



Texas Department of Insurance

Financial, Company Licensing & Registration, Mail Code 305-2C
333 Guadalupe • P. O. Box 149104, Austin, Texas 78714-9104
512-322-3507 telephone • 512-322-3550 fax • www.tdi.state.tx.us

RISK RETENTION GROUP CHECKLIST

Name of Risk Retention Group

Contact Person (Name and e-mail address)

All Certifications listed below must be original.

1. Plan of Operation and/or Feasibility Study certified by domiciliary state
Should include a 3-year financial projections and updated every
3 years. *(In accordance with the Federal Risk Retention Act of 1986 §3902(d),
the risk retention group is also required to file revisions to plan or study)* _____
2. Appointment of Commissioner as Agent, Form RRG/PG PC1
(Filed with initial registration only.) _____
3. Registration of a Foreign/Alien Risk Retention Group (RRG-A-122)
form revised Sept. 04 ***(Initial registration must include \$250.00
registration fee.)*** _____
4. Financial Statement certified by domiciliary jurisdiction (NAIC Annual Statement) _____
5. Independent Public Accountant certification of financial statement.
(Audited Financial Report). _____
6. Opinion of Loss and Loss Adjustment Expense Reserves certified by
a member of the American Academy of Actuaries or a qualified
Loss Reserve Specialist. _____
7. Management Discussion & Analysis report. _____
8. Copy of Texas Tax Report *(for renewal registration only –tax report must
include payment of Annual Statement Fee of \$250.00.)* _____
9. Examination Report conducted by the insurance department of domiciliary
jurisdiction. (Examination Report on file with TDI must be current with
the one identified in the Annual Statement) _____
10. Certificate of Authority certified by domiciliary jurisdiction. *(Must be
re-filed if any changes occur or if renewed)* _____
11. Resumes or Biographical Affidavits for each officer or director of the
group. *(Must be re-filed if changes are made)* _____

- 12. All risk retention groups are required to file copies of Property and Casualty NAIC Quarterly Statements, as filed with domiciliary jurisdiction, within 45 days following the close of the quarter. (Due by May 15, 2007, August 15, 2007 and November 15, 2007) _____
- 13. Any documents filed with domiciliary jurisdiction that is not listed above, (i.e. business plans, articles of incorporation, etc.) _____

Note: If items listed above are not submitted, an explanation must be provided below.

COMMENTS: _____

