

sec news digest

17

Issue 76-205

(SEC Docket, Vol. 10, No. 14 - November 2)

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RULES AND RELATED MATTERS

APPROVAL OF AMENDED FINGERPRINT PLAN OF
NATIONAL ASSOCIATION OF SECURITIES DEALERS, INC.

U.S. SECURITIES AND
EXCHANGE COMMISSION

The Commission announced the approval of the amended fingerprint plan submitted by the National Association of Securities Dealers, Inc. pursuant to paragraph (c) of Rule 17f-2 [17CFR § 240.17f-2] under Section 17(f)(2) of the Securities Exchange Act of 1934. Under the terms of the amendment, the NASD will collect fingerprint cards submitted by sole members of the Chicago Board Options Exchange, Inc., forward the cards to the Attorney General of the United States for identification and appropriate processing, and return the fingerprint cards and any information received as a result of such processing to the submitting members. This amended plan is approved pursuant to the fingerprinting program established in Rule 17f-2 for the pilot period ending December 31, 1976.

All interested persons are invited to submit written views and comments on the amendment of the NASD plan to George A. Fitzsimmons, Secretary, Securities and Exchange Commission, 500 North Capitol Street, Washington, D.C. 20549. They should refer to File No. S7-605. (Rel. 34-12911)

DECISIONS IN ADMINISTRATIVE PROCEEDINGS

F. C. BERCKEFELDT INVESTMENT
ADVISER REGISTRATION REVOKED

The Commission has revoked the investment adviser registration of F. C. Berckefeldt & Co., Inc. (Registrant), a Topeka, Kansas investment adviser, and barred Fred C. Berckefeldt, its president and controlling person, from association with any investment adviser, broker-dealer or investment company. The sanctions are based upon findings by the Commission that Registrant wilfully violated, and Berckefeldt wilfully aided and abetted violations of Sections 5(a), 5(c) and 17(a) of the Securities Act of 1933, Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder, and Sections 205(1) and 206 of the Investment Advisers Act of 1940. Registrant and Berckefeldt, in an offer of settlement consented to the sanctions and findings without either admitting or denying the allegations of the staff of the Fort Worth Regional Office. (IA-540)

MITCHELL WALKER SANCTIONED

The Commission has suspended Mitchell B. Walker of Bronx, New York from association with any broker or dealer for 90 days and thereafter barred him from association with a broker or dealer in a supervisory capacity. The sanctions against Walker were based on findings that Walker had wilfully violated the registration and antifraud provisions of the securities laws, and had wilfully aided and abetted violations of the books and records provisions of the securities laws. Without admitting or denying the charges against him, Walker consented to the above findings and sanctions. (Rel. 34-12867)

CHARLES STUART & CO., INC. SANCTIONED

The Commission instituted public administrative proceedings against Charles Stuart & Co., Inc. (Stuart & Co.) a registered broker-dealer of Paramus, New Jersey, Stuart Harris of Fairlawn, New Jersey, the firm's president, and Earl Lapidés of West Orange, New Jersey, a former registered principal and representatives of the firm. With the institution of the present proceedings, the Commission accepted the respondents offers of settlement, in which they, without admitting or denying the allegations against them, consented to certain findings and sanctions.

The Commission found that Stuart & Co., Harris, and Lapidés wilfully violated the anti-fraud and registration provisions of the securities laws, and that Lapidés wilfully aided and abetted violations of the recordkeeping provisions of the securities laws.

Such violations stem from transactions in the over-the-counter security Rotex International, Inc. It was further found by the Commission that based upon these violations, the respondents consented to be permanently enjoined from violating the above provisions of the securities laws by the U.S. District Court for the District of New Jersey.

The Commission's order provides that Lapides be barred from association with any broker-dealer, investment company, or investment adviser; that Harris be suspended from association with a broker-dealer, investment adviser, or investment company for a period of four months; and that the registration of Stuart & Co. as a broker-dealer and investment adviser be suspended for a period of four months.

The order further provides that at the conclusion of the suspension periods Stuart & Co. and Harris will adopt and implement a compliance and inspection program relating to the trading of securities and an investment advisory compliance program should the firm and Harris render such services at the termination of the suspension period. (Rel. 34-12884)

COMMISSION ANNOUNCEMENTS

STANLEY SPORKIN SELECTED FOR SPECIAL ACHIEVEMENT AWARD

Chairman Roderick M. Hills announced today that Stanley Sporkin, Director of the Commission's Division of Enforcement, has been selected for a Special Achievement Award by the National Civil Service League. Mr. Sporkin, one of only three persons selected by the League for a Special Achievement Award this year, will be honored at ceremonies at the Smithsonian Institution's Museum of Natural History on Tuesday, November 16. He was nominated for the Award by the Commission largely on the basis of the "management fraud" program initiated under his leadership in 1974 in order to uncover questionable and illegal corporate payments.

COURT ENFORCEMENT ACTIONS

INDEPENDENCE DRILLING CORP., OTHERS ENJOINED

The Fort Worth Regional Office announced that on October 12 Federal District Court at San Antonio, Texas entered orders of permanent injunction by consent against Independence Drilling Corporation, Continental Pacific Corporation, Walter Wilson Carter, Jr. and Conrad Robert Temp, all of San Antonio, Texas; Diversified Monetary Systems, Inc. and Alvin L. Bawks, doing business as Universal Management, Sherman Oaks, California, enjoining them from violations of the registration and antifraud provisions of the securities laws. The defendants consented to the entry of the permanent injunction without admitting or denying the allegations of the Commission's complaint and consented to the appointment of a receiver for Independence Drilling Corporation, Continental Pacific Corporation and Diversified Monetary Systems, Inc.

The Court appointed attorney John E. Skogland, G P M Building North, San Antonio, Texas as receiver. The Commission's complaint alleged violations of the securities laws in the offer and sale of investment contracts in the form of working interests in oil and gas leases located in San Patricio, Webb, Medina, Duval, Calhoun, Nueces, Shackelford, Starr, Aransas, Jim Hogg and Jim Wells Counties, Texas. (SEC v. Independence Drilling Corp., et al., W.D. Tex., SA-76-CA-268). (LR-7613)

INVESTMENT COMPANY ACT RELEASES

WELLINGTON FUND

An order has been issued on an application of Wellington Fund, Inc., and the other seven funds in the Vanguard Group, and by Wellington Management Company to permit the offer and sale by the funds of shares at reduced sales charges to investors who have previously or contemporaneously purchased from the same retailer insurance products distributed by Wellington Management Company. (Rel. IC-9493 - Oct. 20)

MASSACHUSETTS MUTUAL LIFE INSURANCE COMPANY

An order has been issued pursuant to Section 17(d) of the Act and Rule 17d-1 thereunder permitting Massachusetts Mutual Life Insurance Company (Applicant) to purchase \$5 million of a new issue of senior notes of Flowers Industries, Inc. Applicant is the investment adviser of MassMutual Corporate Investors, Inc., a registered, non-diversified, closed-end management investment company. (Rel. IC-9494 - Oct. 20)

HOLDING COMPANY ACT RELEASES

NEW ORLEANS PUBLIC SERVICE

A notice has been issued giving interested persons until November 12 to request a hearing on a plan submitted by New Orleans Public Service, Inc. (NOPSI), a wholly-owned subsidiary of Middle South Utilities, Inc., pursuant to Section 11(e) of the Public Utility Holding Company Act of 1935 toward divestiture of its transit properties. Under its plan, NOPSI proposes to surrender its franchise and transit permits and discontinue transit operations no later than midnight, December 31, 1976, unless in the meantime the City of New Orleans exercises its option to purchase such properties or makes other arrangements satisfactory to NOPSI for a sale of the properties to others. If the City does not purchase the properties and no such other arrangements are concluded, NOPSI will thereafter make other efforts to sell the transit properties in accordance with the provisions of the Act.

In order to clarify the record and the legal implications of the plan the Commission has requested NOPSI to supplement its application and submit a memorandum of law addressed to the following: (1) whether NOPSI's proposal to surrender its franchise and permits and to terminate transit service requires the consent of the City under the terms of the franchise and any applicable state or local laws; (2) whether, in the event the City declines to purchase and no other arrangements satisfactory to NOPSI are concluded, NOPSI has the proper authority unilaterally to abandon transit service; and (3) whether NOPSI considers an order of this Commission approving the pending application as a Federal authorization under Section 11(e) of the Act to discontinue transit service, without a qualified successor who, with the authorization of the City or other state or local regulatory agency having jurisdiction, would undertake to render the service that NOPSI has resolved to abandon. (Rel. 35-19719 - Oct. 20)

MONONGAHELA POWER COMPANY

A supplemental order has been issued releasing jurisdiction previously reserved regarding fees and expenses incurred by Monongahela Power Company, subsidiary of Allegheny Power System, Inc., in financing construction of certain pollution control facilities through arrangements with three West Virginia counties. (Rel. 35-19720 - Oct. 20)

ARKANSAS-MISSOURI POWER COMPANY

A notice has been issued giving interested persons until November 12 to request a hearing on a proposal of Arkansas-Missouri Power Company, a wholly-owned subsidiary of Middle South Utilities, Inc., to make short-term borrowings from banks in the amount of \$7,750,000 at any one time outstanding. The net proceeds of the sale of these notes will be used to retire presently outstanding short-term debt. (Rel. 35-19721 - Oct. 20)

SELF-REGULATORY ORGANIZATIONS

NOTICE OF PROPOSED RULE CHANGE

The Boston Stock Exchange has filed a proposed rule change under Rule 19b-4 (SR-BSE-76-12) to repeal its rules restricting members' options trading while on the floor of the exchange. Publication of the proposal is expected to be made in the Federal Register during the week of October 25. (Rel. 34-12912)

SECURITIES ACT REGISTRATIONS

(S-1) NATIONAL STARCH AND CHEMICAL CORPORATION

10 Finderne Ave., Bridgewater, N.J. 08807 - 15,000 shares of common stock, to be offered by certain shareholders in exchange for units of limited partnership interest in State Street Exchange Fund, Boston, Massachusetts, at the rate of one such unit for each \$50 of value of the shares. National Starch manufactures and sells adhesives and related products, starches and related products and chemical products. (File 2-57448 - Oct. 18)

(S-B) EUROPEAN COAL AND STEEL COMMUNITY

Centre Europeen, Luxembourg, Luxembourg - \$75 million of notes, due 1984, and \$75 million of bonds, due 1996, to be offered for sale through underwriters headed by

Kuhn, Loeb & Co., 40 Wall St., New York, N.Y., The First Boston Corp., 20 Exchange Pl., New York, N.Y., Lazard Freres & Co., One Rockefeller Plaza, New York, N.Y. and Warburg Paribas Becker Inc., 55 Water St., New York, N.Y. The Community was established as a sovereign entity by the Treaty of Paris in 1951 and has as member states Belgium, France, the Federal Republic of Germany, Italy, Luxembourg, the Netherlands, the United Kingdom, Denmark and Ireland; the Community's purpose is to aid the development of the economies of the member states through the creation of a common market for coal and steel. (File 2-57449 - Oct. 18)

(S-3) EXTRACTIVE FUELS, INC.

306 Pacific Western Bldg., Casper, Wy. 82601 - 5,000,000 shares of common stock, and warrants to purchase an additional 5,000,000 shares, to be offered for sale under an arrangement whereby all of the offered units are to be offered on a best-efforts basis to the public through the underwriter, Douglass & Co. Inc., 1860 Lincoln St., Suite 802, Denver, Colo. 80203. Extractive Fuels intends to conduct a limited exploration program on some of its 46 coal leases located in Converse and Campbell Counties, Wyoming, and intends to attract joint venture partners for exploration of some of its other 46 leases. (File 2-57450 - Oct. 19)

(S-14) ITEL CORPORATION

One Embarcadero Center, San Francisco, Cal. 94111 - 426,972 shares of common stock, to be issued in connection with the acquisition of the outstanding common stock of Utility Network of America, Inc. ITEL Corporation is engaged in several activities in the financial services, data products, transportation equipment leasing and data services industries. (File 2-57451 - Oct. 19)

(S-7) CATERPILLAR TRACTOR CO.

100 N.E. Adams St., Peoria, Ill. 61629 - \$200 million of sinking fund debentures, due 2001, to be offered for sale through Lehman Brothers Inc., and Merrill Lynch, Pierce, Fenner & Smith Inc., as representatives. Caterpillar Tractor Co. is a manufacturer of earthmoving, construction and materials handling machinery and equipment. (File 2-57452 - Oct. 19)

(S-7) CONSUMERS POWER COMPANY

212 West Michigan Ave., Jackson, Mich. 49201 - 2,500,000 shares of common stock, to be offered for sale through underwriters headed by Morgan Stanley & Co. Inc., 1251 Avenue of the Americas, New York, N.Y. 10020. The company is an electric and gas utility. (File 2-57455 - Oct. 19)

(S-7) CENTRAL VERMONT PUBLIC SERVICE CORPORATION

77 Grove St., Rutland, Vt. 15701 - 280,000 shares of preferred stock (\$25 par), to be offered for sale at a price to be determined through underwriters managed by The First Boston Corp., 20 Exchange Pl., New York, N.Y. 10005 and E. F. Hutton & Company Inc., One Battery Park Plaza, New York, N.Y. The company is an electric utility. (File 2-57458 - Oct. 19)

(S-7) EARTH RESOURCES COMPANY

1200 One Energy Sq., Dallas, Tex. 75206 - 500,000 shares of common stock, to be offered for sale by the company through underwriters co-managed by E. F. Hutton & Company Inc., One Battery Park Plaza, New York, N.Y. 10004, and Bear, Stearns & Co., 55 Water St., New York, N.Y. 10041, at a price to be determined. The company is a diversified refiner and marketer of petroleum products also engaged in the development of mineral resources. (File 2-57459 - Oct. 19)

(S-B) PROVINCE OF SASKATCHEWAN

Minister of Finance, Department of Finance, Regina, Saskatchewan, Canada - \$100 million of debentures, due 2006, to be offered for sale through underwriters headed by Salomon Brothers, One New York Plaza, New York, N.Y. 10004, Dominion Securities Harris & Partners Inc., 100 Wall St., New York, N.Y. 10005, Goldman, Sachs & Co., 55 Broad St., New York, N.Y. 10004 and A. E. Ames & Co. Inc., Two Wall St., New York, N.Y. 10005. (File 2-57460 - Oct. 19)

STOCK PLANS FILED

The following have filed Form S-8 registration statements with the SEC seeking registration of securities to be offered pursuant to employee stock and related plans:

Cincinnati Bell Inc., Cincinnati, Ohio (File 2-57430 - Oct. 15) - 65,000 shares
Tele-Communications, Inc., Denver, Colo. (File 2-57434 - Oct. 15) - 100,000 shares
Tandy Corp., Fort Worth, Tex. (File 2-57438 - Oct. 18) - 150,000 shares

STOCK PLANS FILED CONT.

Tandy Brands, Inc., Fort Worth, Tex. (File 2-57439 - Oct. 18) - 100,000 shares
 Tandybrands, Inc., Fort Worth, Tex. (File 2-57440 - Oct. 18) - 200,000 shares
 The Telex Corp., Tulsa, Okla. (File 2-57441 - Oct. 15) - 100,000 shares
 Gould Inc., Rolling Meadows, Ill. (File 2-57446 - Oct. 18) - 450,000 shares

RECENT 8K FILINGS

Form 8K is a report which must be filed with the SEC by the 10th of the month after any of the following important events or changes: changes in control of the registrant; acquisition or disposition of assets; legal proceedings; changes in securities (i.e., collateral for registered securities); defaults upon senior securities; increase or decrease in the amount of securities outstanding; options to purchase securities; revaluation of assets; submission of matters to a vote of security holders.

The companies listed below have filed Form 8-K reports for the month indicated, responding to the item of the form specified. Photocopies may be purchased from the Commission's Public Reference Section (in ordering, please give month and year of report). An index of the captions of the items of the form was included in Monday's News Digest.

COMPANY	ITEM NO.	MONTH
KERR MCGEE CORP	13	09/76
KEYSTONE CUSTODIAN FUNDS INC	13	09/76
KEYSTONE FOODS CORP	7,9,14	09/76
KNUTSON COMPANIES INC	13,14	09/76
KOPPERS CO INC	11,14	09/76
LANDMARK BANCSHARES CORP	7,14	09/76
LARIBEE WIRE INC	2,14	09/76
LEXITRON CORP	7,13,14	09/76
LITTON INDUSTRIES INC	10	09/76
LUMINALL PAINTS INC	3,12,14	09/76
MACANDREWS & FORBES CO	10	09/76
MADISON GAS & ELECTRIC CO	3	09/76
MAINE SUGAR INDUSTRIES INC	3,6	09/76
MANAGEMENT ASSISTANCE INC	9	09/76
MARCCR INC	3	09/76
MASSACHUSETTS ELECTRIC CO	11	09/76
MAULE INDUSTRIES INC	13	09/76
MCCLAIN INDUSTRIES INC	13	09/76
MCDONOUGH CO	10,14	10/76
MCFARLANE ENERGY INC	3,7	09/76
MEI CORP	13,14	09/76
METRIDATA COMPUTING INC	1	09/76
METROMEDIA INC	4,7,8,13	09/76
MICHIGAN WISCONSIN PIPE LINE CO	7,14	09/76
MIDLAND ROSS CORP	3,13	09/76
MILES LABORATORIES INC	13	09/76
MINER INDUSTRIES INC	13,14	09/76
MOAMCO CORP	12	09/76
MOBIL OIL CORP	3	09/76
MOLEX INC	13	09/76
MONTANA POWER CO	13	09/76
MORRISON INC	11,14	09/76
NARRAGANSETT ELECTRIC CO	3	09/76
NATIONAL PRESTO INDUSTRIES INC	13,14	09/76
NEW ENGLAND POWER CO	3,11,14	09/76
NEWMONT MINING CORP	7,14	09/76
NEXUS INDUSTRIES INC	3	09/76
NIBCO INC	6,13	09/76
NORTH AMERICAN BIOLOGICALS INC	7,14	09/76
NORTH LILY MINING CO	11	09/76
NORTH SHORE GAS CO ILLINOIS	13	09/76
NORTHROP CORP	8,10,14	09/76
NPC PACKAGING CORP	2,10,14	09/76
OAKBROOK CONSOLIDATED INC	10,14	09/76
OCEAN DRILLING & EXPLORATION CO	13,14	09/76
OFFSHORE CO	13	09/76
OLD DOMINION REIT ONE	14	09/76
OXFORD INDUSTRIES INC	8	09/76

RECENT 8K FILINGS CONT.

PACIFIC AMERICAN REAL ESTATE FUND 1972	2,14	09/76
PACIFIC COAST MEDICAL ENTERPRISES	13	09/76
PATENTS INTERNATIONAL AFFILIATES LTD	3	09/76
PENN CENTRAL CO	3	09/76
PENN CENTRAL TRANSPORTATION CO	2	09/76
PENNSYLVANIA ELECTRIC CO	3	09/76
PENNSYLVANIA ENGINEERING CORP	7	09/76
PHILLIPS PETROLEUM CO	3	09/76
PITTSBURGH & LAKE ERIE RAILROAD CO	3	09/76
PLANNING RESEARCH CORP	2,7,14	09/76
PLANT INDUSTRIES INC	9,14	09/76
PLASTICS DEVELOPMENT CORP OF AMERICA	1,3,10,13,14	09/76
PPG INDUSTRIES INC	3	09/76
PUGET SOUND POWER & LIGHT CO	3,13,14	09/76
PULTE HOME CORP	7,8	09/76
PUTNAM GELLMAN CORP	4,7,8,14	09/76
PVO INTERNATIONAL INC	12,14	09/76
REYNOLDS METALS CO	13	09/76
RIDGEWOOD INDUSTRIES INC	12,14	09/76
ROCHESTER INSTRUMENT SYSTEMS INC	7	09/76
ROCOR INTERNATIONAL	6	09/76
ROM AMER PHARMACEUTICALS LTD	6,13	09/76
SAINT LOUIS SOUTHWESTERN RAILWAY CO	3	09/76
SCIOTO INVESTMENT CO	8	09/76
SCOTTISH INNS OF AMERICA INC	3	09/76
SEARCH INVESTMENTS CORP	13,14	09/76
SEARS INDUSTRIES INC	13,14	09/76
SHOREWOOD CORP	3	09/76
SIERRA RESEARCH CORP	3	09/76
SIERRACIN CORP	1	09/76
SINGER CO	7,8,13,14	09/76
SINGER CREDIT CORP	7,13,14	09/76
SKYLINE CORP	11,13	09/76
SOUTH CENTRAL BELL TELEPHONE CC	13	09/76
SOUTHERN PACIFIC CO	3	09/76
SOUTHERN PACIFIC TRANSPORTATION CO	3	09/76
SOUTHWEST AIRLINES CC	7,8,14	09/76
SOUTHWEST FLORIDA ENTERPRISES INC	10	09/76
SOUTHWESTERN BELL TELEPHONE CO	3,13	09/76
STANDARD OIL CO OF INDIANA	3	09/76
STATESMAN GROUP INC	4,7	09/76
STEIGER TRACTOR INC	11,13	09/76
STERLING COMPUTER SYSTEMS INC	13	09/76
SULLIVAN COUNTY HARNESS RACING ASSOCIATI	13	09/76
SUMMIT MORTGAGE INVESTORS INC	11,14	09/76
SUPREME LIFE INSURANCE CO OF AMERICA	11,14	09/76
SUSQUEHANNA CORP	6	09/76
TECHNICAL COMMUNICATIONS CORP	12,14	09/76
TELE COM EQUIPMENT CORP	13,14	09/76
TELEPHONE & DATA SYSTEMS INC	7	09/76
TENNECO INC	3	09/76
TEXAS POWER & LIGHT CO	3	09/76
THIRD NATIONAL CORP	13	09/76
TOTAL BANCSHARES CORP	3,7	09/76
TRW INC	8	09/76
TYCO LABORATORIES INC	8	09/76
UNION CARBIDE CORP	3	09/76
UNION PACIFIC CORP	3	09/76
UNIROYAL INC	3	09/76
UNITED TECHNOLOGIES CORP	8	09/76
URS CORP	10	09/76
USP REAL ESTATE INVESTMENT TRUST	2,7,14	09/76
VICTORY MARKETS INC	13,14	09/76
VIPONT CHEMICAL CO	3	09/76
VOLT INFORMATION SCIENCES INC	7,13,14	09/76
WARNER COMMUNICATIONS INC	3,13	09/76
WARNER NATIONAL CORP	3,8	09/76
WASTE MANAGEMENT INC	13	09/76
WAVECOM INDUSTRIES	7,9,14	09/76
WESTERN ELECTRIC CO INC	3	09/76
WESTERN GEOTHERMAL & POWER CORP	7	09/76
WESTVACO CORP	7	09/76
WILLIAMS & CO INC	3	09/76
WISCONSIN REAL ESTATE INVESTMENT TRUST	3,13	09/76
WYOMING BANCORPORATION	1,2	09/76

RECENT 8K FILINGS CONT.

ZAPATA CORP	7,14	09/76
ZURN INDUSTRIES INC	14	09/76
AEROFLEX LABORATORIES INC	13	09/76
AERONCA INC	7,8,10	09/76
AIR PRODUCTS & CHEMICALS INC	4,7,8,14	09/76
ALASKA AIRLINES INC	13	09/76
ALCON LABORATORIES INC	13	09/76
AMCORD INC	3,13	09/76
AMERICAN EXPORT INDUSTRIES INC	3,6,13	09/76
AMERICAN EXPORT LINES INC	13	09/76
AMERICAN MICRO SYSTEMS INC	13	09/76
AMERICAN QUASAR PETROLEUM CO	7	09/76
AMERICAN URBAN DEVELOPMENT CORP	13	09/76
AMMEST GROUP INC	3,10,13	09/76
ANDERSONS	7	09/76
ANTA CORP	7,14	09/76
APCO OIL CORP	8	09/76
ARISTAR INC	7,8,13	09/76
ARTS WAY MANUFACTURING CO INC	3,13	09/76
ASSOCIATED DRY GOODS CORP	3	09/76
ATCHISON TOPEKA & SANTA FE RAILWAY CO	3,7,14	09/76
ATLANTIC GULFSTREAM CORP	2	09/76
AUTOMATION INDUSTRIES INC	13	09/76
AVCO COMMUNITY DEVELOPERS INC	3	09/76
AZCON CORP	13	09/76
BARNETT MORTGAGE TRUST	3,6,14	09/76
BELVAC INTERNATIONAL INDUSTRIES LTD	12	09/76
BENEFICIAL STANDARD MORTGAGE INVESTORS	6	09/76
BROTHER INTERNATIONAL CORP	13	09/76
BUTLER MANUFACTURING CO	13	09/76
CAMPBELL INDUSTRIES	10	09/76
CANAVERAL INTERNATIONAL CORP	2,3,14	09/76
CENTRAL HUDSON GAS & ELECTRIC CORP	3	09/76
CENTRAL ILLINOIS PUBLIC SERVICE CO -	3	09/76
CHICAGO & NORTH WESTERN TRANSPORTATION C	3,13,14	09/76
CHRIS CRAFT INDUSTRIES INC	6	09/76
CLEVELAND ELECTRIC ILLUMINATING CO	3	09/76
CHI CORP	8	09/76
COMARCO INC	2	09/76
COMBANKS CORP	1,13	09/76
CONGENERIC CORP	2	09/76
CONSOLIDATED FIBRES INC	1,4,7,8,11,13,14	09/76
CONTINENTAL OIL CO	3,13	09/76
CONTRAN CORP	3	09/76
COUNCIL COMMERCE CORP	10,14	09/76
CRANE CO	8	09/76
CRANE CO	8	09/76
CYPRUS MINES CORP	13	09/76
DECISION DATA COMPUTER CORP	3,14	09/76
DELTONA CORP	3	09/76
DOWNE COMMUNICATIONS INC	13	09/76
DREW NATIONAL CORP	6	09/76
EMERSON ELECTRIC CO	7,14	09/76
FIRST MARINE BANKS INC	2,7,14	09/76
FIRST MORTGAGE INVESTORS	8,13	09/76
FLORIDA GAS CO	3	09/76
GENERAL MOTORS CORP	3	09/76
GLASROCK PRODUCTS INC	2	09/76
GREAT ATLANTIC & PACIFIC TEA CO INC	8	09/76
GREAT WESTERN FINANCIAL CORP	3	09/76
HALL W F PRINTING CO	7	09/76
HI TECH INDUSTRIES INC	7,14	09/76
HOH CORP	7	09/76
HOUSEHOLD FINANCE CORP	2,14	09/76
ICH CORP	13,14	09/76
INDIAN HEAD INC	2,14	09/76
INSTITUTIONAL INVESTORS TRUST	2,13,14	08/76
INSTRUMENT SYSTEMS CORP	2,7,8	09/76
INTER CONTINENTAL COMPUTING INC	7,14	06/76
INTRA STATE TELEPHONE CO	11,14	09/76
KENNECOTT COPPER CORP	7,8,14	09/76
KEUFFEL & ESSER CO	7	09/76
KIODE WALTER & CO INC	3	09/76
KNAPE & VOGT MANUFACTURING CO	11	09/76

RECENT 8K FILINGS CONT.

KYSOR INDUSTRIAL CORP	10,11,12,14	09/76
LANDMARK LAND CO INC	10,13	09/76
LIFE INVESTORS INC	13	09/76
LSB INDUSTRIES INC	3	09/76
MAGIC CHEF INC	7,8,14	09/76
MANOR CARE INC	12	09/76
MARION CORP	7	09/76
MATTEL INC	3,13	09/76
MCRAE INDUSTRIES INC	3	09/76
MEDALLION GROUP INC	10	09/76
MGLYCORP INC	2,7,14	09/76
MOVIE STAR INC	11,12,14	09/76
NATIONAL SEMICONDUCTOR CORP	11,14	09/76
NEW MEXICO BANCORPORATION INC	13	09/76
NORTHERN PRECISION LABORATORIES INC	11	09/76
OGDEN CORP	3	09/76
OPTICAL SYSTEMS CORP	11	09/76
OSULLIVAN CORP	7	09/76
PAKCO COMPANIES INC	13	09/76
PATRICK OIL & GAS CORP 1976 DRILLING PRO	7	09/76
PETRO LEWIS OIL INCOME PROGRAM VI	13,14	09/76
PHOENIX LEASING PERFORMANCE FUND 1976	7	09/76
PLANTRONICS INC	2,14	09/76
PRECISION INSTRUMENT CO	13,14	09/76
PREFERRED LAND CORP	5	09/76
PROVIDENCE & WORCESTER CO	3	09/76
PROVINCIAL HOUSE INC	2,13,14	06/76
RAPID AMERICAN CORP	3,14	09/76
REINELL INDUSTRIES INC	3	09/76
RENDEZVOUS TRAILS OF AMERICA INC	2,9	09/76
RIVERSIDE PRESS INC	13	09/76
SAGE OIL CO INC	2,8,14	09/76
SANTA FE INDUSTRIES INC	3,13	09/76
SCIENCE APPLICATIONS INC	12	09/76
SCOTT PAPER CO	3,7	09/76
SHELL PIPE LINE CORP	3	09/76
SORG PAPER CO	13	09/76
SOUTHDOWN INC	3,14	09/76
SOUTHERN COOPERATIVE DEVELOPMENT FUND IN	11	09/76
SOUTHWEST FLORIDA BANKS INC	14	09/76
SOUTHWESTERN INVESTMENT CO	7	09/76
STANDARD OIL CO OF OHIO	3,7	09/76
STIRLING HOMEX CORP	3	09/76
STUDEBAKER WORTHINGTON INC	7,13	09/76
THRIFTMART INC	3	09/76
TISHMAN REALTY & CONSTRUCTION CO INC	2,13,14	09/76
TOPPS & TROWERS	3,4,13,14	09/76
TRIANGLE PACIFIC CORP	13,14	09/76
TRIUMPH INDUSTRIES INC	2,8,14	07/76
AMENDMENTS TO REPORTS ON FORM 8-K		
BUTTES GAS & OIL CO	7,13	08/76
CARDIAC PACEMAKERS INC	3,14	09/76
CELLU CRAFT INC	13	06/76
GLEN GERY CORP	10	08/76
HI SHEAR CORP	3	09/76
STUDEBAKER WORTHINGTON INC	7	09/76
UNITED TECHNOLOGIES CORP	14	09/76

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