# **CRIMINAL PROCEEDINGS**

#### GULF POWER COMPANY PLEADS GUILTY

The Atlanta Regional Office and the U.S. Attorney's Office for the Northern District of Georgia announced that on October 31 Gulf Power Company of Pensacola, Florida, subsidiary of The Southern Company, pled guilty before U.S. District Judge Robert L. Vining, Jr. to a two-count criminal Information. The Information charges that Gulf Power conspired to make illegal political contributions in violation of the Public Utility Holding Company Act of 1935 and conspired to defraud the United States by impeding and obstructing the Internal Revenue Service in the ascertainment of income taxes. The Information, filed on October 31, charged that Gulf Power repeatedly and willfully instructed several vendors to make political contributions on its behalf and directed the vendors to submit false and inflated invoices for reimbursement by Gulf Power for the contributions. Judge Vining imposed a \$500,000 fine on Gulf Power. (U.S. v. Gulf Power Company, Cr. No. 89-412-A, NDGA). (LR-12295)

## **INVESTMENT COMPANY ACT RELEASES**

#### RGS (AEGCO) FUNDING CORPORATION

A notice has been issued giving interested persons until December 5 to request a hearing on an application filed by RGS (AEGCO) Funding Corporation for an order exempting it from all provisions of the Investment Company Act in connection with its issuance of Lease Bonds to assist in the financing and refinancing of certain leveraged lease transactions involving AEP Generating Company. (Rel. IC-17207 - Nov. 9)

### RGS (I&M) FUNDING CORPORATION

A notice has been issued giving interested persons until December 5 to request a hearing on an application filed by RGS (I&M) Funding Corporation for an order exempting it from all provisions of the Investment Company Act in connection with its issuance of Lease Bonds to assist in the financing and refinancing of certain leveraged lease transactions involving Indiana Michigan Power Company. (Rel. IC-17208 - Nov. 9)

### **HOLDING COMPANY ACT RELEASES**

### THE CONNECTICUT LIGHT AND POWER COMPANY

Notices have been issued giving interested persons until December 4 to request a hearing on a proposal by the following companies filed under Release 35-24982, dated November 9: THE CONNECTICUT LIGHT AND POWER COMPANY (CL&P) - subsidiary of Northeast Utilities, regarding the financing of CL&P's share of the costs of acquiring, constructing, and installing certain pollution control and/or sewage or solid waste disposal facilities at the Seabrook Nuclear Power Station, Unit 1. CL&P proposes to issue one or more of its First and Refunding Mortgage Bonds, Series TT (First Mortgage Bond) in an amount of up to \$20 million for a term not to exceed 30 years to evidence its borrowing from the Industrial Development Authority of the State of New Hampshire. The borrowing under the financing agreement and the issuance of the First Mortgage Bond would be made pursuant to an exemption from the competitive bidding requirements of Rule 50 under Subsection (a)(5). The First Mortgage Bond would also be issued pursuant to a deviation from the Commission's Statement of Policy Regarding First Mortgage Bonds Subject to the Public Utility Holding Company Act of 1935.

# LISTING, DELISTING AND UNLISTED TRADING ACTIONS

UNLISTED TRADING SOUGHT

Notices have been issued giving interested persons until December 1 to comment on the application of the following stock exchanges for unlisted trading privileges in the specified number of issues which are listed and registered on one or more other national securities exchanges and are reported in the consolidated transaction reporting system: Midwest Stock Exchange - 11 issues. (Rel. 34-27435); and the Philadelphia Stock Exchange - five issues. (Rel. 34-27436)

### SELF-REGULATORY ORGANIZATIONS

IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGE

The National Association of Securities Dealers filed a proposed rule change which became effective upon filing under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. The proposal (SR-NASD-89-51) pertains to the NASD's periodic reclassification of securities in the appropriate Small Order Execution System (SOES) maximum order-size tiers. The NASD reviews the tier levels applicable to each participating security periodically to determine if a SOES tier level change is warranted. (Rel. 34-27438)

### **SECURITIES ACT REGISTRATIONS**

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

- S-18 CARDIFF REEF LTD, 10393 ALMAYO AVE, LOS ANGELES, CA 90064 (213) 282-8009 1,000,000 (\$60,000) COMMON STOCK. 3,000,000 (\$750,000) COMMON STOCK. 2,000,000 (\$4,000,000) COMMON STOCK. (FILE 33-31746-LA OCT. 30) (BR. 12 NEW ISSUE)
- S-18 BRI CAPITAL GROUP INC, 235 MAMRONECK AVE STE 200, WHITE PLAINS, NY 10605
  (914) 428-2880 300,000 (\$300,000) COMMON STOCK. 1,500,000 (\$15,000)
  WARRANTS, OPTIONS OR RIGHTS. 1,500,000 (\$1,875,000) COMMON STOCK. 1,500,000
  (\$15,000) WARRANTS, OPTIONS OR RIGHTS. 1,500,000 (\$3,375,000) COMMON STOCK. (FILE 33-31849-NY OCT. 27) (BR. 12 NEW ISSUE)
- S-1 PINNACLE ENVIRONMENTAL INC, 774 SOUTH 500 WEST, SALT LAKE CITY, UT 84101 (801) 359-0137 300,000 (\$2,400,000) COMMON STOCK. 1,655,000 (\$13,240,000) COMMON STOCK. 170,000 (\$170) WARRANTS, OPTIONS OR RIGHTS. 170,000 (\$1,768,000) COMMON STOCK. UNDERWRITER: COVEY & CO INC. (FILE 33-31863 NOV. 07) (BR. 8 NEW ISSUE)
- S-4 MASCO CORP /DE/, 21001 VAN BORN RD, TAYLOR, MI 48180 (313) 274-7400 1,666,667 (\$17,304,312) COMMON STOCK. (FILE 33-31864 NOV. 07) (BR. 6)
- S-1 SPECIAL DELIVERY SYSTEMS INC, 235 S MAITLAND AVE STE 215, MAITLAND, FL 32751 (407) 678-2306 900,000 (\$450,000) COMMON STOCK. 900,000 (\$450,000) COMMON STOCK. 900,000 (\$900,000) COMMON STOCK. (FILE 33-31865 NOV. 06) (BR. 11)
- S-3 CONSUMERS POWER CO, 212 W MICHIGAN AVE, JACKSON, MI 49201 (517) 788-1030 250,000,000 (\$250,000,000) MORTGAGE BONDS. (FILE 33-31866 NOV. 07) (BR. 8)
- S-1 GTEK ACQUISITION CO, C/O DONALD LUFKIN & JENRETTE INC, 140 BROADWAY, NEW YORK, NY 10005 (212) 504-3000 22,500,000 (\$22,500,000) PREFERRED STOCK. 108,084,000 (\$108,084,000) STRAIGHT BONDS. (FILE 33-31867 NOV. 07) (BR. 10 NEW ISSUE)
- S-18 JEFFERSON CAPITAL INC, 6160 SOUTH SYRACUSE WAY, STE 310, ENGLEWOOD, CO 80111 (303) 741-0400 400,000 (\$2,000) COMMON STOCK. 400,000 (\$7,200,000) COMMON STOCK. (FILE 33-31889-D OCT. 31) (BR. 11 NEW ISSUE)

- S-18 PACIFIC SHARES INC, 84 HAKUI LOOP, LAHAINA MAUI, HI 96761 (808) 667-2066 1,000,000 (\*100,000) COMMON STOCK. (FILE 33-31905-LA NOV. 02) (BR. 12 NEW ISSUE)
- S-1 JOURNEY ENTERTAINMENT CORP, 4695 MACARTHUR COURT, STE 1050, NEWPORT BEACH, CA 92660 700,000 COMMON STOCK. (FILE 33-31926 NOV. 03) (BR. 12 NEW ISSUE)
- S-1 22ND CENTURY CORP, 4695 MACARTHUR CT STE 1050, NEWPORT BEACH, CA 92660 700,000 COMMON STOCK. (FILE 33-31927 NOV. 03) (BR. 11 NEW ISSUE)
- S-4 FOURTH FINANCIAL CORP, 100 N BROADWAY, WICHITA, KS 67202 (316) 261-4670 315,226 (\*8,826,328) COMMON STOCK. (FILE 33-31928 NOV. 03) (BR. 1)
- S-11 ROYALTY MORTGAGE INCOME FUND II, 2610 WEST SHAW AVE # 104, FRESNO, CA 93711 (209) 431-5300 150,000 (\*15,000,000) LIMITED PARTNERSHIP CERTIFICATE. 75,000 (\*7,500,000) LIMITED PARTNERSHIP CERTIFICATE. (FILE 33-31930 NOV. 03) (BR. 11 NEW ISSUE)
- S-3 MAGNETEK INC, 11111 SANTA MONICA BLVD, 17TH FL, LOS ANGELES, CA 90025 (213) 473-6681 1,803,504 (\$18,720,371.52) COMMON STOCK. (FILE 33-31932 NOV. 03) (BR. 7)
- S-1 SOUTHEAST BANK NATIONAL ASSOCIATION, SOUTHEAST BANK NATIONAL ASSOCIATION, ONE SOUTHEAST FINANCIAL CENTER, MIAMI, FL 33131 (305) 375-7500 1,000,000 (\$1,000,000) EQUIPMENT TRUST CERTIFICATES. UNDERWRITER: GOLDMAN SACHS & CO, MERRILL LYNCH CAPITAL MARKETS. (FILE 33-31938 NOV. 03) (BR. 1 NEW ISSUE)
- S-1 TWENTIETH CENTURY CELLULAR INC, 2575 EL PRESIDIO ST, LONG BEACH, CA 90810 (213) 537-7120 1,000,000 (\$16,000,000) COMMON STOCK. 1,300,000 (\$20,800,000) COMMON STOCK. UNDERWRITER: PAINEWEBBER INC. (FILE 33-31939 NOV. 03) (BR. 7 NEW ISSUE)
- S-1 PROTECTIVE LIFE INSURANCE CO, 2801 HIGHWAY 280 SOUTH, BIRMINGHAM, AL 35223 (205) 879-9230 \$100,000,000 VARIABLE ANNUITY ISSUES. (FILE 33-31940 NOV. 06) (BR. 9 NEW ISSUE)
- S-1 ODECO INC, 1600 CANAL STREET, NEW ORLEANS, LA 70112 (504) 566-7447 4,600,000 (\$78,200,000) COMMON STOCK. UNDERWRITER: FIRST BOSTON CORP. (FILE 33-31947 NOV. 03) (BR. 4 NEW ISSUE)
- F-1 CURRAGH RESOURCES INC, SUITE 1900 BOX 12, 95 WELLINGTON ST WEST, TORONTO ONTARIO, A6 (416) 363-7111 200,000,000 (\$200,000,000) STRAIGHT BONDS. (FILE 33-31954 NOV. 06) (BR. 2 NEW ISSUE)
- S-3 ITT FINANCIAL CORP, 700 COMMUNITY FEDERAL CTR, ST LOUIS, MO 63131 (314) 821-6060 2,000,000,000 (\$2,000,000,000) STRAIGHT BONDS. (FILE 33-31957 NOV. 07) (BR. 12)
- S-1 MIPS COMPUTER SYSTEMS INC /CA/, 928 ARQUES AVE, SUNNYVALE, CA 94086 (408) 720-1700 1,250,000 (\$21,250,000) COMMON STOCK. 4,212,500 (\$71,612,500) COMMON STOCK. 20,000 (\$340,000) COMMON STOCK. UNDERWRITER: COWEN & CO, MORGAN STANLEY INTERNATIONAL. (FILE 33-31958 NOV. 07) (BR. 10 NEW ISSUE)
- S-3 ADAPTEC INC, 691 S MILPITAS BLVD, MILPITAS, CA 95035 (408) 945-8600 2,300,000 (\$36,512,500) COMMON STOCK. (FILE 33-31963 NOV. 06) (BR. 3)
- S-1 TWENTY FOURTH CAPITAL CORP, 3165 TIFFANY TERRACE, COLORADO SPRINGS, CO 80920
  (719) 598-3589 3,000,000 (\$300,000) COMMON STOCK. 3,000,000 (\$1,500,000)
  COMMON STOCK. 3,000,000 (\$2,250,000) COMMON STOCK. (FILE 33-31966 NOV. 06) (BR. 11 NEW ISSUE)
- S-1 TECHNOLOGY RESEARCH CORP, 5250 140TH AVE NORTH, CLEARWATER, FL 34620 (813) 535-0572 2,024,000 (\$2,553,000) COMMON STOCK. 2,024,000 (\$3,036,000) COMMON STOCK. (FILE 33-31967 NOV. 06) (BR. 8)
- S-8 TEXFI INDUSTRIES INC, 400 ENGLISH RD, ROCKY MOUNT, NC 27804 (919) 443-5001 100,000 (\$837,500) COMMON STOCK. (FILE 33-31969 NOV. 06) (BR. 8)
- S-3 HAWAIIAN ELECTRIC CO INC, 900 RICHARDS ST, HONOLULU, HI 96813 (808) 548-7771 200,000 (\$20,400,000) PREFERRED STOCK. (FILE 33-31970 NOV. 07) (BR. 7)
- S-8 CLOTHESTIME INC, 5325 E HUNTER AVE, ANAHEIM, CA 92807 (714) 779-5881 600,000 (\$2,616,000) COMMON STOCK. (FILE 33-31971 NOV. 07) (BR. 1)
- S-1 SUMMIT ENVIRONMENTAL GROUP INC, 115 DEWALT AVENUE N W, CANTON, OH 44702 (216) 455-7333 500.000 (\$5,000) CGMMON STOCK. (FILE 33-31973 NOV. 07) (BR. 5)

- S-8 EAGLE PICHER INDUSTRIES INC, 580 WALNUT ST, P 0 BOX 779, CINCINNATI, OH 45201 (513) 721-7010 6,400,000 (\*6,400,000) OTHER SECURITIES INCLUDING VOTING TRUST. 325,000 COMMON STOCK. (FILE 33-31975 NOV. 07) (BR. 4)
- S-1 TAC INTERNATIONAL INC, 261-39 ROBERTSON RD, NEPEAN ONTARIO CANADA K2H 8R2, A6
  (613) 596-9906 402,500 (#2,012,500) FOREIGN COMMON STOCK. 2,012,500 (#6,037,500)
  FOREIGN COMMON STOCK. 1 (#3) WARRANTS, OPTIONS OR RIGHTS. 5,000 (#210,000)
  FOREIGN COMMON STOCK. 175,000 (#525,000) FOREIGN COMMON STOCK. UNDERWRITER:
  THOMAS JAMES ASSOCIATES INC. (FILE 33-31976 NOV. 07) (BR. 7 NEW ISSUE)
- S-8 CONE MILLS CORP, 1201 MAPLE ST, GREENSBORO, NC 27405 (919) 379-6246 3,741,552 (#11.336.903) COMMON STOCK. (FILE 33-31977 - NOV. 07) (BR. 8)
- S-8 CHARTER POWER SYSTEMS INC, 3043 WALTON RD, PLYMOUTH MEETING, PA 19462 (215) 828-9000 189.750 (\$1,234,437.50) COMMON STOCK. (FILE 33-31978 NOV. 07) (BR. 3)
- S-8 CONE MILLS CORP, 1201 MAPLE ST, GREENSBORD, NC 27405 (919) 379-6246 5,500,000 (\$5,500,000) OTHER SECURITIES INCLUDING VOTING TRUST. (FILE 33-31979 NOV. 07) (RR. 8)
- S-2 NEECO INC, 70 SHAWMUT RD, CANTON, MA 02021 (617) 821-4100 75,000 (#1,068,750) COMMON STOCK. 1,650,000 (#23,512,500) COMMON STOCK. (FILE 33-32027 NOV. 07)
- S-1 INTELLICALL INC, 2155 CHENAULT STE 410, CARROLLTON, TX 75006 (214) 416-0022 250,000 (\*3,937,500) COMMON STOCK. 1,475,000 (\*23,231,250) COMMON STOCK. (FILE 33-32028 NOV. 07) (BR. 7)
- N-2 NUVEEN MUNICIPAL ADVANTAGE FUND INC, 333 WEST WACKER DR, CHICAGO, IL 60606 (312) 917-7825 - 11,500,000 (#172,500,000) COMMON STOCK. UNDERWRITER: ALEX BROWN & SONS, NUVEEN JOHN & CO. (FILE 33-32029 - NOV. 07) (BR. 18 - NEW ISSUE)

## **ACQUISITIONS OF SECURITIES**

Companies and individuals must report to the Commission within ten days on Schedule 13D if after the acquisition of equity securities of a public company their beneficial interest therein exceeds five percent. Persons eligible to use the short form (Schedule 13G) may in lieu of filing a Schedule 13D file a Schedule 13G within 45 days after the end of the calendar year in which the person became subject to Section 13(d)(1). Companies and individuals making a tender offer must have on file at the time the tender offer commences a Schedule 14D-1.

Below is a list of recent filings of Schedules 13D and 14D, which includes the following information: Column 1 - the company purchased (top), and the name of the purchaser; Column 2 - the type of security purchased; Column 3 - the type of form filed; Column 4 - the date the transaction occurred; Column 5 - the current number of shares (in 000's) owned (top) and the current percent owned; Column 6 - the CUSIP number (top) and the prior percent owned; and Column 7 - the status of the filing, i.e., new, update or revision.

NAME AND CLASS OF STOCK/OWNE	P	FORM	EVENT DATE	SHRS(000)/ %DWNED	CUSIP/ PRIOR%	FILING STATUS
AVERY INC MAY PETER W	COM	13D	10/30/89	17,180 30.0	05361810 29.8	UPDATE
AVERY INC PELTZ MELSON	COM	13D	10/30/89	33,759 57.8	05361810 57.6	UPDATE
CALGENE INC ALLEN & CO INC ET AL	COM	13D	10/31/89	738 7.1	12959810 0.0	NEW
COMMET CORP MEDCO CONTAINMENT SVCS	COM	13D	10/31/89	543 19.1	20417110 17.6	UPDATE
DATAPPODUCTS CORP GREENE DAVID J % CO	COM	130	11/10/89	1,099 5.5	23810710 0.0	HEW

DESOTO INC SHUFRO ROSE & EHRMAN	COM	13D	11/ 9/89	413 10.3	25059510 19.7	UPDATE
DUMAGAMI MINES LTD AGNICO EAGLE MINES LTD	COM	13D	10/30/89	4,119 43.8		UPDATE
EAGLE EXPL OD COLO BROWN NORMAN K TRUSTEE ET	COM AL	13D	11/ 7/89	192 6.0	26951010 5.8	UFDATE
FIRST CONSTITUTION FINL CORP HARRIS ASSOCIATES INC	COM	13D	11/ 2/89	914 8.4	31999410 9.5	UPDATE
FLEMING CDS INC STATE OF MICH STATE TREASU	COM	13D	11/10/89	1,896 6.5	33913010 0.0	NEW
GOLDEX MINES LTD AGNICO EAGLE MINES LTD	COM	13D	8/30/89	2,716 26.3	38136510 25.6	UPDATE
HOSPITALITY CAPITAL CORP HOFFMANN CHRISTIAN J []]	COM	13D	11/ 6/89	619 21.3	44090920 10.8	UPDATE
MATRIX MEDICA INC SLATER HOWARD H	COM	13D	10/20/89	248 10.1	<b>57684</b> 330 8.8	UPDATE
MCDONALDS CORP KROC JOAN B	COM	13D	10/30/89	23,845 6.4		UPDATE
MCGILL MFG INC BEAR STEARNS % CO	СОМ	13D	10/31/89	94 6.5	58052610 0.0	NEW
MERRIMAC INDS INC MATEC CORP	COM	13D	10/24/89	256 11.5		UPDATE
MICHAEL FOODS INC STATE OF WISCONSIN INVEST	COM BD	13D	10/25/89	618 5.4	59407410 0.0	
MICRDENERGY INC FANELLA ROBERT	COM	13D	10/24/89	11,358 10.0		UPDATE
MINEX RESOURCES INC SEXAUER RICHARD F SR	COM	13D	11/ 3/89	2,440 5.1	60347010 0.0	
PACIFIC BANCORP NELSON DWIGHT G ET AL	CDM	13D	10/30/89	69 41.7	69402420 0.0	NEM
PANSOPHIC SY INC HARRIS ASSOCIATES INC	COM	13D	11/ 1/89	1,956 10.7	69863110 9.6	UPDATE
POLICY MGMT SYS CORP INTL BUSINESS MACHINES	COM	13D	10/25/89	3,804 19.8		UFDATE
PREMARK INTL INC STATE OF WISCONSIN INVEST	COM BD	13D	10/30/89	2,127 6.2	74045910 5.2	UFDATE
PRESIDIO DIL CO SULLIVAN MARK J	CL A	13D	8/14/89	633 6.3	74101630 0.0	HEM
PRESIDIO DIL CO SULLIVAN JOHN R	CL A	13D	8/31/89	439 4.4	74101630 12.2	UPDATE
PRESIDIO DIL CO SULLIVAN MARK J	CLA	13D	9/20/89	1,019 10.2	74101630 0.0	MEW
TELOS CORP MIM LIMITED ET AL	COM	13D	9/15/89	107 2.8	87969410 8.1	UPDATE
SOS DATA SYS INC GALBRAITH KEVIN W	COM	13D	10/ 9/89	1,014 30.1	90206410 27.5	UPDATE

VADER GROUP INC KENNY CONSTRUCTION ET AL	COM	130	6/ 1/89	120 5.7	91873210 0.0	NEW
VISTA CHEM CD HARRIS ASSOCIATES INC	COM	13Ď	10/31/89~	1,340		UPDATE
MEST MASS BANKSHARES INC MEW MILFORD SVGS BK	COM	13D	10/13/89	84 6.6	95425010 7.4	UPDATE
WITCD CORP HARRIS ASSOCIATES INC	CDM	13D	11/ 1/89	1,038 4.6	97738510 5.9	UPDATE
WYNNS INTL INC GAMOD INVESTORS INC ET AL	COM	13D	11/ 7/89	950 24.7	98319510 23.5	UPDATE
XIDX CORP WELLING WILLIAM H	CDM	13D	10/ 6/89	547 52.1	98390510 50.4	UPDATE

## **RECENT 8K FILINGS**

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.

The companies listed below have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. Copies of the reports may be purchased from the Commission's Public Reference Room (when ordering, please give the date of the report). An invoice will be included with the requested material when mailed.

	STATE	9K ITS	M NO			
NAME OF ISSUER	CODE	1 2 3	4 5 	5 7 3	DATE	COMMENT
ABM GOLD CORP		¥		x	10/14/89	
ACS ENTERPRISES INC	PA	X X		x	10/16/89	
ALFA CORP	DE	^	x	•	10/25/89	
ALPNET INC	UT	NO ITE			19/26/89	
ALTA ENERGY CORP	TX	X	,,,,	X	10/12/89	
ANGELES PARTNERS XII	CA	~ x		,	10/13/89	
AQUASEARCH INC	CA	~	x	X	10/30/89	
ARKLA INC	DE		X	X	10/18/89	
AUTOCAFE SYSTEMS INC /DE/	DE		x	x	10/27/89	
AVERY INC	DE		X	X	10/26/89	
BASIX CORP	NY	ХX	•••	X	10/27/89	
BEAR STEARNS MORTGAGE CAPITAL CORP	DE		X	X	10/25/89	
BETHEL BANCORP	ME		X	X	10/23/89	
BIGCONTROL TECHNOLOGY INC	PA		X	X	10/18/89	
BLACK INDUSTRIES INC	NC		X		10/13/89	
BOSTON CAPITAL TAX CREDIT FUND LTD PARTN		x		X	10/17/89	
BOULEVARD BANCORP INC/DE	DE			X	09/29/89	AMEND
BRAE CORPINENT	25	X		X	10/27/89	
BUSINESS NEWS NETWORK INC	NV			K .	19/26/89	
CABLE CAR BEVERAGE CORP	DE		X		10/24/89	
CAFES ONE L P	DE	x		X	10/18/89	
CALIFORNIA CITY BANCORP	CA		X		17/13/89	
CAPITAL APPRECIATION PLUS L P I	DE		×		10/18/89	
CELLULAR INC	Ċū		X		10/25/89	
CENCOM CABLE INCOME PARTNERS II L P	Œ	x		X	10/17/89	
CENTRAL CORPORATE REPORTS SERVICE INC /D	Œ			X	08/14/89	
CENTURY BANCSHARES INC	DE		X	X	11/01/89	

	STATE	RK ITEM NO.		
NAME OF ISSUER	2005	1 2 3 4 5 6 7 8	Dare	COMMENT
CEZAR INDUSTRIES LTD CHYRON CORP COLORADO NATIONAL BANKSHARES INC COMMUNITY BANCSHARES INC /DE/ COMPARATOR SYSTEMS CORP COMPUMED INC CONTINENTAL INFORMATION SYSTEMS CORP DESK TOP FINANCIAL SOLUTIONS INC DOW CORNING CORP EASTLAND FINANCIAL CORP/RI/ EDAC TECHNOLOGIES CORP EMPIRE GAS CORP /MO/ EQUITEC FINANCIAL GROUP INC EQUITEC INCOME REAL ESTATE INVESTORS A	DE	x	10/30/89	
CHYRON CORP	NY	×	10/25/89	
COLORADO NATIONAL BANKSHARES INC	CO	X	10/31/89	
COMMUNITY BANCSHARES INC /DE/	DE	X X	10/24/89	
COMPANALISE STATEMS CORP	CO	X X	10/17/89	
CONTINENTAL INFORMATION SYSTEMS CORP.	LA	×	10/02/89	
DESK TOP FINANCIAL SOLUTIONS INC	N.I	^ ¥	10/24/89 19/27/89	
DOW CORNING CORP	WI.	х х	10/24/89	
EASTLAND FINANCIAL CORP/RI/	RI	×	10/17/89	
EDAC TECHNOLOGIES CORP	WI	x x	10/17/89	
EMPIRE GAS CORP /MO/	MO	x x	10/17/89	
EQUITED FINANCIAL GROUP INC	CA	x x	10/17/89	
EQUITEC INCOME REAL ESTATE INVESTORS A EQUITEC INCOME REAL ESTATE INVESTORS B	-		10/17/89	
EQUITEC INCOME REAL ESTATE INVESTORS C	CA CA	X X	10/17/89	
EQUITEC INCOME REAL ESTATE INVESTORS EQU	CA	X X	10/17/89 10/17/89	
EQUITEC REAL ESTATE INVESTORS FUND XII	CA	<b>x</b>	10/17/89	
EQUITEC REAL ESTATE INVESTORS FUND XIII	CA	x x	10/17/89	
EQUITEC REAL ESTATE INVESTORS FUND XIV	CA	X X	10/17/89	
EQUITEC REAL ESTATE INVESTORS FUND XV	CA	х х	10/17/89	
EQUITEC REAL ESTATE INVESTORS FUND XVI	CA	x x x x x x x x x x x x x x x x x x x	10/17/89	
EQUITED REAL ESTATE INVESTORS FUND 17	CA	X X	10/17/89	
EQUITED REAL ESTATE INVESTIGES FUND IN	CA	X X	10/17/89	
EQUITEC REAL ESTATE INVESTORS FUND XVI EQUITEC REAL ESTATE INVESTORS FUND 17 EQUITEC 83 REAL ESTATE INVESTORS FUND 18 EQUITEC 83 REAL ESTATE INVESTORS EXTARE CORP FIRST PEOPLES BANCORP INC/TN/ FUJACORP INDUSTRIES INC GENENTECH CLINICAL PARTNERS III GOLD STANCARD INC GRIFFIN REAL ESTATE FUND V HALLWOOD ENERGY PARTNERS LP HAWAIIAN ELECTRIC CD INC HAWAIIAN ELECTRIC INDUSTRIES INC INDUSTRIAL SERVICES OF AMERICA INC /FL INTEGRA SYSTEMS INC	CA	X X X	10/17/89	
FIRST PEOPLES RANCORP INC/TN/	TN	^	10/13/89 10/27/89	
FUJACORP INCUSTRIES INC	DE	x x <sup>^</sup> x	10/18/89	
GENENTECH CLINICAL PARTNERS III	CA	· x · x	10/20/89	
GOLD STANDARD INC	UT	x x	10/04/89	
GRIFFIN REAL ESTATE FUND V	MN	x	10/05/89	
HALLWOOD ENERGY PARTNERS LP	DE	X	08/31/89	AMEND
HAWAIIAN ELECTRIC CO INC	HI	X	10/16/89	
INDUSTRIAL SERVICES OF AMEDICA THE ASS	E.	X	10/16/89	
INTEGRA SYSTEMS INC	r E	х х х	10/23/89 10/03/89	
JOY TECHNOLOGIES INC JOY TECHNOLOGIES INC KMW SYSTEMS CORP LIFE BALANCES INTERNATIONAL INC LIFELINE HEALTHCARE GROUP LTD LIFELINE HOMECARE SERVICES INC LILLY INDUSTRIAL COATINGS INC MARINE MICLAND BANK NA	DE	^ x x̂	10/12/89	
KMW SYSTEMS CORP	DE	x x	10/11/89	
LIFE BALANCES INTERNATIONAL INC	NV	x	10/20/89	
LIFELINE HEALTHCARE GROUP LTD	CO	NO ITEMS	08/05/89	AMEND
LIFELINE HUMECARE SERVICES INC	DE	NO ITEMS	08/05/89	AMEND
MARINE MICLAND BANK NA	114	X	09/29/89	AMEND
MARKEL CORP	VA	X X	10/25/89 10/02/89	AMEND
MASCO CORP /DE/	ĎĒ	х х̂	10/31/89	AMENU
MCCORMICK CAPITAL INC	DE	x X	10/20/89	
MECHANICS & FARMERS SAVINGS BANK FSB		x x	10/03/89	
MECHANICS & FARMERS SAVINGS BANK FSB		x x	10/17/89	
MERRILL LYNCH MORTGAGE INVESTORS INC /DE	DE	×	10/17/89	
MGM GRAND INC	DE	X X	09/15/89	
MIDDLEBY CORP MLH INCOME REALTY PARTNERSHIP VI	DE NY	X X X	07/14/89	AMEND
MNC FINANCIAL INC /MD/	MD	X X	10/29/89 10/29/89	
MONSANTO CO	DE	x x	11/01/89	
MONTANA POWER CO /MT/	MT	×	10/25/89	
MR GASKET CC	ØН	x	10/24/89	
NATIONAL ENVIRONMENTAL CONTROLS INC	DE	x x	10/31/89	
NATURADE INC	DE	NO ITEMS	08/05/89	AMEND
NCNB CORP	NC	x x	10/13/89	
NORFOLK SCUTHERN CORP	VA	X X	10/24/89	
NORTHGATE EXPLORATION LTD NUGGET DIL CORP	MN	X X	10/16/89	
DRANGE NATIONAL BANCORP	CA	X X	10/18/89 09/27/89	AMEND
PACIFIC FIRST FINANCIAL CORP	WA	^ x	10/24/89	AUCHA
PARAGON CCOLERS INC	ĈÕ	â	10/05/89	
PB SECURED FINANCING CORP	DE	X X	09/26/89	
PENNZOIL CO /DE/	DE	X X	10/31/89	
PILGRIM INTERGROUP INVESTMENT CORP	PA	х х	19/23/89	
PRESIDIO CIL CO	DE	X X	10/18/89	

NAME OF ISSUED	STATE	1 2	154 3 4	NO. 5 6	7	9	04 TF	COMMENT
							10/30/89	
RECREATIVE TECHNOLOGIES CORP	i cn	· ·	¥	•		~	TO/30/89	
RAWSON KOENIG INC RECREATIVE TECHNOLOGIES CORP RESIDENTIAL FUNDING MORTGAGE SECURITIES	DE	x			¥		10/27/89	
RESORT INCOME INVESTORS INC ROBERTSON H H CO ROYAL STAR PRODUCTIONS CO SAFE AID PRODUCTS INC SCI MED LIFE SYSTEMS INC	DE	*		¥	_		10/31/89	
PORESTSON & H CO	PA	X		Ŷ	¥		10/17/89	
ROYAL STAR PRODUCTIONS CO	ร์กิ	x ^			^		10/15/89	
SAFE AID PRODUCTS INC	DE	X		¥				
SCI MED LIFE SYSTEMS INC	MN			Ÿ			10/20/89	
SCIENTIFIC MEASUREMENT SYSTEMS INCITY	TX		×				10/11/89	
SCIENTIFIC MEASUREMENT SYSTEMS INC/TX SFS ACQUISITION CORP /NV/ SHONEYS INC SIS CORP	NV	I CN					08/95/89	AMEND
SHOWENE THE	TN		• 12.1	X			10/27/89	m.12.140
SIS CORP	ОН		×	•	^		10/24/89	
SMITH BARNEY MORTGAGE CAPITAL CORP SOUTHEAST BANKING CORP STRUCTUREC ASSET SECURITIES CORPORATION	DE			¥	x		10/25/89	
SOUTHEAST RANKING FORD	FI	X		¥	X		10/20/89	
STRUCTURED ASSET SECURITIES CORPORATION	DE	¥		_	X		10/27/89	
SUPERCOMPUTING SOLUTIONS INC	DE	•			X		07/14/89	AMEND
TELLARS THE	11			¥	X		10/26/89	AE.110
TENNECO CRECIT CORP	DE			x	x		10/26/89	
SUPERCOMPUTING SOLUTIONS INC TELLABS INC TENNECO CRECIT CORP TEXCEL INTERNATIONAL INC TRANSDUCER SYSTEMS INC	DE			-	x		28/14/89	AMEND
TRANSPIRER SYSTEMS INC	PA			X			10/16/89	A.V.E.10
TRAVELERS MCRTGAGE SERVICES INC	N.I	x			^		10/30/89	
TUCSON ELECTRIC POWER CO	A.7	~		x				
TUCSON ELECTRIC POWER CO Universal capital inc	DE	x						
UPLAND MINERALS & CHEMICALS CORP	DE	NO I						
UST FASTBACS 1988-A GRANTOR TRUST							10/24/89	
VALUE LINE INC							10/10/89	
VEHICLE ECUIPMENT LEASING COMPANY INC				¥	¥			
VENTNOR CORP	DE			¥	×		10/30/89	
VENTURE FUNCING CORP	CO			. 1	K ^		10/24/89	
WESCO FINANCIAL CORP	DE			x ·	•		10/19/89	
WESTERN FEDERAL SAVINGS & LOAN ASSOCIATI	-			-	×		10/25/89	
WESTVACO CORP	DE			¥	¥		10/24/89	