

**Section 8, Article II, House Bill 1, 76<sup>TH</sup> Legislature**

**Third Quarter – Fiscal Year 2001**

**March 1, 2001 –May 31, 2001**

**OPENED CASES – 03/01/2001 to 05/31/2001**

<b>Referral Source</b>	<b>Received</b>
Office of the Attorney General’s Medicaid Fraud Control Unit	19
Board of Dental Examiners	1
Board of Medical Examiners	2
Board of Licensed Vocational Nurse Examiners	30
Board of Nurse Examiners	3
Pharmacy Board	1
Community First Health Plans	1
Texas Department of Health (TDH)/Vendor Drug	2
Health and Human Services Commission (HHSC)/Office of Investigations & Enforcement/Medicaid Program Integrity (Self Initiated)	6
HHSC-Compliance, Monitoring & Review	2
Health and Human Services/Office Inspector General	14
National Heritage Insurance Company	1
ICF-MR	9
Texas Department of Mental Health and Mental Retardation	1
Surveillance & Utilization Review System	8
Texas Department of Human Services	8
Texas Department of Human Services/Long Term Care	3
TDH	4
Texas Health Steps	1
Public	8
Recipient	4
Provider	5
<b>Opened Cases in 3<sup>rd</sup> Qtr. 2001</b>	<b>133</b>
<b>Cases Opened and Closed during the 3<sup>rd</sup> Qtr 2001</b>	<b>(2)</b>
<b>Opened Cases in 1<sup>st</sup> Qtr 2001</b>	<b>66</b>
<b>Opened Cases in 2<sup>nd</sup> Qtr 2001</b>	<b>193</b>
<b>Remaining Open Cases in FY00</b>	<b>351</b>
<b>Remaining Open Cases in FY99</b>	<b>337</b>
<b>*Remaining Open Cases in FY98:</b>	<b>*189</b>
<b>*Remaining Open Cases Prior to FY97:</b>	<b>*159</b>
<b>Total cases opened:</b>	<b>1426</b>

\* Many of these cases are in Sanction status and will not be closed until the Sanction is completed.

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**STATUS OF OPEN CASES**

<b>Status Code</b>	<b>Definition of Status Code</b>	<b>Total Number</b>
1	Preliminary Investigation	388
2	Full Scale Investigation	333
<b>Total</b>		<b>721</b>

<b>Referred To:</b>		
R0	Office of the Attorney General's Medicaid Fraud Control Unit (MFCU)	104
R1	Office of the Attorney General's Tort Litigation Division	2
R1	Office of the Attorney General's Elder Law Section	3
R3	State Board of Certified Nurse Aides	1
R5	State Board of Dental Examiners	20
R6	State Board of Licensed Vocational Nurses	1
R7	State Board of Medical Examiners	3
R10	Board of Pharmacy	1
R12	Medicaid Part A & B	2
R19	Department of Protective and Regulatory	1
RD	HHSC - Compliance, Monitoring & Review (CMR)	2
RF	Health Facility Compliance	1
RH	Texas Department of Health Long Term Care	1
RQ	Utilization Review Division	3
RR	NHIC – Claims/Records Review	3
RS	NHIC Education Visit	9
O3	Pending Sanctions	148
S19	Pending Appeals	8
<b>Sanctions Imposed:</b>		
S4	Recoupment	82
S1	Notice Sent	65
S2	Vendor Hold	102
S23	Request for Reinstatement	2
S8	Global Settlement	8
S16	Pending Exclusion	133
<b>Total</b>		<b>705</b>
<b>Total Number of Open Cases for 3<sup>rd</sup> Qtr FY01</b>		<b>1,426</b>

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**RECOUPMENT DOLLARS – 3<sup>rd</sup> QUARTER FISCAL YEAR 2001**

<b>Office of Investigation &amp; Enforcement Area</b>	<b>3<sup>rd</sup> Qtr FY01 Total</b>
Compliance Monitoring and Referral	\$2,309,684
Medicaid Fraud & Abuse Detection System -- <i>Dollars recovered</i>	\$485,195
Utilization Review – Case Mix (Nursing Homes)	\$2,588,041
Utilization Review – DRG (Hospitals)	\$7,609,978
Utilization Review – Tax Equity & Fiscal Responsibility Act (TEFRA) – Children’s Summary	\$0
Utilization Review – Tax Equity & Fiscal Responsibility Act (TEFRA) – Psychiatric Summary	\$0
Surveillance and Utilization Review Subsystems – SURS	\$332,231
Medicaid Program Integrity	\$1,517,066
Medicaid Program Integrity – Civil Monetary Penalties	\$82,146
<b>TOTAL</b>	<b>\$14,924,341</b>

Note: Total recoupment dollars reflect all active cases within OIE. Investigations refer only to active, full, fraud and abuse investigations.

**OTHER STATISTICS – 3<sup>rd</sup> QUARTER FISCAL YEAR 2001**

<b>Action</b>	<b>3<sup>rd</sup> Qtr FY01 Total</b>
<b>Medicaid Program Integrity:</b>	
• Cases Opened	133
• Cases Closed	150
• Providers Excluded	23
<b>Utilization Review:</b>	
• Case Mix (nursing homes) – Cases Closed	380
• Case Mix (nursing homes) – # of reviews	6,207
• Hospitals – Cases Closed	322
• Hospitals - # of reviews	9,667
<b>Medicaid Fraud &amp; Abuse Detection System</b>	
• # of cases identified	734
• Dollars identified for recovery	17,513,067*
*This amount represents claims inappropriately paid based on policy and/or investigations. It does not represent the actual dollars that may be recoverable.	