

Section 8, Article II, House Bill 1, 76TH Legislature

Second Quarter – Fiscal Year 2001

12/01/00 –02/28/01

OPENED CASES – 12/01/2000 to 02/28/2001

Referral Source	Received
Texas Commission on Alcohol & Drug Abuse	4
Assistant US Attorney	1
Office of the Attorney General's Medicaid Fraud Control Unit (MFCU)	11
Board of Dental Examiners	1
Board of Medical Examiners	11
Board of Licensed Vocational Nurse Examiners	58
Board of Nurse Examiners	66
Senate	1
Health and Human Services/Office Inspector General	52
Measurement of Fraud Study 2000	13
National Heritage Insurance Company (NHIC)	3
Pharmacy Board	6
Surveillance & Utilization Review System (SURS)	3
Texas Department of Human Services (DHS)	4
Texas Department of Human Services (DHS) Long Term Care	1
Texas Department of Health	1
Public	4
Provider	1
Opened Cases in 2nd Qtr. 2001	241
Cases Opened and Closed during the 2nd Qtr 2001	(14)
Opened Cases in 1st Qtr 2001	71
Remaining Open Cases in FY00	363
Remaining Open Cases in FY99	389
*Remaining Open Cases in FY98:	*225
*Remaining Open Cases Prior to FY97:	*178
Total cases opened:	1453

* Many of these cases are in Sanction status and will not be closed until the Sanction is completed.

Section 8, Article II, House Bill 1, 76TH Legislature

Second Quarter – Fiscal Year 2001

12/01/00 –02/28/01

STATUS OF OPEN CASES

Status Code	Definition of Status Code	Total Number
1	Preliminary Investigation	493
2	Full Scale Investigation	320
	Total	813

Referred To:		
R0	Office of the Attorney General's Medicaid Fraud Control Unit (MFCU)	103
R1	Office of the Attorney General's Tort Litigation Division	2
R1	Office of the Attorney General's Elder Law Section	3
RQ	Utilization Review Division	3
R5	State Board of Dental Examiners	15
R7	State Board of Medical Examiners	2
R3	State Board of Certified Nurse Aides	1
RH	Texas Department of Health Long Term Care	1
RS	NHIC Education Visit	8
RR	NHIC – Claims/Records Review	3
RD	HHSC-Compliance, Monitoring & Review (CMR)	2
RF	Health Facility Compliance	1
R12	Medicaid Part A & B	2
O3	Pending Sanctions	89
S19	Pending Appeals	1
	Total	236

Sanctions Imposed:		
S4	Recoupment	136
S2	Vendor Hold	97
S23	Request for Reinstatement	2
S8	Global Settlement	2
S16	Pending Exclusion	167
	Total	404
Total Number of Open Cases for 2nd Qtr FY01		1453

Section 8, Article II, House Bill 1, 76TH Legislature

Second Quarter – Fiscal Year 2001

12/01/00 – 02/28/01

RECOUPMENT DOLLARS – 2ND QUARTER FISCAL YEAR 2001

Office of Investigation & Enforcement Area	2nd Qtr FY01 Total
Compliance Monitoring and Referral	\$2,596,567
Medicaid Fraud & Abuse Detection System -- <i>Dollars recovered</i>	\$304,249
Utilization Review – Case Mix (Nursing Homes)	\$2,691,674
Utilization Review – DRG (Hospitals)	\$6,413,153
Utilization Review – Tax Equity & Fiscal Responsibility Act (TEFRA) – Children’s Summary	\$7,534
Utilization Review – Tax Equity & Fiscal Responsibility Act (TEFRA) – Psychiatric Summary	\$61,488
Surveillance and Utilization Review Subsystems – SURS	\$193,720
Medicaid Program Integrity	\$286,177
Medicaid Program Integrity – Civil Monetary Penalties	\$0
TOTAL	\$12,554,562

Note: Total recoupment dollars reflect all active cases within OIE. Investigations refer only to active, full, fraud and abuse investigations.

OTHER STATISTICS – 2ND QUARTER FISCAL YEAR 2001

Action	2nd Qtr FY01 Total
Medicaid Program Integrity:	
• Cases Opened	241
• Cases Closed	135
• Providers Excluded	56
Utilization Review:	
• Case Mix (nursing homes) – Cases Closed	355
• Case Mix (nursing homes) – # of reviews	5,916
• Hospitals – Cases Closed	345
• Hospitals - # of reviews	9,832
Medicaid Fraud & Abuse Detection System	
• # of cases identified	626
• Dollars identified for recovery	\$335,518*
*This amount represents claims inappropriately paid based on policy and/or investigations. It does not represent the actual dollars that may be recoverable.	