

# sec news digest

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October 18, 1993

U.S. SECURITIES  
EXCHANGE COMMISSION

Issue 93-200

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## CIVIL PROCEEDINGS

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### COMPLAINT FILED AGAINST MERRITT BRADT AND LINDA RYAN

The Commission announced that on October 5 a complaint was filed against Merritt Bradt (Bradt) of Port St. Lucie, Florida and Linda Ryan (Ryan) of Boca Raton, Florida seeking injunctive relief, disgorgement and civil penalties. Simultaneous with the filing of the complaint, Bradt submitted a consent, without admitting or denying the allegations of the complaint, to the entry of a Final Judgment of Permanent Injunction and Other Relief against him.

The complaint seeks to enjoin Bradt and Ryan from violating the registration and antifraud provisions of the federal securities laws, and from aiding and abetting violations of the broker-dealer antifraud provisions of the federal securities laws. The complaint also seeks to enjoin Bradt from aiding and abetting violations of the broker-dealer registration provisions of the federal securities laws.

The complaint alleges that Bradt and Ryan offered and sold interests in limited partnerships through unregistered, non-exempt transactions and made misrepresentations and omissions to offerees concerning, among other things, the return on investment and the risks associated with an investment. [SEC v. Merritt L. Bradt and Linda W. Ryan, Civil Action No. 93-8521-CIV-GONZALEZ, SD Fla.] (LR-13832)

### COMPLAINT FILED AGAINST H. DAVID GRACE AND GRACE CAPITAL, INC.

The Commission announced that on October 15 it filed a complaint in the U.S. District Court for the Southern District of New York against H. David Grace (Grace) and Grace Capital, Inc. (Grace Capital).

The complaint alleges that Grace and Grace Capital, a registered investment adviser, engaged in two fraudulent schemes. First, the complaint alleges that from approximately 1984 through approximately November 1989 Grace Capital, aided and abetted by Grace, fraudulently borrowed approximately \$1.7 from advisory clients without disclosing its insolvency or other material, adverse information. Grace Capital allegedly defaulted on most of the loans. Second, the complaint alleges that from approximately January 1991 through approximately September 1991 Grace misappropriated approximately \$600,000 from an advisory client and made material misrepresentations to that client. The complaint alleges those two fraudulent schemes

violated Section 17(a) of the Securities Act of 1933, Section 10(b) of the Securities Exchange Act of 1934, Rule 10b-5 thereunder, and Sections 206(1) and (2) of the Investment Advisers Act of 1940. The complaint also alleges that Grace and Grace Capital violated and/or aided and abetted violations of certain disclosure, custody, and books and records provisions.

The complaint seeks a permanent injunction, disgorgement, prejudgment interest, civil penalties and other equitable relief. [SEC v. H. David Grace, et al., 93 Civ. 7147, KMW, SDNY] (LR-13835)

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## INVESTMENT COMPANY ACT RELEASES

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### SECURITY FIRST LIFE INSURANCE COMPANY, ET AL.

A notice has been issued giving interested persons until November 9, 1993 to request a hearing on an application filed by Security First Life Insurance Company, Security First Life Separate Account A (Account A), Fidelity Standard Life Insurance Company, and Fidelity Standard Life Separate Account (Fidelity Account, together with Account A, Accounts). The application is for an order pursuant to Section 26(b) of the Investment Company Act approving a proposed substitution of shares of the Money Market Portfolio of the Variable Insurance Products Fund for shares of the Money Market Series of Security First Trust held by the Accounts. (Rel. IC-19791 - October 15)

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## SELF-REGULATORY ORGANIZATIONS

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### APPROVAL OF PROPOSED RULE CHANGE

The Commission approved a proposed rule change filed by the New York Stock Exchange (SR-NYSE-93-28) to amend NYSE Rules 35 and 301 to require registration applications for all employees of members and member organizations for admission to the Floor to be submitted to the Exchange on Form U-4 and to require all Floor employees of members and member organizations, members and applicants for membership to be fingerprinted. (Rel. 34-33045)

### IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGE

The Stock Clearing Corporation of Philadelphia filed a proposed rule change (SR-SCCP-93-04) relating to reducing the maximum trade value charge. The proposed rule change became effective upon filing with the Commission. Publication of the proposal is expected in the Federal Register during the week of October 18. (Rel. 34-33046)

### PROPOSED RULE CHANGE

The National Association of Securities Dealers filed a proposed rule change (SR-NASD-93-56) relating to codification of the basic requirements respecting access to and use of the OTC Bulletin Board service. Publication of the proposal is expected in the Federal Register during the week of October 18. (Rel. 34-33052)

## RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.

The companies listed below have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. Copies of the reports may be purchased from the Commission's Public Reference Room (when ordering, please give the date of the report). An invoice will be included with the requested material when mailed.

NAME OF ISSUER	STATE CODE	8K ITEM NO.								DATE	COMMENT
		1	2	3	4	5	6	7	8		
MEDCO CONTAINMENT SERVICES INC	DE					X	X			08/24/93	
MEDICAL DIAGNOSTICS INC/DE	DE					X				10/08/93	
MEDICAL MARKETING GROUP INC	DE					X	X			10/13/93	
MEDQUIST INC	NJ	X					X			09/30/93	
NEI DIVERSIFIED INC	DE					X	X			09/30/93	
MERRILL LYNCH & CO INC	DE					X	X			10/11/93	
MERRILL LYNCH MORTGAGE INVESTORS INC	DE					X				08/25/93	
MERRILL LYNCH MORTGAGE INVESTORS INC	DE						X			09/23/93	
MERRILL LYNCH MORTGAGE INVESTORS INC	DE	X					X			09/30/93	
MERRY LAND & INVESTMENT CO INC	GA	X					X			08/18/93AMEND	
MERRY LAND & INVESTMENT CO INC	GA						X			09/16/93AMEND	
MILLICOM INC	DE					X				09/21/93	
MORGAN J P & CO INC	DE					X	X			10/14/93	
MORTGAGE CAPITAL FUNDING INC			X				X			09/30/93	
M-VIRO RECOVERY INC	NV						X			07/28/93AMEND	
MAINTUCKET ISLAND ASSOCIATES LIMITED PART	DE		X							09/09/93AMEND	
NATIONAL CITY CORP	DE			X						10/12/93	
NATIONAL REALTY L P	DE			X	X					10/06/93	
NATURAL CHILD CARE INC	DE	X					X			09/23/93	
NMR OF AMERICA INC	DE			X	X					09/27/93	
NORTHLAND CABLE PROPERTIES SEVEN LIMITED	WA	X					X			09/27/93	
NORWEST MASTER TRUST				X	X					10/08/93	
OCOM CORPORATION	DE	X					X			09/26/93	
OSB FINANCIAL CORP	VI			X	X					09/15/93	
PACIFIC SCIENTIFIC CO	CA						X			08/13/93AMEND	
PAINEMEBBER MORTGAGE ACCEPTANCE CORPORAT	DE	X					X			09/24/93	
PEACHTREE FIBEROPTICS INC /DE/	DE									09/23/93	
PERMA FIX ENVIRONMENTAL SERVICES INC	DE	X					X			09/28/93	
PHOTRONICS INC	CT	X					X			10/01/93	
PIEDMONT NATURAL GAS CO INC	NY					X				10/01/93	
PM HOLDINGS CORP	DE	X					X			09/27/93	
PMC INTERNATIONAL INC	CO	X					X			09/27/93	
PURINA MILLS INC		X					X			09/27/93	
QCB BANCORP	CA					X				09/28/93	

8K REPORTS CONT.

NAME OF ISSUER	STATE CODE	BK ITEM NO.								DATE	COMMENT	
		1	2	3	4	5	6	7	8			
REALTY REFUND TRUST	OH					X					10/08/93	
RESOLUTION TRUST CORP MORT PASS THRO CER						X	X				09/27/93	
RESOLUTION TRUST CORP MORT PASS THRO CER						X	X				09/27/93	
RESPIRONICS INC	DE					X					10/06/93	
ROCHESTER COMMUNITY SAVINGS BANK RCSB FA	NY					X					10/15/93	
ROCHESTER COMMUNITY SAVINGS BANK RCSB 19	NY					X					10/15/93	
ROCHESTER COMMUNITY SAVINGS BANK RCSB 19	NY					X					10/15/93	
ROCHESTER COMMUNITY SAVINGS BANK RCSB 19	NY					X					10/15/93	
ROCHESTER COMMUNITY SAVINGS BANK RCSB 19	NY					X					10/15/93	
ROCHESTER COMMUNITY SAVINGS BANK RCSB 19	NY					X					10/15/93	
ROCHESTER COMMUNITY SAVINGS BANK RCSB 19	NY					X					10/15/93	
ROCHESTER COMMUNITY SAVINGS BANK RCSB 19	NY					X					10/15/93	
ROCKY MOUNTAIN HELICOPTERS INC /UT/	UT					X					09/10/93	
ROSES STORES INC	DE		X				X				09/20/93	
RURAL ELECTRIC COOPERATIVE GRANTOR TRUST	NY					X	X				10/08/93	
RURAL ELECTRIC COOPERATIVE GRANTOR TRUST	NY					X	X				10/08/93	
RURAL ELECTRIC COOPERATIVE GRANTOR TRUST	NY					X	X				10/08/93	
RURAL ELECTRIC COOPERATIVE GRANTOR TRUST	NY					X	X				10/08/93	
RYLAND ACCEPTANCE CORPORATION FOUR	VA					X	X				09/30/93	
SALOMON BROTHERS MORTGAGE SECURITIES VII	DE	X					X				09/29/93	
SCFC RECEIVABLES FINANCING CORP	DE						X				10/15/93	
SCOTTISH HERITABLE INC	TX					X	X				09/28/93	
SEARS MOR SEC COR IND RAT ADJ RAT MOR PA						X	X				09/30/93	
SEARS MOR SEC CORP ADJ RATE MOR PASS THR						X	X				09/30/93	
SEARS MOR SEC CORP IND RT ADJ RT MOR PA	DE					X	X				09/30/93	
SEARS MOR SECURITIES CORP LIBOR MOR PASS	DE					X	X				09/30/93	
SEARS MOR SECURITIES CORP LIBOR MOR PASS	DE					X	X				09/30/93	
SEARS MOR SECURITIES CORP LIBOR MOR PASS	DE					X	X				09/30/93	
SEARS MORT SEC CORP ADJ MORT PASS THR CE								NO ITEMS			09/30/93	
SEARS MORT SEC CORP ADJ RATE MOR PASS TH						X	X				09/30/93	
SEARS MORT SEC CORP ADJ RATE MORT PASS T	DE					X	X				09/30/93	
SEARS MORT SEC CORP ADJ RATE MORT PASS T	DE					X	X				09/30/93	
SEARS MORT SEC CORP ADJ RATE MORT PASS T	DE					X	X				09/30/93	
SEARS MORT SEC CORP ADJ RT MORT PAS THR	DE					X	X				09/30/93	
SEARS MORT SEC CORP ADJUST RATE MORT PA	DE					X	X				09/30/93	
SEARS MORT SEC CORP ADJUST RATE MORT PAS	DE					X	X				09/30/93	
SEARS MORT SEC CORP MORT PASS THRO CERT	DE					X	X				09/30/93	
SEARS MORT SEC CORP MORT PASS THRO CERT	DE					X	X				09/30/93	
SEARS MORT SEC CORP MORT PASS THRO CERT	DE					X	X				09/30/93	
SEARS MORT SEC CORP MULT CL MOR PAS THR	DE							NO ITEMS			09/30/93	
SEARS MORT SEC CORP MULT CLA MORT PAS TH	DE					X	X				09/30/93	
SEARS MORT SEC CORP MULT CLASS MOR PAS T						X	X				09/30/93	
SEARS MORT SEC CORP MULTI CLASS MOR PAS	DE					X	X				09/30/93	
SEARS MORT SEC CORP MULTI CLASS MOR PAS	DE					X	X				09/30/93	
SEARS MORT SEC CORP MULTI CLASS MOR PAS	DE					X	X				09/30/93	
SEARS MORT SEC CORP MULTI CLASS MOR PAS	DE					X	X				09/30/93	
SEARS MORT SEC CORP MULTI CLASS MOR PAS	DE					X	X				09/30/93	
SEARS MORT SECURITIES CORP IN RA AD RA M	DE					X	X				09/30/93	
SEARS MORT SECURITIES CORP MORT PASS THR	DE					X	X				09/30/93	
SEARS MORT SECURITIES CORP MU CL NO PA T	DE					X	X				09/30/93	
SEARS MORTGAGE SEC CORP ADJ RAT MOR PAS	DE					X	X				09/30/93	
SEARS MORTGAGE SEC CORP ADJ RAT MOR PAS	DE					X	X				09/30/93	
SEARS MORTGAGE SEC CORP ADJ RAT MOR PAS	DE							NO ITEMS			09/30/93	

8K REPORTS CONT.

NAME OF ISSUER	STATE CODE	8K ITEM NO.								DATE	COMMENT
		1	2	3	4	5	6	7	8		
SEARS MORTGAGE SEC CORP COFI MD PA TN CE	DE				X	X				09/30/93	
SEARS MORTGAGE SEC CORP COFI MD PA TN CE	DE				X	X				09/30/93	
SEARS MORTGAGE SEC CORP COFI MD PAS THR	DE				X	X				09/30/93	
SEARS MORTGAGE SEC CORP COFI MOR PAS THR	DE				X	X				09/30/93	
SEARS MORTGAGE SEC CORP MORT PAS THRO CE	DE				X	X				09/30/93	
SEARS MORTGAGE SEC CORP MORT PASS THR CE	DE				X	X				09/30/93	
SEARS MORTGAGE SEC CORP MORT PASS THRO C					X	X				09/30/93	
SEARS MORTGAGE SEC CORP NJ CL MD PA TN C	DE				X	X				09/30/93	
SEARS MORTGAGE SEC CORP NJ CL MD PA TN C	DE				NO ITEMS					09/30/93	
SEARS MORTGAGE SEC CORP NJ CL MORT PAS	DE				X	X				09/30/93	
SEARS MORTGAGE SEC CORP NJ CL MORT PAS					X	X				09/30/93	
SEARS MORTGAGE SEC CORP NJ CL MORT PAS					NO ITEMS					09/30/93	
SEARS MORTGAGE SEC CORP NJ CL MORT PAS	DE				NO ITEMS					09/01/93	
SEARS MORTGAGE SEC CORP NJ CL MORT PAS	DE				X	X				09/30/93	
SEARS SAVINGS BANK MORT PASS THRO CERT S	CA				X	X				09/30/93	
SECURITY PACIFIC HOME EQUITY TRUST 1991-	CA								X	10/06/93	
SOCIETY CORP	OH		X							10/01/93	
SOLITRON DEVICES INC	DE		X			X				08/20/93	AMEND
SONIC CORP	DE				X					09/15/93	
SOUTHERN KITCHENS INC	MI				X	X				10/12/93	
SPELLING ENTERTAINMENT GROUP INC	FL		X			X				10/05/93	
ST PAUL COMPANIES INC /NM/	MI				X	X				10/12/93	
STORER COMMUNICATIONS INC	DE				X					10/01/93	
SUBURBAN BANKSHARES INC /FL	FL				X					09/30/93	
SUNAIR ELECTRONICS INC	FL		X							09/30/93	
SUNRISE MEDICAL INC	DE				NO ITEMS					07/29/93	AMEND
SUPRADUR COMPANIES INC	DE				X					09/28/93	
SYNTELLECT INC	DE				X	X				10/06/93	
TELLABS INC /DE/	DE		X			X				10/07/93	
TEXAS UTILITIES ELECTRIC CO	TX					X				10/07/93	
TGC INDUSTRIES INC	TX		X			X				07/30/93	AMEND
THERMOTREX CORP	DE				X					10/07/93	
THORNBURG MORTGAGE ASSET CORP	MD				X	X				10/07/93	
TJX COMPANIES INC /DE/	DE				X					10/08/93	
TOMER PROPERTIES CO	MO		X			X				09/30/93	
TWIN MARK BANKSHARES INC	MO				X					10/12/93	
TWO BI 2 INC	CO		X	X		X	X	X		10/08/93	
ULTRAK INC	CO				X	X				10/04/93	
UNILAB CORP	DE				X	X				10/12/93	
UNITED COUNTIES BANCORPORATION	NJ				X					09/22/93	
UNITED DOMINION REALTY TRUST INC	VA		X			X				09/28/93	
US WEST INC	CO		X		X	X				10/13/93	
USA WASTE SERVICES INC	OK		X			X				09/30/93	
USAA FEDERAL SAVINGS BANK					X	X				09/09/93	
VDS ENTERPRISES INC	FL				X	X				09/15/93	
VENDELL HEALTHCARE INC	DE				X					10/01/93	
VITRONICS CORP	MA				X					10/04/93	
WARRANTECH CORP	DE				X	X				10/05/93	
WESTERN GAS RESOURCES INC	DE					X				07/29/93	AMEND
WFS REPUBLIC INC	CA				X	X				08/23/93	
WISCONSIN CENTRAL TRANSPORTATION CORP	DE				X					09/30/93	
WISER OIL CO	DE		X			X				10/07/93	
WOODBURY INC	FL		X			X				10/05/93	

## 8K REPORTS CONT.

NAME OF ISSUER	STATE CODE	8K ITEM NO.								DATE	COMMENT	
		1	2	3	4	5	6	7	8			
WORTH CORP	DE					X					10/07/93	
WPI GROUP INC	NH					X	X				09/28/93	
XATA CORP /NH/	NH					X					10/06/93	
XELEX INC	UT					X					10/08/93	
Z I INC	MA			X				X			09/29/93	
ZMET SYSTEMS INC /DE/	DE					X	X				10/08/93	
ALEXANDERS INC	DE					X	X				09/21/93	
AMERICAN EXPRESS CO	NY					X					10/07/93	
AMERICAN OIL & GAS CORP /DE/	DE			NO ITEMS							10/08/93	
BEAR STEARNS COMPANIES INC	DE					X	X				09/24/93	
BELL ATLANTIC CORP	DE					X					10/11/93	
BELLATRIX INTERNATIONAL INC	DE			NO ITEMS							10/05/93AMEND	
BELLSOUTH CORP	GA					X					09/30/93	
BELLSOUTH TELECOMMUNICATIONS INC	GA					X					09/30/93	
BRITTON & KOONTZ CAPITAL CORP	MS			NO ITEMS							10/07/93	
CHASE MORTGAGE FINANCE CORP	DE			NO ITEMS							09/23/93	
CLARK REFINING & MARKETING INC	DE					X	X				10/01/93	
CLINICORP INC	DE			NO ITEMS							07/15/93AMEND	
CLINICORP INC	DE			NO ITEMS							07/20/93	
CLINICORP INC	DE						X				08/06/93AMEND	
CLINICORP INC	DE			NO ITEMS							08/13/93AMEND	
CLINICORP INC	DE			NO ITEMS							08/25/93	
CLINICORP INC	DE			NO ITEMS							08/27/93AMEND	
CLINICORP INC	DE			NO ITEMS							08/30/93AMEND	
COOPER COMPANIES INC	DE			NO ITEMS							10/07/93	
CORESTATES FINANCIAL CORP	PA					X	X				10/12/93	
DAKOTA MINING CORP						X	X				10/07/93	
DLJ MORTGAGE ACCEPTANCE CORP	DE			NO ITEMS							09/23/93	
ECKERD CORP	DE					X	X				10/12/93	
EZCONY INTERAMERICA INC	R1					X					09/29/93	
FFO FINANCIAL GROUP INC	FL			NO ITEMS							09/22/93	
FINISH LINE INC /DE/	DE			NO ITEMS							09/30/93	
HARMAN INTERNATIONAL INDUSTRIES INC /DE/	DE			X							09/24/93	
HARVARD INDUSTRIES INC	DE			NO ITEMS							10/07/93	
HEALTH ADVANCEMENT SERVICES INC /DE/	DE			NO ITEMS							07/23/93	
HOOPER HOLMES INC	NY			NO ITEMS							09/24/93	
INTERNATIONAL TOTALIZATOR SYSTEMS INC	CA			NO ITEMS							10/07/93	
ITEX CORPORATION	NV			NO ITEMS							10/07/93	
KLEER WJ INDUSTRIES INC/DE/	DE			NO ITEMS							09/29/93	
MCCAW CELLULAR COMMUNICATIONS INC	DE			X							08/16/93AMEND	
MEDICAL RESOURCES INC /DE/	DE			NO ITEMS							09/26/93	
MONT ROUGE RESOURCES INC	NY			NO ITEMS							04/07/00	
MORGAN STANLEY GROUP INC /DE/	DE					X	X				09/30/93	
MORTGAGE & REALTY TRUST	MD					X					10/05/93	
NORTEK INC	DE			NO ITEMS							10/06/93	
NORTEK INC	DE					X					10/06/93	
NORTHSTAR HEALTH SERVICES INC						X	X				09/24/93	
OSTEOTECH INC	DE					X					10/04/93	
PROFESSIONAL CARE INC	NY			NO ITEMS							10/04/93	
QUANTUM CHEMICAL CORP	VA			NO ITEMS							09/30/93	
READING & BATES CORP	DE					X					10/12/93	
REPUBLIC AUTOMOTIVE PARTS INC	DE			X			X				09/27/93	

8K REPORTS CONT.

NAME OF ISSUER	STATE CODE	8K ITEM NO.								DATE	COMMENT
		1	2	3	4	5	6	7	8		
RESIDENTIAL FUNDING MORTGAGE SECURITIES	DE							X		09/29/93	
ROHR INC	DE	X								10/12/93	
RYLAND MORTGAGE SECURITIES CORP /VA/	VA	NO ITEMS								09/24/93	
SAXON MORTGAGE SECURITIES CORP	DE	NO ITEMS								09/29/93	
SCECORP	CA	X								10/12/93	
SIGMA ALPHA ENTERTAINMENT GROUP LTD /NY/	NV	NO ITEMS								10/07/93	
SORICOM CORP/DE/	DE	NO ITEMS								12/30/93AMEND	
SUNTRUST BANKS INC	GA	X								09/30/93	
SUNTRUST BANKS INC	GA	X								09/30/93	
TENNESSEE BANCORP INC	TN	NO ITEMS								09/30/93	
TRAVELERS CORP	CT		X			X				09/23/93	
TRIMEDYNE INC	NV	NO ITEMS								09/22/93	
TRITON GROUP LTD /DE/	DE			X		X				09/30/93	
U S TECHNOLOGIES INC	DE			X		X				10/07/93	
UNOCAL CORP/DE	DE			X						10/12/93	
VALUE ADDED COMMUNICATIONS INC /DE/	DE					X				08/28/93AMEND	
VIRGINIA ELECTRIC & POWER CO	VA			X						10/12/93	
WATER CHIEF INC	DE	NO ITEMS								09/08/93AMEND	
WESTERN STAR INC	OR	NO ITEMS								10/01/93	
XEROX CORP	NY			X						10/06/93	
AAR CORP	DE			X		X				10/12/93	
ACCEPTANCE INSURANCE COMPANIES INC	DE					X				07/02/93AMEND	
AEI NET LEASE INCOME & GROWTH FUND XX L	MI	X				X				09/30/93	
ALL FOR A DOLLAR INC	DE					X				10/12/93	
AM INTERNATIONAL INC	DE		X			X				10/08/93	
AMERICAN FILM TECHNOLOGIES INC /DE/	DE	X				X				09/28/93	
AMERICAN HOUSING TRUST XI	NY					X				09/27/93	
AMERICAN REALTY TRUST INC /GA	GA			X		X				10/06/93	
AMR CORP	DE							X		10/05/93	
ANGELES PARK COMMUNITIES LTD	CA			X		X				10/11/93	
ASPEN MARINE GROUP INC	CO					X				10/01/93	
BANK OF NEW ENGLAND CORP	MA	NO ITEMS								09/30/93	
BANYAN MORTGAGE INVESTORS L P	DE	X								10/04/93	
BANYAN MORTGAGE INVESTORS L P II	DE	X								10/04/93	
BANYAN MORTGAGE INVESTORS L P III	DE	X								10/04/93	
BEAR STEARNS MORT SEC INC MORT PAS THR C	DE	NO ITEMS								09/27/93	
BEAR STEARNS MORT SEC INC MORT PASS THR	DE	NO ITEMS								09/27/93	
BEAR STEARNS MORT SEC INC MORT PASS THR	DE	NO ITEMS								09/27/93	
BEAR STEARNS MORT SEC INC MORT PASS THR	DE	NO ITEMS								09/27/93	
BEAR STEARNS MORT SEC INC MORT PASS THR		NO ITEMS								09/27/93	
BEAR STEARNS MORT SEC INC MORT PASS THR		NO ITEMS								09/27/93	
BEAR STEARNS MORT SEC INC MORT PASS THR		NO ITEMS					X	X		09/27/93	
BEAR STEARNS MORT SEC INC MORT PASS THR		NO ITEMS								09/27/93	
BEAR STEARNS MORT SEC INC MORT PASS THR		NO ITEMS								09/27/93	
BEAR STEARNS MORT SEC INC MORT PASS THR		NO ITEMS								09/27/93	
BELL ATLANTIC CORP	DE			X		X				10/12/93	
BELLATRIX INTERNATIONAL INC	DE	NO ITEMS								10/05/93AMEND	
BLUE DOLPHIN ENERGY CO	DE	NO ITEMS								10/08/93	
BOSTON PACIFIC MEDICAL INC	MA		X	X		X				10/06/93	
BOULEVARD BANCORP INC /DE/	DE			X		X				09/29/93	
CABOT OIL & GAS CORP	DE	X				X				09/30/93	
CARNIVAL CRUISE LINES INC	FL	X								10/14/93	
CASINO AMERICA INC	DE			X						10/06/93	

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		1	2	3	4	5	6	7	8			
CASTLE ENERGY CORP	DE								X	12/03/92AMEND		
CENTRAL BANKING SYSTEM INC	DE			X	X					10/06/93		
CENTRAL LIFE ASSURANCE CO	IA			X	X					10/01/93		
CENTURA BANKS INC	NC			X	X					10/08/93		
CHARTER MEDICAL CORP	DE	X						X		09/30/93		
CHASE MANHATTAN CORP	DE			X	X					10/08/93		
CHRYSLER FINANCIAL CORP	MI			X	X					09/30/93		
CISCO SYSTEMS INC	CA	X				X				09/24/93		
CITATION INSURANCE GROUP	CA	X				X				10/04/93		
CITIZENS FIRST BANCORP INC /NJ/	NJ			X						10/04/93		
CLINICORP INC	DE			X	X					10/04/93		
CNC SECURITIES CORP II	DE			X	X					09/25/93		
CNC SECURITIES CORP II	DE			NO ITEMS							09/30/93	
CNS ENERGY CORP	MI			X	X					09/29/93		
CODA ENERGY INC	DE			X	X					09/29/93		
CONTINUUM CO INC	DE					X				08/13/93AMEND		
COUNTRY WORLD CASINOS INC	NV			X						10/07/93		
COVENTRY CORP	DE		X			X				08/27/93		
CLMBS INC				X	X					09/01/93		
CLMBS INC				X	X					09/01/93		
CLMBS INC				X	X					09/01/93		
CLMBS INC				X	X					09/01/93		
CLMBS INC				X	X					09/01/93AMEND		
CYPRUS MINERALS CO	DE			X	X					09/24/93		
DAKA INTERNATIONAL INC	DE	X				X				10/12/93		
DATASOUTH COMPUTER CORP	NC					X				07/30/93AMEND		
DEERE JOHN OWNER TRUST 1992-A	DE			X	X					09/29/93		
DELUXE CORP	MI					X				10/13/93AMEND		
DELUXE CORP	MI					X				10/13/93AMEND		
DOLLAR TIME GROUP INC	NV		X			X				09/23/93AMEND		
EMERSON RADIO CORP	NJ			X	X					09/29/93		
ESQUIRE RADIO & ELECTRONICS INC	DE			X	X					09/07/93		
FFW CORP/METZ RONALD J				X	X					10/04/93		
FIRST ABILENE BANKSHARES INC	TX			X						09/23/93		
FIRST BANK SYSTEM INC	DE			X	X					09/29/93		
FIRST BOSTON MORTGAGE SECURITIES CORP /D	DE	X				X				09/30/93		
FIRST CHICAGO CORP	DE			X						10/13/93		
FIRST NATIONAL BANKSHARES INC/LA/	LA					X				09/23/93AMEND		
FM PROPERTIES INC	DE	X				X				10/01/93		
FORTUNE PETROLEUM CORP	DE	X				X				10/12/93		
FORUM GROUP INC	IN			X	X					10/06/93		
FORUM RETIREMENT PARTNERS L P	DE			X	X					10/06/93		
FOURTH FINANCIAL CORP	KS			X	X					10/13/93		
FRIES ENTERTAINMENT INC	DE		X							08/01/93		
FUND AMERICA INVESTORS CORP II	DE	X				X				09/24/93		
FUND AMERICA INVESTORS CORP II	DE	X				X				09/29/93		
FURRS BISHOPS INC	DE			X	X					10/04/93		
GAP INSTRUMENT CORP	NY		X							09/24/93		
GE CAPITAL MORTGAGE SERVICES INC	NJ			X	X					09/27/93		
GENERAL CELLULAR CORP	DE					X				07/12/93AMEND		
GFC FINANCIAL CORP	DE			X	X					10/12/93		
GNAC MORTGAGE CORP OF IOWA MORT PAS THR	PA			NO ITEMS							09/27/93	
GNAC MORTGAGE CORP OF IOWA MORT PAS THR	PA			NO ITEMS							09/27/93	



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GNAC MORTGAGE CORP OF IOWA MORT PAS THR	PA	NO ITEMS								09/27/93	
GNAC MORTGAGE CORP OF IOWA MORT PAS THR	PA	NO ITEMS								09/27/93	
GNAC MORTGAGE CORP OF IOWA MORT PAS THR	PA	NO ITEMS								09/27/93	
GRANCARE INC	CA				X					09/07/93AMEND	
HAMPTON INDUSTRIES INC /NC/	NC				X					09/21/93	
HASBRO INC	RI				X	X				10/14/93	
HOMECARE MANAGEMENT INC	DE				X	X				10/08/93	
HONDA AUTO RECEIVABLES 1992-A GRANTOR TR	CA				X	X				10/08/93	
HONDO OIL & GAS CO	DE		X			X				10/01/93	
HOUSING SECURITIES INC ADJ RA MOR PASS T	DE				X	X				09/25/93	
HOUSING SECURITIES INC ADJ RATE MORT PAS	DE				X	X				09/25/93	
HOUSING SECURITIES INC MOR PAS TH CERT S	NY				X	X				09/25/93	
HOUSING SECURITIES INC MOR PAS THR CERT	NY				X	X				09/25/93	
HOUSING SECURITIES INC MOR PAS THRO CERT	DE				X	X				09/25/93	
HOUSING SECURITIES INC MOR PAS THRO CERT	DE				X	X				09/25/93	
HOUSING SECURITIES INC MOR PASS THRO CER	DE				X	X				09/25/93	
HOUSING SECURITIES INC MOR PASS THRO CER	DE				X	X				09/25/93	
HOUSING SECURITIES INC MOR PASS THRO CER	DE				X	X				09/26/93	
HOUSING SECURITIES INC MOR PASS THROU CE					X	X				09/25/93	
HOUSING SECURITIES INC MOR PASS THROUGH					X	X				09/25/93	
HOUSING SECURITIES INC MORT PASS THR CER	DE				X	X				09/25/93	
HOUSING SECURITIES INC MORT PASS THRO CE	DE				X	X				09/25/93	
HOUSING SECURITIES INC MORT PASS THRO CE	DE				X	X				09/25/93	
HOUSING SECURITIES INC MORT PASS THRO CE	DE				X	X				09/25/93	
HOUSING SECURITIES INC MORT PASS THRO CE	DE				X	X				09/25/93	
HOUSING SECURITIES INC MORT PASS THRO CE	DE				X	X				09/25/93	
HOUSING SECURITIES INC MORT PASS THRO CE	DE				X	X				09/25/93	
HOUSING SECURITIES INC MORT PASS THRO CE	DE				X	X				09/25/93	
HOUSING SECURITIES INC MORT PASS THRO CE	DE				X	X				09/25/93	
HOUSING SECURITIES INC MORT PASS THRO CE	DE				X	X				09/25/93	
HOUSING SECURITIES INC MORT PASS THRO CE	DE				X	X				09/25/93	
HOUSING SECURITIES INC MORT PASS THROU CE	DE				X	X				09/25/93	
HOUSING SECURITIES INC MORTGAGE PASS TH	DE				X	X				09/25/93	
ICC TECHNOLOGIES INC	DE					X				09/08/93AMEND	
INC FERTILIZER GROUP INC	DE		X							10/12/93	
INSIGNIA FINANCIAL GROUP INC	DE		X				X			06/30/93	
INSTITUTE FOR LABORATORY MEDICINE INC	FL						X			04/27/93AMEND	
INTERNATIONAL AMERICAN HOMES INC	DE					X				09/08/93	
INTERNATIONAL TELDATA CORP	NV			X			X			10/05/93	
IOWA ULTRA FUTURES FUND L P	IA				X		X			09/08/93	
JEFFERSON SMURFIT CORP	DE				X					10/14/93	
KEYCORP	NY				X					10/01/93	
KEYCORP	NY				X					10/13/93	
KIDDER PEABODY ACCEPTANCE CORP I	DE					X	X			09/25/93	
LASER PHOTONICS INC	DE						X	X		10/03/93	
LATEX RESOURCES INC	TX							X		07/26/93AMEND	
LEWISON ENTERPRISES INC /NV	NV		X	X			X	X		07/23/93AMEND	
MACNEAL SCHWENDLER CORP	CA			X				X		09/24/93	
MARINE MIDLAND BANK N A						X	X			09/25/93	
MAS VENTURES LTD	CO					X				10/08/93	
MAXUM HEALTH CORP	DE					X	X			08/30/93	
MEDCO CONTAINMENT SERVICES INC	DE					X	X			08/24/93	
MEDICAL DIAGNOSTICS INC/DE	DE					X				10/08/93	
MEDICAL MARKETING GROUP INC	DE					X	X			10/13/93	
MEDQUIST INC	NJ			X				X		09/30/93	
MEI DIVERSIFIED INC	DE					X	X			09/30/93	

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		1	2	3	4	5	6	7	8		
MERRILL LYNCH & CO INC	DE				X	X				10/11/93	
MERRILL LYNCH MORTGAGE INVESTORS INC	DE				X					08/25/93	
MERRILL LYNCH MORTGAGE INVESTORS INC	DE					X				09/23/93	
MERRILL LYNCH MORTGAGE INVESTORS INC	DE	X				X				09/30/93	
MERRY LAND & INVESTMENT CO INC	GA	X				X				08/18/93AMEND	
MERRY LAND & INVESTMENT CO INC	GA						X			09/16/93AMEND	
MILLICOM INC	DE				X					09/21/93	
MORGAN J P & CO INC	DE				X	X				10/14/93	
MORTGAGE CAPITAL FUNDING INC			X			X				09/30/93	
N-VIRO RECOVERY INC	NV						X			07/28/93AMEND	
NANTUCKET ISLAND ASSOCIATES LIMITED PART	DE			X						09/09/93AMEND	
NATIONAL CITY CORP	DE				X					10/12/93	
NATIONAL REALTY L P	DE				X	X				10/06/93	
NATURAL CHILD CARE INC	DE	X				X				09/23/93	
NBR OF AMERICA INC	DE				X	X				09/27/93	
NORTHLAND CABLE PROPERTIES SEVEN LIMITED	WA		X			X				09/27/93	
NORWEST MASTER TRUST					X	X				10/08/93	
OCOM CORPORATION	DE	X				X				09/26/93	
OSB FINANCIAL CORP	WI				X	X				09/15/93	
PACIFIC SCIENTIFIC CO	CA					X				08/13/93AMEND	
PAINWEBBER MORTGAGE ACCEPTANCE CORPORAT	DE	X				X				09/26/93	
PEACHTREE FIBEROPTICS INC /DE/	DE									09/23/93	
PERMA FIX ENVIRONMENTAL SERVICES INC	DE	X				X				09/28/93	
PHOTRONICS INC	CT	X				X				10/01/93	
PIEDMONT NATURAL GAS CO INC	NY				X					10/01/93	
PM HOLDINGS CORP	DE	X				X				09/27/93	
PNC INTERNATIONAL INC	CO	X				X				09/27/93	
PURINA MILLS INC		X				X				09/27/93	
QCB BANCORP	CA				X					09/28/93	
REALTY REFUND TRUST	OH				X					10/08/93	
RESOLUTION TRUST CORP MORT PASS THRO CER					X	X				09/27/93	
RESOLUTION TRUST CORP MORT PASS THRO CER					X	X				09/27/93	
RESPIRONICS INC	DE				X					10/06/93	
ROCHESTER COMMUNITY SAVINGS BANK RCSB FA	NY				X					10/15/93	
ROCHESTER COMMUNITY SAVINGS BANK RCSB 19	NY				X					10/15/93	
ROCHESTER COMMUNITY SAVINGS BANK RCSB 19	NY				X					10/15/93	
ROCHESTER COMMUNITY SAVINGS BANK RCSB 19	NY				X					10/15/93	
ROCHESTER COMMUNITY SAVINGS BANK RCSB 19	NY				X					10/15/93	
ROCHESTER COMMUNITY SAVINGS BANK RCSB 19	NY				X					10/15/93	
ROCHESTER COMMUNITY SAVINGS BANK RCSB 19	NY				X					10/15/93	
ROCHESTER COMMUNITY SAVINGS BANK RCSB 19	NY				X					10/15/93	
ROCHESTER COMMUNITY SAVINGS BANK RCSB 19	NY				X					10/15/93	
ROCKY MOUNTAIN HELICOPTERS INC /UT/	UT				X					09/10/93	
ROSES STORES INC	DE		X			X				09/20/93	
RURAL ELECTRIC COOPERATIVE GRANTOR TRUST	NY				X	X				10/08/93	
RURAL ELECTRIC COOPERATIVE GRANTOR TRUST	NY				X	X				10/08/93	
RURAL ELECTRIC COOPERATIVE GRANTOR TRUST	NY				X	X				10/08/93	
RURAL ELECTRIC COOPERATIVE GRANTOR TRUST	NY				X	X				10/08/93	
RYLAND ACCEPTANCE CORPORATION FOUR	VA				X	X				09/30/93	
SALOMON BROTHERS MORTGAGE SECURITIES VII	DE	X				X				09/29/93	
SCFC RECEIVABLES FINANCING CORP	DE					X				10/15/93	
SCOTTISH HERITABLE INC	TX				X	X				09/28/93	
SEARS MOR SEC COR IND RAT ADJ RAT MOR PA					X	X				09/30/93	
SEARS MOR SEC CORP ADJ RATE MOR PASS THR					X	X				09/30/93	

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		1	2	3	4	5	6	7	8		
SEARS MOR SEC CORP IND RT ADJ RT MOR PA	DE				X	X				09/30/93	
SEARS MOR SECURITIES CORP LIBOR MOR PASS	DE				X	X				09/30/93	
SEARS MOR SECURITIES CORP LIBOR MOR PASS	DE				X	X				09/30/93	
SEARS MOR SECURITIES CORP LIBOR MOR PASS	DE				X	X				09/30/93	
SEARS MORT SEC CORP ADJ MORT PASS THR CE					NO ITEMS					09/30/93	
SEARS MORT SEC CORP ADJ RATE MOR PASS TH					X	X				09/30/93	
SEARS MORT SEC CORP ADJ RATE MORT PASS T	DE				X	X				09/30/93	
SEARS MORT SEC CORP ADJ RATE MORT PASS T	DE				X	X				09/30/93	
SEARS MORT SEC CORP ADJ RATE MORT PASS T	DE				X	X				09/30/93	
SEARS MORT SEC CORP ADJ RT MORT PAS THR	DE				X	X				09/30/93	
SEARS MORT SEC CORP ADJUST RATE MORT PA	DE				X	X				09/30/93	
SEARS MORT SEC CORP ADJUST RATE MORT PAS	DE				X	X				09/30/93	
SEARS MORT SEC CORP MORT PASS THRO CERT	DE				X	X				09/30/93	
SEARS MORT SEC CORP MORT PASS THRO CERT	DE				X	X				09/30/93	
SEARS MORT SEC CORP MORT PASS THRO CERT					X	X				09/30/93	
SEARS MORT SEC CORP MULT CL MOR PAS THR	DE				NO ITEMS					09/30/93	
SEARS MORT SEC CORP MULT CLA MORT PAS TH	DE				X	X				09/30/93	
SEARS MORT SEC CORP MULT CLASS MOR PAS T					X	X				09/30/93	
SEARS MORT SEC CORP MULTI CLASS MOR PAS	DE				X	X				09/30/93	
SEARS MORT SEC CORP MULTI CLASS MOR PAS	DE				X	X				09/30/93	
SEARS MORT SEC CORP MULTI CLASS MOR PASS	DE				X	X				09/30/93	
SEARS MORT SEC CORP MULTI CLASS MOR PASS	DE				X	X				09/30/93	
SEARS MORT SECURITIES CORP IN RA AD RA M	DE				X	X				09/30/93	
SEARS MORT SECURITIES CORP MORT PASS THR	DE				X	X				09/30/93	
SEARS MORT SECURITIES CORP MU CL MO PA T	DE				X	X				09/30/93	
SEARS MORTGAGE SEC CORP ADJ RAT MOR PAS	DE				X	X				09/30/93	
SEARS MORTGAGE SEC CORP ADJ RAT MOR PAS	DE				X	X				09/30/93	
SEARS MORTGAGE SEC CORP ADJ RAT MOR PAS	DE				NO ITEMS					09/30/93	
SEARS MORTGAGE SEC CORP COFI MO PA TH CE	DE				X	X				09/30/93	
SEARS MORTGAGE SEC CORP COFI MO PA TH CE	DE				X	X				09/30/93	
SEARS MORTGAGE SEC CORP COFI MO PAS THR	DE				X	X				09/30/93	
SEARS MORTGAGE SEC CORP COFI MOR PAS THR	DE				X	X				09/30/93	
SEARS MORTGAGE SEC CORP MORT PAS THRO CE	DE				X	X				09/30/93	
SEARS MORTGAGE SEC CORP MORT PASS THR CE	DE				X	X				09/30/93	
SEARS MORTGAGE SEC CORP MORT PASS THRO C					X	X				09/30/93	
SEARS MORTGAGE SEC CORP MU CL MO PA TH C	DE				X	X				09/30/93	
SEARS MORTGAGE SEC CORP MU CL MO PA TH C	DE				NO ITEMS					09/30/93	
SEARS MORTGAGE SEC CORP MUL CL MORT PAS	DE				X	X				09/30/93	
SEARS MORTGAGE SEC CORP MUL CL MORT PAS					X	X				09/30/93	
SEARS MORTGAGE SEC CORP MUL CLA MOR PAS					NO ITEMS					09/30/93	
SEARS MORTGAGE SECURITIES CORP	DE				NO ITEMS					09/01/93	
SEARS MORTGAGE SECURITIES CORP MU CL MO	DE				X	X				09/30/93	
SEARS SAVINGS BANK MORT PASS THRO CERT S	CA				X	X				09/30/93	
SECURITY PACIFIC HOME EQUITY TRUST 1991-	CA						X			10/06/93	
SOCIETY CORP	OH			X						10/01/93	
SOLITRON DEVICES INC	DE			X			X			08/20/93AMEND	
SONIC CORP	DE				X					09/15/93	
SOUTHERN KITCHENS INC	MN				X	X				10/12/93	
SPELLING ENTERTAINMENT GROUP INC	FL		X			X				10/05/93	
ST PAUL COMPANIES INC /MN/	MN				X	X				10/12/93	
STORER COMMUNICATIONS INC	DE				X					10/01/93	
SUBURBAN BANKSHARES INC /FL	FL					X				09/30/93	
SUNAIR ELECTRONICS INC	FL			X						09/30/93	
SUNRISE MEDICAL INC	DE				NO ITEMS					07/29/93AMEND	

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SUPRADUR COMPANIES INC	DE					X					09/28/93	
SYNTELLECT INC	DE					X	X				10/06/93	
TELLABS INC /DE/	DE		X				X				10/07/93	
TEXAS UTILITIES ELECTRIC CO	TX						X				10/07/93	
TGC INDUSTRIES INC	TX		X				X				07/30/93	AMEND
THERMOTREX CORP	DE					X					10/07/93	
THORNBURG MORTGAGE ASSET CORP	MD					X	X				10/07/93	
TJX COMPANIES INC /DE/	DE					X					10/08/93	
TOMER PROPERTIES CO	MO		X				X				09/30/93	
TWAIN MARK BANCSHARES INC	MO					X					10/12/93	
TWO BI 2 INC	CO	X	X			X	X	X			10/08/93	
ULTRAK INC	CO					X	X				10/04/93	
UNILAB CORP	DE					X	X				10/12/93	
UNITED COUNTIES BANCORPORATION	NJ					X					09/22/93	
UNITED DOMINION REALTY TRUST INC	VA		X				X				09/28/93	
US WEST INC	CO		X			X	X				10/13/93	
USA WASTE SERVICES INC	OK		X				X				09/30/93	
USAA FEDERAL SAVINGS BANK						X	X				09/09/93	
VDS ENTERPRISES INC	FL					X	X				09/15/93	
VENDELL HEALTHCARE INC	DE					X					10/01/93	
VITRONICS CORP	MA					X					10/04/93	
WARRANTECH CORP	DE					X	X				10/05/93	
WESTERN GAS RESOURCES INC	DE						X				07/29/93	AMEND
WFS REPUBLIC INC	CA					X	X				08/23/93	
WISCONSIN CENTRAL TRANSPORTATION CORP	DE					X					09/30/93	
WISER OIL CO	DE		X				X				10/07/93	
WOODBURY INC	FL	X					X				10/05/93	
WORTH CORP	DE					X					10/07/93	
WPI GROUP INC	NH					X	X				09/28/93	
XATA CORP /MN/	MN					X					10/06/93	
XELEX INC	UT					X					10/08/93	
Z I INC	WA		X				X				09/29/93	
3MET SYSTEMS INC /DE/	DE					X	X				10/08/93	
AMERCO /NV/	NV		X								10/04/93	
AMES DEPARTMENT STORES INC	DE					X					10/02/93	
ARROW MANAGEMENT INC	NV	X	X				X				09/30/93	
ASPEN BANCSHARES INC	CO		X				X				10/06/93	
ATEL CASH DISTRIBUTION FUND V L P	CA		X								09/30/93	
BALL CORP	IN					X	X				10/12/93	
BANKERS TRUST NEW YORK CORP	NY					X	X				10/07/93	
BARD C R INC /NJ/	NJ					X					10/15/93	
BEFORD PROPERTY INVESTORS INC	DE		X				X				10/01/93	
BERRY PETROLEUM CO	DE					X	X				10/04/93	
BICOASTAL CORP	DE					X					10/08/93	
BIO IMAGING TECHNOLOGIES INC	DE					X					10/12/93	
BIRD CORP	MA					X					10/13/93	
BMR FINANCIAL GROUP INC	GA					X	X				10/07/93	
CALIFORNIA FEDERAL BANK	CA					X	X				09/27/93	
CANDYS TORTILLA FACTORY INC	CO					X	X				10/07/93	
CANTON INDUSTRIAL CORP	OH		X				X				09/23/93	
CANTON INDUSTRIAL CORP	OH					X					10/01/93	
CONSECO INC	IN		X				X				09/30/93	
CONTINUUM CO INC	DE		X				X				09/30/93	