

I.A.: OVERVIEW BY09

Descriptive Information BY09

Template Name	BY2009
Investment Name	ESA - OWCP-Black Lung Automated Support Package
Investment Revision Number	15
Is this investment a consolidated business case?	No
Point of Contact	
Revision Comment	
Date of Submission	9/10/2007
Agency	Department of Labor
Bureau	ESA - OWCP
Name of this Capital Asset	ESA - OWCP-Black Lung Automated Support Package
Exhibit 53 Part	IT Investments by Mission Area
OMB Investment Type	01 - Major Investment
OMB Exhibit 53 Major Mission Area	Financial Management
What kind of investment will this be in this Budget Year?	Operations and Maintenance
OMB Short Description	The Automated Support Package is an automated system that includes case management, benefit and bill payment, and a wide variety of accounting and financial processes for processing and paying citizens with Black Lung in support of congressional mandate.

Screening Questions BY09

Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap:

The Automated Support Package (ASP) is a mission-critical steady state automated system that already meets its performance target by providing mission-critical data processing capabilities for the Office of Workers Compensation Programs (OWCP) Division of Coal Mine Workers' Compensation (DCMWC). Its functions include case management and a wide variety of accounting and financial processes. It has been the program's core IT system since 1985 and undergoes periodic maintenance and upgrades. ASP is hosted on Department of Labor/Employment Standards Administration (DOL/ESA) servers and currently has 266 active users.

The DCMWC mission is to adjudicate and process claims filed by our nation's coal miners and their survivors under the Black Lung Benefits Act and ASP allows DCMWC to perform this function in an automated manner. The program has 17 offices nationwide comprised of a national office, 9 district offices and 7 field stations. The DCMWC administers the Black Lung Benefits Act, by providing: 1) case processing and management of claims filed under the Act by coal miners totally disabled by black lung disease, and their survivors and 2) the accurate and timely payment of just over \$550M in benefit payments annually.

The DCMWC is one of OWCP's four major programs and aligns with several Federal, Departmental, and Agency strategic goals. Two OWCP core functions: Adjudicate Claims and Pay Compensation Benefits, support the Services for Citizens business area of the FEA Business Reference Model (BRM). This business area includes the delivery of citizen-focused benefits as a service and/or obligation of the federal Government. Within the Services for Citizens business area, the program's operations support the Income Security Line of Business (LOB). This LOB includes activities designed to ensure that members of the public are provided with the necessary means to sustain an adequate level of existence. OWCP programs directly support two sub-functions of this LOB: General Retirement & Disability and Survivor Compensation.

Did the Agency's Executive/Investment Committee approve this request?	Yes
If "yes," what was the date of this approval?	7/5/2007
Did the Project Manager review this Exhibit?	Yes
Has the agency developed and/or promoted cost effective, energy efficient and environmentally sustainable techniques or practices for this project.	Yes
Will this investment include electronic assets (including computers)?	Yes
Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)	
If "yes," is an ESPC or UESC being used to help fund this	

investment?	
If "yes," will this investment meet sustainable design principles?	
If "yes," is it designed to be 30% more energy efficient than relevant code?	
Does this investment directly support one of the PMA initiatives?	Yes
If "yes," check all of the PMA initiatives that apply:	Expanded E-Government Financial Performance
Is this investment for information technology?	Yes
Briefly describe how this asset directly supports the identified initiative(s)?	In support of the financial performance PMA goal, this investment improves accuracy and streamlines/consolidates processes to maintain low operating costs and reduces erroneous payments. The E-Gov goal is supported by allowing users to obtain information regarding claims filing procedures and eligibility. Through the common Government Benefits portal, citizens can retrieve information regarding claims filing procedures, eligibility and other program information.

IT Screening Questions BY09

If the answer to Question, "Is this investment for information technology?" was "Yes," complete this sub-section. If the answer is "No," do not answer this sub-section.

What project management qualifications does the Project Manager have? (per CIO Council's PM Guidance):	(1) Project manager has been validated as qualified for this investment
If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?	N/A
Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?	Yes

I.B. : SUMMARY OF SPENDING BY09

Summary of Spending BY09

Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in thousands, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The "TOTAL" estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

REDACTED EXHIBIT 300 O&M BY09: ESA - OWCP-Black Lung Automated Support Pack...

	2001	2002	2003	2004	2005	2006	PY 2007	CY 2008	BY 2009
Planning									
Budgetary Resources	0	0	0	0	0	0	0	0	0
Acquisition									
Budgetary Resources	3100	0	0	0	0	0	0	0	0
Subtotal Planning & Acquisition									
Budgetary Resources	3100	0	0	0	0	0	0	0	0
Operations & Maintenance									
Budgetary Resources	143561	12378	11630	10549	8300	9592	9481	9419	9607
TOTAL									
Budgetary Resources	146661	12378	11630	10549	8300	9592	9481	9419	9607
Government FTE Costs									
Budgetary Resources	16100	1343	1370	1700	1720	1772	1825	1865	1906
Planning									
Budgetary Resources	0	0	0	0	0	0	0	0	0
Acquisition									
Budgetary Resources	0	0	0	0	0	0	0	0	0
Maintenance									
Budgetary Resources	16100	1343	1370	1700	1720	1772	1825	1865	1906

Full Time Equivalent BY09

Use the following table to provide the number of Government Full Time Equivalents (FTE) represented by the Government FTE Costs in the Summary of Spending Table. Numbers should be entered in decimal format for each of the categories listed.

	2001	2002	2003	2004	2005	2006	PY 2007	CY 2008	BY 2009
Security									
IT									
Financial Management									
Program Management									
Other									
Total*									

I.C.: ACQUISITION/CONTRACT STRATEGY BY09

Sensitive Data

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I.D.: PERFORMANCE INFORMATION BY09

Sensitive Data

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I.E.: SECURITY AND PRIVACY BY09

Sensitive Data

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I.F.: ENTERPRISE ARCHITECTURE (EA) BY09

General EA Questions BY09

In order to successfully address this area of the capital asset plan and business case, the investment must be included in the agency's EA and Capital Planning and Investment Control (CPIC) process and mapped to and supporting the FEA. The business case must demonstrate the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

Is this investment included in your agency's target enterprise architecture? Yes

If "no," please explain why this investment is not included in your agency's target enterprise architecture?

Is this investment included in the agency's EA Transition Strategy? Yes

Will the application leverage existing components and/or applications across the Government (i.e., FirstGov, Pay.Gov, etc)? Yes

If "yes," please describe how the application will leverage existing components and/or applications across the Government. Yes. This investment leverages the following existing applications across the Government:

- Labor's GovBenefits.Gov as a portal for citizens to find information about Federal benefits they may be entitled to. The Black Lung program is included on this site.
- Treasury's Secure Payment System (SPS) for transmitting and certifying payment files.
- DOL/ESA's general support system (GSS) houses the investment's application and provides development tools (planned for 12/06).
- DOL/ESA's Login Component - provides a singly-developed/managed access component to guarantee consistent authentication security for all MAs housed on the GSS.
- OWCP's Central Bill Process (CBP) - an outsourced business process shared by 3 OWCP investments for the payment of medical bills.
- Treasury's Pay.Gov is used as the input source to the ASP for cancelled check data.

III.A.: RISK MANAGEMENT BY09

Risk Management Plan BY09

Part III is completed only for investments identified as "Operation and Maintenance" (Steady State) in response to Part I above. You should have performed a risk assessment during the early planning and initial concept phase of this investment's life-cycle,

developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

Does the investment have a Risk Management Plan? Yes

What is the date of the risk management plan? 6/4/2007

III.B.: COST AND SCHEDULE PERFORMANCE BY09

Sensitive Data

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