

sec news digest

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Issue 77-164

August 24, 1977

U.S. SECURITIES AND EXCHANGE COMMISSION

NOTICE OF COMMISSION MEETINGS

Following is a schedule of Commission meetings which will be conducted pursuant to provisions of the Government in the Sunshine Act. In general, the Commission expects to follow a schedule of holding closed meetings on Tuesdays, and open meetings on Thursday mornings. Meetings on Wednesday, and if necessary on Thursday afternoons, will be either open or closed according to the requirements of agenda items under consideration. The Commission will not normally meet on Mondays or Fridays.

Visitors are welcome at all open meetings, insofar as seating is available.

Meetings will be held in the Commission Meeting Room on the eighth floor of the Commission's headquarters building at 500 North Capitol Street, Washington, D.C. All visitors are required to sign in and obtain passes at the Reception Desk in the lobby. Persons wishing to photograph or tape record Commission meetings must obtain permission in advance from the Secretary of the Commission.

CLOSED MEETING - Tuesday, August 30, 1977 - 10 a.m.

The subject matter of the August 30, 10 a.m. closed meeting will be: Formal orders of investigation; Institution of injunctive actions; Offers of settlement of injunctive actions and administrative proceedings; Request for confidential treatment; Termination of injunctive action; Referral of investigative files to Federal, State or Self Regulatory authorities; Authorization of former staff members to testify; Consideration of *amicus curiae* matter; Freedom of Information Act appeals; Discussion of Rule 2(e) proceeding; Other litigation matters.

OPEN MEETING - Tuesday, August 30, 1977 - 2:30 p.m.

The subject matter of the August 30 open meeting will be: Oral argument on an application filed by John C. Gebura for a review of the disciplinary action taken against him by the National Association of Securities Dealers.

CLOSED MEETING - Tuesday, August 30, 1977 - following 2:30 Open Meeting

The subject matter of the August 30 closed meeting to be held following the 2:30 Open Meeting will be: Post-oral argument discussion.

OPEN MEETING - Wednesday, August 31, 1977 - 10 a.m.

The subject matter of the August 31 open meeting will be:

- (1) Consideration of a petition filed by Steadman Security Corporation requesting an extension of the forty-five day period which management companies now have to transmit annual reports to shareholders.
- (2) Consideration of the amendment of the Commission's Statement of Policy relating to investment company sales literature.
- (3) Consideration of interpretive release concerning the bearing of distribution expenses by mutual funds. (Rescheduled from Tuesday, August 23, 1977, at 9 a.m.).
- (4) Consideration of an application filed by Midwest Stock Exchange Incorporated for Commission Review of the Denial of Access to the Common Message Switch ("CMS") of the Securities Industry Automation Corporation. (CMS is an automated data processing device).
- (5) Consideration of the adoption of Rule 17Ac3-1 and Related Form TA-W, which prescribe procedures to be used for withdrawing the registrations of transfer agents registered with the Commission.
- (6) Consideration of a submission by Securities Investor Protection Corporation proposing to repeal a bylaw requiring quarterly meetings of the Board of Directors.

(7) Consideration of an extension of time for concluding proceedings in connection with the applications for registrations of the following clearing agencies: The Depository Trust Company; Bradford Securities Processing Services, Inc.; Stock Clearing Corporation of Philadelphia; Boston Stock Exchange Clearing Corporation; Midwest Securities Trust Company; The Options Clearing Corporation; Midwest Clearing Corporation; Pacific Securities Depository Trust Company; Pacific Clearing Corporation and TAD Depository Corporation.

(8) Consideration of a proposed rule change filed by the American Stock Exchange, Inc. to establish alternate criteria for the original listing of common stock.

(9) Consideration of the publication of a list of foreign issuers which have submitted information required by the exemption relating to certain foreign securities under the Securities Exchange Act of 1934.

FOR FURTHER INFORMATION CONTACT: John P. Sweeney at (202) 376-8003 or Susan E. Auerbach at (202) 376-8097.

CHANGES IN MEETING: RESCHEDULING OF AGENDA LISTINGS

The open meeting scheduled for Tuesday, August 23, 1977, at 9 a.m. has been rescheduled for Wednesday, August 31, 1977, at 10 a.m.

The closed meeting agenda for Wednesday, August 24, 1977, at 3 p.m. will be considered by the Commission on Tuesday, August 30, 1977, at 10 a.m., except for the following closed matters which will be discussed in closed session, immediately following the open meeting scheduled for Thursday, August 25, 1977, at 10 a.m.: Consideration of amicus curiae matter; and Litigation matter.

INVESTMENT COMPANY ACT RELEASES

CAPITAL LIQUIDITY, INC.

A notice has been issued giving interested persons until September 19 to request a hearing on an application filed by Capital Liquidity, Inc., an open-end, diversified management investment company, for an order declaring that it has ceased to be an investment company. (Rel. IC-9902 - August 22)

TUDOR INDUSTRIES CORPORATION

A notice has been issued giving interested persons until September 16 to request a hearing on an application of Tudor Industries Corporation for an order that it has ceased to be an investment company. (Rel. IC-9903 - August 22)

SELF-REGULATORY ORGANIZATIONS

APPROVAL OF PROPOSED RULE CHANGE

The Commission has approved a proposed rule change filed by the Pacific Stock Exchange Incorporated (SR-PSE-77-10) regarding the non-applicability to market makers of the rule requiring members to give up the name of the clearing member through whom a transaction is executed; definition of stop-loss orders; conducting accomodation liquidations; obligations of market makers in making bids and offers; split transactions as contingent orders; and floor citations. (Rel. 34-13883)

MISCELLANEOUS

ARTHUR ANDERSEN & CO.

The Commission today issued an order granting the application of Arthur Andersen & Co. for an exemption from the registration requirements of the Securities Act of 1933 for interests or participation in the Arthur Andersen & Co. Partners' Profit Sharing Plan and the Arthur Andersen & Co. Partners' Profit Sharing Trust. (Rel. 33-5857)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC pursuant to the Securities Act of 1933. The information noted below has been taken from the cover page and the facing sheet of the prospectus and registration statement and will appear as follows: Form; Name, address and phone number (if available) of the issuer of the security; Title and the number or face amount of the securities being offered; Name of the managing underwriter (if applicable); Whether the offering is a rights offering; and File number and date filed.

- (S-1) EMERSON RADIO CORP., 60 Enterprise Avenue North, Secaucus, N.J. 07094 - \$7,500,000 of senior sinking fund debentures, due 1992, and 37,500 shares of common stock. Underwriter: Drexel Burnham Lambert Inc. (File 2-59732 - August 23)
- (S-14) PEPSICO, INC., Purchase, N.Y. 10577 (914-253-2000) - 13,378,296 shares of capital stock. (File 2-59733 - Aug. 23)
- (S-8) THE DAYTON POWER AND LIGHT COMPANY, Courthouse Plaza Southwest, Dayton, Ohio 45401 - 500,000 shares of common stock. (File 2-59735 - August 23)
- (S-7) CENTRAL ILLINOIS LIGHT COMPANY, 300 Liberty St., Peoria, Ill. 61602 (309-672-5271) - 150,000 shares of preferred stock, cumulative (\$100 par). (File 2-59735 - August 23)
- (S-14) WESTERN PREFERRED CORPORATION, P.O. Box 22293, Denver, Colo. 80222 - 379,092 shares of Series C preferred stock. (File 2-59738 - August 23)

ACQUISITIONS OF SECURITIES

Companies and individuals must report to the Commission within 10 days on Schedule 13D if after the acquisition of equity securities of a public company their beneficial interest therein exceeds 5%. The following companies or individuals filed Schedule 13D's during the period August 10 - 22, 1977. The number of shares of the security which are beneficially owned, and the number of shares concerning which there is a right to acquire are set forth for each beneficial owner.

<u>REPORTING COMPANY OR INDIVIDUAL</u>	<u>ISSUER & NUMBER OF SHARES</u>	<u>DATE FILED</u>
Union Oil Company of California	Kawecki Berylco Industries, Inc. (NYC) Common Stock - 1,570,000 shs. (49%) (Above shares are owned by Molycorp. Inc., a wholly-owned subsidiary of Union Oil.)	8-10-77
Protective Committee of Medalist Industries: C. James Elifritz Glaur Leitzke Douglas A. Buddenhagen Munro M. Grant David R. Mars	Medalist Industries, Inc. (Milwaukee, WI) Common Stock - 214,735 shs. (13%) (In addition, the group owns 1,010 shares Class D Convertible Preferred Stock.)	8-10-77
* Douglas B. Fletcher, Individually and as Trustee	Angeles Corporation (Los Angeles, CA) New Common Stock - 65,177 shs. (Included above are shares owned by his immediate family.)	8-10-77
* Airco, Inc.	Unitek Corporation (Monrovia, CA) Common Stock - 257,053 shs.	8-10-77
* James E. Lavasque	Oakwood Homes Corp. (Greensboro, NC) Common Stock - 304,161 shs. (Included above are shares owned by his wife.)	8-10-77
* Alan J. Brown	Genisco Technology Corp. (Orange, CA) Common Stock - 78,900 shs.	8-10-77
* Don Curtis Whitaker, Individually and as Custodian Corinne Cooper John B. Gilbert Howard W. Hill, et al	Hawthorne Financial Corp. (Hawthorne, CA) Common Stock - 79,000 shs.	8-10-77

Acquisition Reports Cont.

* Midhurst Corp.	Camco, Inc. (Houston, TX) Common Stock - 416,100 shs. Common Stock - 250,000 shs. on conversion of Debentures	8-10-77
* Yates Industries, Inc.	Electro-Nite Co. (Philadelphia, PA) Common Stock - 90,639 shs.	8-10-77
Hugo Mann, Trustee for Mann GmbH (West Germany)	Vornado, Inc. (Garfield, NJ) Common Stock - 2,286,112 shs. Common Stock - 762,037 shs. on exercise of Rights (Above shares were acquired pursuant to Plan of Reorganization of Fed-Mart Corp. which was merged into Vornado. In lieu of cash payments, shareholders received 1½ shares of Vornado and 1 short Term Right to purchase an additional ½ share of Vornado.)	8-11-77
Roy Frank Mitte	Financial Industries Corp. (Auston, TX) Common Stock - 166,128,352 shs. (9.99%)	8-11-77
Brent D. Baird Anne S. Baird, Custodian and Trustee Jane D. Baird Aries Hill Corp., et al	National Mortgage Fund, (Rocky River, OH) Shares of Beneficial Interest - 186,600	8-11-77
* American Resources Ltd. (Wholly-owned subsidiary of First Republic Life Insurance Company) First Republic Life Insurance Co. (Subsidiary of American Capital Group, Ltd.) Fidelity Mortgage Co. Roger J. LeBlanc, et al	American Public Life Insurance Co. (Jackson, MS) Class A Common Stock - 427,992 shs.	8-11-77
* Plato A. Mavroulis	Kinnard Companies, Inc. (Minneapolis, MN) Common Stock - 51,552 shs. (13.6%)	8-11-77
Goldman, Sachs & Co.	Marine Colloids, Inc. (Rockland, ME) Common Stock - 72,635 shs.	8-12-77
Trans-World Holding Corp.	Four Star International, Inc. (Beverly Hills, CA) Common Stock - 75,000 shs.	8-12-77
Ellis N. Davidson	Security International Corp. (Fargo, ND) Common Stock - 29,133 shs.	8-12-77
* Transco Realty Trust	Hospital Mortgage Group (No. Miami, FL) Shares of Beneficial Interest - 176,500	8-12-77
* Selim K. Zilkha (England) Ezra K. Zilkha Zilkha & Sons, Inc.	Newhall Land & Farming Co. (Valencia, CA) Common Stock - 428,000 shs.	8-12-77
* William Wrigley	Chicago National League Ball Club (Chicago, IL) Common Stock - 8,137 shs. (81%) (Mr. Wrigley has succeeded Helen A. Wrigley as Trustee of the Philip K. Wrigley Christmas Trust for Helen A. Wrigley. Mr. Wrigley has been appointed Executor of the Will of Helen A. Wrigley.)	8-12-77
* Care Trust	General Real Estate Shares (Detroit, MI) Common Stock - 9,700 shs.	8-12-77

Acquisition Reports Cont.

* Lee Gray	Transco Realty Trust (Boston, MA) Shares of Beneficial Interest - 73,150 Shares of Beneficial Interest - 2,900 on exercise of Warrants (In addition, Mr. Gray has been granted Options to purchase 19,000 shares.)	8-12-77
* William Wrigley, Executor of Estate of Philip K. Wrigley	Wm. Wrigley Jr. Company (Chicago, IL) Common Stock - 998,894.3139 shs. (25.4%) (Mr. Wrigley has been appointed Executor of the Will of Helen A. Wrigley. In addition, on the deaths of Philip K. and Helen A. Wrigley, Mr. Wrigley became trustee of certain trusts.)	8-12-77
Lee Davies (Republic of Ireland) Interholdings Corporation, S.A. (Panama)	Fashion Fabrics, Inc. (Salt Lake City, UT) Common Stock - 690,000 shs. (32.7%) on exercise of Rights Preferred Stock - 94,300 shs. (100%)	8-15-77
Chadwick Allen Wells	Timberline Minerals, Inc. (Dubois, WY) Common Stock - 611,558 shs. (Included above are shares owned by his wife.)	8-15-77
H. Harry Bresky	Halco Products Corp. (NYC) Common Stock - 140,000 shs.	8-15-77
Otto Burton Wells	Timberline Minerals, Inc. (Dubois, WY) Common Stock - 600,000 shs.	8-15-77
Marjorie L. Everett Neil Papiano, Individually and as Trustee Wilbur A. Everett Vernon O. Underwood, Individually and as Trustee	Hollywood Park, Inc. (Inglewood, CA) Common Stock - 319,407 shs. (13.25%)	8-15-77
* David A. Wolf Robert M. Blatt David A. Wolf Associates	First Fidelity Investment Trust (Kansas City, MO) Shares of Beneficial Trust - 51,986	8-15-77
* Compagnie Industrielle et Financiere des Produits Amylaces S.A. (Luxembourg)	A. E. Staley Manufacturing Co. (Decatur, IL) Common Stock - 891,084 shs.	8-15-77
* Fuji Heavy Industries, Inc. (Japan)	Subaru of America, Inc. (Pennsauken, NJ) Common Stock - 2,426,666 shs.	8-15-77
* I.C.H. Corp.	Modern American Life Insurance Co. (Springfield, MO) Common Capital Stock - 297,330 shs. (22.3%)	8-15-77
* Charter Company	Louisiana and Southern Life Insurance Co. (Jacksonville, FL) Common Stock - 614,457 shs. (53%)	8-15-77
* Pacific Holding Corp.	International Mining Corp. (NYC) Common Stock - 1,785,151 shs. (73%)	8-16-77
* CSC Capital Corp. (Wholly-owned subsidiary of Capital Southwest Corp.)	Tejas Gas Corp. (Corpus Christi, TX) Common Stock - 108,875 shs.	8-16-77
* Printon, Kane & Co.	Jostens, Inc. (Minneapolis, MN) 4-3/4% Convertible Debentures - \$1,966,000	8-16-77
* Joel Greenberg Riverside Foods, Ltd.	Bluebird, Inc. (Philadelphia, PA) Common Stock - 863,900 shs. (15%)	8-16-77

Acquisition Reports Cont.

Evelyn Green Davis, Trustee S. William Green, Indi- vidually and as Trustee Cynthia Colin, Trustee	Starrett Housing Corp. (NYC) Common Stock - 346,680 shs. (17.8%)	8-17-77
* Edward Elliman	Douglas L. Elliman & Co. (Inc.) (NYC) Common Stock - 221,306 shs.	8-17-77
* Paul S. Levy Philip Levy	Metrocare, Inc. (St. Petersburg, FL) Common Stock - 121,877 shs.	8-17-77
Morgan Guaranty Trust Company of New York (Wholly-owned subsidiary of J. P. Morgan & Co. Inc.)	Bowmar Instrument Corp. (Fort Wayne, IN) Common Stock - 346,309 shs. (Above shares will be received within 90 days after confirmation as a Class II Creditor in Chapter XI Arrangement.)	8-18-77
Kewnew Inc. (Wholly-owned subsidiary of Gulf Oil Corp.)	Kewanee Industries, Inc. (Bryn Mawr, PA) Ordinary Common Stock - 2,260,913 shs. Convertible Common Stock - 1,115,102 shs. (Above shares were purchased from Executrix of the Estate of William Wikoff Smith and Co-Trustees.)	8-18-77
David T. Chase	TeleConcepts Corp. (W. Hartford, CT) Common Stock - 22,000 shs. (In addition, Mr. Chase also owns a warrant to purchase 38,500 shares.)	8-18-77
* Holding Corporation of America	Bankers Union Life Insurance Co. (Denver, CO) Common Stock - 123,998 shs. (52.7%)	8-18-77
* High Voltage Engineering Corp.	Eberline Instrument Corp. (Santa Fe, NM) Common Stock - 204,500 shs.	8-18-77
* Roger J. LeBlanc Commonwealth Securities Corp. American Capital Group, Ltd. et al	American Commonwealth Financial Corp. (Baton Rouge, LA) Common Stock - 1,327,617 shs.	8-18-77
Taft Broadcasting Co.	Kinder-Care Learning Centers, Inc. (Montgomery, AL) Common Stock - 150,000 shs. (Above shares will be purchased by Taft as per Agreement. Taft will also purchase 88,506 shares from certain selling shareholders.)	8-19-77
Halco Products Corporation	Tobin Packing Co., Inc. (Rochester, NY) Common Stock - 311,003 shs. (35%)	8-19-77
Aglais D. Mauzy, Trustee	Pizza Inn, Inc. (Dallas, TX) Common Stock - 230,137 shs. (11%)	8-19-77
Herman Lee Roger Pitsenbarger Miles Burd Sam Lykken, et al (Bio-Medicus, Inc. Share- holders Committee)	Bio-Medicus, Inc. (Minnetonka, MN) Common Stock - 592,550 shs.	8-19-77
Litex Incorporated	Unimed, Inc. (Somerville, NJ) Common Stock - 11,700 shs.	8-19-77
* Cullman Ventures, Inc.	Keith Clark, Inc. (Sidney, NY) Common Stock - 318,900 shs. Common Stock - 225,000 shs. on conversion of Notes (Above shares were previously held by VIC Corporation, a wholly-owned subsidiary.)	8-19-77

Acquisition Reports Cont.

* Alan E. Shalov	Alanthus Corp. (Stamford, CT) Common Stock - 194,841 shs. 6% Convertible Debentures - \$17,000 (12,466 of above shares are held by Alanthus Corp. Employee Stock Ownership Trust for his account. Also included above are shares owned by his wife.)	8-19-77
* Don L. Wilhelm Steve J. Demetrian G. Wade Broch Arthur H. Stonebraker, et al	Diversified Financial Corp. (East Kokomo, Ind.) Common Stock - 191,475 shs.	8-19-77
* Pricel (France) Prixilla S.A. (Switzerland) Finco Ltd. (Channel Islands)	Dymo Industries, Inc. (San Francisco, CA) Common Stock - 716,326 shs. (27%)	8-19-77
Butler Company Larry G. Davis Gerald R. Smith A. Donald Duncan, et al	Butler National Corp. (Lenexa, KS) Common Stock - 1,086,667 shs. (Group has the right to acquire 500,000 additional shares of Common Stock.)	8-22-77
* Amended Acquisition Report		

The following have made offers to acquire stock of companies listed below pursuant to tender offers as reported to the Commission on Schedule 13D:

<u>OFFER TO PURCHASE BY:</u>	<u>ISSUER & NUMBER OF SHARES TO BE PURCHASED</u>	<u>DATE FILED</u>
J. Ray McDermott & Co., Inc.	Babcock & Wilcox Co. (NYC) Common Stock - 4,300,000 shs. for cash at \$55.00 net per share (McDermott presently owns 1,205,600 shares.)	8-15-77
* United Technologies Corp.	Babcock & Wilcox Company (NYC) Common Stock - All outstanding shares for cash at \$55.00 net per share (The amended offer increased the price from \$48.00 net per share.)	8-18-77
McCulloch Oil Exploration, Inc. (Wholly-owned subsidiary of McCulloch Oil & Gas Corp.)	IDS/McCulloch Oil Exploration Program--1972 (L.A., CA) Limited Partnership Interests - All \$1,000 Units (On basis of a \$1,000 Investment (One Unit), the Purchase Price in each Limited Partnership has been calculated to be: Partnership A - \$587.18 Partnership B - .52 Partnership C - 13.07	8-19-77
Gelco Corporation	International Couriers Corp. (Chicago, IL) Common Stock - All outstanding shares for cash at \$18.00 net per share (Gelco presently owns 1,014,962 shares (35%))	8-19-77
* J. Ray McDermott & Co., Inc.	Babcock & Wilcox Co. (NYC) Common Stock - 4,300,000 shs. for cash at \$60.00 net per share (The amended offer increased the price from \$55.00 net per share.)	8-19-77
* Amended Tender Offer		

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events: ^{*/}

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Financial Statements and Exhibits.

The companies listed below have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. Copies of the reports may be purchased from the Commission's Public Reference Section (in ordering, please give the date of the report). An invoice will be included with the requested material when mailed.

COMPANY	ITEM NO.	DATE
AMERICAN GENERAL INSURANCE CO	5	07/25/77
AMERICAN GUARANTY CORP RHODE ISLAND	5	07/01/77
AMERICAN TECHNICAL INDUSTRIES INC	5	07/18/77
CASE J I CO	5	07/31/77
CAVENHAM USA INC	5,6	07/29/77
COMPUDYNE CORP	2,6	08/10/77
FRONTIER AIRLINES INC	5	07/31/77
GOLDEN STATE HEALTH CENTERS INC	5	07/01/77
GRAND UNION CO	5,6	07/29/77
HALLAMORE INC	13	07/31/77 *
INTERNATIONAL COURIERS CORP	5	07/01/77
KANE FURNITURE CORP	5	07/31/77
LANCER PACIFIC INC	5	07/29/77
LEWIS BUSINESS PRODUCTS INC	5	07/01/77
MARGOS LAMODE INC	13	08/08/77 *
METALIZED CERAMICS CORP	1	07/27/77
NEW VIRGINIA BANCORPORATION	4,6	08/04/77
NEWPORT LABORATORIES INC	5	07/28/77
OCEANIC RESOURCES INC	13	08/01/77 *
PATRICK PETROLEUM CO 77 COMBINATION PROG	5	08/08/77
SAMBOS RESTAURANTS INC	5	07/31/77

/ The Form 8-K was amended (Rel. 34-13156, January 13, 1977) effective for all events which occur subsequent to February 28, 1977. Those companies above which are noted with an asterisk () have filed pursuant to the old Form 8-K; the captions of the item numbers under which such reports are filed are as follows:

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|---|---|
| Item 1. Changes in Control of Registrant | Item 9. Options to Purchase Securities |
| Item 2. Acquisition or Disposition of Assets | Item 10. Extraordinary items, other material charges and credits and capital restatements |
| Item 3. Legal Proceedings | Item 11. Submission of Matters to a Vote of Security Holders |
| Item 4. Changes in Securities | Item 12. Changes in Registrant's Certifying Accountant |
| Item 5. Changes in Security for Registered Securities | Item 13. Other Materially Important Events |
| Item 6. Defaults upon Senior Securities | Item 14. Financial Statements and Exhibits |
| Item 7. Increase in Amount of Securities Outstanding | |
| Item 8. Decrease in Amount of Securities Outstanding | |

NOTICE

Many requests for copies of documents referred to in the SEC News Digest have erroneously been directed to the Government Printing Office. Copies of such documents and of registration statements may be ordered from the Public Reference Section, Securities and Exchange Commission, Washington, D.C. 20549. The reproduction cost is 10¢ per page plus postage (7 days) (\$3.50 minimum); 20¢ per page plus postage for expedited service (4 days) (\$5.00 minimum) and 30¢ per page plus postage for priority service overnight (\$5.00 minimum). Cost estimates are given or request. All other reference material is available in the SEC Docket.

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