# **U.S. Department of Justice** Office of Justice Programs *National Institute of Justice*



The U.S. Department of Justice, Office of Justice Programs (OJP), National Institute of Justice (NIJ), is seeking applications for funding under the fiscal year 2008 Convicted Offender and/or Arrestee DNA Backlog Reduction Program.

This program furthers the Department's mission by offering an opportunity for States with existing laboratories that conduct DNA analysis of convicted offender and/or arrestee DNA samples ("DNA database samples") to reduce their backlog of such samples through in-house analysis or by sending samples to accredited fee-for-service laboratories for analysis. Agencies may also apply for assistance with data review of backlogged DNA profiles awaiting CODIS entry that were generated by an accredited fee-for-service laboratory. These activities are critical to accelerating the analysis of DNA database samples collected by States in order to provide timely Combined DNA Index System (CODIS)-compatible data for all 13 CODIS core short tandem repeat (STR) loci for State and national DNA databases.

# Solicitation: Convicted Offender and/or Arrestee DNA Backlog Reduction Program

# **Eligibility**

(See "Eligibility" page 4)

#### Deadline

All applications are due April 4, 2008, 11:59 p.m. eastern time.

#### **Contact Information**

For assistance with the requirements of this solicitation, contact Mark Nelson, Senior Program Manager, at 202–616–1960 or <a href="Mark.S.Nelson@usdoj.gov">Mark.S.Nelson@usdoj.gov</a>; or Minh Nguyen, Program Manager, at 202–305–2664 or <a href="Minh.Nguyen@usdoj.gov">Minh.Nguyen@usdoj.gov</a>.

This application must be submitted through OJP's online Grants Management System (GMS). For technical assistance with submitting the application, call the Grants Management System Customer Support Hotline at 1–888–549–9901.

SL# 000830

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# Convicted Offender and/or Arrestee DNA Backlog Reduction Program CFDA No. 16.748

# **Overview**

The objective of this program is to accelerate the analysis of convicted offender and/or arrestee DNA samples collected by States pursuant to applicable law for databasing purposes (hereinafter, "DNA database samples"), in order to provide timely CODIS-compatible data for all 13 CODIS core STR loci for State and national DNA databases. Funds are to be used by a State's designated existing and accredited DNA database laboratory to reduce the backlog of DNA database samples in one of three ways:

- 1. Through in-house analysis. The DNA database laboratory will determine what its backlog is and can apply for \$35.00 per sample to be analyzed. If the database laboratory lacks funding to ensure timely review of the profiles generated, it may apply for an additional \$5.00 per DNA profile generated in-house for a total of \$40.00 per sample tested in-house.
- 2. Through sending samples to be tested by accredited fee-for-service laboratories. Funding requests for this option are to be calculated in exactly the same fashion as for the in-house testing option above.
- Through data review of sample profiles generated by an accredited fee-forservice laboratory. If the database laboratory lacks funding to ensure timely review of the profiles generated, it may apply for an additional \$5.00 per DNA profile generated.

All profiles obtained from DNA database samples with funding provided under this announcement must be entered into CODIS within 90 days of completion of analysis and uploaded to the National DNA Index System (NDIS).

Laboratories that receive funds from awards made under this announcement for sample testing and review must track and report the number of DNA database samples analyzed and/or reviewed under this fiscal year (FY) 2008 program and the number of resulting CODIS hits.

# **Deadline: Registration**

The GMS registration deadline is the same as the application deadline.

# **Deadline: Application**

The due date for applying for funding under this announcement is **April 4**, **2008**, **11:59** p.m. eastern time.

# **Eligibility**

Eligible applicants are States<sup>1</sup> with a designated existing crime laboratory that conducts analysis of DNA database samples, provided the designated DNA database laboratory meets **all** of the following requirements:

- The laboratory is accredited by a nonprofit professional association of persons actively involved in forensic science that is nationally recognized within the forensic science community.
- If the laboratory requests assistance with analysis of samples in-house, then the
  laboratory must possess sufficient in-house DNA analysis capacity to analyze at
  least 50 percent of its annual receipt of DNA database samples, or a minimum of
  5,000 DNA database samples per month.
- The laboratory is a participant in the National DNA Index System (NDIS).
- The laboratory undergoes external audits, not less than once every 2 years, to demonstrate compliance with DNA Quality Assurance Standards established by the Director of the Federal Bureau of Investigation.

# Specific Information—Convicted Offender and/or Arrestee DNA Backlog Reduction Program

#### A. Allocation of Funds

In general, funds for sample analysis, by either in-house testing or testing by accredited fee-for-service laboratories, will be awarded on the basis of the estimated number of DNA database samples awaiting analysis as of September 30, 2008, that are projected to be analyzed during the award period with Federal funds provided under this announcement. The amount awarded for sample analysis will not exceed \$35.00 per profile generated (or per sample processed if no profile is generated but reasonable attempts were made). An additional \$5.00 per profile may be requested if assistance is needed for data review and upload to NDIS. Total awards will not exceed \$40.00 per sample analyzed and uploaded to NDIS.

Agencies that send DNA database samples to an accredited fee-for-service laboratory for analysis may apply for assistance with data review and upload of profiles to NDIS if a backlog of profiles to be entered into CODIS exists. These awards will not exceed \$5.00 per DNA profile reviewed and uploaded to NDIS. Funds for data review of DNA profiles generated by accredited fee-for-service laboratories from DNA database samples will be based on the estimated number of DNA profiles awaiting data review as of September 30, 2008, that are projected to be reviewed during the award period with Federal funds provided under this announcement.

Funds will be awarded only for sample analysis and data review above and beyond that which a State can accomplish using current sources of funding. The total amount of

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<sup>&</sup>lt;sup>1</sup> For purposes of this announcement, the term "State" includes the District of Columbia and the Commonwealth of Puerto Rico. For those States that use a laboratory operated by a unit of local government for analysis of the State's DNA database samples, the application must be submitted by the unit of local government having oversight of the DNA database program.

funding requested by eligible States may also affect award amounts. All awards are subject to the availability of appropriated funds and to any modifications or additional requirements that may be imposed by law.

#### B. Award Period

All awards will start on the same date of October 1, 2008. In general, NIJ will limit its grants under this program to a maximum period of 18 months after start of the award (from October 1, 2008 to March 31, 2010).

#### C. Award Purposes and Related Requirements

The objective of this program is to accelerate the analysis and data review of DNA database samples in order to provide CODIS-compatible data for all 13 CODIS core STR loci for State and national DNA databases. Funds must be used by a State's designated existing and accredited DNA database laboratory to reduce the backlog of DNA database samples through in-house analysis, or through analysis of samples by accredited fee-for-service laboratories, and/or through data review of DNA profiles generated by accredited fee-for-service laboratories.

All profiles obtained from DNA database samples with funding provided under this announcement must be entered into CODIS within 90 days of completion of analysis and uploaded to NDIS. Laboratories **must** follow applicable NDIS DNA Data Acceptance Standards for DNA profiles uploaded to NDIS.

All convicted offender and/or arrestee samples must be analyzed for all 13 CODIS core STR loci—FGA, VWA, D3S1358, CSF1PO, TPOX, THO1, D18S51, D21S11, D8S1179, D7S820, D13S317, D5S818, and D16S539, using commercially available polymerase chain reaction (PCR) kits accepted by NDIS.

Each DNA analysis conducted under this program must be maintained pursuant to all applicable Federal privacy requirements, including those described in 42 U.S.C. § 14132(b)(3).

#### D. Expected Results and Outcomes

The result of receiving FY 2008 Convicted Offender and/or Arrestee DNA Backlog Reduction Program funds for in-house analysis or for analysis of samples by accredited fee-for-service laboratories should be a demonstrated reduction in the number of DNA database samples awaiting DNA analysis as of September 30, 2008. If funds are awarded for data review of DNA profiles generated from DNA database samples by an accredited fee-for-service laboratory, the backlog of such profiles awaiting review is expected to be reduced by the end of the grant period.

#### E. Permissible Uses of Funds

Permissible uses of funds for agencies applying for assistance with in-house testing of DNA database samples may include the following:

1. Overtime. Funds may be used for overtime of existing in-house laboratory employees directly engaged in (a) handling and analyzing DNA database

samples, including handling and analyzing quality assurance samples,<sup>2</sup> and (b) data review of profiles generated by an accredited fee-for-service laboratory from DNA database samples that, due to the lack of laboratory resources, have yet to be reviewed and entered into NDIS. Any payments for overtime must be in accordance with the applicable provisions of the OJP Financial Guide, available at <a href="http://www.ojp.usdoj.gov/financialguide/">http://www.ojp.usdoj.gov/financialguide/</a>.

2. Salary and Benefits of Additional Laboratory Employees. Funds may be used to hire additional full-time or part-time laboratory employees who will be directly engaged in the handling or analysis of DNA database samples or the data review of DNA profiles generated from such samples (including profiles generated by accredited fee-for-service laboratories). Matching funds are not required.

Applicants must provide documentation that the additional new full-time/part-time laboratory employees will be directly engaged in the handling or analysis of DNA database samples or in the data review of DNA profiles generated from such samples.

**Note:** NIJ makes no assurance that funds will be available for this purpose in future award announcements.

- 3. Consultant and Contractor Services for Data Review. Funds may be used to hire consultants and/or temporary contract staff to conduct data review of profiles generated by an accredited fee-for-service laboratory from DNA database samples that, due to the lack of laboratory resources, have yet to be reviewed and entered into NDIS. Any such consultants or contract laboratory personnel must meet all NDIS requirements for the review of DNA data.
- **4.** Laboratory and Computer Equipment for the DNA Database Laboratory. Funds may be used for upgrading, replacing, and purchasing laboratory equipment, instrumentation, and computer hardware for use in the DNA database laboratory.
- **5. Certain Supplies.** Funds may be used to acquire commercially available PCR kits accepted by NDIS and other laboratory supplies for analysis of DNA database samples, including analysis of guality assurance samples.<sup>3</sup>
- **6. Renovations.** Funds may be used for the renovation of facilities that will directly and specifically improve the effectiveness or efficiency of the **DNA database laboratory** operation. Any such renovations must be within an **existing** database laboratory facility.

For example, if it can be demonstrated that such renovations will directly and specifically improve the efficiency of the DNA database laboratory, funds may be used for benches, cabinets, interior dividing walls, plumbing, HVAC systems,

<sup>&</sup>lt;sup>2</sup> The number of these quality assurance samples may not exceed 10 percent of the total DNA database samples for which funding is awarded.

<sup>&</sup>lt;sup>3</sup> See footnote 2.

- electrical wiring, DNA database sample storage rooms, and extraction or amplification rooms.
- **7. Other Expenses.** Software, such as expert systems and Laboratory Information Management Systems, may be purchased for use in the DNA database laboratory.
- **8.** Administrative Expenses. Up to 3 percent of the Federal portion of a grant awarded under this program may be used for direct or indirect administrative expenses specifically related to **grant administration and management.**

Permissible uses of funds for agencies applying for assistance with analysis of DNA database samples by an accredited fee-for-service laboratory may include the following:

- 1. Overtime. Funds may be used for overtime of laboratory employees directly engaged in data review of DNA database profiles generated by an accredited fee-for-service laboratory. Any payments for overtime must be in accordance with the applicable provisions of the OJP Financial Guide, available at <a href="http://www.ojp.usdoj.gov/financialguide/">http://www.ojp.usdoj.gov/financialguide/</a>.
- 2. Contracts. Funds may be used to establish a contract for testing DNA Database samples with an accredited fee-for-service laboratory. Agencies will follow their own procurement procedures for establishing these contracts. Any sole source requests in excess of \$100,000 must be submitted and approved through GMS as required in the OJP Financial Guide. Contracts may only be established under this solicitation with accredited fee-for-service laboratories that are on the GSA Blanket Purchase Agreement list for Convicted Offender DNA Backlog Reduction Program (Outsourcing) used by the OJP Contract Office.

As of **April 4, 2008**, these laboratories are:

- The Bode Technology Group
- Chromosomal Laboratories
- Identity Genetics
- Laboratory Corporation of America
- Orchid Cellmark
- Strand Analytical Laboratory

Accredited fee for service laboratories not listed here that are interested in participating in the GSA Blanket Purchase Agreement list should contact the NIJ program managers listed on the first page of this announcement for additional information on the process.

3. Consultant and Contractor Services for Data Review. Funds may be used to hire consultants and/or temporary contract staff to conduct data review of profiles generated by an accredited fee-for-service laboratory that, due to the lack of laboratory resources, have yet to be reviewed and entered into NDIS. Consultants or contract laboratory personnel must meet all NDIS requirements for the review of DNA data.

**4. Administrative Expenses.** Up to 3 percent of the Federal portion of a grant awarded under this program may be used for direct or indirect administrative expenses specifically related to **grant administration and management.** 

Permissible uses of funds for agencies applying for assistance with review and upload to NDIS of DNA profile data generated from DNA database samples by an accredited fee-for-service laboratory may include the following:

- Overtime for Existing Laboratory Employees. Funds may be used to pay
  overtime for existing laboratory employees who will be directly engaged in the
  review of DNA profiles generated by an accredited fee-for-service laboratory. Any
  payments for overtime must be in accordance with the applicable provisions of
  the OJP Financial Guide, available at <a href="http://www.ojp.usdoj.gov/financialguide/">http://www.ojp.usdoj.gov/financialguide/</a>.
- 2. Consultant and Contractor Services for Data Review. Funds may be used to hire consultants and/or temporary contract staff to conduct data review of profiles generated by an accredited fee-for-service laboratory that, due to the lack of laboratory resources, have yet to be reviewed and entered into NDIS. Consultants or contract laboratory personnel must meet all NDIS requirements for the review of DNA data.
- 3. Administrative Expenses: Up to 3 percent of the Federal portion of a grant awarded under this program may be used for direct or indirect administrative expenses specifically related to grant administration and management.

#### F. Expenses That Are Not Permitted

Federal funds awarded under the FY 2008 Convicted and/or Arrestee DNA Backlog Reduction Program may not be used for:

- Salaries and Benefits for Existing Staff. Funds may not be used to pay salaries and/or benefits for existing staff, other than overtime as discussed above.
- 2. Consultant and Contractor Services for In-House Sample Analysis.
- 3. Travel.
- 4. Construction.
- 5. Training or Continuing Education.
- 6. Accreditation.
- **7. Administrative Expenses.** Direct or indirect administrative expenses that exceed 3 percent of the Federal portion of the award are not permitted.
- **G. Match Requirement.** There is no State or local match required under this program.

H. Cost of Proposed Work. Total funding for this solicitation and the number of awards made will depend on the availability of funds and the number of applications. All awards are subject to the availability of appropriated funds and to any modifications or additional requirements that may be imposed by law. Note: Deliverables (e.g., progress reports, performance measures, etc.) will be required at the end of each reporting period to enable NIJ to assess the progress of the work.

Limitation on use of award funds for employee compensation; waiver: No portion of any award of more than \$250,000 made under this solicitation may be used to pay any portion of the total cash compensation (salary plus bonuses) of any employee of the award recipient whose total cash compensation exceeds 110 percent of the maximum annual salary payable to a member of the Federal Government's Senior Executive Service (SES) at an agency with a Certified SES Performance Appraisal System for that year. (The salary table for SES employees is available at <a href="http://www.opm.gov.">http://www.opm.gov.</a>)

This prohibition may be waived at the discretion of the Assistant Attorney General for the Office of Justice Programs. An applicant that wishes to request a waiver should include a detailed justification in the budget narrative for the application.

#### **Performance Measures**

To assist in fulfilling the Department's responsibilities under the Government Performance and Results Act (GPRA), P.L. 103–62, applicants who receive funding under this solicitation must provide data that measures the results of their work. Performance measures for this solicitation are as follows:

Objective	Performance Measures	Data Grantee Provides
To reduce the backlog of convicted offender and/or arrestee DNA samples	Percent reduction in DNA backlog – convicted offenders.      CODIS hits resulting from Convicted Offender funds.	For In-House or Contracted Analysis of DNA Database Samples  1. The number of DNA database samples awaiting DNA analysis at the beginning of the
(DNA database samples).		award period.  2. The number of DNA database samples analyzed using FY 2008 Convicted Offender and/or Arrestee DNA Backlog Reduction Program funds.
		3. The number of DNA profiles from DNA database samples developed using FY 2008 Convicted Offender and/or Arrestee DNA Backlog Reduction Program funds entered into CODIS and NDIS.
		4. The number of CODIS hits resulting from profiles developed with FY 2008 Convicted Offender and/or Arrestee DNA Backlog Reduction Program funds.



- 1. The number of DNA profiles from DNA database samples awaiting data review at the beginning of the award period, due to lack of laboratory resources.
- 2. The number of DNA profiles from DNA database samples reviewed using FY 2008 Convicted Offender and/or Arrestee DNA Backlog Reduction Program funds.
- 3. The number of reviewed DNA profiles from DNA database samples entered into CODIS and NDIS using FY 2008 Convicted Offender and/or Arrestee DNA Backlog Reduction Program funds.
- 4. The number of CODIS hits resulting from DNA profiles from DNA database samples reviewed with FY 2008 Convicted Offender and/or Arrestee DNA Backlog Reduction Program funds.

# **How to Apply**

**Grants Management System (GMS) Instructions:** Applications must be submitted through the online Grants Management System (GMS). We suggest that you begin the process early, especially if this is the first time you have used the system. Each application requires a separate GMS registration. To learn how to begin the online application process, go to <a href="http://www.ojp.usdoj.gov/funding/funding.htm">http://www.ojp.usdoj.gov/funding/funding.htm</a> and refer to the **GMS Application Job Aids**, a step-by-step guide for applying online. For additional information, please call the GMS Help Desk at **1–888–549–9901**.

Please note: OJP's Grants Management System (GMS) does not accept executable file types as application attachments. These disallowed file types include, but are not limited to, the following extensions: ".com", ".bat", ".exe", ".vbs", ".cfg", ".dat", ".dbf", ".dll", ".ini", ".log", ".ora", ".sys", and ".zip".

**CFDA Number:** The Catalog of Federal Domestic Assistance (CFDA) number for this solicitation is 16.748, titled "Convicted Offender and/or Arrestee DNA Backlog Reduction Program."

A DUNS Number is Required: The Office of Management and Budget requires that all businesses and nonprofit applicants for Federal funds include a DUNS (Data Universal Numeric System) number in their application for a new award or renewal of an award. Applications without a DUNS number are incomplete. A DUNS number is a unique nine-digit sequence recognized as the universal standard for identifying and keeping track of entities receiving Federal funds. The identifier is used for tracking purposes and to validate address and point-of-contact information. The DUNS number will be used throughout the grant life cycle. Obtaining a DUNS number is a free, simple, one-time

activity. Obtain one by calling 1–866–705–5711 or by applying online at <a href="http://www.dnb.com/us">http://www.dnb.com/us</a>. Individuals are exempt from this requirement.

**Note:** Applying for a DUNS number online can take up to **30 days**.

# What an Application Must Include

Those interested in submitting applications in response to this announcement must complete and submit **all** the required application forms and related documents. You will complete some of the forms shown as part of the development of your user profile during the GMS online application process; others must be attached to your application file. GMS accepts Adobe PDF (\*.pdf), Word (\*.doc), and Text (\*.txt) document files. It does not accept compressed or zipped (\*.zip) files or the executable files detailed above.

**Note:** Proposals containing maps, tables, digital photos, and other types of graphics will produce large file sizes that may affect the speed of electronic transfer. Please take this into consideration when submitting your application online.

- Application for Federal Assistance—Standard Form 424: Completing the user profile and summary information in GMS will populate portions of your Standard Form 424. Below are answers to specific items:
  - **Item 8:** Type of Application—"New."
  - Item 9: Name of Federal Agency—"National Institute of Justice."
  - **Item 10:** Catalog of Federal Domestic Assistance (CFDA)—For this Program, the number is "16.748."
  - Item 11: Descriptive Title of Applicant's Project—"FY 2008 Convicted Offender and/or Arrestee DNA Backlog Reduction Program—YOUR AGENCY NAME."
  - **Item 13:** Proposed Project Dates—For this program, the proposed project dates should be "October 1, 2008 to March 31, 2010."
  - **Item 16:** Is Application Subject To Review By State Executive Order 12372 Process?—A listing of States that have participated in this process can be found at <a href="http://www.whitehouse.gov/omb/grants/spoc.html">http://www.whitehouse.gov/omb/grants/spoc.html</a>.
- 2. Program Abstract: The proposal abstract (not to exceed 400 words) should serve as a succinct and accurate description of the proposed work. Applicants should concisely describe project goals and objectives, project plans, and methods for achieving the goals. Once an award has been granted, the abstract is computerized and serves as a summary available to all interested parties for the duration of the grant.
- **3. Program Narrative:** The program narrative must address the project objectives, the expected results, and the implementation approach. The narrative must describe how expenditure of award funds will reduce the number of DNA database samples

currently awaiting analysis either by in-house testing or by sending samples to accredited fee-for-service laboratories for analysis, and/or reduce the number of eligible DNA profiles that are awaiting data review which were generated by accredited fee-for-service laboratories. The program narrative for proposals seeking funds for in-house analysis or sending samples to accredited fee-for-service laboratories for analysis also must specifically state: (a) the total anticipated backlog of DNA database samples awaiting in-house analysis as of September 30, 2008, and (b) the number of those samples to be analyzed either by in-house testing, or by sending samples to accredited fee-for-service laboratories, during the 18-month award period using the Federal funds requested under this FY 2008 announcement. The program narrative for proposals seeking funds for data review of eligible DNA profiles generated by accredited fee-for-service laboratories also must state: (a) the total anticipated number of such laboratory-generated DNA profiles awaiting data review as of September 30, 2008, and (b) the number of those profiles generated by accredited fee-for-service laboratories to be reviewed during the award period using the Federal funds requested under this FY 2008 announcement.

Please note that the numbers provided in the program narrative regarding the number of DNA database samples to be analyzed with funds provided under this announcement, and the number of DNA profiles generated by fee-for-service laboratories to be reviewed with those funds, must represent the numbers to be analyzed or reviewed <u>above and beyond</u> those that can be analyzed or reviewed using current sources of funding.

If in-house testing assistance is requested, the program narrative section also should include a statement that the State's designated DNA database laboratory possesses sufficient in-house DNA analysis capacity to analyze at least 50 percent of its annual receipt of DNA database samples or a minimum of 5,000 DNA database samples per month.

- 4. List of Key Personnel, Including Names, Organizational Affiliations, and Complete Contact Information for All Key Persons Directly Related to This Project
- 5. Résumés of Key Personnel
- **6. Budget Narrative:** The Budget Narrative should be a plain-language explanation of the proposed expenditures listed in the Budget Detail Worksheet.
- 7. Budget Detail Worksheet and Budget Summary: The Budget Detail Worksheet should address the specific cost breakdowns for the proposed project and should be organized into the standard OJP budget categories. This information should be presented in a manner that details how the cost for each of the major categories was determined. This information should also contain the cost per sample for in-house testing or the cost per sample for analysis by an accredited fee-for-service laboratory, the total number of samples projected to be analyzed (either by in-house testing or by an accredited fee-for-service laboratory) using funds provided under this announcement, the costs per DNA profile reviewed, the total number of DNA profiles generated by fee-for-service laboratories projected to be reviewed using funds provided under this announcement, and the total funding amount requested.

The **Budget Summary** should list the total amount of funding requested for each OJP budget category listed below, and the total amount requested for the project.

- Personnel
- Fringe Benefits
- Travel
- Equipment
- Supplies
- Construction
- Consultants/Contracts
- Other Costs
- Indirect Costs (if applicable)

Templates for filling out the Budget Detail Worksheet may be found online at <a href="http://www.ojp.usdoj.gov/funding/forms/budget\_detail.pdf">http://www.ojp.usdoj.gov/funding/forms/budget\_detail.pdf</a>, OJP Standard Forms & Instructions. If you have any questions, please contact the Office of the Chief Financial Officer's Customer Service Center at 1–800–458–0786.

- **8. Proof of Accreditation (required):** Submit a copy of the accreditation certificate of the State's designated DNA database laboratory.
- 9. Other Program Attachments

These include several forms, available on OJP's funding page at <a href="http://www.ojp.usdoj.gov/funding/forms.htm">http://www.ojp.usdoj.gov/funding/forms.htm</a>.

**10. Page limit:** The program narrative section of your proposal must not exceed 10 double-spaced pages in 12-point font with 1-inch margins. Abstracts, tables of contents, charts, figures, appendixes, and government forms do not count toward the 10-page limit for the narrative section.

### **Selection Criteria and Review Process**

In general, subject to the availability of appropriated funds and to any modifications or additional requirements imposed by law, NIJ anticipates that it will make an award to each eligible applicant that satisfies the specific application requirements outlined in this announcement (including those concerning permissible expenses), the general requirements for NIJ and OJP grants, and all other applicable legal requirements. Funds will be allocated as discussed above, under Specific Information—Convicted Offender and/or Arrestee DNA Backlog Reduction Program. The total amount of funding requested by eligible States may also affect award amounts.

**Reasons for rejection:** NIJ may reject applications that are incomplete, do not respond to the scope of the solicitation, do not comply with format requirements, or are submitted after the deadline.

NIJ may also reject applications from applicants with prior awards for convicted offender (and/or arrestee) in-house analysis that remain entirely unobligated as of the posting date of this solicitation.

When awards will be made: All applicants, whether they are accepted or rejected, will be notified. The review and approval process takes about 7 months. You should not propose to begin work until at least 7 months after the application deadline on the cover of this solicitation. Also, you should not expect to receive notification of a decision for at least 7 months after that date. Lists of awards are updated regularly on NIJ's Web site at <a href="http://www.oip.usdoj.gov/nij/funding/welcome.htm">http://www.oip.usdoj.gov/nij/funding/welcome.htm</a>.

# **Additional Requirements and Information**

- Civil Rights Compliance
- Confidentiality and Human Subjects Protection Compliance
- Anti-Lobbying Act
- Financial and Government Audit Requirements
- National Environmental Policy Act (NEPA) Compliance
- DOJ Information Technology Standards
- Single Point of Contact Review
- Non-Supplanting of State and Local Funds
- Criminal Penalty for False Statements
- Compliance with Office of Justice Programs Financial Guide http://www.ojp.usdoj.gov/financialguide/
- Suspension or Termination of Funding
- Nonprofit Organizations
- Government Performance and Results Act (GPRA)
- Rights in Intellectual Property
- Federal Funding Accountability and Transparency Act (FFATA)

We strongly encourage you to review the information pertaining to these additional requirements prior to submitting your application. Additional information for each can be found at <a href="http://www.oip.usdoj.gov/funding/other-requirements.htm">http://www.oip.usdoj.gov/funding/other-requirements.htm</a>.

**Reporting Requirements:** Each State that receives funding under this program must submit quarterly performance metrics reports, quarterly financial status reports, and semi-annual progress reports. Each award recipient also must submit a final report. The report must include a summary and assessment of the program carried out with the FY 2008 award. It must also cite the number of DNA database samples that were analyzed

during the award period with FY 2008 award funds, the number of DNA profiles generated by accredited fee-for-service laboratories that were reviewed during the award period with FY 2008 award funds, the number of DNA profiles entered into CODIS as a result of the award, and the number of CODIS hits generated from the profiles entered into CODIS as a result of the award.