

**THIRD QUARTER FISCAL YEAR 2000 REPORT  
SECTION 8, ARTICLE II, HOUSE BILL 1, 76<sup>TH</sup> LEGISLATURE  
OFFICE OF INVESTIGATIONS AND ENFORCEMENT  
TEXAS HEALTH AND HUMAN SERVICES COMMISSION**

**OPENED CASES – 03/01/00 – 05/31/00**

<b>Referral Source</b>	<b>Received</b>
Office of the Attorney General's Medicaid Fraud Control Unit (MFCU)	18
Board of Medical Examiners	19
Board of Physician Assistants Examiners	1
Department of Human Services	8
Health and Human Services/Office Inspector General	24
Long Term Care	1
Health & Human Services Commission, Office of Investigations & Enforcement, Medicaid Fraud & Abuse Detection System (MFADS)	7
Health & Human Services Commission, Office of Investigations & Enforcement-Medicaid Program Integrity	1
Health & Human Services Commission, State Medicaid Director	1
Surveillance & Utilization Review System (SURS)	67
Texas Department of Health (TDH)	1
Provider	3
Public	42
<b>Total Cases Opened in 3<sup>rd</sup> Qtr. 2000</b>	<b>193</b>
<b>Cases Opened and Closed during the 3<sup>rd</sup> Qtr 2000</b>	<b>(55)</b>
<b>Remaining Open Cases in 1<sup>st</sup> Qtr. 2000</b>	<b>139</b>
<b>Remaining Open Cases in 2<sup>nd</sup> Qtr. 2000</b>	<b>213</b>
<b>*Remaining Open Cases in FY99</b>	<b>*602</b>
<b>*Remaining Open Cases in FY 98</b>	<b>*271</b>
<b>*Remaining Open Cases Prior to FY97</b>	<b>*214</b>
<b>Total cases opened</b>	<b>1577</b>

\* Many of these cases are in Sanction status and will not be closed until the Sanction is completed.

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**STATUS OF OPEN CASES**

<b>Status Code</b>	<b>Definition of Status Code</b>	<b>Total Number</b>
1	Preliminary Investigation	428
2	Full Scale Investigation	429
<b>Total</b>		<b>857</b>

<b>Status Code</b>	<b>Referred To</b>	<b>Total Number</b>
3a	Office of the Attorney General's Medicaid Fraud Control Unit (MFCU)	87
3a1	Office of the Attorney General's Tort Litigation Division	1
3c	Office of the Attorney General's Elder Law Section	3
3f	Utilization Review Division	1
3i01	State Board of Dental Examiners	8
3i03	State Board of Pharmacy	1
3i07	State Board of Medical Examiners	1
3i09	State Board of Certified Nurse Aides	1
3j	HHSC Nurse Review	21
3m01	NHIC Education Visit	5
3m04	NHIC for Application Surveillance	1
3r	Health Facility Compliance	1
3t	Health & Human Services' Office of Inspector General	2
3w	Medicaid Part A & B	1
4	Pending Sanctions	70
4b	Pending Appeals	6
<b>Total</b>		<b>210</b>

<b>Status Code</b>	<b>Sanctions Imposed</b>	<b>Total Number</b>
5a	Recoupment	433
5b	Vendor Hold	14
5f	Request for Reinstatement	3
5g	Global Settlement	1
5e	Pending Exclusion	59
<b>Total</b>		<b>510</b>
<b>Total Number of Open Cases</b>		<b>1577</b>

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**RECOUPMENT DOLLARS – THIRD QUARTER FISCAL YEAR 2000**

<b>Office of Investigation &amp; Enforcement Area</b>	<b>Total</b>
Compliance Monitoring and Referral	\$4,089,404
*Medicaid Fraud & Abuse Detection System -- Dollars identified	\$427,085*
Medicaid Fraud & Abuse Detection System -- Dollars recovered <ul style="list-style-type: none"> <li>• Medicaid Program Integrity (MPI) = \$645,577</li> <li>• Research Analysis &amp; Detection (RAD) = \$365,992</li> </ul>	\$1,011,569
Utilization Review – Case Mix (Nursing Homes)	\$2,437,123
Utilization Review – DRG (Hospitals)	\$10,890,436
Utilization Review – Tax Equity & Fiscal Responsibility Act (TEFRA)	\$199,836
Surveillance and Utilization Review Subsystems -- SURS	\$310,225
Medicaid Program Integrity	\$2,295,598
Medicaid Program Integrity – Civil Monetary Penalties	\$19,000
<b>TOTAL</b>	<b>\$21,680,276</b>

*\* This amount represents claims inappropriately paid based on policy and/or investigations. It does not represent the actual dollars that may be recoverable.*

Note: Total recoupment dollars reflect all active cases within OIE. Investigations refer only to active, full, fraud and abuse investigations.