

**I.A.: OVERVIEW BY09**

*Descriptive Information BY09*

Template Name	BY2009
Investment Name	ETA - DOL eGrants
Investment Revision Number	9
Is this investment a consolidated business case?	No
Point of Contact	Wilson, David
Revision Comment	
Date of Submission	12/28/2007
Agency	Department of Labor
Bureau	Employment and Training Administration
Name of this Capital Asset	ETA - DOL eGrants
Exhibit 53 Part	IT Investments for Grants Management
OMB Investment Type	01 - Major Investment
OMB Exhibit 53 Major Mission Area	A Competitive Workforce
What kind of investment will this be in this Budget Year?	Operations and Maintenance
OMB Short Description	The DOL Enterprise wide eGrants project will provide DOL, its customers, and stakeholders with fully integrated and electronic processing systems. The eGrants system will make use of existing DOL and ETA IT applications, infrastructure, and resources.

*Screening Questions BY09*

Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap:

Through re-use, extension of the EBSS and purchasing COTS software to bridge gaps in functionality, a more cost effective approach to developing a comprehensive eGrants solution is achieved. eGrants will: (1) ensure reliable and accurate department-wide grant-related financial information through direct electronic interface to internal procurement and financial systems; (2) reduce program office and administrative burden associated with the current grants management process by reducing paper and supporting automatic routing of grant packages; (3) provide a single, secure, web-based user interface across all agencies; (4) redefine and consolidate business processes, eliminating redundant applications through the integration of a workflow tool; (5) improve customer service through easy access to needed grant-related information for both internal and external stakeholders; (6) provide expanded, flexible, management reporting capabilities for both internal users and the granted community; (7) provide a way to track grant progress; and (8) automate the grant writing process to facilitate consistency, eliminate errors, and speed publication. An eGrants system is critical for DOL to engage in the eGov E-Grants Storefront. The eGov E-Grants Storefront, one of the major Presidential Priority Initiatives, is intended to transform the Federal grants environment through a combination of process simplification, data standardization, and the creation of an electronic process. The eGrants system will address current Joint Financial Management Improvement Program (JFMIP) requirements for grants systems, which constitute a compliance requirement under the Federal Financial Management Improvement Act (FFMIA). E-Grants is in complete support of the President Management Agenda on E-Gov initiatives. E-Grants will streamline the grant process by reducing 32 separate DOL grant processes into one unified system. This unification will create for the first time one central grant repository of information for the Department of Labor. This initiative will also satisfy a wide range of Federal mandates. In addition, E-Grants conforms to the 14 step GMLOB grant process model. DOL will be complying with the upcoming milestones for the Grants Management Line of Business. DOL was involved in the planning and implementation of the Grants consolidation process from the beginning. A fit-gap analysis has been performed. Results will be made available prior to the December 28th deadline.

Did the Agency's Executive/Investment Committee approve this request?	Yes
If "yes," what was the date of this approval?	7/6/2007
Did the Project Manager review this Exhibit?	Yes
Has the agency developed and/or promoted cost effective, energy efficient and environmentally sustainable techniques or practices for this project.	Yes
Will this investment include electronic assets (including computers)?	Yes
Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)	No
If "yes," is an ESPC or UESC being used to help fund this	

investment?	
If "yes," will this investment meet sustainable design principles?	
If "yes," is it designed to be 30% more energy efficient than relevant code?	
Does this investment directly support one of the PMA initiatives?	Yes
If "yes," check all of the PMA initiatives that apply:	Expanded E-Government
Is this investment for information technology?	Yes
Briefly describe how this asset directly supports the identified initiative(s)?	The DOL eGrants project was designed and implemented to follow OMB's 14 point GmLoB initiative, as well as PL 106-107, to streamline federal grant making. The program is currently consolidating 32 different processes involving 8 agencies through the HHS Grants.gov portal. Because of the internet, eGrants is bringing government services to the citizens, amounting to savings over the old manual process. Agency financial and technical stewardship is being accomplished through the eGrants program.

*IT Screening Questions BY09*

If the answer to Question, "Is this investment for information technology?" was "Yes," complete this sub-section. If the answer is "No," do not answer this sub-section.

What project management qualifications does the Project Manager have? (per CIO Council's PM Guidance):	(1) Project manager has been validated as qualified for this investment
If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?	Yes
Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?	Yes

***I.B.: SUMMARY OF SPENDING BY09***

*Summary of Spending BY09*

Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in thousands, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The "TOTAL" estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

REDACTED EXHIBIT 300 O&M BY09: ETA - DOL eGrants (Revision 9)

	2001	2002	2003	2004	2005	2006	PY 2007	CY 2008	BY 2009
Planning									
Budgetary Resources	0	0	500	620	750	300	0	200	0
Acquisition									
Budgetary Resources	0	0	0	3380	1000	200	0	700	0
Subtotal Planning & Acquisition									
Budgetary Resources	0	0	500	4000	1750	500	0	900	0
Operations & Maintenance									
Budgetary Resources	0	0	0	0	250	100	1200	2450	2570
TOTAL									
Budgetary Resources	0	0	500	4000	2000	600	1200	3350	2570
Government FTE Costs									
Budgetary Resources	0	0	0	135	140	145	150	155	158
Planning									
Budgetary Resources	0	0	0	0	0	0	0	0	0
Acquisition									
Budgetary Resources	0	0	0	0	0	0	0	0	0
Maintenance									
Budgetary Resources	0	0	0	135	140	145	150	155	158

*Full Time Equivalents BY09*

Use the following table to provide the number of Government Full Time Equivalents (FTE) represented by the Government FTE Costs in the Summary of Spending Table. Numbers should be entered in decimal format for each of the categories listed.

	2001	2002	2003	2004	2005	2006	PY 2007	CY 2008	BY 2009
Security	0	0	0	0	0	0	0	0	0
IT	0	0	0	0	0	0	0	0	0
Financial Management	0	0	0	0	0	0	0	0	0
Program Management	0	0	0	1	1	1	1	1	1
Other	0	0	0	0	0	0	0	0	0
Total*	0	0	0	1	1	1	1	1	1

**I.C.: ACQUISITION/CONTRACT STRATEGY BY09**

*Sensitive Data*

\* \* \* SENSITIVE DATA: This information was omitted completely \* \* \*

**I.D.: PERFORMANCE INFORMATION BY09**

*Sensitive Data*

\* \* \* SENSITIVE DATA: This information was omitted completely \* \* \*

**I.E.: SECURITY AND PRIVACY BY09**

*Sensitive Data*

\* \* \* SENSITIVE DATA: This information was omitted completely \* \* \*

**I.F.: ENTERPRISE ARCHITECTURE (EA) BY09**

*General EA Questions BY09*

In order to successfully address this area of the capital asset plan and business case, the investment must be included in the agency's EA and Capital Planning and Investment Control (CPIC) process and mapped to and supporting the FEA. The business case must demonstrate the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

Is this investment included in your agency's target enterprise architecture? Yes

If "no," please explain why this investment is not included in your agency's target enterprise architecture?

Is this investment included in the agency's EA Transition Strategy? Yes

Will the application leverage existing components and/or applications across the Government (i.e., FirstGov, Pay.Gov, etc)? Yes

If "yes," please describe how the application will leverage existing components and/or applications across the Government.

The DOL E-Grants system interfaces with the HHS Grants.gov system. The function of Grants.gov is to provide federal grant applicants with a simple, unified Storefront through which they can search for funding opportunities, download grant opportunity packages, and submit completed applications. The DOL E-Grants system automatically posts grant announcement packages on Grants.gov and receives the corresponding grant applications electronically. Thus reducing the time to process the grant application and improving the ability to track and manage the grant opportunities.

**III.A.: RISK MANAGEMENT BY09**

*Risk Management Plan BY09*

Part III is completed only for investments identified as "Operation and Maintenance" (Steady State) in response to Part I above.

You should have performed a risk assessment during the early planning and initial concept phase of this investment's life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

Does the investment have a Risk Management Plan? Yes

What is the date of the risk management plan? 9/28/2007

**III.B.: COST AND SCHEDULE PERFORMANCE BY09**

*Sensitive Data*

\* \* \* SENSITIVE DATA: This information was omitted completely \* \* \*

