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U.S. SECURITIES AND  
EXCHANGE COMMISSION

## COMMISSION ANNOUNCEMENTS

### DENIAL OF ACCESS UNDER FOIA REVERSED

The General Counsel sent a letter to George W. Lang II, reversing the decision of the Commission's Freedom of Information Officer to deny access, pursuant to Exemption 8, to a Form X-17A-19 filed by the Chicago Board Options Exchange, pertaining to its member Paine, Webber, Mitchell, Hutchins, Inc. The General Counsel determined to release the document as a matter of discretion without deciding the applicability of Exemption 8. The General Counsel also released three other recently discovered responsive Forms X-17A-19 as a matter of discretion, without deciding the applicability of Exemption 8. (FOIA Rel. No. 146)

## ADMINISTRATIVE PROCEEDINGS

### HOWARD A. RUBIN OFFER OF SETTLEMENT ACCEPTED

The Securities and Exchange Commission announced today that, in connection with previously instituted public administrative proceeding, it has accepted the Offer of Settlement of Howard A. Rubin (Rubin), the former senior mortgage-backed securities trader for Merrill Lynch Government Securities, Inc.

Without admitting or denying the allegations, Rubin consented to the issuance of a Final Order containing findings that Rubin, in April 1987, failed to record on the books of Merrill Lynch Pierce Fenner & Smith, Inc. (Merrill), and otherwise failed to disclose to firm personnel, a \$500 million proprietary trade in "stripped" mortgage-backed securities. Merrill lost approximately \$85 million on such transaction. The Final Order finds that Rubin aided and abetted Merrill's violations of the books and records provisions of the Exchange Act.

The Commission's Final Order suspends Rubin from association with certain Commission regulated entities for nine months, and bars him from being associated with such entities in a supervisory or proprietary capacity, with a right to reapply after four years. (Rel. 34-27828)

### GENE KAZLOW PENNY STOCK LAWYER SANCTIONED

The Commission announced the entry of its Opinion and Order pursuant to Rule 2(e) of the Commission's Rules of Practice against Gene Kazlow, an attorney. The Order was instituted in accordance with Kazlow's Offer of Settlement in which he neither admitted or denied any of the Commission's findings except as to the entry of two injunctions against him, one of which was the basis of the instant proceeding.

The Order contained findings that on March 9, 1990, a Final Judgment of Permanent Injunction was entered against Kazlow enjoining him from further violations of the antifraud provisions. The Order further contained findings that the Commission's Complaint filed in that matter alleged that Kazlow prepared and filed with the Commission Form S-18 registration statements which misstated and omitted to state material facts concerning, among other things, the control of the issuers; the management of the issuers, the officers, directors, founders, agents or control persons of the issuers; and the beneficial ownership of the issuers' securities. The Order also contained findings that Kazlow was the subject of another injunction enjoining him from violations of the antifraud provisions. Kazlow was permanently denied the privilege of appearing or practicing before the Commission. (Rel. 34-27832)

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## CIVIL PROCEEDINGS

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### ALIC CORPORATION GLEN D. MCCART, ET AL. ENJOINED

The Houston Branch Office announced that on March 22, 1990, in a pending civil action involving a \$17 million fraud against senior citizens, the Honorable Tom Stagg, Chief District Judge for the Western District of Louisiana, entered an order which modifies a prior temporary restraining order in that defendants ALIC Corporation and Glen D. McCart continue to be subject to a freeze of their assets except that McCart may access his personal funds to pay routine living expenses which are legitimate, reasonable and absolutely necessary in a total amount not to exceed \$10,000. The Court further ruled that the Commission's application for the appointment of a Receiver for ALIC and request for an accounting would be heard and ruled upon during the Court's April 16 hearing on the Commission's request for a preliminary injunction and other equitable relief. In its Order, the Court also concluded that the securities offered and sold by the defendants included not only debentures and preferred stock, but also single premium deferred annuities. The Court's temporary restraining order continues to effect in all other respects and, among other things, enjoins ALIC Corporation, Glen D. McCart, T.A. Robinson, Mike LeBlanc, and James D. Callicott, all of Monroe, Louisiana, from violating the registration and securities fraud provisions of the federal securities laws. For further information concerning this matter, see Litigation Release No. 12419. [SEC v. ALIC Corp., et al., Civil Action No. CV-90-0521 (USDC WDLA). (LR-12429)]

### BARRY N. KATZ, ET AL. ENJOINED

The Securities and Exchange Commission today announced the filing of a civil action in the United States District Court for the District of Columbia seeking permanent injunctive and other equitable relief against Barry N. Katz, Steven Wold, David V. Rowell, Kevin R. Sexton and Ronald S. Smith. The Complaint alleges that the defendants violated Section 10(b) of the Exchange Act and Rule 10b-5 in connection with their purchases of Atcor, Inc. (Atcor) stock.

The Complaint alleges that Katz and Wold, as partners in an accounting firm, traded in the common stock of Atcor after learning material, nonpublic information from an Atcor director who engaged them to perform accounting services. The Complaint also alleges that Rowell, Sexton and Smith traded in Atcor, after learning material

nonpublic information regarding the tender offer for Atcor from a director of Tyco Laboratories, Inc., the tender offeror.

The defendants, without admitting or denying the Commission's allegations, consented to the entry of proposed Final Judgments of Permanent Injunction and other Relief enjoining and restraining them from further violations of specified provisions, ordering them to disgorge profits totalling \$29,925.00, prejudgment interest totalling \$7,520.00 and penalties under ITSA totalling \$27,877.00. (See Litigation Release No. 27858). [United States District Court for the District of Columbia, Civ. Action 90-0731 (JHP)] (LR-12430)

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## CRIMINAL PROCEEDINGS

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MAGNA TECHNOLOGIES, INC.  
JACOB RUBENSTEIN, ET AL. INDICTED

On Wednesday, March 28, 1990, a federal magistrate sitting in the Central District of California unsealed an indictment returned by a federal grand jury for that District on March 7, 1990. The indictment names Jacob Rubenstein, Dr. Robert Gutstein, Robert Victor and Herbert Stone in a forty-two count indictment for conspiracy, securities fraud, wire fraud, bank fraud, and tax fraud.

Rubenstein acted as the promoter in effecting a manipulation of the stock market for Magna Technologies, Inc. (Magna), a privately-held California corporation which Dr. Gutstein and Rubenstein had acquired and merged with a shell company from Florida with publicly-held shares. The stock of Magna was manipulated in this "shell game" during March through September 1985 from \$.50 to \$9.50 a share by fraudulent press announcements, circular trading by nominees, including Victor, and through the market making efforts of Stone in New York. The defendants took over \$1 million in profits from the market before trading in Magna stock. (LR-12428)

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## INVESTMENT COMPANY ACT RELEASES

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SEA CERTIFICATE SERIES, INC.

A conditional order has been issued under Section 8(f) of the Investment Company Act of 1940 on an application filed by Sea Certificate Series, Inc. (formerly, Principal Certificate Series, Inc.) declaring that it has ceased to be an investment company. (Rel. IC-17401 - Mar. 27)

VANGUARD EXPLORER FUND, INC., ET AL.

A notice has been issued giving interested persons until April 23, 1990 to request a hearing on an application filed by Vanguard Explorer Fund, Inc., et al., and future funds which are part of the Vanguard Group of Investment Companies (collectively, the Funds) for a conditional order under Section 6(c) of the Investment Company Act of 1940 exempting the Funds from the provisions of Section 12(d)(3) of the Act and Rule 12d3-1 to the extent necessary to permit each Fund to invest in equity or convertible debt securities issued by foreign issuers that derived more than 15% of their gross revenues from their activities as a broker, dealer, underwriter, or investment

adviser, provided such investments meet the conditions described in proposed amended Rule 12d3-1. (Rel. IC-17402 - Mar. 28)

## LISTING, DELISTING AND UNLISTED TRADING ACTIONS

### UNLISTED TRADING GRANTED

Orders have been issued granting the application of the following stock exchanges for unlisted trading privileges in the specified number of issues which are listed and registered on one or more other national securities exchanges and are reported in the consolidated transaction reporting system: Philadelphia Stock Exchange, Inc. - 10 issues. (Rel. 34-27855); 12 issues. (Rel. 34-27856); and Midwest Stock Exchange - 14 issues. (Rel. 34-27857).

### UNLISTED TRADING SOUGHT

Notices have been issued giving interested persons until April 18, 1990, to comment on the application of the following stock exchanges for unlisted trading privileges in specified number of issues which are listed and registered on one or more other national securities exchanges and are reported in the consolidated transaction reporting system: Pacific Stock Exchange, - 2 issues. (Rel. 34-27852); Philadelphia Stock Exchange, - 7 issues. (Rel. 34-27853); and Midwest Stock Exchange, - 11 issues. (Rel. 34-27584).

## SELF-REGULATORY ORGANIZATIONS

### IMMEDIATE EFFECTIVENESS OF PROPOSED RULE

Proposed rule changes filed by the following have become effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934: The Pacific Clearing Corporation (SR-PCC-90-01) to amend PCC's charge for manually processing Master Limited Partnerships. (Rel. 34-27848); and the New York Stock Exchange (SR-NYSE-90-12) to institute fees for multiple copies of Exchange trading systems information ("Merged Order Report" information). (Rel. 34-27849). Publication of proposed rule change is expected in the Federal Register during the week of April 2, 1990.

### PROPOSED RULE CHANGE

The MBS Clearing Corporation and the Boston Stock Exchange Clearing Corporation have filed proposed rule changes (SR-MBSCC-90-01 and SR-BSECC-90-01, respectively) under Section 19(b) of the Securities Exchange Act to provide for membership of MBSCC and BSECC in the Securities Clearing Group. (Rel. 34-27847) Publication of the notice is expected in the Federal Register during the week of March 26, 1990.

## SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

- S-1 TMI CORP, 100 CRESCENT CT STE 650, DALLAS, TX 75201 (214) 871-5588 - 1,725,000 (\$10,350,000) COMMON STOCK. 120,000 (\$90,000) COMMON STOCK. 150,000 (\$15) WARRANTS, OPTIONS OR RIGHTS. UNDERWRITER: COMMONWEALTH ASSOCIATES. (FILE 33-33864 - MAR. 20) (BR. 6 - NEW ISSUE)
- S-4 FIRST GWINNETT BANCSHARES INC, 5935 BUFORD HWY, NORCROSS, GA 30071 (404) 368-2200 - 380,150 (\$7,595,397) COMMON STOCK. (FILE 33-33893 - MAR. 19) (BR. 1 - NEW ISSUE)
- S-8 BE HOLDINGS INC, P O BOX 500, SOUTH MILWAUKEE, WI 53172 (414) 768-4000 - 45,000 (\$675,000) PREFERRED STOCK. 1,000,000 (\$650,000) STRAIGHT BONDS. 60,000 (\$150,000) WARRANTS, OPTIONS OR RIGHTS. (FILE 33-33896 - MAR. 20) (BR. 10)
- S-8 ENSTAR GROUP INC, 2400 PRESIDENTS DR, MONTGOMERY, AL 36116 (205) 270-7100 - 400,000 OTHER SECURITIES INCLUDING VOTING TRUST. (FILE 33-33897 - MAR. 21) (BR. 5)
- S-8 TCA CABLE TV INC, 3015 S E LOOP 323, TYLER, TX 75701 (214) 595-3701 - 1,000,875 (\$1,000,875) OTHER SECURITIES INCLUDING VOTING TRUST. 50,000 COMMON STOCK. (FILE 33-33898 - MAR. 20) (BR. 7)
- F-6 TEVA PHARMACEUTICAL INDUSTRIES LTD /ADR/, 120 BROADWAY, C/O BANK LEUMI TRUST CO OF NEW YORK, NEW YORK, NY 10271 (212) 602-9367 - 24,000,000 (\$960,000) DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 33-33913 - MAR. 16) (BR. 99)
- S-3 PROCTER & GAMBLE CO, ONE PROCTER & GAMBLE PLZ, CINCINNATI, OH 45202 (513) 983-1100 - 350,000,000 (\$350,000,000) STRAIGHT BONDS. (FILE 33-33927 - MAR. 20) (BR. 13)
- S-4 FIRST COMMONWEALTH FINANCIAL CORP /PA/, OLD COURTHOUSE SQUARE, 22 N SIXTH ST, INDIANA, PA 15701 (412) 349-7220 - 650,000 (\$7,319,400) COMMON STOCK. (FILE 33-33928 - MAR. 20) (BR. 13)
- S-3 GENERAL SIGNAL CORP, HIGH RIDGE PARK, STAMFORD, CT 06904 (203) 357-8800 - 225,000,000 (\$225,000,000) STRAIGHT BONDS. (FILE 33-33929 - MAR. 22) (BR. 13)
- S-4 OHIO BANCORP, 801 DOLLAR BANK BLDG, FEDERAL PLZ, YOUNGSTOWN, OH 44503 (216) 744-2093 - 250,000 (\$5,120,000) COMMON STOCK. (FILE 33-33951 - MAR. 20) (BR. 2)
- S-3 EDISTO RESOURCES CORP, 2121 SAN JACINTO ST STE 2600, DALLAS, TX 75201 (214) 880-0243 - 1,591,743 (\$25,372,383.42) COMMON STOCK. (FILE 33-33952 - MAR. 21) (BR. 3)
- S-8 AIRGAS INC, 1100 N MARKET ST STE 780, WILMINGTON, DE 19081 (215) 687-5253 - 750,000 (\$12,515,625) COMMON STOCK. (FILE 33-33954 - MAR. 21) (BR. 10)
- S-1 HEALTHINFUSION INC, 5200 BLUE LAGOON DR STE 200, MIAMI, FL 33126 (305) 267-1177 - 920,000 (\$7,360,000) COMMON STOCK. (FILE 33-33955 - MAR. 21) (BR. 6)
- S-1 MOUNTAINTOP CORP, ONE NORWALK WEST, 40 RICHARDS AVE, NORWALK, CT 06854 (203) 855-3090 - 920,000 (\$4,600,000) COMMON STOCK. 5,520,000 (\$13,800,000) COMMON STOCK. 80,000 (\$80) WARRANTS, OPTIONS OR RIGHTS. 80,000 (\$480,000) COMMON STOCK. 480,000 (\$1,200,000) COMMON STOCK. (FILE 33-33979 - MAR. 21) (BR. 11 - NEW ISSUE)
- S-8 NEWBRIDGE NETWORKS CORP, 600 MARCH ROAD, PO BOX 13600, KANATA ONTARIO CANADA K2K 2E6, A6 (613) 591-3600 - 3,212,434 (\$27,305,689) COMMON STOCK. (FILE 33-33981 - MAR. 21) (BR. 7)
- S-1 TUDOR FUND FOR EMPLOYEES LP, ONE LIBERTY PLZ 51ST FLR, NEW YORK, NY 10006 (212) 602-6700 - 10,000 (\$10,000,000) LIMITED PARTNERSHIP CERTIFICATE. UNDERWRITER: SEVENTH MANAGEMENT INC. (FILE 33-33982 - MAR. 21) (BR. 12 - NEW ISSUE)
- S-4 SYSTEMS CENTER INC /DE/, 1800 ALEXANDER BELL DR, RESTON, VA 22091 (703) 264-8000 - 2,977,129 (\$2,661,386) COMMON STOCK. 2,977,129 WARRANTS, OPTIONS OR RIGHTS. (FILE 33-33983 - MAR. 21) (BR. 9)

## REGISTRATIONS EFFECTIVE

Jan. 25: FNB Rochester Corp, 33-29450.

Mar. 8: Corona Corporation, 33-33471.

Mar. 9: Arianne Foundation Corp, 33-31012-A; and GND Holdings Corporation, 33-32879 and 22-20888.

Mar. 12: Atlanta Commercial Real Estate Fund, L.P., 33-27857-A; and Fidelity Leasing Income Fund VII, L.P., 33-32681.

Mar. 13: Ajay Sports, Inc., 33-30760.

Mar. 14: Bank of the West, 33-33531; BW Trust 1990-1, 33-33531-01; Columbia Bancorp, 33-32715; Excel Realty Trust, Inc., 33-7621; First Eastern Corp., 33-32766; and Springfield Capital Corporation, 33-32633-D.

Mar. 15: The Preferred Income Fund III Limited Partnership, 33-32804.

Mar. 16: MAS Pooled Trust Fund, 2-89729.

Mar. 19: MBNA America Bank, N.A., MBNA Credit Card Trust 1990-A, 33-33785; TECO Energy, Inc., 33-33638, 22-20134; and Tenera, L.P., 33-33711.

Mar. 20: Aircraft Income Partners II L.P., 33-26973; Brauvin High Yield Fund L.P. II, 33-21828; Caterpillar Inc, 33-33790, 22-20144; Continental Medical Systems, Inc., 33-33084; Crestar Financial Corporation, 33-32812, 22-20046; Glickenhau & Co. and Lebenthal & Co. Inc, Depositors of: Empire State Municipal Exempt Trust Guaranteed Series 55, 33-32434; Louisiana Power & Light Company, 33-33607, 22-2-131 and 22-20132; Madison Bond Fund, Inc., 33-31800; and The Travelers Insurance Company, 33-33691.

Mar. 21: Beverly Enterprises, Inc., 33-33052; Calgene, Inc., 33-33816; Citibank (Nevada), National Assoc., 33-33861-01; Citibank (Nevada), National Assoc., 33-33860-01; Citibank (South Dakota), N.A. Standard Credit Card Trust 1990-2, 33-33860, 33-33860-02, respectively; Citibank (South Dakota), N.A. Standard Credit Card Trust 1990-3, 33-33861, 33-33861-02, respectively; CLIAC Separate Account A of Confederation Life Insurance and Annuity Company, 33-31143; Electroground Group, Inc., 33-32467; Household Finance Corporation 33-33834, 22-20161; Kingdom of Spain, 33-25714; McCaw Cellular Communications, Inc., 33-32874; Mestek, Inc., 33-33735; Republic New York Corporation, 33-33385; Smith Barney Harris Upham & Co., Inc. and Kidder, Peabody & Co., Inc. Depositors of: Tax Exempt Securities Trust, Series 317 and Series 318, 33-32508; and Storage Technology Corporation, 33-28686.

Mar. 22: Atwood Oceanics, Inc, 33-33585; BET 2 L.P., 33-25984; Clayton Brown and Associates Inc., Sponsors of Templeton Growth and Treasury Trust, Series 1, 33-31084; G.T. Greater Europe Fund, 33-32595; Gwinnett Bancshares, Inc., 33-32799; Ithaca Bancorp, Inc., 33-32745; Keycorp, 33-32721, 22-20028, 22-20029; Massachusetts Mutual Variable Life Separate Account I, 33-32361; Mellon Bank Corporation, 33-33395; Mellon Bank Corporation, 33-33836; Nuveen Municipal Market Opportunity Fund, Inc., 33-33260; Orbital Sciences Corporation, 33-33435; Pro-Fac Cooperative, Inc., 33-32921; St. Joe Paper Company, 33-33402; The Connecticut National Bank Shawmut National 1990-A Grantor Trust, 33-33437; The Connecticut National Bank Shawmut National Credit Card Turst 1990-A, 33-33514; and The Proctor & Gamble Company, 33-33927, 22-20189.

Mar. 23: Arizona Public Service Company, 33-33910; CML Church Mortgage, Inc., 33-33463, 22-20192; Equifund-Wright National Fiduciary Equity Funds, 33-30085; Federated Income Trust, 33-33591; Go-Video, Inc., 33-33764; Idex Corporation, 33-21205; and Organogenesis Inc., 33-33914.

Mar. 26: Alameda Bancorporation, Inc., 33-33422; Aldolph Coors Company, 33-33831, 22-20150; IDS/Shugard Income Growth Partners LP III, 33-25729-01; Midland Enterprises, Inc., 33-32120, 22-19932; Orbit Instrument Corporation, 33-33738; Pennzoil Company, 33-33903; and Tokos Medical Corporation, 33-33340.

Mar. 27: Public Service Company of North Carolina, Incorporated, 33-27384; and TF Consolidation, Inc., 33-33944.

## RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.

The companies listed below have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. Copies of the reports may be purchased from the Commission's Public Reference Room (when ordering, please give the date of the report). An invoice will be included with the requested material when mailed.

NAME OF ISSUER	STATE CODE	8K ITEM NO.								DATE	COMMENT
		1	2	3	4	5	6	7	8		
AGROLIFE CORP	DE				X				X	01/16/90	
ASTRO STREAM CORP	DE	X			X					03/06/90	
CHARIOT GROUP INC	DE	NO ITEMS								03/15/90	
CINCINNATI BELL INC /OH/	OH				X				X	03/14/90	
CLARK COUNTY PROPERTIES 5	WA				X					12/01/90	
COMMAND CREDIT CORP	NY				X					03/20/90	
CONNECTICUT ENERGY CORP	CT				X					03/19/90	
CORESTATES FINANCIAL CORP	PA	X							X	03/05/90	
CRAIG CORP	DE				X				X	03/09/90	
DEL PAINT CORP	OK	X	X		X				X	03/12/90	
DELTA PETROLEUM CORP/CO	CO				X					03/20/90	
ECL INDUSTRIES INC /DE/	DE				X				X	03/19/90	
EMERSON RADIO CORP	NJ				X					03/16/90	
EQUITEC FINANCIAL GROUP INC	CA				X					02/28/90	
FBS MORTGAGE CORP MORTGAGE PASS THR CERT	NV	NO ITEMS								03/12/90	
FBS MORTGAGE CORP MORTGAGE PASS THR CERT	NV	NO ITEMS								03/12/90	
FBS MORTGAGE CORP MORTGAGE PASS THR CERT	NV	NO ITEMS								03/12/90	
FBS MORTGAGE CORP MORTGAGE PASS THR CERT	NV	NO ITEMS								03/12/90	
FBS MORTGAGE CORP MORTGAGE PASS THR CERT	NV	NO ITEMS								03/12/90	
FBS MORTGAGE CORP MORTGAGE PASS THR CERT	NV	NO ITEMS								03/12/90	
FCS LABORATORIES INC	AZ				X					03/08/90	
FIRST AMARILLO BANCORPORATION INC	TX				X					02/14/90	
FIRST SECURITY 1988-A GRANTOR TRUST	NY	NO ITEMS								03/19/90	
FIRST USA CREDIT CARD TRUST 1989-A	DE				X				X	03/15/90	
GATX LEASING CORP	DE				X				X	03/20/90	
GRACO INC	MN				X				X	03/09/90	
GRAHAM FIELDS HEALTH PRODUCTS INC	DE	X							X	03/05/90	
GREAT NORTHERN NEKOOSA CORP	ME	X							X	03/20/90	
HEALTHCARE SERVICES GROUP INC	PA				X					03/20/90	
HERTZ CORP	DE				X				X	03/07/90	
HIBERNIA CORP	LA				X					03/19/90	
HONDO OIL & GAS CO	DE	X							X	03/14/90	
INCOME GROWTH PARTNERS LTD X	CA	X							X	03/05/90	
INTERNATIONAL PROTEINS CORP	NY	X							X	02/28/90	
KINMINS ENVIRONMENTAL SERVICE CORP	DE				X					03/08/90	
KRUPP YIELD PLUS LIMITED PARTNERSHIP	MA	X							X	03/06/90	
LOWAS CREDIT CARD BACKED NOTES SERIES 19					X				X	03/15/90	
MEDICAL ACTION INDUSTRIES INC	DE				X				X	03/14/90	
MEDICAL DEPOT INC	DE				X				X	03/16/90	
MMR HOLDING CORP	DE				X				X	03/15/90	
MTG CAPITAL CORP	DE				X					03/13/90	
NEECO INC	MA	X							X	03/07/90	
NET 2 L P	DE	X							X	03/05/90	
NEW VISIONS ENTERTAINMENT CORP	DE				X				X	03/05/90	
NUMERICA FINANCIAL CORP	NH				X					03/08/90	
NYLIFE GOVERNMENT MORTGAGE PLUS LIMITED P	MA				X				X	02/21/90	
ORFA CORP OF AMERICA	DE				X	X	X			03/15/90	
PENTAIR INC	IN				X				X	03/06/90	

NAME OF ISSUER	STATE CODE	OR ITEM NO.								DATE	COMMENT
		1	2	3	4	5	6	7	8		
PEAK VENTURES LTD	DE				X					03/16/90	
PORTLAND GENERAL CORP /OR	OR					X				03/12/90	
PORTLAND GENERAL ELECTRIC CO /OR/	OR					X				03/12/90	
PRIMERICA CORP /NEW/	DE						X			03/01/90	
PROFIT TECHNOLOGY INC	DE							X		01/29/90	AMEND
PROGRESSIVE BANK INC	NY					X				03/13/90	
PUBCOA INC	NY									12/29/89	AMEND
PUBLISHERS EQUIPMENT CORP	TX				X					12/05/89	AMEND
QUANTECH ELECTRONICS CORP	NY					X				03/14/90	
RECOTON CORP	NY							X		12/29/89	AMEND
REPUBLIC CAPITAL GROUP INC	WI									12/30/89	AMEND
RESIDENTIAL FUNDING MORTGAGE SECURITIES	DE				X					03/06/90	
RESIDENTIAL RESOURCES INC	AZ					X				03/16/90	
ROCHESTER COMMUNITY SAVINGS BANK	NY						X			03/15/90	
SAFE AID PRODUCTS INC	DE							X		03/16/90	
SEARS MORT SEC CORP MULT CLA MORT PAS TN	DE					X		X		02/28/90	
SHAWMUT NATIONAL CORP	DE						X	X		03/20/90	
SHAWMUT NATIONAL 1988 A GRANTOR TRUST	DE							X		03/01/90	
SILICON GRAPHICS INC /CA/	DE						X	X		01/18/90	
SILICON GRAPHICS INC /CA/	DE						X	X		03/02/90	
SOMERHAVEN CORP	DE	X	X					X		03/02/90	
SOONER ENERGY CORP	DE					X		X		02/01/90	
SOUTHEAST BANK CREDIT CARD TRUST 1980 A	DE							X		03/15/90	
SOUTHEAST BANK NATIONAL ASSOCIATION	DE							X		03/15/90	
SOUTHLAND CORP	TX						X	X		03/21/90	
ST PAUL BANCORP INC	DE						X			03/15/90	
PREFERRED HOMECARE OF AMERICA INC	FL		X					X		03/09/90	
RCSB FASTBACS 1988 IF GRANTOR TRUST	DE							X		03/15/90	
RCSB 1989-A GRANTOR TRUST	DE					X		X		03/15/90	
REPCO INC	DE					X		X		03/01/90	
ROATAN FUNDING INC	DE	X	X					X		03/05/90	
SERVICE RESOURCES CORP	FN						X	X		03/19/90	
SPINNAKER SOFTWARE CORP/NN	NN						X	X		03/15/90	
TELNETEX LTD	NY					X		X		03/07/90	AMEND
TRANSAMERICA OCCIDENTAL LIFE INSURANCE C	CA						X	X		03/15/90	
TRANSNET CORP	DE					X		X		03/06/90	
TRC COMPANIES INC /DE/	DE							X		03/19/90	
ULTIMATE CORP	NJ					X				03/13/90	
UNICOMP INC	CO		X					X		02/28/90	
UNITED ILLUMINATING CO	CT						X			03/20/90	
UNIVERSITY GENETICS CO	DE	X	X					X	X	01/09/90	
VERIT INDUSTRIES	CA					X				11/28/89	AMEND
WARWICK INSURANCE MANAGERS INC	NJ						X	X		03/02/90	
3CI INC /DE/	DE							X		03/09/90	