

sec news digest

Issue 93-106

June 4, 1993

COMMISSION ANNOUNCEMENTS

SEC AND SVS OF CHILE ANNOUNCE THE SIGNING OF A MEMORANDUM OF UNDERSTANDING ON COOPERATION AND MUTUAL ASSISTANCE

Acting Chairman Mary L. Schapiro and Hugo Lavados Montes, Superintendente of the Superintendencia de Valores y Seguros of Chile (SVS), today signed a Memorandum of Understanding (MOU) concerning consultation and cooperation in the administration and enforcement of United States and Chilean securities laws. The MOU creates a framework to facilitate mutual assistance between the SEC and the SVS and formalizes the excellent working relationship that already exists between the two authorities. The MOU also provides for consultations between the parties on all matters relating to the operation of the securities markets of their respective countries, and on the operation of the MOU. (Press Rel. 93-19; International Series Rel. 548)

CIVIL PROCEEDINGS

PRELIMINARY INJUNCTIONS ENTERED AGAINST 1ST AMERICAN CORPORATION, JOHN WOODS AND OTHERS

The Commission announced the imposition on May 25, 1993 of an order preliminarily enjoining 1st American Corporation (1st American), John Robert Woods (Woods), G. Woods Mortgages (GWM) and Approved Mortgage Corporation (AMC) from future violations of the antifraud provisions of the federal securities laws following a contested hearing. The order also froze assets of the defendants in the form of mortgages to be used to repay investors in the event the Commission prevails in this action.

On April 9, 1993, the Commission filed a complaint in the U.S. District Court for the Western District of Pennsylvania against the defendants seeking a permanent injunction, restitution and a civil penalty. The Commission alleges that from approximately July 1992 to the present, 1st American and Woods, aided and abetted by GWM and AMC, have raised approximately \$163,000 from investors in three states through the fraudulent offer and sale of a product mischaracterized as a collateralized mortgage obligation (CMO). The Commission further alleges that the 1st American "CMO" is vastly different from a traditional CMO in that it is backed by a single mortgage rather than a pool of mortgages; not held by an independent trustee; and not structured to account for prepayment risks or default. [SEC v. 1st American Corporation et al., W.D. Pa., Civ. No. 93-0561] (LR-13656)

MARYBETH NORTON CONSENTS TO A PERMANENT INJUNCTION

The Commission announced that on May 5, 1993 the Honorable Norman C. Roettger, U.S. District Judge for the Southern District of Florida, permanently enjoined, by consent, Marybeth Norton (Norton) from further violations of the antifraud provisions of the federal securities laws and from further aiding and abetting violations of the registration, antifraud and broker-dealer registration provisions of the federal securities laws. Norton was also ordered to disgorge \$253,679 of ill-gotten gain she received as a result of the conduct alleged in the Commission's complaint, payment of which is waived due to Norton's demonstrated inability to pay.

The Commission's complaint, which was filed on April 2, 1993, alleges that Norton, an unlicensed securities salesperson at American Capital Consultants, Inc. (American Capital), an unregistered broker-dealer which is now defunct, violated the antifraud provisions of the federal securities laws by making material misrepresentations and omitting material facts concerning the penny stocks she was promoting. The complaint further alleges that Norton aided and abetted American Capital's violations of the registration, antifraud and broker-dealer registration provisions of the federal securities laws. [SEC v. Marybeth Norton, Civil Action No. 93-6270-CIV-Roettger, USDC, S.D. Fla.] (LR-13658)

PERSONAL WEALTH SYSTEMS, INC. HELD IN CONTEMPT FOR FAILURE TO OBEY COMMISSION SUBPOENA DUCES TECUM

The Commission announced that on April 30, 1993 the Honorable Harvey E. Schlesinger, U.S. District Judge for the Middle District of Florida, Jacksonville Division, entered an order holding Personal Wealth Systems, Inc. (PWS) in contempt for PWS's failure to comply fully with a Commission subpoena duces tecum (subpoena). Judge Schlesinger committed Gary M. Haiser, PWS's president, to the custody of the U.S. Marshal until such time as PWS fully complied with the Commission's subpoena. Upon consent of the Commission's staff, Judge Schlesinger stayed enforcement of his April 30, 1993 contempt order for one week to allow PWS to comply with the Commission's subpoena. On May 7, 1993, after having been notified by the staff that PWS had fully complied with the Commission's subpoena, Judge Schlesinger vacated his contempt order of April 30, 1993.

Judge Schlesinger's April 30, 1993 order holding PWS in contempt was issued following the initiation of a subpoena enforcement action by the Commission against PWS on March 4, 1993, pursuant to Section 21(c) of the Securities Exchange Act of 1934. For further information, see LR-13558 and LR-13604. [SEC v. Personal Wealth Systems, Inc., No. 93-79-MISC-J-20, M.D. Fl.] (LR-13659)

CRIMINAL PROCEEDINGS

INDICTMENT CHARGES ALEXANDER STEIN WITH SECURITIES FRAUD, MAIL FRAUD, WIRE FRAUD AND MONEY LAUNDERING

The Commission and Charles H. Turner, U.S. Attorney for the District of Oregon, announced the filing of a forty-nine count indictment in the U.S. District Court for

the District of Oregon on April 30, 1992 against Alexander V. Stein, formerly of Portland, Oregon. Stein was arrested on May 7, 1992 in Salem, Oregon. The indictment charges Stein with securities fraud, mail fraud, wire fraud and money laundering in connection with a scheme that obtained over \$5 million from the public through investments in what Stein called fully hedged arbitrage transactions. The indictment also alleges that Stein forged multi-million dollar telecommunication contracts, brokerage records, bank statements and other documents in an attempt to lull investors into believing that he would be able to repay them. [U.S. v. Alexander V. Stein, No. CR 92-150, District of Oregon] (LR-13657)

GUILTY VERDICTS AGAINST EDWARD RUGGIERO AND CHRISTOPHER PARKER

The Commission announced that a jury in the Southern District of Texas, Houston Division, returned a verdict of guilty yesterday against Edward L. Ruggiero (Ruggiero) and Christopher S. Parker (Parker). Ruggiero and Parker were charged in a thirty-nine count indictment with, among other things, securities fraud in violation of Sections 10(b) and 14(e) of the Securities Exchange Act of 1934 and Rules 10b-5 and 14e-3 promulgated thereunder, and wire fraud, by trading in the options of Vista Chemical Co. (Vista) securities while in possession of material non-public information concerning the planned sale of Vista. When they purchased Vista options, Ruggiero was the Supervisor of Internal Audit at Vista and Parker was his friend. The Commission had previously brought a civil insider trading action against Ruggiero and Parker. On April 8, 1992, Ruggiero and Parker settled that action by consenting to entry of Final Judgments enjoining them from violating Sections 10(b) and 14(e) of Exchange Act and Rules 10b-5 and 14e-3 and requiring Ruggiero to disgorge \$679,527.25 and Parker to disgorge \$210,381.25 in trading profits. [U.S. v. Edward L. Ruggiero and Christopher S. Parker, H-92-92, S.D. Tex.] (LR-13660)

INVESTMENT COMPANY ACT RELEASES

THE FIRST CONNECTICUT CAPITAL CORPORATION

A notice has been issued giving interested persons until June 28 to request a hearing on an application filed by The First Connecticut Capital Corporation for an order pursuant to Section 8(f) of the Investment Company Act declaring that applicant has ceased to be an investment company. (Rel. IC-19509 - June 2)

LISTING, DELISTING AND UNLISTED TRADING ACTIONS

DELISTING GRANTED

An order has been issued granting the application of the American Stock Exchange to strike from listing and registration Angeles Corporation, Common Stock, No Par Value, Units and 12-1/2% Senior Subordinated Debentures, due December 1, 1995. (Rel. 34-32403)

UNLISTED TRADING PRIVILEGES SOUGHT

A notice has been issued giving interested persons until June 24 to comment on the application of the Midwest Stock Exchange for unlisted trading privileges in two over-the-counter issues and an application to withdraw unlisted trading privileges in two over-the-counter issues. (Rel. 34-32404)

A notice has been issued giving interested persons until June 24 to comment on the application of the Midwest Stock Exchange for unlisted trading privileges in one over-the-counter issue and an application to withdraw unlisted trading privileges in one over-the-counter issue. (Rel. 34-32405)

SELF-REGULATORY ORGANIZATIONS

EXTENSION OF TEMPORARY REGISTRATION AS A CLEARING AGENCY

The Commission has approved the Government Securities Clearing Corporation's request for extension of its temporary registration as a clearing agency until May 31, 1995. Publication of the order is expected in the Federal Register during the week of June 7. (Rel. 34-32385)

PROPOSED RULE CHANGE

The Chicago Board Options Exchange filed a proposed rule change (SR-CBOE-93-17) to require members of its trading crowd to respond to orders, represented in a trading crowd at the currently disseminated bid or offer, either by satisfying the order or by updating the existing market in the subject series. Publication of the proposal is expected in the Federal Register during the week of June 7. (Rel. 34-32406)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

SB-2 MICROS TO MAINFRAMES INC, 614 CORPORATE WAY, VALLEY COTTAGE, NY 10989 (914) 268-5000
- 862,500 (\$4,312,500) COMMON STOCK. 75,000 (\$75) WARRANTS, OPTIONS OR RIGHTS.
75,000 (\$450,000) COMMON STOCK. UNDERWRITER: STUART COLEMAN & CO INC. (FILE
33-62932-NY - MAY. 14) (BR. 2 - NEW ISSUE)

SB-2 GREAT PINES WATER CO INC, 600 NORTH SHEPHERD, STE 303, HOUSTON, TX 77007
(713) 864-6688 - 575,000 (\$2,875,000) COMMON STOCK. 50,000 (\$50)
WARRANTS, OPTIONS OR RIGHTS. 50,000 (\$300,000) COMMON STOCK. UNDERWRITER:
TEXAS CAPITAL SECURITIES INC. (FILE 33-63022-FW - MAY. 19) (BR. 3 - NEW ISSUE)

REGISTRATIONS CONTINUED

- S-3 OMNICON GROUP INC, 437 MADISON AVE, NEW YORK, NY 10022 (212) 415-3600 - 1,349,260 (\$58,355,495) COMMON STOCK. (FILE 33-63200 - MAY. 24) (BR. 5)
- S-8 COMPUTERVISION CORP /DE/, 100 CROSBY DRIVE, BEDFORD, MA 01730 (617) 275-1800 - 750,000 (\$3,330,000) COMMON STOCK. (FILE 33-63250 - MAY. 24) (BR. 10)
- S-8 UNDERWRITERS FINANCIAL GROUP INC, 156 WILLIAM ST, ATTN: HOWARD MILLER, NEW YORK, NY 10038 (212) 233-7171 - 50,000 (\$184,375) COMMON STOCK. (FILE 33-63262 - MAY. 25) (BR. 9)
- S-1 PANAMSAT LP, ONE PICKWICK PLAZA, GREENWICH, CT 06830 (203) 622-6664 - 635,158,600 (\$435,000,000) STRAIGHT BONDS. UNDERWRITER: BEAR STEARNS & CO INC, DONALDSON LUFKIN & JENRETTE SECURITIES C. (FILE 33-63284 - MAY. 25) (BR. 7 - NEW ISSUE)
- S-1 DEAN WITTER WORLD CURRENCY FUND LP, TWO WORLD TRADE CENTER, 62ND FL, NEW YORK, NY 10048 (212) 392-8899 - 20,000 (\$20,252,200) LIMITED PARTNERSHIP CERTIFICATE. (FILE 33-63310 - MAY. 25) (BR. 11 - NEW ISSUE)
- S-1 PUEBLO XTRA INTERNATIONAL INC, 1300 NW 22ND ST, POMPANO BEACH, FL 33069 (305) 977-2500 - 200,000,000 (\$200,000,000) STRAIGHT BONDS. UNDERWRITER: MORGAN STANLEY & CO INC. (FILE 33-63372 - MAY. 26) (BR. 1 - NEW ISSUE)
- S-1 TCA HOLDINGS CORP, 12 EAST 97TH ST, NEW YORK, NY 10029 (212) 996-6656 - 150,000,000 (\$150,000,000) STRAIGHT BONDS. UNDERWRITER: BT SECURITEIS CORP. (FILE 33-63392 - MAY. 26) (BR. 12 - NEW ISSUE)

ACQUISITION OF SECURITIES

Companies and individuals must report to the Commission within ten days on Schedule 13D if after the acquisition of equity securities of a public company their beneficial interest therein exceeds five percent. Persons eligible to use the short form (Schedule 13G) may in lieu of filing a Schedule 13D file a Schedule 13G within 45 days after the end of the calendar year in which the person became subject to Section 13(d)(1). Companies and individuals making a tender offer must have on file at the time the tender offer commences a Schedule 14D-1.

Below is a list of recent filings of Schedules 13D and 14D, which includes the following information: Column 1 - the company purchased (top), and the name of the purchaser; Column 2 - the type of security purchased; Column - 3 - the type of form filed; Column 4 - the date the transaction occurred; Column 5 - the current number of shares (in 000's) owned (top) and the current percent owned; Column 6 - the CUSIP number (top) and the percent owned; and Column 7 - the status of the filing, i.e., new, update or revision.

NAME AND CLASS OF STOCK/OWNER	FORM	EVENT DATE	SHRS(000)/ OWNED	CUSIP/ PRIOR%	FILING STATUS
DATAGUARD RECOVERY SVCS INC MOLTEMEYER NORMAN V	COM 13D	5/17/93	233 5.1	23899010 0.0	NEW

ACQUISITIONS CONT.

NAME AND CLASS OF STOCK/OWNER	FORM	EVENT DATE	SHRS(000)/ %OWNED	CUSIP/ PRIOR%	FILING STATUS
DATAGUARD RECOVERY SVCS INC MOLTEMAYER NORMAN V	COM 13D	5/17/93	233 5.1	23899010 0.0	RVISION
DATAGUARD RECOVERY SVCS INC SCHUTTE H JOSEPH	COM 13D	6/ 1/93	333 7.2	23899010 0.0	NEW
DATAGUARD RECOVERY SVCS INC SCHUTTE H JOSEPH	COM 13D	6/ 1/93	333 7.2	23899010 0.0	RVISION
ESQUIRE RADIO & ELECTRS INC CUNDILL PETER & ASSOC LTD	COM 13D	5/21/93	30 6.2	29667710 5.2	UPDATE
ESQUIRE RADIO & ELECTRS INC CUNDILL PETER & ASSOC LTD	COM 13D	5/21/93	30 6.2	29667710 5.2	RVISION
FIRST FINL CORP WESTN MD HOLTZMAN SEYMOUR ET AL	COM 13D	5/19/93	85 6.2	32022610 6.1	UPDATE
FIRST FINL CORP WESTN MD HOLTZMAN SEYMOUR ET AL	COM 13D	5/19/93	85 6.2	32022610 6.1	RVISION
GUARANTY BANCSHARES CORP MORAN JOHN D	COM 13D	5/21/93	157 9.0	40076210 0.0	NEW
GUARANTY BANCSHARES CORP MORAN JOHN D	COM 13D	5/21/93	157 9.0	40076210 0.0	RVISION
HMO AMER INC TANNEBAUM THEODORE	COM 13D	5/12/93	2,583 26.1	40499010 26.4	UPDATE
HMO AMER INC TANNEBAUM THEODORE	COM 13D	5/12/93	2,583 26.1	40499010 26.4	RVISION
HEALTHCARE INTL INC GREENERY REHAB GRP ET AL	CL A 13D	5/20/93	1,000 12.9	42192010 12.9	UPDATE
IDAN SOFTWARE JESSELSON ERICA ET AL TR	ORD SH MGJ 13D	5/20/93	200 1.6	45151010 4.4	UPDATE
IDAN SOFTWARE JESSELSON ERICA ET AL TR	ORD SH MGJ 13D	5/20/93	200 1.6	45151010 4.4	RVISION
INTERNATIONAL DESIGN GROUP GARDNER MARILYN	COM 13D	4/21/93	551 13.3	45893130 0.0	NEW
INTERNATIONAL DESIGN GROUP GARDNER MARILYN	COM 13D	4/21/93	551 13.3	45893130 0.0	RVISION
JULLIANS ENTERTAINMENT FOSTER STEVEN I ET AL	COM 13D	5/12/93	2,646 30.5	47742010 14.7	RVISION

ACQUISITIONS CONT.

NAME AND CLASS OF STOCK/OWNER	FORM	EVENT DATE	SHRS(000)/ %OWNED	CUSIP/ PRIOR%	FILING STATUS
PHOENIX RESOURCE COS INC GROSS LEON S	COM PAR 13D	\$0.01 5/21/93	603 14.2	71891320 14.1	UPDATE
PHOENIX RESOURCE COS INC GROSS LEON S	COM PAR 13D	\$0.01 5/21/93	603 14.2	71891320 14.1	RVISION
PIEDMONT MNG CO BIRKENSHAW & CO LTD ET AL	COM 13D	5/21/93	1,463 9.8	72017210 0.0	NEW
PIEDMONT MNG CO BIRKENSHAW & CO LTD ET AL	COM 13D	5/21/93	1,463 9.8	72017210 0.0	RVISION
RE CAP CORP DEERE JOHN INS CO	COM 13D	5/28/93	3,087 48.8	75490410 41.4	UPDATE
RE CAP CORP DEERE JOHN INS CO	COM 13D	5/28/93	3,087 48.8	75490410 41.4	RVISION
ROCKY MOUNTAIN HELICOPTERS AH AVIATION INC ET AL	COM 13D	5/21/93	3,808 46.0	77471410 0.0	NEW
ROCKY MOUNTAIN HELICOPTERS AH AVIATION INC ET AL	COM 13D	5/21/93	3,808 46.0	77471410 0.0	RVISION
ROCKY MOUNTAIN HELICOPTERS JAFFE HELICOPTER HLDG ET AL	COM 13D	5/21/93	717 8.6	77471410 17.2	UPDATE
ROCKY MOUNTAIN HELICOPTERS JAFFE HELICOPTER HLDG ET AL	COM 13D	5/21/93	717 8.6	77471410 17.2	RVISION
SIGNAL APPAREL INC WALSH GREENWOOD & CO ET AL	CL A 13D	5/12/93	9,673 100.0	82661910 100.0	UPDATE
SIGNAL APPAREL INC WALSH GREENWOOD & CO ET AL	COM CL B 13D	5/12/93	709 81.7	82661930 81.7	UPDATE
SONORA GOLD CORP NORTHGATE EXPL	COM 13D	4/30/93	8,486 42.0	83565310 42.2	UPDATE
SONORA GOLD CORP NORTHGATE EXPL	COM 13D	4/30/93	8,486 42.0	83565310 42.2	RVISION
SORICON CORP SARLO GEORGE S ET AL	COM 13D	4/26/93	514 29.0	83586410 7.5	UPDATE
SORICON CORP SARLO GEORGE S ET AL	COM 13D	4/26/93	514 29.0	83586410 7.5	RVISION
SOUTHSHORE CORP DALTON KENNETH M	COM 13D	5/25/93	134 9.6	84467610 7.4	UPDATE
SOUTHSHORE CORP DALTON KENNETH M	COM 13D	5/25/93	134 9.6	84467610 7.4	RVISION

ACQUISITIONS CONT.

NAME AND CLASS OF STOCK/OWNER	FORM	EVENT DATE	SHRS(000)/ %OWNED	CUSIP/ PRIOR%	FILING STATUS
SOUTHSHORE CORP SILLIMAN JAMES F	COM 13D	5/25/93	185 13.3	84467610 10.1	UPDATE
SOUTHSHORE CORP SILLIMAN JAMES F	COM 13D	5/25/93	185 13.3	84467610 10.1	RVISION
SOUTHSHORE CORP VANCOL INDUSTRIES INC	COM 13D	5/25/93	215 15.5	84467610 9.7	UPDATE
SOUTHSHORE CORP VANCOL INDUSTRIES INC	COM 13D	5/25/93	215 15.5	84467610 9.7	RVISION
STATE FINL SVCS CORP HOLZ JEROME J	CL A 13D	4/29/93	567 28.6	85685510 28.1	UPDATE
STATE FINL SVCS CORP HOLZ JEROME J	CL A 13D	4/29/93	567 28.6	85685510 28.1	RVISION
STATE FINL SVCS CORP HOLZ R W LIVING TR	CL A 13D	4/29/93	300 15.2	85685510 15.2	UPDATE
STATE FINL SVCS CORP HOLZ R W LIVING TR	CL A 13D	4/29/93	300 15.2	85685510 15.2	RVISION
STATE FINL SVCS CORP HORN RICHARD A ET AL	CL A 13D	4/29/93	86 4.3	85685510 4.9	UPDATE
STATE FINL SVCS CORP HORN RICHARD A ET AL	CL A 13D	4/29/93	86 4.3	85685510 4.9	RVISION
THT INC PH II HOLDINGS INC	COM NEW 13D	2/18/93	1,557 36.3	87244520 0.0	NEW
TSL INC BAR MARK	COM 13D	4/ 1/93	785 9.0	87288110 0.0	NEW
TSL INC BAR MARK	COM 13D	4/ 1/93	785 9.0	87288110 0.0	RVISION
THERMO VOLTEK CORP THERMO ELECTRON ET AL	COM 13D	3/10/93	2,385 91.0	88360210 50.1	UPDATE
THERMO VOLTEK CORP THERMO ELECTRON ET AL	COM 13D	3/10/93	2,385 91.0	88360210 50.1	RVISION
UNIQUEST INC QUICKRESPONSE SVCS INC	COM 13D	5/20/93	2,451 9.6	90999110 0.0	NEW
UNIQUEST INC QUICKRESPONSE SVCS INC	COM 13D	5/20/93	2,451 9.6	90999110 0.0	RVISION
ABATIX ENVIRONMENTAL CORP LIFESCIENCES TECH PRTRNS	COM 13D	5/14/93	150 6.9	00256410 5.1	UPDATE

ACQUISITIONS CONT.

NAME AND CLASS OF STOCK/OWNER	FORM	EVENT DATE	SHRS(000)/ %OWNED	CUSIP/ PRIOR%	FILING STATUS
ADELPHIA COMMUNICATIONS CORP CL A SANDLER ASSOC ET AL	13D	5/28/93	631 14.4	00684810 16.4	UPDATE
ADELPHIA COMMUNICATIONS CORP CL A SANDLER ASSOC ET AL	13D	5/28/93	631 14.4	00684810 16.4	RVSION
BEN FRANKLIN RETAIL STORES TWEEDY BROWNE CO L P ET AL	COM 13D	5/26/93	416 7.6	08149910 5.8	RVSION
BEN FRANKLIN RETAIL STORES TWEEDY BROWNE CO L P ET AL	COM 13D	5/26/93	416 7.6	08149910 5.8	RVSION
BOOLE & BABBAGE INC CHATTERJEE PURNENDU	COM 13D	5/19/93	222 5.2	09858610 0.0	NEW
BROADCAST INTL INC COHEN STEVEN A ET AL	COM PAR\$0.10NEW 13D	5/26/93	691 11.6	11131830 9.6	UPDATE
BROADCAST INTL INC COHEN STEVEN A ET AL	COM PAR\$0.10NEW 13D	5/26/93	691 11.6	11131830 9.6	RVSION
BUCKEYE PARTNERS L P PENN CENTRAL CORP	UNIT LTD PRMNR 13D	5/18/93	0 0.0	11823010 19.2	UPDATE
BUCKEYE PARTNERS L P PENN CENTRAL CORP	UNIT LTD PRMNR 13D	5/18/93	0 0.0	11823010 19.2	RVSION
C.B. BANCORP INC DAVIS JEROME H ET AL	COM 13D	5/18/93	46 7.2	12493610 0.0	NEW
C.B. BANCORP INC DAVIS JEROME H ET AL	COM 13D	5/18/93	46 7.2	12493610 0.0	RVSION
CAMPBELL RES INC NEW NORTHGATE EXPL	COM 13D	5/20/93	0 0.0	13442210 40.2	UPDATE
CAMPBELL RES INC NEW NORTHGATE EXPL	COM 13D	5/20/93	0 0.0	13442210 40.2	RVSION
CAROLINA FGHT CORP TWEEDY BROWNE CO L P ET AL	COM 13D	5/21/93	573 8.7	14389810 7.5	UPDATE
CAROLINA FGHT CORP TWEEDY BROWNE CO L P ET AL	COM 13D	5/21/93	573 8.7	14389810 7.5	RVSION
COOPER DEV CO KRUTTSCHNITT THEODORE H	COM NEW 13D	5/25/93	1,089 30.0	21665520 19.0	UPDATE
COOPER DEV CO MONTGOMERY PARKER G	COM NEW 13D	5/25/93	1,089 30.0	21665520 11.3	UPDATE
CORPORATE MGMT GROUP INC GILMAN INVMNT CO ET AL	COM 13D	5/25/93	1,916 46.5	22002210 46.5	UPDATE

ACQUISITIONS CONT.

NAME AND CLASS OF STOCK/OWNER	FORM	EVENT DATE	SHRS(000)/ %OWNED	CUSIP/ PRIOR%	FILING STATUS
CORPORATE MGMT GROUP INC GILMAN INVMT CO ET AL	COM 13D	5/25/93	1,916 46.5	22002210 46.5	RVSTON
CREATIVE BIOMOLECULES INC PATRICOF & CO VENTURES ET AL	COM 13D	6/ 1/93	1,874 12.3	22527010 23.1	UPDATE
DSI INDS INC NORTON SHERMAN H JR ET AL	COM 13D	5/28/93	6,017 26.8	23331710 22.0	UPDATE
DSI INDS INC NORTON SHERMAN H JR ET AL	COM 13D	5/28/93	6,017 26.8	23331710 22.0	RVSTON
DATAGUARD RECOVERY SVCS INC NOLTEMEYER NORMAN V	COM 13D	5/17/93	233 5.1	23899010 0.0	NEW
DATAGUARD RECOVERY SVCS INC NOLTEMEYER NORMAN V	COM 13D	5/17/93	233 5.1	23899010 0.0	RVSTON
DATAGUARD RECOVERY SVCS INC SCHUTTE H JOSEPH	COM 13D	6/ 1/93	333 7.2	23899010 0.0	NEW
DATAGUARD RECOVERY SVCS INC SCHUTTE H JOSEPH	COM 13D	6/ 1/93	333 7.2	23899010 0.0	RVSTON
ESQUIRE RADIO & ELECTRS INC CUNDILL PETER & ASSOC LTD	COM 13D	5/21/93	30 6.2	29667710 5.2	UPDATE
ESQUIRE RADIO & ELECTRS INC CUNDILL PETER & ASSOC LTD	COM 13D	5/21/93	30 6.2	29667710 5.2	RVSTON
FIRST FINL CORP WESTN MD HOLTZMAN SEYMOUR ET AL	COM 13D	5/19/93	85 6.2	32022610 6.1	UPDATE
FIRST FINL CORP WESTN MD HOLTZMAN SEYMOUR ET AL	COM 13D	5/19/93	85 6.2	32022610 6.1	RVSTON
GUARANTY BANCSHARES CORP MORAN JOHN D	COM 13D	5/21/93	157 9.0	40076210 0.0	NEW
GUARANTY BANCSHARES CORP MORAN JOHN D	COM 13D	5/21/93	157 9.0	40076210 0.0	RVSTON
HMO AMER INC TANNEBAUM THEODORE	COM 13D	5/12/93	2,583 26.1	40499010 26.4	UPDATE
HMO AMER INC TANNEBAUM THEODORE	COM 13D	5/12/93	2,583 26.1	40499010 26.4	RVSTON
HEALTHCARE INTL INC GREENERY REHAB GRP ET AL	CL A 13D	5/20/93	1,000 12.9	42192010 12.9	UPDATE
IDAM SOFTWARE JESSELSON ERICA ET AL TR	ORD SH MGJ 13D	5/20/93	200 1.6	45151010 4.4	UPDATE
IDAM SOFTWARE JESSELSON ERICA ET AL TR	ORD SH MGJ 13D	5/20/93	200 1.6	45151010 4.4	RVSTON

ACQUISITIONS CONT.

NAME AND CLASS OF STOCK/OWNER	FORM	EVENT DATE	SHRS(000)/ %OWNED	CUSIP/ PRIOR%	FILING STATUS
INTERNATIONAL DESIGN GROUP GARDNER MARILYN	COM 13D	4/21/93	551 13.3	45893130 0.0	NEW
INTERNATIONAL DESIGN GROUP GARDNER MARILYN	COM 13D	4/21/93	551 13.3	45893130 0.0	RVSION
JULLIANS ENTERTAINMENT FOSTER STEVEN I ET AL	COM 13D	5/12/93	2,646 30.5	47742010 14.7	RVSION
PHOENIX RESOURCE COS INC GROSS LEON S	COM PAR \$0.01 13D	5/21/93	603 14.2	71891320 14.1	UPDATE
PHOENIX RESOURCE COS INC GROSS LEON S	COM PAR \$0.01 13D	5/21/93	603 14.2	71891320 14.1	RVSION
PIEDMONT MNG CO BIRKENSHAW & CO LTD ET AL	COM 13D	5/21/93	1,463 9.8	72017210 0.0	NEW
PIEDMONT MNG CO BIRKENSHAW & CO LTD ET AL	COM 13D	5/21/93	1,463 9.8	72017210 0.0	RVSION
RE CAP CORP DEERE JOHN INS CO	COM 13D	5/28/93	3,087 48.8	75490410 41.4	UPDATE
RE CAP CORP DEERE JOHN INS CO	COM 13D	5/28/93	3,087 48.8	75490410 41.4	RVSION
ROCKY MOUNTAIN HELICOPTERS AH AVIATION INC ET AL	COM 13D	5/21/93	3,808 46.0	77471410 0.0	NEW
ROCKY MOUNTAIN HELICOPTERS AH AVIATION INC ET AL	COM 13D	5/21/93	3,808 46.0	77471410 0.0	RVSION
ROCKY MOUNTAIN HELICOPTERS JAFFE HELICOPTER HLDG ET AL	COM 13D	5/21/93	717 8.6	77471410 17.2	UPDATE
ROCKY MOUNTAIN HELICOPTERS JAFFE HELICOPTER HLDG ET AL	COM 13D	5/21/93	717 8.6	77471410 17.2	RVSION
SIGNAL APPAREL INC WALSH GREENWOOD & CO ET AL	CL A 13D	5/12/93	9,673 100.0	82661910 100.0	UPDATE
SIGNAL APPAREL INC WALSH GREENWOOD & CO ET AL	COM CL B 13D	5/12/93	709 81.7	82661930 81.7	UPDATE
SONORA GOLD CORP NORTHGATE EXPL	COM 13D	4/30/93	8,486 42.0	83565310 42.2	UPDATE
SONORA GOLD CORP NORTHGATE EXPL	COM 13D	4/30/93	8,486 42.0	83565310 42.2	RVSION
SORICON CORP SARLO GEORGE S ET AL	COM 13D	4/26/93	514 29.0	83586410 7.5	UPDATE
SORICON CORP SARLO GEORGE S ET AL	COM 13D	4/26/93	514 29.0	83586410 7.5	RVSION

ACQUISITIONS CONT.

NAME AND CLASS OF STOCK/OWNER	FORM	EVENT DATE	SHRS(000)/ %OWNED	CUSIP/ PRIOR%	FILING STATUS
SOUTHSHORE CORP DALTON KENNETH M	COM 13D	5/25/93	134 9.6	84467610 7.4	UPDATE
SOUTHSHORE CORP DALTON KENNETH M	COM 13D	5/25/93	134 9.6	84467610 7.4	RVSION
SOUTHSHORE CORP SILLIMAN JAMES F	COM 13D	5/25/93	185 13.3	84467610 10.1	UPDATE
SOUTHSHORE CORP SILLIMAN JAMES F	COM 13D	5/25/93	185 13.3	84467610 10.1	RVSION
SOUTHSHORE CORP VANCOL INDUSTRIES INC	COM 13D	5/25/93	215 15.5	84467610 9.7	UPDATE
SOUTHSHORE CORP VANCOL INDUSTRIES INC	COM 13D	5/25/93	215 15.5	84467610 9.7	RVSION
STATE FINL SVCS CORP HOLZ JEROME J	CL A 13D	4/29/93	567 28.6	85685510 28.1	UPDATE
STATE FINL SVCS CORP HOLZ JEROME J	CL A 13D	4/29/93	567 28.6	85685510 28.1	RVSION
STATE FINL SVCS CORP HOLZ R W LIVING TR	CL A 13D	4/29/93	300 15.2	85685510 15.2	UPDATE
STATE FINL SVCS CORP HOLZ R W LIVING TR	CL A 13D	4/29/93	300 15.2	85685510 15.2	RVSION
STATE FINL SVCS CORP HORN RICHARD A ET AL	CL A 13D	4/29/93	86 4.3	85685510 4.9	UPDATE
STATE FINL SVCS CORP HORN RICHARD A ET AL	CL A 13D	4/29/93	86 4.3	85685510 4.9	RVSION
THT INC PH II HOLDINGS INC	COM NEW 13D	2/18/93	1,557 36.3	87244520 0.0	NEW
TSL INC BAR MARK	COM 13D	4/ 1/93	785 9.0	87288110 0.0	NEW
TSL INC BAR MARK	COM 13D	4/ 1/93	785 9.0	87288110 0.0	RVSION
THERMO VOLTEK CORP THERMO ELECTRON ET AL	COM 13D	3/10/93	2,385 91.0	88360210 50.1	UPDATE
THERMO VOLTEK CORP THERMO ELECTRON ET AL	COM 13D	3/10/93	2,385 91.0	88360210 50.1	RVSION
UNIQUEST INC QUICKRESPONSE SVCS INC	COM 13D	5/20/93	2,451 9.6	90999110 0.0	NEW
UNIQUEST INC QUICKRESPONSE SVCS INC	COM 13D	5/20/93	2,451 9.6	90999110 0.0	RVSION