

sec news digest

Issue 94-157

August 18, 1994

COMMISSION ANNOUNCEMENTS

CLOSED MEETING - THURSDAY, AUGUST 25, 1994 - 10:00 A.M.

The subject matter of the closed meeting scheduled for Thursday, August 25, 1994, at 10:00 a.m., will be: Institution of administrative proceedings of an enforcement nature; Settlement of administrative proceedings of an enforcement nature; Institution of injunctive actions; and Settlement of injunctive action.

At times, changes in Commission priorities require alterations in the scheduling of meeting items. For further information and to ascertain what, if any, matters have been added, deleted or postponed, please contact: Andre Owens (202) 942-0800.

RULES AND RELATED MATTERS

AMENDMENTS TO RULES GOVERNING POST-EFFECTIVE AMENDMENTS TO INVESTMENT COMPANY REGISTRATION STATEMENTS

The Commission has adopted amendments to rule 485, the rule under which post-effective amendments to registration statements filed by open-end management investment companies (mutual funds) and unit investment trusts (UITs) (collectively, funds) are permitted to become effective automatically, and related rules and forms. The amendments simplify the operation of the current rule and expand the conditions under which post-effective amendments filed by investment companies are permitted to become effective automatically. In addition, under the amendments insurance company separate accounts are permitted to use rule 485, and rule 486 (the rule currently governing post-effective amendments filed by separate accounts) is being rescinded. The Commission also is adopting a rule (new rule 486) providing procedures by which certain closed-end investment companies making periodic repurchase offers, known as closed-end interval funds, file post-effective amendments and subsequent registration statements. FOR FURTHER INFORMATION CONTACT: Kenneth J. Berman at (202) 942-0721. (Rels. 33-7083; IC-20486)

AMENDMENT TO RULE GOVERNING SHELF REGISTRATION OF SECURITIES

The Commission has adopted an amendment to rule 415 under the Securities Act of 1933, the rule under which some securities may be registered for the shelf. The amendment authorizes certain closed-end investment companies making periodic repurchase offers, known as closed-end interval funds, to offer shares on a continuous or delayed basis. FOR FURTHER INFORMATION CONTACT Thomas M. J. Kerwin at (202) 942-0692. (Rel. 33-7084; IC-20487)

ENFORCEMENT PROCEEDINGS

ADMINISTRATIVE PROCEEDINGS AGAINST CHARLES MARINI

The Commission announced the institution of public administrative proceedings as to Charles F. Marini (Marini). These proceedings are related to the activities of Transmark USA, Inc. (Transmark) and its primary subsidiary, Guarantee Security Life Insurance Company (GSL), which were the subject of the Commission's civil injunctive action against Transmark, Mark C. Sanford and William B. Blackburn (SEC v. Transmark USA, Inc. et al., No. 94-569-CIV-J-16, M.D. Fla., June 13, 1994, LR-14124).

The Division of Enforcement is alleging that Marini, GSL's controller during the relevant period, violated certain books and records provisions and caused Transmark's violations of the antifraud provisions of the federal securities laws in connection with certain year-end transactions between Transmark and Merrill Lynch, Pierce, Fenner & Smith Inc. The proceedings will be adjudicated before an administrative law judge. (Rel. 34-34528; AAE Rel. 581)

ADMINISTRATIVE PROCEEDING AGAINST DONALD WITHERS

The Commission today instituted a public proceeding as to Donald F. Withers (Withers). This proceeding is related to the activities of Transmark USA, Inc. (Transmark) and its primary subsidiary, Guarantee Security Life Insurance Company (GSL), which were the subject of the Commission's civil injunctive action against Transmark, Mark C. Sanford and William B. Blackburn (SEC v. Transmark USA, Inc., et al., No. 94-569-CIV-J-16, M.D. Fla., June 13, 1994, LR-14124).

In the proceeding, the Commission found that Withers, a certified public accountant and Transmark's auditor, engaged in improper professional conduct in connection with aspects of the audits of Transmark's financial statements relating to certain year-end transactions between Transmark and a major New York broker-dealer firm and certain corporate

restructurings engaged in by Transmark and GSL. From 1984 through 1990, Withers was the engagement partner from Coopers & Lybrand responsible for all audit services provided to Transmark and GSL.

Simultaneously with the institution of the Order, Withers, without admitting or denying the findings set forth therein, consented to the issuance of the Order. (Rel. 34-34537, AAE Rel. 582)

PIPER CAPITAL MANAGEMENT

The Commission announced the entry of an Order Instituting Public Administrative Proceedings, Making Findings and Imposing Remedial Sanctions against Piper Capital Management (PCM), and accepting PCM's Offer of Settlement. PCM consented to the issuance of the Order without admitting or denying the Commission's findings.

The Order contains findings that between January 1, 1990 and March 31, 1993, PCM, on its discretionary authority, executed principal transactions for PCM clients through Piper Jaffray Inc. (PJI). PJI, a registered broker dealer, is a wholly owned subsidiary of PCM's parent company, Piper Jaffray Companies Inc. In connection with these transactions PCM either failed to properly disclose to such clients in writing before the completion of such transactions the capacity in which PJI was acting or failed to obtain the consent of the clients to the transactions. The Order requires PCM to cease and desist from committing or causing any violation, and committing or causing any future violation, of Section 206(3) of the Advisers Act. The Commission also fined PCM \$35,000 and required PCM to comply with undertakings designed to prevent future violations of Section 206(3). (Rel. IA-1435)

STEPHEN TIMYAN AND PHILIP TIMYAN SANCTIONED

The Commission announced the institution of public administrative proceedings pursuant to Sections 15(b) and 19(h) of the Securities Exchange Act of 1934 (Exchange Act) against Stephen J. Timyan (Steve Timyan) and Philip J. Timyan (Phil Timyan). Simultaneously with the institution of the proceedings, the Commission accepted Steve Timyan's and Phil Timyan's offers of settlement, whereby they consented, without admitting or denying the Commission's findings, to the issuance of an Order, effective immediately, barring Steve Timyan from association with any broker, dealer, investment adviser, investment company or municipal securities dealer, and Phil Timyan from association with any broker, dealer, investment company (as currently defined under Section 3 of the Investment Company Act of 1940), municipal securities dealer

or investment adviser, except that Phil Timyan may act as a principal, which term includes partner, owner and/or employee of a company that is entitled to rely on the exclusion in Section 3(c)(1) of the Investment Company Act of 1940 to make investment decisions for such company.

The Commission's Order finds that from in or about April 1987 to in or about late February 1994, Steve Timyan was associated with R.W. Baird & Co., Inc. (Baird), a broker-dealer registered with the Commission, as a registered representative, a branch manager, and as the director of sales for Michigan, and that from in or about April 1987 to in or about December 1987, Phil Timyan was associated with Baird, as a registered representative. The Order also finds that on July 8, 1994, Steve Timyan and Phil Timyan were both permanently enjoined by consent by the United States District Court for the Southern District of New York from violations of Exchange Act Section 10(b) and Rule 10b-5 thereunder (SEC v. Robert W. Navarre, II, et al., 92 Civ. 3719, MP, SDNY, July 11, 1994). The Commission's complaint alleged, among other things, that in September 1987, Steve Timyan and Phil Timyan each purchased the securities of Gelco Corporation (Gelco) while in possession of material, nonpublic information that General Electric Capital Corporation (formerly known as General Electric Credit Corporation) was considering acquiring Gelco, which information they knew or were reckless in not knowing had been disclosed in breach of a duty. The complaint also alleged that Steve Timyan, while in possession of the material, nonpublic information concerning Gelco, communicated this information to a relative who also purchased Gelco securities, placed orders to purchase Gelco securities in two discretionary customers' accounts and solicited purchases of Gelco securities by other family members and customers. The complaint also alleged that Phil Timyan, while in possession of the material, nonpublic information concerning Gelco, recommended purchases of Gelco securities to his family and solicited purchases of Gelco securities by customers. For further information, see LR-13248, LR-13534 and LR-14161. (Rel. 34-34517)

COMMISSION FILES CIVIL INJUNCTIVE ACTION AGAINST SHREVEPORT WIRELESS CABLE TELEVISION PARTNERSHIP AND COMPLETE COMMUNICATIONS CORPORATION

The Commission announced the filing of a complaint in the United States District Court for the District of Columbia on August 16 for a permanent injunction against Shreveport Wireless Cable Television Partnership (SWCTP) and Complete Communications Corporation (CCC) for violations of the federal securities laws in connection with the offer and sale to the public of securities designated as "general partnership units" in SWCTP. SWCTP was organized purportedly to acquire and operate a wireless cable television system in the Shreveport, Louisiana area. The Commission also sought a temporary restraining order, preliminary injunction and other relief.

As in other recent Commission cases involving the offer and sale of interests in general partnerships or limited liability companies associated with wireless cable television systems, the Commission alleges that the defendants violated the registration and antifraud provisions of the Securities Act of 1933 and the antifraud provisions of the Securities Exchange Act of 1934. The defendants are attempting to raise over \$12.5 million through the nationwide sale of 840 units at a price of \$14,900 per unit. [SEC v. Shreveport Wireless Cable Television Partnership and Complete Communications Corporation, Civil Action No. 94-1781, HHG, D.D.C.] (LR-14195)

CIVIL ACTION AGAINST JAMES COYNE, JR.

On August 12, the Commission filed a complaint in the U.S. District Court for the Eastern District of Pennsylvania against James M. Coyne, Jr. (Coyne), a former registered representative. The complaint alleges that Coyne violated Section 17(a) of the Securities Act of 1933 and Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder. The complaint seeks permanent injunctive relief, disgorgement together with prejudgment interest, and the imposition of a civil penalty.

The complaint alleges that between October 1989 and August 1992, Coyne engaged in a scheme to defraud brokerage customers by misappropriating approximately \$900,000. Coyne accomplished this by forging signatures on redemption letters of mutual fund shares, and by improperly diverting these funds to replenish customer accounts which he depleted through unauthorized trading. Additionally, Coyne converted \$250,000 in customer funds.

Simultaneously with the filing of the Commission's complaint, Coyne consented, without admitting or denying the allegations contained in the complaint, to the entry of an order permanently enjoining him from future violations of the charged provisions and ordering disgorgement together with prejudgment interest, but waiving the same based upon demonstrated inability to pay, and acknowledging the appropriateness of a civil penalty, but not ordering the same based upon demonstrated inability to pay. [SEC v. James M. Coyne, Jr., ED PA, Civil Action No. 94-4943] (LR-14196)

RECEIVER APPOINTED FOR VESTCORP SECURITIES, INC. AND RELATED ENTITIES

On July 28, the Court issued an Order appointing Donald W. Henry, as the permanent receiver of defendants VestCorp Securities, Inc. (VestCorp), First Diversified Financial Services, Inc. and their respective subsidiaries, affiliates and related partnerships, pursuant to a stipulation between the parties.

The Commission's complaint alleges that William E. Cooper, VestCorp, First Pension Corporation (First Pension), Robert E. Lindley and Valerie Jensen violated the antifraud provisions of the federal securities laws in connection with the sale of real estate limited partnerships and the operation of First Pension. The complaint alleges that up to \$124 million in investor funds are at risk, including \$25 million misappropriated from First Pension and \$99 million raised through the sale of partnerships through VestCorp. [SEC v. William E. Cooper, Vestcorp Securities, Inc., First Pension Corporation, Robert E. Lindley, Valerie Jensen, Ernest-Edwards & Associates, Inc., First Diversified Financial Services, Inc., Terri Cooper, Sandee Russell and Tiffany Escrow Company, Civil Action No., 94-3144, HLH, Dtx, CD Cal.] (LR-14197)

CIVIL ACTION AGAINST RICHARD ADLER, ET AL.

The Commission announced that it filed a complaint on August 18 alleging that Richard F. Adler unlawfully communicated, and Philip L. Choy, Magatronic Trading Limited (Magatronic), Domer L. Ishler and Harvey L. Pegram unlawfully received, adverse material nonpublic information concerning Comptronix Corporation (Comptronix) in November 1992. The Commission further alleged that Choy, Magatronic, Ishler and Pegram traded in the securities of Comptronix while in possession of this information before it was announced to the public. The Commission alleged that the defendants violated Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder and/or Section 17(a) of the Securities Act of 1933. The complaint seeks injunctions, disgorgement of approximately \$268,000 in profits, disgorgement of approximately \$2,375,000 in losses avoided, prejudgment interest and monetary penalties. [SEC v. Richard F. Adler, et al., USDC, ND Alabama, CV94-C-2018-S] (LR-14198)

INVESTMENT COMPANY ACT RELEASES

NORTH AMERICAN SECURITY LIFE INSURANCE COMPANY, ET AL.

An order has been issued exempting North American Security Life Insurance Company, NASL Variable Account (Variable Account), NASL Financial Services, Inc. and Wood Logan Associates Inc. from the provisions of Sections 26(a)(2)(C) and 27(c)(2) of the Investment Company Act. The order provides exemptions to the extent necessary to permit the deduction from the assets of the Variable Account of a mortality and expense risks charge imposed under certain flexible purchase payment individual and group deferred variable annuity contracts. (Rel. IC-20469 - August 10)

IAI RETIREMENT FUND, INC., ET AL.

A notice has been issued giving interested persons until September 12 to request a hearing on an application filed by IAI Retirement Funds, Inc. (Fund) and Investment Advisers, Inc. (IAI) for an order pursuant to Section 6(c) of the Investment Company Act granting exemptions from the provisions of Sections 9(a), 13(a), 15(a), and 15(b) of the Act and Rules 6e-2(b)(15) and 6e-3(T)(b)(15) thereunder. The order would provide exemptions to the extent necessary to permit shares of the Fund and certain other investment companies for which IAI or any affiliate serves as adviser, administrator, manager, principal underwriter or sponsor, to be sold to and held by variable annuity and variable life insurance separate accounts of both affiliated and unaffiliated life insurance companies. (Rel. IC-20477 - August 16)

MINNESOTA MUTUAL LIFE INSURANCE COMPANY, ET AL.

An order has been issued pursuant to Section 6(c) of the Investment Company Act exempting Minnesota Mutual Life Insurance Company, Minnesota Mutual Group Variable Annuity Account (Separate Account) and MIMLIC Sales Corporation from Sections 26(a)(2)(C) and 27(c)(2) of the Act to the extent necessary to permit the deduction from the assets of the Separate Account of a mortality and expense risk charge under certain variable annuity contracts. (Rel. IC-20478 - August 16)

PREFERRED LIFE INSURANCE COMPANY OF NEW YORK, ET AL.

An amended order has been issued under Section 6(c) of the Investment Company Act exempting Preferred Life Insurance Company of New York (Company), Preferred Life Variable Account C (Variable Account), and NALAC Financial Plans, Inc. from the provisions of Sections 26(a)(2)(C) and 27(c)(2) of the Act. The amended order provides exemptions to the extent necessary to permit the deduction of a mortality and expense risk charge under certain variable annuity contracts from the assets of the Variable Account, or any other separate account established by the Company in the future to support materially similar variable annuity contracts. (Rel. IC-20479 - August 16)

**INVESTORS PREFERENCE FUND FOR INCOME, INC.
INVESTORS PREFERENCE NY TAX-FREE FUND, INC.**

Orders have been issued under Section 8(f) of the Investment Company Act declaring that Investors Preference Fund for Income, Inc. and Investors Preference NY Tax-Free Fund, Inc. have ceased to be investment companies. (Rels. IC-20480 and IC-20481, respectively - August 16)

GNA VARIABLE INVESTMENT ACCOUNT, ET AL.

A notice has been issued giving interested persons until September 12 to request a hearing on an application by GNA Variable Investment Account (Variable Account), Great Northern Insured Annuity Corporation and GNA Distributors, Inc. for an order pursuant to Section 6(c) of the Investment Company Act granting exemptions from the provisions of Sections 26(a)(2)(C) and 27(c)(2) of the Act. The order would provide exemptions to the extent necessary to permit the deduction from the assets of the Separate Account of a mortality and expense risks charge imposed under certain group allocated variable annuity contracts. (Rel. IC-20485 - August 16)

WORLD APPRECIATION FUND, INC.

A notice has been issued giving interested persons until September 12 to request a hearing on an application filed by World Appreciation Fund, Inc. for an order under Section 8(f) of the Investment Company Act declaring that applicant has ceased to be an investment company. (Rel. IC-20488 - August 17)

SELF-REGULATORY ORGANIZATIONS

UNLISTED TRADING PRIVILEGES GRANTED

An order has been issued granting the application of the Pacific Stock Exchange for unlisted trading privileges in the common stock of 19 issues which are listed and registered on one or more other national securities exchange and are reported in the consolidated transaction reporting system. (Rel. 34-34536)

PROPOSED RULE CHANGES

The Government Securities Clearing Corporation filed a proposed rule change (SR-GSCC-94-04) establishing new categories of netting system membership for futures commission merchants. Publication of the proposed rule change is expected in the Federal Register during the week of August 15. (Rel. 34-34529)

The National Association of Securities Dealers filed a proposed rule change (SR-NASD-94-42) that would amend the exam specifications and study outline for the Assistant Representative-Order Processing (Series 11) Qualifications Examinations. (Rel. 34-34534)

The National Association of Securities Dealers filed a proposed rule change (SR-NASD-94-40) that would amend the exam specifications and study outline for the Investment Company/Variable Contracts Products Limited Representative (Series 6) Qualifications Examinations. (Rel. 34-34535)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

- S-3 JACOBS ENGINEERING GROUP INC /DE/, 251 S LAKE AVE, PASADENA, CA 91101
(818) 449-2171 - 8,000 (\$176,000) COMMON STOCK. (FILE 33-55001 - AUG. 11)
(BR. 9)
- S-8 BROWN ALEX INC, 135 E BALTIMORE ST, BALTIMORE, MD 21202 (410) 727-1700
- 1,125,919 (\$30,895,217) COMMON STOCK. (FILE 33-55003 - AUG. 11) (BR. 11)
- S-6 TAX EXEMPT SECURITIES TRUST CONNECTICUT TRUST 96,
C/O DAVIS POLK & WARDWELL, 450 LEXINGTON AVENUE, NEW YORK, NY 10017
(212) 450-4540 - INDEFINITE SHARES. (FILE 33-55007 - AUG. 11) (BR. 16
- NEW ISSUE)
- S-6 TAX EXEMPT SECURITIES TRUST CONNECTICUT TRUST 97,
C/O DAVIS POLK & WARDWELL, 450 LEXINGTON AVENUE, NEW YORK, NY 10017
(212) 450-4540 - INDEFINITE SHARES. (FILE 33-55009 - AUG. 11) (BR. 16
- NEW ISSUE)
- S-6 TAX EXEMPT SECURITIES TRUST CALIFORNIA TRUST 138,
C/O DAVIS POLK & WARDWELL, 450 LEXINGTON AVENUE, NEW YORK, NY 10017
(212) 450-4540 - INDEFINITE SHARES. (FILE 33-55011 - AUG. 11) (BR. 16
- NEW ISSUE)
- S-6 TAX EXEMPT SECURITIES TRUST FLORIDA TRUST 66, C/O DAVIS POLK & WARDWELL,
450 LEXINGTON AVENUE, NEW YORK, NY 10017 (212) 450-4540 -
INDEFINITE SHARES. (FILE 33-55013 - AUG. 11) (NEW ISSUE)
- S-6 TAX EXEMPT SECURITIES TRUST MARYLAND TRUST 91,
C/O DAVIS POLK & WARDWELL, 450 LEXINGTON AVENUE, NEW YORK, NY 10017
(212) 450-4540 - INDEFINITE SHARES. (FILE 33-55015 - AUG. 11) (BR. 16
- NEW ISSUE)
- S-6 TAX EXEMPT SECURITIES TRUST MARYLAND TRUST 92,
C/O DAVIS POLK & WARDWELL, 450 LEXINGTON AVENUE, NEW YORK, NY 10017
(212) 450-4540 - INDEFINITE SHARES. (FILE 33-55017 - AUG. 11) (BR. 16
- NEW ISSUE)
- S-6 TAX EXEMPT SECURITIES TRUST MINNESOTA TRUST 113,
C/O DAVIS POLK & WARDWELL, 450 LEXINGTON AVE, NEW YORK, NY 10017
(212) 450-4500 - INDEFINITE SHARES. (FILE 33-55019 - AUG. 11) (BR. 16
- NEW ISSUE)
- S-6 TAX EXEMPT SECURITIES TRUST NEW YORK TRUST 137,
C/O DAVIS POLK & WARDWELL, 450 LEXINGTON AVE, NEW YORK, NY 10017
(212) 450-4500 - INDEFINITE SHARES. (FILE 33-55021 - AUG. 11) (BR. 16
- NEW ISSUE)
- S-6 TAX EXEMPT SECURITIES TRUST NEW JERSEY TRUST 116,
C/O DAVIS POLK & WARDWELL, 450 LEXINGTON AVE, NEW YORK, NY 10017
(212) 450-4500 - INDEFINITE SHARES. (FILE 33-55023 - AUG. 11) (BR. 16
- NEW ISSUE)

REGISTRATIONS CONT.

- S-6 TAX EXEMPT SECURITIES TRUST NEW JERSEY TRUST 117,
C/O DAVIS POLK & WARDWELL, 450 LEXINGTON AVE, NEW YORK, NY 10017
(212) 450-4500 - INDEFINITE SHARES. (FILE 33-55025 - AUG. 11) (BR. 16
- NEW ISSUE)
- S-6 TAX EXEMPT SECURITIES TRUST NORTH CAROLINA TRUST 9,
C/O DAVIS POLK & WARDWELL, 450 LEXINGTON AVE, NEW YORK, NY 10017
(212) 450-4500 - INDEFINITE SHARES. (FILE 33-55027 - AUG. 11) (BR. 16
- NEW ISSUE)
- S-6 TAX EXEMPT SECURITIES TRUST OHIO TRUST 87, C/O DAVIS POLK & WARDWELL,
450 LEXINGTON AVE, NEW YORK, NY 10017 (212) 450-4500 - INDEFINITE SHARES.
(FILE 33-55029 - AUG. 11) (BR. 16 - NEW ISSUE)
- S-6 TAX EXEMPT SECURITIES TRUST PENNSYLVANIA TRUST 111,
C/O DAVIS POLK & WARDWELL, 450 LEXINGTON AVE, NEW YORK, NY 10017
(212) 450-4500 - INDEFINITE SHARES. (FILE 33-55031 - AUG. 11) (BR. 16
- NEW ISSUE)
- S-6 TAX EXEMPT SECURITIES TRUST PENNSYLVANIA TRUST 112,
C/O DAVIS POLK & WARDWELL, 450 LEXINGTON AVE, NEW YORK, NY 10017
(212) 450-4500 - INDEFINITE SHARES. (FILE 33-55033 - AUG. 11) (BR. 16
- NEW ISSUE)
- S-8 PLY GEM INDUSTRIES INC, 777 THIRD AVE, NEW YORK, NY 10017 (212) 832-1550
- 2,250,000 (\$43,312,500) COMMON STOCK. (FILE 33-55035 - AUG. 11) (BR. 9)
- S-8 PLY GEM INDUSTRIES INC, 777 THIRD AVE, NEW YORK, NY 10017 (212) 832-1550
- 100,000 (\$1,925,000) COMMON STOCK. (FILE 33-55037 - AUG. 11) (BR. 9)
- S-6 TAX EXEMPT SECURITIES TRUST NEW YORK TRUST 136,
C/O DAVIS POLK & WARDWELL, 450 LEXINGTON AVE, NEW YORK, NY 10017
(212) 450-4500 - INDEFINITE SHARES. (FILE 33-55041 - AUG. 11) (BR. 16
- NEW ISSUE)
- S-3 HOUSEHOLD FINANCE CORP, 2700 SANDERS RD, PROSPECT HEIGHTS, IL 60070
(708) 564-5000 - 2,000,000,000 (\$2,000,000,000) STRAIGHT BONDS. (FILE
33-55043 - AUG. 11) (BR. 11)
- S-3 EQUITABLE OF IOWA COMPANIES, 699 WALNUT ST, PO BOX 9107, DES MOINES, IA
50306 (515) 282-1335 - 500,000 (\$17,781,250) COMMON STOCK. (FILE 33-55045
- AUG. 11) (BR. 9)
- S-3 TRANSAMERICA CORP, 600 MONTGOMERY ST, SAN FRANCISCO, CA 94111
(415) 983-4000 (FILE 33-55047 - AUG. 11) (BR. 12)
- S-8 UNITOG CO, 101 WEST 11TH STREET, KANSAS CITY, MO 64105 (816) 474-7000 -
150,000 (\$3,889,410) COMMON STOCK. (FILE 33-79628 - JUN. 01) (BR. 7)
- F-6 CEVAL ALIMENTOS SA, 111 WALL ST, C/O CITIBANK, NEW YORK, NY 10043 -
10,000,000 (\$500,000) DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE
33-82554 - AUG. 10) (BR. 99 - NEW ISSUE)
- S-1 MORAN TRANSPORTATION CO, TWO GREENWICH PLAZA, GREENWICH, CT 06830
(203) 625-7800 - 80,000,000 (\$80,000,000) STRAIGHT BONDS. (FILE 33-82624 -
AUG. 10) (BR. 4 - NEW ISSUE)

REGISTRATIONS CONT.

- S-8 XCELLENET INC /GA/, 5 CONCOURSE PKWY STE 200, ATLANTA, GA 30328
(404) 804-8100 - 1,711,185 (\$4,766,347) COMMON STOCK. (FILE 33-82630 -
AUG. 10) (BR. 10)
- S-8 XCELLENET INC /GA/, 5 CONCOURSE PKWY STE 200, ATLANTA, GA 30328
(404) 804-8100 - 125,847 (\$120,813.12) COMMON STOCK. (FILE 33-82632 -
AUG. 10) (BR. 10)
- S-1 NATIONAL AUTO TRUCKSTOPS INC, 3100 WEST END AVE, STE 200, NASHVILLE, TN
37203 (615) 783-2600 - 145,000,000 (\$145,000,000) STRAIGHT BONDS. (FILE
33-82636 - AUG. 10) (BR. 2 - NEW ISSUE)
- S-1 ZENEX CORP, BCE PLACE, 161 BAY STREET STE 3750, TORONTO ONTARIO M5J, A6
(704) 255-4900 - 2,338,360 (\$27,183,435) COMMON STOCK. (FILE 33-82638 -
AUG. 10) (BR. 2)
- N-2 LATIN AMERICA EQUITY FUND INC, 153 EAST 53RD ST 58TH FL,
C/O BEA ASSOCIATES, NEW YORK, NY 10022 (212) 832-2626 - 2,513,370
(\$61,577,565) COMMON STOCK. (FILE 33-82640 - AUG. 10) (BR. 17)
- N-2 LATIN AMERICA INVESTMENT FUND INC, 153 EAST 53RD ST- 58TH FLR,
C/O BEA ASSOCIATES INC, NEW YORK, NY 10022 (212) 832-2626 - 2,282,740
(\$59,214,275.60) COMMON STOCK. (FILE 33-82642 - AUG. 10) (BR. 17)
- S-8 UNITED MEXICAN STATES, 450 PARK AVENUE, C/O MAFINSA SECURITIES INC,
NEW YORK, NY 10022 - 1,000,000,000 (\$1,000,000,000) STRAIGHT BONDS. (FILE
33-82644 - AUG. 10) (BR. 9)
- S-4 GENMAR HOLDINGS INC, 100 S FIFTH ST, STE 2400, MINNEAPOLIS, MN 55402
(612) 339-7600 - 100,000,000 (\$100,000,000) STRAIGHT BONDS. (FILE
33-82650 - AUG. 10) (BR. 5)
- S-3 PAINEWEBBER MUNICIPAL ASSETS CORP, 1285 AVE OF THE AMERICAS, NEW YORK,
NY 10019 - 1,000,000 (\$1,000,000) STRAIGHT BONDS. (FILE 33-82652 -
AUG. 10) (BR. 12 - NEW ISSUE)
- S-1 FIRST NATIONWIDE HOLDINGS INC, 38 EAST 63RD ST, NEW YORK, NY 10021
(212) 572-8500 - 200,000,000 (\$200,000,000) STRAIGHT BONDS. (FILE
33-82654 - AUG. 10) (BR. 1 - NEW ISSUE)
- S-8 AMERICAN MEDICAL RESPONSE INC, 67 BATTERYMARCH ST STE 300, BOSTON, MA
02110 (617) 261-1600 - 1,100,000 (\$27,843,750) COMMON STOCK. (FILE
33-82660 - AUG. 11) (BR. 4)
- S-3 ULTRAMAR CORP /DE, TWO PICKWICK PLZ, GREENWICH, CT 06830 (203) 622-7000
- 200,000,000 (\$200,000,000) STRAIGHT BONDS. (FILE 33-82662 - AUG. 11)
(BR. 3)
- S-8 LA JOLLA PHARMACEUTICAL CO, 6455 NANCY RIDGE DR, SAN DIEGO, CA 92121
(619) 452-6600 - 750,000 (\$3,750,000) COMMON STOCK. (FILE 33-82664 -
AUG. 11) (BR. 4)
- S-8 ENVIRO GREEN TECH INC, 3500 NORTH STATE ROAD 7 SUITE 245,
FORT LAUDERDALE, FL 33319 (305) 351-9702 - 1,100,000 (\$2,101,000)
COMMON STOCK. (FILE 33-82672 - AUG. 09) (BR. 12)
- S-8 THERMADYNE HOLDINGS CORP /DE, 101 S HAWLEY ROAD, ST LOUIS, MO 63105
(314) 721-5573 - 1,428,570 (\$18,571,410) COMMON STOCK. (FILE 33-82674 -
AUG. 09) (BR. 2)

REGISTRATIONS CONT.

- S-8 FLIR SYSTEMS INC, 16505 SW 72ND AVE, PORTLAND, OR 97224 (503) 684-3731 - 972,855 (\$12,282,294.38) COMMON STOCK. (FILE 33-82676 - AUG. 09) (BR. 8)
- S-8 APPOINT TECHNOLOGIES INC, 1440 S ALLEC STREET, ANAHEIM, CA 92805 (714) 632-9292 - 4,000,000 (\$320,000) COMMON STOCK. (FILE 33-82678 - AUG. 09) (BR. 10)
- S-8 MARRANTECH CORP, 300 ATLANTIC ST, STAMFORD, CT 06901 (203) 975-1100 - 3,404,500 (\$9,717,003.75) COMMON STOCK. (FILE 33-82680 - AUG. 10) (BR. 6)
- S-8 CENTRAL BANCORPORATION /WA/, 301 N CHELAN AVE, WENATCHEE, WA 98801 (509) 663-0733 - 60,000 (\$1,200,000) COMMON STOCK. (FILE 33-82682 - AUG. 09) (BR. 2)
- S-8 RENABILITY CORP, 111 WESTWOOD STE 210, BRENTWOOD, TN 37027 (615) 377-2937 - 400,000 (\$4,900,000) COMMON STOCK. (FILE 33-82684 - AUG. 10) (BR. 6)
- S-8 BROOKTREE CORP, 9868 SCRANTON ROAD, SAN DIEGO, CA 92121 (619) 452-7580 - 100,000 (\$675,000) COMMON STOCK. (FILE 33-82686 - AUG. 10) (BR. 3)
- S-8 PLANAR SYSTEMS INC /OR/, 1400 NW COMPTON DR, BEAVERTON, OR 97006 (503) 690-1100 - 300,000 (\$3,075,000) COMMON STOCK. (FILE 33-82688 - AUG. 10) (BR. 9)
- S-8 TUSCAN INDUSTRIES INC, 3025 N E 188TH STREET, N MIAMI, FL 33180 (407) 998-2722 - 1,000,000 (\$250,000) COMMON STOCK. (FILE 33-82690 - AUG. 10) (BR. 2)
- S-8 COMMERCE BANCSHARES INC /MO/, 1000 WALNUT 86, KANSAS CITY, MO 64106 (816) 234-2000 - 500,000 (\$15,437,500) COMMON STOCK. (FILE 33-82692 - AUG. 10) (BR. 2)
- S-8 SKY SCIENTIFIC INC, 1515 N FEDERAL HWY STE 310, BOCA RATON, FL 33432 (407) 362-9494 - 1,000,000 (\$500,000) COMMON STOCK. (FILE 33-82694 - AUG. 10) (BR. 8)
- S-8 PLANAR SYSTEMS INC /OR/, 1400 NW COMPTON DR, BEAVERTON, OR 97006 (503) 690-1100 - 800,000 (\$8,200,000) COMMON STOCK. (FILE 33-82696 - AUG. 10) (BR. 9)
- F-6 CHRISTIANIA BANK OG KREDITKASSE/ADR/, 48 WALL STREET, C/O BANK OF NEW YORK, NEW YORK, NY 10286 - 10,000,000 (\$500,000) DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 33-82698 - AUG. 10) (NEW ISSUE)
- S-1 DATA DOCUMENTS INC, 4205 SOUTH 96TH STREET, OMAHA, NE 68127 (000) 000-0000 - 85,000,000 (\$85,000,000) STRAIGHT BONDS. UNDERWRITER: JEFFERIES & CO. (FILE 33-82700 - AUG. 11) (BR. 8)
- S-8 ROCK A BYE BABY INC /DE, 400 MEDICAL CENTER PKWY, SELMA, AL 36701 (205) 872-8211 - 1,800,000 (\$144,000) COMMON STOCK. (FILE 33-82704 - AUG. 09) (BR. 11)
- S-8 KUHLMAN CORP, 1 SKIDAWAY VILLAGE WALK, STE 201, SAVANNAH, GA 31411 (912) 598-7809 - 300,000 (\$4,500,000) COMMON STOCK. (FILE 33-82718 - AUG. 11) (BR. 3)