

2011 Significant Financial Interest Disclosure

Background

UNT Policy Number 16.12.3.3 requires completion of this Disclosure by all investigators who have responsibility for the design, conduct or reporting of externally funded research or other educational activities.

Disclosure Types: (Select appropriate disclosure.)

Annual Disclosure	Required for ongoing or pending funded research
New Investigator	Required before first submission of an application for external funding
New Financial Interest	Required update for new reportable interests when acquired

Definition

“**Significant Financial Interest**” means anything of monetary value, including but not limited to, salary or other payments for services (e.g., consulting fees or honoraria); equity interests (e.g., stocks, stock options or other ownership interests); and intellectual property rights (e.g., patents, copyrights and royalties from such rights). The term **does not include**:

1. salary, royalties, or other remuneration from UNT; or
2. income from seminars, lectures, or teaching engagements sponsored by public or nonprofit entities; or
3. income from service on advisory committees or review panels for public or nonprofit entities; or
4. an equity interest that when aggregated for the investigator and the investigator’s spouse and dependent children, meets both of the following tests: does not exceed \$10,000 in value as determined through reference to public prices or other reasonable measures of fair market value, and does not represent more than a five percent ownership interest in any single entity; or
5. salary, royalties or other payments that, when aggregated for the investigator and the investigator’s spouse and dependent children over the next twelve months, are not expected to exceed \$10,000.

Disclosure

Based on the above definition, I am disclosing the following “**Significant Financial Interest(s)**” that would reasonably appear to be affected by my research or educational activities externally funded or proposed for external funding during the current academic year. For any items checked, I have attached supporting documentation that identifies the entity involved and the nature and amount of the interest:

Salary or other payment for services (e.g., consulting fees or honoraria) with projected annual income over \$10,000 when aggregated for me, my spouse, and my dependent children.

Equity interests (e.g., stocks, stock options or other ownership interests) that when aggregated for me, my spouse, and my dependent children, exceed \$10,000 in fair market value or represent more than a 5% ownership interest in any single entity.

Intellectual property rights (e.g., patents, copyrights and royalties from such rights).

Other “Significant Financial Interest(s)” that would reasonably appear to be affected by the research or educational activity funded or proposed for funding.

None of the above.

Signature

I certify that I have disclosed all “Significant Financial Interests” as defined above.

Signature _____ Date _____ EMPL ID _____

Printed Name _____ Phone _____