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U.S. SECURITIES AND  
EXCHANGE COMMISSION

# sec news digest

Issue 90-141

July 23, 1990

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## ADMINISTRATIVE PROCEEDINGS

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### AMENDED PROCEEDINGS AGAINST RICK EDWARD MYERS

The Commission amended its previously instituted administrative proceedings of November 21, 1989 against Rick Edward Myers (Myers). The Amended Order Instituting Public Proceedings (Amended Order) includes additional allegations that Myers was convicted of fourteen mail fraud counts and failed to promptly and accurately amend his Form ADV regarding his indictment, conviction and the institution of these administrative proceedings. The Amended Order does not charge the allegations underlying Myers' mail fraud conviction, as alleged in the initial order for proceedings.

A hearing will be scheduled to take evidence on the staff's allegations and to afford respondent an opportunity to present any defense thereto. (Rel. 34-28204)

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## CIVIL PROCEEDINGS

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### AMENDED COMPLAINT RE CONTEL

On July 20, the Commission filed a First Amended Verified Complaint for Injunction and Other Relief (Amended Complaint) and Notice of Dismissal Without Prejudice (Dismissal) in a civil injunctive action pending against individuals and entities allegedly purchasing Contel Corporation (Contel) common stock and/or call option contracts (calls) while possessing material nonpublic information concerning the proposed Contel-GTE merger, in violation of Exchange Act Section 10(b) and Rule 10b-5 thereunder.

The Commission alleged that Ortwin Heider, Hans-Jurgen Klisch, Hannelore Mirbach-Krahn, and Bodo Pawlik, West German residents; Union Investment, a West German mutual fund; Public Mutual Fund, a Union Investment-managed mutual fund; Nalasag Corporation, Geneva, Switzerland; Sigesse, S.A., Lausanne, Switzerland; and certain unknown persons and entities trading through the names of BFG Luxembourg, Bankverein Bremen, Canadian Imperial Bank of Commerce Suisse, Compagnie de Gestion et de Banque Gonet, Confide Finance, Credit Suisse-Geneva, Credit Suisse-Luxembourg, Credit Suisse-Zurich, ISSA Investment Services, Lombard Odier & Cie, and Union Bank of Switzerland, financial

institutions located in Luxembourg, Switzerland and/or West Germany purchased Contel common stock and/or calls through accounts at broker-dealers' United States offices. Certain purchasers of calls through Advest, Shearson and Smith Barney were dismissed without prejudice. [SEC v. Ortwin Heider, Hans-Jurgen Klisch, Hannelore Mirbach-Krahn, Bodo Pawlik, Union Investment, Nalasag Corporation, Sigesse, SA, and Other Unknown Purchasers of Common Stock and Call Option Contracts for the Common Stock of Contel Corporation, USDC for the SDNY, Civil Action No. 90 Civ. 4636 (CSH)]. (LR-12556).

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## INVESTMENT COMPANY ACT RELEASES

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### PANTEPEC INTERNATIONAL

A notice has been issued giving interested persons until August 13, 1990 to request a hearing on an application filed by Pantepec International, Inc. for an order under Section 8(f) declaring that it has ceased to be an investment company. (Rel. IC-17595, July 18)

### TECHNOLOGY FUNDING PARTNERS III, L.P., ET AL.

A notice has been issued giving interested persons until August 14 to request a hearing on an application filed by Technology Funding Partners III, L.P., Technology Funding Partners IV, An Aggressive Growth Fund, L.P., Technology Funding Inc., and Technology Funding Ltd. for a conditional order under Section 17(d) of the Investment Company Act of 1940 and Rule 17d-1 thereunder permitting a joint investment in certain securities. (Rel. IC-17600, July 18)

### DREYFUS OHIO MUNICIPAL MONEY MARKET FUND DREYFUS PENNSYLVANIA MUNICIPAL MONEY MARKET FUND

Notices have been issued giving interested persons until August 14 to request a hearing on applications filed by DREYFUS OHIO MUNICIPAL MONEY MARKET FUND, INC. and DREYFUS PENNSYLVANIA MUNICIPAL MONEY MARKET FUND, INC. for orders pursuant to Section 8(f) of the 1940 Act, declaring that they have ceased to be investment companies. (Rel. IC-17601 and IC-17602, respectively - July 19)

### STANDISH, AYER & WOOD INVESTMENT TRUST, ET AL.

A notice has been issued giving interested persons until August 15 to request a hearing on an application filed by Standish, Ayer and Wood Investment Trust (Trust) (on behalf of Standish Small Capitalization Equity Fund (Fund), a separate series of the Trust), Standish Small Equity Fund Limited Partnership (Partnership), and Standish, Ayer & Wood, Inc. for an order under Section 17(b) of the Investment Company Act of 1940 granting an exemption from the provisions of Section 17(a). Applicants seek relief to permit a proposed reorganization between the Partnership and the Fund, whereby the Partnership will transfer its assets and liabilities to the Fund in exchange for Fund shares. Following the reorganization, the partners of Partnership will constitute all of the shareholders of the Fund. (Rel. IC-17603, July 19)

#### CHALLENGER INCOME SHARES

An order has been issued on an application filed by Challenger Income Shares, Inc., under Section 8(f) of the Investment Company Act of 1940 declaring that Challenger Income Shares has ceased to be an investment company. (Rel. IC-17604, July 19)

#### IMG BOND ACCUMULATION FUND IMG STOCK ACCUMULATION FUND

Notices have been issued giving interested persons until August 20 to request a hearing on applications filed by IMG BOND ACCUMULATION FUND, INC. and IMG STOCK ACCUMULATION FUND, INC. for orders pursuant to Section 8(f) of the 1940 Act, declaring that they have ceased to be investment companies. (Rel. IC-17605 and IC-17606, respectively - July 19)

#### HENDERSON INTERNATIONAL GROWTH FUND ET AL.

An order has been issued under Section 6(c) of the Investment Company Act of 1940 (Act) exempting Henderson International Growth Fund (formerly, Henderson Global Asset Trust) (Trust), Henderson International, Inc., and certain life insurance companies and variable life insurance separate accounts investing in the Trust from the provisions of Sections 9(a), 13(a), 15(a), and 15(b) of the Act and Rules 6e-2(b)(15) and 6e-3(T)(b)(15) thereunder, to the extent necessary to permit mixed and shared funding. (Rel. IC-17607, July 19)

#### THOMSON MCKINNON INVESTMENT TRUST, ET AL.

A notice has been issued giving interested persons until August 15 to request a hearing on an application filed by Thomson McKinnon Investment Trust, et al. for an order under Section 6(c) of the Investment Company of 1940 Act that would exempt applicants from Sections 18(f), 18(g), and 18(i) of the Act to the extent necessary to permit the Trust to sell two classes of securities representing interests in the same investment portfolio, which classes would be identical in all respects except for differences relating to class designations, distribution expenses, voting rights, and dividend payments. (Rel. IC-17608, July 19)

#### MIDLAND FUNDING CORPORATION I, ET AL.

A notice has been issued giving interested persons until August 15 to request a hearing on an application filed by Midland Funding Corporation I, et al. for an order under Section 6(c) of the Investment Company Act of 1940 granting an exemption from all provisions of the Act to the extent necessary to permit applicants to assist in the financing and refinancing of certain property through leveraged lease transactions in which Midland Corporation Venture Limited Partnership (MCV) will be the lessee. (Rel. IC-17609, July 20)

## SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

- S-1 ALTERA CORP, 2610 ORCHARD PARKWAY, SAN JOSE, CA 95134 (408) 984-2800 - 2,875,000 (\$39,890,625) COMMON STOCK. (FILE 33-35811 - JUL. 16) (BR. 3)
- S-8 LIQUI BOX CORP, 6950 WORTHINGTON GALENA RD, WORTHINGTON, OH 43085 (614) 888-9280 - 400,000 (\$25,200,000) COMMON STOCK. (FILE 33-35815 - JUL. 16) (BR. 5)
- S-8 LIQUI BOX CORP, 6950 WORTHINGTON GALENA RD, WORTHINGTON, OH 43085 (614) 888-9280 - 500,000 (\$31,500,000) COMMON STOCK. (FILE 33-35816 - JUL. 16) (BR. 5)
- S-8 LIQUI BOX CORP, 6950 WORTHINGTON GALENA RD, WORTHINGTON, OH 43085 (614) 888-9280 - 300,000 (\$18,900,000) COMMON STOCK. (FILE 33-35817 - JUL. 16) (BR. 5)
- S-3 PROFFITTS INC, PO BOX 388, ALCOA, TN 37701 (615) 983-7000 - 2,747,400 (\$15,797,550) COMMON STOCK. (FILE 33-35820 - JUL. 16) (BR. 1)
- S-8 SYMBOL TECHNOLOGIES INC, 116 WILBUR PL, BOHEMIA, NY 11716 (516) 563-2400 - 1,500,000 (\$18,750,000) COMMON STOCK. (FILE 33-35821 - JUL. 12) (BR. 10)
- S-8 WESTAIR HOLDING INC, 5570 AIR TERMINAL DR, FRESNO, CA 93727 (209) 294-6915 - 728,661 (\$4,827,379.10) COMMON STOCK. (FILE 33-35822 - JUL. 16) (BR. 3)
- S-2 CALIFORNIA COMMERCIAL BANKSHARES, 3951 SOUTH PLAZA DR, SANTA ANA, CA 92704 (714) 641-8500 - 386,811 (\$5,221,949) COMMON STOCK. (FILE 33-35823 - JUL. 16) (BR. 2)
- S-8 WILLFELD TRADING CO INC, 150 WOODBURY RD, WOODBURY, NY 11797 (516) 867-8711 - 340,000 (\$1,759,062) COMMON STOCK. 660,000 (\$8,085,000) COMMON STOCK. (FILE 33-35828 - JUL. 16) (BR. 7)
- S-2 SOUTHERN UNION CO, 400 W 15TH ST STE 900, AUSTIN, TX 78701 (512) 477-5852 - 250,000 (\$26,500,000) PREFERRED STOCK. UNDERWRITER: RAUSCHER PIERCE REFSNES INC. (FILE 33-35829 - JUL. 16) (BR. 8)
- S-8 MEDALIST INDUSTRIES INC, 10850 WEST PARK PL STE 150, MILWAUKEE, WI 53224 (414) 359-3000 - 116,000 (\$1,360,000) COMMON STOCK. (FILE 33-35830 - JUL. 16) (BR. 9)
- S-1 VITAL SIGNS INC, 20 CAMPUS RD, TOTOWA, NJ 07512 (201) 790-1330 - 300,000 (\$4,350,000) COMMON STOCK. 1,425,000 (\$20,662,500) COMMON STOCK. UNDERWRITER: HAMBRECHT & QUIST INC, LEHMAN BROTHERS. (FILE 33-35864 - JUL. 13) (BR. 8 - NEW ISSUE)
- S-3 LEE SARA CORP, THREE FIRST NATL PLZ STE 4600, CHICAGO, IL 60602 (312) 726-2600 - 312,012 (\$8,951,624.20) COMMON STOCK. (FILE 33-35867 - JUL. 13) (BR. 4)
- S-8 SPAIN KINGDOM OF, 2700 15TH ST N W, JAIME OJEDA - AMBASSADOR OF SPAIN, WASHINGTON, DC 20009 - 600,000,000 (\$600,000,000) FOREIGN GOVERNMENT AND AGENCY DEBT. (FILE 33-35873 - JUL. 13) (BR. 9)
- S-8 SURGICAL CARE AFFILIATES INC, 4515 HARDING RD STE 300, NASHVILLE, TN 37205 (615) 385-3541 - 500,000 (\$14,000,000) COMMON STOCK. (FILE 33-35874 - JUL. 13) (BR. 6)
- S-8 NANOMETRICS INC, 690 E ARQUES AVE, SUNNYVALE, CA 94086 (408) 746-1600 - 750,000 (\$656,250) COMMON STOCK. (FILE 33-35875 - JUL. 13) (BR. 8)
- S-8 HILTON HOTELS CORP, 9336 CIVIC CTR DR, BEVERLY HILLS, CA 90209 (213) 278-4821 - 1,000,000 (\$52,250,000) COMMON STOCK. (FILE 33-35883 - JUL. 13) (BR. 12)
- S-3 SALOMON INC, ONE NEW YORK PLAZA, NEW YORK, NY 10004 (212) 747-7000 - 250,000,000 (\$250,000,000) STRAIGHT BONDS. (FILE 33-35887 - JUL. 13) (BR. 12)
- S-1 FINANCIAL SERVICE CORP, 2300 WINDY RIDGE PKWY STE 1100, MARIETTA, GA 30067 (404) 916-6500 - 116,667 (\$1,167) COMMON STOCK. 300,000 (\$600,000) COMMON STOCK. UNDERWRITER: FSC SECURITIES. (FILE 33-35889 - JUL. 13) (BR. 12 - NEW ISSUE)

REGISTRATIONS CONT.

- 8-1 BLACK BOX INCORPORATED, 4100 LOS ANGELES AVE, SIMI VALLEY, CA 93063 (805) 583-8600 - 5,175,000 (\$72,450,000) COMMON STOCK. 40,250,000 (\$40,250,000) CONVERTIBLE DEBENTURES AND NOTES. (FILE 33-35890 - JUL. 13) (BR. 10)
- 8-8 AVANTOR FINANCIAL CORP, C/O SOVRAN FINANCIAL CORP, ONE COMMERCIAL PL, NORFOLK, VA 23510 (804) 441-4000 - 3,000,000 (\$65,250,000) COMMON STOCK. (FILE 33-35892 - JUL. 13) (BR. 1)
- 8-1 ARCADIAN CORP/DE/, 6750 POPLAR AVE STE 600, MEMPHIS, TN 38183 (901) 758-5200 - 35,578,000 (\$11,847,474) PREFERRED STOCK. (FILE 33-35893 - JUL. 16) (BR. 8)
- 8-6 NUVEEN TAX EXEMPT UNIT TRUST SERIES 565, 383 WEST WACKER DR, C/O JOHN NUVEEN & CO INC, CHICAGO, IL 60608 - INDEFINITE SHARES. DEPOSITOR: NUVEEN JOHN & CO INC. (FILE 33-35911 - JUL. 16) (BR. 22 - NEW ISSUE)

**ACQUISITION OF SECURITIES**

Companies and individuals must report to the Commission within ten days on Schedule 13D if after the acquisition of equity securities of a public company their beneficial interest therein exceeds five percent. Persons eligible to use the short form (Schedule 13G) may in lieu of filing a Schedule 13D file a Schedule 13G within 45 days after the end of the calendar year in which the person became subject to Section 13(d)(1). Companies and individuals making a tender offer must have on file at the time the tender offer commences a Schedule 14D-1.

Below is a list of recent filings of Schedules 13D and 14D, which includes the following information: Column 1 - the company purchased (top), and the name of the purchaser; Column 2 - the type of security purchased; Column 3 - the type of form filed; Column 4 - the date the transaction occurred; Column 5 - the current number of shares (in 000's) owned (top) and the current percent owned; Column 6 - the CUSIP number (top) and the percent owned; and Column 7 - the status of the filing, i.e., new, update or revision.

NAME AND CLASS OF STOCK/OWNER	FORM	EVENT DATE	SHRS(000)/% OWNED	CUSIP/PRIOR%	FILING STATUS
AIR & WTR TECHNOLOGIES CORP CANTWELL JEFFREY J	CL A 13D	7/11/90	735 3.5	00905810 6.8	UPDATE
AULT INC ALPHI FUND	COM 13D	7/12/90	110 5.6	05150310 0.0	NEW
BANCROFT CONV FD INC GREEN ROBERT I	COM 13D	7/13/90	127 5.0	05969510 7.0	UPDATE
BARRISTER INFORMATION SYS CO BENDERSON 85-1 TRUST	COM 13D	7/ 9/90	665 21.7	06865910 8.2	UPDATE
BAY FINL CORP URBAN ALEXANDER	COM 13D	6/28/90	600 15.6	07237010 0.0	NEW
BAY VIEW CAP CORP DEL KRUTTSCHNITT THEODORE H	COM 13D	7/13/90	628 10.3	07299010 7.5	UPDATE
CLIFF ENGLE LTD HELM RESOURCES INC	COM NEW 13D	3/19/90	2,250 43.8	18690120 0.0	NEW

## ACQUISITIONS CONT.

CUMMINS ENGINE INC TANGEMAN CLEMENTINE M ET AL	COM AL	13D	7/16/90	580 5.7	23102110 12.2	UPDATE
FISCHBACH CORP AMERICAN INTL GRP	COM	14D-1	7/17/90	2,189 55.9	33765910 55.9	UPDATE
KAY JEWELERS INC BUCHERER JOERG G	COM	13D	7/ 2/90	1,623 13.1	48652910 13.0	UPDATE
LIBERTY CORP S C GAMCO INVESTORS INC ET AL	COM	13D	7/16/90	565 6.6	53037010 5.6	UPDATE
MEDIA GEN INC GAMCO INVESTORS INC ET AL	CL A	13D	7/16/90	4,803 19.0	58440410 17.9	UPDATE
MILLER HERMAN INC STATE OF WISCONSIN INVEST	COM BD	13D	7/ 6/90	N/A N/A	60054410 5.0	UPDATE
OLDE WINDSOR BANCORP COCCOMO JOHN A SR	COM	13D	4/ 5/90	126 10.0	68040099 10.0	UPDATE
OLDE WINDSOR BANCORP COCCOMO JOHN A SR	COM	13D	4/ 5/90	126 10.0	68040099 10.0	UPDATE
PROFIT SYS INC LEP GRP PLC ET AL	COM	13D	7/17/90	0 100.0	74291910 100.0	UPDATE
RESEDL INDS RELIANCE FINANCIAL SVCS CORP	COM	13D	7/13/90	0 0.0	76085510 N/A	UPDATE
TCBY ENTERPRISES INC STATE OF WISCONSIN INVEST	COM BD	13D	7/ 6/90	1,776 6.7	87224510 5.1	UPDATE
VISION SCIENCES INC PATRICOF ALAN ASSOC ET AL	COM	13D	2/15/90	3,314 32.7	92790510 0.0	NEW
AMPAL AMERN ISRAEL CORP BANK HAPOALIM BM	CL A	13D	7/15/90	5,186 36.2	03201510 35.3	UPDATE
CIS TECHNOLOGIES INC SWISS REINSURANCE	COM	13D	7/17/90	13,781 53.4	12599110 52.0	UPDATE
CENTRAL BANCORPORATION WASH PENOSKE BRUCE R	COM	13D	7/16/90	0 0.0	15242210 N/A	UPDATE
CIMARRON INVT INC AFC ACQUISITION	COM	14D-1	7/19/90	0 0.0	17182210 0.0	NEW
COMMERCE GROUP CORP MACHULAK EDWARD L	COM	13D	7/11/90	1,148 28.5	20065410 21.2	UPDATE
ENTERRA CORP DEL SHAMROCK HLDGS/CALIF	COM	13D	7/13/90	2,315 15.0	29380510 13.6	UPDATE
EVERGOOD PRODS CORP RICH SIDNEY	COM	13D	6/29/90	884 46.3	30014610 50.1	UPDATE
FDP CORP BARISH MICHAEL ET AL	COM	13D	7/10/90	195 5.9	30290510 0.0	NEW
FISCHBACH CORP AMERICAN INTL GRP	COM	14D-1	7/18/90	3,616 92.4	33765910 55.9	UPDATE

## ACQUISITIONS CONT.

HOUSTON BIOTECH PARTNERS SHEARSON/HBLP ACQUISITION	UTS LTD PRT INT 13D	7/12/90	3 9.6	44299090 6.7	UPDATE
KAY JEWELERS INC COURT ALBERT TRUST	COM 13D	7/ 2/90	750 6.0	48652910 6.0	UPDATE
LANGER BIOMECHANICS GROUP PATRICOF ALAN ASSOC ET AL	COM 13D	12/24/87	229 8.9	51570710 0.0	NEW
LEGAL SOFTWARE SOLUTIONS MORGENSTERN STANLEY ET AL	COM 13D	5/29/90	639 23.5	52464710 25.1	UPDATE
LONG LAKE ENERGY CORP ODYSSEY PARTNERS	COM 13D	7/16/90	3,046 29.8	54276710 28.2	UPDATE
MCS TELECOMMUNICATIONS INC DORNETTE WILLIAM S	COM 13D	7/ 9/90	21 1.8	55291010 55.4	UPDATE
MAJOR RLTY CORP SAMELSON REAL ESTATE PTN ET AL	COM 13D	6/19/90	2,274 32.8	56084010 26.8	UPDATE
MOTEL 6 LP ACCOR S A ET AL	DEPOSITARY UT 14D-1	7/18/90	28,945 55.5	61974410 0.0	NEW
NEW YORK BANCORP INC FINDIM OVERSEAS	COM 13D	7/12/90	261 7.6	64938910 9.3	UPDATE
NUEVO ENERGY CO TORCHMARK CORP ET AL	COM 13D	7/ 9/90	2,237 25.5	67050910 0.0	NEW
OMNI CAP GROUP INC OASIS FINL	COM 13D	7/ 9/90	143 5.7	68299310 0.0	NEW
OVONIC IMAGING SYS INC MANNING WILLIAM ET AL	COM 13D	7/12/90	10,973 79.4	69048410 78.4	UPDATE
PACIFIC AGRICULTURAL HLDGS I HARDY THOMAS G	COM 13D	9/15/89	950 4.0	69376110 5.1	UPDATE
POP RADIO CORP GRAY ROBERT K	COM 13D	7/12/90	207 6.3	73281710 6.3	UPDATE
POP RADIO CORP HUSSEY ROBERT F	COM 13D	7/12/90	235 7.2	73281710 7.1	UPDATE
RIGHT MGMT CONSULTANTS INC MIM LIMITED ET AL	COM 13D	7/13/90	97 3.8	76657310 5.1	UPDATE
SCIENTIFIC SOFTWRAE INTERCOM HALLIBURTON CO	COM 13D	7/10/90	600 16.0	80879610 16.0	UPDATE
SPA LADY CORP ALLIED CAPITAL CORP ET AL	COM 13D	7/ 6/90	2,930 59.8	84590410 8.8	UPDATE
STATE BANCORP INC N.Y BIASE NICOLA	COM 13D	7/13/90	1 N/A	85571610 N/A	UPDATE
STATE BANCORP INC N.Y FINDIM OVERSEAS	COM 13D	7/13/90	116 4.6	85571610 2.1	UPDATE

## RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.

The companies listed below have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. Copies of the reports may be purchased from the Commission's Public Reference Room (when ordering, please give the date of the report). An invoice will be included with the requested material when mailed.

NAME OF ISSUER	STATE CODE	8K ITEM NO.								DATE	COMMENT
		1	2	3	4	5	6	7	8		
ADVANCED MONITORING SYSTEMS INC	CO	NO ITEMS								07/01/90	
BANKAMERICA CORP	DE				X			X		07/19/90	
BE HOLDINGS INC	DE				X			X		06/26/90	
BUCYRUS ERIE CO /DE/	DE				X			X		06/26/90	
CLARK EQUIPMENT CO /DE/	DE	X			X			X		07/19/90	
COMPREHENSIVE CARE CORP	DE				X					07/18/90	
CORVUS SYSTEMS INC	CA	X	X	X				X		07/16/90	
CURTISS WRIGHT CORP	DE				X					07/12/90	
EQK REALTY INVESTORS I	MA				X					07/12/90	
FIDELITY BANCSHARES INC	TN				X			X		07/18/90	
HOMETOWN BANCSHARES INC	WV	X						X		07/01/90	
IMPERIAL CORP OF AMERICA /DE/	DE				X			X		06/22/90	
KINARK CORP	DE				X					07/11/90	
KRUG INTERNATIONAL CORP	OH				X					07/16/90	
NCA INC	DE				X			X		07/19/90	
NEW HAMPSHIRE SAVINGS BANK CORP	NH							X		07/17/90	
PLM EQUIPMENT GROWTH FUND	CA	NO ITEMS								06/25/90	AMEND
PLM EQUIPMENT GROWTH FUND II	CA	NO ITEMS								06/25/90	AMEND
PLM EQUIPMENT GROWTH FUND III	CA	NO ITEMS								06/25/90	AMEND
PLM EQUIPMENT GROWTH FUND IV	CA	NO ITEMS								06/25/90	AMEND
PLM EQUIPMENT GROWTH FUND V	CA	NO ITEMS								06/25/90	AMEND
PLM INTERNATIONAL INC	DE	NO ITEMS								06/25/90	AMEND
PLM TRANSPORTATION EQUIPMENT PARTNERS IX	CA	NO ITEMS								06/25/90	AMEND
PLM TRANSPORTATION EQUIPMENT PARTNERS IX	CA	NO ITEMS								06/25/90	AMEND
PLM TRANSPORTATION EQUIPMENT PARTNERS IX	CA	NO ITEMS								06/25/90	AMEND
PLM TRANSPORTATION EQUIPMENT PARTNERS IX	CA	NO ITEMS								06/25/90	AMEND
PLM TRANSPORTATION EQUIPMENT PARTNERS VI	CA	NO ITEMS								06/25/90	AMEND
PLM TRANSPORTATION EQUIPMENT PARTNERS VI	CA	NO ITEMS								06/25/90	AMEND
RITTENHOUSE CAPITAL CORP	DE							X		07/12/90	
RMI COVERED HOPPER RAILCAR MANAGEMENT PR	CA	NO ITEMS								06/25/90	AMEND
ROBERTSON COMPANIES INC	ND				X			X		07/17/90	
SEMICON INC	MA					X		X		07/18/90	
SPARTA SURGICAL CORP	DE							X		06/29/90	AMEND
VEGAS CHIPS INC	DE	X								07/07/90	