

# sec news digest

Issue 94-146

August 3, 1994

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## COMMISSION ANNOUNCEMENTS

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### MICHAEL MANN TO TESTIFY

Michael Mann, Director of the Office of International Affairs, will testify on Thursday, August 4, at 2:15 p.m. before the Senate Subcommittee on Antitrust, Monopolies and Business Rights concerning the Proposed International Antitrust Enforcement Assistance Act. The hearing will be held in Room 385 of the Russell Senate Office Building.

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## RULES AND RELATED MATTERS

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### LIST OF FOREIGN ISSUERS WHICH HAVE SUBMITTED INFORMATION REQUIRED BY THE EXEMPTION RELATING TO CERTAIN FOREIGN SECURITIES

The Commission has issued a list of those foreign issuers that have claimed exemptions from the registration provisions of Section 12(g) of the Securities Exchange Act of 1934 pursuant to Rule 12g3-2(b) thereunder. FOR FURTHER INFORMATION CONTACT: Walter Van Dorn, office of International Corporate Finance, Division of Corporation Finance, at (202) 942-2990. (Rel. 34-34477; International Series Rel. 695)

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## ENFORCEMENT PROCEEDINGS

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### FINDINGS MADE AND SANCTIONS IMPOSED AGAINST FORMER AFFILIATES OF F.D. ROBERTS, SECURITIES, INC.

The Commission made findings and imposed remedial sanctions against Frederick Galiardo, John Perfetti, Morton Greenberg, Albert Weiss, Pamela Rutherford, Ronald Bruce Lieberman, Michael Recchia, John Conte, Kevin Zacharewicz, Robert Eli Gregg, and Joseph Muscolina (Respondents)

in connection with the recently instituted administrative proceedings against the Respondents and others. The Respondents were former officers, directors, managers and/or registered representatives of F.D. Roberts Securities, Inc. (F.D. Roberts), a registered broker-dealer which ceased operations in February 1989. The Respondents submitted, and the Commission accepted, Offers of Settlement whereby each of the Respondents consented to a finding that each Respondent had individually pled guilty in the U. S. District Court for the District of New Jersey to a criminal Indictment or Information based on his or her participation in a massive stock manipulation scheme while affiliated with F.D. Roberts and a permanent bar from the securities industry. (Rel. 34-34447)

#### **JOHN MORGAN AND GREGORY SCOTT PERMANENTLY BARRED**

The Commission announced that on July 27 it ordered John L. Morgan (Morgan) and Gregory L. Scott (Scott) permanently barred from association with any broker or dealer. Morgan and Scott did not answer the Order Instituting Public Administrative Proceedings issued on April 11 (the Order). It was found, based upon the Order, that Morgan and Scott had operated an unregistered broker-dealer from at least April 1989 until April 1991, and failed to cause the broker-dealer to comply with various broker-dealer provisions. In addition, the Order finds that Morgan and Scott made material misrepresentations about the use of customer funds and omitted to inform investors that their funds would be used to pay the broker-dealer's expenses and the personal expenses of Morgan and Scott. Finally, the Order found that an order of permanent injunction had been entered against Morgan and Scott on December 15, 1993, by the U.S. District Court for the Southern District of Ohio. (Rel. 34-34448)

#### **SEC RESTRICTS RETAIL ACTIVITIES OF HIBBARD, BROWN & COMPANY, INC., RICHARD BROWN, AND ANTHONY NADINO PENDING REVIEW OF NASD ACTION**

On August 2, the Commission issued a stay order that prohibits the retail securities activities of Hibbard, Brown & Company, Inc., Richard P. Brown, the firm's president, and Anthony Nadino, its head trader. The Commission's order prevents the firm and the two principals from trading for current or new customers and from underwriting offerings to retail customers.

The order was issued in connection with a recent NASD disciplinary action expelling the firm and barring Brown and Nadino. The NASD found that the firm, Brown, and Nadino charged retail customers excessive markups in sales of Site-Based Media, Inc. common stock. The firm and the two principals appealed the NASD's decision to the Commission, and requested a stay of the NASD's sanctions pending the Commission's review

of the case. While noting that the NASD's findings are grave, the Commission's order also observes that the firm and its principals have raised substantial challenges to the NASD's decision. In light of the issues raised, the Commission issued a partial stay of the NASD's sanctions, but imposed a number of restrictions that protect public customers by prohibiting the firm's participation in retail securities transactions. (Press Rel. 94-72)

#### MICHELLE GERSTNER FOUND IN CONTEMPT OF COURT

The Commission announced that on July 29 the United States District Court for the District of Columbia found Michelle A. Gerstner to be in contempt of court for her refusal to comply with a prior order of the Court. On June 10, 1994, the Court had entered an Order compelling Gerstner, the former manager of Parkersburg Wireless LLC (PWLLC), to "immediately and fully identify" to the Commission the custodians of \$260,000 in PWLLC investor funds that Gerstner had transferred. Gerstner refused to comply with the June 10 Order, asserting the Fifth Amendment privilege against self-incrimination. The Court found in the July 29 Order that Gerstner had waived the privilege because, in a sworn deposition taken earlier in the litigation, she had given the names of the entity and individual who had received the transferred monies. The Court ordered Gerstner to purge the contempt by August 15, 1994, and directed that, beginning on August 16, \$100 per day will be deducted from the \$2,000 that Gerstner is permitted to use each month for personal living expenses, under the terms of an asset freeze that was imposed by the Court in this matter. The Order further provides that, if Gerstner has not purged the contempt by August 31, the Court will consider whether Gerstner should be incarcerated for such time as she remains in contempt. [SEC v. Parkersburg LLC, et al., Civ. Action No. 94-1079, JHP, D.D.C.] (LR-14179)

#### ENFORCEMENT ACTION AGAINST MICHAEL POMPEY AND AMERICANA FINANCIAL GROUP, INC.

On August 3, the Commission filed a complaint in the United States District Court for the Southern District of New York against Americana Financial Group, Inc. and Michael W. Pompey, its sole owner and president. The complaint alleges that Pompey and Americana violated Section 17(a) of the Securities Act of 1933 and Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder. The complaint seeks a permanent injunction against future violations by Pompey and Americana of those provisions of the federal securities laws and civil penalties. Pompey's alleged wrongful conduct stemmed from his offer for sale of a fake Series 57M, fifty billion yen Japanese government bond (the equivalent at the time of approximately \$419 million) to several securities brokerage firms in New York and Japan. [SEC v. Michael W. Pompey and Americana Financial Group, Inc., Civil Action No. 94 Civ. 5634, LMM, SDNY] (LR-14180)

## **CHARLES BAZARIAN SENTENCED TO FIFTEEN YEARS**

The Commission and Vicki Miles-LaGrange, United States Attorney for the Western District of Oklahoma, announced that on July 7, a federal judge sentenced Charles J. Bazarian of Oklahoma City, Oklahoma, to 15 years in prison. Bazarian was indicted on January 11, 1994 on thirty-nine counts, twenty-three of which alleged violations of the federal securities laws. Bazarian pleaded guilty on March 4, 1994 to one count each of securities fraud, wire fraud, making false statements to the Federal Deposit Insurance Corp., money laundering and escape. The securities and wire fraud counts are related to Bazarian's conduct with Remington Financial Group, Inc., a publicly-traded company. [SEC v. Charles Joseph Bazarian, W.D. Oklahoma, File No. 89-00210-001-R] (LR-14178)

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## **INVESTMENT COMPANY ACT RELEASES**

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### **TREASURY FIRST INC.**

A notice has been issued giving interested persons until August 29 to request a hearing on an application filed by Treasury First Inc. for an order under Section 8(f) of the Investment Company Act declaring that applicant has ceased to be an investment company. (Rel. IC-20436 - August 2)

### **AMERICAN UNITED LIFE INSURANCE COMPANY, ET AL.**

A notice has been issued giving interested persons until August 29 to request a hearing on an application filed by American United Life Insurance Company (AUL), AUL American Individual Unit Trust (Variable Account), and any other investment accounts (Other Accounts) established by AUL in the future to support certain individual variable annuity contracts (collectively, Applicants). Applicants seek an order under Section 6(c) of the Investment Company Act granting exemptions from the provisions of Sections 26(a)(2)(C) and 27(c)(2) of the Act to the extent necessary to permit payment to AUL from the Variable Account or Other Accounts of mortality and expense risk charges in connection with the issuance and sale of certain individual variable annuity contracts as well as other substantially similar variable annuity contracts that may in the future be issued by other AUL separate accounts. (Rel. IC-20437 - August 2)

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## HOLDING COMPANY ACT RELEASES

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### JERSEY CENTRAL POWER & LIGHT COMPANY, ET AL.

An order has been issued authorizing a proposal by Jersey Central Power & Light Company, Metropolitan Edison Company, Pennsylvania Electric Company (collectively, Utilities), electric public utility subsidiaries of General Public Utilities Corporation (GPU), a registered holding company, and GPU Service Corporation (Service Company), a service company subsidiary of GPU. The Utilities propose to lease, from time to time through December 31, 2002, to non-affiliated companies (Lessees) up to 50% of the fiber line miles of a fiber optic communications system (Fiber Optic System), comprised of a cable containing an overhead ground wire and 36 optical fibers. The Fiber Optic System is owned by the Utilities and operated and maintained by the Service Company. The Service Company proposes to perform Fiber Optic System-related maintenance and equipment services for the Lessees. (Rel. 35-26095)

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## SELF-REGULATORY ORGANIZATIONS

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### WITHDRAWALS SOUGHT

A notice has been issued giving interested persons until August 22 to comment on the application of Maxxim Medical, Inc. to withdraw its Common Stock, \$.001 Par Value; 6 3/4% Convertible Subordinated Debentures, due 2003, from listing and registration on the American Stock Exchange. (Rel. 34-34473)

A notice has been issued giving interested persons until August 22 to comment on the application of Computer Data System, Inc. to withdraw its Common Stock, \$0.01 Par Value, from listing and registration on the Boston Stock Exchange. (Rel. 34-34474)

### DELISTING GRANTED

An order has been issued granting the application of the American Stock Exchange to strike from listing and registration Caspen Oil, Inc., Common Shares, \$0.50 Par Value; Series A \$1.80 Convertible Preferred Shares and Par Value \$1. (Rel. 34-34475)

## PROPOSED RULE CHANGE

The National Securities Clearing Corporation filed a proposed rule change (SR-NSCC-94-12) seeking permanent approval of the New York Window service. Publication of the proposal is expected in the Federal Register during the week of August 8. (Rel. 34-34470)

## IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGE

The Participants Trust Company filed a proposed rule change (SR-PTC-94-04) designating mortgage-backed securities guaranteed by the Federal Home Loan Mortgage Corporation as depository eligible securities. The proposed rule change became effective upon filing. Publication of the proposed rule change is expected in the Federal Register during the week of August 8. (Rel. 34-34472)

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## SECURITIES ACT REGISTRATIONS

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The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

- S-8 UNITED CITIES GAS CO, 5300 MARYLAND WAY, BRENTWOOD, TN 37027  
(615) 373-0104 - 216,105 (\$3,485,773.65) COMMON STOCK. (FILE 33-54759 - JUL. 27) (BR. 8)
- S-3 ASSOCIATED NATURAL GAS CORP, 370 17TH ST STE 900, DENVER, CO 80202  
(303) 595-3331 - 264,089 (\$8,268,627) COMMON STOCK. (FILE 33-54761 - JUL. 27) (BR. 7)
- S-3 KOGER EQUITY INC, 3986 BLVD CTR DR STE 101, JACKSONVILLE, FL 32207  
(904) 398-3403 - 372,414 (\$2,979,312) COMMON STOCK. (FILE 33-54765 - JUL. 27) (BR. 5)
- S-3 AMERICAN REAL ESTATE PARTNERS L P, 90 SOUTH BEDFORD ROAD, MT KISCO, NY 10549 (912) 242-7700 - 2,000,000 (\$16,000,000) LIMITED PARTNERSHIP CERTIFICATE. 6,000,000 (\$60,000,000) PREFERRED STOCK. (FILE 33-54767 - JUL. 27) (BR. 6)
- S-1 STONE CONTAINER CORP, 150 N MICHIGAN AVE, CHICAGO, IL 60601  
(312) 346-6600 - 650,000,000 (\$650,000,000) MORTGAGE BONDS. 250,000,000 (\$250,000,000) STRAIGHT BONDS. (FILE 33-54769 - JUL. 27) (BR. 8)
- S-4 HUNTINGTON BANCSHARES INC/MD, HUNTINGTON CTR, 41 S HIGH ST, COLUMBUS, OH 43287 (614) 476-8300 - 764,526 (\$29,051,988) COMMON STOCK. (FILE 33-54771 - JUL. 27) (BR. 1)

REGISTRATIONS CONT.

- S-4 NORTHERN TRUST CORP, 50 S LA SALLE ST, CHICAGO, IL 60675 (312) 630-6000 - 1,701,515 (\$23,075,073) COMMON STOCK. (FILE 33-54773 - JUL. 28) (BR. 1)
- S-4 NNRC INC, 1900 WAZEE, STE 305, DENVER, CO 80202 (303) 293-2902 - 6,038,936 (\$603,893.60) COMMON STOCK. (FILE 33-81944 - JUL. 26) (BR. 2 - NEW ISSUE)
- S-1 CIDCO INC, 220 COCHRANE CIRCLE, MORGAN HILL, CA 95037 (408) 779-1162 - 2,875,000 (\$53,187,500) COMMON STOCK. UNDERWRITER: BROWN ALEX & SONS INC, MONTGOMERY SECURITIES. (FILE 33-81956 - JUL. 26) (BR. 8)
- S-8 MINNESOTA EDUCATIONAL COMPUTING CORP, 6160 SUMMIT DR NORTH, MINNEAPOLIS, MN 55430 (612) 569-1500 - 690,900 (\$5,872,650) COMMON STOCK. (FILE 33-81962 - JUL. 26) (BR. 9)
- S-3 GRANCARE INC, 300 CORPORATE POINTE STE 400, CULVER CITY, CA 90230 (310) 645-1555 - 1,000,000 (\$18,937,500) COMMON STOCK. (FILE 33-81966 - JUL. 27) (BR. 5)
- S-8 COOKSON GROUP PLC, 130 WOOD ST, LONDON ENGLAND EC2V 6EQ, X0 - 16,860,000 (\$67,440,000) FOREIGN COMMON STOCK. (FILE 33-81968 - JUL. 27) (BR. 9)
- S-11 MID AMERICA APARTMENT COMMUNITIES INC, 6584 POPLAR AVE, STE 340, MEMPHIS, TN 38138 (901) 682-6600 - 3,450,000 (\$88,837,500) COMMON STOCK. (FILE 33-81970 - JUL. 27) (BR. 5)
- S-2 BANKATLANTIC BANCORP INC, 1750 EAST SUNRISE BLVD, FORT LAUDERDALE, FL 33304 (305) 760-5200 - 35,000,000 (\$35,000,000) STRAIGHT BONDS. UNDERWRITER: BEAR STEARNS & CO INC. (FILE 33-81972 - JUL. 27) (BR. 2)
- S-3 TEPPCO PARTNERS LP, 2929 ALLEN PKWY, P O BOX 2521, HOUSTON, TX 77252 (713) 759-3636 - 1,250,000 (\$36,093,750) LIMITED PARTNERSHIP CERTIFICATE. (FILE 33-81976 - JUL. 26) (BR. 7)
- S-8 PLANTRONICS INC /CA/, 337 ENCINAL ST, PO BOX 1802, SANTA CRUZ, CA 95061 (408) 426-6060 - 1,609,621 (\$9,856,381.61) COMMON STOCK. (FILE 33-81980 - JUL. 26) (BR. 7)
- S-8 XICOR INC, 1511 BUCKEYE DR, MILPITAS, CA 95035 (408) 432-8888 - 500,000 (\$1,187,500) COMMON STOCK. (FILE 33-81986 - JUL. 25) (BR. 3)
- S-8 IKOS SYSTEMS INC, 145 N WOLFE RD, SUNNYVALE, CA 94086 (408) 245-1900 - 500,000 (\$845,000) COMMON STOCK. (FILE 33-81994 - JUL. 26) (BR. 10)
- S-3 ALPINE GROUP INC /DE/, 1790 BROADWAY, NEW YORK, NY 10019 (212) 757-3333 - 1,053,265 (\$7,109,538.75) COMMON STOCK. (FILE 33-81996 - JUL. 26) (BR. 9)
- S-8 POMELL INDUSTRIES INC, 8550 MOSLEY DR, HOUSTON, TX 77075 (713) 944-6900 - 511,533 (\$3,388,906.13) COMMON STOCK. (FILE 33-81998 - JUL. 26) (BR. 3)
- S-8 CELLULAR TECHNICAL SERVICES CO INC, 2401 FOURTH AVE, SEATTLE, WA 98121 (206) 443-6400 - 450,000 (\$5,712,000) COMMON STOCK. (FILE 33-82016 - JUL. 26) (BR. 9)

REGISTRATIONS CONT.

- SB-2 DOCUCON INCORPORATED, 7461 CALLAGHAN RD, SAN ANTONIO, TX 78229  
(210) 593-0183 - 746,001 (\$7,460.01) WARRANTS, OPTIONS OR RIGHTS. 914,727  
(\$1,241,619.49) COMMON STOCK. (FILE 33-82018 - JUL. 26) (BR. 9)
- S-8 TRIANGLE BANCORP INC, 4800 SIX FORKS RD, RALEIGH, NC 27609  
(919) 881-0455 - 459,694 (\$4,769,325.25) COMMON STOCK. (FILE 33-82020 -  
JUL. 26) (BR. 1)
- S-8 TRIANGLE BANCORP INC, 4800 SIX FORKS RD, RALEIGH, NC 27609  
(919) 881-0455 - 370,200 (\$3,840,825) COMMON STOCK. (FILE 33-82022 -  
JUL. 26) (BR. 1)

REGISTRATIONS EFFECTIVE:

<u>NAME OF ISSUER</u>	<u>FILE NO.</u>	<u>DISPOSITION DATE</u>
MODINE MANUFACTURING CO	33-54721	07/26/94
MODINE MANUFACTURING CO	33-54723	07/26/94
MODINE MANUFACTURING CO	33-54725	07/26/94
NPSI SYSTEMS INC	33-76286	07/28/94
MUSICLAND STORES CORP	33-82130	07/28/94
NATIONAL ELECTRICITY CO OF CHILE INC	33-81400	07/26/94
NATIONAL ELECTRICITY CO OF CHILE INC	33-81400	07/26/94
NEW WORLD POWER CORPORATION	33-79626	07/28/94
NEW WORLD POWER CORPORATION	33-79626	07/28/94
MORRELL CORP	33-80046	07/26/94
MORRELL CORP	33-80046	07/26/94
MORRELL CORP	33-80046	07/26/94
MORRELL CORP	33-80046	07/26/94
MORRELL CORP	33-82002	07/27/94
MORRELL CORP	33-82004	07/27/94
MORRELL CORP	33-82006	07/27/94
MUVEEN OHIO PREMIUM INCOME MUNICIPAL FUN	33-64400	07/23/94
OMEGA FINANCIAL CORP /PA/	33-82214	07/29/94
PAULSON CAPITAL CORP	33-82068	07/29/94
PENNSYLVANIA ENTERPRISES INC	33-53435	07/28/94
PENNSYLVANIA ENTERPRISES INC	33-53435	07/28/94
PEPSICO INC	33-54731	07/26/94
PEPSICO INC	33-54733	07/26/94
PHOENIX TECHNOLOGIES LTD	33-81984	07/25/94
PIKEVILLE NATIONAL CORP	33-80592	07/27/94
PIKEVILLE NATIONAL CORP	33-80592	07/27/94
PITTSWAY CORP /DE/	33-54753	07/27/94
PLANTRONICS INC /CA/	33-81980	07/26/94
PLAYERS INTERNATIONAL INC /NV/	33-82026	07/27/94
POLYPHASE CORP	33-82008	07/27/94
POWELL INDUSTRIES INC	33-81998	07/26/94
PRE PAID LEGAL SERVICES INC	33-82144	07/28/94
PT TRI POLYTA INDONESIA	33-80742	07/25/94
PT TRI POLYTA INDONESIA	33-80742	07/25/94
PT TRI POLYTA INDONESIA	33-80742	07/25/94
RADIUS INC	33-79732	07/27/94
RADIUS INC	33-79732	07/27/94
RADIUS INC	33-79732	07/27/94
RAND CAPITAL CORP	33-77824	07/26/94
RAND CAPITAL CORP	33-77824	07/26/94



## REGISTRATIONS EFFECTIVE:

<u>NAME OF ISSUER</u>	<u>FILE NO.</u>	<u>DISPOSITION DATE</u>
REEDS JEWELERS INC	33-82100	07/27/94
REGAL BELOIT CORP	33-82076	07/29/94
REGIONS FINANCIAL CORP	33-54231	07/27/94
REGIONS FINANCIAL CORP	33-54231	07/27/94
REHABCARE CORP	33-82048	07/27/94
REHABCARE CORP	33-82106	07/27/94
RETIX	33-82154	07/28/94
RICHARDSON ELECTRONICS LTD/DE	33-54745	07/26/94
SAFECO CORP	33-52863	07/26/94
SAFECO CORP	33-52863	07/26/94
SAFECO CORP	33-52863	07/26/94
SAFECO CORP	33-52863	07/26/94
SAN MATED COUNTY BANCORP	33-79798	07/26/94
SAN MATED COUNTY BANCORP	33-79798	07/26/94
SAN MATED COUNTY BANCORP	33-79798	07/26/94
SHOPKO STORES INC	33-81902	07/25/94
SIGMA DESIGNS INC	33-81914	07/25/94
SKY SCIENTIFIC INC	33-82098	07/27/94
SOFTWARE PROFESSIONALS INC	33-81912	07/25/94
SOI INDUSTRIES INC	33-81904	07/25/94
SOUTHTRUST CORP	33-53775	07/28/94
SOUTHWALL TECHNOLOGIES INC /DE/	33-82138	07/28/94
SOUTHWESTERN BELL CORP	33-54795	07/29/94
SUMMIT BANCORPORATION	33-79536	07/29/94
SUMMIT BANCORPORATION	33-79716	07/26/94
SUMMIT BANCORPORATION	33-79716	07/26/94
SUMMIT BANCORPORATION	33-79716	07/26/94
SUPERCUTS INC /DE	33-81716	07/25/94
SYMETRICS INDUSTRIES INC	33-82148	07/27/94
TEKELEC	33-82124	07/28/94
TEXTRON INC	33-54805	07/29/94
THEODORE GAMES INC	33-81910	07/25/94
TREMONT ADVISERS INC	33-81438	07/26/94
TRIANGLE BANCORP INC	33-82020	07/26/94
TRIANGLE BANCORP INC	33-82022	07/26/94
TRUSTMARK CORP	33-54157	07/29/94
U S BIOSCIENCE INC	33-82108	07/27/94
UNION PACIFIC CORP	33-54811	07/29/94
UNITED CITIES GAS CO	33-54759	07/27/94
UNITED INTERNATIONAL HOLDINGS INC	33-79296	07/25/94
UNITED INTERNATIONAL HOLDINGS INC	33-79296	07/25/94
UNITED INTERNATIONAL HOLDINGS INC	33-79296	07/25/94
UNITED INTERNATIONAL HOLDINGS INC	33-79296	07/25/94
UNITED INTERNATIONAL HOLDINGS INC	33-79296	07/25/94
VANDERBILT MORTGAGE & FINANCE INC	33-80304	07/25/94
VANDERBILT MORTGAGE & FINANCE INC	33-80304	07/25/94
VANDERBILT MORTGAGE & FINANCE INC	33-80304	07/25/94
VENCOR INC	33-81988	07/25/94
VERTEX INDUSTRIES INC	33-76378	07/28/94
VERTEX INDUSTRIES INC	33-76378	07/28/94
VERTEX INDUSTRIES INC	33-76378	07/28/94
VERTEX INDUSTRIES INC	33-76378	07/28/94
VERTEX INDUSTRIES INC	33-76378	07/28/94
WASHINGTON WATER POWER CO	33-54791	07/28/94
WHITESTONE INDUSTRIES INC	33-82024	07/27/94
WHX CORP	33-54801	07/29/94
UNC CALIFORNIA HOUSING TAX CREDITS IV LP33-76970	33-76970	07/26/94
UNC CALIFORNIA HOUSING TAX CREDITS IV LP33-76970	33-76970	07/26/94
UNC CALIFORNIA HOUSING TAX CREDITS IV LP33-76970	33-76970	07/26/94
XICOR INC	33-81986	07/25/94

RECENT 8-K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.

The companies listed below have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. Copies of the reports may be purchased from the Commission's Public Reference Room (when ordering, please give the date of the report). An invoice will be included with the requested material when mailed.

NAME OF ISSUER	STATE CODE	8-K ITEM NO.								DATE	COMMENT	
		1	2	3	4	5	6	7	8			
AAMES CAPITAL CORP	CA					X					07/14/94	
ACE LTD						X	X				07/21/94	
ACTION AUTO RENTAL INC	DE					X	X				07/22/94	
ACTION PRODUCTS INTERNATIONAL INC	FL					X					07/06/94	
ADOBE SYSTEMS INC	CA					X	X				07/13/94	
ADVANTA CREDIT CARD MASTER TRUST	NY					X	X				07/10/94	
ADVANTA MORTGAGE LOAN TRUST 1994-2				X				X			06/15/94	
AJAY SPORTS INC	DE					X					07/21/94	
ALTON GROUP INC	CA					X					06/30/94	
AMERIBANC INVESTORS GROUP	MD					X	X				07/26/94	
AMERICAN ENTERPRISES INC	NV					X					07/15/94	
AMERICAN GENERAL FINANCE CORP	IN					X					07/26/94	
AMERICAN LEASING INVESTORS VI-B	CA					X					07/05/94	
AMERICAN LEASING INVESTORS VI-C	CA					X					07/05/94	
AMERICAN MEDCARE CORP	DE		X			X					05/31/94	
AMERICAN OIL & GAS CORP /DE/	DE	X						X			07/13/94	
AMERICAN SAFETY RAZOR CO	DE		X					X			05/24/94	AMEND
AQUA CARE SYSTEMS INC /DE/	DE		X					X			07/07/94	
ARMCO INC	OH					X					07/15/94	
ASTORIA FINANCIAL CORP	DE					X	X				07/12/94	
AUTO BOND RECEIVABLES CORP	DE					X	X				07/15/94	
AUTO BOND RECEIVABLES CORP	DE					X	X				07/15/94	
BANKATLANTIC BANCORP INC	FL					X	X				07/13/94	
BANKERS TRUST NEW YORK CORP	NY					X	X				07/22/94	
BARRY R G CORP /OH/	OH					X	X				07/05/94	
BEXY COMMUNICATIONS INC	DE					X					07/18/94	
BION ENVIRONMENTAL TECHNOLOGIES INC	CO			X		X					07/19/94	
BLACK WARRIOR WIRELINE CORP	DE					X					07/25/94	
BORDEN CHEMICALS & PLASTICS LIMITED PART	DE					X	X				07/19/94	
BRIDGE OIL USA INC	DE	X						X			07/12/94	
BROADCASTING PARTNERS INC	DE		X					X			05/10/94	AMEND
CAIRM ENERGY USA INC	DE	X				X	X				07/12/94	
CARE GROUP INC	DE		X					X			05/19/94	AMEND
CARNEGIE BANCORP	NJ					X	X				04/12/94	

8K REPORTS CONT.

NAME OF ISSUER	STATE CODE	8K ITEM NO.								DATE	COMMENT	
		1	2	3	4	5	6	7	8			
CE SOFTWARE HOLDINGS INC	DE		X						X		05/09/94	
CELLCOR INC	DE				X	X					07/20/94	
CF BANCORP INC	DE				X	X					07/22/94	
CHARMING SHOPPES MASTER TRUST					X						07/15/94	
CHASE MORTGAGE FINANCE CORP	DE				X	X					07/21/94	
CHASE MORTGAGE FINANCE CORP	DE				X	X					07/22/94	
CHEMICAL MORT SEC INC MUL CL MORT PA THR	NY				X	X					06/27/94	
CHEMICAL MORT SEC INC MUL CL MORT PA THR	NY				X	X					07/25/94	
CHEMICAL MORTGAGE SEC INC MUL CL MORT PA	NY				X	X					07/25/94	
CHIEFTAIN INTERNATIONAL INC					X	X					07/12/94	
CHILDRENS COMPREHENSIVE SERVICES INC	TN				X						07/21/94	
CHYRON CORP	NY				X	X					06/30/94	
CITIBANK SOUTH DAKOTA N A MONEY MARKET C									X		03/15/94	
CITIBANK SOUTH DAKOTA N A MONEY MARKET C									X		04/15/94	
CITIBANK SOUTH DAKOTA N A MONEY MARKET C									X		05/15/94	
CITIBANK SOUTH DAKOTA N A MONEY MARKET C									X		06/15/94	
CITIBANK SOUTH DAKOTA N A STANDARD CREDI	DE								X		03/15/94	
CITIBANK SOUTH DAKOTA N A STANDARD CREDI	DE								X		05/15/94	
CITIBANK SOUTH DAKOTA NA CHOICE CREDIT C									X		03/15/94	
CITIBANK SOUTH DAKOTA NA CHOICE CREDIT C									X		03/15/94	
CITIBANK SOUTH DAKOTA NA CHOICE CREDIT C									X		04/15/94	
CITIBANK SOUTH DAKOTA NA CHOICE CREDIT C									X		04/15/94	
CITIBANK SOUTH DAKOTA NA CHOICE CREDIT C									X		05/15/94	
CITIBANK SOUTH DAKOTA NA CHOICE CREDIT C									X		05/15/94	
CITIBANK SOUTH DAKOTA NA CHOICE CREDIT C									X		06/15/94	
CITIBANK SOUTH DAKOTA NA NATIONAL CREDIT									X		03/15/94	
CITIBANK SOUTH DAKOTA NA NATIONAL CREDIT									X		03/15/94	
CITIBANK SOUTH DAKOTA NA NATIONAL CREDIT									X		04/15/94	
CITIBANK SOUTH DAKOTA NA NATIONAL CREDIT									X		05/15/94	
CITIBANK SOUTH DAKOTA NA NATIONAL CREDIT									X		06/15/94	
CITIBANK SOUTH DAKOTA NA STAM CRED CARD	DE								X		03/15/94	
CITIBANK SOUTH DAKOTA NA STAM CRED CARD	DE								X		04/15/94	
CITIBANK SOUTH DAKOTA NA STAM CRED CARD	DE								X		05/15/94	
CITIBANK SOUTH DAKOTA NA STAND CREDIT CA	DE								X		06/15/94	
CITIBANK SOUTH DAKOTA NA STANDARD CREDIT	DE								X		03/15/94	
CITIBANK SOUTH DAKOTA NA STANDARD CREDIT									X		03/15/94	
CITIBANK SOUTH DAKOTA NA STANDARD CREDIT									X		04/15/94	
CITIBANK SOUTH DAKOTA NA STANDARD CREDIT	DE								X		04/15/94	
CITIBANK SOUTH DAKOTA NA STANDARD CREDIT									X		05/15/94	
CITIBANK SOUTH DAKOTA NA STANDARD CREDIT	DE								X		05/15/94	
CITIBANK SOUTH DAKOTA NA STANDARD CREDIT	DE								X		05/20/94	
CITIBANK SOUTH DAKOTA NA STANDARD CREDIT	DE								X		06/15/94	
CITIBANK SOUTH DAKOTA NA STANDARD CREDIT									X		06/15/94	
CITIBANK SOUTH DAKOTA NA STANDARD CREDIT	DE								X		06/15/94	
CLARK EQUIPMENT CO /DE/	DE								X		07/26/94	AMEND
CLARK EQUIPMENT CO /DE/	DE				X	X					07/27/94	
CLARK EQUIPMENT CO /DE/	DE				X	X					07/27/94	
COLONIAL CREDIT CARD TRUST 1992-A	NY				X	X					07/07/94	
COLUMBIA MCA HEALTHCARE CORP/	DE				X	X					07/28/94	
CONDISCO INC	DE				X	X					07/20/94	
COMMERCIAL FEDERAL CORP	ME				X	X					07/15/94	
COMPUED INC	DE				X	X					07/25/94	
CORESTATES HOME EQUITY TRUST 1993-2									X		07/25/94	
CMBS INC MORTGAGE PASS THROUGH CERTIFIC					X	X					06/01/94	
DAIN PENSION INVESTORS 84	MI		X								07/25/94	

8K REPORTS CONT.

NAME OF ISSUER	STATE CODE	8K ITEM NO.								DATE	COMMENT	
		1	2	3	4	5	6	7	8			
DBS INDUSTRIES INC	DE				X						07/18/94	
DIVERSIFIED INDUSTRIES INC	DE				X	X					07/22/94	
DLJ MORTGAGE ACCEPT CORP MORT PASS THRO	DE	X				X					06/29/94	
DR STRUCT FIN COR DR SECURIT LEA TR PA T	DE					X					07/08/94	
DTC DATA TECHNOLOGY CORP	DE	X									07/08/94	
DUPOINT E I DE MEMOURS & CO	DE					X					07/27/94	
E FOR M CORP	DE					X	X				05/10/94	AMEND
ELLETT BROTHERS INC	SC				X	X					07/14/94	
ENTOURAGE INTERNATIONAL INC	TX				X	X					07/12/94	
ENVIROMINT HOLDINGS INC	FL				X	X					07/21/94	
FAMILY STEAK HOUSES OF FLORIDA INC	FL				X						07/21/94	
FAY LESLIE COMPANIES INC	DE				X						07/14/94	
FIRST DEPOSIT MASTER TRUST					X						05/23/94	
FIRST DEPOSIT NAT BK FIRST DEP MAS TR AS					X						05/16/94	
FIRST DEPOSIT NAT BK FIRST DEP MAS TR AS					X						05/16/94	
FIRST DEPOSIT NAT BK FIRST DEP MAS TR AS					X						05/23/94	
FIRST DEPOSIT NAT BK FIRST DEP MAS TR AS					X						05/23/94	
FIRST DEPOSIT NATL BK FIRST DEP MA TR AS					X						05/23/94	
FIRST DEPOSIT NATL BK FIRST DEP MA TR AS					X						05/23/94	
FIRST INTERSTATE BANK OF NEVADA NATIONAL					X						06/15/94	
FLEETWOOD CREDIT 1994-B GRANTOR TRUST	CA				X	X					07/15/94	
FORD MOTOR CO	DE				X	X					07/27/94	
FRENCHTEX INC	FL				X						07/15/94	
FUND AMERICA INVESTORS CORP II	DE				X	X					07/25/94	
GAMING WORLD INTERNATIONAL LTD	DE	X				X	X				07/22/94	
GE CAPITAL MOR SER INC REMIC MUL CL PA T					X	X					06/27/94	
GE CAPITAL MOR SER INC REMIC MUL CL PA T					X	X					06/29/94	
GE CAPITAL MORTGAGE SERVICES INC	NJ	X									06/30/94	
GENZYME CORP	MA				X	X					07/25/94	
GRACECARE HEALTH SYSTEMS INC	DE				X	X					07/25/94	
HALLIBURTON CO	DE				X	X					07/26/94	
HEALTH CHEM CORP	DE	X			X	X					07/15/94	
HERITAGE FINANCIAL SERVICES INC /IL/	IL	X				X					07/08/94	
HMM FINANCIAL INC	DE				X	X					07/25/94	
HOLIDAY RV SUPERSTORES INC	FL				X						07/22/94	
HOMEORP INC	DE				X	X					07/20/94	
HOPE TECHNOLOGIES INC /NV	NV				X		X				06/01/94	
HORIZON FINANCE CORP	DE										05/25/94	
HOUSEHOLD AFFINITY CREDIT CARD MASTER TR	NY					X					07/20/94	
HOUSEHOLD CREDIT CARD TRUST 1991-1	NV					X					07/20/94	
HOUSEHOLD CREDIT CARD TRUST 1991-2	DE					X					07/20/94	
HOUSEHOLD CREDIT CARD TRUST 1992-1	DE					X					07/20/94	
IBIS TECHNOLOGY CORP	MA				X	X					07/18/94	
ICC TECHNOLOGIES INC	DE				X						06/17/94	
ILLINOIS CENTRAL CORP	DE				X	X					07/27/94	
INCYTE PHARMACEUTICALS INC	DE				X	X					06/23/94	
INFOPAGE INC	DE				X						07/19/94	
INLAND RESOURCES INC\CO\	WA					X					04/28/94	AMEND
INTERNATIONAL LOTTERY INC	OH					X					07/18/94	
INTERSOLV INC	DE					X					04/20/94	AMEND
ITT FLOORPLAN RECEIVABLES LP	DE				X						07/15/94	
IVERSON TECHNOLOGY CORP	DE				X						02/03/94	AMEND