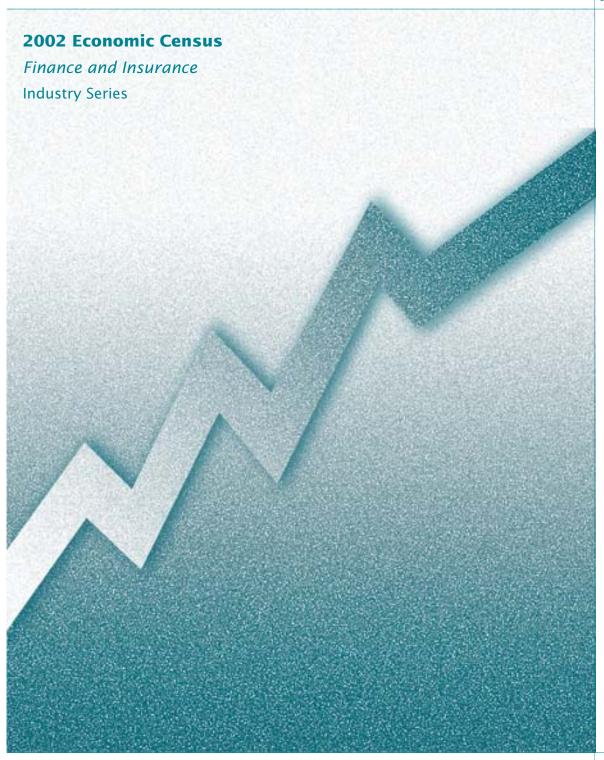
Securities and Commodity Contracts Intermediation and Brokerage: 2002

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U.S. Department of Commerce Economics and Statistics Administration U.S. CENSUS BUREAU



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-- Not applicable for this report.

Table 1. Summary Statistics for the United States: 2002

[These data are preliminary and are subject to change; they will be superseded by data released in later reports. Includes only establishments of firms with payroll. For meaning of abbreviations and symbols, see introductory text. For explanation of terms, see Appendix A. Data based on the 2002 Economic Census. For information on confidentiality protection, sampling error, nonsampling error, and definitions, see note at end of table]

						Paid	Percent of	revenue-
2002 NAICS code	Kind of business	Estab- lishments (number)	Revenue (\$1,000)	Annual payroll (\$1,000)	First-quarter payroll (\$1,000)	employees for pay period including March 12 (number)	From admini- strative records ¹	Estimated ²
5231	Securities and commodity contracts intermediation and brokerage	35 789	215 607 852	69 793 962	26 164 194	525 863	1.0	11.4
52311	Investment banking and securities dealing	5 814	104 011 148	31 486 454	13 876 750	142 636	.5	5.0
523110		5 814	104 011 148	31 486 454	13 876 750	142 636	.5	5.0
52312	Securities brokerage	27 479	104 811 597	36 427 781	11 668 478	361 209	1.2	18.0
523120		27 479	104 811 597	36 427 781	11 668 478	361 209	1.2	18.0
52313	Commodity contracts dealing	1 085	3 904 504	834 829	284 617	9 662	3.1	2.8
523130		1 085	3 904 504	834 829	284 617	9 662	3.1	2.8
52314	Commodity contracts brokerage Commodity contracts brokerage	1 411	2 880 603	1 044 898	334 349	12 356	8.2	12.7
523140		1 411	2 880 603	1 044 898	334 349	12 356	8.2	12.7

¹Includes revenue information obtained from administrative records of other federal agencies. ²Includes revenue information that was imputed based on historic data, administrative data, industry averages, or other statistical methods.

Note: The data in this table are based on the 2002 Economic Census. To maintain confidentiality, the Census Bureau suppresses data to protect the identity of any business or individual. The census results in this table contain nonsampling error. Data users who create their own estimates using data from this table should cite the Census Bureau as the source of the original data only. See also explanation of terms and geographic definitions. For the full technical documentation, see Appendix C.

Table 2. Comparative Statistics for the United States (1997 NAICS Basis): 2002 and 1997

[These data are preliminary and are subject to change; they will be superseded by data released in later reports. Includes only establishments of firms with payroll. For meaning of abbreviations and symbols, see introductory text. For explanation of terms, see Appendix A. Data based on the 2002 and 1997 Economic Censuses. For information on confidentiality protection, sampling error, nonsampling error, and definitions, see note at end of table]

1997 NAICS code	Kind of business	Establishments (number)	Revenue (\$1,000)	Annual payroll (\$1,000)	Paid employees for pay period including March 12 (number)
5231	Securities and commodity contracts intermediation and brokerage	35 789 26 049	215 607 852 196 417 397	69 793 962 49 982 735	525 863 449 201
52311 523110	Investment banking and securities dealing	5 814 4 136 5 814 4 136	104 011 148 118 385 783 104 011 148 118 385 783	31 486 454 22 330 285 31 486 454 22 330 285	142 636 140 782 142 636 140 782
52312 523120	Securities brokerage. 2002. Securities brokerage. 1997. Securities brokerage. 2002. 1997. 1997.	27 479 19 869 27 479 19 869	104 811 597 72 756 442 104 811 597 72 756 442	36 427 781 26 519 842 36 427 781 26 519 842	361 209 290 656 361 209 290 656
52313 523130	Commodity contracts dealing	1 085 630 1 085 630	3 904 504 2 241 406 3 904 504 2 241 406	834 829 340 990 834 829 340 990	9 662 4 519 9 662 4 519
52314 523140	Commodity contracts brokerage 2002. 1997 2002. Commodity contracts brokerage 2002. 1997 1997	1 411 1 414 1 411 1 414	2 880 603 3 033 766 2 880 603 3 033 766	1 044 898 791 618 1 044 898 791 618	12 356 13 244 12 356 13 244

Note: The data in this table are based on the 2002 and 1997 Economic Censuses. To maintain confidentiality, the Census Bureau suppresses data to protect the identity of any business or individual. The census results in this table contain nonsampling error. Data users who create their own estimates using data from this table should cite the Census Bureau as the source of the original data only. See also explanation of terms and geographic definitions. For the full technical documentation, see Appendix C.

[These data are preliminary and are subject to change; they will be superseded by data released in later reports. Includes only establishments of firms with payroll. For meaning of abbreviations and symbols, see introductory text. For explanation of terms, see Appendix A. Data based on the 2002 Economic Census. For information on confidentiality protection, sampling error, and definitions, see note at end of table]

		at end of table]		with the product	Proc	luct line revenue	e	
2002	2002					As percent of		
NAICS code	Product line code	Kind of business and product line	Number	Total revenue (\$1,000)	Amount ¹ (\$1,000)	Estab- lishments with the product line	All estab- lishments ¹	Response coverage ² (percent)
5231		Securities and commodity contracts intermediation and brokerage	35 789	х	215 607 852	х	100.0	67.0
	55010 55020 55030 55040	Loan income: Loans to financial businesses Loans to nonfinancial businesses - secured Loans to nonfinancial businesses - unsecured Loans to governments	182 203 154 78	4 931 163 1 920 931 1 555 923 517 844	783 762 371 701 43 942 11 856	15.9 19.4 2.8 2.3	.4 .2 Z Z	X X X
	55310 55320 55330 55340	Securities origination products: Public offering products - equity securities Public offering products - debt securities. Private placement - equity securities Private placement - debt securities.	6 035 4 472 1 193 551	91 305 099 70 433 479 30 133 883 22 844 382	6 821 859 5 668 877 714 931 847 700	7.5 8.0 2.4 3.7	3.2 2.6 .3 .4	X X X
	55410 55420 55430 55440 55450 55460 55470	Brokering and dealing products - debt instruments: Negotiable certificates of deposit Commercial paper issued by financial institutions Commercial paper issued by nonfinancial institutions Bankers acceptance Treasury bills Other money market instruments Corporate and trust notes and bonds	5 584 292 127 26 1 389 2 318 7 378	19 096 841 3 036 167 696 549 131 248 32 263 318 12 488 739 45 041 963	799 853 301 016 96 032 2 109 1 884 996 661 493 3 811 355	4.2 9.9 13.8 1.6 5.8 5.3 8.5	.4 .1 Z .9 .3 1.8	X X X X X
	55480 55490 55610	National government notes and bonds State provincial and local governments notes and bonds Brokering and dealing products, equities.	6 115 6 927 25 174	63 207 108 60 111 690 182 327 639	9 256 079 4 340 366 79 730 565	14.6 7.2 43.7	4.3 2.0 37.0	X X X X
	55710 55720 55730 55740 55750 55760 55810 55910	Brokering and dealing products - derivative contracts: Futures contracts, exchange-traded. Option contracts, exchange-traded. Forward contracts, traded over-the-counter Swaps, traded over-the-counter Option contacts traded over-the-counter Option contacts traded over-the-counter Other derivative contracts, traded over-the-counter Brokering and dealing foreign currency fees - wholesale Brokering and dealing investment company securities, including mutual funds, close-end funds, and unit investment trusts Brokering and dealing other financial instruments, including commodity pools and face-amount certificates Brokerage correspondent products - fees	2 477 5 206 262 1004 2 608 213 239 14 125 3 282	42 419 760 39 667 549 4 450 780 8 852 584 9 232 636 22 542 082 723 773 30 882 362 8 864 810	6 061 914 1 857 904 233 709 225 428 210 756 540 106 279 913 8 204 109 1 272 369	14.3 4.7 5.3 2.5 2.3 2.4 38.7 26.6	2.8 .9 .1 .1 .1 .3 .1	x x x x x x x
	56110 56210 56310	Securities lending fees, including securities borrowed from a broker's inventory and margin accounts. Repurchase agreements - net gains (losses)	383 614 544	3 747 599 35 980 675 50 371 783	317 863 2 254 636 7 861 473	8.5 6.3 15.6	.1 1.0 3.6	X X
	56410 56510 56610 56710	Financing related to securities, excluding securities lending and repurchase agreements - net. Trading debt instruments on own account - net gains (losses) Trading equities on own account - net gain (losses) Trading derivatives contracts on own account - net gain (losses)	461 645 2 352 253	15 399 054 63 199 579 62 366 795 29 504 461	955 569 12 511 994 2 766 274 5 684 742	6.2 19.8 4.4 19.3	.4 5.8 1.3 2.6	X X X X
	56810 56910 57410	Trading foreign currency on own account - net gain (losses)	141 321 1 450	23 059 376 40 632 068 25 078 218	329 928 1 340 767 251 666	1.4 3.3 1.0	.2 .6 .1	X X X
	57510 57520 57530	Management of financial market and clearing products: Payment clearing and settlement fees Security and commodity contract trade execution, clearing and settlement fees Access fees for security and commodity contract trade execution and clearing systems Security and commodity contract exchange and clearinghouse listing	518 1 443 76	7 792 226 13 244 188 2 504 409	482 747 575 841 32 815	6.2 4.3 1.3	.2 .3 Z	x x x
	57540	fees Financial planning and investment management products:	40	1 847 756	20 466	1.1	Z	х
	57710 57720	Financial planning and investment management services for businesses and government Financial planning and investment management services for individuals	2 672 11 906	72 815 808 79 355 712	11 109 990 16 725 541	15.3 21.1	5.2 7.8	X X X
52311	57810	Other products supporting financial services - fees	11 471 5 814	110 875 368 X	17 350 840 104 011 148	15.6 X	100.0	71.5
	55010 55011 55012 55013 55020 55021 55022 55023 55030 55031 55033 55034 55041 55043	Loan income: Loans to financial businesses Interest income Origination fees Other fees Loans to nonfinancial businesses - secured Interest income Origination fees Other fees Loans to nonfinancial businesses - unsecured Interest income Other fees Loans to nonfinancial businesses - unsecured Interest income Other fees Loans to governments Interest income Other fees	98 90 13 8 114 101 48 45 64 61 17 27 23 8	4 140 684 4 124 618 18 136 3 975 1 571 608 1 569 439 86 234 248 786 1 112 91 1 111 728 9 148 23 923 23 598 707	737 439 736 127 1 224 88 321 394 266 041 18 499 36 854 15 602 11 970 3 511 3 120 2 731 82	17.8 17.8 6.7 2.2 20.5 17.0 21.5 14.8 1.4 1.1 38.4 13.0 11.6	.7.7 N N N N N N N N N N N N N N N N N N	71.5 X X X 71.5 X X X X 71.5 5 X X X X X X X X X X X X X X X X X X
	55310 55320 55330 55340	Securities origination products: Public offering products - equity securities Public offering products - debt securities. Private placement - equity securities. Private placement - debt securities.	1 150 975 557 347	74 884 906 57 744 909 26 919 125 21 292 122	5 255 683 5 085 105 630 308 709 356	7.0 8.8 2.3 3.3	5.1 4.9 .6 .7	X X X

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				with the product ne	Proc	luct line revenue	•	
2002 NAICS	2002 Product	Kind of business and product line				As percent of of-		
code	line code		Number	Total revenue (\$1,000)	Amount ¹ (\$1,000)	Estab- lishments with the product line	All estab- lishments ¹	Response coverage ² (percent)
52311		Investment banking and securities dealing—Con.						
	55410 55411 55412 55413 55420 55421 55422 55423 55430 55440 55441 55455 55451 55450 55451 55462 55462 55463 55470 55472 55473 55472 55473 55480 55481 55482 55488 55491 55489 55491 55492 55493 55494 55612 55612 55613 55612	Brokering and dealing products - debt instruments: Negotiable certificates of deposit Fees and commissions. Net gains (losses) in trading accounts, excluding interest income Interest income from trading accounts. Commercial paper issued by financial institutions Fees and commissions. Net gains (losses) in trading accounts, excluding interest income Commercial paper issued by nonfinancial institutions. Fees and commissions. Bankers acceptance Fees and commissions Treasury bills Fees and commissions Net gains (losses) in trading accounts, excluding interest income Interest income from trading accounts. Other money market instruments Fees and commissions. Net gains (losses) in trading accounts, excluding interest income Interest income from trading accounts. Corporate and trust notes and bonds Fees and commissions. Net gains (losses) in trading accounts, excluding interest income Interest income from trading accounts, exc	151 119 119 21 32 24 8 8 32 29 13 10 154 96 39 41 172 81 19 89 490 369 135 130 17 374 276 216 310 162 178 181 194 276 310 195 195 195 195 195 195 195 195 195 195	825 849 673 339 146 844 7 623 235 065 1114 423 120 642 194 501 194 480 113 372 78 144 20 973 848 16 371 347 4 582 366 18 605 691 4 151 082 2 724 108 23 440 992 18 262 877 19 182 936 8 483 298 647 675 33 574 799 28 443 898 14 506 663 21 997 537 30 990 122 28 887 132 10 323 739 26 874 326 155 261 190 554 186 8 781 132 10 90 554 186 8 781 135 18 720 267 37 216 868 18 720 267 37 216 868 18 720 267 37 216 868 18 720 267 37 216 868	204 902 157 045 46 615 1 242 12 481 2 627 9 260 50 965 39 040 2 080 1 521 345 317 168 741 17 573 159 003 70 728 10 393 50 499 9 801 1 574 729 746 461 317 365 888 1 118 5 187 036 461 387 2 086 1 354 231 1 484 239 601 883 471 315 409 661 1 380 27 947 798 16 570 809 2 133 255 9 022 108	24.8 23.3 31.7 16.3 2.3 7.7 26.2 20.1 1.8 1.9 1.6 1.0 .4 .4 .9 1.7 4.1 2.4 4.3 2.1 5.4 7.1 12.6 6.2 2.0 9.0 9.0 9.0 9.0 9.0 9.0 9.0 9.0 9.0 9	.2.2.N.N.N.N.N.N.N.N.N.N.N.N.N.N.N.N.N.	71.5 X X X X 71.5 71.5 X 51.8 X X 71.5 71.4 X X X X X X X X X X X X X X X X X X X
	55710 55711 55712 55713 55720 55721 55722 55723 55724 55730 55741 55740 55741 55740 55761 55761 55810 56910 56110 56210 56310 56410 56610 56610 56610 56610 56710 56810 56910 57410	Brokering and dealing products - derivative contracts: Futures contracts, exchange-traded Fees and commissions . Net gains (losses) in trading accounts, excluding interest income Interest income from trading accounts. Option contracts, exchange-traded . Fees and commissions . Net gains (losses) in trading accounts, excluding interest income Interest income from trading accounts. Margin interest . Fonward contracts, traded over-the-counter . Fees and commissions . Swaps, traded over-the-counter . Fees and commissions . Net gains (losses) in trading accounts, excluding interest income . Other derivative contracts, traded over-the-counter . Fees and commissions . Brokering and dealing foreign currency fees - wholesale . Brokering and dealing foreign currency fees - wholesale . Brokering and dealing investment company securities, including mutual funds, close-end funds, and unit investment trusts . Brokering and dealing other financial instruments, including commodity pools and face-amount certificates . Brokering acrorespondent products - fees . Securities lending fees, including securities borrowed from a broker's inventory and margin accounts . Repurchase agreements - net gains (losses) . Financing related to securities, excluding securities lending and repurchase agreements - net gains (losses) . Trading deplt instruments on own account - net gains (losses) . Trading deplt instruments on own account - net gain (losses) . Trading derivatives contracts on own account - net gain (losses) . Trading of the securities and commodity contracts on own account - net gain (losses) . Trading other securities and commodity contracts on own account - net gain (losses) . Trading other securities and commodity contracts on own account - net gain (losses) . Trading other securities and commodity contracts on own account - net gain (losses) . Trading other securities and commodity contracts on own account - net gain (losses) . Trading other securities and commodity contract trade execution and clearing systems .	276 224 44 300 432 283 155 90 9 26 37 28 9 74 74 71 11 464 61 93 233 236 29 305 599 87 19 50 21	31 648 512 29 117 580 8 282 416 11 520 375 22 566 259 20 279 846 2 602 341 12 717 215 5 5867 2 024 057 21 779 7 811 237 7 797 333 13 904 19 149 492 19 149 492 19 149 492 281 870 240 266 26 540 525 41 442 202 6 006 644 54 577 770 51 505 280 23 507 560 20 245 770 36 303 011 19 528 093 294 352 6 602 628 593 904	2 556 594 368 201 2 071 173 117 118 719 757 283 430 292 499 142 381 1 447 40 564 19 762 142 495 135 382 6 657 298 512 1 040 199 701 34 324 90 490 2 000 134 5 491 789 162 257 11 949 841 2 104 146 2 635 642 86 329 523 176 135 214 28 083 88 409 8 321 7 652 100	8.1 1.3 25.0 1.0 3.2 1.4 11.2 1.1 24.7 2.0 90.7 1.8 1.7 47.9 1.6 1.6 1.6,7 2.8 12.2 37.7 7.5 13.3 2.7 21.9 4.1 11.2 4 1.1 1.2 4.7 9.7 1.8 1.6 1.6 1.6 1.6 1.6 1.6 1.6 1.6 1.6 1.6	2.5 4 2.0 1.7 3.3 3.1 2.7 2.1 1.1 2.3 3.3 2.2 2.1 1.5 2.0 2.5 1.5 2.0 2.5 1.5 2.0 2.5 1.5 2.0 2.5 1.5 2.0 2.5 1.5 1.5 2.0 2.5 1.5 2.0 2.5 1.5 2.0 2.0 2.0 2.0 2.0 2.0 2.0 2.0 2.0 2.0	71.4 X X X X X X X X X X X X X X X X X X X
523110	57720 57810	businesses and government Financial planning and investment management services for individuals Other products supporting financial services - fees Investment banking and securities dealing	814 647 1 145 5 814	57 528 566 36 673 291 71 060 416	7 652 100 4 427 755 13 050 279 104 011 148	13.3 12.1 18.4 X	7.4 4.3 12.5 100.0	X X X 71.5

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			Establishments lir	with the product ne	Proc	duct line revenue		
2002 NAICS	2002 Product	Kind of business and product line				As percent of of-		
code	line code	Table 5. Saturates and product and	Number	Total revenue (\$1,000)	Amount ¹ (\$1,000)	Estab- lishments with the product line	All estab- lishments ¹	Response coverage ² (percent)
523110		Investment banking and securities dealing—Con.						
	55010 55011 55011 55013 55020 55021 55022 55023 55031 55031 55033 55040 55041 55043	Loan income: Loans to financial businesses Interest income Origination fees Other fees Loans to nonfinancial businesses - secured Interest income Origination fees Other fees Loans to nonfinancial businesses - unsecured Interest income Other fees Loans to nonfinancial businesses - unsecured Interest income Other fees Loans to governments Interest income Other fees Securities origination products:	98 90 13 8 114 101 48 45 64 61 17 27 23 8	4 140 684 4 124 618 18 136 3 975 1 571 608 1 569 439 86 234 248 786 1 112 919 1 111 728 9 148 23 923 23 598 707	737 439 736 127 1 224 88 321 394 266 041 18 499 36 854 15 602 11 970 3 511 3 120 2 731 82	17.8 17.8 6.7 2.2 20.5 17.0 21.5 14.8 1.4 1.1 38.4 13.0 11.6	.7 .7 .7 .2 .3 .3 .3 .2 .2 .2 .2 .2 .2 .2 .2 .2 .2 .2 .2 .2	71.5 X X 71.5 X X 71.5 X X X X X X X X X X X X X X X X X X X
	55310 55320 55330 55340	Public offering products - equity securities Public offering products - debt securities Private placement - equity securities Private placement - debt securities.	1 150 975 557 347	74 884 906 57 744 909 26 919 125 21 292 122	5 255 683 5 085 105 630 308 709 356	7.0 8.8 2.3 3.3	5.1 4.9 .6 .7	X X X
	55410 55411 55412 55413 55420 55421 55422 55430 55440 55441 55450 55451 55453 55461 55463 55463 55463 55463 55471 55472 55473 55474 55481 55483 55490 55483 55490 55490 55494 55611 55611 55613 55614	Brokering and dealing products - debt instruments: Negotiable certificates of deposit Fees and commissions. Net gains (losses) in trading accounts, excluding interest income Interest income from trading accounts. Commercial paper issued by financial institutions Fees and commissions. Net gains (losses) in trading accounts, excluding interest income Commercial paper issued by nonfinancial institutions Fees and commissions. Bankers acceptance Fees and commissions. Treasury bills Fees and commissions. Net gains (losses) in trading accounts, excluding interest income Interest income from trading accounts. Other money market instruments Fees and commissions. Net gains (losses) in trading accounts, excluding interest income Interest income from trading accounts. Corporate and trust notes and bonds Fees and commissions. Net gains (losses) in trading accounts, excluding interest income Interest income from trading accounts. Corporate and trust notes and bonds Fees and commissions. Net gains (losses) in trading accounts, excluding interest income Interest income from trading accounts, excluding interest income Interest income from trading accounts. Margin interest National government notes and bonds Fees and commissions. Net gains (losses) in trading accounts, excluding interest income Interest income from trading accounts, excluding interest income Interest income I	151 119 119 21 32 24 8 32 29 13 10 154 96 96 39 41 172 81 19 89 490 369 135 130 17 374 276 106 81 466 310 162 276 138 264 276 319 410 410 410 410 410 410 410 410 410 410	825 849 673 339 146 844 7 623 235 065 114 423 120 642 194 501 194 480 113 372 78 144 20 973 848 16 971 347 4 582 366 18 605 691 4 151 082 2 724 108 23 440 992 18 262 877 19 182 936 8 483 295 647 675 33 574 799 28 443 898 14 506 639 14 506 639 15 30 990 122 28 887 132 10 323 739 20 874 326 155 261 90 554 186 88 781 135 18 720 267 37 216 865 31 444 941	204 902 157 045 46 615 1 242 12 481 2 627 9 260 50 965 39 040 2 080 1 521 345 317 168 741 17 573 159 003 70 728 10 393 50 499 9 801 1 574 729 746 406 461 317 365 888 1 118 5 187 036 2 006 502 1 823 658 1 334 231 1 484 239 61 1 883 471 1315 499 661 1 380 27 947 780 2 133 255 9 022 108 2 133 255 9 022 108 2 21 382 5 79 809 2 133 255 9 022 108	24.8 23.3 31.7 16.3 5.3 2.3 7.7 26.2 1.8 1.9 1.6 1.0 4 .9 7.1 2.4 4.3 .2 15.4 7.1 12.6 2.1 4.8 2.1 4.8 2.1 1.9 9 30.9 30.9 30.9 30.9 30.9 30.9 30.9	2.2. X X X X X X X X X X X X X X X X X X	71.5 X X 71.5 X 71.5 71.4 51.8 X X X X X X X X X X X X X
	55710 55711 55712 55713 55720 55721 55722 55723 55724 55730 55740 55740 55740 55740 55740 55740 55760 55761 55761 55810 55810 55810 55810 56010	Brokering and dealing products - derivative contracts: Futures contracts, exchange-traded. Fees and commissions. Net gains (losses) in trading accounts, excluding interest income Interest income from trading accounts. Option contracts, exchange-traded. Fees and commissions. Net gains (losses) in trading accounts, excluding interest income Interest income from trading accounts, excluding interest income Interest and commissions. Forward contracts, traded over-the-counter Fees and commissions. Swaps, traded over-the-counter Fees and commissions. Net gains (losses) in trading accounts, excluding interest income Other derivative contracts, traded over-the-counter Fees and commissions. Brokering and dealing foreign currency fees - wholesale Brokering and dealing investment company securities, including mutual funds, close-end funds, and unit investment trusts Brokering and dealing other financial instruments, including commodity pools and face-amount certificates. Brokerage correspondent products - fees Securities lending fees, including securities borrowed from a broker's	276 224 44 30 432 283 155 90 29 26 37 28 9 74 74 71 11	31 648 512 29 117 580 8 282 416 11 520 375 22 566 259 20 279 846 2 602 341 12 717 215 5 867 2 024 057 7 811 237 7 797 333 13 904 19 149 492 19 149 492 16 241 7 202 772 281 870 240 266	2 556 594 368 201 2 071 173 117 118 719 757 283 430 292 499 142 381 1 447 40 564 19 762 142 495 135 382 6 657 298 512 2 1 040 199 701 34 324 90 490	8.1 1.3 25.0 1.0 3.2 1.4 11.2 1.1 24.7 2.0 90.7 1.8 1.7 47.9 1.6 1.6 16.7 2.8	2.5 .4 2.0 .1 .7 .3 .3 .1 .1 .2 .2 .2 .2 .2 .2 .2	71.4 X X 64.7 X X X 71.5 52.4 X X X X X X X X X X X X X
	56310	inventory and margin accounts	233	26 540 525	2 000 134	7.5	1.9	X
	56310 56410 56510 56610 56710	Repurchase agreements - net gains (losses) Financing related to securities, excluding securities lending and repurchase agreements - net Trading debt instruments on own account - net gains (losses) Trading equities on own account - net gain (losses) Trading derivatives contracts on own account - net gain (losses)	236 29 305 599 87	41 442 202 6 006 644 54 577 770 51 505 280 23 507 560	5 491 789 162 257 11 949 841 2 104 146 2 635 642	13.3 2.7 21.9 4.1 11.2	5.3 .2 11.5 2.0 2.5	X X X X

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			Establishments lir	with the product ne	Proc	duct line revenue	•	
2002	2002					As percent of of-		
NAICS code	Product line code	Kind of business and product line	Number	Total revenue (\$1,000)	Amount ¹ (\$1,000)	Estab- lishments with the product line	All estab- lishments ¹	Response coverage ² (percent)
523110		Investment banking and securities dealing—Con.						
	56810 56910	Trading foreign currency on own account - net gain (losses)	19	20 245 770	86 329	.4	.1	X
	57410	gain (losses)	50 21	36 303 011 19 528 093	523 176 135 214	1.4	.5 .1	X
	57510	Management of financial market and clearing products: Payment clearing and settlement fees	69	294 352	28 083	9.5	z	х
	57520 57530	Security and commodity contract trade execution, clearing and settlement fees	24	6 602 628	88 409	1.3	.1	Х
	37330	clearing systems	11	593 904	8 321	1.4	z	Х
	57710	Financial planning and investment management products: Financial planning and investment management services for businesses and government	814	57 528 566	7 652 100	13.3	7.4	х
	57720	Financial planning and investment management services for individuals	647	36 673 291	4 427 755	12.1	4.3	X
52312	57810	Other products supporting financial services - fees	1 145 27 479	71 060 416 X	13 050 279 104 811 597	18.4 X	12.5 100.0	X 61.9
52312			27 479	^	104 611 597	^	100.0	61.9
	55010 55011	Loan income: Loans to financial businesses Interest income	73 62	761 980 728 468	44 019 42 852	5.8 5.9	Z	61.7 X
	55011 55012 55020	Origination fees Loans to nonfinancial businesses - secured	8 84	32 940 349 023	1 070 50 307	3.2 14.4	Z Z	X X 61.9
	55021 55022	Interest income Origination fees Other fees	46 22	329 816 4 122	15 118 481	4.6 11.7	Z Z Z Z Z Z Z Z Z	X X X
	55023 55030 55031	Loans to nonfinancial businesses - unsecured	33 71	193 767 361 600 331 482	34 708 10 480 3 851	17.9 2.9	Z Z	61.9
	55040 55041	Interest income Loans to governments Interest income	66 46 46	491 566 491 566	8 385 8 385	1.2 1.7 1.7	Z Z Z	61.7 X
	55310 55320	Securities origination products: Public offering products - equity securities Public offering products - debt securities	4 868 3 494	16 405 111 12 688 492	1 556 374 583 772	9.5 4.6	1.5	X X X
	55330 55340	Private placement - equity securities	627 204	3 210 379 1 552 260	83 845 138 344	2.6 8.9	.1	X
	55410	Brokering and dealing products - debt instruments: Negotiable certificates of deposit	5 425	18 240 362	593 204	3.3	.6	61.0
	55411 55412 55413	Fees and commissions Net gains (losses) in trading accounts, excluding interest income	5 395 50 58	16 816 337 3 366 806 1 844 465	469 989 59 908 56 354	2.8 1.8 3.1	.4	X
	55414 55420	Interest income from trading accounts. Margin interest Commercial paper issued by financial institutions	14 258	11 856 2 799 518	6 953 288 218	58.6 10.3	Z .3	X 61.8
	55421 55422	Fees and commissions	252 14	1 473 470 720 400	283 442 23	19.2 Z	.3 Z	X X
	55423 55430 55431	Interest income from trading accounts	8 95 86	1 350 264 502 048 233 859	4 753 45 067 7 109	.4 9.0 3.0	.1 Z .3 .3 Z Z Z Z Z Z 1.5	X X X X 61.8 X X X 44.5 X
	55433 55450	Fees and commissions	6 1 224	263 108 263 108 11 242 092	37 922 1 537 509	14.4 13.7	Z 1.5	X 61.8
	55451 55452	Fees and commissions	1 194 38	9 734 865 5 333 136	210 272 364 609	2.2 6.8	.2	61.8 X X X X 59.7
	55453 55454	Interest income from trading accounts	60 8	5 315 486 100 055	958 907 3 721	18.0 3.7	.2 .3 .9 Z	X X
	55460 55461 55462	Other money market instruments Fees and commissions Net gains (losses) in trading accounts, excluding interest income	2 129 1 946 107	8 286 405 7 332 060 2 770 725	587 964 430 679 50 159	7.1 5.9 1.8	.6 .4 Z	59.7 X Y
	55463 55464	Interest income from trading accounts Margin interest	177 62	2 720 344 116 341	105 045 2 081	3.9 1.8	.1 Z	X X
	55470 55471	Corporate and trust notes and bonds	6 880 6 779	21 571 275 20 519 244	2 234 471 1 801 675	10.4 8.8	2.1 1.7	59.0 X
	55472 55473 55474	Net gains (losses) in trading accounts, excluding interest income	222 203 262	4 853 537 4 633 294 400 579	262 863 167 184 2 749	5.4 3.6 .7	.3 .2 Z	X X X X X X 59.0 X X X X X X X X X X X X X X X X X X X
	55480 55481	National government notes and bonds Fees and commissions	5 718 5 619	29 586 218 23 972 825	4 062 294 3 491 821	13.7 14.6	3.9 3.3	38.9 X
	55482 55483	Net gains (losses) in trading accounts, excluding interest income Interest income from trading accounts	152 251	8 567 873 8 583 554	468 956 100 380	5.5 1.2	.4 .1 Z	X
	55484 55490	Margin interest State provincial and local governments notes and bonds Separate or provincial and local governments notes and bonds	62 6 448 6 337	186 985 29 033 860	1 137 2 850 732	.6 9.8	2.7	45.9
	55491 55492 55493	Fees and commissions Net gains (losses) in trading accounts, excluding interest income Interest income from trading accounts	6 327 205 359	26 025 662 10 148 786 8 963 043	2 249 484 437 929 159 185	8.6 4.3 1.8	2.1 .4	X
	55494 55610	Margin interest	112 22 252	189 010 90 703 956	4 134 51 473 546	2.2 56.7	.2 Z 49.1	X 35.6
	55611 55612	Fees and commissions	22 080 3 541	89 787 846 19 462 348	41 754 311 3 899 636	46.5 20.0	39.8 3.7	X
	55613 55614	Interest income from trading accounts Margin interest	1 223 5 665	14 247 777 27 649 287	1 752 674 4 066 925	12.3 14.7	1.7 3.9	X
	55710	Brokering and dealing products - derivative contracts: Futures contracts, exchange-traded	825	7 641 814	1 333 137	17.4	1.3	41.3
	55711 55712 55713	Fees and commissions Net gains (losses) in trading accounts, excluding interest income Interest income from trading accounts	704 143 82	7 213 185 3 070 175 2 745 322	853 556 138 564 338 977	11.8 4.5 12.3	.8 .1 .3	X
	55714 55720	Margin interest Option contracts, exchange-traded.	35 4 181	2 745 322 299 253 15 290 964	2 040 671 808	.7 4.4	.3 Z .6	X X X X 59.6 X X
	55721 55722	Fees and commissions . Net gains (losses) in trading accounts, excluding interest income Interest income from trading accounts	4 136 53 68	15 144 171 2 087 981 1 971 158	566 274 51 635 50 520	3.7 2.5 2.6	.6 .5 Z Z	X

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		at end of table]		with the product	Proc	duct line revenue	e	
2002 NAICS	2002 Product	Kind of business and product line				As percent of of		
code	line code	Tand of Salamoso and product line	Number	Total revenue (\$1,000)	Amount ¹ (\$1,000)	Estab- lishments with the product line	All estab- lishments ¹	Response coverage ² (percent)
52312		Securities brokerage — Con.						
523120	55720 55720 55724 55730 55731 55732 55733 55734 55740 55741 55742 55750 55751 55752 55762 55763 55761 55762 55763 55810 56910 56110 56310 56410 56410 56510 56610 56710 56810 57510 57510 57510 57520 57530 57540 57540 577520 57540 57710 57720 57810	Securities brokerage—Con. Brokering and dealing products - derivative contracts—Con. Option contracts, exchange-traded—Con. Margin interest	211 166 1800 225 225 6 335 299 6 2 544 2 541 22 24 109 109 30 30 92 13 654 3 149 277 375 296 426 315; 1 716 76 1 423 402 1 282 57 27 1 816 11 202 10 157 27 479	372 794 2 073 173 2 072 572 1 761 865 1 761 368 1 659 695 949 694 578 1 371 7 019 233 7 018 250 1 761 555 3 279 555 1 822 613 1 822 613 1 822 613 1 822 613 2 93 472 23 676 940 7 840 956 3 496 515 9 198 266 8 590 358 9 363 868 8 372 350 10 813 412 4 569 786 2 386 560 3 109 760 5 549 774 7 251 914 6 076 976 1 879 272 1 814 289 15 238 558 42 157 321 37 922 932 X	3 379 122 624 69 684 17 634 35 257 49 64 980 64 287 693 185 508 132 246 17 586 35 665 236 862 184 832 266 015 28 211 8 004 062 224 286 251 535 2 347 663 792 336 552 329 658 184 2 111 848 2 096 113 191 116 335 293 457 156 161 24 106 18 865 3 446 033 12 136 576 4 226 841 104 811 597	9 5.9 3.4 4 1.0 2.0 3.0 9.3 9.3 50.5 2.6 6.1 9.9 1.0 2.0 7.2 5.6 6.1 4.4 16.4 33.8 6.7 6.4 2.7 27.3 8.5 6.6 6.1 46.2 1 3.6 2.1 4.0 2.6 1.3 1.0 2.6 2.8 8 11.1 X	Z 1.1 2.1 2.2 2.2 2.2 2.2 2.2 2.2 2.2 2.2	X 61.9 X X X X 61.9 X X X X X X X X X X X X X X X X X X X
	55010 55011 55012 55020 55022 55022 55023 55031 55031 55041 55310 55320 55330 55340 55411 55411 55412 55413	Loan income: Loans to financial businesses Interest income Origination fees Loans to nonfinancial businesses - secured Interest income Origination fees Other fees Loans to nonfinancial businesses - unsecured Interest income Loans to nonfinancial businesses - unsecured Interest income Loans to governments Interest income Securities origination products: Public offering products - equity securities Public offering products - debt securities. Private placement - equity securities Private placement - debt securities Private placement - debt securities Brokering and dealing products - debt instruments: Negotiable certificates of deposit Fees and commissions Net gains (losses) in trading accounts, excluding interest income Interest income from trading accounts.	73 62 8 84 46 22 33 771 66 46 46 48 3 494 627 204 5 425 5 395 50 58 14	761 980 728 468 32 940 349 023 329 816 4 122 193 767 361 600 331 482 491 566 491 566 491 566 16 405 111 12 688 492 3 210 379 1 552 260 18 240 362 16 816 337 3 366 806 1 844 465	44 019 42 852 1 070 50 307 15 118 481 34 708 10 480 3 851 8 385 8 385 1 556 374 583 772 83 845 138 344 593 204 469 989 59 908 56 354 6 953	5.8 5.9 3.2 14.4 4.6 11.7 17.9 2.9 1.7 1.7 1.7 2.6 8.9	2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2	61.7 X 61.9 X X X 61.7 X X X X X X X X X
	55414 55420 55421 55422 55423 55430 55431 55433	Margin interest Commercial paper issued by financial institutions Fees and commissions Net gains (losses) in trading accounts, excluding interest income Interest income from trading accounts Commercial paper issued by nonfinancial institutions Fees and commissions Interest income from trading accounts	258 252 14 8 95 86	11 856 2 799 518 1 473 470 720 400 1 350 264 502 048 233 859 263 108	6 953 288 218 283 442 23 4 753 45 067 7 109 37 922	58.6 10.3 19.2 2 .4 9.0 3.0 14.4	.1 Z .3 .3 Z Z Z Z	X 61.8 X X X 44.5 X

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			Establishments lir		Proc	luct line revenue	•	
2002	2002					As percent of of-		
NAICS code	Product line code	Kind of business and product line	Number	Total revenue (\$1,000)	Amount ¹ (\$1,000)	Estab- lishments with the product line	All estab- lishments ¹	Respons coverage (percent
523120		Securities brokerage—Con.						
	55450 55451 55452 55453 55454 55460 55461 55462 55463 55464 55470 55471 55472 55473 55474 55480 55481 55482 55483 55483	Brokering and dealing products - debt instruments—Con. Treasury bills Fees and commissions. Net gains (losses) in trading accounts, excluding interest income interest income from trading accounts. Margin interest. Other money market instruments Fees and commissions. Net gains (losses) in trading accounts, excluding interest income Interest income from trading accounts, excluding interest income Margin interest. Corporate and trust notes and bonds. Fees and commissions. Net gains (losses) in trading accounts, excluding interest income Interest income from trading accounts, excluding interest income Interest income from trading accounts. Margin interest National government notes and bonds Fees and commissions. Net gains (losses) in trading accounts, excluding interest income Interest income from trading accounts, excluding interest income Interest income from trading accounts, excluding interest income Interest income from trading accounts.	1 224 1 194 38 60 8 2 129 1 946 107 177 62 6 880 6 779 222 203 262 5 718 5 619 152 251 622	11 242 092 9 734 865 5 333 135 486 100 055 8 286 405 7 332 060 2 770 725 2 720 344 116 341 21 571 275 20 519 244 4 853 537 4 633 294 400 579 29 586 218 23 972 825 8 567 873 8 583 554 188 584	1 537 509 210 272 364 609 958 907 3 721 587 964 430 679 50 159 105 045 2 081 2 234 471 1 801 675 262 863 167 184 2 749 4 062 294 4 91 821 468 956 100 380 1 137	13.7 2.2 6.8 18.0 3.7 7.1 5.9 1.8 10.4 8.8 5.4 3.6 7 13.7 14.6 5.5	1.5 .2 .3 .9 Z .6 .4 Z 1.7 1.7 2.1 1.7 2.3 .2 2.3 3.9 3.9 3.9 3.3 4.1	61.6) 59.7 59.0) 38.9
	55490 55491 55492 55493 55494 55610 55611 55612 55613 55614	State provincial and local governments notes and bonds Fees and commissions Net gains (losses) in trading accounts, excluding interest income Interest income from trading accounts Margin interest Brokering and dealing products, equities Fees and commissions Net gains (losses) in trading accounts, excluding interest income Interest income from trading accounts Margin interest	6 448 6 327 205 359 112 22 252 22 080 3 541 1 223 5 665	29 033 860 26 025 662 10 148 786 8 963 043 189 010 90 703 956 89 787 846 19 462 348 14 247 777 27 649 287	2 850 732 2 249 484 437 929 159 185 4 134 51 473 546 41 754 311 3 899 636 1 752 674 4 066 925	9.8 8.6 4.3 1.8 2.2 56.7 46.5 20.0 12.3 14.7	2.7 2.1 .4 .2 .2 .2 49.1 39.8 3.7 1.7 3.9	45.9 35.6 35.6 35.6
	55710 55711 55712 55713 55714 55720 55721 55722 55723 55724 55733 55734 55734 55741 55742 55755 55755 55750 55755 55750 55752 55760 55761 55762 55763 55760 55761 55762 55763 55763 55761 55762 55763 55763 55761 55762 55763 55763 55761 55762 55763 55761 55762 55763 55763 55763 55761 55762 55763 55761 55762 55763 55763 55761 55762 55763 55761 55762 55763 55763 55761 55762 55763 55761 55762 55763 55763 55761 55762 55763 55761 55762 55763 55761 55762 55763 55761 55762 55763 55761 55762 55763 55763 55761	Brokering and dealing products - derivative contracts: Futures contracts, exchange-traded Fees and commissions. Net gains (losses) in trading accounts, excluding interest income Interest income from trading accounts. Margin interest. Option contracts, exchange-traded. Fees and commissions. Net gains (losses) in trading accounts, excluding interest income Interest income from trading accounts. Margin interest. Forward contracts, traded over-the-counter Fees and commissions. Net gains (losses) in trading accounts, excluding interest income Interest income from trading accounts. Margin interest. Swaps, traded over-the-counter Fees and commissions. Net gains (losses) in trading accounts, excluding interest income Interest income from trading accounts, excluding interest income Option contacts traded over-the-counter Fees and commissions. Net gains (losses) in trading accounts, excluding interest income Interest income from trading accounts. Other derivative contracts, traded over-the-counter Fees and commissions. Net gains (losses) in trading accounts, excluding interest income Interest income from trading accounts. Pees and commissions. Net gains (losses) in trading accounts, excluding interest income Interest income from trading accounts. Brokering and dealing foreign currency fees - wholesale. Brokering and dealing investment company securities, including mutual funds, close-end funds, and unit investment trusts Brokering and dealing other financial instruments, including commodity pools and face-amount certificates Brokering and dealing other financial instruments, including commodity pools and face-amount certificates Brokering correspondent products - fees Securities lending fees, including securities borrowed from a broker's inventory and margin accounts.	825 704 143 82 35 4 181 4 136 53 68 211 166 160 25 6 6 35 29 6 2 54 2 24 4 2 541 2 24 109 109 30 30 30 92 13 654 3 149 277 375	7 641 814 7 213 185 3 070 175 2 745 322 299 253 15 290 964 15 144 171 2 087 981 1 971 158 372 794 2 073 173 2 072 572 1 761 865 1 761 368 1 695 99 694 578 1 371 7 019 233 7 018 250 1 761 547 1 762 529 3 279 555 3 279 555 1 822 613 1 822 613 1 822 613 2 23 676 940 7 840 956 3 496 515 9 198 266	1 333 137 853 556 138 564 338 977 2 040 671 808 566 274 51 635 50 520 3 379 122 624 69 684 17 634 35 257 49 64 287 693 185 508 132 246 17 586 35 665 236 862 184 832 26 015 26 015 48 211 8 004 062 224 286 251 535	17.4 11.8 4.5 12.3 .7 4.4 4.7 2.5 2.6 .9 5.9 3.4 1.0 2.0 3.0 3.0 3.0 50.5 2.6 1.9 1.0 2.0 7.2 5.6 1.9 1.0 2.0 4.1 4.1 4.1 4.1 4.1 4.1 4.1 4.1 4.1 4.1	1.3 .8 .1 .1 .2 .2 .2 .2 .2 .2 .2 .2 .2 .2 .2 .2 .2	41.3 59.6 61.9 61.9 61.9 61.9 61.9
	56310 56410 56510 56610 56710 56810	Repurchase agreements - net gains (losses)	296 426 315 1 716 76	8 590 358 9 363 868 8 372 350 10 813 412 4 569 786 2 386 560	2 347 663 792 336 552 329 658 184 2 111 848 2 096	27.3 8.5 6.6 6.1 46.2	2.2 .8 .5 .6 2.0 Z))))
	56910 57410	Trading other securities and commodity contracts on own account - net gain (losses) Trust products for business and governments - fiduciary fees Management of financial market and clearing products:	92 1 423	3 109 760 5 549 774	113 191 116 335	3.6 2.1	.1 .1)
	57510 57520	Payment clearing and settlement fees	402	7 251 914	293 457	4.0	.3	2
	57530	settlement fees	1 282	6 076 976	156 161	2.6	.1	3
	57540	clearing systems Security and commodity contract exchange and clearinghouse listing fees	57 27	1 879 272 1 814 289	24 106 18 865	1.3	z z	:
	57710 57720	Financial planning and investment management products: Financial planning and investment management services for businesses and government Financial planning and investment management services for individuals	1 816 11 202	15 238 558 42 157 321	3 446 033 12 136 576	22.6 28.8	3.3	>

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			Establishments lin		Proc	duct line revenue	Э	
2002 NAICS	2002 Product	Kind of hyginass and product line				As percent of of		
code	line code	Kind of business and product line	Number	Total revenue (\$1,000)	Amount ¹ (\$1,000)	Estab- lishments with the product line	All estab- lishments ¹	Response coverage ² (percent)
523120		Securities brokerage—Con.						
E0010	57810	Other products supporting financial services - fees	10 157	37 922 932	4 226 841	11.1	4.0	X
52313		Commodity contracts dealing	1 085	Х	3 904 504	Х	100.0	82.2
	55010	Loan income: Loans to financial businesses	6	28 269	2 304	8.2	.1	82.0
	55011 55030	Interest income	6 12	28 269 76 997	2 304 13 510	8.2 17.5	.1 .3	82.2
	55031	Interest income Securities origination products:	12	76 997	13 510	17.5	.3	Х
	55310	Public offering products - equity securities	6	8 629	3 436	39.8	.1	х
	55410	Brokering and dealing products - debt instruments: Negotiable certificates of deposit	6	30 572	1 718	5.6	Z	82.2
	55413 55450	Interest income from trading accounts	6 6	30 572 45 448	890 1 796	2.9 4.0	Z Z Z .1 Z Z Z Z	X 82.2
	55451 55460	Fees and commissions	6 15	45 448 49 665	700 2 772	1.5 5.6	.1	X 82.2
	55462 55463	Net gains (losses) in trading accounts, excluding interest income Interest income from trading accounts	6 12	28 188 48 972	725 1 772	2.6 3.6	Z	X X
	55470 55471	Corporate and trust notes and bonds	6	28 112 28 112	1 406	5.0	Ž	82.2
	55480	Fees and commissions	6 9	31 314	862 4 646	3.1 14.8	.1	X 82.2
	55482 55483	Net gains (losses) in trading accounts, excluding interest income Interest income from trading accounts	9 6	31 314 29 657	4 009 345	12.8 1.2	.1 Z	X X
	55490 55493	State provincial and local governments notes and bonds	6 6	27 878 27 878	1 679 583	6.0 2.1	.1 Z Z Z	82.2 X
	55610 55611	Brokering and dealing products, equities	95 80	449 447 438 854	192 414 103 200	42.8 23.5	4.9 2.6	82.2
	55612 55613	Net gains (losses) in trading accounts, excluding interest income Interest income from trading accounts	28 18	133 027 130 861	87 292 1 922	65.6 1.5	2.2 Z	X X X
		Brokering and dealing products - derivative contracts:						
	55710 55711	Futures contracts, exchange-traded	405 284	1 094 432 888 099	717 062 524 043	65.5 59.0	18.4 13.4	79.9 X
	55712 55713	Net gains (losses) in trading accounts, excluding interest income Interest income from trading accounts	153 112	291 962 698 926	135 740 52 016	46.5 7.4	3.5 1.3	X X X X
	55714 55720	Margin interest	22 169	55 991 627 493	5 263 123 695	9.4 19.7	.1 3.2	X
	55721	Fees and commissions	105	533 482	91 972	17.2	2.4	80.3 X X X
	55722 55723	Net gains (losses) in trading accounts, excluding interest income Interest income from trading accounts	71 51	106 429 311 757	22 859 8 138	21.5 2.6	.6 .2	X
	55724 55730	Margin interest	17 40	17 482 301 584	726 29 674	4.2 9.8	.2 Z .8	X 81.9
	55731 55732	Fees and commissions	37 23	296 928 59 898	26 567 2 307	8.9 3.9	.7 .1	81.9 X X
	55740 55741	Swaps, traded over-the-counter Fees and commissions	18 9	268 630 236 953	9 254 2 817	3.4 1.2	.2 .1	82.2 X X
	55742	Net gains (losses) in trading accounts, excluding interest income Option contacts traded over-the-counter	9	31 677	6 437 25 067	20.3	.2 .6	X
	55750 55751	Fees and commissions	21 12	67 470 34 211	4 454	37.2 13.0	.1	82.2 X X
	55752 55760	Net gains (losses) in trading accounts, excluding interest income Other derivative contracts, traded over-the-counter	12 21	60 768 44 707	20 063 3 983	33.0 8.9	.5 .1	76.0
	55761 55763	Fees and commissions	17 8	35 269 39 205	2 874 631	8.1 1.6	.1 Z	76.0 X X X
	55810 56010	Brokering and dealing foreign currency fees - wholesale	129	353 514	225 563	63.8	5.8	Х
	56110	Brokering and dealing other financial instruments, including commodity pools and face-amount certificates Brokerage correspondent products - fees	49 6	701 483 9 839	674 347 2 108	96.1 21.4	17.3 .1	X X
	56210	Securities lending fees, including securities borrowed from a broker's inventory and margin accounts	6	241 884	2 967	1.2	.1	X
	56310	Repurchase agreements - net gains (losses)	12	339 223	22 021	6.5	.6	Х
	56410	Financing related to securities, excluding securities lending and repurchase agreements - net	6	28 542	976	3.4	Z	Х
	56510 56610	Trading debt instruments on own account - net gains (losses)	18 37	245 945 48 103	8 902 3 944	3.6 8.2	.2 .1	X X
	56710 56810	Trading derivatives contracts on own account - net gain (losses) Trading foreign currency on own account - net gain (losses)	67 104	990 416 347 657	825 140 238 565	83.3 68.6	21.1 6.1	X X X X
	56910	Trading other securities and commodity contracts on own account - net	138	446 402	263 788	59.1	6.8	
	57410	gain (losses)	6	351	117	33.3	Ž	X X
	57510	Management of financial market and clearing products: Payment clearing and settlement fees	31	237 433	157 117	66.2	4.0	х
	57520	Security and commodity contract trade execution, clearing and settlement fees	49	430 550	246 179	57.2	6.3	х
	57710	Financial planning and investment management products: Financial planning and investment management services for						
	57720	businesses and government Financial planning and investment management services for	31	47 244	11 050	23.4	.3	Х
	57810	individuals	28 92	58 529	26 629 59 778	45.5	.7 1.5	X X
523130	3/010	Commodity contracts dealing	1 085	1 250 222 X	3 904 504	4.8 X	1.5 100.0	82.2
300			. 550	^	2 30 . 004			52.2
	55010	Loan income: Loans to financial businesses	6	28 269	2 304	8.2	.1	82.0
	55011 55030	Interest income	6 12	28 269 76 997	2 304 13 510	8.2 17.5	.1 .3	X 82.2 X
	55031	Interest income	12	76 997	13 510	17.5	.3	X

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			Establishments lir	with the product	Proc	luct line revenue	e	
2002	_2002					As percent of of		
NAICS code	Product line code	Kind of business and product line	Number	Total revenue (\$1,000)	Amount ¹ (\$1,000)	Estab- lishments with the product line	All estab- lishments ¹	Response coverage ² (percent)
523130		Commodity contracts dealing—Con.						
	55310	Securities origination products: Public offering products - equity securities	6	8 629	3 436	39.8	.1	х
	55410 55413 55450 55451 55460 55462 55463 55470 55471 55480 55482	Brokering and dealing products - debt instruments: Negotiable certificates of deposit Interest income from trading accounts Treasury bills Fees and commissions Other money market instruments Net gains (losses) in trading accounts, excluding interest income Interest income from trading accounts. Corporate and trust notes and bonds Fees and commissions National government notes and bonds Net gains (losses) in trading accounts, excluding interest income	6 6 6 6 15 6 12 6 9 9	30 572 30 572 45 448 45 448 49 665 28 188 48 972 28 112 28 112 21 31 314	1 718 890 1 796 700 2 772 725 1 772 1 406 862 4 646 4 009	5.6 2.9 4.0 1.5 5.6 2.6 3.6 5.0 3.1.8 12.8	N N N N N N N N N N N N N N N N N N N	82.2 X 82.2 X 82.2 X X 82.2 X 82.2 X
	55483 55490	Interest income from trading accounts	9 6 6	29 657 27 878 27 878	345 1 679	1.2 6.0	.1 Z Z Z 4.9	82.2
	55493 55610 55611 55612 55613	Interest income from trading accounts Brokering and dealing products, equities Fees and commissions Net gains (losses) in trading accounts, excluding interest income Interest income from trading accounts	6 95 80 28 18	449 447 438 854 133 027 130 861	583 192 414 103 200 87 292 1 922	2.1 42.8 23.5 65.6 1.5	4.9 2.6 2.2 Z	82.2 X X X X
	55710 55711 55711 55712 55713 55714 55720 55721 55722 55723 55724 55730 55731 55732 55740 55742 55750 55762 55760 55761 55762 55763 55763 55761 55763 55763 55761 55763 55761 55763 55761 55763 55761 55763 55761 55763 55761 55763 55761 55763 55761 55763 55761 55763 55761 55763 55761 55763 55761 55763 55761 55763	Brokering and dealing products - derivative contracts: Futures contracts, exchange-traded. Fees and commissions. Net gains (losses) in trading accounts, excluding interest income Interest income from trading accounts. Margin interest. Option contracts, exchange-traded. Fees and commissions. Net gains (losses) in trading accounts, excluding interest income Interest income from trading accounts. Margin interest. Forward contracts, traded over-the-counter Fees and commissions. Net gains (losses) in trading accounts, excluding interest income Swaps, traded over-the-counter Fees and commissions. Net gains (losses) in trading accounts, excluding interest income Option contacts traded over-the-counter Fees and commissions. Net gains (losses) in trading accounts, excluding interest income Option contacts traded over-the-counter Fees and commissions. Net gains (losses) in trading accounts, excluding interest income Other derivative contracts, traded over-the-counter Fees and commissions. Interest income from trading accounts. Brokering and dealing foreign currency fees - wholesale Brokering and dealing ofter financial instruments, including commodity pools and face-amount certificates. Brokering and dealing ofter financial instruments, including commodity pools and face-amount certificates. Brokering and dealing foreign currency fees - wholesale Brokering and dealing ofter financial instruments, including commodity pools and face-amount certificates. Brokering and dealing foreign currency fees - wholesale Brokering and dealing ofter financial instruments, including commodity pools and face-amount certificates. Brokering and gealements - net gains (losses)	405 284 153 112 22 169 105 71 17 40 37 23 18 9 9 21 12 21 17 8 8 129 49 6 6	1 094 432 888 099 291 962 698 926 55 991 627 493 533 482 106 429 311 757 17 482 301 584 296 928 59 898 268 630 236 953 31 677 67 470 34 211 60 768 44 707 35 269 39 205 353 514 701 483 9 839 241 884 339 223	717 062 524 043 135 740 52 016 5 263 123 695 91 972 22 859 8 138 726 29 674 26 567 2 307 9 254 2 2 107 6 437 25 067 4 454 20 063 3 983 2 874 631 225 563 674 347 2 108 2 967 2 921	65.5 59.0 46.5 7.4 9.4 19.7 17.2 21.5 2.6 4.2 9.8 8.9 3.9 3.4 1.2 20.3 37.2 20.3 37.2 21.3 6.3 8.1 1.6 63.8 96.1 1.2 6.5	18.4 13.4 3.5 1.3 1.2 2.4 6.2 2.7 1.1 2.6 6.1 5.5 17.3 1.6 2.4 2.4 5.8 7.1 2.6 1.5 5.8 17.3 1.6	79.9 X X X X 80.3 X X X X 81.9 X 82.2 X X 76.0 X X X X X X X X X X X X X X X
	56610 56710 56810	Trading equities on own account - net gain (losses) Trading derivatives contracts on own account - net gain (losses) Trading foreign currency on own account - net gain (losses)	37 67 104	48 103 990 416 347 657	3 944 825 140 238 565	8.2 83.3 68.6	.1 21.1 6.1	X X X
	56910 57410	Trading other securities and commodity contracts on own account - net gain (losses)	138	446 402 351	263 788 117	59.1 33.3	6.8 Z	×
	57510 57520	Management of financial market and clearing products: Payment clearing and settlement fees Security and commodity contract trade execution, clearing and settlement fees	31 49	237 433 430 550	157 117 246 179	66.2 57.2	4.0 6.3	x x
	57710 57720	Financial planning and investment management products: Financial planning and investment management services for businesses and government Financial planning and investment management services for	31	47 244	11 050	23.4	.3	х
	57720	individuals	28 92	58 529 1 250 222	26 629 59 778	45.5 4.8	.7 1.5	X
52314		Commodity contracts brokerage	1 411	x	2 880 603	X	100.0	68.9
	55030	Loan income: Loans to nonfinancial businesses - unsecured	7	4 407	4 350	98.7	.2	х
	55310 55330	Public offering products - equity securities	11 9	6 453 4 379	6 366 778	98.7 17.8	.2 Z	X X
	55480 55481 55490 55491 55610 55611 55612 55613	Brokering and dealing products - debt instruments: National government notes and bonds Fees and commissions. State provincial and local governments notes and bonds Fees and commissions. Brokering and dealing products, equities. Fees and commissions. Net gains (losses) in trading accounts, excluding interest income Interest income from trading accounts.	14 11 7 7 185 180 19	14 777 14 708 59 830 59 830 620 0616 925 17 020 519 447	2 103 2 038 3 716 3 716 116 807 104 923 2 382 5 548	14.2 13.9 6.2 6.2 18.8 17.0 14.0	.1 .1 .1 .1 4.1 3.6 .1	60.4 X 66.2 X 68.7 X X

[These data are preliminary and are subject to change; they will be superseded by data released in later reports. Includes only establishments of firms with payroll. For meaning of abbreviations and symbols, see introductory text. For explanation of terms, see Appendix A. Data based on the 2002 Economic Census. For information on confidentiality protection, sampling error, and definitions, see note at end of table]

			Establishments lir	with the product	Proc	luct line revenue	e	
2002	2002	Vind of husiness and ward ust line				As percent of of-		
NAICS code	Product line code	Kind of business and product line	Number	Total revenue (\$1,000)	Amount ¹ (\$1,000)	Estab- lishments with the product line	All estab- lishments ¹	Response coverage ² (percent)
52314		Commodity contracts brokerage — Con.						
	55710 55711 55712 55713 55714 55720 55721 55722 55723 55730 55731 55741 55741 55750 55751 55761 55810 56010 56110 56510 56910 57510 57520	Brokering and dealing products - derivative contracts: Futures contracts, exchange-traded. Fees and commissions . Net gains (losses) in trading accounts, excluding interest income . Interest income from trading accounts. Margin interest . Option contracts, exchange-traded. Fees and commissions . Net gains (losses) in trading accounts, excluding interest income . Interest income from trading accounts, excluding interest income . Interest income from trading accounts. Forward contracts, traded over-the-counter . Fees and commissions . Swaps, traded over-the-counter . Fees and commissions . Option contacts traded over-the-counter . Fees and commissions . Other derivative contracts, traded over-the-counter . Fees and commissions . Brokering and dealing foreign currency fees - wholesale . Brokering and dealing investment company securities, including mutual funds, close-end funds, and unit investment trusts . Brokering and dealing other financial instruments, including commodity pools and face-amount certificates . Brokerage correspondent products - fees . Trading debt instruments on own account - net gains (losses) . Trading other securities and commodity contracts on own account - net gain (losses) . Management of financial market and clearing products: Payment clearing and settlement fees . Security and commodity contract trade execution, clearing and settlement fees .	971 945 80 112 23 424 413 14 20 27 27 14 14 14 19 9 9 7 7 23 7 7 23 41	2 035 002 2 021 245 155 861 1 106 553 199 125 1 182 833 1 176 174 6 778 905 127 51 966 76 768 74 031 68 328 68 328 70 546 2 650 40 501 979 3 514 436 699 772 895 8 527	1 455 121 1 340 108 12 078 86 172 16 763 342 644 329 835 1 542 11 205 40 847 40 840 8 699 8 697 55 307 749 749 749 5 099 346 39 666 979 979 979 979 979 112 112 440 612	71.5 66.3 7.7 7.8 8.4 29.0 22.8 1.2 78.6 78.6 11.3 74.7 74.7 74.7 1.1 1.1 7.2 13.1 97.9 100.0 26.2 25.7 57.0	50.5 46.5 46.5 .4 3.0 6. 11.9 11.5 1.4 1.4 1.4 2.2 2.2 2.2 2.2 2.3.9 15.3	53.0 X X X X 39.5 X 66.8 X 68.2 X 68.5 X X X X X X X X X X X X X
	57710	Financial planning and investment management products: Financial planning and investment management services for	00	104 004	03 032	00.5	5.0	^
	57720	businesses and government	11	1 440	807	56.0	Z	X
	57810	individuals Other products supporting financial services - fees	29 77	466 571 641 798	134 581 13 942	28.8 2.2	4.7 .5	X
523140		Commodity contracts brokerage	1 411	X	2 880 603	x	100.0	68.9
	55030	Loan income: Loans to nonfinancial businesses - unsecured	7	4 407	4 350	98.7	.2	х
	55310 55330	Securities origination products: Public offering products - equity securities Private placement - equity securities	11 9	6 453 4 379	6 366 778	98.7 17.8	.2 Z	X
	55480 55481 55490 55491 55610 55611 55612 55613	Brokering and dealing products - debt instruments: National government notes and bonds Fees and commissions. State provincial and local governments notes and bonds Fees and commissions. Brokering and dealing products, equities. Fees and commissions. Net gains (losses) in trading accounts, excluding interest income Interest income from trading accounts	14 11 7 7 185 180 19	14 777 14 708 59 830 59 830 620 050 616 925 17 020 519 447	2 103 2 038 3 716 3 716 116 807 104 923 2 382 5 548	14.2 13.9 6.2 6.2 18.8 17.0 14.0	.1 .1 .1 .1 4.1 3.6 .1	60.4 X 66.2 X 68.7 X X
	55710 55711 55712 55713 55714 55720 55721 55722 55723 55730 55731 55740 55741 55750 55761 55760 55761 55810 55910 56010	Brokering and dealing products - derivative contracts: Futures contracts, exchange-traded Fees and commissions. Net gains (losses) in trading accounts, excluding interest income Interest income from trading accounts. Margin interest. Option contracts, exchange-traded. Fees and commissions. Net gains (losses) in trading accounts, excluding interest income Interest income from trading accounts, excluding interest income Interest income from trading accounts. Forward contracts, traded over-the-counter Fees and commissions. Swaps, traded over-the-counter Fees and commissions. Option contacts traded over-the-counter Fees and commissions. Other derivative contracts, traded over-the-counter Fees and commissions. Brokering and dealing foreign currency fees - wholesale Brokering and dealing investment company securities, including mutual funds, close-end funds, and unit investment trusts Brokering and dealing other financial instruments, including commodity pools and face-amount certificates Brokerage correspondent products - fees Trading debt instruments on own account - net gain (losses) Trading derivatives contracts on own account - net gain (losses) Trading derivatives contracts on own account - net gain (losses)	971 945 80 112 23 424 413 14 200 27 27 14 14 14 19 9 9 7 7	2 035 002 2 021 245 155 861 1 106 553 199 125 1 182 833 1 176 174 6 778 905 127 51 966 76 768 76 768 74 031 74 031 68 328 68 328 70 546 2 650 40 501 93 514 436 699 772 895	1 455 121 1 340 108 86 172 16 763 342 644 329 835 1 542 11 205 40 847 40 840 8 699 55 307 749 749 5 099 346 39 666 979 922 112 112	71.5 66.3 7.7 7.8 8.4 29.0 22.8 1.2 78.6 78.6 11.3 74.7 74.7 1.1 1.1 7.2 13.1 97.9 100.0 26.2 25.7	50.5 46.5 46.5 .4 3.0 6 11.9 11.5 1.4 1.4 1.4 2.2 2.2 2.2 2.3.9	53.0 X X X X 39.5 X 66.9 X 68.2 68.5 X X X X X X X X X X X X X
	57510 57520	Payment clearing and settlement fees	16 88	8 527 134 034	4 090 85 092	48.0 63.5	.1 3.0	x x

[These data are preliminary and are subject to change; they will be superseded by data released in later reports. Includes only establishments of firms with payroll. For meaning of abbreviations and symbols, see introductory text. For explanation of terms, see Appendix A. Data based on the 2002 Economic Census. For information on confidentiality protection, sampling error, nonsampling error, and definitions, see note at end of table]

2002 NAICS code	2002 Product line code	Kind of business and product line	Establishments with the product line		Product line revenue			
						As percent of of		
			Number	Total revenue (\$1,000)	Amount ¹ (\$1,000)	Estab- lishments with the product line	All estab- lishments ¹	Response coverage ² (percent)
523140	57710	Commodity contracts brokerage—Con. Financial planning and investment management products: Financial planning and investment management services for						
	57720 57810	businesses and government Financial planning and investment management services for individuals Other products supporting financial services - fees	11 29 77	1 440 466 571 641 798	807 134 581 13 942	56.0 28.8 2.2	Z 4.7 .5	X X X

¹Product line revenue and/or product line percents may not sum to totals due to exclusion of selected lines to avoid disclosing data for individual companies, due to rounding, and/or due to exclusion of lines that did not meet publication criteria.

²Revenue of establishments reporting product line revenue as percent of total revenue.

Note: The data in this table are based on the 2002 Economic Census. To maintain confidentiality, the Census Bureau suppresses data to protect the identity of any business or individual. The census results in this table contain nonsampling error. Data users who create their own estimates using data from this table should cite the Census Bureau as the source of the original data only. See also explanation of terms and geographic definitions. For the full technical documentation, see Appendix C.

Table 4. Concentration by Largest Firms for the United States: 2002

These data are preliminary and are subject to change; they will be superseded by data released in later reports. Includes only firms and establishments of firms with payroll. Excludes data for establishments of these firms that are classified in other categories than those specified in this table. For meaning of abbreviations and symbols, see introductory text. For explanation of terms, see Appendix A. For method of assignment to categories shown, see Appendix C. Data based on the 2002 Economic Census. For information on confidentiality protection, sampling error, and definitions, see note at end of table]

2002 NAICS code	Kind of business and largest firms based on revenue		Revenue		Annual	First-quarter	Paid employees for pay period including
		Establishments (number)	Amount (\$1,000)	As percent of total	payroll (\$1,000)	payroll (\$1,000)	March 12 (number)
5231	Securities and commodity contracts intermediation and brokerage						
	All firms 4 largest firms 8 largest firms 20 largest firms 50 largest firms	35 789 1 911 2 070 14 090 16 702	215 607 852 70 593 556 101 570 499 145 490 188 172 146 719	100.0 32.7 47.1 67.5 79.8	69 793 962 21 769 932 32 817 427 47 040 866 53 982 163	26 164 194 7 717 232 14 431 099 19 562 365 21 688 224	525 863 118 058 148 065 312 871 368 153
52311	Investment banking and securities dealing						
	All firms 4 largest firms 8 largest firms 20 largest firms 50 largest firms	5 814 50 168 1 085 1 357	104 011 148 40 674 115 66 539 621 85 326 235 93 820 359	100.0 39.1 64.0 82.0 90.2	31 486 454 11 177 137 20 119 090 24 362 878 27 056 621	13 876 750 3 911 052 9 952 322 11 738 807 12 705 976	142 636 38 165 60 452 90 626 106 599
523110	Investment banking and securities dealing						
	All firms 4 largest firms 8 largest firms 20 largest firms 50 largest firms	5 814 50 168 1 085 1 357	104 011 148 40 674 115 66 539 621 85 326 235 93 820 359	100.0 39.1 64.0 82.0 90.2	31 486 454 11 177 137 20 119 090 24 362 878 27 056 621	13 876 750 3 911 052 9 952 322 11 738 807 12 705 976	142 636 38 165 60 452 90 626 106 599
52312	Securities brokerage						
	All firms 4 largest firms 8 largest firms 20 largest firms 50 largest firms	27 479 2 168 2 706 13 936 17 051	104 811 597 38 982 599 52 252 882 71 514 648 86 525 972	100.0 37.2 49.9 68.2 82.6	36 427 781 13 935 601 18 115 826 24 824 445 29 568 296	11 668 478 4 835 724 6 141 563 8 251 361 9 792 441	361 209 100 039 137 673 239 225 278 312
523120	Securities brokerage						
	All firms 4 largest firms 8 largest firms 20 largest firms 50 largest firms	27 479 2 168 2 706 13 936 17 051	104 811 597 38 982 599 52 252 882 71 514 648 86 525 972	100.0 37.2 49.9 68.2 82.6	36 427 781 13 935 601 18 115 826 24 824 445 29 568 296	11 668 478 4 835 724 6 141 563 8 251 361 9 792 441	361 209 100 039 137 673 239 225 278 312
52313	Commodity contracts dealing						
	All firms 4 largest firms 8 largest firms 20 largest firms 50 largest firms	1 085 10 20 37 79	3 904 504 1 368 516 1 882 066 2 557 856 3 133 664	100.0 35.0 48.2 65.5 80.3	834 829 172 041 274 533 413 490 586 479	284 617 101 901 121 580 176 862 225 466	9 662 720 1 539 4 042 5 488
523130	Commodity contracts dealing						
	All firms 4 largest firms 8 largest firms 20 largest firms 50 largest firms	1 085 10 20 37 79	3 904 504 1 368 516 1 882 066 2 557 856 3 133 664	100.0 35.0 48.2 65.5 80.3	834 829 172 041 274 533 413 490 586 479	284 617 101 901 121 580 176 862 225 466	9 662 720 1 539 4 042 5 488
52314	Commodity contracts brokerage						
	All firms 4 largest firms 8 largest firms 20 largest firms 50 largest firms	1 411 55 94 114 168	2 880 603 1 053 101 1 461 883 1 782 000 2 055 498	100.0 36.6 50.7 61.9 71.4	1 044 898 451 138 510 940 633 835 745 083	334 349 187 123 203 664 236 861 262 262	12 356 4 211 5 172 6 329 7 532
523140	Commodity contracts brokerage						
	All firms 4 largest firms 8 largest firms 20 largest firms 50 largest firms	1 411 55 94 114 168	2 880 603 1 053 101 1 461 883 1 782 000 2 055 498	100.0 36.6 50.7 61.9 71.4	1 044 898 451 138 510 940 633 835 745 083	334 349 187 123 203 664 236 861 262 262	12 356 4 211 5 172 6 329 7 532

Note: The data in this table are based on the 2002 Economic Census. To maintain confidentiality, the Census Bureau suppresses data to protect the identity of any business or individual. The census results in this table contain nonsampling error. Data users who create their own estimates using data from this table should cite the Census Bureau as the source of the original data only. See also explanation of terms and geographic definitions. For the full technical documentation, see Appendix C.