

Texas Department of Insurance

HMO Division, Mail Code 103-6A 333 Guadalupe • P. O. Box 149104, Austin, Texas 78714-9104 512-322-4266 telephone • 490-1011 fax • www.tdi.state.tx.us

BIOGRAPHICAL AFFIDAVIT (Print or Type)

Fu	Full Name and Address of Company (Do Not Use Group Names):						
afte	In connection with the above-named company, I herewith make representations and supply information about myself as herein after set forth. (Attach addendum or separate sheet if space hereon is insufficient to answer any questions fully.) IF ANSWER IS "NO" OR "NONE", SO STATE.						
1.	Affiant's Full Name (Initials Not Acceptable):						
2.	a. Have you ever had your name changed? If yes, give reason for the change:						
	b. Maiden Name (if female) c. Other names used at any time						
3.	Affiant's Social Security Number*:						
4.	Date and Place of Birth:						
5.	Affiant's Business Address:Business Telephone:						
6.	List your residences for the last ten (10) years starting with your current address, giving: <u>DATES</u> <u>ADDRESS</u> <u>CITY AND STATE</u> <u>ZIP CODE</u>						
7.	Education: Dates, Names, Locations and Degrees College						
	Graduate Studies						
	Others						
8.	List Membership in Professional Societies and Associations:						
9.	Present or Proposed Position with the Applicant Company:						

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^{*} Refer to P.L. 93-579, Disclosure of Social Security Account Number

<u>DATES</u> <u>EMPLOYER AND ADDRESS</u>				<u>TITLE</u>		
Present employer may be contacted:	Yes	No	(Circle One)			
Former employers may be contacted:	Yes	No	(Circle One)			
. a. Have you ever been in a position which required a fidelity bond? If any claims were made details:						
b. Have you ever been denied an individual or position schedule fidelity bond, or had a bond cancelled or revoked? If yes, give details:						
authority which you presently hold or have hel reasons for termination):	Id in the past. (S	State date lice	ense was issued, issuer of licen	se, date terminated		
During the last ten (10) years), have you ever been refused a professional, occupational or vocational license by any public or governmental licensing agency or regulatory authority, or has such license held by you ever been suspended or revoked? If yes, give details:						
List any insurers which you control directly or indirectly or own legally or beneficially 10% or more of the outstanding stock (in voting power):						
If any of the stock is pledged or hypothecated in any way, give details:						
Will you or members of your immediate family insurance company or its affiliates?	subscribe to or If any of the	own, benefic shares of sto	ially or of record, shares of stoc ick are pledged or hypothecated	ck of the applicant I in any way, give d		
Have you ever been adjudged a bankruptcy?						
a. Have you ever been convicted or had a sentence imposed or suspended or had pronouncement of a sentence suspended or been pardoned for conviction of or pleaded guilty or nolo contendere to any information or indictment charging any felony, or charging a misdemeanor involving embezzlement, theft, larceny, or mail fraud, or charging a violation of any corporate securities statute or any insurance law, or have you been the subject of any disciplinary proceedings of any federal or state regulatory agency?						
			conduct on your part?	If yes, giv		
F SC EI LST LST LS EI SECSION	details: b. Have you ever been denied an individual or lifyes, give details: List any professional. occupational or vocation authority which you presently hold or have he reasons for termination): During the last ten (10) years), have you ever governmental licensing agency or regulatory alf yes, give details: List any insurers which you control directly or voting power): Have you ever been adjudged or hypothecated will you or members of your immediate family insurance company or its affiliates? Have you ever been adjudged a bankruptcy? a. Have you ever been convicted or had a ser been pardoned for conviction of or pleaded guality of the statute or any insurance law, or have you been agency? If yes, give details:	A. Have you ever been in a position which required a fidelity be details: b. Have you ever been denied an individual or position schedulf yes, give details: List any professional. occupational or vocational licenses issuanthority which you presently hold or have held in the past. (Streasons for termination): During the last ten (10) years), have you ever been refused a governmental licensing agency or regulatory authority, or has lif yes, give details: List any insurers which you control directly or indirectly or own voting power): If any of the stock is pledged or hypothecated in any way, give will you or members of your immediate family subscribe to or insurance company or its affiliates? Have you ever been adjudged a bankruptcy? a. Have you ever been convicted or had a sentence imposed been pardoned for conviction of or pleaded guilty or nolo cont charging a misdemeanor involving embezzlement, theft, larce statute or any insurance law, or have you been the subject of agency? If yes, give details:	A. Have you ever been in a position which required a fidelity bond? details: b. Have you ever been denied an individual or position schedule fidelity bolf yes, give details: List any professional. occupational or vocational licenses issued by any puauthority which you presently hold or have held in the past. (State date lice reasons for termination): During the last ten (10) years), have you ever been refused a professional, governmental licensing agency or regulatory authority, or has such license if yes, give details: List any insurers which you control directly or indirectly or own legally or be voting power): If any of the stock is pledged or hypothecated in any way, give details: Will you or members of your immediate family subscribe to or own, benefic insurance company or its affiliates? Have you ever been adjudged a bankruptcy? a. Have you ever been convicted or had a sentence imposed or suspended been pardoned for conviction of or pleaded guilty or nolo contendere to any charging a misdemeanor involving embezzlement, theft, larceny, or mail frestatute or any insurance law, or have you been the subject of any discipling agency? If yes, give details:	Former employers may be contacted: Yes No (Circle One) a. Have you ever been in a position which required a fidelity bond?		

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20.	management person ever been suspended or revidetails:	oked while you occ	upied such position?	If yes, give
21.	Are you now, or have you been, within the past findetails:			If so, please furnish
I her	ed and signed this day of reby certify under penalty of perjury that I am actin			
best	of my knowledge and belief.			
			(Signature of Affiant)	
State	e of			
Cou	nty of			
pers	conally appeared before me the above named onally known to me, who, being duly sworn, depo answers contained therein are true and correct to	ses and says that h	e/she executed the above instru	ment and that the statements
Subs	scribed and sworn to before me this	day of		, 20
	(SFAL)		(Notary Public) My commission expires	

NOTICE ABOUT CERTAIN INFORMATION LAWS AND PRACTICES

With few exceptions, you are entitled to be informed about the information that the Texas Department of Insurance (TDI) collects about you. Under sections 552.021 and 552.023 of the Texas Government Code, you have a right to review or receive copies of information about yourself, including private information. However, TDI may withhold information for reasons other than to protect your right to privacy. Under section 559.004 of the Texas Government Code, you are entitled to request that TDI correct information that TDI has about you that is incorrect. For more information about the procedure and costs for obtaining information from TDI or about the procedure for correcting information kept by TDI, please contact the Agency Counsel Section of TDI's Legal & Compliance Division at (512) 475-1757 or visit the Corrections Procedure section of TDI's web page at www.tdi.state.tx.us.

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