

# sec news digest

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Issue 93-166

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## RULES AND RELATED MATTERS

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### RULES 2a19-2 AND 2a3-1 ADOPTED

The Commission has adopted Rules 2a19-2 and 2a3-1 under the Investment Company Act of 1940. Rule 2a19-2 excepts from the definition of interested person in Section 2(a)(19) the general partners that serve as directors of limited partnership investment companies, provided that the partnership agreement contains in substance certain provisions that are set forth in the rule. Rule 2a3-1 excepts from the definition of affiliated person in Section 2(a)(3) those limited partners of limited partnership investment companies that are affiliated persons solely because they are limited partners. (Rel. IC-19658)

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## COMMISSION ANNOUNCEMENTS

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### SEC NAMES HEAD OF PHILADELPHIA OFFICE

On August 26, Chairman Levitt announced the appointment Donald M. Hoerl to be the head of the Commission's Philadelphia Office.

Mr. Hoerl currently is the Associate Regional Administrator in charge of the Commission's Salt Lake City Branch Office, a position he has held since June 1987. Prior to that time, Mr. Hoerl was the Chief Trial Counsel for the Commission's Denver Regional Office. Mr. Hoerl was an Assistant United States Attorney in Denver Colorado for five years before joining the Commission in 1982. (Press Rel. 93-30)

### PERSONNEL REORGANIZATION IN COMMISSION'S REGIONAL OFFICE PROGRAM

Chairman Levitt today announced that, in an effort to streamline the Commission's regional office program and improve program services, the following structure will be put in place: Regional Administrators for the Los Angeles, New York, Chicago and Denver Offices will be designated as Regional Directors; Elaine Cacheris will be promoted to head the Pacific Region from the Los Angeles Office and will be responsible for managing the Seattle and San Francisco District Offices; Robert Davenport will be promoted to head the Central Region from the Denver Office and will be responsible for managing the Salt Lake City and Fort Worth District Offices; William Goldsberry will be promoted to head the Midwest Region from the Chicago Office within its current configuration; and Richard Walker will be promoted to head the Northeastern Region from the New York Office and will be responsible for managing the

Boston and Philadelphia District Offices. In the short term, there will be no change in the Southeast Region; however, planning will begin to designate Miami as the Regional Office responsible for the Atlanta District Office. William McLucas, the Director of Enforcement, will have overall responsibility for these five regional offices but the other Commission Division Directors in the Commission's Washington headquarters will be responsible for managing their respective programs in each office.

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## CIVIL PROCEEDINGS

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### COMPLAINT FILED AGAINST ROBERT JOHNSTON AND FIDUCIARY PLANNING

The Commission announced that on August 23 a complaint was filed in the U.S. District Court for the Eastern District of Michigan against Robert Johnston (Johnston), a resident of Birmingham, Michigan, and Fiduciary Planning, Inc. (FPI), an unregistered broker-dealer owned and run by Johnston. The complaint requests that the Court enter an Order of Permanent Injunction and disgorgement against Johnston and FPI based on their violations and/or aiding and abetting violations of the securities registration, broker-dealer registration and antifraud provisions of the federal securities laws. The complaint alleges that from at least June 1989 through December 1989, Johnston and FPI misrepresented and omitted material facts in connection with their offer and sale of unregistered securities in the form of U.S. and foreign government bonds or investment contracts in Eurobond Exchange Ltd. (Eurobond). Specifically, the complaint alleges that Johnston and FPI failed to adequately investigate the Eurobond investment and materially misrepresented and omitted to state material facts concerning, among other things, the risks and returns of the Eurobond investment and the commissions of up to 12% that they received from their sales of the investment. [SEC v. Robert Johnston and Fiduciary Planning, Inc., E.D. Mich., Civil Action File No. 93-73541 DT] (LR-13766)

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## INVESTMENT COMPANY ACT RELEASES

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### COLONIAL ADVANCED STRATEGIES GOLD TRUST

A notice has been issued giving interested persons until September 20, 1993 to request a hearing on an application filed by Colonial Advanced Strategies Gold Trust for an order under Section 8(f) of the Investment Company Act declaring that applicant has ceased to be an investment company. (Rel. IC-19659 - August 26)

### AMERICAN CAPITAL COMSTOCK FUND, INC., ET AL.

A notice has been issued giving interested persons until September 20, 1993 to request a hearing on an application filed by the American Capital Comstock Fund, Inc., et al. for an order under Sections 6(c) and 17(b) of the Investment Company Act that would exempt applicants from Sections 12(d) (1), 17(a) (1), and 17(a) (2), and under Section

17(d) and Rule 17d-1 thereunder permitting certain transactions. The order would permit the American Capital Small Capitalization Fund, Inc., an open-end investment company advised by American Capital Asset Management Inc. (American Capital), to serve exclusively as an investment vehicle through which other open-end investment companies advised by American Capital could invest portions of their assets in a portfolio of small capitalization stocks. (Rel. IC-19660 - August 26)

**AMERICAN CAPITAL COMSTOCK FUND, INC., ET AL.**

A notice has been issued giving interested persons until September 20, 1993 to request a hearing on an application filed by the American Capital Comstock Fund, Inc., et al. for an order under Section 45(a) of the Investment Company Act. The order would declare that public disclosure of certain information submitted in support of another application filed by applicants and relating to anticipated annual cost savings is neither necessary nor appropriate in the public interest or for the protection of investors. The other application would permit the American Capital Small Capitalization Fund, Inc., an open-end investment company advised by American Capital Asset Management, Inc. (American Capital), to serve as an investment vehicle through which other open-end investment companies advised by American Capital could invest a portion of their assets in a portfolio of small capitalization stocks. (Rel. IC-19661 - August 26)

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**HOLDING COMPANY ACT RELEASES**

**AMERICAN ELECTRIC POWER COMPANY, INC., ET AL.**

A supplemental order has been issued authorizing a proposal by American Electric Power Company, Inc. (AEP), a registered holding company, and its nonutility subsidiary company, AEP Investments, Inc. (AEP Investments), whereby AEP will contribute up to \$1 million to the capital of AEP Investments to be used to make up to a \$1 million short-term loan to InterSource Technologies, Inc. (Rel. 35-25871)

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**LISTING, DELISTING AND UNLISTED TRADING ACTIONS**

**UNLISTED TRADING PRIVILEGES SOUGHT**

Notices have been issued giving interested persons until September 16, 1993 to comment on the applications of three exchanges for unlisted trading privileges in issues which are listed and registered on one or more other national securities exchange and are reported in the consolidated transaction reporting system. The exchanges and number of issues are as follows: Cincinnati Stock Exchange - 22 issues (Rel. 34-32805); Philadelphia Stock Exchange - 4 issues (Rel. 34-32806); and Chicago Stock Exchange - 9 issues (Rel. 34-32807).

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## SELF-REGULATORY ORGANIZATIONS

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### PROPOSED RULE CHANGES

The Philadelphia Stock Exchange filed a proposed rule change (SR-Phlx-93-08) to amend Floor Procedure Advice F-2 regarding the time stamping, matching and access to matched trades on the Exchange's equity options and foreign currency options floors. Publication of the proposal is expected in the Federal Register during the week of August 30. (Rel. 34-32792)

The American Stock Exchange filed a proposed rule change (SR-Amex-92-26) to amend Rule 170 to establish a one year pilot program for specialists' liquidating transactions. Publication of the proposal is expected in the Federal Register during the week of August 30. (Rel. 34-32804)

### AMENDMENT FILED AND PARTIAL APPROVAL OF PROPOSED RULE CHANGE

The New York Stock Exchange filed an amendment to a proposed rule change (SR-NYSE-93-04) to permit the Exchange to trade options that expire on the second business day following the end of each calendar quarter based on the NYSE Utility Index. In addition, the Commission has approved the NYSE's proposal to enable the Exchange to list and trade options on the NYSE Composite Stock Index that expire on the second business day following the end of each calendar quarter. Publication of the proposal is expected in the Federal Register during the week of August 30. (Rel. 34-32793)

### IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGE

The Municipal Securities Rulemaking Board filed a proposed rule change (SR-MSRB-93-10) relating to technical amendments to Rules G-27 and G-29. Publication of the proposal is expected in the Federal Register during the week of August 30. (Rel. 34-32803)

### APPROVAL OF PROPOSED RULE CHANGE

The National Association of Securities Dealers filed a proposed rule change (SR-NASD-92-51) to amend Part I, Section 1 and Part II, Sections 8 and 9 of the NASD Code of Arbitration Procedure. The proposed rule would require that any dispute, claim, or controversy arising out of the employment or termination of employment of an associated person by and with an NASD member shall be arbitrated. (Rel. 34-32802)

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## SIGNIFICANT NO-ACTION AND INTERPRETATIVE LETTERS

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The following is a list of significant no-action and interpretative letters recently issued by the Division of Investment Management. These letters express the view of the Division respecting novel or important questions arising under the Securities Act of 1933, the Securities Exchange Act of 1934, the Trust Indenture Act of 1939, the Investment Company Act of 1940, and the Investment Advisers Act of 1940. Copies of these letters may be obtained by writing to the Public Reference Room, Securities and

Exchange Commission, Washington, D.C. 20549, or by making a request in person at the Public Reference Room, 450 Fifth Street, N.W., Room 1024, Washington, D.C., stating the name of the subject company, the Act and the Section of the Act to which it relates, and the public availability date.

<u>COMPANY</u>	<u>ACT/SECTION/RULE OR RELEASE</u>	<u>DATE MAILED</u>	<u>PUBLIC AVAILABILITY DATE</u>
The National Mutual Group	IAA'40, Section 203(a)	3/8/93	3/8/93
Alliance Capital Management L.P.	ICA'40, Section 18(f)(1)	4/7/93	4/7/93
Mercury Asset Management Plc	IAA'40, Section 203(a)	4/16/93	4/16/93
United Services Funds	ICA'40, Sections 17(e), 17(e)(1)	4/23/93	4/23/93
Jardine Fleming China Region Fund, Inc.	ICA'40, Rule 17f-5	4/26/93	4/26/93
"Dear Registrant"	ICA'40, Section 35(d)	5/13/93	5/13/93
Kidder, Peabody Investment Trust	ICA'40, Section 12(d)(3)	5/14/93	5/14/93
Volunteer Corporate Credit Union	IAA'40, Rule 206(4)-2	5/28/93	5/28/93
The Honorable John D. Dingell/Bank Mutual Fund Names	ICA'40, Section 35(d)	5/7/93	6/2/93
T. Rowe Price Tax-Free Funds	ICA'40, Section 5(b)(1)	6/24/93	6/24/93
The New America High Income Fund, Inc.	ICA'40, Section 18(e)	7/29/93	7/29/93
Denver Investment Advisors, Inc.	IAA'40, Rule 206(4)-1	7/30/93	7/30/93

## SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

- S-3 MORGAN KEEGAN INC, 50 N FRONT ST, MEMPHIS, TN 38103 (901) 524-4100 - 365,230 (\$4,611,028.75) COMMON STOCK. (FILE 33-67610 - AUG. 17) (BR. 12)
- S-8 PUREPAC INC, 200 ELMORA AVE, ELIZABETH, NJ 07207 (908) 527-9100 - 465,000 (\$4,854,600) COMMON STOCK. (FILE 33-67612 - AUG. 18) (BR. 4)
- S-1 AMERICAN SKANDIA LIFE ASSURANCE CORP/CT, ONE CORPORATE DR, SHELTON, CT 06484 (203) 926-1888 - \$50,000,000 VARIABLE ANNUITY ISSUES. (FILE 33-67614 - AUG. 18) (BR. 20)
- S-8 PENNSYLVANIA POWER & LIGHT CO /PA, TWO N NINTH ST, ALLENTOWN, PA 18101 (215) 774-5151 - 400,000 (\$12,175,000) COMMON STOCK. (FILE 33-50031 - AUG. 20) (BR. 7)
- S-3 MASSACHUSETTS ELECTRIC CO, 25 RESEARCH DR, WESTBOROUGH, MA 01582 (508) 366-9011 - 100,000,000 (\$100,000,000) MORTGAGE BONDS. (FILE 33-50033 - AUG. 20) (BR. 13)
- S-2 NL INDUSTRIES INC, 3000 N SAM HOUSTON PKWY EAST, HOUSTON, TX 77032 (713) 987-5000 - 427,000,000 (\$350,000,000) STRAIGHT BONDS. (FILE 33-50035 - AUG. 20) (BR. 2)
- S-3 FOOD LION INC, P O BOX 1330, 2110 EXECUTIVE DR, SALISBURY, NC 28145 (704) 633-8250 - 14,556,962 (\$115,000,000) COMMON STOCK. (FILE 33-50037 - AUG. 20) (BR. 2)
- S-8 WINN DIXIE STORES INC, 5050 EDGEWOOD CT, JACKSONVILLE, FL 32205 (904) 783-5000 - 500,000 (\$25,017,565) COMMON STOCK. (FILE 33-50039 - AUG. 20) (BR. 1)
- S-4 ANSOUTH BANCORPORATION, 1400 ANSOUTH SONAT TOWER, P.O. BOX 11007, BIRMINGHAM, AL 35288 (205) 320-7151 - 600,000 (\$9,054,000) COMMON STOCK. (FILE 33-50041 - AUG. 20) (BR. 2)
- S-8 ONTARIO PROVINCE OF, 501 PENNSYLVANIA AVE NW, CANADIAN EMBASSY, WASHINGTON, DC 20001 - 1,976,250,000 (\$1,976,250,000) FOREIGN GOVERNMENT AND AGENCY DEBT. (FILE 33-67674 - AUG. 20) (BR. 9)

## ACQUISITION OF SECURITIES

Companies and individuals must report to the Commission within ten days on Schedule 13D if after the acquisition of equity securities of a public company their beneficial interest therein exceeds five percent. Persons eligible to use the short form (Schedule 13G) may in lieu of filing a Schedule 13D file a Schedule 13G within 45 days after the end of the calendar year in which the person became subject to Section 13(d)(1). Companies and individuals making a tender offer must have on file at the time the tender offer commences a Schedule 14D-1.

Below is a list of recent filings of Schedules 13D and 14D, which includes the following information: Column 1 - the company purchased (top), and the name of the purchaser; Column 2 - the type of security purchased; Column 3 - the type of form filed; Column 4 - the date the transaction occurred; Column 5 - the current number of shares (in 000's) owned (top) and the current percent owned; Column 6 - the CUSIP number (top) and the percent owned; and Column 7 - the status of the filing, i.e., new, update or revision.

NAME AND CLASS OF STOCK/OWNER	FORM	EVENT DATE	SHARES(000)/ OWNED	CUSIP/ PRIOR%	FILING STATUS
ACCEPTANCE IMS COS INC EAST SIDE CAPITAL CORP	COM 13D	8/13/93	460 5.5	00430810 5.5	UPDATE
ALTER SALES INC PAYNE FINL GROUP INC	COM NEW 13D	7/26/93	416 9.6	02199320 0.0	RVISION
ALTER SALES INC PAYNE FINL GROUP INC	COM NEW 13D	7/26/93	416 9.6	02199320 0.0	RVISION
ARITECH CORP SENTROL LIFESAFETY CORP ET AL	COM 14D-1	8/25/93	0 0.0	04045110 0.0	NEW
BLUE DOLPHIN ENERGY CO COLUMBUS PETROLEUM ET AL	COM 13D	4/22/93	3,980 14.1	09539510 9.2	UPDATE
BLUE DOLPHIN ENERGY CO KAFFIE HARRIS A	COM 13D	6/17/93	2,413 1.0	09539510 1.0	UPDATE
CENTURY COMMUNICATIONS CORP SANDLER ASSOC ET AL	CL A 13D	8/24/93	1,095 1.3	15650310 1.7	UPDATE
ECHLIN INC GAMCO INVESTORS INC ET AL	COM 13D	8/23/93	3,148 5.4	27874910 6.2	UPDATE
EDITEK INC LINDLEY J THOMAS SR	COM 13D	EC 8/16/93	314 6.2	28106810 6.3	UPDATE
EDITEK INC LINDLEY J THOMAS SR	COM 13D	EC 8/16/93	314 6.2	28106810 6.3	RVISION
ENVIROFIL INC HANSEN RICHARD A ET AL	COM 13D	6/30/93	6,512 22.5	29394320 23.2	UPDATE
FORUM RETIREMENT PRTRNS L P FORUM GROUP INC	PFD DEPOSITARY 13D	8/18/93	1,940 22.1	34985110 0.0	NEW
GENTEX CORP STATE OF MICH STATE TREASURER	COM 13D	8/23/93	1,212 7.6	37190110 9.0	UPDATE
HILCOAST DEV CORP HALPERIN BARRY S ET AL	COM 13D	8/20/93	377 15.7	43131310 13.4	UPDATE
JLG INDS INC GROVE JOHN L	COM 13D	8/20/93	691 18.9	46621010 18.8	UPDATE
NEW LINE CINEMA CORP SHAYE ROBERT	COM 13D	8/17/93	4,485 28.6	64646510 20.9	UPDATE

## ACQUISITIONS CONT.

NAME AND CLASS OF STOCK/OWNER	FORM	EVENT DATE	SNRS(000)/ 20MMED	CUSIP/ PR10R%	FILING STATUS
ORTHOLOGIC CORP HOLLIMAN JOHN M III ET AL	COM 13D	2/26/93	587 8.8	70599510 0.0	NEW
TNT FREIGHTWAYS CORP STATE OF MICH STATE TREASURER	COM 13D	8/23/93	858 5.9	87499210 11.7	UPDATE
THREE D DEPTS INC FIDELITY INTL LTD	CL B 13D	8/23/93	206 7.2	88553910 0.0	NEW
THREE D DEPTS INC FIDELITY INTL LTD	CL B 13D	8/23/93	206 7.2	88553910 0.0	RVISION
THREE D DEPTS INC FMR CORP	CL B 13D	8/23/93	206 7.2	88553910 0.0	NEW
THREE D DEPTS INC FMR CORP	CL B 13D	8/23/93	206 7.2	88553910 0.0	RVISION
THREE D DEPTS INC FIDELITY INTL LTD	CL A 13D	8/23/93	282 19.9	88553920 0.0	NEW
THREE D DEPTS INC FIDELITY INTL LTD	CL A 13D	8/23/93	282 19.9	88553920 0.0	RVISION
THREE D DEPTS INC FMR CORP	CL A 13D	8/23/93	282 19.9	88553920 0.0	NEW
THREE D DEPTS INC FMR CORP	CL A 13D	8/23/93	282 19.9	88553920 0.0	RVISION
UNITED RETAIL GROUP INC LIMITED INC ET AL	COM 13D	8/23/93	6,401 52.8	91138010 51.8	UPDATE
AMERICAN ECOLOGY CORP ECOL PARTNERS ET AL	COM 13D	8/16/93	92 1.2	02553310 61.7	UPDATE
AMERICAN ECOLOGY CORP ECOL PARTNERS ET AL	COM 13D	8/16/93	92 1.2	02553310 61.7	RVISION
BAKER MICHAEL CORP BAKER MICHAEL CORP ESOP	COM 13D	6/30/93	858 10.4	05714910 13.8	UPDATE
MICHAEL BAKER CORP BAKER MICHAEL CORP ESOP	COM SER B 13D	6/30/93	1,224 89.0	05714999 31.5	UPDATE
BRADLEES INC STOP & SHOP COS INC	COM 13D	8/24/93	0 0.0	10449910 5.4	UPDATE
BRADLEES INC STOP & SHOP COS INC	COM 13D	8/24/93	0 0.0	10449910 5.4	RVISION
CONSTELLATION BANCORP CORESTATES FINANCIAL CORP	COM 13D	8/ 2/93	5,300 19.5	21036910 0.0	NEW



ACQUISITIONS CONT.

NAME AND CLASS OF STOCK/OWNER	FORM	EVENT DATE	SHRS(000)/ % OWNED	CUSIP/ PRIOR%	FILING STATUS
CONS NEV GOLDFIELDS	COM		10,484	21699710	
NIXON FORK JOINT VENTURE ET AL	13D	8/16/93	28.4	28.4	UPDATE
CYBERTEK CORP PRODS INC	COM		3,666	23251810	
POLICY MGMT SYS ET AL	14D-1	8/25/93	96.9	43.1	UPDATE
CYBERTEK CORP PRODS INC	COM		3,666	23251810	
POLICY MGMT SYS ET AL	14D-1	8/25/93	96.9	43.1	RVISION
FMB BANCORP	COM		150	30296099	
BERNSTEIN WILMA ESTRIN	13D	8/16/93	5.4	0.0	NEV
FMB BANCORP	COM		200	30296099	
BUTLER ABBEY JOEL ET AL	13D	8/16/93	7.3	0.0	NEV
FMB BANCORP	COM		200	30296099	
COMITEAU JOEL T ET AL	13D	8/16/93	7.3	0.0	NEV
FMB BANCORP	COM		542	30296099	
ESTRIN MELVYN JAY ET AL	13D	8/16/93	19.7	0.0	NEV
FIRST USA INC	COM		1,393	34199710	
MORGAN J P & CO ET AL	13D	3/ 5/93	5.2	7.1	UPDATE
HERITAGE MEDIA CORP	CL A NEV		1,928	42724120	
GOLDMAN SACHS & CO	13D	8/18/93	11.3	10.5	UPDATE
NATIONAL VISION ASSOC LTD	COM		694	63845910	
STEWART JAMES A ET AL	13D	8/16/93	3.4	0.0	NEV
MOSTALGIA NETWORK INC	COM NEV		7,429	66975230	
CROWN COMMUNICATIONS CRP ET AL	13D	8/18/93	50.8	50.8	UPDATE
MOSTALGIA NETWORK INC	COM NEV		7,429	66975230	
CROWN COMMUNICATIONS CRP ET AL	13D	8/18/93	50.8	50.8	RVISION
ROCKY MOUNTAIN HELICOPTERS	COM		717	77471410	
JAFFE HELICOPTER HLDG ET AL	13D	8/ 9/93	7.6	15.2	UPDATE
TUCSON ELEC PWR CO	COM		8,272	89881310	
PHILIP MORRIS CAPITAL ET AL	13D	8/23/93	5.2	5.5	UPDATE

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.

- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.

The companies listed below have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. Copies of the reports may be purchased from the Commission's Public Reference Room (when ordering, please give the date of the report). An invoice will be included with the requested material when mailed.

NAME OF ISSUER	STATE CODE	8K ITEM NO.								DATE	COMMENT
		1	2	3	4	5	6	7	8		
ACE LTD						X	X			08/17/93	
ADVANTAGE LIFE PRODUCTS INC / CO	CO	X					X			07/23/93AMEND	
ALMACS INC	DE		X							08/06/93	
ALTER SALES CO INC	FL			X			X			07/29/93AMEND	
AMERICAN INDUSTRIES LTD	NV	X	X	X	X	X	X	X		05/31/93AMEND	
AMERON INC/DE	DE					X				08/20/93	
AMES DEPARTMENT STORES INC	DE					X	X			08/23/93	
APL CORP	NY		X							06/25/93	
AVIATION EDUCATION SYSTEMS INC	DE					X	X			06/24/93	
BANK OF AME NA TR & SA AS SPNB HO EQ LM						X	X			08/16/93	
BELO A H CORP	DE					X	X			08/19/93	
BIO TECHNOLOGY GENERAL CORP	DE	X					X			08/06/93	
BLOCKBUSTER ENTERTAINMENT CORP	DE					X	X			08/12/93	
BNY MASTER CREDIT CARD TRUST	DE					X	X			08/16/93	
BONNEVILLE PACIFIC CORP	DE		X							08/16/93	
BROADWAY & SEYMOUR INC	DE	X					X			05/07/93AMEND	
CALIFORNIA FEDERAL BANK	CA					X	X			07/26/93	
CALPROP CORP	DE	X								08/16/93	
CAMELOT CORP	CO				X					08/19/93	
CANTERBURY EDUCATIONAL SERVICES INC /PA/	PA					X	X			08/23/93	
CAROLINA POWER & LIGHT CO	NC						X			08/26/93	
CB BANCSHARES INC/HI	HI					X				08/16/93	
CBT CORP /KY/	KY	X					X			02/01/93	
CENTRAL SPRINKLER CORP	PA	X					X			08/17/93	
CENTRUM INDUSTRIES INC	DE	X					X			08/09/93	
CENTURA BANKS INC	NC						X			08/20/93	
CENTURY PROPERTIES FUND XIV	CA	X					X			05/31/93AMEND	
CENTURY PROPERTIES FUND XIX	CA	X					X			05/13/93AMEND	
CENTURY PROPERTIES FUND XIX	CA	X					X			07/09/93AMEND	
CENTURY PROPERTIES FUND XVII	CA	X					X			05/19/93AMEND	
CENTURY PROPERTIES FUND XVII	CA	X					X			06/24/93AMEND	
CENTURY PROPERTIES FUND XVIII	CA	X					X			03/31/93AMEND	
CHASE MANHATTAN BANK N A									NO ITEMS	08/19/93	
CHITTENDEN CORP /VT/	VT						X			08/19/93	
COLUMBIA GAS SYSTEM INC	DE	X								08/23/93	
CONDISCO RECEIVABLES TRUST 1991-A	DE					X	X			08/13/93	
CONDISCO RECEIVABLES TRUST 1992 A	DE					X	X			08/13/93	
CONDISCO RECEIVABLES TRUST 1993-A						X	X			08/13/93	
COMPUTERVISION CORP	DE					X	X			08/18/93	
COMTECH TELECOMMUNICATIONS CORP /DE/	DE					X	X			07/09/93	
CONCORD ENERGY INC	NJ	X	X				X			05/18/93AMEND	
CONFERTECH INTERNATIONAL INC	CO	X	X	X		X	X			08/23/93	
CONKLIN CO INC	MN					X				08/20/93	
DEERE & CO	DE					X	X			08/24/93	

## 8K REPORTS CONT.

NAME OF ISSUER	STATE CODE	8K ITEM NO.								DATE	COMMENT
		1	2	3	4	5	6	7	8		
DEERE JOHN CAPITAL CORP	DE				X	X				08/24/93	
DEP CORP	DE					X				08/06/93	
DOLLAR TIME GROUP INC	NV				X					08/05/93	
DYNACQ INTERNATIONAL INC	NV	X				X				08/18/93	
ELECTROMAGNETIC OIL RECOVERY INC	OK				X	X				08/23/93	
ELECTRONIC TECHNOLOGY GROUP INC	DE	X				X				08/09/93	
ENERGY SERVICE COMPANY INC	DE					X				08/05/93	
ENERGY SERVICE COMPANY INC	DE	X				X				08/12/93	
ENZO BIOCHEM INC	NY				X	X				08/18/93	
EQUITEC FINANCIAL GROUP INC	CA					X				07/31/93	
EXCEL REALTY CO INC		X	X	X						08/11/93	
F&E RESOURCE SYSTEMS TECHNOLOGY INC	MD				X					08/16/93	
F&M NATIONAL CORP	VA				X	X				06/09/93	
FBS MORTGAGE CORP	NV	NO ITEMS								08/16/93	
FBS MORTGAGE CORP	NV				X					08/20/93	
FBS MORTGAGE CORP MOR PA THR CERT SERIES	NV	NO ITEMS								08/16/93	
FBS MORTGAGE CORP MORT PAS THR CERT SERI	NV	NO ITEMS								08/16/93	
FBS MORTGAGE CORP MORT PASS THRO CERT SE	NV	NO ITEMS								08/16/93	
FBS MORTGAGE CORP MORTGAGE PAS THR CERT	NV	NO ITEMS								08/16/93	
FBS MORTGAGE CORP MORTGAGE PASS THR CERT	NE	NO ITEMS								08/16/93	
FBS MORTGAGE CORP MORTGAGE PASS THR CERT	NV	NO ITEMS								08/16/93	
FBS MORTGAGE CORP MORTGAGE PASS THR CERT	NV	NO ITEMS								08/16/93	
FBS MORTGAGE CORP MORTGAGE PASS THR CERT	NV	NO ITEMS								08/16/93	
FBS MORTGAGE CORP MORTGAGE PASS THR CERT	NV	NO ITEMS								08/16/93	
FBS MORTGAGE CORP MORTGAGE PASS THR CERT	NV	NO ITEMS								08/16/93	
FCC NATIONAL BANK					X	X				08/09/93	
FIRST FINANCIAL HOLDINGS INC /DE/	DE					X				08/13/93	
FIRST TENNESSEE NATIONAL CORP	TN	X								08/19/93	
FOME AMERICA INC	NV				X					08/19/93	
FRANKLIN CONSOLIDATED MINING CO INC	DE				X					08/10/93	
GENERAL PUBLIC UTILITIES CORP /PA/	PA	X								08/24/93	
GENLYTE GROUP INC	DE				X					08/13/93	
GREENWICH CAPITAL ACCEPTANCE INC	DE				X	X				04/26/93	
GREENWICH CAPITAL ACCEPTANCE INC	DE				X	X				07/29/93	
GUARDSMAN PRODUCTS INC	DE		X			X				08/12/93	
GULFMEST OIL CO	UT					X				06/23/93AMEND	
HALIFAX CORP	VA	X								08/16/93	
HAWKINS ENERGY CORP	OK					X				07/14/93AMEND	
HEIST C H CORP	NY	X				X				06/20/93	
HELLER FINANCIAL INC	DE				X					08/25/93	
HFC HOME EQUITY LOAN TRUST SERIES 1991-1	DE					X				08/20/93	
HFC HOME EQUITY LOAN TRUST SERIES 1991-2	DE					X				08/20/93	
HFC HOME EQUITY LOAN TRUST SERIES 1991-3	DE					X				08/20/93	
HFC HOME EQUITY LOAN TRUST SERIES 1992-2	DE					X				08/20/93	
HFC HOME EQUITY LOAN TRUST SERIES 1992-2	DE					X				08/20/93	
HOME EQUITY LOAN REMIC TRUST 1992-1	DE					X				08/20/93	
HOTELECOPY INC	FL				X					08/19/93	
HOUSEHOLD CREDIT CARD TRUST 1991-1	NV					X				08/20/93	
HOUSEHOLD CREDIT CARD TRUST 1991-2	DE					X				08/20/93	
HOUSEHOLD CREDIT CARD TRUST 1992-1	DE					X				08/20/93	
HOUSEHOLD HOME EQUITY LOAN TRUST SERIES	DE					X				08/20/93	
HOUSEHOLD HOME EQUITY LOAN TRUST SERIES	DE					X				08/20/93	
IDB COMMUNICATIONS GROUP INC	DE					X				08/13/93	
IMPERIAL FEDERAL SAVINGS ASSO MOR PA TH	CA					X				07/31/93	
IMPERIAL FEDERAL SAVINGS ASSO MOR PAS TH	CA					X				07/31/93	

8K REPORTS CONT.

NAME OF ISSUER	STATE CODE	8K ITEM NO.								DATE	COMMENT
		1	2	3	4	5	6	7	8		
IMPERIAL FEDERAL SAVINGS ASSO MOR PAS TH	CA							X		07/31/93	
IMPERIAL FEDERAL SAVINGS ASSO MOR PAS TH	CA							X		07/31/93	
IMPERIAL FEDERAL SAVINGS ASSO MOR PAS TH	CA							X		07/31/93	
IMPERIAL FEDERAL SAVINGS ASSO MOR PAS TH	CA							X		07/31/93	
IN STORE ADVERTISING INC	DE			X				X		08/06/93	
INAMED CORP	FL				X					08/17/93	
INDIANA UNITED BANCORP	IN							X		08/12/93	
INFONOW CORP /DE	DE				X	X				08/19/93	
INTERNATIONAL METALS ACQUISITION CORP	DE				X	X				08/20/93	
IPALCO ENTERPRISES INC	IN				X	X				08/23/93	
ISRAMCO INC	DE				X					08/17/93	
JUDICATE INC	DE				X					08/17/93	
KENNAMETAL INC	PA		X					X		08/04/93	
KILLEARN PROPERTIES INC	FL			X				X		08/09/93AMEND	
LANDER ENERGY CO	CO				X	X				07/27/93	
LEHMAN PASS THROUGH SECURITIES INC	DE				X	X				08/16/93	
LIBERTY BANCORP INC /OK/	DE				X	X				07/31/93	
LOME STAR INDUSTRIES INC	DE	X								08/24/93	
MAI SYSTEMS CORP	DE				X	X				07/13/93	
MANAGEMENT TECHNOLOGIES INC	NY					X				07/14/93AMEND	
MANAGEMENT TECHNOLOGIES INC	NY		X							07/29/93	
MANAGEMENT TECHNOLOGIES INC	NY				X					08/06/93	
MARINE DRILLING COMPANIES INC	TX				X	X				08/20/93	
MATTEL INC /DE/	DE				X	X				08/19/93	
MAXTOR CORP	DE				X	X				08/18/93	
MCFARLAND ENERGY INC	DE				X					08/06/93	
MEDICAL RESOURCES INC /DE/	DE							X		06/07/93AMEND	
MEMOREX TELEX CORP	DE				X					05/28/93	
MEMOREX TELEX N V	DE				X					05/28/93	
MERCANTILE BANCORPORATION INC	ND				X	X				08/17/93	
METRIC INCOME TRUST SERIES INC	CA							X		06/18/93AMEND	
METROPOLITAN MORTGAGE & SECURITIES CO IN	WA			X						06/21/93AMEND	
MHI GROUP INC	FL			X			X			08/16/93	
MID SOUTH BANCORP INC /KY/	KY	X					X			08/13/93	
MISSISSIPPI POWER CO	MS				X	X				08/18/93	
MOX INC	ND				X					08/11/93	
MONARCH CASINO & RESORT INC	NV	X			X	X				08/13/93	
MORTGAGE & REALTY TRUST	ND				X					08/19/93	
MULTIMEDIA FACTORY INC	UT	X	X		X	X	X			06/10/93	
NAMIC USA CORPORATION	DE				X	X				08/16/93	
NANTUCKET INDUSTRIES INC	DE				X					09/13/93	
NEW LINE CINEMA CORP	DE	X								08/19/93	
NEW YORK TELEPHONE CO	NY							X		08/17/93	
NEW YORK TELEPHONE CO	NY							X		08/17/93	
NEW YORK TIMES CO	NY				X	X				08/25/93	
NIAGARA CORP	FL				X	X				06/30/93	
NORCAP FINANCIAL CORP	AZ				X					09/22/93	
NORTH LILY MINING CO	UT	X	X		X	X				08/06/93	
NTN CANADA INC	NY				X	X				07/30/93	
OILGEAR CO	WI				X	X				08/19/93	
OSSC HOME EQUITY LOAN TRUST 1992-1	DE				X	X				08/23/93	
OSSC HOME EQUITY LOAN TRUST 1992-2	DE				X	X				08/23/93	
OSSC HOME EQUITY LOAN TRUST 1992-3	DE				X	X				08/23/93	
OSSC HOME EQUITY LOAN TRUST 1992-4	DE				X	X				08/23/93	