17 travel and see interesting and unusual places to  
18 take the gospel, if you will, of the task force, or  
19 mantra at least--gospel is probably not a good  
20 word--but our mantra at multiple national meetings,  
21 meetings in California. California is important  
22 because it collects 8 percent of the blood, and it  
23 was the Blood Centers of California that developed  
24 the first Uniform Donor Screen Questionnaire, so  
25 thereby and in particular we felt it was important.

1           The goal of my presentation was, first of  
2 all, to discuss our activities, but also to use the  
3 bully pulpit to get feedback about what we were  
4 doing.

5           [Slide.]

6           Finally, we also went to the blood  
7 screeners themselves. It was a small study, but  
8 yielded very important information. We went to  
9 five different blood centers and had 13 screeners  
10 evaluate the new materials for their usability,  
11 user friendliness, comprehension, and because these  
12 are the people who are on the front line  
13 interviewing donors, to try to get an idea from  
14 them how they felt the donors would respond to the  
15 materials.

16           We provided the data to CBER, and the  
17 ratings indicated a very, very positive response to  
18 the new materials, very high ratings, and most of  
19 the screeners who looked at these materials felt  
20 that they were a significant improvement over what  
21 they were currently using.

22           [Slide.]

23           In terms of how we are going to get the  
24 word out after the FDA, with the input of BPAC,  
25 publishes its guidance or endorsement, or however

1 it will communicate, puts its imprimatur on the  
2 products, the AABB will use its publications and  
3 web site to inform members about the final  
4 products, will make the materials available, will  
5 use the resources at its disposal to work with  
6 blood centers in implementing the new products.

7 [Slide.]

8 Blood centers will be responsible for  
9 obtaining and familiarizing themselves with the new  
10 materials, for developing their standard operating  
11 procedures to go with the new questionnaires, for  
12 training their staff, and assessing competency, for  
13 educating blood donors about the new approach,  
14 because this is very, very new, and have staff  
15 available to assist donors.

16 These are our thoughts about how the  
17 implementation should proceed.

18 [Slide.]

19 So, just to kind of wrap up, the documents  
20 that were submitted to the FDA, and which the  
21 committee now has before it, are the full-length  
22 questionnaire, the Medication Deferral List, which  
23 is the companion document to the full-length  
24 questionnaire, an abbreviated version for frequent  
25 donors, the donor educational materials, and the

1 user brochures for the questionnaires.

2 [Slide.]

3 I just want to once again emphasize the  
4 task force really was breaking new ground here in  
5 terms of donor screening. This is really the first  
6 time that appropriate approaches for evaluating the  
7 questions, on writing them had ever been used, and  
8 we hope that this is an approach that will continue  
9 to be used by the FDA and the AABB as they develop  
10 new questions, and that considering that questions  
11 that went into the original AABB questionnaire had  
12 not undergone field testing, did not, by and large,  
13 have the input of survey design expertise, that  
14 what the task force is putting before you today  
15 represents a significant and major improvement.

16 [Slide.]

17 I would like to thank the many task force  
18 members and after two years of hard work, I think  
19 they deserve to have their names read out loud, and  
20 I will do it in microwave version - Paul Beatty,  
21 John Boyle, Mary Chamberland, Linda Chambers,  
22 JoAnne Chiavetta, Judy Ciaraldi, Ken Clark, Kay  
23 Gregory, Jan Hamilton, Debbie Kessler, Steve  
24 Kleinman, Trish Landry, Sharyn Orton, Terry Perlin,  
25 Mary Townsend, Steve Vamvakas, Donna Whittaker,

1 Alan Williams, and Anita Winters.

2 Special thanks I would like to give to Dr.  
3 Sharyn Orton, who conducted the focus groups,  
4 George Nemo, who made the funding possible for the  
5 cognitive evaluation, Paul Beatty and John Boyle,  
6 who were our survey design experts, and to Kay  
7 Gregory and Anita Winters, who provided all the  
8 administrative and logistical support and hand-  
9 holding to get us through this project.

10 I would like to thank the committee for  
11 their interest, for their attention, and this  
12 concludes my presentation. Thank you.

13 [Applause.]

14 DR. NELSON: Thank you. It sounds like  
15 you did a lot of work on this.

16 Questions or comments?

17 DR. ALLEN: One comment, one question.

18 This is fabulous, long overdue. I really applaud  
19 the process that was used and the way in which you  
20 have approached it. Fortunately, we got the  
21 materials enough in advance that we had a chance to  
22 look through, read, and review them, and I think  
23 they are fabulous.

24 My question. Was anything off limits?

25 DR. FRIDEY: Well, we would all love a

1 questionnaire that only had five questions on it,  
2 but the question is which ones do you eliminate.  
3 We did go to the FDA and ask if there were any  
4 specific items that we could drop, and the FDA felt  
5 that at this point in time, that we should retain  
6 all the FDA-recommended or required items, so we  
7 did, we did retain those.

8 DR. ALLEN: In the exact format or were  
9 you allowed to recommend changes?

10 DR. FRIDEY: Oh, no, we absolutely had the  
11 FDA buy in to revise those questions, to reword  
12 them, yes, absolutely.

13 DR. NELSON: I hate to bring up specific  
14 questions, but I have one, which is No. 46, had  
15 sexual contact with anyone who was born in or lived  
16 in Africa, and there is something about travel to  
17 Africa.

18 If that relates to Subgroup O, I thought  
19 the FDA had required that screening tests be  
20 sensitive to Subgroup O, and I wondered why that's  
21 in there.

22 DR. FRIDEY: I will let Jay answer that.

23 DR. EPSTEIN: We have not yet approved an  
24 HIV screening test with validated sensitivity for  
25 Group O. We have encouraged manufacturers to

1 submit supplements or new tests, new original  
2 submissions, but that process has been slow. There  
3 are such tests in the pipeline, but we are not  
4 there yet.

5 DR. NELSON: So we can drop that question  
6 as soon as you license it.

7 DR. EPSTEIN: Well, that has been our  
8 thinking.

9 DR. NELSON: Maybe you could drop it now.

10 DR. EPSTEIN: Well, we could revisit that.  
11 I mean when we took the position that the screens  
12 should be sensitive to Group O, it was based on the  
13 perception that there might be an expanding new  
14 epidemic, it was after the first two cases were  
15 identified in the U.S.

16 It is my understanding that there have not  
17 been any subsequent cases identified in the U.S.,  
18 but it is also the case that surveillance may not  
19 have been kept at the same level. So, I mean we  
20 could revisit that question, but I think it is fair  
21 to--

22 DR. NELSON: If the tests aren't sensitive  
23 or if the tests that are being used are not, then,  
24 I certainly agree with the question, but it was my  
25 understanding that they were sensitive.



1 DR. EPSTEIN: The existing tests are  
2 incompletely sensitive, and they do vary.

3 DR. NELSON: Yes.

4 DR. LEW: I don't know if you are the one  
5 to ask this question, but if you could clarify. In  
6 the information in our packet, it did mention the  
7 studies that suggested a one-on-one interview was  
8 better to look at HIV risk factors, and I also  
9 understand though, indeed, that under the privacy  
10 of looking at questions yourself, that other  
11 studies have shown that you are more likely to tell  
12 the truth.

13 It wasn't very clear to me, though, how  
14 you all decided in the end to go with the studies  
15 just saying it is better to do it in privacy rather  
16 than looking again at the issue of doing it one-on-  
17 one, when those studies did clearly say it seemed  
18 to be more helpful.

19 DR. FRIDEY: First of all, I want to thank  
20 you for reading the materials, it is clear that you  
21 did. That's great. This is a study that was done  
22 at a blood center by a blood banker. It was  
23 published 10 years ago. With all respect to the  
24 author, those kinds of findings have not been  
25 reproduced in general survey literature.

1           It was observed in that study that, in  
2 general, first-time donors, people who donate that  
3 frequently were more likely than frequent donors to  
4 pay attention to a self-administered questionnaire,  
5 so the authors of that paper did, in fact, make  
6 that comment.

7           There was another study that was later  
8 done and felt that even though there was face-to-  
9 face interviewing, that they did not observe that  
10 the overall decline in HIV seroprevalence was  
11 significant, and said, in fact, that the decrease  
12 in HIV seroprevalence was likely not attributable  
13 even to direct questioning.

14           So, given the fact that there is a very,  
15 vary large body of literature, survey design  
16 literature, which we gave some references from  
17 directly and, in fact, cited in the project, and  
18 the fact that none of these have substantiated the  
19 10-year-old study out of a blood center, we felt  
20 that it was appropriate to recommend a self-  
21 administered questionnaire.

22           DR. NELSON: I understand that the CASI,  
23 the questionnaire is read, I mean there is oral  
24 administration of the question and then computer  
25 answers, might be better than a personal interview,

1 but how do you deal with the issue of literacy  
2 among the donor population where somebody might  
3 check answers yes or no, but really not actually be  
4 able to read the questionnaire, and marginal  
5 literacy is perhaps more frequent in U.S.  
6 populations than is thought?

7 DR. FRIDEY: Well, we could hold up a sign  
8 that says, "Can you read this?"

9 DR. NELSON: If somebody said, "Yes" --

10 DR. FRIDEY: I am being a little tongue in  
11 cheek there. That was a joke. My father used to  
12 say, "There are always two floor shows, one to tell  
13 it and one to explain it."

14 That is an issue that I think we have to  
15 struggle with. I think that when eventually blood  
16 centers get to computer-assisted interviewing,  
17 there will be an audio portion. The video portion  
18 will be terrific for donors who are hearing  
19 impaired. There will be the capability for  
20 multiple languages. That's a long way to say I  
21 think that is probably an issue that has to be  
22 worked out, and our feeling is at this point that  
23 the blood centers should develop an approach for  
24 determining whether someone can really sit down and  
25 go through the questionnaire and answer it

1 themselves based on their literacy level or whether  
2 or not the blood center should administer it.

3 DR. NELSON: This questionnaire will be in  
4 a couple of languages, certainly Spanish and maybe  
5 Chinese?

6 DR. FRIDEY: The task force members are  
7 all looking forward to a long retirement somewhere  
8 in the South Pacific, but there already are  
9 approaches out there, and many blood centers have  
10 translated their current materials into Spanish and  
11 have used validation approaches for that, so we  
12 would just suggest that blood centers do the same  
13 for this.

14 MS. KESSLER: Debbie Kessler, New York  
15 Blood Center. I was on the task force.

16 About the literacy question, Joy was  
17 describing how you could pick up on problems a  
18 person would have in answering the questions based  
19 on the answer patterns, and you could always  
20 administer it orally if you did have somebody who  
21 couldn't read the questionnaire.

22 DR. FITZGERALD: Joy, this is really  
23 great. I just had one question on the disclaimer  
24 on what happens to your donation. How do we  
25 address the AABB standard that we have to inform

1 the donor that their blood sample may not be  
2 tested, is that done at the local level or is that  
3 going to be included?

4 DR. FRIDEY: That would be at the local  
5 level, and that actually is an issue that the  
6 Standards Committee is going to take up because it  
7 has continued to be a troubling one.

8 DR. HOLLINGER: Excellent, and I am glad  
9 we had all this information given to us to read.

10 One thing that wasn't on there, though, is  
11 the educational material, the pre-screening, if you  
12 will. Was there something on there? I guess I  
13 didn't see the pre-screening educational material.  
14 Sorry about that. That is one thing I didn't see,  
15 because I think that is really critical because  
16 there is where a lot of the perhaps self-referrals.

17 I see a reasonable number of patients who  
18 have had hepatitis, for example, hepatitis C, who  
19 are found to be positive and obviously when we talk  
20 to them, have histories of injection drug use, and  
21 so on, that obviously have not responded to the  
22 question.

23 A lot of that is because this concern  
24 about putting an X or having an X put in a box,  
25 that is going to be there for a long period of time

1 on a record about this, have you ever had sex with  
2 a prostitute or a variety of other things. I mean  
3 people don't like to see that. It is one thing  
4 they don't like to have on there even though they  
5 might answer it otherwise.

6 We often have to just tell many of them  
7 who come in, look, I don't have to put it in your  
8 chart, I am not going to put it there, but it's  
9 important for us in terms of talking to you about a  
10 variety of other things, at which time many of them  
11 will say, well, okay, you know, and then they will  
12 give that piece of information.

13 I have been impressed with that particular  
14 difficulty in answering these kind of behavioral  
15 questions. Sometimes you could get that out in the  
16 educational material, which really explains why  
17 these questions are important about even once, and  
18 things like this, so they could self-defer ahead of  
19 time.

20 But that is the only concern I have with  
21 some of the questions is that they do have some  
22 powerful things that keep people from answering, I  
23 think, honestly.

24 DR. FRIDEY: I agree, and the survey  
25 literature does, in fact, support that, and if you

1 are talking about methods of administration, that  
2 is something that the donor sits down and does  
3 themselves, they are more likely to answer  
4 truthfully than if it's in a face-to-face format.

5 We do try to assure donors that the  
6 information is confidential. That is getting to be  
7 a tougher sell, I think, these days, but blood  
8 centers have to have systems that are secure and  
9 do, and communicate that to blood donors, so that  
10 they will feel more comfortable answering these  
11 kinds of questions.

12 DR. NELSON: Thank you.

13 DR. FRIDEY: Thank you very much.

14 DR. NELSON: Paul Beatty.

15 **UDHQ Cognitive Studies**

16 DR. BEATTY: I sort of walked into this  
17 process kind of like in the middle of the session,  
18 so I think it was really nice to have the  
19 introduction that Alan and Joy provided.

20 I am actually going to now talk about  
21 something a lot smaller than the whole scope of  
22 things that they talked about, a pretty small part  
23 of the process although an intensive one, and only  
24 about one major product really. The questionnaire  
25 itself is what I am going to spend most of my time

1 talking about.

2           You will also notice as I go through this  
3 that I will be talking more about what we found  
4 that wasn't so great rather than what was good, and  
5 that is not because that was the overwhelming stuff  
6 that we found. Actually, we found that the  
7 evidence was very positive about this instrument  
8 and about the questionnaire.

9           The idea behind cognitive testing is  
10 really to poke and prod and push this thing until  
11 we find out what goes wrong with it and where it  
12 breaks, so that is going to be more what I am going  
13 to be talking about.

14           Fortunately, the things that we found are  
15 generally pretty fixable.

16           [Slide.]

17           The task force, at the point that I came  
18 in on this had already revised the questionnaire  
19 based on a review of regulations, principles, the  
20 questionnaire design, information from focus  
21 groups, and all that, but the evaluation stage that  
22 we came in had to address some remaining questions,  
23 and we had to figure out, well, what is the best  
24 way to evaluate, how easy this thing is to  
25 understand, what is the quality of material that it



1 generates, what is the validity of the responses,  
2 and all that, and what the task force decided to do  
3 was employ cognitive interviewing.

4 At the National Center for Health  
5 Statistics, this is what our group does full time,  
6 evaluate survey questionnaires primarily to find  
7 out the strengths and weaknesses of each of them,  
8 but what is cognitive interviewing?

9 [Slide.]

10 It is a process that has been developed  
11 about 15 years ago where questionnaire design  
12 specialists conduct one-on-one interviews with  
13 people who are typical respondents of a  
14 questionnaire.

15 They administer the questionnaire as it  
16 originally appears, so mode is an important factor  
17 there. This was given as self-administered  
18 instrument, so we had the people that participated  
19 in our study fill it out by themselves first.

20 Then, the investigative part is where we  
21 probe the interpretation of the answers and what  
22 they think questions mean. That helps to explore  
23 various things - comprehension problems, difficulty  
24 of what they are trying to recall, various response  
25 biases, inappropriate answer categories although

1 that wasn't so much of a concern with this one  
2 because the answer categories were basically yes  
3 and no, so they seemed to work pretty well.

4           It is not the only technique that is used  
5 to develop questionnaires and to evaluate their  
6 quality. Focus groups have been mentioned, and  
7 they can play an important role. Actually field  
8 pre-tests of questionnaires that are exactly the  
9 same as someone going through the process without  
10 this intensive probing can also be important.

11           But this technique seems to work best when  
12 you are at sort of middle point, where you have the  
13 basic content figured out, but there are still some  
14 tweaking of the actual wording that needs to be  
15 developed. So, it's sort of something that falls  
16 in the middle.

17           [Slide.]

18           Let's make it a little more specific.  
19 This is one of the questions that we looked at.  
20 "In the past 12 months, have you had sexual contact  
21 with a person who has had hepatitis?"

22           They would answer that question by  
23 themselves first. Then, we would use probes to  
24 explore several possible things, like how do people  
25 interpret what we mean by sexual contact. We would

1 use probes to explore whether they know anyone who  
2 has hepatitis, what sort of contact did they have  
3 with this person, what time frame they are thinking  
4 about while they are answering, to basically take  
5 their answer that they give us, which is a short  
6 yes or no, and then get a more expanded, long-term  
7 answer that we use to evaluate the validity.

8           So, when we get either a yes or a no, this  
9 longer and extended narrative is what we use to  
10 evaluate the quality of what they have given us.

11           The interviews are tape recorded, they are  
12 very textually rich, transcribed, and they are  
13 analyzed largely qualitatively, although some  
14 quantitative techniques can be used with certain  
15 caveats that I will get to in a minute.

16           [Slide.]

17           These interviews are pretty labor-  
18 intensive. We worked on this for about six months  
19 of actually interviewing and analyzing these data  
20 with a fairly small sample. We only talked with 35  
21 people, which is about the same or maybe slightly  
22 larger than most of the studies that we do.

23           Now, the participants are selected, so  
24 that they are relevant to the topic of interest,  
25 but you shouldn't take that to mean that we are

1 considering this to be a representative sample in  
2 any sense.

3           We are not trying to infer the exact  
4 extent of a problem in some population. What we  
5 are trying to do, instead, is to understand what is  
6 likely to be a problem and then to develop a basis  
7 for understanding why that is likely to be a  
8 problem.

9           The extent is something that you really  
10 have to go somewhere else to figure out, but we can  
11 usually, when we do this properly, figure out what  
12 it is exactly that is going on in people's minds,  
13 and then point that back to something that is wrong  
14 with the question itself.

15           [Slide.]

16           The people we talk to in this study, we  
17 did it in three rounds where we had a chance to  
18 actually conduct about 12 interviews per round, and  
19 then regroup, rethink what we learned, and then  
20 talked to some different people.

21           The first round, we decided to go for  
22 people who had never donated blood, but were  
23 eligible to do so as far as they knew. Hopefully,  
24 that group is representative--representative is a  
25 funny word--but those people are common of the type

1 of people who would be first-time users of this  
2 questionnaire, they have no experience with it,  
3 they are relatively naive.

4           Some of these terms, concepts, and ideas,  
5 they have never seen before. The whole process  
6 might seem alien to them. So, we want to get them  
7 really kind of on the ground floor. The problem  
8 with that is that it misses a lot of things. In an  
9 evaluation of this type, we get a lot of people who  
10 answer the questions "no," because a lot of the  
11 things we are asking about are quite rare.

12           We want to get also people who answer the  
13 questions "yes," because if you only are evaluating  
14 the veracity of "no" responses, you are really  
15 missing a big part of the puzzle. So, that is kind  
16 of what the second round is all about.

17           We looked for people who had been actually  
18 deferred from donating whole blood on at least one  
19 occasion.

20           Then, the third category after that was  
21 sort of a catch-all to fill in the gaps. We has  
22 some evidence or some reasons to believe that  
23 younger participants might be interpreting some of  
24 the questions differently. We weren't sure that we  
25 had really adequately hit people how had lower

1 education levels, so we wanted to fill in some gaps  
2 there, and we also used the third round to address  
3 the quality of the abbreviated version of the  
4 questionnaire.

5 [Slide.]

6 Thirty-five total interviews came out 12,  
7 12, and 11 per round, and what did we learn?

8 [Slide.]

9 One of the real challenges of this  
10 instrument, I think, is that it has to balance  
11 thoroughness and simplicity. On the one hand, you  
12 need the questions to be not so simple that they  
13 are open to misinterpretation because that way,  
14 they could fail to stimulate memories, but  
15 questions that are overly thorough, even though  
16 they might address all these sources of ambiguity,  
17 could be tedious and may reduce the overall  
18 motivation. What do you do to balance that out?

19 [Slide.]

20 Well, it is important to keep in mind that  
21 many of the nuances that questions are really  
22 designed to get at, like hitting a definition  
23 really hard to make sure they understand exactly  
24 what it means, for example, clotting factor  
25 concentrates only apply to a very small number of

1 people, so if you are making a question that is for  
2 the, I don't know, let's just say 1 percent that  
3 that applies to, you are really forcing everyone  
4 else to be dragged through this process that can be  
5 quite long and involving.

6 One alternative that you have is you have  
7 to remember that this questionnaire is unlike a  
8 survey questionnaire in a lot of ways. It doesn't  
9 have to stand entirely by itself. It can be  
10 supplemented by the pre-screening materials, the  
11 educational stuff, and also are guidelines for  
12 people who ask questions that there can be  
13 additional information to help them clarify, like,  
14 well, I might have had clotting factor  
15 concentrates, but I am not sure. If you provide  
16 staff at centers with definitional guidance, that  
17 is perhaps way and a more efficient way to help  
18 them clarify what they are getting at.

19 [Slide.]

20 Burden is something that a lot of survey  
21 questionnaire designers completely fail to think  
22 about, and it was actually a very serious concern  
23 in all the deliberations of this task force, which  
24 is I think really to their credit.

25 [Slide.]

1           Sometimes we found that there were  
2 attempts to make the questions a little too  
3 compact, that had actual larger ramifications. One  
4 example was feeling well as opposed to a question  
5 that said feeling healthy and well. Well, that  
6 seems like that is basically the same thing, but  
7 when you interview people in depth, you find that  
8 when you just say "well," a lot of them think you  
9 are talking about a more holistic sense of their  
10 mental and possibly physical well-being, but they  
11 don't always focus in on what you are really  
12 thinking about.

13           Now, I am not sure that that actually  
14 means that someone would say if they had the flu,  
15 that they are feeling well, because they are in a  
16 good state of mind, but it opened up a little  
17 ambiguity that didn't really need to be there.  
18 Just by adding the word "healthy," you could make a  
19 lot of that go away. So, this sort of minor  
20 tweaking really helped to make a difference.

21           Another issue, terms "even once," there  
22 was talk about taking them out. A lot of people  
23 said, well, you know, the question was clear  
24 enough, I didn't need that to clarify it for me,  
25 you know, it was kind of insulting, and so on.



1 Actually, though, "even once" can be important for  
2 a small group of people because that is sort of an  
3 out that people take.

4           You know, I might have done this sort of  
5 thing at one time, but that is not what I am  
6 anymore, that is not what I am all about, that is  
7 not the way I think, that is not the way I am, and  
8 therefore, they think that is the larger truth, and  
9 they can use that as a basis to justify their  
10 answer of no, I never did that, because admitting  
11 that you did it once, that would be kind of not  
12 really indicative of what they are all about.

13           So, it really doesn't make a lot of sense  
14 to take that out. The burden that it creates is  
15 really not significant. Keeping it in there  
16 actually can make a definite improvement. We have  
17 seen plenty of evidence in the social sciences that  
18 people do make such inferences about what they can  
19 get away with because it doesn't really apply to  
20 them.

21           So, the principle, dropping a few words  
22 sometimes doesn't significantly reduce the burden,  
23 but can create complications. There are times when  
24 that is not the right approach.

25           [Slide.]

1 Other examples of minor tweaking of  
2 wording. This was one example where just a few  
3 minor changes, I mean the question was basically  
4 all right, but a simple fix could have a little bit  
5 of an impact.

6 "In the past four weeks, have you had any  
7 shots or vaccinations?" It is not that it is not  
8 clear, but sometimes it seemed like people thought  
9 too much about vaccination and really failed to  
10 think about other things that could qualify as  
11 shots.

12 One person was very remarkable in this  
13 case. They had actually had a shot of cortisone in  
14 their foot on the way to the interview where we  
15 talked to them, and answered the question "no," and  
16 only in probing in depth, well, wait a minute, you  
17 mentioned that you just had a shot, and that was  
18 this morning, doesn't that count? And they are  
19 like, oh, my gosh, I was thinking totally about  
20 vaccinations, which you can see sort of why that  
21 would happen. It talks about shots or  
22 vaccinations. That kind of looms large in the  
23 brain, overwhelms potentially other interpretations  
24 that you might have of that.

25 Again, maybe these are not huge things to

1 have lost, but you never know, and it is easy to  
2 solve this problem. The principle that you invoke  
3 to fix it is that you say, well, "vaccinations or"  
4 and then you give this other half of it more  
5 weight, "any other kind of shot," emphasizing that  
6 there are really multiple ways this could come into  
7 play. It is a simple fix, it really costs you  
8 nothing, and it has the potential to solve a  
9 definitely identified error.

10 [Slide.]

11 Some of the questions that were originally  
12 on the instrument were quite compounded, linking  
13 many, many things, and so all the questions that we  
14 dealt with were much shorter that we actually  
15 tested, but some of them still had some compounded  
16 things that didn't make a whole lot of sense.

17 "Had an ear or skin piercing including  
18 acupuncture." When you are trying to get a  
19 question that is easy for people to answer and has  
20 a really appropriate frame of reference that you  
21 don't have to scan their entire memories, but can  
22 think about something quite specific to come up  
23 with an answer, this is a little too much.

24 I mean a tongue piercing and acupuncture  
25 are not in the same universe of activities, and

1 people pointed that out to us. Even more so in the  
2 next question that we have. "Had an accidental  
3 needlestick or come into contact with someone  
4 else's blood." One participant remarked, you know,  
5 "Oh, my God, you are talking about cleaning my  
6 granddaughter's knee and stepping on a hypodermic  
7 needle in the same question," like what's that all  
8 about.

9           Are the consequences of doing this really  
10 severe? Probably not for a large group of people,  
11 but it can seem strange, and strangeness has  
12 another problem, as well, because it really has  
13 sort of a subtle and insidious impact on how  
14 seriously they take the whole process.

15           If you are asking questions that are just  
16 absurd, they think you don't really know what you  
17 are talking about, and they don't take you as  
18 seriously. You can also get them from a purely  
19 cognitive standpoint to have their attention  
20 gravitate towards one aspect or the other. So,  
21 they hear skin piercing, they don't hear  
22 acupuncture.

23           Again, maybe acupuncture is not that  
24 important, but if it is, you might as well separate  
25 it out. The same thing with accidental

1 needlestick, coming into contact with someone  
2 else's blood, we thought was probably worth a  
3 question of its own.

4 DR. NELSON: Just as an aside, we took out  
5 acupuncture at the last meeting.

6 DR. BEATTY: But you get the principle  
7 anyway.

8 DR. NELSON: We have simplified the  
9 question.

10 DR. BEATTY: Good principle, good thing to  
11 do.

12 By the way, these are all minor things.

13 This is kind of some good news. False  
14 positives are really much more common than false  
15 negatives, which is really in the direction that  
16 you would hope to find things. All the pushing and  
17 prodding and trying to find mistakes that we could  
18 come up with generated a few false positives and  
19 very few false negatives.

20 The false positives that we did come up  
21 with really fell into two categories, one, more  
22 conceptual, and the other involving time frame and  
23 dating.

24 An example of a conceptual false positive  
25 is, "Have you taken aspirin or anything that has

1 aspirin in it." We had a lot of reports of people  
2 bringing in ibuprofen, acetaminophen, things that  
3 if you have a follow-up with them at all are not  
4 that difficult to find out that that is what they  
5 are talking about.

6 In the time frame example, it is more  
7 about a process called "forward telescoping" where  
8 something that actually occurred longer ago than  
9 the reference period you are talking about, somehow  
10 gets imported into the time frame you are talking  
11 about.

12 So, say, for example, 14 months ago this  
13 happened. You say "yes" to the question. Why does  
14 that happen? Well, it is really the same thing for  
15 both. When you have people think through this  
16 question and what it is getting at, they scan their  
17 memory for anything that seems to be relevant and  
18 anything that could possibly apply to it, and  
19 things that are close, but not quite there can get  
20 tagged. They don't literally go back in time until  
21 they hit the 12 months period and then say, oh,  
22 that's enough, I am going to stop. They think for  
23 events usually first and then try to date them. If  
24 they are close, they get stuck in the memory and  
25 sometimes get reported.

1           The same thing for the conceptual stuff.  
2 Did I have anything? Well, they probably think  
3 medications first and pain relievers, oh, yeah I  
4 did have one of those, it was acetaminophen.  
5 Again, with even a minuscule amount of follow-up,  
6 that's not that difficult to find that that sort of  
7 thing is happening, and much more common than the  
8 alternative, which is what we would like.

9           [Slide.]

10           Sometimes in spite of our best efforts, it  
11 is not really a problem with the question, but  
12 people just have incomplete knowledge. "Have you  
13 come into contact with the blood or saliva of a  
14 person who has hepatitis?"

15           Well, the thought process that someone  
16 might go through to answer a question such as that  
17 is, first, to think broadly, do I know anybody who  
18 has hepatitis, and they might say "no" at that  
19 point and then that is simple, they don't really  
20 worry about the other nuances of the question,  
21 that's enough for them to make the judgment  
22 necessary to answer, or maybe they do, and they  
23 don't think they have had that type of contact, and  
24 that is where they have to kind of work through the  
25 implications of the exact wording a little bit

1 more.

2           But sometimes they just don't know. I  
3 mean, well, yeah, I do have a friend with  
4 hepatitis. Did we have any saliva contact? Well,  
5 I mean they are over at my house a lot. We have  
6 parties. We share wine glasses perhaps. It is  
7 just hard to tell, so they make the best estimated  
8 guess that they can.

9           One thing they do is they bring in a sort  
10 of an assessment of potential risk, and they think,  
11 well, what is the real chance that this could have  
12 happened.

13           Another example is had sex with a male who  
14 has ever had sex with another male. They can make  
15 general assumptions about what they think their  
16 partner is like. Like one person said, oh, I am  
17 sure that he had never had sex with another male,  
18 he is the most homophobic person of all, but  
19 sometimes that doesn't tell you necessarily  
20 anything.

21           So, you have to realize realistically what  
22 you are getting. You are getting reasonable  
23 inferences about what is likely to have been the  
24 case, not a total 100 percent accurate screening of  
25 everything that could have ever happened to them.



1 [Slide.]

2 Some concepts we found were just  
3 inherently difficult. Joy invoked the name of Bill  
4 Clinton on this one, and many of our participants  
5 did also. When you talk about sex, what does it  
6 mean, intercourse or other activities such as oral  
7 sex?

8 It was very clear in talking to people  
9 that their definitions of what "have sex" included  
10 varies quite a bit. Some included things other than  
11 intercourse, and some people didn't, but the  
12 tendency, and it was probably more so this way than  
13 we expected, was for people to be inclusive.

14 The reason that they did is because they  
15 thought, well, pragmatically, I know what you are  
16 trying to find out, you are trying to find out  
17 about risk. I recognize that this is a screening  
18 instrument, and if there is any doubt, it should be  
19 included in there.

20 There were exceptions, some of which we  
21 saw for sure happening and others that we just  
22 realized could happen. It could be that young  
23 people think differently, and there are also some  
24 people who would reject that whole argument I just  
25 made because they would say that oral sex is not

1 risky, to therefore, it is not of any concern to  
2 you, it is not of any concern to this question.  
3 So, there is some potential room for error there.

4 Note, though, that most people don't have  
5 to go through that big debate with themselves about  
6 what the meaning of "have sex" is. A lot of people  
7 we talked to said, "I didn't even think about it, I  
8 haven't had any sexual contact with anyone in over  
9 a year."

10 Other people said, "Well, I have been  
11 married for 20 years, and I am pretty confident we  
12 have both been faithful, so I didn't even really  
13 think about it. Whether oral sex counts or not is  
14 totally irrelevant to me." There is a more global  
15 sort of judgment that is invoked instead, but for  
16 some people that is not the case, and you have to  
17 sort of work with them.

18 [Slide.]

19 Sometimes the problems are not entirely  
20 with the wording of the question, but can do it the  
21 way they are presented visually. We didn't use  
22 what was in any sense a final version of the way  
23 this instrument should look. We did a mock-up of  
24 it ourselves, and sometimes the way that we mocked  
25 it up had some problems.

1           For example, the time frame was often  
2 forgotten. We had people answer questions that  
3 were in a long series invoking things that happened  
4 in the last 12 months, like had a tattoo applied,  
5 and they would answer yes, not because they had had  
6 a tattoo in the past 12 months, but because they  
7 had had one 10 years ago.

8           The point there is that you can have  
9 perfect questions, but there is still another step.  
10 You have to make sure it looks appropriate and in a  
11 way that is easy for them to make sense of. They  
12 are going to use the organization of it physically  
13 and visually to make sense of it and understand the  
14 details. So, that is an important step that  
15 shouldn't be ignored.

16           [Slide.]

17           I mentioned that one of the things we were  
18 trying to do was get people who answer both yes and  
19 no to some questions. That is hard to do. You  
20 can't really ask people these questions in advance  
21 to screen them or you have kind of blown the  
22 question before they even get into the room.

23           You have to have people who are hearing  
24 the question kind of for the first time. That is  
25 largely what the point of this thing is. On the

1 other hand, it is hard to get people to get enough  
2 variety in all these answers.

3           So, what can we do? To do a really high  
4 end, ultimate gold standard validity test, what you  
5 would do is find people that you knew from some  
6 other source for sure fill in some of these  
7 categories, like you know that they have Chagas'  
8 disease, but they don't know that you know that, so  
9 that way you get them.

10           You know in advance they should answer  
11 yes, and then you can evaluate the quality of their  
12 answer. In most cases, that is simply not feasible  
13 to do. That would be extremely expensive,  
14 extremely time-consuming.

15           At some point, it would be great if people  
16 actually did that. In lieu of that, we have  
17 devised a sort of towards the end of the study,  
18 something that might help a little bit, the use of  
19 vignettes that sort of artificially expand the  
20 variety of experiences that people have to think  
21 about while answering.

22           Here is one example. Kim has a boyfriend  
23 who has used a needle to inject illegal drugs at  
24 least once. They have not had sexual intercourse,  
25 although they have had oral sex together. I am

1 trying to paint a picture of someone that is kind  
2 of on the cusp, playing on their ambiguities, maybe  
3 this should be a yes, maybe this should be a no,  
4 what do you think. It might help us understand  
5 more about the way people are interpreting  
6 questions for situations that there is extremely  
7 little chance that we would actually pick up in a  
8 sample of 35 people.

9 [Slide.]

10 That is not an ideal test. I mean it is  
11 still hypothetical and it doesn't rely on their own  
12 autobiographical memory, but it does at least  
13 require them to go through their thought processes  
14 of answering the question. It requires them to  
15 absorb the words that we are asking them to think  
16 through a situation and apply this text of this  
17 question to this situation, and at least it's close  
18 than a totally hypothetical do you think this  
19 should count, do you think this definition includes  
20 this.

21 The vignette responses tended to echo what  
22 we had already found, that they were very  
23 conservative in their answers. They included  
24 things that--I say conservative--what I really mean  
25 is that their interpretations were very broad. If

1 there was any doubt at all they should be included,  
2 they tended to stick it in.

3           Is that a perfect test? No, it is not,  
4 but it does tend to indicate that there is a sort  
5 of pragmatic component to question interpretation,  
6 that they are trying to figure out what it is that  
7 you want and why you want it, which also does come  
8 back to the issue of the educational materials, and  
9 their importance is not only as a pre-screener to  
10 tell them the order of the major things that you  
11 should be looking out for, but to give them more of  
12 an input into what the process is all about, why  
13 this matters, why you should care, why you need to  
14 think about these things and answer them  
15 accurately, because that is an important component  
16 of how they make sense of what you are asking them.

17           [Slide.]

18           So, to wrap up, the questionnaire that we  
19 tested was very much on target in terms of  
20 balancing simplicity and thoroughness. It made  
21 things about as simple as you reasonably could with  
22 maintaining the integrity of the information that  
23 it needed to capture.

24           The lion's share of errors that we found,  
25 and we tried hard to find errors, were false

1 positives, much more common than false negatives.  
2 The things that we did find were minor, really fell  
3 into three categories of ways that you could solve  
4 them.

5           One is by supplemental materials, either  
6 definitions provided afterwards for that small  
7 group that might have questions or doubts about  
8 what something means, minor wording changes which  
9 we recommended, and the splitting of questions  
10 occasionally where it must made a little more sense  
11 to separate concepts that were linked and might  
12 have been a little confusing that way.

13           DR. NELSON: Thank you very much. It was  
14 a very clear presentation.

15           DR. ALLEN: You obviously addressed well,  
16 I think, the question basically of aspirin. Did  
17 you get a sense as you asked people about other  
18 products that contained aspirin, I mean are they  
19 aware that the standard cold medications, you know,  
20 these sort of wastebasket medications that are over  
21 the counter often contain aspirin products? Was  
22 there that degree of sophistication or is there a  
23 potential problem with missing some of these?

24           DR. BEATTY: It was varied, but I think it  
25 was clear that not everyone knew whether all

1 medications that they had, had aspirin in them at  
2 some time. We found people that said things that  
3 probably did have aspirin in them and didn't report  
4 them. Most people answer in a more global level,  
5 that, you know, I haven't had anything that could  
6 possibly apply, medicine of any type, I don't take  
7 it, I don't like it, I try to avoid it.

8 I think, if I am remembering, the time  
9 frame for that was pretty recent, as well. We  
10 weren't thinking about even 30 days, we are talking  
11 about a couple days, so most people could be ruled  
12 out on that basis.

13 That is another good example, though, of  
14 what do you do about it. You would find that there  
15 are potentially some mistakes. You could provide a  
16 huge list of anything that could include it, but is  
17 that really worth it?

18 I mean the answer is that if someone has  
19 any doubts, you encourage them to talk about their  
20 doubts if they have them, and you have a list  
21 available if they need them, that might contain  
22 some examples, and you sort of prompt that way.

23 The question itself is probably as good as  
24 it could possibly be.

25 DR. FITZGERALD: In your survey, it was



1 Question 31 and on the card it is Question 30, and  
2 the response to one of the individuals brought out  
3 something I am not sure we thought about or the  
4 task force may not have thought about.

5 Question 30 says, "Were you a member of  
6 the U.S. military or civilian military employee or  
7 dependent of a member of the U.S. military and then  
8 in 31, one of your respondents was the spouse of a  
9 civilian employee on a base, and added up her time  
10 on the base because she lived on the economy and it  
11 didn't add up to six months.

12 But what we are losing there is that the  
13 importance of that question is the availability of  
14 purchasing beef from the commissary and eating it  
15 during that period of time, and civilian employees  
16 on DoD installations overseas have access to the  
17 commissary, so we are missing a group of dependents  
18 of people in that question.

19 So, I just needed you all to go back and  
20 look at that aspect of that question because it  
21 doesn't matter how long she was on the base because  
22 she probably went to the commissary and bought  
23 groceries on those brief periods that she was  
24 there.

25 DR. FRIDEY: If I could just briefly

1 address that, that kind of discussion did take  
2 place with the task force members, like a  
3 contractor, somebody who comes onto the base to do  
4 some work and might eat there, how do you deal with  
5 that.

6 We did actually recommend ultimately some  
7 different wording to the FDA because about this  
8 time that all this was going on, there were some  
9 new variant CJD questions that were being floated,  
10 there was a draft guidance out there, so we did  
11 make this recommendation that the wording be such  
12 that it does capture that kind of information.

13 I think the FDA did choose in the guidance  
14 that's the final guidance to retain their original  
15 wording, but it was something that was discussed.

16 DR. KLEINMAN: That was very nice, Paul.  
17 I just thought of something even though I was  
18 involved during this process, I hadn't thought of,  
19 and that is, we tended to say that we wanted to  
20 make the questionnaire simpler and more  
21 comprehensible to persons, to interviewees, and in  
22 the way we equated those two terms in our mind,  
23 simpler and more easily comprehended, but I don't  
24 think those two are the same, because I think  
25 actually what we found in the process--and you can

1 correct me if I am wrong--is that our real goal was  
2 to make it more easily comprehended, so that people  
3 really understood what the questions were.

4 I am not sure that resulted in the  
5 questionnaire necessarily being simpler, i.e.,  
6 being shorter, but hopefully, it is less ambiguous.  
7 I think if we use the word "simpler" we are using a  
8 word that is subject to a lot of interpretation,  
9 and I think it might be easier to think of this as  
10 trying to make a questionnaire where the intent of  
11 the questions would be clearer to the people who  
12 are reading it, because I am not sure that we wound  
13 up with a document that is any simpler.

14 DR. BEATTY: Yes, I think that is  
15 absolutely right, and that was something that I  
16 tried to kind of put forth there. Sometimes  
17 simpler is less comprehensible because it doesn't  
18 give you enough information to make sense of what  
19 you have.

20 DR. FRIDEY: Actually, when we launched  
21 this project, I gave a number of talks at AABB  
22 meetings, and I tried to prepare the membership for  
23 the fact--membership being blood banks--that we  
24 were not necessarily going to end up with a  
25 questionnaire that was shorter with every question

1 very brief, and so I was trying to introduce that  
2 concept to the membership that you cannot  
3 necessarily have simple and comprehensive together  
4 in the same thing.

5           Actually, I thought of them as more kind  
6 of mutually exclusive terms, so actually, with all  
7 respect to Dr. Kleinman, it was a concept that was  
8 introduced early on to AABB members, so that they  
9 would understand that the final product was not, in  
10 fact, going to be a five-question document, and  
11 clearly, we tried to balance things out, so that we  
12 could get at the information, but in a way that the  
13 person being screened would know what we were  
14 trying to get at.

15           DR. KLEIN: I guess this just illustrates  
16 how difficult it is to do things, because I think I  
17 don't qualify as an illiterate person, I mean I  
18 obviously can understand concepts, and yet I  
19 somehow went through this process thinking that  
20 although we were trying to make it more--obviously,  
21 we weren't going to do a five-question  
22 questionnaire, I think we knew that, but that  
23 somehow it seemed there was some sort of equation  
24 between more comprehensive and simpler, and there  
25 is to some extent, but I think, as the cognitive

1 studies pointed out, you can oversimplify and then  
2 lose the comprehension.

3 I think Paul said it much better than I  
4 did, that there is a balance between the two, but I  
5 think ultimately, you don't necessarily wind up  
6 with a document that if you now bring it out to  
7 people, that people will say, gee, I thought your  
8 purpose was to simplify this, this doesn't seem any  
9 simpler to me than it was before.

10 I think you may get that reaction even  
11 despite the fact that you have tried to prepare  
12 people for that.

13 DR. NELSON: One comment back there.

14 DR. LUCY: I am Dr. Charles Lucy. I am an  
15 occupational environmental medicine doctor. I  
16 wanted to echo one comment and then make another  
17 comment. I have had a lot of experience with  
18 screening tests.

19 With truckdriver physicals, I have found  
20 in many years of practice that many of the drivers  
21 do not spend enough time answering the questions  
22 that are meant to screen them for the Department of  
23 Transportation's required physical exams and  
24 questionnaires.

25 Only upon questioning by a physician do

1 they admit that they have been hospitalized or they  
2 have had problems or things like that, so I think  
3 with any questionnaire you still have a problem of  
4 checking the data. How can you do that?

5 I think one area that has been explored by  
6 other people for informed consent is the use of  
7 interactive media laboratories that allow a process  
8 that is interactive and can be tailored at the  
9 patient level depending on their education and what  
10 their concerns are.

11 So, I think this is an excellent approach  
12 to a questionnaire. I think in the future, as you  
13 do move into a computer-assisted device, it gives  
14 you the opportunity perhaps to design an instrument  
15 that is educational, as you say, that is tailored  
16 to help the person understand what the questions  
17 mean, that perhaps can be changed, so that  
18 questions are programmed to be asked if a positive  
19 is received at a screening or a grab bag level, et  
20 cetera.

21 I might just make that suggestion that  
22 interactive media is one way to get the person  
23 involved and may help clarify some of the things  
24 you are looking at, and may allow you to do such  
25 things as self-verification, so you know the person

1 understands the instrument and you know the person  
2 has attempted to answer truthfully, for instance.

3 DR. NELSON: Thank you.

4 Judy Ciaraldi from the FDA.

5 **FDA Status of Review of AABB Task Force UDHQ**

6 MS. CIARALDI: Good evening, everyone, and  
7 thank you so much for staying on. I have to start  
8 out with letting you know that I was going to tease  
9 Alan Williams, my division director, about his  
10 effect on a crowd and his ability to clear a room  
11 when I noticed that as soon as he got up, everybody  
12 left, just about everybody. The most important  
13 people are here.

14 [Slide.]

15 I am going to give you a brief overview of  
16 how we are conducting the review of the AABB  
17 Uniform Donor History Questionnaire. I am going to  
18 follow with some review comments, comments from the  
19 preliminary review. Now, we haven't completed  
20 analyzing all of the documents and going over all  
21 of the comments, so these will only be preliminary  
22 comments and it will not be complete.

23 I will also list the proposed mechanisms  
24 that we are discussing for implementing the donor  
25 history questionnaire. Before I get any further, I

1 want to remind you that I am only talking about the  
2 review of the questionnaire used to screen whole  
3 blood donors and plateletpheresis, plasmapheresis  
4 donors, the donors for transfusable blood  
5 components.

6 As you know after today's talk, these  
7 donors also donate recovered plasma. We are going  
8 to use the same general review process for the  
9 donor history questionnaire that is submitted by  
10 the source plasma industry, but we don't have that  
11 yet, and we will use this particular review process  
12 when it is submitted.

13 [Slide.]

14 The process stated by assembling a work  
15 group that identified the scope of the review and  
16 selected a cadre of reviewers with a variety of  
17 backgrounds and expertise. The reviewers were  
18 given three weeks to complete the review, and they  
19 were to have their comments in to me by the end of  
20 May, and everyone that provided comments back did  
21 have that.

22 We are currently compiling the reviewers'  
23 comments and we are going to be evaluating them.  
24 When that is done, we will prepare a written  
25 response and send it back to the AABB Task Force



1 informing them of our findings.

2           The working group facilitating the review  
3 process consists of Dr. Williams, Dr. Orton,  
4 Elizabeth Callaghan, Jennifer Thomas, who is the  
5 Associate Director for Policy for the Office of  
6 Compliance and Biologics Quality, and me.

7           Because Drs. Williams and Orton and I were  
8 all on the task force, we could not be on the  
9 reviewer cadre. Ms. Thomas and Ms. Callaghan were  
10 both part of the review cadre.

11           [Slide.]

12           This is a list of the documents that were  
13 sent to each of the reviewers. I am not going to  
14 go over it because Dr. Fridey already did, but I  
15 will show you what they got.

16           So, it was a yeoman's job and our hats  
17 went off to them.

18           [Slide.]

19           We asked the reviewers to keep in mind the  
20 following questions, so that they could focus their  
21 review. Is the content of the questions and the  
22 accompanying documents consistent with our  
23 regulations and our recommendations? Is the  
24 rationale for the revisions appropriate? Is the  
25 proposed format for the questionnaire acceptable?

1           So the user brochures provide adequate  
2 instructions for donor screening personnel, and are  
3 there any other issues that still need to be  
4 addressed, any concerns that they want us to let  
5 the task force know about?

6           [Slide.]

7           These are the hard-working reviewer cadre,  
8 the individuals that are on the reviewer cadre who  
9 kindly agreed to participate in this project.

10           On the lefthand side, we have reviewers  
11 from within the FDA. They represent the Division  
12 of Blood Applications, Division of Emerging  
13 Transfusion Transmitted Diseases, and the immediate  
14 Office of the Director for the Office of Blood  
15 Research and Review.

16           We also have the Office Compliance and  
17 Biologics Quality represented, as well as the  
18 Office of Regulatory Affairs.

19           On the right side are consultant reviewers  
20 that we had representing the interest of industry  
21 both from the donor center side and the patient  
22 side. These people provided personal opinions,  
23 their ideas and their concerns on the guidance  
24 document.

25           I think you will recognize the names of

1 current and ex-BPAC members, but their  
2 participation were as experts in the industry, and  
3 not as BPAC members. Of the 12 reviewers, 10 have  
4 submitted comments, one that submitted no comments  
5 at all, she didn't have any comments, and there was  
6 one that has not turned in their comments to me  
7 yet, and you know who you are. I also know where  
8 you live, so I will come and get those soon.

9 [Slide.]

10 We are still compiling the comments, but  
11 in general, the reviewers thought that the  
12 questions were consistent with the FDA regulations  
13 and recommendation, the rationale for the revisions  
14 and the studies were appropriate. The proposed  
15 format was acceptable, the accompanying documents  
16 were simple, and I am sorry to use that word now,  
17 but that was their word, but they captured the  
18 important issues.

19 The abbreviated questionnaire seems to be  
20 acceptable for repeat donors and possibly even a  
21 desirable option, and they felt that the documents  
22 will improve the donor interviews and streamline  
23 the interview process.

24 Before I go any further, I want to say  
25 that these opinions and the opinions on the next

1 two slides are those of the cadre of the reviewers,  
2 and not my opinions or the opinions of the FDA.

3 [Slide.]

4 Now, the reviewers still had some ideas  
5 that they felt still needed to be addressed. They  
6 felt that there were some limitations in the  
7 cognitive studies. A few examples of these were  
8 that the individuals in the focus groups and in the  
9 cognitive study groups do not represent all the  
10 minorities especially those for whom English was a  
11 second language. They didn't see that that  
12 evidence was there in the report.

13 Also, they observed that the testing was  
14 done on individual questions, and not on the  
15 format, the whole tool of the Uniform Donor History  
16 Questionnaire. They felt that terms needed to be  
17 defined and written in a language that the donors  
18 will understand.

19 They felt that there was a little too much  
20 medical terminology, specifically phrases like  
21 "prescribed by a physician" and "apheresis device."

22 They felt that the user brochure needed to  
23 explain when accompanying documents should be used.  
24 For example, they weren't sure if the educational  
25 material sheet should be used for abbreviated

1 questionnaires.

2           They also felt that the user brochures  
3 need to contain more information about what to do  
4 with donor responses. The user brochures do not  
5 describe how to document follow-up responses in a  
6 standardized manner.

7           In addition, the users are referred to  
8 their own SOP for whether or not a donor is  
9 acceptable depending on the responses they give,  
10 and the reviewers felt that properly the user  
11 brochure said make some of those decisions.

12           Lastly, it wasn't clear from the user  
13 brochure if the donor was to complete the whole  
14 questionnaire even if they were deferred on a  
15 question early in the questionnaire.

16           [Slide.]

17           They also felt that there were some  
18 limitations on the Medication Deferral List. For  
19 instance, they felt that donors will provide  
20 information only on the medications that are on the  
21 list while there may be other medications that  
22 donors are taking that may defer them.

23           They also felt that the donors may be  
24 taking the medications for the conditions that are  
25 different than the conditions that are listed on

1 the Medical Deferral List, and therefore, not  
2 volunteer that they are taking that specific  
3 medication.

4 They also felt that the educational  
5 material was difficult to read. They felt it was  
6 hard to get through all the different fonts and the  
7 organization of the material, and one reviewer  
8 recommended that the educational material sheet be  
9 revised in plain language.

10 They also noticed that the information on  
11 the educational sheet was not exactly in the same  
12 order as the information provided in the  
13 questionnaires, and they felt that if the donors  
14 were to read it and then to go to the  
15 questionnaire, they might get confused.

16 Now, in the rationale, one of the  
17 questions that asked for contact with saliva by  
18 kissing, explained in the rationale that this was  
19 due because of exposure to hepatitis, this was to  
20 detect somebody who had been exposed for hepatitis.

21 The reviewer felt that this wasn't an  
22 adequate question to detect all incidents of  
23 hepatitis because there are other ways of getting  
24 exposed, such as the fecal-oral route. So, this is  
25 one of the responses that we will have to look at

1 closely because this is one that we recommended to  
2 the task force in our letter last year be included  
3 in the questionnaire, so our working group will  
4 look hard at this to decide which way we go on it.

5 Also, in the rationale, they stated that  
6 they eliminated the question off the questionnaire  
7 about asking the donor if they understood the  
8 questions and if they had any other--the questions  
9 that were asked to them and the information that  
10 was presented, and had all their questions been  
11 answered or did they have any other questions.

12 They stated that they removed this because  
13 it was part of the donor consent statement, and the  
14 reviewers felt that they could not make this  
15 judgment, they didn't know whether to agree with it  
16 or not, because there weren't any donor consent  
17 statements that were included in the review packet  
18 for the FDA.

19 Lastly, they were concerned a little bit  
20 about the abbreviated questionnaire. The user  
21 brochure states that the abbreviated questionnaire  
22 will be used only after the donors have been  
23 screened with the full-length questionnaire two  
24 times.

25 Some of the biological product deviation

1 reports that have come in to FDA has shown that  
2 there are considerable numbers of postdonation  
3 information reports that come in to CBER, that are  
4 due to information that is gathered on the third  
5 donation or even later, and if those questions are  
6 eliminated from the abbreviated questionnaire,  
7 there may be a group of donors that are missed.

8           They weren't sure if the abbreviated  
9 questionnaire would be given to current repeat  
10 donors in the donor center once the whole tool is  
11 implemented in a blood center or would once the  
12 whole tool is implemented, would repeat donors  
13 start getting the new questionnaire and then  
14 eventually step down to the abbreviated. That  
15 information wasn't explained.

16           [Slide.]

17           Previously, we reviewed the UDHQ from AABB  
18 when it was sent in, and we provided comments back  
19 to them, however, we did not review a final  
20 document, but the AABB published the new  
21 questionnaire as being FDA approved.

22           Our Chief Counsels have informed us that  
23 we can no longer do it this way, so we are  
24 evaluating some alternative mechanisms for  
25 implementing the donor history questionnaire, the



1 new revised one.

2 One mechanism that we are looking at is to  
3 have a prior approval supplement submitted by a  
4 licensed applicant that we would review and  
5 approve.

6 Another possible mechanism is to prepare  
7 our own questions and list them in a guidance,  
8 similar to what we did with the '93 HIV guidance.

9 Another possibility is to adopt the  
10 industry standard, in other words, the AABB  
11 questionnaire, in a guidance document, similar to  
12 what we did with the ISBT-128, uniform labeling  
13 guidelines.

14 I am not going to go into any detail in  
15 any of these because they are still being discussed  
16 internally, and in the end, we may even decide to  
17 do something that is not listed on this slide.

18 [Slide.]

19 To conclude, this is where we are going.  
20 We are going to complete compiling the reviewers'  
21 comments, and we hope to have this done sometime in  
22 July. Then, we will prepare a written response to  
23 send back to the AABB Task Force, and we hope to  
24 have that out the month after.

25 After AABB has addressed our comments and

1 have sent them in to us, we will give them back to  
2 the reviewers. I have informed the cadre that their  
3 job is not over yet, so they will get the response  
4 back, and they will determine if all of the  
5 concerns have been addressed.

6 Of course, we don't know this date,  
7 because it will be on AABB's timeline. In the  
8 meantime, we will determine which mechanism we will  
9 use to implement the questionnaire, and if it is  
10 determined that we need to publish guidance  
11 document, we hope to have that out by September.

12 Thank you very much for your attention.

13 DR. NELSON: Thank you, Judy.

14 Questions? Yes, Mary.

15 DR. CHAMBERLAND: Judy, thanks for your  
16 comments.

17 Just a question for my education here,  
18 too, in terms of the feedback that is going to be  
19 given to AABB, I guess I have to say I am just not  
20 familiar with sort of the format that that might  
21 take.

22 For example, will it include every  
23 reviewer's comments on filtered, so you get their  
24 raw data, so to speak. Then, I have to imagine  
25 there must be some intermediary step where FDA

1 staff, some of whom are on the Review Committee,  
2 but supplemented by additional folks who have all  
3 the regulatory and all that information, that they  
4 provide, if you will, sort of like a comment on the  
5 comments, and some sort of final summary of what it  
6 is that you need to do either that would be  
7 required to be done, would be recommended but not  
8 required.

9           Could you speak to that a little bit,  
10 about what to expect for feedback and its format?

11           MS. CIARALDI: Sure. What we will do, and  
12 it will be the working group of the five or six  
13 individuals I had up on an earlier slide, with  
14 input from our General Counsel and Dr. Epstein and  
15 his group, as well.

16           We are going to look over the comments.  
17 Some of the comments were given to us, I didn't  
18 include them in here. For instance, one reviewer  
19 wanted us to recompound all the questions, which is  
20 something that we know because some of us are  
21 reviewers and some of us were on the task force, is  
22 not optimal, it is not desirable.

23           So, that would be a comment that our  
24 working group would decide that we probably would  
25 not forward on to the AABB Task Force as a valid

1 comment. So, basically, we are looking for ones  
2 that are comments that really need to be addressed,  
3 that we feel are--I don't want to use the word  
4 valid, but do raise concerns where revisions are  
5 needed or issues need to be brought up.

6 So, we are not judging the right or wrong  
7 of the comments because all the comments were made  
8 in good faith, but there are some cases where some  
9 of the comments were probably made outside of some  
10 additional knowledge.

11 DR. CHAMBERLAND: So, will there be a set  
12 of recommendations or requirements? I guess I am  
13 just not sure. I mean this could go on  
14 indefinitely, and Joy said the task force is  
15 waiting for its vacation on some exotic isle.

16 You make it very clear. I mean there are  
17 some things that probably will be not negotiable,  
18 but there might be others that would be. As I  
19 said, we could continue to exchange drafts ad  
20 infinitum. How do we put some closure to this?

21 MS. CIARALDI: It is our desire not to go  
22 back and forth. What we will do will be similar to  
23 what we do with what we call a complete response  
24 letter. In it, we address what we call, in the  
25 case of a review, it is called a deficiency, in

1 here it will be called an observation, things that  
2 we have picked up during our review.

3 Then, we will go ahead and come back and  
4 say something like FDA recommends you do this or  
5 please give us some additional information, so we  
6 can make a determination if this is valid.

7 So, in some cases, we may ask for  
8 additional information, and in some cases, we may  
9 ask for revision. It may be a combination of both,  
10 but we definitely will give them some guidance on  
11 how they should address it.

12 Alan, I saw that you were up, I am sorry.

13 DR. WILLIAMS: You answered most of it,  
14 Judy. I guess the one comment I have, the one new  
15 word I learned since joining the agency, is  
16 vetting. What we do is discuss everything  
17 internally. I suspect what goes back will be a  
18 unified opinion from the agency, incorporating what  
19 we feel are the most relevant comments, and  
20 certainly would not want to hinder progress in this  
21 area.

22 DR. NELSON: Thank you.

23 I would like to move to the Open Public  
24 Hearing.

25 Celso Bianco from America's Blood Centers.

1 Hopefully, we can have some brief statements at  
2 this point.

3 DR. BIANCO: The committee has received  
4 the statement, the audience, too, so I would like  
5 to read only the last paragraph of the statement  
6 from America's Blood Centers.

7 I am Celso Bianco. I am with America's  
8 Blood Centers.

9 ABC urges this committee to support the  
10 task force recommendation that all new donor  
11 screening questions undergo vigorous validation  
12 procedures to assure that they are both sensitive  
13 and specific to the transfusion risk they are  
14 intended to address.

15 The donor screening document being used  
16 today is a hodgepodge of questions that have  
17 evolved over time. These questions have not been  
18 evaluated for efficacy or efficiency. The result  
19 is a screening tool that includes many complex  
20 multi-part questions that are confusing to donors  
21 and screening personnel alike.

22 Prime examples are the recently  
23 promulgated questions to identify persons at risk  
24 of CJD because of international travel and  
25 questions now under consideration by FDA to

1 identify donors at risk for exposure of zono via  
2 xenotransplantation.

3 ABC urges FDA and this committee to  
4 require validation of all new questions and to  
5 submit them to a forum, such as the Interagency  
6 Task Force to redesign the blood donor screening  
7 questionnaire.

8 Thank you.

9 DR. NELSON: Thank you, Celso.

10 Dr. Rita Reik from the American Red Cross.

11 DR. REIK: Good evening. I am Rita Reik,  
12 Senior Medical Officer at the American Red Cross.  
13 I would like to thank you for the opportunity to  
14 speak to the committee regarding the Uniform Donor  
15 History Questionnaire.

16 I am somewhat of a cognitive quality  
17 control check for those of you who are staying  
18 paying attention because some of you probably  
19 realize that I am not the Red Cross representative  
20 on the task force. Dr. Linda Chambers actually  
21 represented the Red Cross, but she was unable to  
22 make it, so I am here in her stead.

23 I would be very happy to read this very  
24 brief statement into the record.

25 In late March, AABB submitted their

1 recommendations for a revised Uniform Donor History  
2 Questionnaire to the FDA. This submission was, as  
3 you know, the culmination of a two-year effort by  
4 AABB and the Uniform Donor History Questionnaire  
5 Task Force to examine all possibilities for  
6 strengthening the questionnaire.

7           The American Red Cross is currently  
8 evaluating the Uniform Donor History Questionnaire  
9 and its operational implications, and we are  
10 pleased to have the opportunity to emphasize our  
11 support of both the AABB submission today and the  
12 process used in the redesign of the Uniform Donor  
13 History Questionnaire.

14           Those of us in the blood industry have  
15 received considerable feedback from our donors over  
16 the years regarding the design of our donor history  
17 questionnaire. They tell us they find the questions  
18 to be confusing, time consuming, and too numerous.  
19 In addition, frequent donors object to the need to  
20 answer the same questions repeatedly at each  
21 donation.

22           So, we believe that this is a very  
23 important first step in improving the Health  
24 History Questionnaire, and we call it a first step  
25 because the Red Cross also believes that ongoing



1 evaluation of the questionnaire will be an integral  
2 part of the process.

3           FDA and the blood industry will continue  
4 to encounter new or potential threats to the safety  
5 of the blood supply. When these threats become  
6 known, the first consideration will be determining  
7 whether a donor might have been exposed.  
8 Traditionally, a primary method used to make that  
9 determination has been to add a new question to the  
10 Health History Questionnaire.

11           The donation process is becoming  
12 increasingly more complex. For example, donor  
13 deferral policies have expanded as we have become  
14 aware of the potential for transmission of emerging  
15 diseases. As a result, there are more questions  
16 than ever.

17           In addition, the nation's demographics are  
18 changing, and we now recruit donors from a  
19 multitude of different cultures for which English  
20 is a second language. While it is feasible to offer  
21 translations of the donor questionnaire, we must  
22 keep in mind that a translation is more likely to  
23 result in an accurate answer if we start with the  
24 simplest English version possible.

25           Also, having the briefest, simplest

1 questionnaire is desirable in that donors are more  
2 likely to return if we minimize their time  
3 investment relative to donation.

4 In conclusion, the ARC believes that BPAC,  
5 FDA, and the industry have a unique opportunity  
6 here to advance the collection process to a new  
7 level. With the approval of the improved  
8 questionnaire would also come the opportunity to  
9 institute a process for ensuring the value-added of  
10 future questions.

11 Therefore, we urge BPAC, FDA, and the  
12 blood industry to adopt the following as guiding  
13 principles for the process of crafting the donor  
14 questionnaire: simplicity, uniformity,  
15 effectiveness and efficiency. To that end, when  
16 determining whether to add or revise donor  
17 questions, the FDA should:

18 1. Ensure that the questions are  
19 understandable and effective prior to including  
20 them in the final FDA regulations or guidances. Of  
21 course, it seems best to do this by piloting the  
22 questions on persons who have characteristics  
23 similar to our donors.

24 2. Recognize that ensuring clarity of the  
25 questions optimizes the chance for accuracy in

1 responses and that is just as important as ensuring  
2 accuracy in any other part of the blood product  
3 manufacturing process.

4 3. Adopt new questions only after  
5 determining that the existing questions cannot  
6 provide the answers and cannot be modified to do  
7 so.

8 4. Add new questions only after  
9 determining that they will not negative affect the  
10 ability to obtain precise answers to previously  
11 existing questions.

12 We look forward to continuing working on a  
13 process that we hope will establish an important  
14 set of guiding principles for future revisions.

15 Thank you for your attention.

16 DR. NELSON: Thank you very much.

17 Jan Hamilton.

18 DR. HAMILTON: This statement has already  
19 been provided to the committee. I am Jan Hamilton.  
20 I am with ZLB Plasma Services, and I am speaking on  
21 behalf of PPTA Source.

22 It is a relatively long statement, I would  
23 say, but I am going to read the whole thing.

24 DR. NELSON: Is there a way you could  
25 summarize it given that it will be included in the

1 record?

2 DR. HAMILTON: No, but I will shorten it,  
3 which is a bit different.

4 When you are given five minutes, it's  
5 pretty concise, to begin with, so I will just start  
6 a couple paragraphs down.

7 The source plasma industry supports the  
8 overall task force objective of simplifying the  
9 current questionnaire. The proposed questionnaire  
10 and supporting documents are intended to enhance  
11 blood and source plasma safety by making the  
12 screening process more effective in capturing  
13 relevant donor qualifying information.

14 Due to differences in the collection  
15 practices for the blood and source plasma, a  
16 subcommittee of the task force was organized by  
17 PPTA to revise the screening documents for source  
18 plasma donors. Although the majority of source  
19 plasma questions are identical to the blood  
20 industry questions, specific revisions were made to  
21 conform to source plasma screening requirements. A  
22 separate source plasma screening system proposal,  
23 including specifically tailored donor screening  
24 documents, will be submitted for review and  
25 approval by the FDA.

1           The source plasma screening documents  
2 include both the full-length questionnaire and  
3 abbreviated questionnaire. The abbreviated  
4 questionnaire is proposed for use with donors who  
5 have previously been questioned using the full-  
6 length questionnaire and donate frequently, as  
7 defined as at least once in a 30-day period.

8           The full-length questionnaire will be  
9 administered each year at the time of the donor's  
10 annual physical examination, which is not a  
11 requirement for blood donors.

12           The source plasma abbreviated  
13 questionnaire and high-risk poster were tested in  
14 cognitive interview studies by the National Center  
15 for Health Statistics. In addition, the questions  
16 that were revised to meet plasma sourcing  
17 requirements were also tested.

18           To this end, the source plasma screening  
19 documents have been tested for donor comprehension.  
20 Currently, the results of the research study are  
21 under review and the appropriate revisions are  
22 being completed on the proposed screening  
23 documents. PPTA plans to submit the source plasma  
24 proposal to FDA in July 2002.

25           PPTA appreciates the opportunity to

1 participate in this important industrywide effort  
2 to improve the donor screening process. We look  
3 forward to continued participation with the FDA and  
4 the blood industry on this and other initiatives.

5 DR. NELSON: Thank you very much.

6 The next speaker, Dr. Paul Cumming.

7 DR. CUMMING: I am Paul Cumming. I am  
8 president of Talisman, Limited. We are a  
9 manufacturer of software, multimedia, audio/video  
10 donor interviewing software financed, in  
11 significant part recent by the National Heart,  
12 Lung, and Blood Institute, and George Nemo, guiding  
13 it.

14 I did not come here prepared to make  
15 remarks, but was convinced I should do so. First,  
16 I would like to compliment the committee. I think  
17 they did superb work given the conditions under  
18 which they were doing it, and their limits on  
19 resources and everything else. It is very  
20 expensive work to do, speaking as someone who has  
21 done it for a long time, and I have nothing but  
22 compliments for the committee.

23 Talking to people here, many people are  
24 unaware of what it is we are doing and the fact  
25 that it is an FDA priority, part of the five point

1 plan, and it was financed by NIH, so we should be  
2 aware of it. Our effort has gone into the software  
3 and into publications.

4 The work, I will refer to as just other  
5 places that can find more information as opposed to  
6 going into it and generally what it is. First, the  
7 information is presented in terms of the first  
8 study, was presented to this committee back in  
9 1999. It was done in conjunction with the Hoxworth  
10 Blood Center, and was a pilot study.

11 It was presented more recently in the  
12 December 2001 issue of Transfusion. Again, it was  
13 Dr. Zuck. We had about 400 donors. It was largely  
14 donor satisfaction information.

15 Since then, the technology has been  
16 expanded, and we have learned our lessons. It is  
17 now applied in the Mississippi Valley Regional  
18 Blood Center out in Davenport, Iowa, running in  
19 eight or nine centers, and we are looking at  
20 extending applications there, and another large  
21 Midwestern blood center.

22 The technology is officially known as  
23 Audio/Video Computer-Assisted Self-Interviewing.  
24 It runs on computers and has an audio portion  
25 through earphones for privacy. It uses Touch

1 Screen to avoid donor problems with keyboards and  
2 keyboard kinds of errors that go in with some other  
3 kinds of CASI technology, and it uses extensively  
4 color. It has a color picture to explain or try to  
5 explain the question, as well as the audio and the  
6 text on screen.

7           The results, which are on our web site,  
8 the newer work at Mississippi Valley, and I think  
9 we have interviewed something like 10,000,  
10 something in excess of 10,000 donors now, and we  
11 have repeated the studies at Hoxworth plus extended  
12 them to other things, and that is available in the  
13 form of presentations we have made to the AABB and  
14 the FDA, and it is on the web site.

15           The system is known as the Quality Donor  
16 System. The people who have done most of the data  
17 collection and presentation are Dr. Louis Katz from  
18 Mississippi Valley and Lauri Rozinski.

19           The studies in general show that donors  
20 prefer the Audio/Video Computer-Assisted Technology  
21 by a factor of at least 4 to 1, and that over face-  
22 to-face interviewing. They say, among other  
23 things, that the screening questions are clearer,  
24 they can understand them better, that they will be  
25 honest in their responses, and they are more likely



1 to donate again.

2 Staff studies we have done of staff  
3 satisfaction show similar kinds of things where the  
4 staff prefer them and believe the donors will be  
5 more honest with the technologies than they will be  
6 with face-to-face interviewing.

7 Staff savings in times is in the range of  
8 four or five minutes per donation. An omissions  
9 and error study that Katz and Rozinski did showed a  
10 60 percent decline in those factors.

11 The information can all be found on our  
12 web site, which talismanlimited.com, all spelled  
13 out, and I can give people more complete  
14 information afterward, more precise reference. I  
15 thought it was important to communicate that.

16 Thank you.

17 DR. NELSON: Thank you.

18 Are we ready to discuss the questions,  
19 Alan?

20 **Questions for the Committee and Committee**  
21 **Discussion**

22 DR. WILLIAMS: Question 1. Does the  
23 committee believe that the revised Uniform Donor  
24 History Questionnaire proposed by the Task Force is  
25 suitable to screen donors of allogeneic whole blood

1 and blood components for transfusion?

2 DR. NELSON: Discussion or let's vote.

3 DR. DiMICHELE: I just wanted to ask,  
4 initially, when you asked that question, I thought  
5 we were kind of voting on the final version, but  
6 this isn't obviously the final version, so exactly  
7 how do you want us to comment on that?

8 DR. WILLIAMS: I think given that it's an  
9 ongoing process with some interaction still to be  
10 conducted between the Task Force and the FDA, it  
11 would be probably most relevant to use the concept  
12 that we do arrive at a final FDA--I hesitate which  
13 word to use, but FDA-compatible version of the  
14 questionnaire, and that is what we are addressing  
15 the question to.

16 I think given the content, you might also  
17 separately consider issues, such as self-  
18 administration and other factors on which you might  
19 want to comment separately, but what we are looking  
20 for is conceptually whether the field can make the  
21 change from the existing documents, which are non-  
22 standardized to what we hope would be a  
23 standardized document as shown here today.

24 DR. NELSON: Your idea was that once a  
25 final document was arrived at, this would be a

1 mandated questionnaire by the FDA? As I understand  
2 it, the content of what is asked is mandated, but  
3 how they ask it, blood centers are able to come up  
4 with any sort of way to do this.

5 DR. WILLIAMS: I think "mandated" probably  
6 isn't the right term. For instance, if a guidance  
7 is a mechanism, it would be recommended, and  
8 centers are free to use alternate approaches. If  
9 those approaches are less restrictive or otherwise  
10 substantially different than what is contained in  
11 the guidance, they would have to apply under a  
12 prior approval supplement for changes to their  
13 license, but that wouldn't necessarily mean that  
14 everyone has to use this questionnaire. There are  
15 avenues for variations.

16 I would add to that, that if there are  
17 changes proposed, that particularly changes in  
18 wording and content of the questionnaire, that it  
19 would be reasonable to expect that cognitive  
20 studies, at least up to the quality of those  
21 discussed so far, would be part of that process.

22 DR. STUVER: So, if this version or  
23 something close to it, it becomes recommended or  
24 not necessarily required by the FDA, will the  
25 expectation be that it is going to be a self-

1 administered instrument, or will there be  
2 flexibility as to whether it could be given orally  
3 or depending upon the literacy of the donor?

4 DR. WILLIAMS: There has been discussion  
5 about the relative merits of self-administration  
6 versus audio CASI versus face-to-face. I think I  
7 would take the position that that scientific debate  
8 has yet to be fully held.

9 There are literature which support  
10 arguments in both directions. The studies which  
11 actually have been conducted on the blood donor  
12 population are few with small numbers, and mostly  
13 they are extrapolations from other studies that  
14 have been done either in high risk populations or  
15 the general population.

16 As I mentioned in the introduction, there  
17 is a guidance document in the field for comments,  
18 and we expect that there will be arguments  
19 addressing that, supported by the scientific  
20 literature, and we, in fact, cited some in the  
21 guidance document itself, defining a similar  
22 position.

23 So, I think that discussion still needs to  
24 be held in depth.

25 DR. NELSON: Through the REDS study, you

1 and colleagues have done a lot of case control,  
2 calling back of donors, and tried to get an  
3 understanding, particularly of donors who turn out  
4 to have markers as to whether or not the issue was  
5 that they didn't understand the question, or the  
6 issue was that they did understand the question,  
7 but didn't give the information candidly.

8           Can you assess what the proportion of the  
9 problem was with those who turn up to have markers  
10 that should have been screened out as to how much  
11 is misunderstanding and how much is actually not  
12 being candid?

13           DR. WILLIAMS: There are some data to  
14 address that particularly with the CDC-sponsored  
15 studies of HIV seropositive donors, the reasons for  
16 their screening responses really are across the  
17 board.

18           I would say that process has a validation  
19 concern of its own, and that being face to face  
20 with an individual, it is very difficult for  
21 someone, you know, obviously faced with some sort  
22 of misrepresentation to say, well, yes, I lied. It  
23 is a lot easier to say that I didn't understand the  
24 question thoroughly.

25           So, the data are out there. It is a

1 proportion. I hesitate to give that proportion.

2 DR. NELSON: At least it is some of the  
3 problem.

4 DR. ALLEN: Going back to the issue of  
5 implementation once the process on developing the  
6 questionnaire is completed, is it FDA's  
7 anticipation that licensed blood centers will come  
8 back and work out a mechanism through perhaps  
9 changes to their standard operating procedure for  
10 implementing this?

11 DR. WILLIAMS: That will, in fact, be a  
12 critical component. It wasn't discussed  
13 explicitly, but portions of the questionnaire use  
14 capture questions to identify a certain population  
15 which will be subject to more detailed questions,  
16 and some of that will have to be contained in the  
17 center's SOP, correct.

18 DR. NELSON: Theoretically, the REDS study  
19 will continue, which as I recall the REDS study has  
20 like a 10 percent resample or something of donors,  
21 isn't that right?

22 DR. WILLIAMS: It depends which component  
23 is being referred. There is a survey component  
24 which has captured data with respect to behavioral  
25 risk, and then there are other components, some of

1 which capture the entire database for markers and  
2 donor demographics, and so forth.

3           Probably the most relevant aspect is the  
4 survey component and which we can actually assess  
5 behavioral information. Ideally, the best way to  
6 test something like this is in a pre/post sort of  
7 study with a phased implementation, and you could  
8 survey a pre/post population or comparable parallel  
9 populations, and get some idea of what is going on.

10           But because the outcomes are very rare,  
11 those type of data are very difficult to measure.

12           DR. HOLLINGER: Alan, once this gets to  
13 the point where it could be implemented, since it  
14 has gone through all the organizations, and so on,  
15 do you perceive that there would be a problem of  
16 having what you started out to have, which is a  
17 universal uniform at least donor history from all  
18 the blood banks and blood organizations, that there  
19 would be then slight changes that would go on  
20 there, and if so, where do you perceive these  
21 occurring? What do you see these as a problem?

22           So, when a donor goes into a blood bank,  
23 no matter where they go, at least this portion here  
24 would be identical.

25           DR. WILLIAMS: I think that is the goal,

1 to have the major components of the questionnaire,  
2 certainly the FDA recommended and required elements  
3 be standardized. I think because transfusion  
4 medicine is medical practice, there will always be  
5 a wish for some local options, and those will be  
6 always part of the process submitted to FDA for  
7 review.

8           So, I suspect there will be some variation  
9 center to center. What you have to be careful of  
10 is that things aren't tagged onto the questionnaire  
11 that actually begin to compromise what has been  
12 tested as a unified package. That is the down side  
13 to making changes.

14           Whether or not sites will use it as is  
15 without changes remains to be seen, but we have  
16 already seen with the source plasma components,  
17 that they have split off, and I think  
18 appropriately, looked at areas that are more  
19 relevant to their donors and which they would like  
20 to define different areas of emphasis or methods.

21           DR. DiMICHELE: I just wanted to ask  
22 regarding this issue of self-administration versus  
23 not, on the committee was an ethicist. I know we  
24 have talked a lot about which way to do it vis-a-  
25 vis getting the most effective history, but was



1 there sort of an ethical response to this in terms  
2 of patient rights and sort of the ethics of  
3 administering very personal questionnaires, and how  
4 they are best done, was there any opinion there?

5 I just bring this to the group, was there  
6 any opinion that was rendered by the ethicist on  
7 the panel?

8 DR. WILLIAMS: I prefer to defer to Joy on  
9 that question.

10 DR. FRIDEY: I think the issue of asking  
11 personal questions is one that has been discussed  
12 for a number of years. The HIV questions dealing  
13 with males having sex with other males, or people  
14 who may have had sex with someone has been a topic  
15 of discussion for a number of years.

16 Those questions were issued in 1992, and  
17 there has been ongoing concern about that, but on  
18 the other hand, we are trying to identify people  
19 who may have these risk factors, and how do you get  
20 at that without just out and out saying it.

21 The ethicist on the committee did not  
22 render an opinion about the fact that we are asking  
23 such personal questions, because I think there is a  
24 general recognition that we really need to.

25 DR. DiMICHELE: My question is not so much

1 that we are asking them, because, of course, we  
2 have to ask them, but just in terms of the way it  
3 is done. I mean the ethicist rendered no opinion  
4 in terms of one way or the other, is that correct?

5 DR. FRIDEY: When you say "the way it is  
6 done"--

7 DR. DiMICHELE: Like, in other words,  
8 self-administered or interview-administered. I  
9 mean that is probably the biggest difference.

10 DR. NELSON: At one point, I remember they  
11 had--before there were specific questions--the  
12 person was given a card with a list of deferred  
13 conditions, and the person could then--the question  
14 would be, "Do you fit into any of these  
15 categories," and the person, theoretically, they  
16 could say guess without identifying that they were  
17 a drug user or that they had sex with another man.

18 I don't know if that is still part of the  
19 scenario.

20 DR. FRIDEY: To directly address your  
21 question, yes, that is on the educational  
22 materials. Except for the FDA liaisons, the Task  
23 Force was unanimous in its opinion that it should  
24 be a self-administered questionnaire, and that  
25 included the ethicist that was on the task force.

1 DR. DiMICHELE: Thank you.

2 DR. CHAMBERLAND: Joy, but always with the  
3 understanding that if the donor preferred an oral  
4 administration--

5 DR. FRIDEY: Right, there was always that  
6 option, absolutely.

7 DR. CHAMBERLAND: So, it would never be  
8 denied.

9 DR. FRIDEY: That's correct, if someone  
10 wanted an oral one, they could.

11 DR. NELSON: Are we ready to vote on this  
12 first question? I guess it's a yes or no. We are  
13 voting on when the final Uniform Donor History  
14 Questionnaire is agreed upon and developed, is it  
15 or will it not be suitable, and it will probably be  
16 very similar to what we have.

17 DR. SMALLWOOD: Are there any oppositions  
18 to this question?

19 [No response.]

20 DR. SMALLWOOD: Are there any abstentions?

21 [No response.]

22 DR. SMALLWOOD: Then, it would be a  
23 unanimous yes. Thank you.

24 DR. WILLIAMS: The second question. What  
25 additional comments does the committee have on:

1 (a) the validation process of the Uniform Donor  
2 History Questionnaire as revised; and (b) the  
3 specific content of the Uniform Donor History  
4 Questionnaire questions?

5 DR. DiMICHELE: I would just say that I  
6 think I would echo some of the comments that were  
7 made in the open hearing regarding the ongoing  
8 validation of whatever instrument is used, that it  
9 needs to have an ongoing evaluation process in the  
10 field.

11 DR. HOLLINGER: I have some questions, if  
12 I could, and they probably are answered, and there  
13 are probably answers to them, but I wanted to just  
14 run through them as I saw them, if I might have the  
15 opportunity.

16 The question about aspirin or aspirin  
17 product. Of course, that can be a real problem  
18 anymore because so many people are taking aspirin  
19 for cardiovascular events, et cetera, so a lot of  
20 people are on aspirin, but it doesn't include the  
21 other nonsteroidals - ibuprofen, Advil, Relafen,  
22 Motrin, et cetera, which also equally can cause  
23 platelet dysfunction.

24 It is a question we have to ask all of our  
25 patients before we are going to do a biopsy on

1 them. We also ask them not to take them for at  
2 least seven to 10 days before we do a biopsy for  
3 that reason, because we do bleeding times on most  
4 of them. Invariably, their bleeding time--not  
5 invariably--but sometimes their bleeding times will  
6 be elevated, and they are on Motrin or ibuprofen,  
7 and so on.

8 This also goes over to Celebrex and Vioxx,  
9 but not anywhere into the same realm as you find  
10 with the other nonsteroidals.

11 I know the question is asked primarily I  
12 guess because of its effect on the platelets--and  
13 correct me if I am wrong, Toby--or are we talking  
14 about because when they draw the blood, they are  
15 worried about bruising and things like this, which  
16 is it?

17 DR. SIMON: It is the effect on the  
18 platelets because if the person has been on  
19 aspirin, they can still donate, you just cannot use  
20 them as an exclusive source of platelets. So,  
21 their platelets can still be used in a pool, and it  
22 relates to the irreversible effect of aspirin  
23 versus the other drugs that you are talking about  
24 that have a reversible effect.

25 So, it has been consensus of scientific

1 medical opinion that it is not necessary to exclude  
2 platelets as a single source, platelets from those  
3 who are on these other drugs.

4 DR. HOLLINGER: So, they could actually be  
5 a plateletpheresis donor also if they were on these  
6 other drugs, if that is what they were coming in  
7 for?

8 DR. SIMON: Well, I believe that is the  
9 case. I think some centers may have individualized  
10 rules on that, but at least it is my understanding  
11 that AABB, FDA, up until now, you could be.

12 DR. FRIDEY: Many blood centers, and  
13 actually if there is anyone here to whom this does  
14 not apply, have very extensive lists of aspirin-  
15 containing comments. I know that our blood center  
16 does, I know the American Red Cross does, the DoD  
17 does, so that if a donor says, gee, I did take  
18 something yesterday, and I am not sure if it had  
19 aspirin in it or not, we can help them out because  
20 we have a list.

21 So, that is one way to address the concern  
22 that you have. At our blood center also, we do  
23 have a separate question that we ask donors,  
24 plateletpheresis donors, to try to find out if  
25 they have taken a nonsteroidal anti-inflammatory

1 because at our center, we don't want that person to  
2 be a plateletapheresis donor.

3 I can't speak for other organizations, so  
4 I would have to ask my colleagues in the American  
5 Red Cross and ABC to get up and address that.

6 DR. SIMON: There isn't good literature on  
7 it actually, even under the aspirin, the old  
8 studies done in the seventies, I think upon which  
9 things were based, showed that after 72 hours, you  
10 couldn't tell a difference.

11 DR. HOLLINGER: And this would include  
12 Ticlid and Plavix and the other things which cause  
13 an irreversible change to those platelets, as well.

14 DR. SIMON: I don't think they have been  
15 studied.

16 DR. HOLLINGER: Oh, they have been  
17 studied.

18 DR. SIMON: But not as plateletapheresis  
19 donors, to my knowledge.

20 DR. HOLLINGER: That, I don't know.

21 DR. BIANCO: Maybe this will help a little  
22 bit. After the explanation that Toby gave, the  
23 only donor that is important to defer is the  
24 apheresis donors, because that is a full platelet  
25 donor.

1           The apheresis donor is recruited in a  
2 different way, is a scheduled donor, and so that  
3 donor is recruited by telemarketing or has already  
4 made an appointment, is a donor that has already  
5 donated red cells.

6           Nobody puts on a machine a donor that  
7 didn't have at least some experience in the  
8 donation, and that is the first thing that the  
9 person that is talking with the donor will say,  
10 "Remember, for the next couple of days or in the  
11 next three days, you are not going to take any  
12 aspirin before you come to the appointment to make  
13 your donation."

14           So, it is rare that an apheresis donor  
15 will say yes to any of those questions because it's  
16 a different population, is recruited with a lot of  
17 care. The donor is going to sit on a chair for a  
18 couple of hours for the process, and you want a  
19 full platelet dose to do well.

20           DR. HOLLINGER: There is a couple other  
21 questions. I found the Questions 34 and 19 to be  
22 confusing about this, where it says, "Males, check  
23 no," or "Females, check no." I understand one is  
24 asking about male donors, the other asking about  
25 female donors, but it is that parenthesis which