

**SECOND QUARTER FISCAL YEAR 2000 REPORT
SECTION 8, ARTICLE II, HOUSE BILL 1, 76TH LEGISLATURE
OFFICE OF INVESTIGATIONS AND ENFORCEMENT
TEXAS HEALTH AND HUMAN SERVICES COMMISSION**

OPENED CASES – 12/01/99 – 02/29/00

Referral Source	Received
Office of the Attorney General's Medicaid Fraud Control Unit (MFCU)	3
Citizens Commission on Human Rights	2
Texas Department of Human Services	6
Texas Department of Human Services, Long Term Care	4
Health and Human Services/Health Care Finance Admin.	6
Health and Human Services/Office Inspector General	1
Health Facility Compliance	1
Managed Care	1
Comptroller's Measurement of Fraud Study	2
Medicaid Fraud and Abuse Detection Systems (MFADS)	73
Texas Department of Mental Health and Mental Retardation	5
National Heritage Insurance Company (NHIC)	3
Health and Human Service Commission, Office of Investigations & Enforcement, Medicaid Program Integrity	2
State Auditor's Office	1
Surveillance & Utilization Review System (SURS)	25
Texas Department of Health (TDH)	2
Provider	1
Public	5
Total Cases Opened in 2nd Qtr. 2000	143
Cases Opened and Closed during the 2nd Quarter 2000	(9)
Remaining Open Cases in 1st Qtr. 2000	265
*Remaining Open Cases in FY99	*787
*Remaining Open Cases in FY 98	*283
*Remaining Open Cases Prior to FY97	*214
Total cases opened	1683

* Many of these cases are in Sanction status and will not be closed until the Sanction is completed.

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STATUS OF OPEN CASES

Status Code	Definition of Status Code	Total Number
1	Preliminary Investigation	355
2	Full Scale Investigation	423

Status Code	Referred To	Total Number
3a	Office of the Attorney General's, Medicaid Fraud Control Unit (MFCU)	88
3a1	Office of the Attorney General's, Tort Litigation Division	1
3c	Office of the Attorney General's, Elder Law Section	1
3f	Health and Human Service Commission, Office of Investigations & Enforcement, Utilization Review Department	1
3i01	State Board of Dental Examiners	8
3i03	State Board of Pharmacy	1
3i07	State Board of Medical Examiners	1
3i09	State Board of Certified Nurse Aides	1
3i13	Board of Professional Counselors	1
3j	HHSC Nurse Review	3
3m01	NHIC Education Visit	5
3m04	NHIC for Application Surveillance	1
3r	Health Facility Compliance	1
3t	Health & Human Services' Office of Inspector General	2
3w	Medicaid Part A & B	2
4	Pending Sanctions	62
4b	Pending Appeals	6

Status Code	Sanctions Imposed	Total Number
5a	Recoupment	611
5b	Vendor Hold	11
5f	Request for Reinstatement	3
5e	Pending Exclusion	95
Total Number of Open Cases		1683

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RECOUPMENT DOLLARS – SECOND QUARTER FISCAL YEAR 2000

Office of Investigation & Enforcement Area	Total
Compliance Monitoring and Referral	\$2,596,336
Medicaid Fraud & Abuse Detection System	\$562,379*
Utilization Review – Case Mix	\$1,838,412
Utilization Review – DRG	\$6,263,086
Utilization Review – TEFRA	\$105,888
Surveillance and Utilization Review Subsystems -- SURS	\$309,395
Medicaid Program Integrity	\$4,672,199
Medicaid Program Integrity – Civil Monetary Penalties	\$256,573
TOTAL	\$16,604,268

** This amount represents claims inappropriately paid based on policy and/or investigations. It does not represent the actual dollars that may be recoverable.*

Note: Total recoupment dollars reflect all active cases within OIE. Investigations refer only to active, full, fraud and abuse investigations.