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# sec news digest

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Issue 79-118

June 19, 1979

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## NOTICE OF COMMISSION MEETINGS

### U.S. SECURITIES AND EXCHANGE COMMISSION

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Following is a schedule of Commission meetings which will be conducted pursuant to provisions of the Government in the Sunshine Act. In general, the Commission expects to follow a schedule of holding closed meetings on Tuesdays, and open meetings on Thursday mornings. Meetings on Wednesday, and if necessary on Thursday afternoons, will be either open or closed according to the requirements of agenda items under consideration. The Commission will not normally meet on Mondays or Fridays.

Visitors are welcome at all open meetings, insofar as seating is available.

Meetings will be held in the Commission Meeting Room on the eighth floor of the Commission's headquarters building at 500 North Capitol Street, Washington, D.C. All visitors are required to sign in and obtain passes at the Reception Desk in the lobby. Persons wishing to photograph or obtain a tape recording of Commission meetings must obtain permission in advance from the Secretary of the Commission.

#### OPEN MEETING - TUESDAY, JUNE 26, 1979 - 10:00 A.M.

The subject matter of the June 26 open meeting will be:

- (1) Consideration of an application by Murry Iskoe to lift the bar imposed by Commission Order of March 15, 1971, suspending him from association with any broker-dealer in a proprietary or supervisory capacity. For further information, please contact Michael F. Perlis at (202) 755-1498.
- (2) Consideration of whether to issue a release soliciting comments from the public on the desirability of rescinding Rule 45a-1 promulgated by the Commission under the Investment Company Act of 1940, which provides for confidential treatment of certain information relating to mutual fund dealers. If Rule 45a-1 were rescinded, the information contained in Item 66 of Form N-1R, the annual report form for management investment companies, requiring identification of the ten dealers selling the largest dollar amounts of the shares of a registrant would be available for public inspection. For further information, please contact Glen Payne at (202) 755-1739 or Melville Cox at (202) 755-0237.
- (3) Consideration of whether to withdraw the proposed amendment to Form S-5 under the Securities Act of 1933 which would require open-end investment companies investing primarily in short-term debt securities to supplement their prospectuses at the end of each calendar quarter by adding a sticker containing an unaudited listing of their investments and a table containing specified unaudited historical information. For further information, please contact Anthony A. Vertuno at (202) 755-1192 or Larry L. Greene at (202) 755-1232.
- (4) Consideration of whether to amend its instructions to the reporting requirements under the institutional investment disclosure program to set forth procedures for submitting a request for confidential treatment and to establish substantive issues to be addressed in any request for confidential treatment. In addition, the Commission will consider whether to grant or deny certain requests for confidential treatment which were filed for reports as of December 31, 1978. For further information, please contact W. Scott Cooper at (202) 755-1792.
- (5) Consideration of a request to depose a Commission staff member with respect to Commission filing procedures. For further information, please contact William Dietch at (202) 755-1342.
- (6) Consideration of proposed amendments to Rule 402(a) under the Securities Act of 1933 and Rule 12b-11(a) under the Securities Exchange Act of 1934 which would increase the number of complete copies of registration statements under the Securities Act and other reports and filings under the Securities Exchange Act, including exhibits, from three to four. For further information, please contact Mary A. Binno at (202) 376-8090.

The subject matter of the June 26 closed meeting will be: Access to investigative files by Federal, State, or Self-Regulatory Authorities; Other litigation matters; Formal orders of investigation; Settlement of administrative proceedings of an enforcement nature; Institution of administrative proceedings of an enforcement nature; Institution of injunctive action; Administrative proceeding of an enforcement nature.

AT TIMES CHANGES IN COMMISSION PRIORITIES REQUIRE ALTERATIONS IN THE SCHEDULING OF MEETING ITEMS. FOR FURTHER INFORMATION AND TO ASCERTAIN WHAT, IF ANY, MATTERS HAVE BEEN ADDED, DELETED OR POSTPONED, PLEASE CONTACT: George Yearsich at (202) 755-1100.

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## COMMISSION ANNOUNCEMENTS

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### FILES ON CORPORATE PAYMENTS MADE PUBLIC

The Commission, pursuant to requests under the Freedom of Information Act, has made available to the public certain previously non-public files in its possession relating to questionable corporate payments. Files concerning the following corporation will be made available beginning today: Additional material on Exxon Corporation. These files, and others made available previously, may be inspected at the Commission's Public Reference Room, Room 6101, 1100 L Street, N.W., Washington, D.C., between the hours of 9 a.m. and 5 p.m. Persons wishing further information may call the Public Reference Room at (202) 523-5360.

### WILLIAM STERN NAMED DIRECTOR OF OFFICE OF OPINIONS AND REVIEW

Chairman Harold M. Williams today announced the appointment of William S. Stern as Director of the Commission's Office of Opinions and Review. Mr. Stern is currently Acting Director of that Office.

The primary function of the Office of Opinions and Review is to assist the Commission in the preparation of formal opinions in contested administrative proceedings. Such proceedings are instituted by the Commission on the recommendation of its enforcement staff in connection with apparent violations of the securities laws by broker-dealers, investment advisers or their associated persons. Others result from appeals by brokerage firms or individuals from disciplinary action taken against them by one of the national securities exchanges or by the National Association of Securities Dealers. Still other proceedings result from applications filed with the Commission by corporations or persons who seek Commission approval of otherwise prohibited transactions or exemptions from statutory requirements.

Mr. Stern first joined the Commission 16 years ago as a drafting attorney in the Office of Opinions and Review. He served in progressively more responsible positions in that Office, being appointed Special Counsel in June 1973, and Associate Director in April 1974. In March 1978, he was named Acting Director.

Mr. Stern is a graduate of Harvard College and Harvard Law School. He worked on the staff of the Antitrust Division of the Department of Justice, and engaged in the private practice of law, before joining the Commission in 1963.

### WEEKLY CALENDAR

The following is a schedule of speaking engagements, Congressional testimony, Commission hearings and other public events involving the Commission for the week of June 25, 1979. (Commission Meetings are announced separately in the News Digest.)

#### Wednesday, June 27

- \* Public Hearings on Off-Board Trading Rules will be held beginning at 10:00 a.m. at the SEC Headquarters Building, 500 North Capitol St., Washington, D.C. (For the current schedule see the June 14 News Digest.)

#### Thursday, June 28

- \* Commission Loomis will speak before the American Society of Corporate Secretaries at The Greenbriar, White Sulphur Springs, West Virginia. He is scheduled to speak between 9:30 a.m. and 12 noon.

- \* Public Hearings on Off-Board Trading Rules will be held beginning at 10:00 a.m. at the SEC Headquarters Building, 500 North Capitol St., Washington, D.C. (For the current schedule see the June 14 News Digest.)

Friday, June 29

- \* Public Hearings on Off-Board Trading Rules will be held beginning at 10:00 a.m. at the SEC Headquarters Building, 500 North Capitol St., Washington, D.C. (For the current schedule see the June 14 News Digest.)

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## **CIVIL PROCEEDINGS**

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### **COMPLAINT NAMES UNITED FINANCIAL CORPORATION OF CALIFORNIA AND NATIONAL STEEL CORPORATION**

The SEC announced the filing on June 18 of a complaint in the U.S. District Court for the District of Columbia seeking injunctive relief against United Financial Corporation of California (UFC), a publicly-held savings and loan company headquartered in San Francisco, California, and against National Steel Corporation (NS), a publicly-held steel company headquartered in Pittsburgh, Pennsylvania. The Commission's complaint charges UFC with violations of Sections 10(b) and 14(a) of the Securities Exchange Act of 1934 and Rules 10b-5, 14a-3 and 14a-9 thereunder, and NS with violations of Section 13(d) of the Exchange Act and Rules 13d-1 and 13d-2 thereunder, in connection with the proposed merger between NS and UFC.

The Commission also announced the entry by the District Court of final judgments of permanent injunctions against both UFC and NS. UFC and NS consented to the entry of the final judgments without admitting or denying the allegations of the Commission's complaint. (SEC v. United Financial Corp. of California and National Steel Corp., D.D.C., Civil Action No. 79-1573.) (LR-8785)

### **COMPLAINT FILED AGAINST GRANT H. ROYLANCE, OTHERS**

The Denver Regional Office announced that on May 30, a civil injunctive action was filed in the U.S. District Court for the District of Utah, Central Division, against Grant H. Roylance, Provo, Utah; G. R. and Associates, Inc., Provo, Utah; Myron K. Rigby, Salt Lake City, Utah, individually and doing business as Metals Exchange; and James Gibbons, Provo, Utah, individually and doing business as Metals Exchange; alleging violations of the registration and antifraud provisions of the securities laws. The Commission alleged in its complaint, among other things, that the defendants engaged in the offer and sale of unregistered securities; namely, promissory notes, investment contracts and evidences of indebtedness issued by Roylance, G.R. and Associates, Inc., and Metals Exchange. The complaint also alleged, among other things, that untrue statements of material facts and omissions to state material facts occurred in the offer and sale of those securities. Concurrent with the filing of the Commission's complaint, defendants Rigby and Gibbons, without admitting or denying the allegations of the complaint, consented to the entry of orders of permanent injunction. On June 1, 1979, the orders of permanent injunction were signed by the Honorable Bruce S. Jenkins against both Rigby and Gibbons. (SEC v. Grant H. Roylance, et al., USDC, Utah, Civil No. C-79-0311). (LR-8779)

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## **HOLDING COMPANY ACT RELEASES**

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### **NEW ENGLAND ELECTRIC SYSTEM**

A notice has been issued giving interested persons until July 10 to request a hearing on a proposal by New England Electric System and its fuel subsidiary, New England Energy, Incorporated, concerning proposed financing for the fuel subsidiary. (Rel. 35-21105 - June 15)

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## **SELF-REGULATORY ORGANIZATIONS**

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### **NOTICE OF PROPOSED RULE CHANGE**

The New England Securities Depository Trust Company has filed a proposed rule change under Rule 19b-4 (SR-NESDTC-79-2) to establish and set fees for its Collateral Loan Program. Publication of the proposal is expected to be made in the Federal Register during the week of June 18. (Rel. 34-15929)

## NOTICE OF EFFECTIVENESS OF RULE CHANGES

The following have filed proposed rule changes which have become effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934: Stock Clearing Corporation of Philadelphia, Inc. (SCCP) - (SR-SCCP-79-9) SCCP has established a fee of \$5 per item for all deposits submitted to the PHILADEP depository not in good form. SCCP also amended its fee schedule to include a \$75 maximum value charge for each purchase or sale transaction. (Rel. 34-15927); New York Stock Exchange, Inc. (NYSE) - (SR-NYSE-79-24) to eliminate the obligation upon specialist odd-lot dealers to submit monthly, on Form 600-A, their odd-lot trading volume for the purpose of calculating the transaction fee imposed under Article X, Section 2 of the NYSE Constitution. (Rel. 34-15930); and Chicago Board Options Exchange, Inc. - (SR-CBOE-79-6) to revise certain of its existing charges and fees. (Rel. 34-15931)

Publication of the above proposals are expected to be made in the Federal Register during the week of June 18.

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## SECURITIES ACT REGISTRATIONS

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The following registration statements have been filed with the SEC pursuant to the Securities Act of 1933. The information noted below has been taken from the cover page and the facing sheet of the prospectus and registration statement and will appear as follows: Form; Name, address and phone number (if available) of the issuer of the security; Title and the number or face amount of the securities being offered; Name of the managing underwriter (if applicable); Whether the offering is a rights offering; and File number and date filed.

- (S-14) SOUTHERN PACIFIC COMPANY, Southern Pacific Building, One Market Plaza, San Francisco, Cal. 94105 (415-362-1212) - \$134,100,000 of 10.35% debentures, due 1994, and an indeterminate number of shares of 9.35% restricted notes due 1984, 1989 or 1994. Southern Pacific is a holding company with subsidiaries engaged primarily in railroad freight transportation, trucking, pipeline operations, real estate and natural resource activities, communications services and various leasing activities. (File 2-64769 - June 15)
- (S-7) IMPERIAL BANCORP, Imperial Bank Bldg., 9920 S. LaCienega Blvd., Inglewood, Cal. 90301 (213-649-4444) - \$15 million of floating rates notes, due 1999 (Convertible at option of holder into debentures, due 1999). Underwriter: Bache Halsey Stuart Shields Inc. The company is a bank holding company. (File 2-64774 - June 18)
- (S-1) DATAMETRICS CORPORATION, 7630 Gloria Avenue, Van Nuys, Cal. 91406 - 192,500 shares of common stock, 1 common stock purchase warrant, and 17,500 shares of common stock issuable upon exercise of purchase warrant. Underwriter: First Affiliated Securities, Inc. The company develops, designs, manufactures and sells high speed, non-impact printers and related accessories and supplies used in connection with electronic data processing and data communications systems. (File 2-64775 - June 18)
- (S-14) MCA INC., 100 Universal City Plaza, Universal City, Cal. 91608 (213-985-4321) - 70,473 shares of common stock. The company is a diversified international company engaged in production and distribution of theatrical motion pictures and television product among many other things. (File 2-64776 - June 18)
- In a separate S-14 registration statement the company seeks registration of 55,490 shares of common stock. (File 2-64777 - June 18)
- (S-7) C & K PETROLEUM, INC., 1 Houston Center, Suite 2600, Houston, Tex. 77002 (713-654-4466) - 1,210,000 shares of common stock. Underwriters: Donaldson, Lufkin & Jenrette Securities Corporation and Rotan Mosle Inc. The company explores for, develops and produces oil and natural gas. (File 2-64780 - June 18)
- (S-16) SENSORMATIC ELECTRONICS CORPORATION, 500 N.W. 12th Ave., Hillsboro Plaza, Deerfield Beach, Fla. 33341 (305-427-9700) - 143,389 warrants to purchase common stock and 143,389 shares of common stock. (File 2-64781 - June 18)
- (N-1) INTERCAPITAL HIGH YIELD SECURITIES INC., One Battery Park Plaza, New York, N.Y. 10004 - an indefinite number of shares of common stock. Underwriter: Dean Witter Reynolds Inc. The company is a new open-end diversified management investment company. (File 2-64782 - June 18)
- (S-1) FINANCIAL GUARDIAN GROUP, INC., 3100 Broadway, Kansas City, Mo. 64111 - 1,000,000 shares of Class A voting common stock. The company is an insurance holding company. (File 2-64783 - June 18)

- (S-16) XEROX CORPORATION, Stamford, Conn. 06904 (203-329-8711) - 96,259 shares of common stock. (File 2-64784 - June 18)
- (S-1) UBANTCO CORPORATION, 224 North Main St., Kokomo, Ind. 46901 - 125,000 shares of common stock. The company is a bank holding company. (File 2-64785 - June 15)
- (S-8) DAYTON-HUDSON CORPORATION, 777 Nicollet Mall, Minneapolis, Minn. 55402 - \$15 million of interests in common stock. (File 2-64786 - June 18)
- (S-8) AMERICAN PETROFINA INC., Fina Plaza, Dallas, Tex. 75206 (214-750-2400) - 600,000 shares of common stock. (File 2-64787 - June 18)
- (S-14) SCIENCE MANAGEMENT CORPORATION, Fellowship Rd., Moorestown, N.J. 08057 (609-235-9200) - 500,000 shares of common stock. The company is a professional services company. (File 2-64788 - June 18)
- (S-14) HOSPITAL MORTGAGE GROUP, INC., 2701 South Bayshore Dr., Coconut Grove, Fla. 33133 (305-854-6803 - 1,178,000 shares of common stock. (File 2-64789 - June 18)
- (S-8) UNITED TECHNOLOGIES CORPORATION, United Technologies Bldg., Hartford, Conn. 06101 - 278,570 shares of common stock. (File 2-64790 - June 18)

#### REGISTRATIONS EFFECTIVE

June 7: The Chesapeake Corporation of Virginia, 2-64211.

June 8: Coachmen Industries, Inc., 2-64572; Gannett Co., Inc., 2-63887; National Liberty Corp., 2-64381.

June 11: First National Boston Corp., 2-64502.

June 12: The All American Burger, Inc., 2-63115 (90 days); American Tax Exempt Bond Trust, Series 34, 2-64553; Government Securities Income Fund, Twelfth GNMA Series, 2-64108; Interpublic Group of Companies, Inc., 2-64338; Michigan General Corp., 2-64459; Moran Bros., Inc., 2-64445; Northwest Energy Co., 2-64420; Sears Roebuck Acceptance Corp., 2-64577; Trans World Corp., 2-64575.

June 13: Basic Resources Corp., 2-64647; Consolidated Natural Gas Co., 2-64514; Elisimore Corp., 2-64519; Fuqua Industries, Inc., 2-64204 and 2-64205; International Income Property, 2-64130; Kaneb Services, Inc., 2-64492; MAP 1979 Drilling Partnership, 2-63483 (90 days); McDonald's Corp., 2-64604; New England Merchants Co., Inc., 2-64225; Northern Indiana Public Service Co., 2-64508; San Jose Water Works, 2-64415; Science Applications, Inc., 2-64462; South Carolina Electric & Gas Co., 2-64602; Sundstrand Corp., 2-64707.

June 14: Del E. Webb Corporation, 2-64544; Household Finance Corp., 2-64730; Landmark Banking Corporation of Florida, 2-64549; Municipal Investment Trust Fund, One Hundred Seventh Monthly Payment Series, 2-64472; Nuveen Tax Exempt Bond Fund - Multi State Series 3, 2-64382; Topaz Electronics, 2-64460.

June 15: Hart Schaffner & Marx, 2-64613; Tax-Exempt Municipal Trust, Twenty-Seventh National Series, 2-64563.

**NOTE TO DEALERS.** When applicable the 90-day period of time dealers are required to use the prospectus is noted above in parentheses after the name of the issuer. As to the other issuers, there may be no such requirement to use a prospectus, or the requirement may be for a period of only 40 days; see Section 4(3) of the Securities Act of 1933 and Rule 174 (17 CFR 230.174) thereunder.

#### RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events: <sup>\*/</sup>

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Financial Statements and Exhibits.

The companies listed below have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. Copies of the reports may be purchased from the Commission's Public Reference Section (in ordering, please give the date of the report). An invoice will be included with the requested material when mailed.

| COMPANY                                  | ITEMS NO. | DATE     |       |
|--|-----------|----------|-------|
| DURR OLIVER INC                          | 5         | 06/05/79 |       |
| DRAVO CORP                               | 2,7       | 06/01/79 | *     |
| E&B SUPERMARKETS INC                     | 5         | 05/07/79 |       |
| EARTH SCIENCES INC                       | 6         | 06/07/79 |       |
| ENERGETICS MARKETING & MANAGEMENT ASSOCI | 5         | 05/11/79 |       |
| EQUITY EDUCATORS ASSURANCE CO            | 6         | 04/01/79 |       |
| EVANS PRODUCTS CO                        | 5         | 05/31/79 |       |
| EVANS PRODUCTS CO                        | 5         | 06/04/79 |       |
| EXECUTIVE HOUSE INC                      | 5         | 05/31/79 |       |
| FAB INDUSTRIES INC                       | 5         | 05/22/79 |       |
| FAYS DRUG CO INC                         | 5,7       | 06/06/79 | *     |
| FIRST BANKSHARES OF WYOMING              | 1,2,5,7   | 06/11/79 | *     |
| FIRST MORTGAGE INVESTORS                 | 5,7       | 05/31/79 | *     |
| FLORIDA EAST COAST RAILWAY CO            | 2,5       | 06/08/79 |       |
| GENERAL GROWTH PROPERTIES                | 5,7       | 06/04/79 | *     |
| GENERAL PUBLIC UTILITIES CORP /PA/       | 5         | 05/09/79 |       |
| GRAND UNION CO                           | 5,6       | 05/18/79 |       |
| GREAT EASTERN MANAGEMENT CORP            | 5         | 06/05/79 |       |
| HADSON OHIO GIL CO                       | 5         | 06/06/79 |       |
| HEINZ H J CO                             | 5         | 05/10/79 |       |
| HIGHLANDS COAL & CHEMICAL CORP           | 5         | 05/29/79 |       |
| HOLLY RESOURCES CORP                     | 5         | 05/01/79 |       |
| HOME SAVINGS & LOAN ASSOCIATION          | 5,7       | 05/01/79 | *     |
| HOSPITAL CORP OF AMERICA /TN/            | 5         | 05/01/79 |       |
| HOUSTON INDUSTRIES INC                   | 5         | 05/21/79 |       |
| HOUSTON LIGHTING & POWER CO              | 5         | 05/21/79 |       |
| HYDROCULTURE INC                         | 5         | 05/01/79 |       |
| IDEAL BASIC INDUSTRIES INC               | 5         | 05/09/79 |       |
| IMPERIAL AMERICAN RESOURCES FUND INC     | 5         | 04/30/79 |       |
| INFORMATION MAGNETICS CORP               | 5         | 05/24/79 |       |
| INSTRUMENT SYSTEMS CORP /DE/             | 5,6       | 04/19/79 |       |
| INTERNATIONAL BUSINESS MACHINES CORP     | 5         | 05/01/79 |       |
| INVESTMENT PROPERTIES ASSOCIATES         | 5         | 06/01/79 |       |
| INVESTORS DIVERSIFIED SERVICES INC /MN/  | 5         | 05/10/79 |       |
| IPCO HOSPITAL SUPPLY CORP                | 5         | 05/16/79 |       |
| ITEK CORP /DE/                           | 5         | 05/25/79 |       |
| JERSEY CENTRAL POWER & LIGHT CO          | 5         | 05/09/77 |       |
| KANE MILLER CORP                         | 5         | 05/01/79 |       |
| KANSAS STATE NETWORK INC                 | 5         | 05/23/79 |       |
| KAPOK TREE INNS CORP                     | 5         | 06/01/79 |       |
| LANCER PACIFIC INC                       | 5         | 06/10/79 |       |
| LEISURE TECHNOLOGY CORP                  | 5         | 05/21/79 |       |
| LIBERTY LOAN CORP                        | 5         | 06/08/79 |       |
| LITCO BANCORPORATION OF NEW YORK INC     | 5         | 05/04/79 |       |
| MACDERMID INC                            | 5         | 05/24/79 |       |
| MANHATTAN INDUSTRIES INC                 | 2,6       | 05/01/79 |       |
| MANNING MAY OIL CO                       | 2,5,7     | 06/11/79 | *     |
| MAXCO INC                                | 2         | 05/31/79 |       |
| MCCOMBS PROPERTIES II LTD                | 2         | 06/11/79 |       |
| MCDERMOTT J RAY & CO INC                 | 5         | 05/01/79 |       |
| MCNEIL REAL ESTATE FUND IX LTD           | 5         | 05/23/79 |       |
| MCNEIL REAL ESTATE FUND VIII LTD         | 5         | 12/07/78 | AMEND |
| MCNEIL REAL ESTATE FUND VIII LTD         | 2         | 04/03/79 | AMEND |
| METALLURGICAL INTERNATIONAL INC          | 13        | 05/01/79 | *     |
| METROPLEX REALTY TRUST                   | 3         | 06/07/79 |       |
| METROPOLITAN EDISON CO                   | 5         | 05/09/79 |       |
| METROPOLITAN INDUSTRIES INC              | 5         | 05/31/79 |       |
| MILLER OIL CO                            | 1         | 06/12/79 |       |
| MILWAUKEE PROFESSIONAL SPORTS & SERVICES | 5         | 05/01/79 |       |
| MOBILAND INC                             | 5,13      | 05/01/79 | *     |
| MODULAR COMPUTER SYSTEMS INC             | 5,7       | 05/30/79 | *     |
| MSI DATA CORP                            | 2,6       | 05/29/79 |       |
| NATCO INDUSTRIES INC                     | 5         | 05/01/79 |       |
| NATIONAL PATENT DEVELOPMENT CORP         | 5         | 06/05/79 |       |
| NATIONAL PROPERTIES INC                  | 13        | 05/22/79 | *     |
| NATURAL GAS PIPELINE CO OF AMERICA       | 5         | 05/15/79 |       |
| NBC CORP                                 | 4         | 06/06/79 | AMEND |
| NORTHERN INDIANA PUBLIC SERVICE CO       | 5         | 05/01/79 |       |
| NUCLEAR PHARMACY INC                     | 2,6       | 06/11/79 |       |
| PAN AMERICAN BANCSHARES INC              | 5,7       | 06/01/79 | *     |
| PARAMOUNT LEASING CORP                   | 2         | 06/02/79 |       |
| PENNSYLVANIA ELECTRIC CO                 | 5         | 05/09/77 |       |

RECENT 8K FILINGS, cont.

|  |             |          |         |
|--|-------------|----------|---------|
| PEOPLES GAS CO                           | 5           | 05/15/79 |         |
| PETRO LEWIS CORP                         | 5           | 03/31/79 | AMEND   |
| PETRO LEWIS OIL INCOME PROGRAM IX        | 2,7         | 04/01/79 | * AMEND |
| PETRO LEWIS OIL INCOME PROGRAM VIII      | 2,7         | 04/01/79 | * AMEND |
| PETRO LEWIS OIL INCOME PROGRAM VIII      | 1,2,4,5,6,7 | 05/01/79 | *       |
| PIONEER SYSTEMS INC                      | 5,6         | 05/30/79 |         |
| PITTSBURGH TESTING LABORATORY            | 5           | 05/17/79 |         |
| POLYCHROME CORP                          | 5           | 05/16/79 |         |
| POSSIS CORP                              | 2,5         | 05/31/79 |         |
| PRATT READ CORP                          | 2,7         | 05/01/79 | *       |
| PRECISION POLYMERS INC                   | 3           | 05/01/79 |         |
| PREMIER RESOURCES LTD                    | 5           | 06/01/79 |         |
| PRINTOGS LTD                             | 3           | 05/02/79 |         |
| PROVINCIAL HOUSE INC                     | 5           | 06/11/79 |         |
| PUBLIC SERVICE CO OF NEW MEXICO          | 5,6         | 05/01/79 |         |
| PVU INTERNATIONAL INC                    | 5           | 06/07/79 |         |
| QUANEX CORP                              | 2,5,6       | 05/31/79 |         |
| REAL ESTATE ASSOCIATES LTD               | 2,7         | 05/30/79 | *       |
| REDWOOD BANCORP                          | 5           | 05/31/79 |         |
| REICHOLD CHEMICALS INC                   | 5           | 06/01/79 |         |
| KESDEL INDUSTRIES                        | 5,6         | 05/01/79 |         |
| REVELL INC                               | 5,7         | 05/11/79 | *       |
| RIVERSIDE PROPERTIES                     | 2           | 04/27/79 | AMEND   |
| SAMBOS RESTAURANTS INC                   | 5           | 05/01/79 |         |
| SCIENTIFIC SOFTWARE CORP                 | 5,7         | 05/03/79 | *       |
| SEATRAN LINES INC                        | 5           | 05/01/79 |         |
| SOUTHERN INDUSTRIES CORP                 | 1           | 06/01/79 |         |
| SOUTHLAND EQUITY CORP                    | 5           | 03/28/79 |         |
| SPEMRY RAND CORP                         | 5           | 06/04/79 |         |
| SSP INDUSTRIES                           | 5           | 05/17/79 |         |
| STANDARD SECURITY LIFE INSURANCE CO OF N | 5,7         | 05/01/79 | *       |
| SUN HEET INC                             | 5           | 06/01/79 |         |
| SUPERIOR FOODS INC                       | 5           | 05/21/79 |         |
| SUPERIOR OIL CO /NV/                     | 5           | 05/31/79 |         |
| SUPERSCOPE INC                           | 5,7         | 06/08/79 | *       |
| TELENET CORP                             | 5           | 05/16/79 |         |
| TELETRANS INDUSTRIES INC                 | 2,6         | 05/30/79 |         |
| THRIFTWAY LEASING CO                     | 1,5,6       | 05/29/79 |         |
| TOPSYS INTERNATIONAL INC                 | 1           | 06/11/79 |         |
| TRANS TEXAS BANCORPORATION INC           | 5           | 05/01/79 |         |
| TRECO INC                                | 5,6         | 06/04/79 |         |
| TRUS JOIST CORP                          | 5           | 06/01/79 |         |
| UMC ELECTRONICS CO                       | 5           | 05/30/79 |         |
| UNITED STATES ENERGY CORP                | 5           | 05/01/79 |         |
| UNIVEST CORP OF PENNSYLVANIA             | 4           | 05/23/79 |         |
| URS CORP /DE/                            | 5           | 06/06/79 |         |
| VOLUME SHOE CORP                         | 5           | 05/15/79 |         |
| WALDBAUM INC                             | 5           | 05/01/79 |         |
| WARD FOODS INC                           | 5           | 06/08/79 |         |
| WEBB DEL E CORP                          | 5,6         | 05/01/79 |         |
| WEBB RESOURCES INC                       | 5,7         | 06/05/79 | *       |
| WESPAC INVESTORS TRUST                   | 5,6         | 05/02/79 |         |
| WESTERN OIL SHALE CORP                   | 5           | 05/28/79 |         |
| WESTERN PACIFIC FINANCIAL CORP           | 4,6         | 05/25/79 |         |
| WILLIAMS COMPANIES                       | 2,7         | 05/31/79 | *       |
| WORLD AIRWAYS INC                        | 5           | 06/07/79 |         |
| ZUNDERVAN CORP                           | 5           | 05/29/79 |         |
| ZURN INDUSTRIES INC                      | 5           | 06/01/79 |         |

\* / The Form 8-K was amended (Rel. 34-13156, January 13, 1977) effective for all events which occur subsequent to February 28, 1977. Those companies above which are noted with an asterisk (\*) have filed pursuant to the old Form 8-K; the captions of the item numbers under which such reports are filed are as follows:

- Item 1. Changes in Control of Registrant
- Item 2. Acquisition or Disposition of Assets
- Item 3. Legal Proceedings
- Item 4. Changes in Securities
- Item 5. Changes in Security for Registered Securities
- Item 6. Defaults upon Senior Securities
- Item 7. Increase in Amount of Securities Outstanding
- Item 8. Decrease in Amount of Securities Outstanding

- Item 9. Options to Purchase Securities
- Item 10. Extraordinary items, other material charges and credits and capital restatements
- Item 11. Submission of Matters to a Vote of Security Holders
- Item 12. Changes in Registrant's Certifying Accountant
- Item 13. Other Materially Important Events
- Item 14. Financial Statements and Exhibits

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