

# sec news digest

Issue 83-8

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## COMMISSION ANNOUNCEMENTS

SECURITIES AND  
EXCHANGE COMMISSION

### JOHN S. DANIELS APPOINTED EXECUTIVE ASSISTANT TO THE CHAIRMAN

Chairman John S. R. Shad announced the appointment of John S. Daniels as Executive Assistant to the Chairman. Mr. Daniels is currently Senior Counsel to the U.S. Senate Committee on Banking, Housing and Urban Affairs. He will assume his duties at the SEC on January 24, succeeding Daniel L. Goelzer who has become the General Counsel of the Commission. Mr. Goelzer's promotion was announced on October 18, 1982.

"I am very pleased that John Daniels will be joining our senior executive team," Chairman Shad said. "In recent years, the Executive Assistant has been critical to the effective leadership and policy development of the Commission. John's proven capabilities and unique perspective on the Commission and the securities laws have prepared him well to follow in the outstanding tradition of his predecessors." The Executive Assistant serves as the chief confidential adviser to the Chairman. He is responsible for operation of the Office of the Chairman, including coordination of policy matters, legislation and major issues for which the Chairman has responsibility as the Commission's chief executive officer.

Mr. Daniels, 34, joined the Senate Banking Committee in 1978 as Minority Counsel to the Subcommittee on Securities. For the past two years, as Senior Counsel to the full Committee, he has been responsible for all staff activities involving Federal securities law, legislation and oversight, and securities-related banking legislation.

From January 1974 to February 1978 he was a staff attorney in the Commission's Fort Worth Regional Office, working principally on enforcement investigations and litigation.

Mr. Daniels, a native of Dallas, Texas, received a B.A. degree in history from the University of Texas in 1970 and a J.D. degree with honors from the Southern Texas College of Law, Houston, in 1973. He is a member of the State Bar of Texas and of the American Bar Association.

### WEEKLY CALENDAR

The following is a schedule of speaking engagements, Congressional testimony, Commission hearings and other public events involving the Commission for the week of January 16, 1983. (Commission Meetings are announced separately in the News Digest)

#### Thursday and Friday, January 20-21

- \* The Chairman and Commissioners will participate in panel discussions on current disclosure issues at the Tenth Annual Securities Regulation Institute, sponsored by the University of California, at the Hotel del Coronado in San Diego, California.

January 20 - Commissioner Barbara Thomas, Commentator, 9:00 a.m.,  
"Employee Benefits"

Commissioner John Evans, Commentator, 2:00 p.m.,  
"Current Disclosure Issues"

January 21 - Chairman John Shad, 12:15 p.m., Luncheon speaker

Commissioner Bevis Longstreth, Commentator, 2:00 p.m.,  
"Legal Issues Involving Financial Institutions"

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## CIVIL PROCEEDINGS

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### COMPLAINT FILED AGAINST DOMINICK MUSELLA, OTHERS

The Commission announced the filing on January 11 of a civil injunctive action in the U.S. District Court for the Southern District of New York against Dominick Musella, Albert J. DeAngelis, Anthony Brunetti, Thomas Dispigno, Richard J. Rosenkranz, John Musella, Vito Rossini, Edward O'Neill, and Richard B. Martin. The complaint seeks a temporary restraining order and a preliminary and permanent injunction against each defendant, enjoining them from violating the antifraud provisions of the Securities Exchange Act of 1934. The complaint also requests disgorgement of all profits to the defendants from the allegedly violative transactions, a temporary order freezing certain assets of Dominick Musella and DeAngelis, and an order directing an accounting of all proceeds of the allegedly violative transactions.

The complaint alleges that, beginning at least by August 1, 1981, the defendants purchased common stock or option contracts for the purpose of the common stock of various publicly-held companies while in the possession of material non-public information relating to the actual or contemplated acquisition of those companies or their securities through a tender offer, merger or other transaction. The complaint further alleges that the defendants improperly obtained such material non-public information directly or indirectly from a New York law firm, not a defendant and not charged in this action, which advised a party to each such actual or contemplated tender offer, merger or other transaction; and that such information was obtained through a breach of a fiduciary or other relationship of trust and confidence by acts of misappropriation or otherwise, or through other wrongful acts.

The securities which the complaint alleges that one or more of the defendants purchased while in possession of material, non-public information were Garfinckel, Brooks Brothers, Miller & Rhoades Inc., Penn Central Corporation, Marathon Oil Company, Signode Corporation, Tesoro Petroleum Corporation and Southeast National Bancshares of Pennsylvania. The complaint alleges that the defendants realized an aggregate profit of approximately \$1.2 million by selling certain of such securities after tender offers, mergers or other corporate transactions were announced. (SEC v. Dominick Musella, et al., U.S.D.C. S.D.N.Y., Civil Action No. 83-0342). (LR-9862)

### FIFTH AVENUE CARDS, INC. ENJOINED

The Commission announced that on January 11 the U.S. District Court for the District of Columbia entered a Final Judgment of Permanent Injunction against Fifth Avenue Cards, Inc. of New York, New York, restraining and enjoining Fifth Avenue from failing to file timely periodic reports and ordering it to comply with certain undertakings. Fifth Avenue, consenting to the entry of the Court's judgment, admitted that it had filed reports in an untimely manner on seven separate occasions. The Commission's complaint was filed on November 16, 1982. Fifth Avenue has agreed to adopt certain procedures to assure timely filing of reports required to be filed under the Securities Exchange Act of 1934. (SEC v. Fifth Avenue Cards, Inc., U.S.D.C. D.C., Civil Action No. 82-3266). (LR-9863)

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## CRIMINAL PROCEEDINGS

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### CONVICTION OF ROBERT W. SLOCUM AFFIRMED

John S. Martin, Jr., U.S. Attorney for the Southern District of New York, and the New York and Chicago Regional Offices, announced that on December 10, 1982, the U.S. Court of Appeals for the Second Circuit affirmed the conviction of Robert W. Slocum for criminal fraud in connection with the illegal sale of unregistered securities. Slocum, as president of International Trade Development of Costa Rica, S.A. (ITD), lured investors to purchase unregistered securities by promising to double the investors' money in 90 days. This fraudulent scheme resulted in losses to investors of over \$4 million. In March 1977, the Commission obtained a consent injunction against Slocum and a default judgment against ITD enjoining further sales of unregistered securities and further violations of the antifraud provisions of the Securities Exchange Act of 1934.

Slocum, who is currently serving a 12-year sentence imposed by the Honorable Henry F. Werker after a jury found him guilty of five counts of securities fraud, five counts of selling unregistered securities, one count of mail fraud, two counts of interstate transportation of fraudulently obtained property, one count of inducing interstate travel in order to defraud, one count of wire fraud, two counts of bank fraud and conspiracy to commit bank larceny, was successful in his appeal only as pertains to the bank fraud counts.

A post-conviction decision by the U.S. Supreme Court, which held that check-kiting did not constitute a violation of 18 U.S.C. § 1014, caused that part of Slocum's conviction, and the two-year sentence imposed, to be reversed. However, all other issues raised in the appeal (an alleged violation of the Bruton rule, insufficient evidence, double jeopardy, various objections to the Court's instructions to the jury and an unduly harsh sentence) were all deemed by the Second Circuit to be without merit. (U.S. v. Robert W. Slocum, 81 Cr. 0444 [HFW], S.D.N.Y.). (LR-9858)

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## HOLDING COMPANY ACT RELEASES

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### MIDDLE SOUTH UTILITIES, INC.

An order has been issued authorizing Middle South Utilities, Inc., a registered holding company, to organize, and make an initial investment of \$1 million in, a new non-utility subsidiary, the initial operations of which will consist solely of preliminary study, investigation and research of new business or investment opportunities. (Rel. 35-22818 - Jan. 11)

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## LISTING, DELISTING AND UNLISTED TRADING ACTIONS

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### DELISTING GRANTED

An order has been issued granting the application of the American Stock Exchange, Inc. to strike the common stock (\$.40 par value) of E.C. Ernst, Inc. from listing and registration thereon. (Rel. 34-19416)

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## TRUST INDENTURE ACT RELEASES

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### OCCIDENTAL PETROLEUM CORPORATION

A notice has been issued giving interested persons until January 31 to request a hearing on an application by Occidental Petroleum Corporation, pursuant to Section 310(b)(1)(ii) of the Trust Indenture Act of 1939, declaring that the trusteeship of Chemical Bank of two indentures guaranteed by Occidental is not so likely to involve a material conflict of interest as to make it necessary to disqualify Chemical Bank from acting as trustee under either of such indentures. (Rel. TI-791)

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## SECURITIES ACT REGISTRATIONS

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The following registration statements have been filed with the SEC pursuant to the Securities Act of 1933. The information noted below has been taken from the cover page and the facing sheet of the prospectus and registration statement and will appear as follows: Form, Name, address and phone number (if available) of the issuer of the security; Title and the number or face amount of the securities being offered; Name of the managing underwriter (if applicable); Whether the offering is a rights offering; File number and date filed; Assigned Branch; if the registration statement is a New Issue; and [S] denoting SHELF REGISTRATION pursuant to Rule 415.

- (S-18) DOMINICK'S PIZZA PLUS, INC., 5 Highway 33, Freehold, NJ 07728 - 3,000,000 shares of common stock. Underwriter: Southeast Securities of Florida, Inc., 5 Marine View Plaza, Hoboken, NJ 07030 (201) 963-4470 or (800) 526-6057; 140 Cedar St., New York, NY 10006 (212) 406-0444. The company operates, through franchises, two full service restaurants under the trade name "Armand's Chicago Pizzeria." (File 2-81189-NY - Jan. 5) (Br. 3 - New Issue)

- (S-18) NEW YORK VIDEO, INC., c/o Benjamin G. Sprecher, 11 Park Pl., Suite 1715, New York, NY 10007 (212) 227-8692 - 40,000,000 shares of common stock. The company intends to engage in the operation of video arcade game centers. (File 2-81193-NY - Jan. 5) (Br. 4 - New Issue)
- (S-3) HECLA MINING COMPANY, Hecla Bldg., Wallace, ID 83873 (208) 752-1251 - 1,000,000 shares of common stock. Underwriter: Merrill Lynch White Weld Capital Markets Group. The company is engaged in the mining and processing of silver and other non-ferrous metals. (File 2-81230 - Jan. 7) (Br. 5)
- (S-8) CHRIS-CRAFT INDUSTRIES, INC., 600 Madison Ave., New York, NY 10022 (212) 980-3200 - \$2,400,000 of interests. (File 2-81233 - Dec. 30, 1982) (Br. 7)
- (S-8) CONAGRA, INC., One Central Park Plaza, Omaha, NB 68102 (402) 978-4000 - \$5 million of interests. (File 2-81244 - Jan. 10) (Br. 4)
- (S-2) COMCAST CORPORATION, One Belmont Ave., Bala Cynwyd, PA 19004 (215) 667-4200 - 1,020,000 shares of Class A common stock. Underwriter: Shearson/American Express Inc. The company is engaged in the development and operation of cable communications systems. (File 2-81246 - Jan. 10) (Br. 7)
- (S-8) WALT DISNEY PRODUCTIONS, 500 South Buena Vista St., Burbank, CA 91521 (213) 840-1000 - 800,000 shares of common stock. (File 2-81247 - Jan. 10) (Br. 4)
- (S-3) BALLY MANUFACTURING COPRORATION, 2640 West Belmont Ave., Chicago, IL 60618 (312) 267-6060 - 13,480 shares of common stock. The company is engaged in the design, manufacture, distribution and sale of coin-operated amusement and gaming equipment. (File 2-81249 - Jan. 11) (Br. 6) [S]
- (S-3) BOB EVANS FARMS, INC., 3776 South High St., Columbus, OH 43207 (614) 491-2225 - 600,000 common shares. Underwriters: The Ohio Company, Alex. Brown & Sons, and William Blair & Company. The company provides and distributes fresh port sausage products. (File 2-81253 - Jan. 11) (Br. 3)
- (S-3) NIAGARA MOHAWK POWER CORPORATION, 300 Erie Boulevard West, Syracuse, NY 13202 (315) 474-1511 - 1,200,000 shares of preferred stock. The company is engaged in the electric and gas utility business. (File 2-81254 - Jan. 11) (Br. 7) [S]
- (S-1) QUANTUM CORPORATION, 1804 McCarthy Blvd., Milpitas, CA 95035 (408) 262-1100 - 950,000 shares of common stock. The company designs, manufactures and markets rigid disk drives based on Winchester technology. (File 2-81255 - Jan. 11) (Br. 10) [S]
- (S-14) FIRST MERCHANTS CORPORATION, 200 East Jackson St., Muncie, IN 47305 (317) 747-1500 - 230,000 shares of common stock. (File 2-81256 - Jan. 10) (Br. 2 - New Issue)
- (S-2) PENRIL CORP., 5520 Randolph Rd., Rockville, MD 20852 (301) 881-8151 - \$15 million of ½ senior subordinated notes, due February 1, 1993 and common stock purchase warrants. Underwriter: Drexel Burnham Lambert Incorporated. The company designs, develops, manufactures and markets a diversified line of high technology electronic equipment and consumer audio equipment. (File 2-80959 - Jan. 11) (Br. 4)

#### REGISTRATIONS EFFECTIVE

Dec. 13: Allied Corporation, 2-80854.  
Dec. 17: Aristar, Inc., 2-80801.  
Dec. 30: Liquid Investments Co., 2-78082.  
Jan. 5: Healthyne, Inc., 2-81191.  
Jan. 6: Aluminum Company of America, 2-81175; Middle South Utilities, Inc., 2-80949.  
Jan. 7: A B Electrolux, 2-81200; Acorn Securities Limited, 2-81205; Enterprise Gold Mines N.L., 2-81204; Jingellic Minerals N.L., 2-81206; Kalbara Mining N.L., 2-81207; Norsk Data A.S., 2-81201.

#### REGISTRATIONS WITHDRAWN

Dec. 15: Maxim Drilling & Exploration, Inc., 2-74383.  
Dec. 29: Nabisco Brands, Inc., 2-80557.  
Jan. 6: Warlick High Value - High Yield Fund, Inc., 2-79201.

## ACQUISITIONS OF SECURITIES

Companies and individuals must report to the Commission within ten days on Schedule 13D if after the acquisition of equity securities of a public company their beneficial interest therein exceeds five percent. Persons eligible to use the short form (Schedule 13G) may in lieu of filing a Schedule 13D file a Schedule 13G within 45 days after the end of the calendar year in which the person became subject to Section 13(d)(1). Companies and individuals making a tender offer must have on file at the time the tender offer commences a Schedule 14D-1.

Below is a list of recent filings of Schedules 13D and 14D, which includes the following information: Column 1 - the company purchased (top), and the name of the purchaser; Column 2 - the type of security purchased; Column 3 - the type of form filed; Column 4 - the date the transaction occurred; Column 5 - the current number of shares (in 000's) owned (top) and the current percent owned; Column 6 - the CUSIP number (top) and the prior percent owned; and Column 7 - the status of the filing, i.e., new, update, or revision.

	FORM	EVENT DATE	SHRS (000) / % OWNED	CUSIP / PRIOR %	FILING STATUS
ARMCO INC BRAUN VICTOR F	COM 13D	12/27/82	3,293 5.2	04217010 5.2	UPDATE
CHEMINEER INC SHUMAN ALFRED J	COM 13D	12/21/82	40 3.5	16381810 6.1	UPDATE
DMI FURNITURE INC RENAISSANCE II LTD	COM 13D	12/ 2/82	234 12.4	23323010 10.6	UPDATE
DAN RIVER INC MCDONOUGH CO ET AL	COM 13D	12/29/82	475 8.3	23577310 8.3	UPDATE
EQUITABLE LIFE MTG RLTY INVS EQUITABLE LIFE ASSURANCE SOC	COM 13D	1/ 5/83	100,000 N/A	29454210 34.3	UPDATE
FAIR GROUNDS CORP DORIGNAC JOSEPH P JR	COM 13D	12/29/82	147 37.3	30315310 37.3	UPDATE
FAIR GROUNDS CORP MUTUAL SAVINGS LIFE INS	COM 13D	12/29/82	81 20.5	30315310 20.5	UPDATE
FAIR GROUNDS CORP STEINER ARTHUR A ET AL	COM 13D	12/29/82	26 6.6	30315310 5.4	UPDATE
HEIST C H CORP HEIST C H	COM 13D	12/ 9/82	517 34.1	42307610 35.9	UPDATE
HOTEL INVS DE PRANCE INC	COMBINED CTF 13D	12/23/82	183 7.0	44143820 5.3	UPDATE
HUNT PHILIP A CHEM CORP DLIN CORP	COM 13D	12/27/82	3,605 63.4	44558230 0.0	NEW
INDIANA FINANCIAL INVESTORS HICKORY FURNITURE CO ET AL	COM 13D	12/23/82	476 41.3	45470910 39.5	UPDATE
INDIANA FINANCIAL INVESTORS WISCONSIN R. E. INVT TR ET AL	COM 13D	12/23/82	235 20.4	45470910 16.9	UPDATE
MICRO SYS INC VDOORHEES ALAN M	COM 13D	12/29/82	1,251 26.8	59490110 0.0	NEW
MODERN INCOME LIFE INS GEORGE WASHINGTON CORP ET AL	COM 13D	12/27/82	0 N/A	60761310 N/A	UPDATE
NATIONAL MINE SVC CO ANDERSON MAJOR LTD ET AL	COM 14D-1	1/ 7/83	935 19.3	63690510 0.0	NEW
NEW ENGLAND BANCORP INC MCCARTHY RICHARD H	COM 13D	12/28/82	35 7.9	64385910 0.0	NEW

## ACQUISITION REPORTS CONT.

PABST BREWING CO JACOBS IRWIN L ET AL	COM	13D	1/ 5/83	191 2.3	69371510 13.9	UPDATE
ROCKWOOD NATL CORP PARKWAY CO ET AL	COM	13D	12/28/82	745 8.3	77442910 6.9	UPDATE
ST LOUIS STEEL CASTING INC PEPER CHRISTIAN B	COM	13D	11/ 1/82	83 27.6	79185910 26.6	UPDATE
WELLCO ENTERPRISES INC HICKORY FURNITURE CO ET AL	COM	13D	12/28/82	137 32.5	94947610 30.8	UPDATE
WESTERN PIONEER LIFE INS CO AMERICAN CONSOLID CORP ET AL	COM	13D	12/31/82	2,314 83.0	95920410 79.5	UPDATE
VALMAC INDS INC LANE CLIFT C & DOROTHY P	COM	13D	11/ 7/82	1,749 77.5	92022810 28.7	UPDATE
WALTER READE ORGANIZATION LANDES MICHAEL S ET AL	COM	13D	1/ 3/83	628 11.1	93318610 9.4	UPDATE
AMERICAN HOME PATIENT CTR ARNOLD CURTIS E	COM	13D	12/ 7/82	0 N/A	02661090 N/A	NEW
AMICOR INC BESSEMER VENTURE PTRNS ET AL	COM	13D	12/ 3/82	1,020 11.3	03152010 11.6	RYSION
ARKANSAS BEST CO HEMBREE H L III	COM	13D	1/ 5/83	581 15.7	04078910 11.2	UPDATE
ARKANSAS BEST CO SPEED J B & TRANSCO INC	COM	13D	1/ 5/83	174 4.7	04078910 0.0	NEW
ARKANSAS BEST CO YOUNG ROBERT A III	COM	13D	1/ 5/83	544 14.7	04078910 15.3	UPDATE
BUSINESS EXCHANGE INC ADLER HARRY	COM	13D	12/22/82	93 5.7	12325010 0.0	NEW
CENTRAL SOYA INC GULF & WESTERN INDS INC ET AL	COM	13D	1/ 4/83	1,042 6.9	15517710 5.6	UPDATE
CRUMP E H COS INC RELIANCE FINANCIAL SVCS CORP	COM	13D	12/30/82	1,633 46.6	22880410 46.3	UPDATE
DAN RIVER INC ICAHN CARL C ET AL	COM	14D-1	1/ 7/83	1,699 29.5	23577310 29.3	UPDATE
ELECTRIC TRANSPORTATION SYS DURST WILLIAM J	COM	13D	12/29/82	7,500 14.8	28501310 0.0	NEW
ELECTRIC TRANSPORTATION SYS HOSCH JAMES E	COM	13D	12/29/82	7,500 14.8	28501310 0.0	NEW
ELECTRIC TRANSPORTATION SYS KOBER (TED) COMPANY	COM	13D	12/29/82	7,500 14.8	28501310 0.0	NEW
ELECTRIC TRANSPORTATION SYS NEEDLE JOSEPH	COM	13D	12/29/82	7,500 14.8	28501310 0.0	NEW
ENERGY ASSETS INTL CORP FARGO	COM	13D	12/ 8/82	7,711 52.2	29291410 54.9	UPDATE
FLIGHT DYNAMICS INC PACIFIC TELECOM, INC	COM	13D	11/30/82	2,291 68.3	33941410 7.5	RYSION
GENERAL AIRCRAFT CORP MARSHALL MICHAEL P	COM	13D	12/30/82	758 37.9	36875710 0.0	NEW
GENERAL AMERN OIL CO TEX MESA PETROLEUM CO	COM	14D-1	1/ 7/83	1,012 4.1	36882010 7.7	UPDATE

## ACQUISITION REPORTS CONT.

INTERNATIONAL VIDEO CORP BESSEMER VENTURE PTNRS ET AL	COM	13D	12/21/82	0 N/A	46055010 N/A	UPDATE
KATY INDS INC GOLDMAN SOL	COM	13D	1/ 5/83	6 0.1	48602610 4.8	UPDATE
MCO HLDS INC RELIANCE FINANCIAL SVCS CORP	COM	13D	12/30/82	601 6.6	55290110 5.5	UPDATE
MEYERS PKG SYS INC GORDON EDWARD S ET AL	COM	13D	12/21/82	181 14.7	59314110 13.3	UPDATE
MICRO SYS INC INFORMATION DEVELOPMT & APPLIC	COM	13D	12/29/82	1,250 26.8	59490110 26.8	UPDATE
MINE SAFETY APPLIANCES CO HALLIBURTON CO	COM	13D	1/ 4/83	298 8.0	60272010 7.0	UPDATE
NOVA REAL EST INV TR EASTOVER CORP ET AL	SH BEN INT	13D	12/21/82	186 12.0	66979210 11.7	UPDATE
NOVA REAL EST INV TR PARKWAY CO	SH BEN INT	13D	12/21/82	415 26.7	66979210 25.2	UPDATE
NUCLEAR PHARMACY INC AMERICAN VENTURE MGMT ET AL	COM	13D	10/12/82	118 2.2	67028010 0.0	NEW
NUMBER 1 TV INC TAYLOR CYNTHIA & RICHARD	COM	13D	9/28/82	63 6.2	67052310 0.0	NEW
PANCHOS MEXICAN BUFFET INC DE RANCE INC	COM	13D	12/29/82	95 7.2	69830410 6.0	UPDATE
PIEDMONT REAL EST INV TR FIRST CAROLINA INV	SH BEN INT	13D	12/21/82	56 16.2	72022610 14.5	UPDATE
PLATRONICS PALUMBO JOHN R SR	COM	13D	12/28/82	370 40.7	72765210 0.0	NEW
REVERE COPPER & BRASS INC BEAR STEARNS & CO	COM	13D	12/30/82	2,664 46.8	76140610 0.0	NEW
SOLARCELL CORP MIZEL LARRY A	COM	13D	4/30/81	20,485 23.2	83490110 8.5	UPDATE
STEVENS J P & CO INC GULF & WESTERN INDS INC ET AL	COM	13D	12/31/82	3,409 23.6	86016310 22.4	UPDATE
UNITED FOUNDERS LIFE INS CO PROTECTIVE CORP	COM	13D	12/31/82	100 100.0	91039910 100.0	UPDATE
WEST INDIES SUGAR CORP CAMPBELL MUNSON	COM	13D	12/28/82	33 6.0	95337810 0.0	NEW
WESTWOOD INCORP TBK PARTNERS ET AL	COM	13D	12/29/82	69 5.8	96176910 0.0	NEW
WINKELMAN STORES INC PETRIE STORES CORP	COM	13D	1/ 6/83	773 51.1	97421210 47.2	UPDATE

## RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.

The companies listed below have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. Copies of the reports may be purchased from the Commission's Public Reference Section (in ordering, please give the date of the report). An invoice will be included with the requested material when mailed.

COMPANY	ITEMS NO.	DATE	
ABBOTT LABORATORIES	5	12/10/82	
ARNOLD INDUSTRIES INC	5	01/03/83	
BORG WARNER CORP /DE/	5,7	12/13/82	
BOSTON FINANCIAL APARTMENTS ASSOCIATES L	2,7	10/01/82	
BRAE CORP	7	11/05/82	AMEND
BRANIFF AIRWAYS INC /NEW/	5,7	12/01/82	
BRANIFF INTERNATIONAL CORP	5,7	12/01/82	
CALIFORNIA ENGELS MINING CO	4,7	12/31/82	
DIXIE EQUIPMENT CO INC	1,7	01/03/83	
EXCEL ENERGY CORP	5	12/29/82	
FIRST BANCORPORATION OF OHIO/SUCCESSOR	5,7	12/20/82	
FLEET FINANCIAL GROUP INC	5	12/31/82	
GREASE MONKEY HOLDING CORP	5	12/29/82	
HMW INDUSTRIES INC	5,7	12/21/82	
JERRICO INC	5,7	12/15/82	
KRUPP REALTY FUND LTD III	2,7	10/25/82	AMEND
LEXTON ANCIRA REAL ESTATE INCOME PARTNER	2,7	12/15/82	
NESTA MACHINE CO	5,7	12/21/82	
MIDWEST MANAGEMENT CORP	2,7	12/02/82	
MISSISSIPPI RIVER TRANSMISSION CORP	1	12/22/82	
MISSOURI PACIFIC CORP	1	12/22/82	
MISSOURI PACIFIC RAILROAD CO/DEL	1	12/22/82	
MORTRONICS INC	7	10/21/82	AMEND
OLD NATIONAL BANCORPORATION	5	12/28/82	
PARAGON TEMPLETON 81-A LTD	4,7	12/17/82	
PARAGON TEMPLETON 81-B LTD	4	12/17/82	
SAINT LOUIS STEEL CASTING INC	5,7	12/30/82	
SENERGY 1981 LTD	4,7	09/01/82	
SOUTHEAST BANKING CORP	5,7	12/15/82	
UNION PACIFIC CORP	1,5,7	12/22/82	
VETA GRANDE COMPANIES INC	7	03/23/82	AMEND