

1 DR. PILARO: The therapeutic dose, I actually only  
2 touched on in the hemophilia study, and the therapeutic dose  
3 was actually tenfold lower than the no observable effect  
4 level dose. You had therapeutic levels of the gene being  
5 expressed at lower doses. That's what gives you your margin  
6 of safety.

7 CHAIRMAN SALOMON: So, that is a good--

8 DR. PILARO: Yeah, that is a good thing. That's a  
9 good thing.

10 CHAIRMAN SALOMON: So, if your effective dose is  
11 lower than your no adverse effect--

12 DR. PILARO: If you're tenfold lower than your  
13 toxic dose, then yes, you're in great shape.

14 CHAIRMAN SALOMON: The last question is when you  
15 compared all those species, it was in the cystic fibrosis  
16 trial where you had the NOAEL's for mouse, hamster, cotton  
17 rat, rhesus monkey, baboon and human, how significant are  
18 these comparisons then? I mean, the rhesus monkey, for  
19 example, gave you 8.2-times-ten-to-the-seventh and the  
20 baboon, 1.8-times-ten-to-the-ninth, and the human, 1.2-  
21 times-ten-to-the-seventh. Does that mean that the baboon is  
22 not a good model for the human?

23 DR. PILARO: Actually, the data out of that study  
24 are somewhat old now. The rhesus monkey no observable  
25 effect level dose is probably at least two logs higher now.

1 Those studies were conducted with early generation of  
2 vector, and it is probably not fair to have that one in  
3 there because that was actually done very early on.

4           The baboon, the monkey and the mouse actually  
5 showed the same pathology in the lung. They all had  
6 perivascular infiltrates. They all had peribronchial or  
7 cuffing of leukocytes. There was inflammation present.  
8 There was edema present in the lungs. When you actually  
9 looked at the one patient that developed the toxicity, she  
10 had a white-out of her lungs on X-ray. She had basically  
11 infiltrates all over the place, too. It was very predictive  
12 when you looked at it, but the mouse was just as predictive  
13 as the baboon in that particular group of studies.

14           CHAIRMAN SALOMON: Not to belabor it, but the data  
15 for the human, though, is 1.2-times-ten-to-the-seventh,  
16 which is two logs lower.

17           DR. PILARO: Well, and that was the only dose that  
18 was studied lower, so at this point in time we actually have  
19 additional data that shows that the NOAEL, the later study  
20 that I showed you, the NOAEL is three-times-ten-to-the-ninth  
21 PFU after repeated administration. It actually is higher.  
22 It is up in the same range as what was seen in the animal  
23 studies now.

24           CHAIRMAN SALOMON: Thank you. That clarifies  
25 things.

1 DR. JONES: Hi. My name is Estella Jones. I am a  
2 primate veterinarian and I'm the chief of the primatology  
3 lab for CBER, Center for Biologics Evaluation and Research.  
4 Today, I am going to discuss the advantages and  
5 disadvantages of using primates in biomedical research. I  
6 will frequently try to stay away from acronyms, but the  
7 animal care world has its own life of acronyms, as you will  
8 see. You will see me using NHPs, and that means nonhuman  
9 primates.

10 I try to stay away from the use of the word  
11 monkey, because it means a lot of things and a lot of times  
12 every nonhuman primate is not a monkey to us. Now, we know-  
13 -the things that come to our head when we say advantages.  
14 We know that nonhuman primates closely resemble us,  
15 genetically and biologically, so we think that they are good  
16 animal models for a lot of diseases and we have obtained a  
17 lot of relevant information, and they're valuable research  
18 subjects for a lot of diseases, certainly.

19 But, to date, we have really only used about 30 of  
20 these species out of 200 that are available. There are some  
21 disadvantages that come to mind, especially when you talk to  
22 primate veterinarians. There are increasing animal welfare  
23 regulations and legislations, cost and supply limitations.  
24 They are comparatively lower with reproduction rates. They  
25 have very long gestation periods, if you compare that to a

1 mouse, every 20 days. They have a lot of special  
2 regulations, as you're about to see.

3 We will start with federal agencies. You can  
4 decide for yourself whether that is a pro or a con. Department  
5 of Interior, some of these will be surprises. Fish and  
6 Wildlife Service, actually, Fish and Wildlife regulate the  
7 Endangered Species Act. They also enforce the Lacey Act.  
8 Some of these things don't seem like they need enforcement,  
9 but, for instance, the Lacey Act came up with the idea that  
10 animals do need ventilation when they travel overseas. That  
11 one should be a no-brainer, but actually that one needed an  
12 act.

13 There's something called CITES, which you will see  
14 if you have ever worked with chimpanzees. By the way,  
15 chimpanzees are not monkeys. Most veterinarians get very  
16 offended if you call chimpanzees monkeys. They are great  
17 apes. CITES stands for Convention on International Trade in  
18 Endangered Species of Wild Fauna and Flora. For that one, I  
19 will use an acronym. Department of Health and Human  
20 Services, under that one falls the NIH.

21 NIH is responsible for developing PHS-funded  
22 organizations that are ALAC-accredited. That one, I will  
23 also use an acronym. ALAC stands for--it used to stand for  
24 American Association for the Accreditation of Laboratory  
25 Animal Care, but now they're calling themselves ALAC

1 International, and that one is too long to say. There was  
2 OPRR, which is now OLAW. We like to make up acronyms and  
3 then change the name, too. That keeps everybody confused.

4           There is the National Chimpanzee Management Plan  
5 that also falls under NIH. The Interagency Animal Model  
6 Committee for Review of Research Protocols Utilizing  
7 Chimpanzees--there is the NIH Intramural Nonhuman Primate  
8 Management Plan, and then there is the SPF Rhesus and  
9 Cinemologous Breeding Program that NIH is responsible for,  
10 and that started in 1988.

11           Then, of course, there is the Centers for Disease  
12 Control, and they are responsible for overseeing the  
13 importation of nonhuman primates into the United States and  
14 preventing the introduction of new diseases; one of those  
15 is--some of you may remember the Ebola-like scare that we  
16 had back in 1989. I was around for that one--yellow fever,  
17 monkey pox, there are quite a few regulations with CDC, and  
18 they were kind enough to share some statistics with us, and  
19 I will get to those later.

20           FDA, we have GLP regulations and criteria for  
21 viral vaccines that a lot of you are familiar with.  
22 Department of Transportation--we're facing some problems now  
23 with importation because airlines are deregulated and they  
24 can pretty much choose what they're going to ship now. A  
25 lot of them are refusing to ship nonhuman primates because

1 of the disease risk.

2           The Justice Department is involved with the  
3 controlled substances that we have to use to transport a lot  
4 of these species. And a lot of states have developed laws  
5 to try to protect the cities that the primates come into  
6 with some of the communicable diseases. If you can get past  
7 the federal agency involvement, then we go to national laws,  
8 regulations and policies.

9           Really, I am only touching on these subjects,  
10 because we have a limited amount of time today. The  
11 document that you see on your right is a guidebook for  
12 everyone who uses laboratory animals. It is pretty  
13 extensive and it has been revised many times. It started  
14 off being called the Guide for Laboratory Animal Facilities  
15 and Care in 1963, and it was revised in 1972, and now it's  
16 called the Guide for the Care and Use of Laboratory Animals,  
17 and this is pretty much our Bible.

18           This is a new revision and it is revised about  
19 every five years. In 1966, we had the Animal Welfare Act,  
20 and there are so many revisions on that that I cannot list  
21 them all on this document, but you can find out a lot about  
22 the Animal Welfare Act, if you're interested, on the  
23 Internet. Every revision is listed. There is some current  
24 legislation on pain management that is in a comment period,  
25 and if you like to comment, now is the time. There is IPSC,

1 and that was renamed to IRAC, and that is currently a group  
2 that meets quarterly, I believe.

3           Then there is the U.S. Public Health Service  
4 Policy on Humane Care and Use of Laboratory Animals. That  
5 is a published document that is amended currently, as well.  
6 So, you should be familiar with all of these documents if  
7 you plan on using laboratory animals, including nonhuman  
8 primates.

9           The Animal Welfare Act is a very important  
10 document in using especially nonhuman primates, because as  
11 you know they are a very visible animal with the animal  
12 rights activists. I chose to pull out Policy 12, because  
13 when you write an animal study proposal, or some people call  
14 it an ASP, you have to fill out a lot of sections and  
15 justify why you're using--why you have chosen a nonhuman  
16 primate, particularly.

17           If you look at number four, the written assurance  
18 that the activities do not unnecessarily duplicate previous  
19 experiments, this particular wording means that you have to  
20 categorize your pain classification into column C, D or E.  
21 C means minimal pain or distress, to the USDA. D means pain  
22 or distress that you will alleviate. In other words, if  
23 you're going to classify your animals under column D, that  
24 means that you're going to use an analgesic or have some  
25 means of relieving pain. E means that you do not plan on

1 intervening at all, and if the animal dies while that animal  
2 is on your experiment, then it has to be justified and you  
3 have to provide a narrative with your protocol.

4           The trick is if you have any nonhuman primates or  
5 any animals at all that go under column E, these are the  
6 protocols that are targeted by groups like PETA, and there  
7 are lots of them. It is not just PETA, but animal rights  
8 groups frequently pull all large animal protocols that are  
9 classified as column E under the Freedom of Information Act,  
10 and you may be a targeted scientist, i.e., they may follow  
11 you home and protest on your lawn and threaten your children  
12 and all of that stuff.

13           That is an important thing to know, should you  
14 choose a column E protocol--that is a very sensitive  
15 category. Importer requirements, this is a CDC requirement  
16 and you have to be registered with the CDC to import  
17 nonhuman primates into this country. Their use has to be  
18 certified. You have to implement disease control measures  
19 that the CDC approves of. They have to be isolated for a  
20 minimum of 31 days. That is if they are healthy, if they  
21 are not showing signs of clinical disease. You have to  
22 report suspected zoonotic illness and maintain records  
23 regarding distribution.

24           Also, it is important to know that if you have  
25 animals that you have arranged to come into this country,



1 and there is an outbreak, a lot of times these animals are  
2 caught in nature and there's an outbreak of a stress  
3 diarrhea, and that might look like some type of zoonotic  
4 illness, it is your responsibility and your expense to have  
5 these animals in containment until you can prove that they  
6 are healthy. The CDC does not take on that expense. That  
7 is up to the shipper--I mean, up to the person that is  
8 importing.

9           This was the total number of primate imports over  
10 the last six years. CDC was kind enough to provide these  
11 statistics for me. As you can see, we're going into a  
12 decline, because there is a fear, a concern, that we are  
13 eventually going to get some kind of zoonotic disease  
14 outbreak from a nonhuman primate. Like I said, we had our  
15 Marberg scare about 11 years ago.

16           Right now, we only have 26 registered importers.  
17 The slide on the right was from a colony in Africa. This  
18 species is now endangered, so you would not see this species  
19 used in research in the United States. That is the black  
20 and white collibus monkey. Out of all the imports last  
21 year, fiscal year 2000, ending in September, there was only  
22 a .4 percent mortality, but 2.9 percent morbidity.

23           So, carriers are decreasing the numbers that  
24 they're willing to carry and this is creating a problem of  
25 availability, unless we breed our own animals at breeding

1 institutions. Also, this slide is just designed to show how  
2 many shipments these were spread across and also how many  
3 species came into the country.

4           There were 10 carriers in the last 12 months  
5 across the continent that were willing to carry nonhuman  
6 primates. It is not just across the continent. There was  
7 one airline that carried nonhuman primates within the U.S.  
8 24 times within the last 12 months. That statistic was  
9 provided by the CDC. As a matter of fact, my facility had  
10 chimpanzees come in last month and the researcher had to go  
11 and pick up the chimpanzees himself. He drove to New Mexico  
12 to pick up five chimps. From what I understand, it was not  
13 a pleasant trip. That is dedication.

14           By the way, chimpanzees are now costing \$120,000  
15 each. Macaques, these are rhesus macaques on the right.  
16 These are imports by country, and this is just giving you an  
17 idea of the majority of the cynos and macaques that are  
18 coming in and what countries are importing these. This, of  
19 course, will change based on any trade restrictions that go  
20 into place in the coming years.

21           If you have been reading the Post, then these  
22 numbers may definitely change. This is also by country for  
23 African Greens and baboons. These numbers are not nearly as  
24 high as the cynos and rhesus numbers. I was fortunate  
25 enough to spend some time with World Health at the Institute

1 for Primate Research in Kenya, and there they have a vast  
2 availability of baboons. They are considered pests, kind of  
3 like roaches are here. They bargain with the pineapple  
4 farmers not to kill them and they use them for research  
5 there.

6 Another import-by-country slide, and you see the  
7 owl monkey is almost not in importation at all. So, now  
8 we're on to quarantine, and there is a need for quarantine.  
9 If you're lucky enough to get them here, okay, now we're  
10 into quarantine, and this is pretty self-explanatory. You  
11 have to have a staff that is separated from your healthy  
12 staff, because if you go through all of the requirements to  
13 get them here, and you bring a sick colony in and your whole  
14 healthy colony dies, it does you no good. So, you want a  
15 healthy colony to come in, but there is a requirement to  
16 keep them quarantined.

17 Standard at NIH is 90 days and our facility is on  
18 NIH's campus, so we do abide by their standard quarantine  
19 rules. If you get a positive TB test and they do have to  
20 pass three negative TB tests every 30 days at NIH, you have  
21 to start your quarantine all over again, this is at the  
22 investigator's expense. This can get pretty expensive if  
23 this were to happen.

24 If you get an illness in quarantine and it's  
25 nondescript, that starts your quarantine period all over

1 again. Also, you cannot have cross-contamination of  
2 personnel, equipment and clothing, because that just defeats  
3 the whole purpose of having a quarantine, obviously.

4 Cost factors, and this really is what is driving  
5 the cost of primate research up so high, and that is really  
6 what the animal rights activists would like to see, is to  
7 make it so expensive that we just cannot do it at all, and  
8 then they have reached their goal. Animal procurement is  
9 expensive. The average rhesus monkey, you can expect to pay  
10 anywhere from \$4,000-to-\$6,000 per animal these days.

11 You also have to expect to pay--these are actually  
12 NIH numbers--46 percent of your cost in caretakers' salary  
13 and benefits. Now there's discussion about the hazards in  
14 this job, because just normal macaque work is a very  
15 hazardous job. I'm sure most of you know that macaques  
16 carry diseases that are not threatening to them, but are  
17 very deadly for us. So, a very innocent exposure can cost a  
18 human its life. I lost a classmate to a monkey disease.

19 Caging is very expensive and we will discuss that  
20 in a minute. Of course, you have to provide veterinary care  
21 and all of these expenses have to be taken into account.  
22 Housing is something else that a lot of people do not  
23 realize can be very, very expensive. There are lots of  
24 different types of housing. We're trying to go back to the  
25 more natural habitat.

1           Again, a lot of the language in the Animal Welfare  
2 Act and the guide is to provide a more natural environment.  
3 We're finding that you get more natural behaviors if you can  
4 provide a natural environment. Some of these are outdoor  
5 group caging that is designed to create a more natural  
6 environment for the animal. They seem to thrive, to be able  
7 to breed and do better, perform on the trials better, if you  
8 can do that.

9           Some of these cages are designed to provide  
10 enrichment where they can be pair-housed. Actually, pair-  
11 housing is now a requirement of the guide. If you do not  
12 pair-house your animals, you have to provide a scientific  
13 justification of why that is not occurring. This cage on  
14 the right is averaging about \$6,000 to \$7,000 right now.

15           Environmental enrichment is a requirement now  
16 under the law. It has to be natural. You have to be able  
17 to assess their well-being. That includes keeping records  
18 of what you're doing to enrich these animals, also what  
19 you're doing to assess their psychological well-being. You  
20 have to give consideration to species differences and what  
21 is natural for them and be able to record their cognitive  
22 and motor enrichment, because now we're finding that  
23 psychological distress can give you an adverse research  
24 results. That is actually not surprising. That is  
25 something that has been shown in humans, as well.

1           These are just some of your options for what we  
2 consider to be enriching. That is a puzzle feeder. Most  
3 nonhuman primates can figure that out in under two minutes  
4 flat. Humans, maybe a couple of days. These are toys. You  
5 do have to watch the size of your spaces, because you do not  
6 want them to swallow this thing whole and then have to take  
7 them to surgery to remove it.

8           We're going to touch a little bit on taxonomy.  
9 Like I said, monkeys are not really all monkeys. There are  
10 prosimians. These species, I just wanted to show you, they  
11 vary so much in size, some of them are really just not much  
12 bigger than a rat. If you're looking for vascular access,  
13 that might be hard. All of these are considered endangered  
14 now, so you're not going to find these guys in research;  
15 same thing for the Tarsiers. You're not going to find these  
16 in research.

17           There are few places that are running breeding  
18 programs, but they don't breed very readily in captivity.

19           CHAIRMAN SALOMON: Dr. Jones, as long as there is  
20 a natural break here, how much long do you have? I just am  
21 trying to stay on time here.

22           DR. JONES: I think I'm about four slides from the  
23 end here.

24           CHAIRMAN SALOMON: Okay. Thank you.

25           DR. JONES: You can read these for yourself. I

1 won't read to you, but we split monkeys into two groups,  
2 platyrrhines and catarrhines. These are new world versus  
3 old world. It's just a fancy name for a new world monkey  
4 from the left, versus old world, and they are  
5 characteristically different. If you're going to select a  
6 group, you have to know these differences, because they may  
7 impact your research results.

8           As you can see, some require vitamin D3 in their  
9 diet, the others don't. You see the ischial callosities,  
10 just pads for them to sit on, and the others don't have  
11 them. Some have them, some don't. The opposable thumbs  
12 just help them peel bananas and things like that.

13           This is a marmoset. Also, you have to know you  
14 that you cannot house squirrel monkeys with marmosets  
15 because squirrel monkeys carry very generic diseases that  
16 are fatal to marmosets. Owl monkeys are used in research,  
17 especially for antimalarial drug development.

18           That is a squirrel monkey. Also, I wanted to  
19 mention that there are so many species and subspecies within  
20 each group, if they have a lot of subspecies like the  
21 African Green, we recommend that you do karyotyping because  
22 you can get different results based on just having different  
23 subspecies within the same research group.

24           This into the old world monkey species here,  
25 African Green, or Cercopithecus, rhesus monkey, which is

1 very commonly used in the U.S.; 80 percent of these macaques  
2 carry the herpes B virus, which is deadly for humans. If  
3 you're scratched or bitten or even exposed with urine or  
4 feces through a mucous membrane, you are at risk for herpes  
5 B, which can be deadly in humans. It causes a fatal  
6 encephalopathy. Apes and humans are not monkeys, and the  
7 chimpanzee is used for hepatitis research.

8           This is a first-response posting that we're  
9 required to have in all of our facilities at the NIH. You  
10 should enroll your employees in what we call an animal  
11 exposure surveillance program, because this is a big risk if  
12 you have employees working with nonhuman primates, and these  
13 are the zoonotic diseases that come to mind when you have  
14 nonhuman primates in your facility.

15           I also listed the various uses of nonhuman  
16 primates in biomedical research, and that list is there for  
17 you to read on your own. And I believe we are at the end  
18 here.

19           CHAIRMAN SALOMON: Abbey, point of clarification?

20           MS. MEYERS: Before you sit down, I really want to  
21 know, the Animal Welfare Act and all of the regulations, do  
22 they apply to privately-funded research, as well as  
23 publicly-funded?

24           DR. JONES: Yes.

25           MS. MEYERS: If you have monkeys, you have a



1 pharmaceutical company and you have these things at your  
2 headquarters, you still have to follow them through?

3 DR. JONES: Yes, you do. That applies to  
4 industry, as well as government agencies.

5 MS. MEYERS: I would also just like to comment  
6 that there's no equivalent to the Animal Research Act for  
7 human beings.

8 DR. JONES: No, there are actually more  
9 regulations for animal study protocols than human protocols.  
10 I used to work at Baylor College of Medicine, and we  
11 frequently got that complaint from the people who reviewed  
12 the human--the animal protocols versus the human studies.

13 MS. MEYERS: Yes, we do not have any enrichment.

14 DR. JONES: Right, no human enrichment.

15 CHAIRMAN SALOMON: Thank you very much. I think  
16 everyone has been sitting for quite awhile. There are two  
17 more talks before we get to the discussion, so I would like  
18 to call a 10-minute break and ask people to really be back  
19 in 10 minutes this time. Thank you.

20 [Recess.]

21 CHAIRMAN SALOMON: I would like to introduce one  
22 addition to the panel at the table. It is Dr. Joe  
23 Tomaczewski, who is the chief of Toxicology and Pharmacology  
24 Branch, the Developmental Therapeutics Program of the  
25 National Cancer Institute, National Institutes of Health.

1 Ed Sausville had an internal site visit going on and asked  
2 to--that he had to leave for a few hours, but gave us his  
3 super expert, and so I think we're well-served and we  
4 appreciate your joining us. You have to answer one  
5 brilliant question sometime in the next hour-and-a-half.

6 Before we start with the next speaker, Dr.  
7 Anderson, I pointed out, had stolen my chance to make a big  
8 dramatic introduction to his concept that we could get the  
9 Human Genome Project and Dr. Collins to begin the sequencing  
10 of these larger vectors. He says he has a follow-up, so Dr.  
11 Anderson?

12 DR. ANDERSON: Yes, Phil Noguchi and I had  
13 additional phone calls over the break, and we have now gone  
14 from a very possible to a very probable for getting these  
15 herpes simplex, pox and so on that are directly involved,  
16 not only in disease, but also vaccine development and gene  
17 therapy.

18 Phil now has the ball firmly in his own hands to  
19 make direct contact tomorrow, to go about the process of  
20 determining which viruses will be done. That's it.

21 CHAIRMAN SALOMON: I think this is the government  
22 at its best. I appreciate that very much. Okay, Dr. High,  
23 to talk to us about the use of the canine model of  
24 hemophilia, and then that will be followed by Dr. Whitley,  
25 and then we will go on to the discussion.

1 DR. HIGH: I'm a hematologist on the staff at the  
2 Children's Hospital of Philadelphia and a member of the  
3 faculty at Penn and our laboratory has had a long-standing  
4 interest in the molecular basis of blood disorders and on  
5 the use of gene transfer techniques to treat hemophilia. As  
6 Dr. Pilaro mentioned at the outset, we have use the  
7 hemophilia B dog model to do safety, efficacy and toxicity  
8 studies of AAV vectors used to treat Factor IX deficiency,  
9 and the short version of what I am going to talk to you  
10 about over the next several minutes is that the major  
11 complication of our current protein-based method of treating  
12 hemophilia is the formation of inhibitory antibodies, that  
13 it is likely that this complication will not be avoided by  
14 gene-based approaches to treating the disease, and that it  
15 is, in my opinion, an obligation of investigators to assess  
16 the likelihood of inhibitor formation using their gene  
17 therapy technique in an animal model of the disease.

18 As I mentioned, the major complication of the  
19 current protein-based method of treating hemophilia is the  
20 formation of antibodies that inhibit the activity of the  
21 clotting factors. Those occur 20 percent of the time in  
22 individuals with Factor VIII deficiency and in three percent  
23 of individuals with Factor IX deficiency.

24 The reason that there are serious complications,  
25 this is a picture of a boy with a compartment syndrome as he

1 has bled into the soft tissue in the thigh, and you can see  
2 also he is unable to straighten his knees because of  
3 repeated bleeds into these joints. The concern over  
4 inhibitory antibodies is that normal therapy fails. It is  
5 much more difficult to control hemostasis.

6           There are products that can do it, but they are  
7 much more expensive than they already very expensive  
8 clotting factor concentrates. Individuals who develop  
9 inhibitors suffer increased morbidity and mortality. They  
10 suffer from much reduced ability for us to maintain  
11 hemostasis during either necessary or elective surgery, and  
12 individuals who develop antibodies to Factor IX can develop  
13 anaphylactoid reactions to the infusion of concentrates. It  
14 is a serious problem, if it develops.

15           Clinically, inhibitors are measured in a unit  
16 called the Bethesda unit, which measures--one Bethesda unit  
17 is defined as the quantity of inhibitory antibody that can  
18 result in the loss of 50 percent of factor activity when the  
19 test sample is incubated with a sample of normal plasma.  
20 Clinically, these are divided into high-responding, which is  
21 over 10 Bethesda units, or low-responding, and that is  
22 important because they are managed differently.

23           They can also occur either as long-lasting  
24 persistent inhibitors or more transient inhibitors, which  
25 tend to be lower titre. It is known from 30 years of

1 experience with protein concentrates for the treatment of  
2 hemophilia that there are certain risk factors that  
3 predispose toward them, and first and foremost among those  
4 is the underlying mutation causing hemophilia in the  
5 individual, and so, for example, in Factor IX deficiency,  
6 many individuals who develop inhibitory antibodies have  
7 large gene deletions or early stop codons in the Factor IX  
8 gene, and if one views the underlying mutations on a  
9 gradient moving from large gene deletions through stop  
10 codons, missense mutations associated with no circulating  
11 protein or missense mutations associated with a circulating  
12 protein, there is a gradient with many inhibitors occurring  
13 here and much less frequent as you move to the right.

14           The induction of inhibitors can be understood in  
15 terms of moderate concepts of tolerance. Inhibitors are  
16 promoted by T-helper cells. In a normal individual, during  
17 fetal development, self-reactive T-cells are deleted or  
18 energized, but depending on the underlying mutation, a  
19 hemophilic individual may not express the epitopes  
20 recognized by those T-cells and thus they persist, and on  
21 encounter with the antigen during initial treatment with  
22 Factor VIII or Factor IX concentrate, these T-cells can  
23 promote induction of a neutralizing antibody response.

24           Clearly, the nature of the underlying mutation and  
25 the amount of coding sequence that is lost is a risk factor.

1 In protein based therapy, certain inherited characteristics  
2 of the immune response are also risk factors. We know this  
3 because there are many individuals who have this same  
4 underlying mutation, but not all of them will form  
5 inhibitory antibodies when exposed to factor concentrate.

6 The clotting factor itself can be a risk factor  
7 for antibody formation. There was a so-called outbreak of  
8 inhibitory antibodies in the Dutch population some years  
9 ago, following the introduction of a new method for viral  
10 inactivation of the plasma used to produce the product.  
11 Finally, there is a great deal of information in the  
12 clinical literature for hemophilia that suggests that  
13 individuals exposed to clotting factor for the first time,  
14 under situations where there is extensive inflammation or  
15 tissue injury, may be more likely to form inhibitory  
16 antibodies.

17 I believe that it is likely inhibitory antibodies  
18 will also be a problem for gene-based approaches, as well as  
19 those that we currently use that rely on the intravenous  
20 infusion of Factor VIII or Factor IX protein, and one can  
21 list many ways in which antigen presentation may differ  
22 between a donated gene approach versus intravenous infusion  
23 of a protein, and this slide illustrates one of those.

24 For protein-based treatment of hemophilia, the  
25 protein is exogenously synthesized and infused intravenously

1 and antigen presentation occurs almost exclusively in the  
2 context of MHC Class II, which has as its job the display of  
3 peptides derived from proteins that are taken up from the  
4 environment and a gene-based approach where now, for the  
5 first time, the individual will begin to synthesize the  
6 protein endogenously.

7           Antigen presentation will surely occur in the  
8 context of Class II determinants, just as it does for  
9 intravenous infusion of the protein, but may now also occur  
10 in the context of Class I determinants which have as their  
11 function the display of the peptide fragments that are  
12 derived from proteins synthesized inside the cell that  
13 displays them. That is just one example of the differences  
14 between antigen presentation and these two different  
15 approaches.

16           Work by a number of investigators over the past 10  
17 years has demonstrated that a number of these factors listed  
18 here may be risk vectors for inhibitory antibody formation  
19 to clotting factors in a gene-transfer approach for the  
20 treatment of hemophilia. I should stop and say that I am  
21 talking about hemophilia, but these remarks in general apply  
22 to genetic deficiency states characterized by the absence of  
23 some circulating secreted protein.

24           The vector itself can be a risk vector for  
25 inhibitor formation. The target tissue that is chosen, the

1 dose of vector, the presence or absence of tissue-specific  
2 promoter elements and immunomodulatory maneuvers at the time  
3 of antigen presentation. I will not have time to go through  
4 all of these factors, but I will show you one example of  
5 experiments that we published earlier this year, looking at  
6 the role of the vector itself and immune response to the  
7 transgene product Factor IX when a vector is introduced into  
8 skeletal muscle of mice.

9           For these experiments, we made either an AAV  
10 Factor IX vector or an adenoviral Factor IX vector and  
11 injected it into the hind limbs of mice and at time points  
12 later sacrificed the mice and examined them for the presence  
13 of CD8-positive cells, and you can see these were present in  
14 the context of adenoviral vector, but not in the context of  
15 the AAV vector. In additional experiments, we harvested  
16 lymphocytes from the draining lymph nodes and the  
17 splinocytes of these animals and carried out experiments  
18 looking for cytotoxic T-lymphocyte response specifically to  
19 Factor IX, so use target cells expressing Factor IX and used  
20 the harvested lymphocytes as the effector cells and were  
21 able to show that there was Factor IX-specific CTLs in the  
22 lymphocytes derived from the Ad-injected animals, but not  
23 from the AAV-injected animals, and in additional experiments  
24 we looked at CD4 profiles, again from lymphocytes isolated  
25 from animals that had been injected either with Ad Factor IX



1 intramuscular or with AAV Factor IX intramuscular, and you  
2 can see that when these lymphocytes were stimulated with  
3 Factor IX, lymphocytes from the Ad Factor IX injected  
4 animals produced interleuken-2, interferon gamma and IL-10,  
5 whereas the animals that had been injected with the AAV  
6 Factor IX had at most a limited IL-10 response to Factor IX  
7 antigen.

8           Clearly, the vector itself can be a determinant of  
9 the immune response to the transgene product. As Dr. Pilaro  
10 mentioned, we have done a number of studies in hemophilic  
11 dogs looking at other determinants of inhibitor formation in  
12 the setting of gene transfer in this approach to treating  
13 hemophilia. I'm going to talk a little bit now on some of  
14 our studies that analyzed dose as a determinant.

15           For these studies, we were using an AAV Factor IX  
16 vector, and you talked about AAV earlier in the day, so I'm  
17 not going to spend a lot of time, but the strategy that we  
18 are currently using involves the introduction of an AAV  
19 Factor IX vector into skeletal muscle. In earlier  
20 experiments, we had demonstrated in immunodeficient mice  
21 that the introduction of the vector into skeletal muscle  
22 could result in long-term expression, and actually this went  
23 out for more than a year, long-term expression at levels  
24 that would be therapeutic in humans. These correspond to  
25 levels of five-to-seven percent of normal circulating Factor

1 IX and would convert an individual with severe hemophilia  
2 into one with mild hemophilia.

3           Based on those studies, we wanted to extend our  
4 studies into the hemophilic dog model, and as I said before,  
5 one of our major goals in using the hemophilic large animal  
6 model was to determine the likelihood of an inhibitory  
7 antibody response using this particular strategy of  
8 introducing AAV Factor IX into intramuscular sites.

9           For these experiments, we collaborated with the  
10 canine hemophilia B colony, with a group that runs the  
11 colony at UNC Chapel Hill. The defect in these dogs is  
12 known and was determined some 10 years ago. They have a  
13 missense mutation at a highly conservative glycine residue  
14 in the catalytic domain of the protein. They have normal  
15 Factor IX transcript levels, but no circulating Factor IX  
16 antigen, and they have severe hemophilia B, less than one  
17 percent activity.

18           The experiments that we did made use of the vector  
19 that is diagrammed here. It directs the expression of  
20 canine Factor IX--this is a very important point--that  
21 without the use of a species-specific transgene, these  
22 animals will rapidly develop antibodies, and it is not  
23 possible to continue to follow the work. Using a CMV  
24 enhancer promoter and a synthetic intron to derive canine  
25 Factor IX CD in an expression, we carried out the

1 experiments outlined here.

2           Our initial experiments in dogs were actually done  
3 as a dose-escalation approach, starting with about ten-to-  
4 the-eleventh vector genomes per kilo and moving up to nearly  
5 ten-to-the-thirteenth vector genomes per kilo. The dogs  
6 ranged in size from six-to-20 kilos, so the larger ones were  
7 as big as a nine-year-old child, and then they underwent  
8 intramuscular injection of vector at day one of the protocol  
9 and have been followed since using a number of coagulation,  
10 hematologic and chemistry clinical pathology measurements,  
11 as well as serial biopsies of the injected muscle tissue.

12           One point that I would make, which is an advantage  
13 of the dogs over mouse models, is that, of course, dogs are  
14 very long-lived compared to mice, and we've been able to  
15 follow these out now for a period of over three years. What  
16 we've seen in the dose escalation study is that the more  
17 vector injected into intramuscular sites, the higher the  
18 circulating levels of Factor IX. The blue line across the  
19 middle here denotes 50 nanograms per ml, which would be a  
20 level of one percent in humans, and levels above this, we  
21 know from experience with clotting factor concentrates,  
22 should improve the clinical phenotype in an individual with  
23 hemophilia.

24           So, we have been able to follow these animals for  
25 long periods, over three years, with the study continuing as

1 long as we continue to be funded. We have also done serial  
2 muscle biopsies in the animals and these are muscle biopsies  
3 done six weeks after injection and again two years later,  
4 and you can see there is no evidence of inflammation or  
5 degeneration in the muscle, and the dog vector was co-  
6 injected with carbon particles, which shows up here as a  
7 sort of reddish material and allows us to go in and biopsy  
8 exactly the right location, and you can see again the carbon  
9 particles are evident here two years later, and although  
10 there is freeze artifact in this muscle, again, there is no  
11 evidence of any inflammatory infiltrate or evidence of  
12 deterioration of the tissue.

13           Then, we also did immunofluorescent staining for  
14 Factor IX and injected muscle tissue, and you can see cells  
15 that demonstrate the fluorescent stain here. We did serial  
16 chemistry studies in these animals, again over a period of  
17 years. Except for transient elevation of the creatinine  
18 kinase immediately after injection, which is also seen when  
19 saline is injected in the animals, there was no evidence of  
20 any changes following vector injection, no changes in  
21 hematologic parameters.

22           We did viral shedding studies in the animals,  
23 including collection of semen from the male dogs that were  
24 injected and saw no evidence of transmission of vector in  
25 the semen and other serial studies have all been negative

1 for any toxicity. In addition to the toxicity studies in  
2 dogs, we obviously did toxicity studies in other species, as  
3 well, including mice, rats and rabbits. Dogs were important  
4 for these studies, and also another important issue we were  
5 able to address in the muscle biopsies was to look at gene  
6 transfer on southern blot from the biopsied muscle, and  
7 without going through it in detail, I will just mention that  
8 using undigested DNA, vector sequences are detected as a  
9 high molecular weight smear, and the genomic DNA is cut with  
10 either a single-cutter or a double-cutter within the mini-  
11 gene cassette, it releases fragments of the appropriate size  
12 and allows us to estimate gene copy number, which in a dog  
13 is generally about one-to-six copies of vector per diploid  
14 genome in the biopsied muscle.

15 Finally, this other issue, the issue of the  
16 formation of inhibitory antibodies, in this first sequence  
17 of dogs, we measured both on western blot and using that  
18 Bethesda assay that I referred to earlier, the presence of  
19 an inhibitory antibody in an animal that received the  
20 highest dose. As you can see on the western blot, two weeks  
21 after injection, we first detected an antibody on western  
22 blot, and it peaked at about five-to-six weeks and then  
23 slowly receded.

24 The data on the Bethesda assay tracked very well  
25 in terms of the temporal cores with what is seen on the

1 western blot, and it peaks at about seven Bethesda units and  
2 then slowly recedes. During the time the inhibitory  
3 antibody is present, it's not possible to measure Factor IX,  
4 but as the antibody recedes, now you can see Factor IX  
5 appearing in the circulation.

6 We viewed this as an important cautionary note  
7 and, as you will see, we did additional studies on it later  
8 on, but this looked to us as something that would be  
9 important to follow up on and probably a dose to stay below  
10 in human studies. So, a human study has been initiated  
11 based on this, and this is a study done in collaboration  
12 with Avigen and with investigators at Stanford University  
13 and is an open labeled dose escalation study with three  
14 subjects in each of three dose cohorts.

15 The study is essentially an outline of what you  
16 had seen in the dogs. The individuals undergo intramuscular  
17 injection of vector into the vastus lateralis and then we do  
18 a battery of hematologic, chemistry and collaboration  
19 studies, and periodically these individuals undergo muscle  
20 biopsies to look for evidence of gene transfer and  
21 expression.

22 One thing you will see here is that in the initial  
23 studies, we did make the decision to use individuals with  
24 missense mutations, and the reason for that was because the  
25 dog studies we had done had been in animals with these

1 relatively less severe mutations, missense mutations, and we  
2 felt it was important not to get out too far in front of the  
3 animal data that we had on this important safety issue.

4           Fortunately, missense mutations account for a  
5 large percentage of individuals with hemophilia B, so we  
6 were able to do that. First of all, in terms of inhibitory  
7 antibodies, these have been measured weekly, initially, and  
8 then monthly since the first vector injection. Fortunately,  
9 none of the individuals on the trial have developed any  
10 evidence of inhibitory antibodies at the doses tested so  
11 far, which are still about fourfold lower than the dose  
12 where we first saw a transient inhibitory antibody in the  
13 dog study. and then southern blot on muscle DNA harvested at  
14 the muscle biopsies has demonstrate findings similar to what  
15 was seen in the dogs; that is, if you look at uncut DNA  
16 harvested from these, one sees again a high molecular weight  
17 smear, and if you cut with a single-cutter, echo R1, within  
18 the mini-gene cassette, you release the unit length 4.5 KB  
19 fragment and again copy number can be computed from this and  
20 has run about one-to-four copies per diploid genome.

21           Note that these were actually subjects that were  
22 in two different dose cohorts, but because the dose per site  
23 is held constant, there's not really a substantial  
24 difference in the copy number per diploid genome. Again, I  
25 will say little bit more about the dose per site in just a

1 minute.

2           If you look at immunofluorescent studies for  
3 Factor IX in the biopsied muscle, this may be a little  
4 difficult to appreciate, but I want to make the point here  
5 that immunofluorescent stains for Factor IX, and by the way,  
6 these look quite similar to what we've seen in mice and  
7 dogs, the expression appears to be primarily--these are two  
8 adjacent sections, one stained for Factor IX and one for  
9 slow-twitch miocene, and you can see that it appears to be  
10 the slow-twitch fibers that take up and express the AAV  
11 vector.

12           I won't say more about that at the minute. I will  
13 say that, for the muscle biopsies, both immunofluorescent  
14 stains and immunoperoxidase stains are done and the  
15 immunoperoxidase stains show similar information; that is  
16 there is a typical checkerboard pattern where there are  
17 positive fibers directly adjacent to negative fibers,  
18 probably reflecting the mix of slow and fast fibers in the  
19 injected muscle.

20           The reason immunoperoxidase stains are done, of  
21 course, is that they are very long-lasting, compared to  
22 immunofluorescent stains. The importance of the studies  
23 that have been done so far is simply that they do suggest  
24 that the dogs accurately predicted what we saw in terms of  
25 gene transfer and expression in the human data that are



1 available so far. We did want to follow up on the evidence  
2 of dose as a possible risk factor for inhibitory antibody  
3 formation, and in additional studies done in the Chapel Hill  
4 dogs, which are demonstrated here, and this is a busy slide  
5 and it is difficult to sort through, but this is gradually  
6 increasing doses per kilo in the series of dogs, and we  
7 assessed both anti-canine Factor IX antibodies on western  
8 blot, which may or may not be inhibitory, and inhibitory  
9 antibodies, and you can see that in this series of dogs, the  
10 three animals that got the highest dose per kilogram,  
11 diagrammed here, one had no evidence of inhibitory antibody  
12 formation, one had a transient inhibitory antibody--that is  
13 the one I had shown you earlier--and one had a longer-  
14 lasting inhibitory antibody.

15           Without showing you all of the data, I will  
16 indicate to you that it appears the best predictor of  
17 inhibitory antibody formation may indeed be the dose per  
18 site. These two animals got two-times-ten-to-the-twelfth  
19 vector genomes per site, and it had either no inhibitor or a  
20 transient one. This animal got a five-times-higher dose per  
21 site and had an antibody that lasted for a period of nearly  
22 a year and had a much higher titre, and these are diagrammed  
23 together here.

24           This is the animal that I had shown you earlier in  
25 red, and then this other animal had a much higher titre and

1 longer-lasting antibody, following a higher dose per site,  
2 and based on these data, we do recommend to the clinical  
3 investigators that they confine the dose per site to well  
4 below the dose given to this animal here.

5           Hypotheses as to why the dose per site may matter  
6 are listed here, and we're trying to, in the laboratory,  
7 sort through these. Is there a contaminant in the prep that  
8 can act as an adjuvant? Does a higher dose per site lead to  
9 better transduction of antigen-presenting cells? Could a  
10 higher number of viral particles at a local site change the  
11 cytokine milieu and lead to increased danger signals as the  
12 Factor IX is being produced or, finally, could the higher  
13 levels of local Factor IX expression, combined with the high  
14 number of viral particles, trigger an immune response  
15 against Factor IX; that is, could the antigen be essentially  
16 now presented in the context of a viral infection?

17           We're working to sort through this, but it was  
18 actually through the dogs that we saw this as a potential  
19 problem. Let's see. I would just maybe mention one more  
20 set of experiments. With intramuscular delivery of AAV  
21 vector, the likelihood of inhibitor formation appears to be  
22 dose-dependent and dose-per-site-dependent.

23           To follow up on our observation that we're  
24 concerned about using individuals or admitting to the trial  
25 individuals who had mutations more severe than missense

1 mutations, we did a series of studies with Clint Lottrop and  
2 Auburn University in Alabama, and they have dogs that have a  
3 very severe mutation, essentially an early-stop codon, and  
4 they have essentially no Factor IX transcript. These are  
5 normal Factor IX transcript levels. These are from the  
6 Auburn dogs.

7           What we saw in those studies is that even at this  
8 dose per site, but at a much lower total dose, inhibitory  
9 antibodies developed in these dogs with a more severe  
10 underlying mutation, and even if the dose per site was  
11 lowered, this still occurred. This is again the Bethesda  
12 titre in these animals and you can see following injection  
13 the inhibitory antibody appears, and it does seem that this  
14 can be altered by dosing the animals with cytoxan at the  
15 onset of the injection, so they got four doses of cytoxan,  
16 one dose every two weeks immediately after vector injection,  
17 and following that, they had sustained correction of the  
18 whole blood clotting time and no evidence of inhibitory  
19 antibodies.

20           We have additional mouse data that suggest--that  
21 actually was the basis of performing this experiment--but  
22 the important aspect is that when we went into dogs with a  
23 more severe mutation, we did indeed see this problem of  
24 inhibitor formation, I think giving support to the idea that  
25 it was probably not wise to admit individuals with severe

1 mutations to this trial.

2 I'm going to conclude summarizing that inhibitory  
3 antibody formation is the most common complication of the  
4 current method of treating hemophilia, that is, intravenous  
5 infusion of protein. Neither theoretical considerations nor  
6 experimental data suggest that gene transfer approaches  
7 would avoid this complication.

8 One can attempt to assess these things in murine  
9 models of hemophilia, but these models are essentially very  
10 limited, because all of them are murine models, hemophilic  
11 models due to gene deletions, which are found in only a  
12 small percentage of the human population and which do not  
13 mimic the gene defect in most individuals with hemophilia,  
14 and moreover, strain differences in these mice may confound  
15 interpretation of data, and there is some very nice  
16 published work by Sheila Connolly and her colleagues at GTI  
17 Novartis, that demonstrates using a third-generation  
18 adenoviral vector, no inhibitory antibody formation in  
19 hemophilic mice, but the presence of inhibitory antibodies  
20 in hemophilia dogs using an adenoviral vector with a tissue-  
21 specific promoter.

22 The dog model of hemophilia does allow assessment  
23 of inhibitor risk, which I think is really the most likely  
24 complication. It is the most likely complication of our  
25 current method of treatment. It is likely the most common

1 complication of a gene-based treatment. As I said before, I  
2 think that investigators have an obligation to design and  
3 carry out experiments that will let them assess the risk of  
4 inhibitory antibody formation, using their gene transfer  
5 technique, that requires the use of a species-specific  
6 transgene, and those are cloned and available.

7           The vector, the promoter used in the mini-gene  
8 cassette, the dose, the route of administration and the  
9 underlying mutation in the recipient all will influence the  
10 likelihood of inhibitor formation, and it is probably that  
11 each of those will need to be evaluated independently. With  
12 that, I'm going to stop.

13           CHAIRMAN SALOMON: I had one question before you  
14 step down. I don't understand, how did you explain getting  
15 a transient antibody response in the first set of dogs that  
16 effectively cleared circulating antigen, and then it  
17 spontaneously resolved and antigen was detectable. That's  
18 not an immune response I'm familiar with.

19           DR. HIGH: I can't tell you how many immunologists  
20 we have talked to about this, but I will tell you that using  
21 protein-based therapy for the intravenous infusion of  
22 protein, this is a very commonly observed phenomenon, and  
23 some substantial proportion of individuals who develop  
24 inhibitors have these transient inhibitory antibodies, and  
25 despite 30 years of using these protein concentrates, the

1 immunologic mechanism of that phenomenon is not worked out  
2 and people fight about it.

3           One explanation I have heard is that it is a B-  
4 cell response with no T-cell help. If you have any other  
5 ideas, I'm interested to hear them.

6           CHAIRMAN SALOMON: We will fight about it later.  
7 It's very interesting. It's very interesting, because it  
8 suggests that you have some sort of tolerance induction or  
9 an antibody getting turned off, but that's not the purpose  
10 of today's meeting. I had to ask in the context of trying  
11 to use these models to model what might happen in human  
12 patients. It is atypical.

13           DR. MILLER: Very nice, but I have a question  
14 about whether or not it is clear that the dog model is valid  
15 until you reach your first immunogenicity in humans, because  
16 right now you have it in dogs, but you don't know it is  
17 modeled in humans because you never see it in humans, and  
18 not that I want the humans to get antibody responses, but  
19 building on that, and it's a beautiful model, but without  
20 having some positives, it's hard to say that it models.

21           DR. HIGH: That brings up a very important  
22 question, because I didn't have time to show you, but again  
23 Sheila Connolly's data from GTI Novartis would suggest that  
24 using an adenoviral vector, there's also a dose-dependent  
25 increase in likelihood of inhibitor formation, and it does

1 appear from many studies that I did not have time to show  
2 you, that as you escalate the dose in animals, first you  
3 see, if you're going to get inhibitors, first you see  
4 transient antibodies and then you see more persistent  
5 higher-titre antibodies.

6           There are two ways to look at that. One is that  
7 you will just keep dose escalating until you begin to see  
8 that in humans, and I have real concerns about that because  
9 of the risk of inhibitory antibody formation and the lack of  
10 certainty that the first inhibitor you encounter would, in  
11 fact, be transient. The other way you can use the data is  
12 to try to define situations that either enhance or reduce  
13 the risk of inhibitors and use that information to construct  
14 the clinical trial, and we have opted for the second  
15 strategy, not the first.

16           CHAIRMAN SALOMON: Excellent. Thank you. Very  
17 good presentation. Then it is my pleasure to introduce Dr.  
18 Richard Whitley from the University of Alabama, who is going  
19 to talk about the use of aotus monkeys to assess  
20 neurovirulence of a replication-selective herpes vector.

21           DR. WHITLEY: [Off microphone.] --vaccines for  
22 the whole family of herpesviruses, I think it is essential  
23 to weight the risks and benefits of what has been learned  
24 over the last 20 years using a variety of animal model  
25 systems to take engineered viruses from animals into humans,

1 and this has been done both in the vaccine arena, as well as  
2 in the gene therapy arena at the present time.

3 For those of you who are not aficionados of the  
4 herpesvirus family, please remember that there are eight  
5 viruses that are herpesviruses. Three are in the alpha  
6 herpesvirus family, three are in the beta herpesvirus  
7 family, two are in the gamma herpesvirus family. These  
8 viruses have been sub-classified according to their  
9 predisposition infect and establish latency in target  
10 issues.

11 For example, for the alpha herpesviruses, we know  
12 they have a neuronal predilection and therefore establish  
13 latency in neuronal tissue and can be reactivated in that  
14 site. That is very different from the beta herpesviruses  
15 who tend to establish latency in endothelial cells,  
16 lymphocytes and macrophages from whence they are  
17 reactivated. Gamma herpesvirus is EBV and HHVA--excuse me--  
18 establish latency, for the most part, in lymphocytes.

19 I want to make four points about this slide. The  
20 first and the most important one is please remember that  
21 animal models for all of these viruses have been developed  
22 for the purpose of studying pathogenesis and antiviral  
23 therapy, historically. It has only been recently that  
24 animal models of herpesviruses have been used to study gene  
25 therapy. That is very important to remember, because if you



1 stop and ask the fundamental question, which is really what  
2 you're being asked to address this afternoon, and that is do  
3 animal models correlate or predict benefit or harm in human  
4 disease? The answer is only in the context of antiviral  
5 therapy.

6           Whatever I say about the aotus is relevant only to  
7 the studies that are ongoing now, that are being done at  
8 three or four hospitals in the United States. The second  
9 point that I want to make is even though there are members  
10 of a subfamily of viruses, namely the alpha herpesviruses,  
11 they behave very differently in animal model systems.

12           Herpes simplex Type I is less likely to establish  
13 latency than herpes simplex Type II, although we can drive  
14 it to latency and we can reactivate it in that animal model  
15 system. The last point about this slide is just to pursue  
16 the discussion of this morning and the ongoing phone calls  
17 that have been taking place. There was a program project  
18 grant that has just been funded to go to Lyn Enquest, Tom  
19 Shank, Bernard Roizman and Elliott Keith, relating to the  
20 sequencing of several of these herpesviruses, and I just  
21 point out that that grant was funded for five years, not six  
22 months.

23           Okay, with that in mind, I think you really need  
24 to began in looking at the relevance of animal models,  
25 whether it is in the mouse, the guinea pig, the rabbit or in

1 the aotus, with what is the biology of human disease? What  
2 are we trying to prove here? Why are we doing this in the  
3 first place? What are the risks and benefits when we think  
4 about the predisposition of herpes simplex, which is the  
5 common vector used in these studies to establish disease?

6 Remember, herpes simplex viruses live on mucosal  
7 surfaces. They cause oropharyngeal disease and they cause  
8 genital disease, for the most part. However, they can cause  
9 herpes simplex encephalitis in adults. That will occur in  
10 approximately one-in-150 or one-in-200,000 individuals  
11 annually, and that is what we have to prevent when we are  
12 talking about using herpes simplex as a vector for gene  
13 therapy.

14 We also know that if it causes disease in the  
15 genital tract, newborns can become infected, and if they do,  
16 disease can be life-threatening. With that in mind, let's  
17 go one step further and let's ask ourselves about the  
18 natural history of this disease, and when we think about the  
19 natural history of disease, infection enters the body from a  
20 mucosal surface. Virus replicates as a function of intimate  
21 contact, either kissing or sexual contact, in the oropharynx  
22 or at a genital site, with initial penetration of nerves and  
23 then accession of virus to a sensory dorsal root ganglia.

24 It is at this site that virus will replicate and  
25 either be transported back down to skin sites to cause

1 lesions or, ultimately, become episomal and resident in the  
2 ganglia until it is subsequently reactivated. Why do I  
3 worry about this? I worry about because I have spent the  
4 last 30 years of my life trying to treat this disease, which  
5 is herpes simplex encephalitis, and it is the one disease  
6 that if it occurs in an individual who is on one of our gene  
7 therapy studies, we all have to be held accountable for  
8 understanding why this disease occurred.

9           With that background in mind, I want to look at  
10 how attenuated herpes simplex viruses can be used for direct  
11 gene therapy, for vectors for foreign gene expression or as  
12 attenuated vaccines. I will just begin by saying that for  
13 20 years Bernard Roizman, a colleague of mine at the  
14 University of Chicago, and I have been working on the latter  
15 area, namely attenuated vaccines utilizing principles from  
16 his laboratory on the engineering of herpes simplex, and  
17 then taking them into animal models that we have tried to  
18 establish at the University of Alabama at Birmingham.

19           Here is a listing of some of the animal models we  
20 used. I don't intend to bore you with it, but I do want to  
21 point out that you can adequately and, in fact, in detail  
22 study these animals to get a better understanding of the  
23 safety, efficacy and ability of these viruses to establish  
24 latency and be reactivated. For example, in the mouse, we  
25 clearly know that we define safety following intracerebral

1 inoculation, either in an immune-competent or in an  
2 immunocompromised mouse, particularly a skid mouse.

3 We can study efficacy and challenge experiments.  
4 We can study latency by harvesting ganglia and reactivating  
5 them in vitro, and the systems we can use then are those of  
6 immune-competent, immune-compromised mice. We can study  
7 genetic stability, and I'll illustrate that for you in a  
8 minute, and we certainly can study neurovirulence to get an  
9 assessment of that before progressing into subhuman  
10 primates.

11 The guinea pig is a good model for latency and  
12 recurrences. The rabbit is a great model for using the eye  
13 and the issue of establishment of latency at the trigeminal  
14 ganglia, and in my opinion, when we use the aotus  
15 trivirgatus or a nancymae, we're really looking at safety  
16 more than efficacy or the establishment of latency. These  
17 are difficult systems to use. This is not a system that I  
18 would recommend for the casual investigator, but we can use  
19 immune-competent, immune-compromised animals in both systems  
20 and assess neurovirulence as well as pathogenesis.

21 I want to illustrate this for you with a couple of  
22 examples. Here at two viruses that have been taken from  
23 bench into humans. The top is the example of a virus that  
24 has deletions in the inverted repeats of a gene identified  
25 his gamma 134.5, and I'll show you how that virus behaves

1 upon inoculation into the central nervous system in a  
2 minute.

3           This virus was developed in the laboratory of  
4 Bernard Roizman about a decade ago. Remember, the problems  
5 that we were talking about sequencing other, this is 150 KB  
6 of DNA. There are inverted repeats that bound the unique  
7 long segment, inverted repeats that bound the unique short  
8 region, and it is going to be very confusing to sort this  
9 out, although I'm sure sequencers can do that with facility.

10           The second construct is a virus that was initially  
11 known as R7020, that was a candidate vaccine that consisted  
12 of both HSVI and HSV Type II. It currently has been  
13 reconstituted, reformulated as a virus known as NV1020, and  
14 it's entering into a clinical trial for metastases of  
15 colorectal carcinoma. I would point out that both of these  
16 viruses have herpes simplex virus thymidine kinase, and as  
17 such they are susceptible to acyclovire, and that is a  
18 fundamental principle when considering herpes simplex  
19 viruses.

20           TK-negative viruses, in my opinion, should not be  
21 used in human experimentation because you cannot treat them  
22 with the drugs that we currently have available, unless you  
23 want to go to potentially toxic medications. Why use these  
24 viruses and what is the safety data that allows us to  
25 advance them forward into human investigations? The purpose

1 of this study was to define the platforming LD 50 ratio, in  
2 other words, the number of viral particles required to kill  
3 50 percent of the animals.

4 This is an assessment of neurovirulence and  
5 neuroattenuation. Those data are shown in the column on the  
6 far right. These are viruses that are deleted in gamma  
7 134.5, and that is shown here, compared to the parent virus,  
8 which is known as HSV1F, it is wild-type virus. For wild-  
9 type virus, 200 viral particles inoculated intracerebrally  
10 will kill 50 percent of the mice.

11 For a virus deleted in both copies, because  
12 remember this gene maps in the inverted repeats of the  
13 unique long segment, identified R3616, you cannot kill the  
14 mice with over one million particles of virus inoculated  
15 into the brain. If we put a stop codon into gamma 134.5, it  
16 remains its avirulent phenotype, as we have to do with these  
17 viruses, restoring 34.5 restores neurovirulence to these  
18 viruses.

19 With that as background then, we have a candidate  
20 virus then that potentially could be used either as a  
21 backbone for gene therapy or as a vector for foreign gene  
22 expression. Here are the experiments that we do to  
23 establish genetic stability, and these are very  
24 straightforward experiments that utilize the mouse to  
25 determine whether or not we can address one of the two

1 fundamental issues that herpes virologists always worry  
2 about; one is a revertant to a wild-type phenotype and the  
3 second is a second site mutation which would lead to  
4 virulence in the animal system.

5 We will take a mouse, we will inoculate herpes  
6 simplex intracerebrally, on day three of our avirulent, our  
7 aneurovirulent virus will harvest brain tissue, isolate  
8 virus in cell culture, re-raise it to a stock titre,  
9 inoculate it intracerebrally and repeat this process eight  
10 times. We will then, as we do this, continue to calculate  
11 PFLUD50 ratios. If we see no change in PFULD50 ratios, it  
12 indicates the virus has not regained neurovirulence.

13 Our ability to detect genetic variance of  
14 reversion or second-site mutations is one-out-of-ten-to-the  
15 tenth viral particles. This is a sensitive way to screen  
16 genetic stability in the candidate herpes simplex virus that  
17 have been used. So, where are we then with the viruses that  
18 have been developed for human administration? R7020 was the  
19 first virus, and I'll illustrate data for you from this  
20 candidate vaccine strain from aotus. It was administered to  
21 33 volunteers in Lyon, France, in studies that were done  
22 with Institute Merieux. G207 is a study that was just  
23 finished and reported in Gene Therapy; 21 volunteers who had  
24 glioblastoma multiformi were inoculated directly into the  
25 tumor stereotactically with this construct.

1 This study was done by Bob Martuz of Mass General  
2 and Jim Markert of my own institution. NV1020 is a virus  
3 that I showed you at the bottom of the viral construct  
4 slide, entering Phase I studies in volunteers with liver  
5 cancer. The first volunteer went on that study this week.  
6 Then the last candidate strain is a virus known as AB9395,  
7 which is a herpes simplex Type II deleted in gamma 134.5,  
8 which is undergoing evaluation for potential vaccination.

9 What do these animals look like that we use? Here  
10 is the aotus nancymae. This is an HSV hypersensitive  
11 animal. I want to emphasize that for you, because this  
12 represents both the pro and the con of using an animal like  
13 the aotus in evaluating potential herpes simplex vectors; 10  
14 platforming units of wild-type virus given intracerebrally  
15 will cause fatal encephalitis. These animals will die in a  
16 period of 10 days.

17 At the present time, whether it should be or not,  
18 it is the standard for preclinical evaluation of genetically  
19 engineered herpes simplex viruses. We can inoculate virus  
20 intracerebrally, intraocularly, intravaginally or  
21 intramuscularly and then we can perform a variety of studies  
22 that are relatively routine for other animal models in  
23 assessing outcome.

24 For survival, we can look at dose dependence, site  
25 of administration versus long-term survival, clinical signs



1 of disease, radiographic evidence of encephalitis that would  
2 occur in these animals. For those animals that are  
3 sacrificed, we can look at histopathology, in situ  
4 hybridization, immunohistochemistry and certainly we can  
5 look at cellular pathogenesis and differential gene  
6 expression within the central nervous system.

7 For virology, it's not just viral quantitation and  
8 viral isolation, but it is also PCR evaluation of where  
9 viral DNA and what viral DNA is distributed in the brain  
10 itself, and certainly we can look at foreign gene expression  
11 within the model, and I will illustrate each of these  
12 principles for human studies that we have done. Over a  
13 period of 14 years, there have probably been four different  
14 types of studies that have evolved. The first, Bernard  
15 Miniet, Bernard Roizman and myself evaluated R7020 in the  
16 aotus, and I think it was probably the first time the aotus,  
17 both the immune-competent and the immune-compromised, was  
18 used to evaluate herpes simplex and get some understanding  
19 of the pathogenesis of the viruses under those  
20 circumstances, and I'll illustrate those data for you in a  
21 minute.

22 Four years ago, we began evaluating 9395, which is  
23 a deletion in gamma 134.5 and HSV2. Sam Rabkin and Bob  
24 Martuz evaluated G207 and published their data in Journal of  
25 Virology last year, and then currently we're working with a

1 herpes simplex virus that expresses IL12, and I will show  
2 you how that particular virus behaves in animal model  
3 systems.

4           The first is deleted in the joint region. The  
5 second is an HSV2 deletion with a deletion in genes  
6 responsible for recognition of the virus by the host cell.  
7 The third construct is a 34.5 deletion, as well as a second  
8 site segmentation, and that second site mutation is a  
9 ribonucleotide reductase and it was done intentionally to  
10 avoid second site mutations that would occur naturally when  
11 administered to the host.

12           The last viruses is one that I just mentioned and  
13 that is a virus that expresses IL12. What do you learn from  
14 these experiments? This is the aotus trivirgatus. It was  
15 R7020, so it's HSV1 in the long segment, HSV2 in the short  
16 segment. Virus was given by one of a variety of different  
17 routes, intradermal, intravaginal, HSV1, HSV2, the period of  
18 viral shedding was relatively brief. These animals all died  
19 in a very short period of time with very low exposure to  
20 replicating virus, ten-to-the-one, ten-to-the-two.

21           With R7020, we could administer up to ten-to-the-  
22 sixth to ten-to-the-seventh viral particles by one of  
23 several different routes, with all mice under these  
24 circumstances surviving. This should be S, not five. All  
25 those animals survived following administration of virus.

1 If we look at multi-inoculation with a TK-negative or a TK-  
2 positive virus, we can actually quantitate duration of  
3 shedding by site.

4 We know the longest shedding will occur about 22  
5 days in all animals, but we also have the opportunity to  
6 determine whether or not virus is picked up from ganglia  
7 when animals are sacrificed and dorsal root ganglia  
8 harvested, and you can see here that approximately one  
9 percent of ganglia expressed latent virus. That latent  
10 virus is R7020 or the TK-negative virus, R7017.

11 There are other things that we can do. We have  
12 standard procedures for intracerebral inoculation of virus,  
13 and I won't go into the details of what we've done, but I  
14 just illustrate some of the work that was done first with  
15 G207 and then with virus constructs that we've made in  
16 Birmingham. Here's the virus and the does that was  
17 employed. You can see up to ten-to-the-ninth viral  
18 particles were put in.

19 The animals were followed until they either died  
20 naturally or were sacrificed. One animal died, for example,  
21 from an aneurysm; the other was sacrificed at 20 months to  
22 determine whether or not virus could be detected at latent  
23 sites, whether it could be reactivated from the central  
24 nervous system and whether there was PCR evidence of virus.  
25 This is in contrast to wild-type virus, HSV1F, ten-to-the-

1 three viral particles inoculated intracerebrally led to  
2 death at five days from encephalitis.

3           You can also see that with mock infection, these  
4 patients lived their natural life course. For those animals  
5 sacrificed here and in other experiments to detect evidence  
6 of latent virus, one can find latent virus, but it only in  
7 approximately one-to-five percent of all ganglia which are  
8 assessed.

9           We can also do repeat intracerebral inoculation.  
10 Here, ten-to-the-seventh viral particles was put into the  
11 central nervous system to determine whether or not there was  
12 an additive effect if virus was given a second time, and the  
13 animals surviving the first series of experiments were  
14 reinoculated, followed for ten months, to determine whether  
15 or not there was any additive effect and these animals are  
16 perfectly fine and well.

17           What we've done is taken this one step further,  
18 and that is pose the question what happens when you use  
19 herpes simplex as a vector for foreign gene expression?  
20 Here, we have used IL12 to determine whether or not it had  
21 adverse effects when expressed in herpes simplex upon  
22 inoculation into the central nervous system. No changes in  
23 behavioral or feeding patterns. The monkeys remained--  
24 excuse me, the aotus remained normothermic throughout the  
25 period of time they were evaluated.

1           There was a period of temperature to 104, which  
2 was associated with increased activity. If we look at MRI  
3 scans on the monkeys that we evaluated in our studies, this  
4 was 10 days post-inoculation of ten-to-the-seventh, both  
5 sagittal sections, as well as sections that were coronal  
6 sections, looking for evidence of encephalitis. There's no  
7 evidence of hemorrhage. There's no evidence of edema.  
8 There's no evidence in shift in midline structures, all  
9 characteristics of herpes simplex encephalitis.

10           The conclusion that we reached from the  
11 encephalitis component of this study was there was no  
12 evidence of central nervous system disease. We did follow  
13 up this monkey. We found that the monkey developed an  
14 infection that was treated with antibiotics, and this makes  
15 an extremely important point. It was treated with  
16 presumptive antibiotics, developed a diarrheal illness,  
17 ultimately went into renal failure with nephritis, secondary  
18 to the antibiotics that were administered.

19           The reason I bring this up is the aotus is a  
20 difficult monkey to deal with. They are fragile little  
21 creatures and one has to manage them very carefully. If we  
22 looked at the brain upon evaluation of this monkey, there  
23 was no evidence of encephalitis or necrosis. There was an  
24 inflammatory response in the choroid plexus, but no  
25 ventriculitis. We did not find any evidence that led us to

1 believe that herpes simplex expressing IL12 led to disease  
2 in the central nervous system of this animal.

3           We looked for additional evidence of encephalitis  
4 at multiple other sites and we found absolutely nothing that  
5 was indicative of disease. Studies that we will do in the  
6 future will expand the histopathology in specimens from this  
7 one monkey, to try and get a better understanding of what  
8 the nature of the initial site was, particularly as it  
9 relates to PCR and in situ hybridization on brain tissue,  
10 and we will try and push this does probably in one or two  
11 other monkeys before going on.

12           I just want to make one point, and that is as a  
13 clinician who has to take care of patients with herpes  
14 encephalitis, primum no nocere. We have to remember that  
15 herpes simplex can cause disease in the brain and we have to  
16 be exceedingly careful. I just want to end with a couple  
17 thoughts, and that is what is okay with herpes simplex using  
18 a mouse, guinea pig, rabbit and aotus certainly is not going  
19 to be okay if you consider cytomegalovirus, human  
20 herpesvirus-6, human herpesvirus-7, EBV or HHVA.

21           Each one of the members of the herpesvirus family  
22 has a very, very different spectrum of tissue trophism and  
23 susceptibility in animal model systems, and unless that is  
24 well-understood by all parties involved, you should not  
25 embark upon these studies casually. The last slide is there

1 are both pros and cons of using the aotus. The pros are  
2 that this is an exquisitely sensitive animal model for  
3 preclinical toxicology, but the con is the biggest one, and  
4 that is it is too sensitive, and therefore ultimately we're  
5 going to have to find a happy medium that we can use.

6 Thank you.

7 CHAIRMAN SALOMON: Rich, before you sit down, I  
8 had two quick questions. One is, with retroviruses,  
9 frequently many of the viral particles are not infectious.  
10 In comparing your titres between wild-type and your vector,  
11 the question is obvious, so I have a follow-up to that.

12 DR. WHITLEY: That is the standard problem that we  
13 have with all the herpesvirus, and that was one of the rate-  
14 limiting steps, I think, in my opinion, and the folks CBER  
15 don't have to comment on it, in the licensure of the Occa  
16 vaccine strain, was because the vector particles account for  
17 a significant volume of that virus, and it is a problem with  
18 herpes simplex, but to a lesser extent, and that is an issue  
19 that we deal with all the time, very difficult to  
20 quantitate. The only thing you can do is look at infectious  
21 particles that are put into the tissue.

22 CHAIRMAN SALOMON: When you did your titres, those  
23 were infectious titres, so when you say you put in ten-to-  
24 the-sixth or up to ten-to-the-ninth, got no infection, that  
25 was of actual infectious titres.

1 DR. WHITLEY: That is known virus. It titred  
2 before it went in. It was titred from the syringe after the  
3 inoculation was done.

4 CHAIRMAN SALOMON: That is perfect. The other  
5 question I have is you have a mouse model and you have this  
6 nonhuman primate model. One of the things you and I talked  
7 about before is, is this now an example in which we have  
8 added to the preclinical development by having a nonhuman  
9 primate model, or are we find this is a nonhuman primate  
10 model that is excellent, but we could have actually found  
11 out everything in the mouse?

12 DR. WHITLEY: I think, at this point in time, the  
13 aotus adds very little to what we learned in a mouse system,  
14 particularly a skid mouse system, which is also exquisitely  
15 sensitive to HSV. It was developed because of the concern  
16 of both Bernard Roizman and myself, that we didn't want to  
17 take herpes simplex into people and have something bad  
18 happen, but we're having to re-question that at this point  
19 in time, just to be honest.

20 DR. GORDON: Have you ever tried interrupting  
21 either wild-type virus or looking at latency rates for the  
22 recombinants--for the vector--with acyclovere in the  
23 monkeys?

24 DR. WHITLEY: I haven't done it in the monkey, but  
25 I've done it in the mouse and I could tell you what we've



1 learned in the mouse. We did it in our tumor model in the  
2 mouse and we've done it with both acyclovere and  
3 gancyclovere, and just to tell everyone what the experiment  
4 is, we take a skid mouse, we put in human tumor cells,  
5 establish the tumor for five days, actually MRI the mouse  
6 brain to make sure we have a tumor, then put virus directly  
7 into the tumor itself, and then we can follow survival as a  
8 function of dose and as a function of other manipulations  
9 that we have tried.

10 If you administer gancyclovere within a day or two  
11 days of putting virus into the brain, you lose any potential  
12 anti-tumor effect of the virus itself, and, in vitro, all of  
13 the viruses I have described to you are as sensitive as  
14 wild-type herpes simplex to acyclovere and gancyclovere.

15 DR. BREAKEFIELD: What is the frequency of  
16 mutation of the TK locus?

17 DR. WHITLEY: It is very, very, very low in the  
18 normal host. In fact, there's a nice study that was just  
19 submitted to NEJM, looking at the development of resistance  
20 in the normal individual after exposure to acylclovere, both  
21 episodically and chronically, and these are people who were  
22 on drug for five or six years, and it is less than .03  
23 percent, and that is--don't forget, these are acyclovere-  
24 exposed patients. It is not the normal population. It is  
25 even lower in the normal population.

1 FLOOR QUESTION: If the difference between the  
2 mouse and the aotus is not that great, what is the advantage  
3 of using the aotus at this point over the mouse?

4 DR. WHITLEY: To make those of us who are taking  
5 this into people feel more comfortable.

6 CHAIRMAN SALOMON: Excellent. Thank you. I think  
7 this was really a series of superb talks that focus on the  
8 issue in front of us. To finish the day, our job is to get  
9 through two questions, and the key one is to discuss the  
10 appropriate basis for determining whether safety studies of  
11 a gene therapy product should be in small animals, for  
12 example, rodents and/or nonhuman primates, which was clearly  
13 what I was getting at with my question to Rich and to the  
14 others along the way.

15 I think it is important, one of the agreements  
16 that I had with the FDA in discussing sort of these kind of  
17 questions was that we deal specifically with the idea that  
18 we don't get into defaulting to nonhuman primate models, for  
19 reasons that I think are obvious to everyone. At the same  
20 time, I think we're all grappling with the same issue that  
21 Rich responded to, and that was, yes, he now knows that the  
22 nonhuman primate model told him the same things that the  
23 mouse model did, but how would he have made that kind of  
24 conclusion confidently prior to doing these nonhuman primate  
25 studies?

1           Even when you have a good mouse model, one could  
2 make an argument, and obviously I'm putting this out here  
3 for discussion, could make an argument for going forward to  
4 a nonhuman primate study, even with the unknowns, and then  
5 the question to the group is what do you think of that kind  
6 of a statement and how could we do that intelligently and  
7 reduce the use of nonhuman primates, if possible?

8           That is kind of the issue. Does someone want to  
9 pick up on that? Let's start with how do you tell when to  
10 request a nonhuman primate model, versus a mouse model, at  
11 all? Let's just start with the basic decision of I have got  
12 a great product and I've got proof of concept.

13           DR. GORDON: I just want to make some comments on  
14 this. I think selection of an animal model--I think it was  
15 very interesting, Dr. Whitley's last comment, why did you  
16 select a primate, and the answer was because it made us feel  
17 more comfortable before going into humans. I think that  
18 this is a very historically common reason for choosing  
19 primates, but if I were to make a recommendation to the FDA,  
20 it would be to discourage doing it for that reason and to  
21 look for other reasons.

22           These reasons may be what about the organ system  
23 you're actually studying, and we don't need to think only  
24 about primates, but about other larger animals, does the  
25 organ system physiologically resemble the human more in a

1 dog or in a pig than it does in a mouse? What about, in the  
2 case of gene therapy vectors, the distribution of receptor  
3 for the vector? You don't really want to test gene therapy  
4 vectors in a dog for liver gene therapy if the receptor is  
5 not on the liver of a dog, and it is on a mouse and it is on  
6 a human.

7 I think the physiologic, biologic criteria are the  
8 ways to select a model, not because the animal is closer in  
9 size to a human, not because the animal's eyes are both in  
10 the front of its head, as they are in a human, and not,  
11 because it just makes you feel better in some sort of  
12 nondescript way, which I have a lot of sympathy for that  
13 feeling, may I say, but I think it is costly and potentially  
14 more controversial and also much less efficient.

15 Let's remember that if we're looking for a rare  
16 effect, something that would occur one-in-every-50-animals,  
17 it is very difficult to do that in a primate and ever see  
18 the result. We saw a bunch of studies this afternoon where  
19 there were fewer than 10 primates used, and that is only  
20 natural. I think one should discourage going to animals  
21 like that unless there is a demonstrable reason for doing  
22 it, and that would relate to the biology of the disease, the  
23 biology of the organ system and the biology of the vector.

24 DR. JONES: If I can speak on Dr. Whitley's  
25 behalf, too, what he mentioned at the beginning of his

1 presentation that we have not considered is when he chose  
2 the aotus, it was not just to make them feel better. It was  
3 also because they're very susceptible to herpes diseases, as  
4 well, and I think you have to take that into account when  
5 you are selecting an animal model. If the dog were a model  
6 that were susceptible to that, then maybe the dog would be  
7 your first choice in a large animal model, so I don't think  
8 that that was the entirety. Would you agree?

9 DR. CHAMPLIN: There may be some unique features  
10 about--you know, herpes, obviously, is a dangerous virus  
11 that can cause human disease and to mimic that in an animal  
12 species makes sense, whereas some other viruses that are not  
13 toxic on their own, the AAV, for example, would not make  
14 sense in the same rationale.

15 DR. WHITLEY: No, I think that's exactly the  
16 point. I think you have to understand the pathophysiology  
17 of disease and that was the point that I made with the third  
18 slide. If you don't understand the pathophysiology of the  
19 disease, you should not be doing these experiments in the  
20 first place. I think the key issue is that we didn't know  
21 when we began these studies is what we learned in the mouse  
22 and what we learned the rabbit, the same is what we learned  
23 in aotus. It wasn't until we got the experience of three  
24 different groups now that have used this animal and have  
25 basically reached the same conclusion, that we feel like

1 we're on firmer ground.

2 DR. ANDERSON: Just historically, this same  
3 thought process as you went through is what happened with  
4 the original gene therapy trials with retrovirus. We did a  
5 lot of studies in monkeys, took a lot of criticism from our  
6 colleagues, because I was at NIH, we could afford it.  
7 People on the outside couldn't afford it, but the issue was,  
8 was something going to happen when we put retroviruses into  
9 nonhuman primates that didn't happen when we put them into  
10 mice and rats and so on, and the answer was no, there  
11 wasn't.

12 There is not a need now to continue doing nonhuman  
13 primates, unless, as the example here, unless there is  
14 something unique that could only be answered in a nonhuman  
15 primate. Short of that, we have answered the question, so  
16 we don't have to keep reinventing the wheel.

17 CHAIRMAN SALOMON: One thing that has come out in  
18 these conversations is that there are different study  
19 outcome objectives. One would be safety of a vector, which  
20 I think loud and clear was what Rich was telling us. He was  
21 concerned about safety of the herpesvirus. Then, there are  
22 efficacy issues, which, for example, the dog model was one  
23 way of very clearly addressing the efficacy issue without  
24 using a primate.

25 I think the early retroviral gene therapy data,

1 French, you would agree, was safety again. You were  
2 concerned about replication-competent retrovirus, for  
3 example. Can we start to maybe become up with some things  
4 that we would say then would be principles upon which one  
5 would suggest the appropriateness of a nonhuman primate  
6 model?

7           You started with saying that you certainly would  
8 have to document reasonable expectation of a similar  
9 distribution of receptors or permissivity for the vector  
10 that is being chosen in the primate.

11           DR. GORDON: That is right, I would say that.  
12 That would relate both to efficacy and safety. Efficacy  
13 would also relate to whether or not the organ system models  
14 physiologically that which you are treating in the human. I  
15 just want to say parenthetically that I completely  
16 sympathize with the choice of the aotus monkeys here, and I  
17 would have been the first person to do the same thing after  
18 I heard they were that sensitive, so it's not like I'm  
19 criticizing. But, yes, again it is the physiology of the  
20 system.

21           Perhaps cardiovascular disease is better study in  
22 a pig than in a monkey, because the system is more similar  
23 to a human.

24           DR. BREAKEYFIELD: I had a few things to bring up  
25 for discussion. First of all, I think we don't know a lot

1 about the receptors that are present for different viruses  
2 in different species, and if we had all that information, it  
3 would be very much easier to decide which model--just  
4 concerning safety, would be the best model. I think  
5 sometimes it's hard, though, and it especially gets even  
6 harder to know now when people start changing the surface  
7 properties of the virus to try to target them to different  
8 tissues and start putting essential viral genes under  
9 different types of tissue-specific promoters, because some  
10 animals that weren't infected before, I mean, that is a  
11 tropism issue that has to do with entry of the virus and  
12 also whether the virus replicates or not.

13           If you change those properties, you really don't  
14 know what to anticipate. I would say I felt, when they  
15 showed the studies with a replication-defective adenovirus  
16 and they compared it in--I guess we don't want to use the  
17 word monkey, but you know, but monkeys and mice, and it was  
18 the same, I thought that was great. It gives us a baseline.  
19 We feel confident now that this type of vector is the same.  
20 Somehow, you know, we talk about it, it is, in some ways, a  
21 little unrealistic or something to think we don't feel  
22 comfortable.

23           After all, that is part of the public domain. If  
24 we don't feel comfortable, we have to ask ourselves does the  
25 public domain feel comfortable? Why do we feel comfortable



1 testing monkeys? Since we think they're closest to us?  
2 Especially, in my opinion, if there is a disease where there  
3 is medical treatment available that is pretty good,  
4 hemophilia would be one of those or cystic fibrosis, that  
5 people are not in a life-threatening situation, those would  
6 not be the first people I would try a new route of  
7 administration or a new vector type or something very  
8 different without having a little more confidence in maybe  
9 multiple animal models.

10 I'm not saying you have to use nonhuman primates,  
11 but using different models as kind of a first line of is  
12 this or isn't this safe, so I have other comments, too, but  
13 I'll--

14 CHAIRMAN SALOMON: Again, I'm trying to pick up on  
15 just trying to come up with principles then that the  
16 committee would agree on. That principle would suggest that  
17 as a new vector is developed or a known vector is modified  
18 in a way that would significantly affect its range of  
19 trophism for different cell types, for example, or its  
20 expression in different organs, those would be points at  
21 which a trial might consider preclinical work in a nonhuman  
22 primate, providing that the first principle was correct,  
23 that there was a reasonable expectation that the vector was  
24 still permissive in the nonhuman primates.

25 DR. JONES: And making sure that we choose the

1 correct species of the nonhuman primate, making sure that  
2 that nonhuman primate species is appropriate for what we  
3 have chosen.

4 CHAIRMAN SALOMON: So, another principle then  
5 would be, with 200-plus nonhuman primates, though, maybe  
6 only about half-a-dozen really available to any kind of  
7 research regularly, do you have a suggestion as to how we  
8 should do that? How would you choose a species?

9 DR. JONES: I would say lots of consultation with  
10 your colleagues and people who are in the field, lots of  
11 information sharing and literature searches. There is a lot  
12 of available information out there on what is being used for  
13 different areas of research.

14 CHAIRMAN SALOMON: Joe, did you have a comment?

15 FLOOR QUESTION: I would tend to agree with all  
16 that is being said in terms of trying to use some type of  
17 scientific rationale for picking the species. In drugs, it  
18 is a somewhat different scenario, but we have actually  
19 gotten away from simply doing rats and dogs with drugs, as  
20 well, and we look for a rational scientific basis for using  
21 an animal model, rather than just arbitrarily saying we are  
22 going to do rats and dogs and that's it.

23 The same thing with the biologicals, we had looked  
24 at a protein last year in which we knew the sequence of the  
25 human protein, and so we looked at the sequence of the mouse

1 and of the cyno monkey, as well, and decided that the cyno  
2 much more closely approximated the human situation. We were  
3 able to utilize the monkey then, we felt, as a reasonably  
4 good prediction of what would happen in man.

5           You are talking about trophism. We are actually  
6 working with another group on a trophism-modified virus at  
7 this point in time. The majority of the studies we're  
8 probably going to be doing are going to be directed in mice,  
9 but there is also an imaging component with this, as well,  
10 so we're going to wind up doing some additional primate  
11 work, to a much more limited extent, in which we're going to  
12 be doing some imaging in addition to that to see whether or  
13 not the distribution of the trophism-modified virus is  
14 different than of the normal virus.

15           CHAIRMAN SALOMON: So there, the rationale or the  
16 principle you're going to use is you know that the virus is  
17 tropic in the mouse and in the nonhuman primates, but you  
18 now want to use the nonhuman primate to demonstrate if there  
19 is a distinct difference in the trophism, in terms of tissue  
20 specificity.

21           FLOOR QUESTION: Yes, to decide whether or not we  
22 need to target particular tissues in our evaluation of  
23 toxicity that we may have overlooked previously.

24           CHAIRMAN SALOMON: As a principle, that would get  
25 back to your talking about if you know the receptor or have

1 some sort of measure of the receptor, even if you have not  
2 cloned and sequenced it, that one should argue that there is  
3 a reasonable expectation of a similar distribution of the  
4 receptor, and if there are second receptors, such as we know  
5 for certain, like, lentaviruses (ph.), that that would be  
6 important, as well. Right? Okay.

7 DR. GORDON: I would say I think primates should  
8 be chosen the same way other animal models are chosen.  
9 There is a scientific basis for choosing them. They are  
10 much more cumbersome to work with in a variety of ways that  
11 we have not yet discussed, however. For example, at my  
12 institution everybody is screaming that every mouse has to  
13 be behind a barrier so they can be uniform with regard to  
14 what pathogens they have been exposed to in the past. You  
15 start importing monkeys from Samoa and let me tell you, you  
16 don't know what they've been exposed to. You don't know how  
17 old they are. You don't know anything about your genetic  
18 background. You're in a much less well-controlled system.

19 CHAIRMAN SALOMON: To what extent, if we think  
20 about the universe of vectors that are currently in front of  
21 us--to what extent do we know, based on what we know about  
22 these vectors, are they different between, let's say, mouse  
23 and dog and let's say nonhuman primates, in terms of the  
24 questions we have been asking? Is there some obvious  
25 background knowledge? Are certain classes of vectors more

1 or less likely to require nonhuman primate work because of a  
2 species-specific distribution of receptors for the vector?

3 DR. PILARO: I wasn't going to hit on that issue  
4 specifically, I was just going to speak to the two different  
5 classes--well, two of the different classes we heard about  
6 today, the adeno and the gutless adenos--are lumped together  
7 because basically the outside of those viruses is what  
8 causes the toxicity, and it is the same for the two of those  
9 and the AAV, and they have been studied across a various  
10 variety of species, for lack of a better word, and really,  
11 with the adenovirus, what we have learned from the data we  
12 have looked at in the past seven or eight years is they are  
13 inflammatory no matter what route of administration you give  
14 them, no matter what species you give them in, and the doses  
15 are always very close, the dose at which you see no toxicity  
16 and the dose at which you see frank inflammation and  
17 pathology.

18 There is a very sharp threshold. They are very  
19 close when you scale between the species. We have learned  
20 that adenotoxicity is basically comparable, no matter what  
21 species you're looking in. There, you see the justification  
22 for doing the studies in the smaller animal models. You can  
23 actually get higher numbers of animals treated. You can do  
24 more things with them. You can sacrifice them at interim  
25 time points and look at histopathology, whereas within a

1 nonhuman primates you would really be limited if you wanted  
2 to do an interim time point to just doing either a biopsy or  
3 blood work.

4           With AAV, we have learned across mouse, dog,  
5 nonhuman primates, I believe it is both rhesus and  
6 cinemologous monkeys now, and even human, that there is no  
7 known pathology with this vector. It can be given into the  
8 lung. It can be given into the muscle. There is no  
9 inflammation seen. That is another one we feel comfortable  
10 with. You don't necessarily need a nonhuman primate study  
11 for that. I believe rabbits have also been tested for that  
12 one, too. That's in the literature, as well, there's no  
13 pathology with it.

14           We are comfortable with those classes of vectors,  
15 saying that we understand what is happening based on the  
16 biology of the response to the virus. We know what's going  
17 on here. We do not feel that nonhuman primates would be  
18 added value to these two particular classes of vector,  
19 unless there is a specific question you're trying to ask.

20           DR. WHITLEY: I think there just needs to be a  
21 sidebar that is added just for the herpesviruses, because  
22 herpesviruses behave differently according to the strain of  
23 mice selected and the rodent species utilized. I guess what  
24 that does is lead me to the conclusion that, when you're  
25 developing both safety as well as efficacy systems, they

1 have to be individualized according to the virus and  
2 optimized for the information that can be retrieved, and to  
3 pursue what Xandra was saying, is we try and target viruses  
4 for different tissues, that is not to say you won't use an  
5 aotus at one point or another in time, but you better have a  
6 reason to use it and understand what the added value is  
7 going to be in that system.

8 CHAIRMAN SALOMON: Again, that is what I'm trying  
9 to do, is come up with a series of principles that would  
10 generally be--that everyone would agree with.

11 DR. BREAKEFIELD: Just, I mean, in general, I  
12 agree with what Anne Pilaro says. I think sometimes,  
13 though, you come up with something that is not quite the  
14 same, like in mice, they always tell you well, we can't  
15 really do those hepatic arterial injections, you know, we do  
16 the portal vein, so your route of administration isn't quite  
17 the same and you wonder can that be a factor.

18 I think the other thing, with replication-  
19 defective vectors, like adenovirus, once you know where it  
20 goes, it's going to go to the same place. But I think by  
21 the time you start changing promoter elements that control  
22 critical genes and targeting elements on the surface, I  
23 think those are open questions again, and the whole  
24 distribution in different species may vary. You just don't  
25 know.

1 CHAIRMAN SALOMON: Are you saying, again, species  
2 and tissue-specific differences in vector interactions--I  
3 mean, in enhancer and promoter interaction.

4 DR. BREAKEFIELD: If new issues arise in a  
5 protocol, then it has to be reevaluated, if the data we have  
6 speaks to it or doesn't speak to it.

7 CHAIRMAN SALOMON: It seems like we've come up  
8 with another principle, and that would be if a clinical  
9 trial that you have now specifically designed requires a  
10 type of administration that it would reasonably, on the part  
11 of physicians or the public or any regulatory agency,  
12 constitute something that was a specific risk for the  
13 administration route, and you could not do that in a mouse,  
14 that that would be another potential rationale for a large  
15 animal, not necessarily for a nonhuman primate, but at least  
16 for--

17 DR. MULLIGAN: I think another way to classify  
18 vectors is simply replication competence, that if you have a  
19 replication-incompetent adeno AAV retro, the issues are very  
20 different than if you're looking for something that you want  
21 to have a, you know, Pac Man function to chew up tumor or  
22 something. Those are issues that are tough, because we know  
23 so little about the normal determinance of tropism, in the  
24 herpes case, in particular. I think I would look to any  
25 replication-competent vector as very different in terms of



1 the animal model system, and really look not very hard at  
2 the replication-incompetent systems.

3 I think we've talked about the necessity to have  
4 receptors, the route of administration, but in the  
5 replication competence, I think that even for efficacy sake,  
6 which, you know, we're take a back burner to in this  
7 discussion, but for tumor approaches, where there's an  
8 effort to have actual replication just in tumor tissue, I  
9 think those systems that are now being looked at are very  
10 unsophisticated, and while from a safety point of view,  
11 things may be okay, I think looking from the point of view  
12 of efficacy, it may be very important to really look more  
13 carefully at the different systems.

14 CHAIRMAN SALOMON: Picking that up, what Anne told  
15 us was that there is such a body of information suggesting  
16 that the data between the nonhuman primates and the mouse,  
17 let say, for the adeno and the gutless adenovectors, is  
18 similar, that she is basically taking the position that she  
19 would be comfortable with that sort of data, yet in the  
20 context of the gutless adenoviral vectors, where you're  
21 going to be putting in helper virus at some percentage, I  
22 mean, do you want to comment on that, Dr. Chamberlain?

23 I mean, then you've got replication-competent  
24 virus and the principle, I think, Rich was saying was that  
25 that might be necessary to do some limited amount of

1 nonhuman primate data.

2 DR. MULLIGAN: Just on that point, I think the  
3 amount of replication-competent virus he is talking about is  
4 not important. I'm talking about a gene therapy approach  
5 where you're attempting to have replication competence.

6 DR. CHAMBERLAIN: Yeah, it's a different issue,  
7 because the helper virus we're using is essentially a  
8 conventional adenovirus, so that would not really change  
9 anything.

10 DR. GORDON: Can I just say one little more thing  
11 about that, that even in regard to Richard's comments, I  
12 think when you look at a nonhuman primate, the key question  
13 is, is the response of the animal, on the basis of its  
14 toxicity response or its efficacy response, going to tell  
15 you something about what the human will do better than or  
16 for the first time, as opposed to another species of animal  
17 model. If it tells you that, you should use it. If it  
18 doesn't tell you that, you should not necessarily use it.

19 DR. MULLIGAN: I did not mean to suggest that that  
20 was a rationale for going to a nonhuman primate. In fact, I  
21 think the discussion, if there is a consensus, as Ed said  
22 before, it all depends. I was just saying that for  
23 replication-competent viruses or vectors, you definitely  
24 want to look at the system, any system, and make sure that  
25 you're as close to looking at the characteristics that are

1 important as you can be.

2 CHAIRMAN SALOMON: Rich and Xandra, what do we  
3 know about the other herpesvirus vectors, CMV, EBV? I guess  
4 you knew I was going to ask that.

5 DR. WHITLEY: Let me take a shot at it first and  
6 then you can jump in. CMV is being developed as a vector,  
7 but we have a really, really fundamental problem, and that  
8 is we don't have a model to begin with to look at that  
9 virus, and we had a meeting at CDC about three weeks ago  
10 that was really quite a productive meeting, but at that  
11 meeting, it was very clear that we do not have an adequate  
12 model to begin to probe develop CMV as a vector.

13 For EBV, we have tumor genicity models in nonhuman  
14 primates. The question is, will they ultimately be used for  
15 the evaluation of gene therapy approaches, and it's just not  
16 that far along yet. It is the next step, because you do not  
17 have a rodent system to evaluate those viruses, and that is  
18 true for HHV-6, HHV-7 and KSHV. I think there you are going  
19 to be stuck using some form of nonhuman primate.

20 CHAIRMAN SALOMON: There, I guess, the principal  
21 would be one the one that we already discussed, and that is  
22 if it is not--if the tissue itself in the mouse, let's say,  
23 is not permissive for the virus, then you can't use it.

24 DR. BREAKFIELD: But isn't that the only way an  
25 adenovirus--that it can infect mouse cells, but it can't

1 propagate in them, so if you try to look with the  
2 replication conditional, you know, replication-competent  
3 vector, are you really evaluating toxicity in the mouse?  
4 There may be, I think we've gotten to this before, the  
5 cotton rat is the only one where it does replicate and that  
6 is very hard to get, but, you know, these are the issues we  
7 get into, they are difficult to--

8 CHAIRMAN SALOMON: I didn't know that. I didn't  
9 realize that, after everything we said about the mouse, that  
10 you were not getting replication in it. Maybe Rich was  
11 thinking that when he made his comments.

12 DR. PILARO: Can I make a clarification on that?  
13 With a cotton rat, we know we get a limited amount of  
14 replication of wild-type adenovirus in the lung. However,  
15 no one has systematically looked to whether or not we get  
16 replication of the other tissues in any of the species,  
17 including nonhuman primates. So, we're really at ground  
18 zero with that. We know if you put a replicating adenovirus  
19 into a mouse liver or into a monkey liver, that you would  
20 get the same results. We don't have that data.

21 CHAIRMAN SALOMON: But if I understand right then,  
22 really what you have said then, and I think Dr. Lyons, GTI  
23 Novartis, said something very much along the same lines with  
24 adenoviral work that they did, that from an adverse event  
25 point of you, it looks like the mouse and the nonhuman

1 primates and even the human is fairly predictable, and that  
2 is a very good thing in terms of not having to do nonhuman  
3 primates for those studies, but it doesn't seem anything  
4 like that is true if the question one wanted to ask is what  
5 would be the risk of injecting a replication-competent  
6 adenovirus? Can we agree on that?

7 DR. PILARO: You've got it. That is the point.

8 CHAIRMAN SALOMON: Well, that was, I think, what  
9 Rich was trying to say and I missed it, so that was just me  
10 not understanding it.

11 DR. PILARO: We just don't know what an  
12 appropriate model to look at replication-competent  
13 adenovirus would be right now.

14 CHAIRMAN SALOMON: Do we know that a nonhuman  
15 primates is a model for it?

16 DR. PILARO: No, we don't, we don't have that  
17 information. No one has really systematically looked across  
18 the different species, going into the different tissues, to  
19 see if you put a replication-competent virus in here, does  
20 it replicate in a mouse liver, does it replicate in a human  
21 liver, does it replicate in a monkey liver? We just don't  
22 know.

23 DR. CHAMPLIN: Now you have human trials with  
24 adenoviruses.

25 DR. PILARO: What we know from those trials is the

1 data that have been discussed before the RAC, is it appears  
2 that replication-competent viruses replicate in the tumor,  
3 but not in normal tissue, and that is the best data we have  
4 got out of those studies.

5 DR. ANDERSON: I'm not telling you, you know, but  
6 that is a correct statement, but that is a non-data  
7 statement, because those replicating adenovirus were  
8 designed not to replicate in normal cells.

9 CHAIRMAN SALOMON: Right. These are the P53  
10 mutant. French, would you agree, with respect to retroviral  
11 vectors, I think you kind of said that, but just as long as  
12 we were going through each of the vector classes, to try and  
13 come to some sort of consensus, would you agree with the  
14 retroviral vectors that, at the moment, as long as one  
15 didn't do something again along the principal that Xandra  
16 came up with, that you didn't profoundly change the vector  
17 in some way that would raise concern, that the retroviral  
18 vectors do not need to be tested in nonhuman primates at  
19 this point?

20 DR. ANDERSON: They had considerable testing,  
21 including testing with RCR, with replication-competent  
22 virus, to the point they inadvertently did the definitive  
23 experiment of seeing what level of replication-competent  
24 virus would produce lymphoma in the animal. All of that  
25 data was a report that I wrote, along with Gary McGarrity,

1 to the RAC and the FDA, analyzing all of the data of  
2 retroviruses into monkeys. We went into over 30 animals and  
3 many of those animals are still alive and still being  
4 followed.

5 The total data now that represents well over 100  
6 monkeys, or well over 100 monkey years, suggest that we  
7 understand sufficiently about what the risk factor is that  
8 probably no additional monkey studies need to be done, or  
9 nonhuman primate studies need to be done.

10 CHAIRMAN SALOMON: I have quoted some of those  
11 studies in grants and gotten mixed results. Some people do  
12 not think that it is absolutely established that injection  
13 of replication-competent retrovirus produces lymphoma in  
14 these animals. There are a relatively small number of  
15 animals in the end and there isn't spontaneous incidents of  
16 lymphoma in the animals, as well, particularly in captivity.

17 DR. ANDERSON: Let me refer you to the report that  
18 I am first author of that went to the RAC and FDA that  
19 analyzed all that data, as well as additional data out of  
20 the lab notebooks that was never published anywhere else,  
21 and the conclusions are sufficiency solid that it would be  
22 hard for me to believe that anybody who has analyzed the  
23 data would still question what the situation is.

24 You could always say you could do 100 more animals  
25 and what if you did this and what if you did that, but there

1 is no question that it was the replication-competent virus  
2 that caused the lymphomas. There was no question that the  
3 retroviral vector did not cause them, and all the conditions  
4 required, including severe immunocompromised, the lack of  
5 antibody response, the necessary (sic.) for a long-term  
6 retroviremia lasting over 100 days, it was a clonal event in  
7 each animal. The virus was actually isolated out of  
8 sequence.

9 I mean, thorough studies were done.

10 DR. BREAKFIELD: But if you just subtract out the  
11 lymphoma component and say that is established, let's say  
12 you had a retrovirus vector that had like a different  
13 targeting moiety on its surface and now you are going to  
14 inject very large amounts, IV, do you think it needs to be  
15 retested in primates or nonhuman primates or do you think  
16 the data is there that supports that would not be toxic?

17 DR. ANDERSON: Well, that is actually a question  
18 that we are directly facing, because we have now developed a  
19 targeted vector that can be injected directly into the  
20 bloodstream, and that will be discussed at the RAC in  
21 December, and is at the FDA, and our feeling is that we  
22 would not obtain additional information. This is  
23 replication incompetence, to go along with Richard, that it  
24 is not necessary to go into nonhuman primates.

25 If, however, we or someone else were to develop--



1 well, I shouldn't say we or someone else--Dori Kasahara, in  
2 our program, has developed a replication-competent  
3 retrovirus specifically for treatment of cancer, and that is  
4 an interesting question. I don't want to bias either us or  
5 the FDA by speculating at this point, but we're going to  
6 have to face that issue over the next several months.

7 DR. NOGUCHI: Not to prolong the discussion too  
8 much, but regardless about what people think about the  
9 lymphoma, what is clear and has been demonstrated is if you  
10 have replication-competent murine retrovirus that you give  
11 to a nonhuman primate, you will get chronic infection at the  
12 very least. Some precipitating events like severe  
13 immunosuppression may then lead to further replication and  
14 activation out of that persistent infection.

15 I think in at least a general sense we know what  
16 will happen with any contamination of replication-  
17 incompetent retroviruses. I think French has also  
18 identified, though, just as adeno went from replication-  
19 deficient to replication-selective, they may an approach--  
20 approaching that with a retrovirus, then I think the  
21 question is open again. But just talking about adenovirus,  
22 as an example, again it may or may not be the nonhuman  
23 primate that is the appropriate model. That is still open  
24 for much discussion.

25 DR. TORBETT: I was just going to as Dr. Anderson

1 if he felt comfortable with the many different approaches in  
2 his discussion, that many different types of targeting  
3 vectors now coming online, whether it's VSVG, whatever,  
4 which can probably hit a cell with much higher frequency  
5 than others, that simply using a mouse model is adequate?  
6 That is to get back to your point that mouse models would be  
7 adequate.

8 DR. ANDERSON: Yes, yes, right. Well, now that  
9 I'm sitting on this side of the table instead of out there,  
10 that is really sort of tough, because that is the other  
11 issue we have dealt with, because our targeted vector is  
12 much more efficient in our mouse models by having a mixture  
13 of VSVG with our chimeric targeted 4078. I would tell you  
14 my gut feeling. I would be a lot more comfortable going  
15 into primates for just the reason that Dr. Whitley said, is  
16 before we going into a patient that we get into a monkey,  
17 but I don't know if I could justify that on scientific  
18 grounds.

19 DR. TORBETT: We're back where we started. I was  
20 just curious.

21 CHAIRMAN SALOMON: I'm glad to say this day will  
22 not end with consensus, any more than the morning did. At  
23 this point, we have been talking about safety, and I think  
24 that we have come up with at least a series of principles  
25 that might at least be used to guide an FDA decision along

1 the lines of whether a nonhuman primate or a large animal  
2 model should be used.

3 Principles would include that you have  
4 permissivity, that you have a reasonable expression level of  
5 the receptors for the vector in different tissues, that if  
6 there is extensive experience with the vector choice in  
7 nonhuman primates to the point that there seems to be no  
8 evidence upon which to argue that the nonhuman primate adds  
9 information for safety, that you could not get in a mouse or  
10 a dog or another model, then there would not be a reason for  
11 doing it.

12 But that changes--significant changes in a known  
13 vector class that could be argued scientifically would  
14 generate additional safety concerns, replication-competent  
15 virus whose behavior could be very different in a nonhuman  
16 primate than in a mouse, or where a clinical protocol would  
17 specifically require an administration route that would  
18 reasonably generate concern on the part of anybody looking  
19 at the study. All those would be reasons--yeah, please, add  
20 one.

21 DR. BREAKFIELD: The only thing I would add to  
22 that is if you had a transgene product that was active in  
23 humans and not in mice and was active in nonhuman primates,  
24 that might be another.

25 CHAIRMAN SALOMON: Right. I was doing something

1 specific here. I was kind of ending with the safety and  
2 then I wanted to finish maybe a couple minutes talking about  
3 efficacy issues that I think have a whole other set of  
4 principles. Does anybody have anything to add to what I  
5 sort of reviewed quickly? Basically, it wasn't my idea. I  
6 was trying to review what came out of the discussions here  
7 that would be then, as I said, some set of principles upon  
8 which to consider this, and I think we did answer most of  
9 the questions then about class of vector and when do it.

10 At the end, like I said, I would like to talk  
11 about efficacy for a minute.

12 DR. NOGUCHI: I think the reasoning and the  
13 discussion has really been excellent here. I'm reminded a  
14 little bit about sometimes we discuss questions about  
15 surrogate endpoints, and it seems to me the principle is the  
16 same, for our conclusion that you have come to today about  
17 where we can safely use a model other than a nonhuman  
18 primate in this particular case or any other model, someone  
19 had to do the studies in the first place or else you can't  
20 really make that evaluation.

21 I think that overall it is a very good lesson for  
22 a lot of different things, not just for this, but things  
23 like surrogate endpoints and everything else.

24 CHAIRMAN SALOMON: Yes, I agree, and I think  
25 again, really I think an important principle here is

1 whenever you would introduce a new viral class of vectors or  
2 non-viral vectors or really a significant change in the  
3 vector, then those would be another place where one would  
4 have to consider again the model. Let's then segue at the  
5 end to are there any additional principles that should be  
6 added to the discussion for efficacy parameters?

7           It is one thing, then, to have a model in a mouse  
8 in which we're comfortable that the adverse event profile is  
9 similar, but now how do we think about other issues with  
10 respect to expression and gene delivery, antibody responses,  
11 for example, really came up and I challenged Dr. High about  
12 the idea that, well, you know, this is a novel antibody  
13 response and then you're trying to reassure us this is a  
14 model for humans. My response is, well, it might be, but  
15 then you have to see that happen in a human, which I think  
16 was Carol's point. Can we discuss for just a minute what do  
17 you guys think about what does efficacy issues add to model  
18 choices?

19           DR. TORBETT: I think it depends on the system, if  
20 it is going into a human and you were using a cytokine, for  
21 example, or whatever that doesn't cross-react with a mouse,  
22 that mouse is going to see as foreign, you're already  
23 biasing the study and getting information that might not be  
24 relevant. However, I think in all these situations, you  
25 have to judiciously pick the system that you're using, again

1 if it something that is very toxic to mouse again, you're  
2 going to bias your study, so in these kind of situations, if  
3 it's a cytokine, IL-12, whatever, going into a nonhuman  
4 primate system, it might be the only thing that will work.

5 DR. CHAMPLIN: Even there, it may not work, I  
6 mean, immunogenicity isn't really, at least in my  
7 experience, it's not very predictive from animal models to  
8 humans. Sometimes you have problems on one end or another  
9 and you just have to do the study to see. The other issue  
10 is the model system for--you know, there are some nice  
11 diseases, hemophilia would be a classic example, where the  
12 model system could be very helpful, whereas there are many  
13 other diseases, cancer, where the animal tumors don't  
14 predict necessarily for human responses, particularly for  
15 immunotherapies or cytotoxics.

16 I think, depending on the disease system, animal  
17 models may or may not be predictive and you really want to  
18 look for safety and for feasibility of expression of the  
19 transgene.

20 DR. WHITLEY: I was going to try and deal with one  
21 principle, and I think that when animal models exist for  
22 disease, they should exploited and they should be studied in  
23 the context of the natural immune response. Having said  
24 that, if I just take a different hat and put on a vaccine  
25 hat, rather than a gene therapy hat, I can take a mouse and

1 immunize a mouse with water and that will protect the mouse  
2 from challenge with wild-type herpes simplex infection.

3           What we've learned from the mouse to humans with  
4 sub-unit vaccines has not been applicable. Sub-unit  
5 vaccines don't work in people, they work in mice, so we have  
6 to be little bit careful about the analogies in terms of the  
7 meaning of the immune response in rodent systems compared to  
8 man.

9           CHAIRMAN SALOMON: That would underline the  
10 principle I started with, and that is that when we start  
11 talking about efficacy, the game is again different. There  
12 may be very clear situations in which we would need to do  
13 some nonhuman primate studies to demonstrate efficacy or  
14 reassure ourselves that an immune response was similar. Dr.  
15 High, I thought you might want to make a point.

16           DR. HIGH: I just wanted to make one point, and  
17 that is that in data that I didn't have time to present,  
18 using those two different dog models of hemophilia, we  
19 actually purified dog Factor IX protein that showed that, if  
20 you infused purified canine Factor IX protein, similar to  
21 the product currently used to treat humans, except that it  
22 is species-specific, if you infuse that into the Chapel Hill  
23 dogs, the ones with the missense mutation, they don't make  
24 inhibitory antibodies, but if you put it into the dogs with  
25 the early stop codon, they do make inhibitory antibodies.

1                   This correlates with what we have observed  
2                   treating humans, that is a dog with a more severe mutation  
3                   has a greater likelihood--so that speaks to the point raised  
4                   by Dr. Miller, because actually the immune response to the  
5                   transgene product in hemophilia is really a safety issue, so  
6                   it is a safety issue related to the transgene product  
7                   instead of the vector.

8                   CHAIRMAN SALOMON: It is an efficacy issue, as  
9                   well, right, there's no point in doing gene therapy if  
10                  you're going to get an antibody and inhibit it. Right?

11                  DR. HIGH: Right, so it is both, but the point is  
12                  this is a safety issue related to expression of the protein,  
13                  and we do have information, from using purified protein,  
14                  that what happens in the dogs is similar to what we have  
15                  already observed in humans. I think there is a rational  
16                  basis for believing that the data generated by gene-donated  
17                  approach may predict what happens in humans.

18                  CHAIRMAN SALOMON: That would raise then a  
19                  principle that I would put out for comment, that if in the  
20                  roll up to a clinical trial you could convincingly argue,  
21                  with data, of course, that the model you had chosen, that  
22                  was a nonhuman primate, where it is a mouse model or a dog  
23                  model or a guinea pig model, I don't care, reflects a  
24                  clinical experience at a molecular, cellular, protein level  
25                  in such a way that it would really give a convincing



1 argument to people that this was a model, that you would not  
2 necessarily need then to go on to a nonhuman primate model  
3 to make your point.

4 DR. HIGH: I might turn that around a little bit,  
5 just to say that that fact alone would not exclude the  
6 necessity for nonhuman primates, but I would argue that it  
7 would support the use of an animal that was deficient in the  
8 protein.

9 CHAIRMAN SALOMON: That is the principle.

10 DR. BREAKFIELD: I mean, I want to stress that  
11 point, too. I think several people have said it, but if  
12 there is a non-primate model, a mouse model, of a disease  
13 available, I think it is very important to do the safety  
14 studies in those animals because sometimes, due to their  
15 illness or whatever else, they become especially susceptible  
16 to the virus. If the nervous system kind of degenerates and  
17 the virus infects those cells, they may be much more  
18 affected, and it is a very important model to include if it  
19 is available.

20 On the other hand, I don't think you can hold the  
21 investigators accountable if the model doesn't exist because  
22 it takes a long time to developed these models and sometimes  
23 you develop them and they don't even look like the human  
24 disease model.

25 CHAIRMAN SALOMON: I think that the issue I was

1 trying to come up with was not if you don't have a model  
2 what do you do. I can't help that. I mean, that is not for  
3 this group to discuss. But what I was trying to argue was  
4 if we agree that if you had a model and if you could  
5 demonstrate that that model paralleled what one saw in  
6 clinical experience, which is what Dr. High was saying,  
7 could we agree that you could then use that reasonably to  
8 argue for the use of that model as a surrogate for nonhuman  
9 primate data prior to going on, let's say, to a Phase I or  
10 clinical trial.

11 I feel comfortable with that, if it would, of  
12 course, be an individual thing. I would have to be  
13 convinced by the results and I would be skeptical, but I'd  
14 have to be convinced.

15 DR. MILLER: I mean, my point was, from the animal  
16 studies, is that you can use that data to suggest you don't  
17 need to go to a maximal tolerated dose. I think you can say  
18 as long as you are getting efficacy, you can look for the  
19 minimal effective biological or pharmacological dosing, but  
20 using that model system, if you didn't get efficacy at the  
21 level which you felt was, based on your model system, not  
22 immunogenic, you would be forced to go up, so I think you  
23 can use the model system to try and help you as long as  
24 you're getting a biologic efficacy effect.

25 While you are saying it is safety, it is also

1 giving you--as long as you have efficacy, it can model only  
2 safety, but it has to be evaluated through both safety and  
3 efficacy.

4 CHAIRMAN SALOMON: I think it's a good point, too.  
5 I think part of what I didn't say, but I agree with, is that  
6 if you are going to make this argument not to go to nonhuman  
7 primates for a new vector system, it shouldn't be just based  
8 on safety alone. Picking up on what you said, there should  
9 be an animal model of the disease and you should be able to  
10 demonstrate efficacy, because I think at this point, in  
11 general, I think the public is getting shy of the idea to  
12 going on to risky trials when efficacy has not been  
13 demonstrated, even reasonably, in a model of the disease.

14 DR. NOGUCHI: I would just like to clarify what I  
15 think I hear Dr. High saying, and it is actually just  
16 slightly different than what we have been discussing. What  
17 we were really discussing is in the absence--how best to put  
18 this? There is ample evidence that inhibitors is one of the  
19 worst things that could happen with treatment of hemophiliac  
20 patients, because that can literally destroy any potential  
21 or real benefit that current technology can provide.

22 What Dr. High is actually addressing is do we need  
23 to have the experience with gene therapy of developing  
24 inhibitors in a human first to say that then the animal  
25 model is valid, or can we had instead use the wisdom of

1 previous experiments, and what Kathy High is saying is that  
2 we know that under certain conditions, with a big deletion  
3 that gives you no protein, puts a person at more risk, and  
4 she now has evidence that in the animal model with a gene  
5 therapy vector, that you can, under those conditions, induce  
6 inhibitors.

7 The question of whether it is valid or not is a  
8 genuine one, but I think it's going a little bit beyond the  
9 issue here, is the question of do we need to have the human  
10 experience before we say let's not go there?

11 CHAIRMAN SALOMON: Actually, I don't agree with  
12 this at all. What I'm saying is specifically that if you  
13 want to argue that you do not have to go on, that you have  
14 got a model that predicts what is going to happen in the  
15 human, you have got to tell me on what basis you made that  
16 prediction. Look, we can cure all kinds of diseases in  
17 mice. I've had enough of that in 20 years of curing mice.  
18 There should not be a sick mouse in the United States, and  
19 yet my patients are not doing so well.

20 I think that the point here is I would buy the  
21 principle that if you had a model in the humans--I'm not  
22 saying do it in the humans until you get a side effect and  
23 then congratulate yourself as part of a workup to a clinical  
24 trial, but I'm saying if there is a clinical experience in  
25 humans through the administration of exogenous Factor VIII

1 in hemophilics, that now your model parallels and now you  
2 want to tell me now I've got a valid model and I don't have  
3 to do a nonhuman primate, that is what I'm saying I accept.

4 If there's no human data, then all the arcane,  
5 beautiful, molecular baloney that you come up with for your  
6 animal model is just that.

7 DR. CHAMPLIN: Why would you need to do a nonhuman  
8 primate for the Factor IX approach?

9 CHAIRMAN SALOMON: I actually was saying I don't  
10 think you do. I think that was a perfect example. That  
11 would be a model in which I'd say you've got human  
12 correlation, you don't have to do nonhuman primates. That  
13 was the whole point. I agree with that.

14 FLOOR QUESTION: What I do not understand is, in  
15 the event that there was no canine model of Factor IX, what  
16 would you gain by a nonhuman primate study of a gene for  
17 Factor IX with regard to inhibitors? I mean, I don't  
18 understand if there is no model, then a nonhuman primate  
19 does not help.

20 DR. CHAMPLIN: That's what we said. We said we  
21 would not recommend it.

22 FLOOR QUESTION: And if there is a model, how does  
23 it help?

24 CHAIRMAN SALOMON: I could answer, but Xandra, do  
25 you--

1 DR. BREAKFIELD: Well, from probably most of the  
2 primitive viewpoints, but just that if you been working with  
3 a vector and different species have different kinds of  
4 immune responses, I would imagine the nonhuman primate has  
5 an immune response more similar to humans with some subset.  
6 Maybe that is wrong.

7 FLOOR QUESTION: But that is a safety issue.

8 DR. BREAKFIELD: Well, it is efficacy, too. If  
9 you make inhibitor, then your vector isn't going to work in  
10 those people that have the deficiency. Right? I see.  
11 You're trying to just argue for efficacy.

12 CHAIRMAN SALOMON: Can you spell out what you're  
13 saying? If you don't have a model--we were talking about if  
14 you had a model and how you ratify that model without having  
15 to do nonhuman primates. That is not what you are getting  
16 into now. You're suggesting now that what is the situation  
17 where you don't have an animal model for the disease, and  
18 I'm not going to demand--

19 FLOOR QUESTION: Dr. High has made the case that  
20 we have this experience with the canine Factor IX, et  
21 cetera, and you have said there is a syllogism you can  
22 follow with regard to the canine Factor IX that might  
23 persuade you that maybe in that case you do not need a  
24 nonhuman primate model for Factor IX. What I do not  
25 understand is in the absence of having a primate hemophilic

1 model, what a nonhuman primate model for Factor IX would do.

2 CHAIRMAN SALOMON: Nothing.

3 FLOOR QUESTION: Okay.

4 DR. GORDON: I just wanted to make a little  
5 comment on efficacy and that is the one that was brought up  
6 briefly with the cystic fibrosis mouse, and here is an  
7 animal that does not have CFTR, but when it comes to  
8 efficacy at the level of alleviating disease, it is not a  
9 useful model. I think if a primate presents a form of  
10 disease which can be examined for efficacy at the level of  
11 alleviating symptomatology, then it clearly is a model that  
12 should be sought. I want to make one other political point,  
13 if I could.

14 I think it is great to look for non-nonhuman  
15 primate animal models, but I don't think that it should be  
16 implied that one would hesitate for a moment to use a  
17 nonhuman primate if it was the best model. I don't want to  
18 get into that dangerous ground of suggesting that we would  
19 not do adequate animal testing just because somebody does  
20 not want us to use a primate or something like that, not  
21 that anyone in this room agrees with that, but I just want  
22 you to know there are people who do think like that.

23 CHAIRMAN SALOMON: I agree. I think that the  
24 principle that everybody is trying to grapple with is what  
25 would be the circumstances in which just sort of a knee-jerk

1 response would be, we have to do a nonhuman primate because  
2 we're uncomfortable, versus we're going to do a subset of  
3 nonhuman primates to answer a specific question necessary  
4 for the safe introduction of this agent, to a question, as  
5 this gentleman posed, from Avigen, is that if you don't have  
6 a disease in it and in this particular case, the issue is  
7 you can't look for an inhibitor without an absence of the  
8 native protein, then it doesn't make any sense and I agree  
9 with that, as well.

10 DR. GORDON: Well, let me just make a brief  
11 amendment to the way you responded, although I do agree with  
12 it. I think if you're in a situation where you are looking  
13 at vectors and looking for a suitable animal model and you  
14 don't have one yet, they shouldn't be left off the list,  
15 that is if you don't have a suitable animal model for  
16 looking at response, looking at toxicity, looking at  
17 supportive gene expression, then they must be included in  
18 the list and it would be a rationale for at least examining  
19 those species.

20 CHAIRMAN SALOMON: Right, and then I think again  
21 you would turn to the principles that we've kind of  
22 articulated this afternoon to ask critically whether if you  
23 fulfilled a number of those principles, whether a nonhuman  
24 primate model was reasonable, a new vector, a change in the  
25 vector, something specific that is species-specific. One



1 thing I wanted to comment on, and I think we're getting  
2 pretty close to the end here, but one thing that always  
3 bothers me is when we look at these animals models and when  
4 you look at these nonhuman primates, when you do these data,  
5 am I supposed to go into the nonhuman primate model with  
6 human Factor VIII or Factor IX or we have kind of beaten  
7 these factors to death, let's say IL-12 or any of these  
8 different things, if you're going in with the human protein  
9 into the nonhuman primate, I'm not getting that quite  
10 straight.

11 At the same time, however, is then do I have to  
12 stop and remake my vector using the primate equivalent of  
13 the protein, which I can see a couple of the sponsors in the  
14 back fainted already, but anyway this is all Rich Whitley's  
15 idea, by the way. I didn't have anything to do with it.

16 DR. PILARO: Can I address at least part of that?  
17 It's been our long-standing policy that if you have a  
18 homologous gene available and you have done some preliminary  
19 efficacy work with that, that it is permissible and actually  
20 encouraged to do toxicity studies with that gene, so that  
21 you actually understand in the system you're looking at what  
22 the toxicity of that protein would be.

23 There are differences between human and nonhuman  
24 primate IL-12 or interferon or any of these biologic  
25 proteins. The monkeys usually all develop antibody against

1 the protein within several weeks of administration. So, it  
2 really--

3 CHAIRMAN SALOMON: Are you saying when you use  
4 human IL-12?

5 DR. PILARO: I'm saying when you use human IL-12.

6 CHAIRMAN SALOMON: But not if you use monkey IL-  
7 12?

8 DR. PILARO: If I had monkey IL-12 available, I'd  
9 give you an answer there. I don't. That is something that  
10 we have not got data available on yet.

11 DR. CHAMPLIN: Antibodies to cytokines in humans--  
12 and, you know, that may or may not be biologically relevant  
13 to their therapeutic use, and clearly things can be very  
14 different in primates and non-primates, in terms of their  
15 antibody response. So I personally don't see that--any  
16 animal system really predicts for the human immunogenicity-  
17 related problems.

18 DR. PILARO: Okay, I did want to give the other  
19 half of that, though--that if you did not have a homologous  
20 gene available and the only gene you had was the human gene  
21 and the transgene product is species-restricted and only  
22 active in human and nonhuman primates--that would be a call  
23 where you would have to use a nonhuman primate. We  
24 certainly wouldn't make you go clone the monkey gene and  
25 insert it into a vector and then do studies. It is sort of

1 six of one, half dozen of another.

2 DR. HIGH: Actually, since she brought up clotting  
3 factors, maybe I'll just mention it because it harkens back  
4 to another point that I had tried to make. Monkey Factor  
5 IX, I know I said the wrong word, but Monkey Factor IX is  
6 about 97 percent identical to Human Factor IX at the  
7 sequence level, and it turns out that if you give an AAV  
8 vector expressing Human Factor IX into the liver of monkeys,  
9 they don't make antibodies to Human Factor IX. If you use  
10 some other vectors, they do.

11 CHAIRMAN SALOMON: Well, that may be a reason we  
12 could talk about it, that we can get back to later as a  
13 resin-immune site, but that is another story. I guess, just  
14 to pursue this just for another second and then we're done--  
15 if I, as a sponsor, did a study, then, with monkey IL-12--  
16 because these days, it is not that hard to insist on cloning  
17 a monkey homolog, to be honest. But let's just say I did  
18 that study with homologous protein and I got this and this  
19 result. And now, of course, I want to go to a human study,  
20 but I'm not going to use monkey IL-12. I have got a fresh  
21 construct of human IL-12 in the same vector. Is that okay?  
22 I mean, is the FDA going to roll with that one?

23 DR. PILARO: Are you asking me to design your  
24 talks program for you? If you are, what I would tell you  
25 is, if you had available that vector or that protein, the

1 recommendation that I would make to you is, if you're going  
2 to go for the nonhuman primate studies, you build your talk  
3 study and you do your dose response with the monkey study,  
4 but you add in one dose group with the human protein,  
5 preferably close to the maximal dose that your giving, so  
6 that you can see what the differences are, what the  
7 similarities are.

8           The human protein is what you're going to go into  
9 the clinic with, so it's always useful to have that data.  
10 You want that information. When you're dealing with a  
11 species restriction or when you're dealing with significant  
12 differences between a nonhuman primate and a human, you  
13 would want the information with the homologous molecule, if  
14 it's available--big caveat.

15           CHAIRMAN SALOMON: Good. Okay. Did we answer the  
16 questions that the FDA wanted? Is there anything hanging  
17 out there that you want us to deal with?

18           DR. PILARO: You have basically given us some,  
19 what I call, red flags for when you think nonhuman primate  
20 studies are appropriate. And, I want to actually commend  
21 the group because you go along pretty much with what the  
22 guidance in the ICHS-6 document for biotechnology-derived  
23 products is. That document does not address gene therapy  
24 products, but it is basically what we use in biologic as our  
25 Bible. So, I'm happy to see that we've been kind of going