

(Revised May 19, 2006)

SUBCHAPTER A—GENERAL

PART 201—FEDERAL ACQUISITION REGULATIONS SYSTEM

SUBPART 201.1—PURPOSE, AUTHORITY, ISSUANCE

SUBPART 201.2—ADMINISTRATION

SUBPART 201.3—AGENCY ACQUISITION REGULATIONS

SUBPART 201.4—DEVIATIONS FROM THE FAR

SUBPART 201.6—CONTRACTING AUTHORITY AND RESPONSIBILITIES

PART 202—DEFINITIONS OF WORDS AND TERMS

SUBPART 202.1—DEFINITIONS

**PART 203—IMPROPER BUSINESS PRACTICES AND PERSONAL
CONFLICTS OF INTEREST**

SUBPART 203.0

SUBPART 203.1—SAFEGUARDS

SUBPART 203.5—OTHER IMPROPER BUSINESS PRACTICES

SUBPART 203.7—VOIDING AND RESCINDING CONTRACTS

SUBPART 203.70—CONTRACTOR STANDARDS OF CONDUCT

PART 204—ADMINISTRATIVE MATTERS

SUBPART 204.1—CONTRACT EXECUTION

SUBPART 204.2—CONTRACT DISTRIBUTION

**SUBPART 204.4—SAFEGUARDING CLASSIFIED INFORMATION WITHIN
INDUSTRY**

SUBPART 204.6—CONTRACT REPORTING

SUBPART 204.8—CONTRACT FILES

SUBPART 204.9—TAXPAYER IDENTIFICATION NUMBER INFORMATION

SUBPART 204.11—CENTRAL CONTRACTOR REGISTRATION

**SUBPART 204.70—UNIFORM PROCUREMENT INSTRUMENT
IDENTIFICATION NUMBERS**

SUBPART 204.71—UNIFORM CONTRACT LINE ITEM NUMBERING SYSTEM

SUBPART 204.72—CONTRACTOR IDENTIFICATION

SUBCHAPTER B—COMPETITION AND ACQUISITION PLANNING

PART 205—PUBLICIZING CONTRACT ACTIONS

SUBPART 205.2—SYNOPSES OF PROPOSED CONTRACT ACTIONS

SUBPART 205.3—SYNOPSES OF CONTRACT AWARDS

SUBPART 205.4—RELEASE OF INFORMATION

SUBPART 205.5—PAID ADVERTISEMENTS

PART 206—COMPETITION REQUIREMENTS

SUBPART 206.0

SUBPART 206.2—FULL AND OPEN COMPETITION AFTER EXCLUSION OF SOURCES

SUBPART 206.3—OTHER THAN FULL AND OPEN COMPETITION

PART 207—ACQUISITION PLANNING

SUBPART 207.1—ACQUISITION PLANS

SUBPART 207.4—EQUIPMENT LEASE OR PURCHASE

SUBPART 207.5—INHERENTLY GOVERNMENTAL FUNCTIONS

SUBPART 207.70—BUY-TO-BUDGET – ADDITIONAL QUANTITIES OF END ITEMS

PART 208—REQUIRED SOURCES OF SUPPLIES AND SERVICES

SUBPART 208.0

SUBPART 208.4—FEDERAL SUPPLY SCHEDULES

SUBPART 208.7—ACQUISITION FROM THE BLIND AND OTHER SEVERELY HANDICAPPED

SUBPART 208.70—COORDINATED ACQUISITION

SUBPART 208.71—ACQUISITION FOR NATIONAL AERONAUTICS AND SPACE ADMINISTRATION (NASA)

SUBPART 208.72—INDUSTRIAL PREPAREDNESS PRODUCTION PLANNING

SUBPART 208.73—USE OF GOVERNMENT-OWNED PRECIOUS METALS

SUBPART 208.74—ENTERPRISE SOFTWARE AGREEMENTS

PART 209—CONTRACTOR QUALIFICATIONS

SUBPART 209.1—RESPONSIBLE PROSPECTIVE CONTRACTORS

SUBPART 209.2—QUALIFICATIONS REQUIREMENTS

SUBPART 209.4—DEBARMENT, SUSPENSION, AND INELIGIBILITY

PART 210—MARKET RESEARCH

SUBPART 210.0

PART 211—DESCRIBING AGENCY NEEDS

SUBPART 211.0

SUBPART 211.1—SELECTING AND DEVELOPING REQUIREMENTS DOCUMENTS

SUBPART 211.2—USING AND MAINTAINING REQUIREMENTS DOCUMENTS

SUBPART 211.5—LIQUIDATED DAMAGES

SUBPART 211.6—PRIORITIES AND ALLOCATIONS

PART 212—ACQUISITION OF COMMERCIAL ITEMS

SUBPART 212.1—ACQUISITION OF COMMERCIAL ITEMS - GENERAL

SUBPART 212.2—SPECIAL REQUIREMENTS FOR THE ACQUISITION OF COMMERCIAL ITEMS

SUBPART 212.3—SOLICITATION PROVISIONS AND CONTRACT CLAUSES FOR THE ACQUISITION OF COMMERCIAL ITEMS

SUBPART 212.5—APPLICABILITY OF CERTAIN LAWS TO THE ACQUISITION OF COMMERCIAL ITEMS

SUBPART 212.6—STREAMLINED PROCEDURES FOR EVALUATION AND SOLICITATION FOR COMMERCIAL ITEMS

SUBPART 212.70—PILOT PROGRAM FOR TRANSITION TO FOLLOW-ON CONTRACTING AFTER USE OF OTHER TRANSACTION AUTHORITY

SUBCHAPTER C—CONTRACTING METHODS AND CONTRACT TYPES

PART 213—SIMPLIFIED ACQUISITION PROCEDURES

SUBPART 213.1—PROCEDURES

SUBPART 213.2—ACTIONS AT OR BELOW THE MICRO-PURCHASE THRESHOLD

SUBPART 213.3—SIMPLIFIED ACQUISITION METHODS

SUBPART 213.4—FAST PAYMENT PROCEDURE

SUBPART 213.70—SIMPLIFIED ACQUISITION PROCEDURES UNDER THE 8(A) PROGRAM

PART 214—SEALED BIDDING

SUBPART 214.2—SOLICITATION OF BIDS

SUBPART 214.4—OPENING OF BIDS AND AWARD OF CONTRACT

PART 215—CONTRACTING BY NEGOTIATION

SUBPART 215.3—SOURCE SELECTION

SUBPART 215.4—CONTRACT PRICING

PART 216—TYPES OF CONTRACTS

SUBPART 216.1—SELECTING CONTRACT TYPES

SUBPART 216.2—FIXED-PRICE CONTRACTS

SUBPART 216.3—COST-REIMBURSEMENT CONTRACTS

SUBPART 216.4—INCENTIVE CONTRACTS

SUBPART 216.5—INDEFINITE-DELIVERY CONTRACTS

SUBPART 216.6—TIME-AND-MATERIALS, LABOR-HOUR, AND LETTER CONTRACTS

SUBPART 216.7—AGREEMENTS

PART 217—SPECIAL CONTRACTING METHODS

SUBPART 217.1—MULTIYEAR CONTRACTING

SUBPART 217.2—OPTIONS

Defense Federal Acquisition Regulation Supplement

Table of Contents

SUBPART 217.5—INTERAGENCY ACQUISITIONS UNDER THE ECONOMY ACT

SUBPART 217.6—MANAGEMENT AND OPERATING CONTRACTS

SUBPART 217.70—EXCHANGE OF PERSONAL PROPERTY

SUBPART 217.71—MASTER AGREEMENT FOR REPAIR AND ALTERATION OF VESSELS

SUBPART 217.72—RESERVED

SUBPART 217.73—IDENTIFICATION OF SOURCES OF SUPPLY

SUBPART 217.74—UNDEFINITIZED CONTRACT ACTIONS

SUBPART 217.75—ACQUISITION OF REPLENISHMENT PARTS

SUBPART 217.76—CONTRACTS WITH PROVISIONING REQUIREMENTS

SUBPART 217.77—OVER AND ABOVE WORK

SUBPART 217.78—CONTRACTS OR DELIVERY ORDERS ISSUED BY A NON-DOD AGENCY

PART 218—RESERVED

SUBCHAPTER D—SOCIOECONOMIC PROGRAMS

PART 219—SMALL BUSINESS PROGRAMS

SUBPART 219.0

SUBPART 219.2—POLICIES

SUBPART 219.4—COOPERATION WITH THE SMALL BUSINESS ADMINISTRATION

SUBPART 219.5—SET-ASIDES FOR SMALL BUSINESS

SUBPART 219.6—CERTIFICATES OF COMPETENCY

SUBPART 219.7—THE SMALL BUSINESS SUBCONTRACTING PROGRAM

SUBPART 219.8—CONTRACTING WITH THE SMALL BUSINESS ADMINISTRATION (THE 8(A) PROGRAM)

SUBPART 219.10—SMALL BUSINESS COMPETITIVENESS DEMONSTRATION PROGRAM

Defense Federal Acquisition Regulation Supplement

Table of Contents

**SUBPART 219.11—PRICE EVALUATION ADJUSTMENT FOR SMALL
DISADVANTAGED BUSINESS CONCERNS**

SUBPART 219.12—SMALL DISADVANTAGED BUSINESS PARTICIPATION

SUBPART 219.70—RESERVED

SUBPART 219.71—PILOT MENTOR-PROTEGE PROGRAM

PART 220—RESERVED

PART 221—RESERVED

**PART 222—APPLICATION OF LABOR LAWS TO GOVERNMENT
ACQUISITIONS**

SUBPART 222.0

SUBPART 222.1—BASIC LABOR POLICIES

SUBPART 222.3—CONTRACT WORK HOURS AND SAFETY STANDARDS ACT

**SUBPART 222.4—LABOR STANDARDS FOR CONTRACTS INVOLVING
CONSTRUCTION**

SUBPART 222.6—WALSH-HEALEY PUBLIC CONTRACTS ACT

SUBPART 222.8—EQUAL EMPLOYMENT OPPORTUNITY

SUBPART 222.10—SERVICE CONTRACT ACT OF 1965, AS AMENDED

**SUBPART 222.13—SPECIAL DISABLED VETERANS, VETERANS OF THE
VIETNAM ERA, AND OTHER ELIGIBLE VETERANS**

SUBPART 222.14—EMPLOYMENT OF THE HANDICAPPED

**SUBPART 222.70—RESTRICTIONS ON THE EMPLOYMENT OF PERSONNEL
FOR WORK ON CONSTRUCTION AND SERVICE CONTRACTS IN
NONCONTIGUOUS STATES**

SUBPART 222.71—RIGHT OF FIRST REFUSAL OF EMPLOYMENT

**SUBPART 222.72—COMPLIANCE WITH LABOR LAWS OF FOREIGN
GOVERNMENTS**

**SUBPART 222.73—LIMITATIONS APPLICABLE TO CONTRACTS
PERFORMED ON GUAM**

**PART 223—ENVIRONMENT, ENERGY AND WATER EFFICIENCY,
RENEWABLE ENERGY TECHNOLOGIES, OCCUPATIONAL SAFETY, AND
DRUG-FREE WORKPLACE**

SUBPART 223.3—HAZARDOUS MATERIAL IDENTIFICATION AND MATERIAL

SAFETY DATA

SUBPART 223.4—USE OF RECOVERED MATERIAL

SUBPART 223.5—DRUG-FREE WORKPLACE

SUBPART 223.8—OZONE-DEPLETING SUBSTANCES

SUBPART 223.70—RESERVED

SUBPART 223.71—STORAGE AND DISPOSAL OF TOXIC AND HAZARDOUS MATERIALS

SUBPART 223.72—SAFEGUARDING SENSITIVE CONVENTIONAL ARMS, AMMUNITION, AND EXPLOSIVES

PART 224—PROTECTION OF PRIVACY AND FREEDOM OF INFORMATION

SUBPART 224.1—PROTECTION OF INDIVIDUAL PRIVACY

SUBPART 224.2—FREEDOM OF INFORMATION ACT

PART 225—FOREIGN ACQUISITION

SUBPART 225.0

SUBPART 225.1—BUY AMERICAN ACT—SUPPLIES

SUBPART 225.2—BUY AMERICAN ACT—CONSTRUCTION MATERIALS

SUBPART 225.4—TRADE AGREEMENTS

SUBPART 225.5—EVALUATING FOREIGN OFFERS—SUPPLY CONTRACTS

SUBPART 225.6—TRADE SANCTIONS

SUBPART 225.7—PROHIBITED SOURCES

SUBPART 225.8—OTHER INTERNATIONAL AGREEMENTS AND COORDINATION

SUBPART 225.9—CUSTOMS AND DUTIES

SUBPART 225.10—ADDITIONAL FOREIGN ACQUISITION REGULATIONS

SUBPART 225.11—SOLICITATION PROVISIONS AND CONTRACT CLAUSES

SUBPART 225.70—AUTHORIZATION ACTS, APPROPRIATIONS ACTS, AND OTHER STATUTORY RESTRICTIONS ON FOREIGN ACQUISITION

SUBPART 225.71—OTHER RESTRICTIONS ON FOREIGN ACQUISITION

Defense Federal Acquisition Regulation Supplement

Table of Contents

**SUBPART 225.72—REPORTING CONTRACT PERFORMANCE OUTSIDE
THE UNITED STATES**

SUBPART 225.73—ACQUISITIONS FOR FOREIGN MILITARY SALES

**SUBPART 225.74—DEFENSE CONTRACTORS OUTSIDE THE UNITED
STATES**

SUBPART 225.75—BALANCE OF PAYMENTS PROGRAM

PART 226—OTHER SOCIOECONOMIC PROGRAMS

SUBPART 226.1—INDIAN INCENTIVE PROGRAM

**SUBPART 226.3—HISTORICALLY BLACK COLLEGES AND UNIVERSITIES
AND MINORITY INSTITUTIONS**

SUBPART 226.70—RESERVED

SUBPART 226.71—PREFERENCE FOR LOCAL AND SMALL BUSINESS

SUBCHAPTER E—GENERAL CONTRACTING REQUIREMENTS

PART 227—PATENTS, DATA, AND COPYRIGHTS

SUBPART 227.3—PATENT RIGHTS UNDER GOVERNMENT CONTRACTS

SUBPART 227.4—RIGHTS IN DATA AND COPYRIGHTS

**SUBPART 227.6—FOREIGN LICENSE AND TECHNICAL ASSISTANCE
AGREEMENTS**

SUBPART 227.70—INFRINGEMENT CLAIMS, LICENSES, AND ASSIGNMENTS

SUBPART 227.71—RIGHTS IN TECHNICAL DATA

**SUBPART 227.72—RIGHTS IN COMPUTER SOFTWARE AND COMPUTER
SOFTWARE DOCUMENTATION**

PART 228—BONDS AND INSURANCE

SUBPART 228.1—BONDS

SUBPART 228.3—INSURANCE

PART 229—TAXES

SUBPART 229.1—GENERAL

SUBPART 229.4—CONTRACT CLAUSES

SUBPART 229.70—SPECIAL PROCEDURES FOR OVERSEAS CONTRACTS

PART 230—COST ACCOUNTING STANDARDS ADMINISTRATION

SUBPART 230.2—CAS PROGRAM REQUIREMENTS

SUBPART 230.70—FACILITIES CAPITAL EMPLOYED FOR FACILITIES IN USE

SUBPART 230.71—FACILITIES CAPITAL EMPLOYED FOR FACILITIES UNDER CONSTRUCTION

PART 231—CONTRACT COST PRINCIPLES AND PROCEDURES

SUBPART 231.1—APPLICABILITY

SUBPART 231.2—CONTRACTS WITH COMMERCIAL ORGANIZATIONS

SUBPART 231.3—CONTRACTS WITH EDUCATIONAL INSTITUTIONS

SUBPART 231.6—CONTRACTS WITH STATE, LOCAL, AND FEDERALLY RECOGNIZED INDIAN TRIBAL GOVERNMENTS

SUBPART 231.7—CONTRACTS WITH NONPROFIT ORGANIZATIONS

PART 232—CONTRACT FINANCING

SUBPART 232.0

SUBPART 232.1—NON-COMMERCIAL ITEM PURCHASE FINANCING

SUBPART 232.2—COMMERCIAL ITEM PURCHASE FINANCING

SUBPART 232.3—LOAN GUARANTEES FOR DEFENSE PRODUCTION

SUBPART 232.4—ADVANCE PAYMENTS FOR NON-COMMERCIAL ITEMS

SUBPART 232.5—PROGRESS PAYMENTS BASED ON COSTS

SUBPART 232.6—CONTRACT DEBTS

SUBPART 232.7—CONTRACT FUNDING

SUBPART 232.8—ASSIGNMENT OF CLAIMS

SUBPART 232.9—PROMPT PAYMENT

SUBPART 232.10—PERFORMANCE-BASED PAYMENTS

SUBPART 232.11—ELECTRONIC FUNDS TRANSFER

SUBPART 232.70—ELECTRONIC SUBMISSION AND PROCESSING OF PAYMENT REQUESTS

SUBPART 232.71—LEVIES ON CONTRACT PAYMENTS

PART 233--PROTESTS, DISPUTES, AND APPEALS

SUBPART 233.2--DISPUTES AND APPEALS

SUBCHAPTER F--SPECIAL CATEGORIES OF CONTRACTING

PART 234--MAJOR SYSTEM ACQUISITION

SUBPART 234.0

PART 235--RESEARCH AND DEVELOPMENT CONTRACTING

SUBPART 235.0

PART 236--CONSTRUCTION AND ARCHITECT-ENGINEER CONTRACTS

SUBPART 236.1--GENERAL

**SUBPART 236.2--SPECIAL ASPECTS OF CONTRACTING FOR
CONSTRUCTION**

SUBPART 236.5--CONTRACT CLAUSES

SUBPART 236.6--ARCHITECT-ENGINEER SERVICES

**SUBPART 236.7--STANDARD AND OPTIONAL FORMS FOR CONTRACTING
FOR CONSTRUCTION, ARCHITECT-ENGINEER SERVICES, AND
DISMANTLING, DEMOLITION, OR REMOVAL OF IMPROVEMENTS**

PART 237--SERVICE CONTRACTING

SUBPART 237.1--SERVICE CONTRACTS--GENERAL

SUBPART 237.2--ADVISORY AND ASSISTANCE SERVICES

SUBPART 237.70--MORTUARY SERVICES

SUBPART 237.71--LAUNDRY AND DRY CLEANING SERVICES

SUBPART 237.72--EDUCATIONAL SERVICE AGREEMENTS

**SUBPART 237.73--SERVICES OF STUDENTS AT RESEARCH AND
DEVELOPMENT LABORATORIES**

SUBPART 237.74--SERVICES AT INSTALLATIONS BEING CLOSED

PART 238--FEDERAL SUPPLY SCHEDULE CONTRACTING

PART 239—ACQUISITION OF INFORMATION TECHNOLOGY

SUBPART 239.1—GENERAL

**SUBPART 239.70—EXCHANGE OR SALE OF INFORMATION
TECHNOLOGY (IT)**

SUBPART 239.71—SECURITY AND PRIVACY FOR COMPUTER SYSTEMS

SUBPART 239.72—STANDARDS

SUBPART 239.73—RESERVED

SUBPART 239.74—TELECOMMUNICATIONS SERVICES

SUBPART 239.75—APPROPRIATIONS ACT RESTRICTIONS

PART 240—RESERVED

PART 241—ACQUISITION OF UTILITY SERVICES

SUBPART 241.1—GENERAL

SUBPART 241.2—ACQUIRING UTILITY SERVICES

SUBPART 241.5—SOLICITATION PROVISION AND CONTRACT CLAUSES

SUBCHAPTER G—CONTRACT MANAGEMENT

PART 242—CONTRACT ADMINISTRATION AND AUDIT SERVICES

SUBPART 242.0

SUBPART 242.2—CONTRACT ADMINISTRATION SERVICES

SUBPART 242.3—CONTRACT ADMINISTRATION OFFICE FUNCTIONS

SUBPART 242.5—POSTAWARD ORIENTATION

SUBPART 242.6—CORPORATE ADMINISTRATIVE CONTRACTING OFFICER

SUBPART 242.7—INDIRECT COST RATES

SUBPART 242.8—DISALLOWANCE OF COSTS

SUBPART 242.11—PRODUCTION SURVEILLANCE AND REPORTING

SUBPART 242.12—NOVATION AND CHANGE-OF-NAME AGREEMENTS

SUBPART 242.14—TRAFFIC AND TRANSPORTATION MANAGEMENT

SUBPART 242.15—CONTRACTOR PERFORMANCE INFORMATION

Defense Federal Acquisition Regulation Supplement

Table of Contents

SUBPART 242.70—RESERVED

SUBPART 242.71—VOLUNTARY REFUNDS

**SUBPART 242.72—CONTRACTOR MATERIAL MANAGEMENT AND
ACCOUNTING SYSTEM**

SUBPART 242.73—CONTRACTOR INSURANCE/PENSION REVIEW

**SUBPART 242.74—TECHNICAL REPRESENTATION AT CONTRACTOR
FACILITIES**

**SUBPART 242.75—CONTRACTOR ACCOUNTING SYSTEMS AND RELATED
CONTROLS**

PART 243—CONTRACT MODIFICATIONS

SUBPART 243.1—GENERAL

SUBPART 243.2—CHANGE ORDERS

PART 244—SUBCONTRACTING POLICIES AND PROCEDURES

SUBPART 244.2—CONSENT TO SUBCONTRACTS

SUBPART 244.3—CONTRACTORS' PURCHASING SYSTEMS REVIEWS

**SUBPART 244.4—SUBCONTRACTS FOR COMMERCIAL ITEMS AND
COMMERCIAL COMPONENTS**

PART 245—GOVERNMENT PROPERTY

SUBPART 245.1—GENERAL

SUBPART 245.3—PROVIDING GOVERNMENT PROPERTY TO CONTRACTORS

SUBPART 245.4—USE AND RENTAL OF GOVERNMENT PROPERTY

**SUBPART 245.5—MANAGEMENT OF GOVERNMENT PROPERTY IN THE
POSSESSION OF CONTRACTORS**

**SUBPART 245.6—REPORTING, REDISTRIBUTION, AND DISPOSAL OF
CONTRACTOR INVENTORY**

**SUBPART 245.70—APPOINTMENT OF PROPERTY ADMINISTRATORS AND
PLANT CLEARANCE OFFICERS**

SUBPART 245.71—PLANT CLEARANCE FORMS

SUBPART 245.72—SPECIAL INSTRUCTIONS

SUBPART 245.73—SALE OF SURPLUS CONTRACTOR INVENTORY

PART 246—QUALITY ASSURANCE

SUBPART 246.1—GENERAL

SUBPART 246.2—CONTRACT QUALITY REQUIREMENTS

SUBPART 246.3—CONTRACT CLAUSES

SUBPART 246.4—GOVERNMENT CONTRACT QUALITY ASSURANCE

SUBPART 246.5—ACCEPTANCE

SUBPART 246.6—MATERIAL INSPECTION AND RECEIVING REPORTS

SUBPART 246.7—WARRANTIES

PART 247—TRANSPORTATION

SUBPART 247.0

SUBPART 247.1—GENERAL

**SUBPART 247.2—CONTRACTS FOR TRANSPORTATION OR FOR
TRANSPORTATION-RELATED SERVICES**

SUBPART 247.3—TRANSPORTATION IN SUPPLY CONTRACTS

SUBPART 247.5—OCEAN TRANSPORTATION BY U.S.-FLAG VESSELS

PART 248—VALUE ENGINEERING

PART 249—TERMINATION OF CONTRACTS

SUBPART 249.1—GENERAL PRINCIPLES

SUBPART 249.5—CONTRACT TERMINATION CLAUSES

SUBPART 249.70—SPECIAL TERMINATION REQUIREMENTS

PART 250—EXTRAORDINARY CONTRACTUAL ACTIONS

SUBPART 250.0

SUBPART 250.1—GENERAL

**SUBPART 250.2—DELEGATION OF AND LIMITATIONS ON EXERCISE OF
AUTHORITY**

SUBPART 250.3—CONTRACT ADJUSTMENTS

SUBPART 250.4—RESIDUAL POWERS

PART 251—USE OF GOVERNMENT SOURCES BY CONTRACTORS

SUBPART 251.1—CONTRACTOR USE OF GOVERNMENT SUPPLY SOURCES

**SUBPART 251.2—CONTRACTOR USE OF INTERAGENCY FLEET
MANAGEMENT SYSTEM (IFMS) VEHICLES**

SUBCHAPTER H—CLAUSES AND FORMS

PART 252—SOLICITATION PROVISIONS AND CONTRACT CLAUSES

SUBPART 252.1—INSTRUCTIONS FOR USING PROVISIONS AND CLAUSES

SUBPART 252.2—TEXT OF PROVISIONS AND CLAUSES

PART 253—FORMS

253.2—Prescription of Forms

253.3—DD Forms

APPENDICES

APPENDIX A—ARMED SERVICES BOARD OF CONTRACT APPEALS

Part 1—Charter

Part 2—Rules

APPENDIX B—COORDINATED ACQUISITION ASSIGNMENTS

Part 1—Army Assignments.

Part 2—Navy Assignments.

Part 3—Air Force Assignments.

Part 4—Defense Logistics Agency Assignments.

Part 5—Defense Threat Reduction Agency Assignments.

Part 6—General Services Administration Assignments.

APPENDIX C—RESERVED

APPENDIX D—RESERVED

APPENDIX E—RESERVED

Defense Federal Acquisition Regulation Supplement

Table of Contents

APPENDIX F—MATERIAL INSPECTION AND RECEIVING REPORT

Part 1—Introduction.

Part 2—Contract Quality Assurance (CQA) on Shipments Between Contractors.

Part 3—Preparation of the DD Form 250 and DD Form 250c.

Part 4—Distribution of DD Form 250 and DD Form 250c.

Part 5—Preparation of the DD Form 250-1 (Loading Report).

Part 6—Preparation of the DD Form 250-1 (Discharge Report).

Part 7—Distribution of the DD Form 250-1.

APPENDIX G—RESERVED

APPENDIX H—DEBARMENT AND SUSPENSION PROCEDURES

APPENDIX I—POLICY AND PROCEDURES FOR THE DOD PILOT MENTOR-PROTEGE PROGRAM

Defense Federal Acquisition Regulation Supplement

Part 202--Definitions of Words and Terms

SUBPART 202.1--DEFINITIONS

(Revised May 19, 2006)

202.101 Definitions.

“Congressional defense committees” means—

- (1) The Committee on Armed Services of the Senate;
- (2) The Subcommittee on Defense of the Committee on Appropriations of the Senate;
- (3) The Committee on Armed Services of the House of Representatives; and
- (4) The Subcommittee on Defense of the Committee on Appropriations of the House of Representatives.

"Contract administration office" also means a contract management office of the Defense Contract Management Agency.

“Contracting activity” for DoD also means elements designated by the director of a defense agency which has been delegated contracting authority through its agency charter. DoD contracting activities are—

DEPARTMENT OF DEFENSE

Department of Defense Education Activity
TRICARE Management Activity
Acquisition and Procurement Office, Washington Headquarters Services

ARMY

Contract Support Agency
Office of the Deputy Chief of Staff for Research, Development and Acquisition,
Headquarters, U.S. Army Materiel Command
Aviation and Missile Command
Industrial Operations Command
Communications-Electronics Command
Tank-Automotive and Armaments Command
Training and Doctrine Command
Forces Command
Health Services Command
Military District of Washington
U.S. Army, Europe
National Guard Bureau
Corps of Engineers
Information Systems Command
Medical Research and Development Command
U.S. Army, Pacific
Military Traffic Management Command
Space and Strategic Defense Command
Eighth U.S. Army
Intelligence and Security Command

Defense Federal Acquisition Regulation Supplement

Part 202--Definitions of Words and Terms

U.S. Army, South
Defense Contracting Command-Washington
Directorate of Information Systems for Command, Control, Communications
and Computers, Office of the Secretary of the Army
U.S. Army Special Operations Command

NAVY

Deputy, Acquisition Management, Office of the Assistant Secretary of the Navy
(Research, Development, and Acquisition)
Naval Air Systems Command
Space and Naval Warfare Systems Command
Naval Facilities Engineering Command
Naval Inventory Control Point
Naval Sea Systems Command
Naval Supply Systems Command
Office of Naval Research
Military Sealift Command
Strategic Systems Programs
Marine Corps Systems Command
Installations and Logistics, Headquarters, U.S. Marine Corps

AIR FORCE

Office of the Assistant Secretary of the Air Force (Acquisition)
Office of the Deputy Assistant Secretary (Contracting)
Air Force Materiel Command
Air Force Reserve Command
Air Combat Command
Air Mobility Command
Air Education and Training Command
Pacific Air Forces
United States Air Forces in Europe
Air Force Space Command

DEFENSE ADVANCED RESEARCH PROJECTS AGENCY

Office of the Deputy Director, Management

DEFENSE CONTRACT MANAGEMENT AGENCY

Office of the Director, Defense Contract Management Agency

DEFENSE FINANCE AND ACCOUNTING SERVICE

External Services, Defense Finance and Accounting Service

DEFENSE INFORMATION SYSTEMS AGENCY

Defense Information Technology Contracting Organization

DEFENSE INTELLIGENCE AGENCY

Office of Procurement

DEFENSE LOGISTICS AGENCY

Office of the Deputy Director, Logistics Operations
Defense Supply Centers
Defense Energy Support Center

Defense Federal Acquisition Regulation Supplement

Part 202--Definitions of Words and Terms

NATIONAL IMAGERY AND MAPPING AGENCY

Procurement and Contracting Office

DEFENSE THREAT REDUCTION AGENCY

Acquisition Management Office

NATIONAL SECURITY AGENCY

Headquarters, National Security Agency

MISSILE DEFENSE AGENCY

Headquarters, Missile Defense Agency

UNITED STATES SPECIAL OPERATIONS COMMAND

Headquarters, United States Special Operations Command

“Contracting officer's representative” means an individual designated and authorized in writing by the contracting officer to perform specific technical or administrative functions.

“Departments and agencies,” as used in DFARS, means the military departments and the defense agencies. The military departments are the Departments of the Army, Navy, and Air Force (the Marine Corps is a part of the Department of the Navy). The defense agencies are the Defense Advanced Research Projects Agency, the Defense Commissary Agency, the Defense Contract Management Agency, the Defense Finance and Accounting Service, the Defense Information Systems Agency, the Defense Intelligence Agency, the Defense Security Service, the Defense Logistics Agency, the National Imagery and Mapping Agency, the Defense Threat Reduction Agency, the National Security Agency, the Missile Defense Agency, and the United States Special Operations Command.

“Department of Defense (DoD),” as used in DFARS, means the Department of Defense, the military departments, and the defense agencies.

“Executive agency” means for DoD, the Department of Defense, the Department of the Army, the Department of the Navy, and the Department of the Air Force.

“Head of the agency” means, for DoD, the Secretary of Defense, the Secretary of the Army, the Secretary of the Navy, and the Secretary of the Air Force. Subject to the direction of the Secretary of Defense, the Under Secretary of Defense (Acquisition, Technology, and Logistics), and the Director of Defense Procurement and Acquisition Policy, the directors of the defense agencies have been delegated authority to act as head of the agency for their respective agencies (i.e., to perform functions under the FAR or DFARS reserved to a head of agency or agency head), except for such actions that by terms of statute, or any delegation, must be exercised within the Office of the Secretary of Defense.

See DoD Class Deviation [2003-O0002](#), [Federal Acquisition Regulation \(FAR\) Concerning Implementation of the Homeland Security Act Provisions Relating to Procurements for Defense Against or Recovery from Terrorism or Nuclear, Biological, Chemical or Radiological Attack](#), issued on April 18, 2003. This deviation is effective until incorporated into the DFARS or otherwise rescinded.

Defense Federal Acquisition Regulation Supplement

Part 202--Definitions of Words and Terms

“Procedures, Guidance, and Information (PGI)” means a companion resource to the DFARS that—

(1) Contains mandatory internal DoD procedures. The DFARS will direct compliance with mandatory procedures using imperative language such as “Follow the procedures at...” or similar directive language;

(2) Contains non-mandatory internal DoD procedures and guidance and supplemental information to be used at the discretion of the contracting officer. The DFARS will point to non-mandatory procedures, guidance, and information using permissive language such as “The contracting officer may use...” or “Additional information is available at...” or other similar language;

(3) Is numbered similarly to the DFARS, except that each PGI numerical designation is preceded by the letters “PGI”; and

(4) Is available electronically at <http://www.acq.osd.mil/dpap/dars/index.htm>.

“Senior procurement executive” means, for DoD—

Department of Defense (including the defense agencies)--Under Secretary of Defense (Acquisition, Technology, and Logistics);

Department of the Army--Assistant Secretary of the Army (Acquisition, Logistics and Technology);

Department of the Navy--Assistant Secretary of the Navy (Research, Development and Acquisition);

Department of the Air Force--Assistant Secretary of the Air Force (Acquisition).

The directors of the defense agencies have been delegated authority to act as senior procurement executive for their respective agencies, except for such actions that by terms of statute, or any delegation, must be exercised by the Under Secretary of Defense (Acquisition, Technology, and Logistics).

**SUBPART 211.2—USING AND MAINTAINING REQUIREMENTS
DOCUMENTS**

(Revised May 19, 2006)

211.201 Identification and availability of specifications.

Follow the procedures at PGI 211.201 for use of specifications, standards, and data item descriptions.

211.204 Solicitation provisions and contract clauses.

(c) When contract performance requires use of specifications, standards, and data item descriptions that are not listed in the Acquisition Streamlining and Standardization Information System database, use provisions, as appropriate, substantially the same as those at—

(i) 252.211-7001, Availability of Specifications, Standards, and Data Item Descriptions Not Listed in the Acquisition Streamlining and Standardization Information System (ASSIST), and Plans, Drawings, and Other Pertinent Documents; and

(ii) 252.211-7002, Availability for Examination of Specifications, Standards, Plans, Drawings, Data Item Descriptions, and Other Pertinent Documents.

211.270 Reserved.

211.271 Elimination of use of class I ozone-depleting substances.

See Subpart 223.8 for restrictions on contracting for ozone-depleting substances.

211.272 Alternate preservation, packaging, and packing.

Use the provision at 252.211-7004, Alternate Preservation, Packaging, and Packing, in solicitations which include military preservation, packaging, or packing specifications when it is feasible to evaluate and award using commercial or industrial preservation, packaging, or packing.

211.273 Substitutions for military or Federal specifications and standards.

211.273-1 Definition.

“SPI process,” as used in this section, is defined in the clause at 252.211-7005, Substitutions for Military or Federal Specifications and Standards.

211.273-2 Policy.

(a) Under the Single Process Initiative (SPI), DoD accepts SPI processes in lieu of specific military or Federal specifications or standards that specify a management or manufacturing process.

(b) DoD acceptance of an SPI process follows the decision of a Management Council, which includes representatives of the contractor, the Defense Contract Management Agency, the Defense Contract Audit Agency, and the military departments.

(c) In procurements of previously developed items, SPI processes that previously were accepted by the Management Council shall be considered valid replacements for military or Federal specifications or standards, absent a specific determination to the contrary.

211.273-3 Procedures.

Follow the procedures at PGI 211.273-3 for encouraging the use of SPI processes instead of military or Federal specifications and standards.

211.273-4 Contract clause.

Use the clause at 252.211-7005, Substitutions for Military or Federal Specifications and Standards, in solicitations and contracts exceeding the micro-purchase threshold, when procuring previously developed items.

211.274 Item identification and valuation.

211.274-1 General.

Unique item identification and valuation is a system of marking and valuing items delivered to DoD that will enhance logistics, contracting, and financial business transactions supporting the United States and coalition troops. Through unique item identification policy, which capitalizes on leading practices and embraces open standards, DoD can—

- (a) Achieve lower life-cycle cost of item management and improve life-cycle property management;
- (b) Improve operational readiness;
- (c) Provide reliable accountability of property and asset visibility throughout the life cycle; and
- (d) Reduce the burden on the workforce through increased productivity and efficiency.

211.274-2 Policy for unique item identification.

(a) It is DoD policy that DoD unique item identification, or a DoD recognized unique identification equivalent, is required for—

(1) All delivered items for which the Government's unit acquisition cost is \$5,000 or more;

(2) Items for which the Government's unit acquisition cost is less than \$5,000, when identified by the requiring activity as serially managed, mission essential, or controlled inventory;

(3) Items for which the Government's unit acquisition cost is less than \$5,000, when the requiring activity determines that permanent identification is required; and

(4) Regardless of value—

(i) Any DoD serially managed subassembly, component, or part embedded

Defense Federal Acquisition Regulation Supplement

Part 211—Describing Agency Needs

within a delivered item; and

(ii) The parent item (as defined in 252.211-7003(a)) that contains the embedded subassembly, component, or part.

(b) *Exceptions.* The Contractor will not be required to provide DoD unique item identification if—

(1) The items, as determined by the head of the agency, are to be used to support a contingency operation or to facilitate defense against or recovery from nuclear, biological, chemical, or radiological attack; or

(2) A determination and findings has been executed concluding that it is more cost effective for the Government requiring activity to assign, mark, and register the unique item identification after delivery of an item acquired from a small business concern or a commercial item acquired under FAR Part 12 or Part 8.

(i) The determination and findings shall be executed by—

(A) The Component Acquisition Executive for an acquisition category (ACAT) I program; or

(B) The head of the contracting activity for all other programs.

(ii) The DoD Unique Item Identification Program Office must receive a copy of the determination and findings required by paragraph (b)(2)(i) of this subsection. Send the copy to DPAP, SPEC ASST, 3060 Defense Pentagon, 3E1044, Washington, DC 20301-3060; or by facsimile to (703) 695-7596.

211.274-3 Policy for valuation.

(a) It is DoD policy that contractors shall be required to identify the Government's unit acquisition cost (as defined in 252.211-7003(a)) for all items delivered, even if none of the criteria for placing a unique item identification mark applies.

(b) The Government's unit acquisition cost is—

(1) For fixed-price type line, subline, or exhibit line items, the unit price identified in the contract at the time of delivery;

(2) For cost-type or undefinitized line, subline, or exhibit line items, the contractor's estimated fully burdened unit cost to the Government at the time of delivery; and

(3) For items delivered under a time-and-materials contract, the contractor's estimated fully burdened unit cost to the Government at the time of delivery.

(c) The Government's unit acquisition cost of subassemblies, components, and parts embedded in delivered items need not be separately identified.

211.274-4 Contract clause.

Use the clause at 252.211-7003, Item Identification and Valuation, in solicitations and

Defense Federal Acquisition Regulation Supplement

Part 211—Describing Agency Needs

contracts that require item identification or valuation, or both, in accordance with 211.274-2 and 211.274-3.

(a) Complete paragraph (c)(1)(ii) of the clause with the contract line, subline, or exhibit line item number and description of any item(s) below \$5,000 in unit acquisition cost for which DoD unique item identification or a DoD recognized unique identification equivalent is required in accordance with 211.274-2(a)(2) or (3).

(b) Complete paragraph (c)(1)(iii) of the clause with the applicable attachment number, when DoD unique item identification or a DoD recognized unique identification equivalent is required in accordance with 211.274-2(a)(4) for DoD serially managed subassemblies, components, or parts embedded within deliverable items.

(c) Use the clause with its Alternate I if—

(1) An exception in 211.274-2(b) applies; or

(2) Items are to be delivered to the Government and none of the criteria for placing a unique item identification mark applies.

211.275 Radio frequency identification.

211.275-1 Definitions.

“Bulk commodities,” “case,” “palletized unit load,” “passive RFID tag,” and “radio frequency identification” are defined in the clause at 252.211-7006, Radio Frequency Identification.

211.275-2 Policy.

Radio frequency identification (RFID), in the form of a passive RFID tag, is required for individual cases and palletized unit loads that—

(a) Contain items in any of the following classes of supply, as defined in DoD 4140.1-R, DoD Supply Chain Materiel Management Regulation, AP1.1.11, except that bulk commodities are excluded from this requirement:

(1) Subclass of Class I – Packaged operational rations.

(2) Class II – Clothing, individual equipment, tentage, organizational tool kits, hand tools, and administrative and housekeeping supplies and equipment.

(3) Class IIIP – Packaged petroleum, lubricants, oils, preservatives, chemicals, and additives.

(4) Class IV – Construction and barrier materials.

(5) Class VI – Personal demand items (non-military sales items).

(6) Subclass of Class VIII – Medical materials (excluding pharmaceuticals, biologicals, and reagents).

(7) Class IX – Repair parts and components including kits, assemblies and subassemblies, repairable and consumable items required for maintenance support of

Defense Federal Acquisition Regulation Supplement

Part 211—Describing Agency Needs

all equipment, excluding medical-peculiar repair parts; and

(b) Will be shipped to one of the following locations:

(1) Defense Distribution Depot, Susquehanna, PA: DoDAAC W25G1U or SW3124.

(2) Defense Distribution Depot, San Joaquin, CA: DoDAAC W62G2T or SW3224.

(3) Defense Distribution Depot, Albany, GA: DoDAAC SW3121.

(4) Defense Distribution Depot, Anniston, AL: DoDAAC W31G1Z or SW3120.

(5) Defense Distribution Depot, Barstow, CA: DoDAAC SW3215.

(6) Defense Distribution Depot, Cherry Point, NC: DoDAAC SW3113.

(7) Defense Distribution Depot, Columbus, OH: DoDAAC SW0700.

(8) Defense Distribution Depot, Corpus Christi, TX: DoDAAC W45H08 or SW3222.

(9) Defense Distribution Depot, Hill, UT: DoDAAC SW3210.

(10) Defense Distribution Depot, Jacksonville, FL: DoDAAC SW3122.

(11) Defense Distribution Depot, Oklahoma City, OK: DoDAAC SW3211.

(12) Defense Distribution Depot, Norfolk, VA: DoDAAC SW3117.

(13) Defense Distribution Depot, Puget Sound, WA: DoDAAC SW3216.

(14) Defense Distribution Depot, Red River, TX: DoDAAC W45G19 or SW3227.

(15) Defense Distribution Depot, Richmond, VA: DoDAAC SW0400.

(16) Defense Distribution Depot, San Diego, CA: DoDAAC SW3218.

(17) Defense Distribution Depot, Tobyhanna, PA: DoDAAC W25G1W or SW3114.

(18) Defense Distribution Depot, Warner Robins, GA: DoDAAC SW3119.

(19) Air Mobility Command Terminal, Charleston Air Force Base, Charleston, SC: Air Terminal Identifier Code CHS.

(20) Air Mobility Command Terminal, Naval Air Station, Norfolk, VA: Air Terminal Identifier Code NGU.

(21) Air Mobility Command Terminal, Travis Air Force Base, Fairfield, CA: Air Terminal Identifier Code SUU.

Defense Federal Acquisition Regulation Supplement

Part 211—Describing Agency Needs

211.275-3 Contract clause.

Use the clause at 252.211-7006, Radio Frequency Identification, in solicitations and contracts that will require shipment of items meeting the criteria at 211.275-2.

Defense Federal Acquisition Regulation Supplement

Part 212—Acquisition of Commercial Items

TABLE OF CONTENTS

(Revised May 19, 2006)

SUBPART 212.1—ACQUISITION OF COMMERCIAL ITEMS - GENERAL
212.102 Applicability.

SUBPART 212.2—SPECIAL REQUIREMENTS FOR THE ACQUISITION OF COMMERCIAL ITEMS

- 212.211 Technical data.
212.212 Computer software.

SUBPART 212.3—SOLICITATION PROVISIONS AND CONTRACT CLAUSES FOR THE ACQUISITION OF COMMERCIAL ITEMS

- 212.301 Solicitation provisions and contract clauses for the acquisition of commercial items.
212.302 Tailoring of provisions and clauses for the acquisition of commercial items.

SUBPART 212.5—APPLICABILITY OF CERTAIN LAWS TO THE ACQUISITION OF COMMERCIAL ITEMS

- 212.503 Applicability of certain laws to Executive Agency contracts for the acquisition of commercial items.
212.504 Applicability of certain laws to subcontracts for the acquisition of commercial items.

SUBPART 212.6—STREAMLINED PROCEDURES FOR EVALUATION AND SOLICITATION FOR COMMERCIAL ITEMS

- 212.602 Streamlined evaluation of offers.

SUBPART 212.70—PILOT PROGRAM FOR TRANSITION TO FOLLOW-ON CONTRACTING AFTER USE OF OTHER TRANSACTION AUTHORITY

- 212.7000 Scope.
212.7001 Definitions.
212.7002 Pilot program.
212.7002-1 Contracts under the program.
212.7002-2 Subcontracts under the program.
212.7003 Technical data and computer software.

Defense Federal Acquisition Regulation Supplement

Part 212—Acquisition of Commercial Items

SUBPART 212.1—ACQUISITION OF COMMERCIAL ITEMS - GENERAL *(Added May 19, 2006)*

212.102 Applicability.

(f) See DoD Class Deviation [2003-O0002](#), Federal Acquisition Regulation (FAR) Concerning Implementation of the Homeland Security Act Provisions Relating to Procurements for Defense Against or Recovery from Terrorism or Nuclear, Biological, Chemical or Radiological Attack, issued on April 18, 2003. This deviation is effective until incorporated into the DFARS or otherwise rescinded.

**SUBPART 212.3—SOLICITATION PROVISIONS AND CONTRACT CLAUSES
FOR THE ACQUISITION OF COMMERCIAL ITEMS**

(Revised May 19, 2006)

212.301 Solicitation provisions and contract clauses for the acquisition of commercial items.

(f)(i) Use one of the following provisions as prescribed in Part 225:

(A) 252.225-7000, Buy American Act--Balance of Payments Program Certificate.

(B) 252.225-7020, Trade Agreements Certificate.

(C) 252.225-7035, Buy American Act--Free Trade Agreements--Balance of Payments Program Certificate.

(ii) Use the provision at 252.212-7000, Offeror Representations and Certifications--Commercial Items, in all solicitations for commercial items exceeding the simplified acquisition threshold. If an exception to 10 U.S.C. 2410i applies to a solicitation exceeding the simplified acquisition threshold (see 225.670-3), indicate on an addendum that “The certification in paragraph (b) of the provision at 252.212-7000 does not apply to this solicitation.”

(iii) Use the clause at 252.212-7001, Contract Terms and Conditions Required to Implement Statutes or Executive Orders Applicable to Defense Acquisitions of Commercial Items, in all solicitations and contracts for commercial items, completing paragraphs (a) and (b), as appropriate.

See DoD Class Deviation [2004-O0002](#), [Commercial Item Omnibus Clauses for Acquisitions Using the Standard Procurement System](#), issued on April 29, 2004. This deviation expires on April 30, 2009.

(iv) Use the provision at 252.209-7001, Disclosure of Ownership or Control by the Government of a Terrorist Country, as prescribed in 209.104-70(a).

(v) Use the clause at 252.232-7009, Mandatory Payment by Governmentwide Commercial Purchase Card, as prescribed in 232.1110.

(vi) Use the clause at 252.211-7003, Item Identification and Valuation, as prescribed in 211.274-4.

(vii) Use the clause at 252.225-7040, Contractor Personnel Supporting a Force Deployed Outside the United States, as prescribed in 225.7402-4.

(viii) Use the clause at 252.225-7043, Antiterrorism/Force Protection Policy for Defense Contractors Outside the United States, in solicitations and contracts that include the clause at 252.225-7040.

(ix) Use the clause at 252.211-7006, Radio Frequency Identification, as prescribed in 211.275-3.

212.302 Tailoring of provisions and clauses for the acquisition of commercial items.

(c) *Tailoring inconsistent with customary commercial practice.* The head of the contracting activity is the approval authority within the DoD for waivers under FAR 12.302(c).

Defense Federal Acquisition Regulation Supplement

Part 213—Simplified Acquisition Procedures

TABLE OF CONTENTS (Revised May 19, 2006)

SUBPART 213.1—PROCEDURES

213.101 General.

SUBPART 213.2—ACTIONS AT OR BELOW THE MICRO-PURCHASE THRESHOLD

213.201 General.

213.270 Use of the Governmentwide commercial purchase card.

SUBPART 213.3—SIMPLIFIED ACQUISITION METHODS

213.301 Governmentwide commercial purchase card.

213.302 Purchase orders.

213.302-3 Obtaining contractor acceptance and modifying purchase orders.

213.302-5 Clauses.

213.303 Blanket purchase agreements (BPAs).

213.303-5 Purchases under BPAs.

213.305 Imprest funds and third party drafts.

213.305-3 Conditions for use.

213.306 SF 44, Purchase Order-Invoice-Voucher.

213.307 Forms.

SUBPART 213.4—FAST PAYMENT PROCEDURE

213.402 Conditions for use.

SUBPART 213.70—SIMPLIFIED ACQUISITION PROCEDURES UNDER THE 8(a) PROGRAM

213.7001 Procedures.

213.7002 Purchase orders.

SUBPART 213.2—ACTIONS AT OR BELOW THE MICRO-PURCHASE THRESHOLD

(Revised May 19, 2006)

213.201 General.

(g) See DoD Class Deviation [2003-O0002](#), Federal Acquisition Regulation (FAR) Concerning Implementation of the Homeland Security Act Provisions Relating to Procurements for Defense Against or Recovery from Terrorism or Nuclear, Biological, Chemical or Radiological Attack, issued on April 18, 2003. This deviation is effective until incorporated into the DFARS or otherwise rescinded.

213.270 Use of the Governmentwide commercial purchase card.

Use the Governmentwide commercial purchase card as the method of purchase and/or method of payment for purchases valued at or below the micro-purchase threshold. This policy applies to all types of contract actions authorized by the FAR unless--

(a) The Deputy Secretary of Defense has approved an exception for an electronic commerce/electronic data interchange system or operational requirement that results in a more cost-effective payment process;

(b)(1) A general or flag officer or a member of the Senior Executive Service (SES) makes a written determination that--

(i) The source or sources available for the supply or service do not accept the purchase card; and

(ii) The contracting office is seeking a source that accepts the purchase card.

(2) To prevent mission delays, if an activity does not have a resident general or flag officer or SES member, delegation of this authority to the level of the senior local commander or director is permitted; or

(c) The purchase or payment meets one or more of the following criteria:

(1) The place of performance is entirely outside the United States and its outlying areas.

(2) The purchase is a Standard Form 44 purchase for aviation fuel or oil.

(3) The purchase is an overseas transaction by a contracting officer in support of a contingency operation as defined in 10 U.S.C. 101(a)(13) or a humanitarian or peacekeeping operation as defined in 10 U.S.C. 2302(8).

(4) The purchase is a transaction in support of intelligence or other specialized activities addressed by Part 2.7 of Executive Order 12333.

(5) The purchase is for training exercises in preparation for overseas contingency, humanitarian, or peacekeeping operations.

(6) The payment is made with an accommodation check.

Defense Federal Acquisition Regulation Supplement

Part 213—Simplified Acquisition Procedures

- (7) The payment is for a transportation bill.
- (8) The purchase is under a Federal Supply Schedule contract that does not permit use of the Governmentwide commercial purchase card.
- (9) The purchase is for medical services and--
 - (i) It involves a controlled substance or narcotic;
 - (ii) It requires the submission of a Health Care Summary Record to document the nature of the care purchased;
 - (iii) The ultimate price of the medical care is subject to an independent determination that changes the price paid based on application of a mandatory CHAMPUS Maximum Allowable Charge determination that reduces the Government liability below billed charges;
 - (iv) The Government already has entered into a contract to pay for the services without the use of a purchase card;
 - (v) The purchaser is a beneficiary seeking medical care; or
 - (vi) The senior local commander or director of a hospital or laboratory determines that use of the purchase card is not appropriate or cost-effective. The Medical Prime Vendor Program and the DoD Medical Electronic Catalog Program are two examples where use of the purchase card may not be cost-effective.

SUBPART 215.3—SOURCE SELECTION

(Revised May 19, 2006)

215.303 Responsibilities.

(b)(2) For high-dollar value and other acquisitions, as prescribed by agency procedures, the source selection authority shall approve a source selection plan before the solicitation is issued. Follow the procedures at PGI 215.303(b)(2) for preparation of the source selection plan.

215.304 Evaluation factors and significant subfactors.

(c)(i) In acquisitions that require use of the clause at FAR 52.219-9, Small Business Subcontracting Plan, other than those based on the lowest price technically acceptable source selection process (see FAR 15.101-2), the extent of participation of small businesses and historically black colleges or universities and minority institutions in performance of the contract shall be addressed in source selection. The contracting officer shall evaluate the extent to which offerors identify and commit to small business and historically black college or university and minority institution performance of the contract, whether as a joint venture, teaming arrangement, or subcontractor.

(A) See PGI 215.304(c)(i)(A) for examples of evaluation factors.

(B) Proposals addressing the extent of small business and historically black college or university and minority institution performance may be separate from subcontracting plans submitted pursuant to the clause at FAR 52.219-9 and should be structured to allow for consideration of offers from small businesses.

(C) When an evaluation assesses the extent that small businesses and historically black colleges or universities and minority institutions are specifically identified in proposals, the small businesses and historically black colleges or universities and minority institutions considered in the evaluation shall be listed in any subcontracting plan submitted pursuant to FAR 52.219-9 to facilitate compliance with 252.219-7003(g).

(ii) In accordance with 10 U.S.C. 2436, consider the purchase of capital assets (including machine tools) manufactured in the United States, in source selections for all major defense acquisition programs as defined in 10 U.S.C. 2430.

See DoD Class Deviation [99-O0002](#), Past Performance, issued on January 29, 1999. This deviation is effective until further notice.

215.305 Proposal evaluation.

(a)(2) *Past performance evaluation.* When a past performance evaluation is required by FAR 15.304, and the solicitation includes the clause at FAR 52.219-8, Utilization of Small Business Concerns, the evaluation factors shall include the past performance of offerors in complying with requirements of that clause. When a past performance evaluation is required by FAR 15.304, and the solicitation includes the clause at FAR 52.219-9, Small Business Subcontracting Plan, the evaluation factors

Defense Federal Acquisition Regulation Supplement

Part 215—Contracting By Negotiation

shall include the past performance of offerors in complying with requirements of that clause.

Defense Federal Acquisition Regulation Supplement

Part 219—Small Business Programs

SUBPART 219.11—PRICE EVALUATION ADJUSTMENT FOR SMALL DISADVANTAGED BUSINESS CONCERNS

(Revised May 19, 2006)

219.1102 Applicability.

(b) The price evaluation adjustment also shall not be used in acquisitions that are for commissary or exchange resale.

See DoD Class Deviation [2006-O0001](#), [Suspension of the Price Evaluation Adjustment for Small Disadvantaged Businesses](#), issued on February 8, 2006. This deviation expires on March 9, 2007.

SUBPART 225.11—SOLICITATION PROVISIONS AND CONTRACT CLAUSES
(Revised May 19, 2006)

225.1100 Scope of subpart.

This subpart prescribes the clauses that implement Subparts 225.1 through 225.10. The clauses that implement Subparts 225.70 through 225.75 are prescribed within those subparts.

225.1101 Acquisition of supplies.

See DoD Class Deviations [2004-O0003](#), [2005-O0004](#), and [2005-O0010](#), Exemption from Limitations on Procurement of Foreign Information Technology that is a Commercial Item. These deviations are effective until incorporated into the DFARS or otherwise rescinded.

(1) Use the provision at 252.225-7000, Buy American Act--Balance of Payments Program Certificate, instead of the provision at FAR 52.225-2, Buy American Act Certificate. Use the provision in any solicitation that includes the clause at 252.225-7001, Buy American Act and Balance of Payments Program.

(2) Use the clause at 252.225-7001, Buy American Act and Balance of Payments Program, instead of the clause at FAR 52.225-1, Buy American Act--Supplies, in solicitations and contracts unless--

(i) All line items will be acquired from a particular source or sources under the authority of FAR 6.302-3;

(ii) All line items must be domestic or qualifying country end products in accordance with Subpart 225.70. (However, the clause may still be required if Subpart 225.70 requires manufacture of the end product in the United States or in the United States or Canada, without a corresponding requirement for use of domestic components);

(iii) An exception to the Buy American Act or Balance of Payments Program applies; or

(iv) One or both of the following clauses will apply to all line items in the contract:

(A) 252.225-7021, Trade Agreements.

(B) 252.225-7036, Buy American Act--Free Trade Agreements--Balance of Payments Program.

(3) Use the clause at 252.225-7002, Qualifying Country Sources as Subcontractors, in solicitations and contracts that include one of the following clauses:

(i) 252.225-7001, Buy American Act and Balance of Payments Program.

(ii) 252.225-7021, Trade Agreements.

Defense Federal Acquisition Regulation Supplement

Part 225—Foreign Acquisition

(iii) 252.225-7036, Buy American Act--Free Trade Agreements--Balance of Payments Program.

(4) Use the clause at 252.225-7013, Duty-Free Entry, instead of the clause at FAR 52.225-8. Do not use the clause for acquisitions of supplies that will not enter the customs territory of the United States.

(5) Use the provision at 252.225-7020, Trade Agreements Certificate, instead of the provision at FAR 52.225-6, Trade Agreements Certificate, in solicitations that include the clause at 252.225-7021, Trade Agreements.

(6)(i) Use the clause at 252.225-7021, Trade Agreements, instead of the clause at FAR 52.225-5, Trade Agreements, if the Trade Agreements Act applies.

(ii) Do not use the clause if purchase from foreign sources is restricted, unless the contracting officer anticipates a waiver of the restriction.

(iii) The acquisition of eligible and noneligible products under the same contract may result in the application of trade agreements to only some of the items acquired. In such case, indicate in the Schedule those items covered by the Trade Agreements clause.

(7) Use the provision at 252.225-7032, Waiver of United Kingdom Levies—Evaluation of Offers, in solicitations if a U.K. firm is expected to--

(i) Submit an offer; or

(ii) Receive a subcontract exceeding \$1 million.

(8) Use the clause at 252.225-7033, Waiver of United Kingdom Levies, in solicitations and contracts if a U.K. firm is expected to--

(i) Submit an offer; or

(ii) Receive a subcontract exceeding \$1 million.

(9) Use the provision at 252.225-7035, Buy American Act--Free Trade Agreements--Balance of Payments Program Certificate, instead of the provision at FAR 52.225-4, Buy American Act--Free Trade Agreements--Israeli Trade Act Certificate, in solicitations that include the clause at 252.225-7036, Buy American Act--Free Trade Agreements--Balance of Payments Program. Use the provision with its Alternate I when the clause at 252.225-7036 is used with its Alternate I.

(10)(i) Use the clause at 252.225-7036, Buy American Act--Free Trade Agreements--Balance of Payments Program, instead of the clause at FAR 52.225-3, Buy American Act--Free Trade Agreements--Israeli Trade Act, in solicitations and contracts for the items listed at 225.401-70, when the estimated value equals or exceeds \$25,000, but is less than \$193,000, and a Free Trade Agreement applies to the acquisition.

(A) Use the basic clause when the estimated value equals or exceeds \$64,786.

Defense Federal Acquisition Regulation Supplement

Part 225—Foreign Acquisition

(B) Use the clause with its Alternate I when the estimated value equals or exceeds \$25,000 but is less than \$64,786.

(ii) Do not use the clause if purchase from foreign sources is restricted (see 225.401(a)(2)), unless the contracting officer anticipates a waiver of the restriction.

(iii) The acquisition of eligible and noneligible products under the same contract may result in the application of a Free Trade Agreement to only some of the items acquired. In such case, indicate in the Schedule those items covered by the Buy American Act--Free Trade Agreements--Balance of Payments Program clause.

225.1103 Other provisions and clauses.

(1) Unless the contracting officer knows that the prospective contractor is not a domestic concern, use the clause at 252.225-7005, Identification of Expenditures in the United States, in solicitations and contracts that--

(i) Exceed the simplified acquisition threshold; and

(ii) Are for the acquisition of--

(A) Supplies for use outside the United States;

(B) Construction to be performed outside the United States; or

(C) Services to be performed primarily outside the United States.

(2) Unless an exception applies or a waiver has been granted in accordance with Subpart 225.6, use the provision at 252.225-7031, Secondary Arab Boycott of Israel, in all solicitations.

(3) Use the clause at 252.225-7041, Correspondence in English, in solicitations and contracts when contract performance will be wholly or in part in a foreign country.

(4) Use the provision at 252.225-7042, Authorization to Perform, in solicitations when contract performance will be wholly or in part in a foreign country.

Defense Federal Acquisition Regulation Supplement

Part 231—Contract Cost Principles and Procedures

TABLE OF CONTENTS

(Revised May 19, 2006)

SUBPART 231.1—APPLICABILITY

- 231.100 Scope of subpart.
- 231.100-70 Contract clause.

SUBPART 231.2—CONTRACTS WITH COMMERCIAL ORGANIZATIONS

- 231.203 Indirect costs.
- 231.205 Selected costs.
- 231.205-6 Compensation for personal services.
- 231.205-18 Independent research and development and bid and proposal costs.
- 231.205-20 Interest and other financial costs.
- 231.205-22 Legislative lobbying costs.
- 231.205-70 External restructuring costs.

SUBPART 231.3—CONTRACTS WITH EDUCATIONAL INSTITUTIONS

- 231.303 Requirements.

SUBPART 231.6—CONTRACTS WITH STATE, LOCAL, AND FEDERALLY RECOGNIZED INDIAN TRIBAL GOVERNMENTS

- 231.603 Requirements.

SUBPART 231.7—CONTRACTS WITH NONPROFIT ORGANIZATIONS

- 231.703 Requirements.

SUBPART 231.2—CONTRACTS WITH COMMERCIAL ORGANIZATIONS
(Revised May 19, 2006)

231.203 Indirect costs.

See DoD Class Deviation [2005-O0009](#), Federal Acquisition Regulation (FAR) 31.203(c), Indirect Costs, issued on [September 26, 2005](#). This deviation expires on [September 30, 2008](#).

231.205 Selected costs.

231.205-6 Compensation for personal services.

(f)(1) In accordance with Section 8122 of Pub. L. 104-61, and similar sections in subsequent Defense appropriations acts, costs for bonuses or other payments in excess of the normal salary paid by the contractor to an employee, that are part of restructuring costs associated with a business combination, are unallowable under DoD contracts funded by fiscal year 1996 or subsequent appropriations. This limitation does not apply to severance payments or early retirement incentive payments. (See 231.205-70(b) for the definitions of “business combination” and “restructuring costs.”)

231.205-18 Independent research and development and bid and proposal costs.

(a) *Definitions.* As used in this subsection—

(i) “Covered contract” means a DoD prime contract for an amount exceeding the simplified acquisition threshold, except for a fixed-price contract without cost incentives. The term also includes a subcontract for an amount exceeding the simplified acquisition threshold, except for a fixed-price subcontract without cost incentives under such a prime contract.

(ii) “Covered segment” means a product division of the contractor that allocated more than \$1,100,000 in independent research and development and bid and proposal (IR&D/B&P) costs to covered contracts during the preceding fiscal year. In the case of a contractor that has no product divisions, the term means that contractor as a whole. A product division of the contractor that allocated less than \$1,100,000 in IR&D/B&P costs to covered contracts during the preceding fiscal year is not subject to the limitations in paragraph (c) of this subsection.

(iii) “Major contractor” means any contractor whose covered segments allocated a total of more than \$11,000,000 in IR&D/B&P costs to covered contracts during the preceding fiscal year. For purposes of calculating the dollar threshold amounts to determine whether a contractor meets the definition of “major contractor,” do not include contractor segments allocating less than \$1,100,000 of IR&D/B&P costs to covered contracts during the preceding fiscal year.

(c) *Allowability.*

(i) Departments/agencies shall not supplement this regulation in any way that limits IR&D/B&P cost allowability.

Defense Federal Acquisition Regulation Supplement

Part 231—Contract Cost Principles and Procedures

(ii) See 225.7303-2(c) for allowability provisions affecting foreign military sale contracts.

(iii) For major contractors, the following limitations apply:

(A) The amount of IR&D/B&P costs allowable under DoD contracts shall not exceed the lesser of—

(1) Such contracts' allocable share of total incurred IR&D/B&P costs; or

(2) The amount of incurred IR&D/B&P costs for projects having potential interest to DoD.

(B) Allowable IR&D/B&P costs are limited to those for projects that are of potential interest to DoD, including activities intended to accomplish any of the following:

(1) Enable superior performance of future U.S. weapon systems and components.

(2) Reduce acquisition costs and life-cycle costs of military systems.

(3) Strengthen the defense industrial and technology base of the United States.

(4) Enhance the industrial competitiveness of the United States.

(5) Promote the development of technologies identified as critical under 10 U.S.C. 2522.

(6) Increase the development and promotion of efficient and effective applications of dual-use technologies.

(7) Provide efficient and effective technologies for achieving such environmental benefits as: improved environmental data gathering, environmental cleanup and restoration, pollution reduction in manufacturing, environmental conservation, and environmentally safe management of facilities.

(iv) For major contractors, the cognizant administrative contracting officer (ACO) or corporate ACO shall—

and (A) Determine whether IR&D/B&P projects are of potential interest to DoD;

(B) Provide the results of the determination to the contractor.

(v) The cognizant contract administration office shall furnish contractors with guidance on financial information needed to support IR&D/B&P costs and on technical information needed from major contractors to support the potential interest to DoD determination (also see 242.771-3(a)).

231.205-20 Interest and other financial costs.

See DoD Class Deviation [2002-O0003](#), Interest Costs, issued on April 15, 2002. This deviation expires on April 30, 2007.

231.205-22 Legislative lobbying costs.

(a) Costs associated with preparing any material, report, list, or analysis on the actual or projected economic or employment impact in a particular State or congressional district of an acquisition program for which all research, development, testing, and evaluation has not been completed also are unallowable (10 U.S.C. 2249).

231.205-70 External restructuring costs.

(a) *Scope.* This subsection—

(1) Prescribes policies and procedures for allowing contractor external restructuring costs when savings would result for DoD; and

(2) Implements 10 U.S.C. 2325.

(b) *Definitions.* As used in this subsection:

(1) “Business combination” means a transaction whereby assets or operations of two or more companies not previously under common ownership or control are combined, whether by merger, acquisition, or sale/purchase of assets.

(2) “External restructuring activities” means restructuring activities occurring after a business combination that affect the operations of companies not previously under common ownership or control. They do not include restructuring activities occurring after a business combination that affect the operations of only one of the companies not previously under common ownership or control, or, when there has been no business combination, restructuring activities undertaken within one company. External restructuring activities are a direct outgrowth of a business combination. They normally will be initiated within 3 years of the business combination.

(3) “Restructuring activities” means nonroutine, nonrecurring, or extraordinary activities to combine facilities, operations, or workforce, in order to eliminate redundant capabilities, improve future operations, and reduce overall costs. Restructuring activities do not include routine or ongoing repositionings and redeployments of a contractor’s productive facilities or workforce (e.g., normal plant rearrangement or employee relocation), nor do they include other routine or ordinary activities charged as indirect costs that would otherwise have been incurred (e.g., planning and analysis, contract administration and oversight, or recurring financial and administrative support).

(4) “Restructuring costs” means the costs, including both direct and indirect, of restructuring activities. Restructuring costs that may be allowed include, but are not limited to, severance pay for employees, early retirement incentive payments for employees, employee retraining costs, relocation expense for retained employees, and relocation and rearrangement of plant and equipment. For purposes of this definition,

Defense Federal Acquisition Regulation Supplement

Part 231—Contract Cost Principles and Procedures

if restructuring costs associated with external restructuring activities allocated to DoD contracts are less than \$2.5 million, the costs shall not be subject to the audit, review, and determination requirements of paragraph (c)(4) of this subsection; instead, the normal rules for determining cost allowability in accordance with FAR Part 31 shall apply.

(5) “Restructuring savings” means cost reductions, including both direct and indirect cost reductions, that result from restructuring activities. Reassignments of cost to future periods are not restructuring savings.

(c) *Limitations on cost allowability.* Restructuring costs associated with external restructuring activities shall not be allowed unless—

(1) Such costs are allowable in accordance with FAR Part 31 and DFARS Part 231;

(2) An audit of projected restructuring costs and restructuring savings is performed;

(3) The cognizant administrative contracting officer (ACO) reviews the audit report and the projected costs and projected savings, and negotiates an advance agreement in accordance with paragraph (d) of this subsection; and

(4)(i) The official designated in paragraph (c)(4)(ii) of this subsection determines in writing that the audited projected savings, on a present value basis, for DoD resulting from the restructuring will exceed either—

(A) The costs allowed by a factor of at least two to one; or

(B) The costs allowed, and the business combination will result in the preservation of a critical capability that might otherwise be lost to DoD.

(ii)(A) If the amount of restructuring costs is expected to exceed \$25 million over a 5-year period, the designated official is the Under Secretary of Defense (Acquisition, Technology, and Logistics) or the Principal Deputy. This authority may not be delegated below the level of an Assistant Secretary of Defense.

(B) For all other cases, the designated official is the Director of the Defense Contract Management Agency. The Director may not delegate this authority.

(d) *Procedures and ACO responsibilities.* As soon as it is known that the contractor will incur restructuring costs for external restructuring activities, the cognizant ACO shall follow the procedures at PGI 231.205-70(d).

(e) *Information needed to obtain a determination.*

(1) The novation agreement (if one is required).

(2) The contractor’s restructuring proposal.

(3) The proposed advance agreement.

Defense Federal Acquisition Regulation Supplement

Part 231—Contract Cost Principles and Procedures

(4) The audit report.

(5) Any other pertinent information.

(6) The cognizant ACO's recommendation for a determination. This recommendation must clearly indicate one of the following, consistent with paragraph (c)(4)(i) of this subsection:

(i) The audited projected savings for DoD will exceed the costs allowed by a factor of at least two to one on a present value basis.

(ii) The business combination will result in the preservation of a critical capability that might otherwise be lost to DoD, and the audited projected savings for DoD will exceed the costs allowed on a present value basis.

(f) *Contracting officer responsibilities.*

(1) The contracting officer, in consultation with the cognizant ACO, should consider including a repricing clause in noncompetitive fixed-price contracts that are negotiated during the period between—

(i) The time a business combination is announced; and

(ii) The time the contractor's forward pricing rates are adjusted to reflect the impact of restructuring.

(2) The decision to use a repricing clause will depend upon the particular circumstances involved, including—

(i) When the restructuring will take place;

(ii) When restructuring savings will begin to be realized;

(iii) The contract performance period;

(iv) Whether the contracting parties are able to make a reasonable estimate of the impact of restructuring on the contract; and

(v) The size of the potential dollar impact of restructuring on the contract.

(3) If the contracting officer decides to use a repricing clause, the clause must provide for a downward-only price adjustment to ensure that DoD receives its appropriate share of restructuring net savings.

Defense Federal Acquisition Regulation Supplement

Part 242—Contract Administration and Audit Services

TABLE OF CONTENTS (Revised May 19, 2006)

242.002 Interagency agreements.

SUBPART 242.2—CONTRACT ADMINISTRATION SERVICES

242.200-70 Scope of subpart.

242.202 Assignment of contract administration.

SUBPART 242.3—CONTRACT ADMINISTRATION OFFICE FUNCTIONS

242.301 General.

242.302 Contract administration functions.

SUBPART 242.5—POSTAWARD ORIENTATION

242.503 Postaward conferences.

242.503-2 Postaward conference procedure.

SUBPART 242.6—CORPORATE ADMINISTRATIVE CONTRACTING OFFICER

242.602 Assignment and location.

SUBPART 242.7—INDIRECT COST RATES

242.705 Final indirect cost rates.

242.705-1 Contracting officer determination procedure.

242.705-2 Auditor determination procedure.

242.770 Reserved.

242.771 Independent research and development and bid and proposal costs.

242.771-1 Scope.

242.771-2 Policy.

242.771-3 Responsibilities.

SUBPART 242.8—DISALLOWANCE OF COSTS

242.803 Disallowing costs after incurrence.

SUBPART 242.11—PRODUCTION SURVEILLANCE AND REPORTING

242.1104 Surveillance requirements.

242.1105 Assignment of criticality designator.

242.1106 Reporting requirements.

242.1107 Contract clause.

242.1107-70 Solicitation provisions and contract clauses.

SUBPART 242.12—NOVATION AND CHANGE-OF-NAME AGREEMENTS

242.1203 Processing agreements.

242.1204 Agreement to recognize a successor in interest (novation agreement).

SUBPART 242.14—TRAFFIC AND TRANSPORTATION MANAGEMENT

242.1402 Volume movements within the contiguous United States.

242.1403 Shipping documents covering f.o.b. origin shipments.

242.1404 Shipments by parcel post or other classes of mail.

242.1404-1 Parcel post eligible shipments.

242.1404-2 Contract clauses.

242.1404-2-70 Additional clause.

Defense Federal Acquisition Regulation Supplement

Part 242—Contract Administration and Audit Services

242.1405 Discrepancies incident to shipment of supplies.
242.1470 Demurrage and detention charges.

SUBPART 242.15—CONTRACTOR PERFORMANCE INFORMATION

242.1502 Policy.

SUBPART 242.70—RESERVED

SUBPART 242.71—VOLUNTARY REFUNDS

242.7100 General.

SUBPART 242.72—CONTRACTOR MATERIAL MANAGEMENT AND ACCOUNTING SYSTEM

242.7200 Scope of subpart.
242.7201 Definitions.
242.7202 Policy.
242.7203 Review procedures.
242.7204 Contract clause.

SUBPART 242.73—CONTRACTOR INSURANCE/PENSION REVIEW

242.7301 General.
242.7302 Requirements.
242.7303 Responsibilities.

SUBPART 242.74—TECHNICAL REPRESENTATION AT CONTRACTOR FACILITIES

242.7400 General.
242.7401 Procedures.

SUBPART 242.75—CONTRACTOR ACCOUNTING SYSTEMS AND RELATED CONTROLS

242.7501 Policy.
242.7502 Procedures.

Defense Federal Acquisition Regulation Supplement

Part 242—Contract Administration and Audit Services

SUBPART 242.15—CONTRACTOR PERFORMANCE INFORMATION *(Added May 19, 2006)*

242.1502 Policy.

See DoD Class Deviation [99-O0002](#), Past Performance, issued on January 29, 1999. This deviation is effective until further notice.

Defense Federal Acquisition Regulation Supplement

Part 245—Government Property

TABLE OF CONTENTS (Revised May 19, 2006)

SUBPART 245.1—GENERAL

- 245.104 Review and correction of contractor's property control systems.
- 245.106 Government property clauses.

SUBPART 245.3—PROVIDING GOVERNMENT PROPERTY TO CONTRACTORS

- 245.301 Definitions.
- 245.302 Providing facilities.
- 245.302-1 Policy.
- 245.302-2 Facilities contracts.
- 245.302-6 Required Government property clauses for facilities contracts.
- 245.302-7 Optional property-related clauses for facilities contracts.
- 245.303 Providing material.
- 245.303-2 Procedures.
- 245.306-5 Contract clause.
- 245.307 Providing special test equipment.
- 245.307-2 Acquiring special test equipment.
- 245.310 Providing agency-peculiar property.
- 245.310-70 Contract clause.

SUBPART 245.4—USE AND RENTAL OF GOVERNMENT PROPERTY

- 245.401 Policy.
- 245.403 Rental--Use and charges clause.
- 245.405 Contracts with foreign governments or international organizations.
- 245.407 Non-Government use of plant equipment.

SUBPART 245.5—MANAGEMENT OF GOVERNMENT PROPERTY IN THE POSSESSION OF CONTRACTORS

- 245.505 Records and reports of Government property.
- 245.505-3 Records of material.
- 245.505-5 Records of plant equipment.
- 245.505-6 Special reports of plant equipment.
- 245.505-14 Reports of Government property.

SUBPART 245.6—REPORTING, REDISTRIBUTION, AND DISPOSAL OF CONTRACTOR INVENTORY

- 245.601 Definitions.
- 245.603 Disposal methods.
- 245.603-70 Contractor performance of plant clearance duties.
- 245.603-71 Disposal of contractor inventory for NATO cooperative projects.
- 245.604 Restrictions on purchase or retention of contractor inventory.
- 245.606 Inventory schedules.
- 245.606-3 Acceptance.
- 245.606-5 Instructions for preparing and submitting schedules of contractor inventory.
- 245.606-70 Instructions for completing DD Form 1342, DoD Property Record.
- 245.607 Scrap.
- 245.607-1 General.

Defense Federal Acquisition Regulation Supplement

Part 245—Government Property

- 245.607-2 Recovering precious metals.
- 245.607-70 Scrap warranty.
- 245.608 Screening of contractor inventory.
- 245.608-1 General.
- 245.608-2 Standard screening.
- 245.608-5 Special items screening.
- 245.608-7 Reimbursement of cost for transfer of contractor inventory.
- 245.608-70 Contractor inventory redistribution system (CIRS).
- 245.608-71 Screening industrial plant equipment.
- 245.608-72 Screening excess automatic data processing equipment (ADPE).
- 245.609 Donations.
- 245.610 Sale of surplus contractor inventory.
- 245.610-1 Responsibility.
- 245.610-3 Proceeds of sale.
- 245.610-4 Contractor inventory in foreign countries.
- 245.612 Removal and storage.
- 245.612-3 Special storage at the Government's expense.
- 245.613 Property disposal determinations.

SUBPART 245.70—APPOINTMENT OF PROPERTY ADMINISTRATORS AND PLANT CLEARANCE OFFICERS

- 245.7001 Selection, appointment, and termination.
- 245.7002 Duties and responsibilities of plant clearance officers.

SUBPART 245.71—PLANT CLEARANCE FORMS

- 245.7101 Forms.
- 245.7101-1 Standard Form 97, Certificate of Release of a Motor Vehicle (Agency Record Copy).
- 245.7101-2 DD Form 1149, Requisition and Invoice Shipping Document.
- 245.7101-3 DD Form 1348-1, DoD Single Line Item Release/Receipt Document.
- 245.7101-4 DD Form 1640, Request for Plant Clearance.

SUBPART 245.72—SPECIAL INSTRUCTIONS

- 245.7201 Performing inventory verifications and determination of allocability.
- 245.7202 Establishing a plant clearance case.
- 245.7203 Assigning plant clearance case numbers.
- 245.7204 Preparing inventory disposal report.
- 245.7205 Reporting excess and surplus contractor inventory.
- 245.7206 Transmitting DD Form 1342, DoD Property Record.

SUBPART 245.73—SALE OF SURPLUS CONTRACTOR INVENTORY

- 245.7301 Policy.
- 245.7302 Competitive sales.
- 245.7302-1 Property descriptions.
- 245.7302-2 Lotting.
- 245.7302-3 Alternate bids.
- 245.7302-4 Basis for sale.
- 245.7302-5 Mailing lists.
- 245.7303 Formal bid procedures.
- 245.7304 Informal bid procedures.
- 245.7305 Sale approval and award.
- 245.7306 Sales services.

Defense Federal Acquisition Regulation Supplement

Part 245—Government Property

245.7307	Non-competitive sales.
245.7307-1	General.
245.7307-2	Justification.
245.7308	Antitrust notification.
245.7309	Mandatory terms and conditions--formal invitations.
245.7309-1	Inspection.
245.7309-2	Condition and location of property.
245.7309-3	Consideration of bids.
245.7309-4	Payment.
245.7309-5	Title.
245.7309-6	Delivery and removal of property.
245.7309-7	Default.
245.7309-8	Variations in quantity or weight.
245.7309-9	Weighing.
245.7309-10	Risk of loss.
245.7309-11	Liability.
245.7309-12	Oral statements.
245.7309-13	Eligibility of bidders.
245.7309-14	Claims liability.
245.7310	Special term and conditions.
245.7310-1	Demilitarization.
245.7310-2	Performance bond.
245.7310-3	Liability and insurance.
245.7310-4	Dangerous property.
245.7310-5	Controlled substances.
245.7310-6	Radioactive material.
245.7310-7	Scrap warranty.
245.7310-8	Antitrust clearance.
245.7311	Optional conditions.
245.7311-1	Sales and use tax liability.
245.7311-2	Safety, security, and fire regulations.
245.7311-3	Bid deposits.
245.7311-4	Other special conditions.

Defense Federal Acquisition Regulation Supplement

Part 245—Government Property

SUBPART 245.1—GENERAL

(Revised May 19, 2006)

245.104 Review and correction of contractor's property control systems.

(a) The property administrator shall perform property administration in accordance with DoD 4161.2-M, Manual for the Performance of Contract Property Administration.

245.106 Government property clauses.

See DoD Class Deviation [99-O0008](#), Federal Acquisition Regulation (FAR) Part 45, issued on July 13, 1999. This deviation is effective until incorporated into the FAR.

SUBPART 245.3—PROVIDING GOVERNMENT PROPERTY TO CONTRACTORS

(Revised May 19, 2006)

245.301 Definitions.

“Agency-peculiar property,” as used in DoD, means military property and includes end items and integral components of military weapons systems, along with the related peculiar support equipment which is not readily available as a commercial item.

“Facilities project” means a Government project to provide, modernize or replace facilities for use by a contractor in performing a Government contract or subcontract.

“Industrial plant equipment (IPE)” means plant equipment in Federal stock group 34 with an acquisition cost of \$15,000 or more used for cutting, abrading, grinding, shaping, forming, joining, heating, treating, or otherwise altering the physical properties of materials, components or end items entailed in manufacturing, maintenance, supply, processing, assembly, or research and development operations. IPE is further identified in AR 700-43/NAVSUP PUB 5009/AFM 78-9/DLAM 4215.1, Management of Defense-Owned Industrial Plant Equipment.

“Mapping, charting, and geodesy (MC&G) property” is defined in the clause at 252.245-7000, Government Furnished Mapping, Charting and Geodesy Property.

“Other plant equipment” (OPE) means plant equipment regardless of dollar value, used in or in conjunction with the manufacture of components or end items relative to maintenance, supply, processing, assembly or research and development operations. OPE excludes equipment categorized as IPE.

“Provide” means either to furnish, as in Government-furnished property, or to acquire, as in contractor-acquired property.

245.302 Providing facilities.

245.302-1 Policy.

(a)(4)(A) Comply with DoDD 4275.5, Acquisition and Management of Industrial Resources, in processing a request for facilities. Submit requests for approval of facilities projects—

(1) To the Secretaries of the military departments and the directors of defense agencies for Government-owned facilities projects if the project—

(i) Is a research and development-funded effort not exceeding \$3 million per fiscal year; or

(ii) Is funded from procurement appropriations, approved on a location basis, and does not exceed \$5 million for all property efforts during one fiscal year; or

Defense Federal Acquisition Regulation Supplement

Part 245—Government Property

(iii) Is in support of a major system or subsystem (including ammunition-related projects) and the total investment will not exceed \$25 million during the projected acquisition or maintenance effort.

(2) To the Office of the Deputy Under Secretary of Defense (Industrial Affairs and Installations) for projects exceeding the limitations in paragraph (a)(4)(A)(1) of this subsection.

(B) The contracting officer shall coordinate the Determination and Finding with the program or project manager.

(C) Departments and agencies must submit reports of facilities projects to the House and Senate Armed Services Committees—

(1) At least 30 days before starting facilities projects involving real property (10 U.S.C. 2662); and

(2) In advance of starting construction for a facilities project regardless of cost. Use DD Form 1391, FY__ Military Construction Project Data, to notify congressional committees of projects that are not included in the annual budget.

(b)(1)(A) Industrial plant equipment. Before acquiring industrial plant equipment—

(1) Submit a DD Form 1419, DoD Industrial Plant Equipment Requisition, to the Defense Supply Center, Richmond (DSCR), ATTN: DSCR-JH, 8000 Jefferson Davis Highway, Richmond, VA 23297-5100, in accordance with AR 700-43/NAVSUP PUB 5009/AFM 78-9/DLAM 4215.1, Management of Defense-Owned Industrial Plant Equipment, to determine whether existing, reallocable Government-owned facilities can be used.

(2) Do not acquire any item listed on the DD Form 1419 until a certificate of nonavailability is received from DSCR.

(B) Automatic data processing equipment. The administrative contracting officer submits contractor requests to acquire automatic data processing equipment to the Defense Information Systems Agency, Chief Information Officer, Defense Automation Resources Management Program Division, ATTN: D03D, 701 South Courthouse Road, Arlington, VA 22204-2199, in accordance with the Defense Automation Resources Management Manual.

245.302-2 Facilities contracts.

Terminate facilities contracts when Government production and research property is no longer required for the performance of Government contracts or subcontracts, unless termination is not in the best interest of the Government. The contractor is not allowed to extend the time for use of property provided under the facilities contract without Government authorization.

245.302-6 Required Government property clauses for facilities contracts.

See DoD Class Deviation [99-O0008](#), Federal Acquisition Regulation (FAR) Part 45, issued on July 13, 1999. This deviation is effective until incorporated into the FAR.

245.302-7 Optional property-related clauses for facilities contracts.

Use the clause at 252.225-7030, Restriction on Acquisition of Carbon, Alloy, and Armor Steel Plate, as prescribed in 225.7017-4.

245.303 Providing material.

245.303-2 Procedures.

When a contractor will be responsible for preparing requisitioning documentation, include in the contract the requirement to prepare the documentation in accordance with DoD 4000.25-1-M, Military Standard Requisitioning and Issue Procedures (MILSTRIP). Copies are available from the address cited at 251.102(e)2.b(2).

245.306-5 Contract clause.

See DoD Class Deviation [99-O0012](#), Special Tooling, issued on September 16, 1999. This deviation is effective until incorporated into the FAR.

245.307 Providing special test equipment.

245.307-2 Acquiring special test equipment.

(b) *Notice and approval.*

(1) The review requires a written evaluation from the appropriate technical specialist.

245.310 Providing agency-peculiar property.

(c) All Government-furnished mapping, charting, and geodesy (MC&G) property is under the control of the Director, National Imagery and Mapping Agency (NIMA).

(i) MC&G property shall not be duplicated, copied, or otherwise reproduced for purposes other than those necessary for contract performance.

(ii) Upon completion of contract performance, the contracting officer shall—

(A) Contact the Director, NIMA(PP), 8613 Lee Highway, Fairfax, VA 22031-2137, for disposition instructions;

(B) Direct the contractor to destroy or return all Government-furnished MC&G property not consumed during contract performance; and

(C) Specify the destination and means of shipment for material to be returned to the Government.

245.310-70 Contract clause.

Use the clause at 252.245-7000, Government-Furnished Mapping, Charting, and Geodesy Property, in solicitations and contracts when mapping, charting, and geodesy property is to be furnished.

**SUBPART 245.5—MANAGEMENT OF GOVERNMENT PROPERTY IN THE
POSSESSION OF CONTRACTORS**

(Revised May 19, 2006)

See DoD Class Deviation [99-O0008](#), Federal Acquisition Regulation (FAR) Part 45, issued on July 13, 1999. This deviation is effective until incorporated into the FAR.

245.505 Records and reports of Government property.

245.505-3 Records of material.

If adequate controls are in place to meet the requirements of the clause at 252.242-7004, Material Management and Accounting System, the contractor's material control system may physically commingle inventories that may include materials for which costs are charged or allocated to fixed-price, cost-reimbursement, and commercial contracts. Government-furnished material (GFM) may not be physically commingled with other material, nor may GFM be used on contractor's commercial work.

245.505-5 Records of plant equipment.

(a) The contractor may use DD Form 1342, DoD Property Record, as a source document for setting up prescribed records.

245.505-6 Special reports of plant equipment.

The contractor shall prepare a DD Form 1342 in accordance with instructions contained in AR 700-43/NAVSUP PUB 5009/AFM 78-9/DLAM 4215.1, Management of Defense-Owned Industrial Plant Equipment (IPE)—

(1) Upon receipt and acceptance of each item of IPE including items which, though part of a manufacturing system, would otherwise qualify as IPE;

(2) Whenever major changes occur in the data initially submitted to Defense Supply Center Richmond (DSCR) (as specified by DLAM 4215.1);

(3) When IPE, including general purpose components of special test equipment which otherwise qualify as IPE, is no longer required for the purpose authorized or provided; or

(4) When disposal is completed.

245.505-14 Reports of Government property.

(a) Use the clause at 252.245-7001, Reports of Government Property, in all solicitations and contracts containing one of the following clauses—

(1) FAR 52.245-2, Government Property (Fixed-Price Contracts);

(2) FAR 52.245-5, Government Property (Cost Reimbursement, Time-and-Material, or Labor-Hour Contracts);

(3) FAR 52.245-7, Government Property (Consolidated Facilities);

Defense Federal Acquisition Regulation Supplement

Part 245—Government Property

- (4) FAR 52.245-10, Government Property (Facilities Acquisition); or
- (5) FAR 52.245-11, Government Property (Facilities Use).

Defense Federal Acquisition Regulation Supplement

Part 252—Solicitation Provisions and Contract Clauses

(Revised May 19, 2006)

252.211-7000 Acquisition Streamlining.

As prescribed in 211.002-70, use the following clause:

ACQUISITION STREAMLINING (DEC 1991)

- (a) The Government's acquisition streamlining objectives are to—
 - (1) Acquire systems that meet stated performance requirements;
 - (2) Avoid over-specification; and
 - (3) Ensure that cost-effective requirements are included in future acquisitions.
- (b) The Contractor shall—
 - (1) Prepare and submit acquisition streamlining recommendations in accordance with the statement of work of this contract; and
 - (2) Format and submit the recommendations as prescribed by data requirements on the contract data requirements list of this contract.
- (c) The Government has the right to accept, modify, or reject the Contractor's recommendations.
- (d) The Contractor shall insert this clause, including this paragraph (d), in all subcontracts over \$1 million, awarded in the performance of this contract.

(End of clause)

252.211-7001 Availability of Specifications, Standards, and Data Item Descriptions Not Listed in the Acquisition Streamlining and Standardization Information System (ASSIST), and Plans, Drawings, and Other Pertinent Documents.

As prescribed in 211.204(c), use the following provision:

AVAILABILITY OF SPECIFICATIONS, STANDARDS, AND DATA ITEM DESCRIPTIONS NOT LISTED IN THE ACQUISITION STREAMLINING AND STANDARDIZATION INFORMATION SYSTEM (ASSIST), AND PLANS, DRAWINGS, AND OTHER PERTINENT DOCUMENTS (MAY 2006)

Offerors may obtain the specifications, standards, plans, drawings, data item descriptions, and other pertinent documents cited in this solicitation by submitting a request to:

(Activity) _____

(Complete Address) _____

Defense Federal Acquisition Regulation Supplement

Part 252—Solicitation Provisions and Contract Clauses

Include the number of the solicitation and the title and number of the specification, standard, plan, drawing, or other pertinent document.

(End of provision)

252.211-7002 Availability for Examination of Specifications, Standards, Plans, Drawings, Data Item Descriptions, and Other Pertinent Documents.

As prescribed in 211.204(c), use the following provision:

AVAILABILITY FOR EXAMINATION OF SPECIFICATIONS, STANDARDS, PLANS, DRAWINGS, DATA ITEM DESCRIPTIONS, AND OTHER PERTINENT DOCUMENTS (DEC 1991)

The specifications, standards, plans, drawings, data item descriptions, and other pertinent documents cited in this solicitation are not available for distribution but may be examined at the following location:

(Insert complete address)

(End of provision)

252.211-7003 Item Identification and Valuation.

As prescribed in 211.274-4, use the following clause:

ITEM IDENTIFICATION AND VALUATION (JUN 2005)

(a) *Definitions.* As used in this clause—

“Automatic identification device” means a device, such as a reader or interrogator, used to retrieve data encoded on machine-readable media.

“Concatenated unique item identifier” means—

(1) For items that are serialized within the enterprise identifier, the linking together of the unique identifier data elements in order of the issuing agency code, enterprise identifier, and unique serial number within the enterprise identifier; or

(2) For items that are serialized within the original part, lot, or batch number, the linking together of the unique identifier data elements in order of the issuing agency code; enterprise identifier; original part, lot, or batch number; and serial number within the original part, lot, or batch number.

“Data qualifier” means a specified character (or string of characters) that immediately precedes a data field that defines the general category or intended use of the data that follows.

“DoD recognized unique identification equivalent” means a unique identification method that is in commercial use and has been recognized by DoD. All DoD recognized unique identification equivalents are listed at <http://www.acq.osd.mil/dpap/UID/equivalents.html>.

Defense Federal Acquisition Regulation Supplement

Part 252—Solicitation Provisions and Contract Clauses

“DoD unique item identification” means a system of marking items delivered to DoD with unique item identifiers that have machine-readable data elements to distinguish an item from all other like and unlike items. For items that are serialized within the enterprise identifier, the unique item identifier shall include the data elements of the enterprise identifier and a unique serial number. For items that are serialized within the part, lot, or batch number within the enterprise identifier, the unique item identifier shall include the data elements of the enterprise identifier; the original part, lot, or batch number; and the serial number.

“Enterprise” means the entity (e.g., a manufacturer or vendor) responsible for assigning unique item identifiers to items.

“Enterprise identifier” means a code that is uniquely assigned to an enterprise by an issuing agency.

“Government’s unit acquisition cost” means—

(1) For fixed-price type line, subline, or exhibit line items, the unit price identified in the contract at the time of delivery;

(2) For cost-type or undefinitized line, subline, or exhibit line items, the Contractor’s estimated fully burdened unit cost to the Government at the time of delivery; and

(3) For items produced under a time-and-materials contract, the Contractor’s estimated fully burdened unit cost to the Government at the time of delivery.

“Issuing agency” means an organization responsible for assigning a non-repeatable identifier to an enterprise (i.e., Dun & Bradstreet’s Data Universal Numbering System (DUNS) Number, Uniform Code Council (UCC) /EAN International (EAN) Company Prefix, or Defense Logistics Information System (DLIS) Commercial and Government Entity (CAGE) Code).

“Issuing agency code” means a code that designates the registration (or controlling) authority for the enterprise identifier.

“Item” means a single hardware article or a single unit formed by a grouping of subassemblies, components, or constituent parts.

“Lot or batch number” means an identifying number assigned by the enterprise to a designated group of items, usually referred to as either a lot or a batch, all of which were manufactured under identical conditions.

“Machine-readable” means an automatic identification technology media, such as bar codes, contact memory buttons, radio frequency identification, or optical memory cards.

“Original part number” means a combination of numbers or letters assigned by the enterprise at item creation to a class of items with the same form, fit, function, and interface.

Defense Federal Acquisition Regulation Supplement

Part 252—Solicitation Provisions and Contract Clauses

“Parent item” means the item assembly, intermediate component, or subassembly that has an embedded item with a unique item identifier or DoD recognized unique identification equivalent.

“Serial number within the enterprise identifier” means a combination of numbers, letters, or symbols assigned by the enterprise to an item that provides for the differentiation of that item from any other like and unlike item and is never used again within the enterprise.

“Serial number within the part, lot, or batch number” means a combination of numbers or letters assigned by the enterprise to an item that provides for the differentiation of that item from any other like item within a part, lot, or batch number assignment.

“Serialization within the enterprise identifier” means each item produced is assigned a serial number that is unique among all the tangible items produced by the enterprise and is never used again. The enterprise is responsible for ensuring unique serialization within the enterprise identifier.

“Serialization within the part, lot, or batch number” means each item of a particular part, lot, or batch number is assigned a unique serial number within that part, lot, or batch number assignment. The enterprise is responsible for ensuring unique serialization within the part, lot, or batch number within the enterprise identifier.

“Unique item identifier” means a set of data elements marked on items that is globally unique and unambiguous.

“Unique item identifier type” means a designator to indicate which method of uniquely identifying a part has been used. The current list of accepted unique item identifier types is maintained at http://www.acq.osd.mil/dpap/UID/uid_types.html.

(b) The Contractor shall deliver all items under a contract line, subline, or exhibit line item.

(c) *DoD unique item identification or DoD recognized unique identification equivalents.*

(1) The Contractor shall provide DoD unique item identification, or a DoD recognized unique identification equivalent, for—

(i) All delivered items for which the Government’s unit acquisition cost is \$5,000 or more; and

(ii) The following items for which the Government’s unit acquisition cost is less than \$5,000:

Contract Line, Subline, or
Exhibit Line Item Number

Item Description

Defense Federal Acquisition Regulation Supplement

Part 252—Solicitation Provisions and Contract Clauses

(iii) Subassemblies, components, and parts embedded within delivered items as specified in Attachment Number ____.

(2) The concatenated unique item identifier and the component data elements of the DoD unique item identification or DoD recognized unique identification equivalent shall not change over the life of the item.

(3) *Data syntax and semantics of DoD unique item identification and DoD recognized unique identification equivalents.* The Contractor shall ensure that—

(i) The encoded data elements (except issuing agency code) of the unique item identifier are marked on the item using one of the following three types of data qualifiers, as determined by the Contractor:

(A) Data Identifiers (DIs) (Format 06) in accordance with ISO/IEC International Standard 15418, Information Technology – EAN/UCC Application Identifiers and ANSI MH 10 Data Identifiers and ANSI MH 10 Data Identifiers and Maintenance.

(B) Application Identifiers (AIs) (Format 05), in accordance with ISO/IEC International Standard 15418, Information Technology – EAN/UCC Application Identifiers and ANSI MH 10 Data Identifiers and ANSI MH 10 Data Identifiers and Maintenance.

(C) Text Element Identifiers (TEIs), in accordance with the DoD collaborative solution “DD” format for use until the solution is approved by ISO/IEC JTC1 SC 31. The “DD” format is described in Appendix D of the DoD Guide to Uniquely Identifying Items, available at <http://www.acq.osd.mil/dpap/UID/guides.htm>; and

(ii) The encoded data elements of the unique item identifier conform to ISO/IEC International Standard 15434, Information Technology – Syntax for High Capacity Automatic Data Capture Media.

(4) *DoD unique item identification and DoD recognized unique identification equivalents.*

(i) The Contractor shall—

(A) Determine whether to serialize within the enterprise identifier or serialize within the part, lot, or batch number; and

(B) Place the data elements of the unique item identifier (enterprise identifier; serial number; and for serialization within the part, lot, or batch number only; original part, lot, or batch number) on items requiring marking by paragraph (c)(1) of this clause, based on the criteria provided in the version of MIL-STD-130, Identification Marking of U.S. Military Property, cited in the contract Schedule.

(ii) The issuing agency code—

Defense Federal Acquisition Regulation Supplement

Part 252—Solicitation Provisions and Contract Clauses

(A) Shall not be placed on the item; and

(B) Shall be derived from the data qualifier for the enterprise identifier.

(d) For each item that requires unique item identification under paragraph (c)(1)(i) or (ii) of this clause, in addition to the information provided as part of the Material Inspection and Receiving Report specified elsewhere in this contract, the Contractor shall report at the time of delivery, either as part of, or associated with, the Material Inspection and Receiving Report, the following information:

(1) Concatenated unique item identifier; or DoD recognized unique identification equivalent.

(2) Unique item identifier type.

(3) Issuing agency code (if concatenated unique item identifier is used).

(4) Enterprise identifier (if concatenated unique item identifier is used).

(5) Original part number.

(6) Lot or batch number.

(7) Current part number (if not the same as the original part number).

(8) Current part number effective date.

(9) Serial number.

(10) Government's unit acquisition cost.

(e) For embedded DoD serially managed subassemblies, components, and parts that require unique item identification under paragraph (c)(1)(iii) of this clause, the Contractor shall report at the time of delivery, either as part of, or associated with the Material Inspection and Receiving Report specified elsewhere in this contract, the following information:

(1) Concatenated unique item identifier or DoD recognized unique identification equivalent of the parent item delivered under a contract line, subline, or exhibit line item that contains the embedded subassembly, component, or part.

(2) Concatenated unique item identifier or DoD recognized unique identification equivalent of the embedded subassembly, component, or part.

(3) Unique item identifier type.**

(4) Issuing agency code (if concatenated unique item identifier is used).**

(5) Enterprise identifier (if concatenated unique item identifier is used).**

Defense Federal Acquisition Regulation Supplement

Part 252—Solicitation Provisions and Contract Clauses

- (6) Original part number.**
- (7) Lot or batch number.**
- (8) Current part number (if not the same as the original part number).**
- (9) Current part number effective date.**
- (10) Serial number.**
- (11) Unit of measure.
- (12) Description.

** Once per item.

(f) The Contractor shall submit the information required by paragraphs (d) and (e) of this clause in accordance with the data submission procedures at <http://www.acq.osd.mil/dpap/UID/DataSubmission.htm>.

(g) *Subcontracts.* If paragraph (c)(1) of this clause applies, the Contractor shall include this clause, including this paragraph (g), in all subcontracts issued under this contract.

(End of clause)

ALTERNATE I (APR 2005)

As prescribed in 211.274-4(c), delete paragraphs (c), (d), (e), (f), and (g) of the basic clause, and add the following paragraphs (c) and (d) to the basic clause:

(c) For each item delivered under a contract line, subline, or exhibit line item under paragraph (b) of this clause, in addition to the information provided as part of the Material Inspection and Receiving Report specified elsewhere in this contract, the Contractor shall report the Government's unit acquisition cost.

(d) The Contractor shall submit the information required by paragraph (c) of this clause in accordance with the data submission procedures at <http://www.acq.osd.mil/dpap/UID/DataSubmission.htm>.

252.211-7004 Alternate Preservation, Packaging, and Packing.

As prescribed in 211.272, use the following provision:

ALTERNATE PRESERVATION, PACKAGING, AND PACKING (DEC 1991)

(a) The Offeror may submit two unit prices for each item--one based on use of the military preservation, packaging, or packing requirements of the solicitation; and an alternate based on use of commercial or industrial preservation, packaging, or packing of equal or better protection than the military.

Defense Federal Acquisition Regulation Supplement

Part 252—Solicitation Provisions and Contract Clauses

(b) If the Offeror submits two unit prices, the following information, as a minimum, shall be submitted with the offer to allow evaluation of the alternate—

(1) The per unit/item cost of commercial or industrial preservation, packaging, and packing;

(2) The per unit/item cost of military preservation, packaging, and packing;

(3) The description of commercial or industrial preservation, packaging, and packing procedures, including material specifications, when applicable, to include—

(i) Method of preservation;

(ii) Quantity per unit package;

(iii) Cleaning/drying treatment;

(iv) Preservation treatment;

(v) Wrapping materials;

(vi) Cushioning/dunnage material;

(vii) Thickness of cushioning;

(viii) Unit container;

(ix) Unit package gross weight and dimensions;

(x) Packing; and

(xi) Packing gross weight and dimensions; and

(4) Item characteristics, to include—

(i) Material and finish;

(ii) Net weight;

(iii) Net dimensions; and

(iv) Fragility.

(c) If the Contracting Officer does not evaluate or accept the Offeror's proposed alternate commercial or industrial preservation, packaging, or packing, the Offeror agrees to preserve, package, or pack in accordance with the specified military requirements.

(End of provision)

252.211-7005 Substitutions for Military or Federal Specifications and Standards.

As prescribed in 211.273-4, use the following clause:

SUBSTITUTIONS FOR MILITARY OR FEDERAL SPECIFICATIONS AND STANDARDS (NOV 2005)

(a) *Definition.* “SPI process,” as used in this clause, means a management or manufacturing process that has been accepted previously by the Department of Defense under the Single Process Initiative (SPI) for use in lieu of a specific military or Federal specification or standard at specific facilities. Under SPI, these processes are reviewed and accepted by a Management Council, which includes representatives of the Contractor, the Defense Contract Management Agency, the Defense Contract Audit Agency, and the military departments.

(b) Offerors are encouraged to propose SPI processes in lieu of military or Federal specifications and standards cited in the solicitation. A listing of SPI processes accepted at specific facilities is available via the Internet at http://guidebook.dcmsa.mil/20/guidebook_process.htm (paragraph 4.2).

(c) An offeror proposing to use an SPI process in lieu of military or Federal specifications or standards cited in the solicitation shall—

(1) Identify the specific military or Federal specification or standard for which the SPI process has been accepted;

(2) Identify each facility at which the offeror proposes to use the specific SPI process in lieu of military or Federal specifications or standards cited in the solicitation;

(3) Identify the contract line items, subline items, components, or elements affected by the SPI process; and

(4) If the proposed SPI process has been accepted at the facility at which it is proposed for use, but is not yet listed at the Internet site specified in paragraph (b) of this clause, submit documentation of Department of Defense acceptance of the SPI process.

(d) Absent a determination that an SPI process is not acceptable for this procurement, the Contractor shall use the following SPI processes in lieu of military or Federal specifications or standards:

Defense Federal Acquisition Regulation Supplement

Part 252—Solicitation Provisions and Contract Clauses

(Offeror insert information for each SPI process)

SPI Process: _____

Facility: _____

Military or Federal
Specification or Standard: _____

Affected Contract Line Item
Number, Subline Item
Number, Component, or
Element: _____

(e) If a prospective offeror wishes to obtain, prior to the time specified for receipt of offers, verification that an SPI process is an acceptable replacement for military or Federal specifications or standards required by the solicitation, the prospective offeror—

(1) May submit the information required by paragraph (d) of this clause to the Contracting Officer prior to submission of an offer; but

(2) Must submit the information to the Contracting Officer at least 10 working days prior to the date specified for receipt of offers.

(End of clause)

252.211-7006 Radio Frequency Identification.

As prescribed in 211.275-3, use the following clause:

RADIO FREQUENCY IDENTIFICATION (MAY 2006)

(a) *Definitions.* As used in this clause—

“Advance shipment notice” means an electronic notification used to list the contents of a shipment of goods as well as additional information relating to the shipment, such as order information, product description, physical characteristics, type of packaging, marking, carrier information, and configuration of goods within the transportation equipment.

“Bulk commodities” means the following commodities, when shipped in rail tank cars, tanker trucks, trailers, other bulk wheeled conveyances, or pipelines:

- (1) Sand.
- (2) Gravel.
- (3) Bulk liquids (water, chemicals, or petroleum products).

Defense Federal Acquisition Regulation Supplement

Part 252—Solicitation Provisions and Contract Clauses

- (4) Ready-mix concrete or similar construction materials.
- (5) Coal or combustibles such as firewood.
- (6) Agricultural products such as seeds, grains, or animal feed.

“Case” means either a MIL-STD-129 defined exterior container within a palletized unit load or a MIL-STD-129 defined individual shipping container.

“Electronic Product Code™ (EPC)” means an identification scheme for universally identifying physical objects via RFID tags and other means. The standardized EPC data consists of an EPC (or EPC identifier) that uniquely identifies an individual object, as well as an optional filter value when judged to be necessary to enable effective and efficient reading of the EPC tags. In addition to this standardized data, certain classes of EPC tags will allow user-defined data. The EPC tag data standards will define the length and position of this data, without defining its content.

“EPCglobal™” means a joint venture between EAN International and the Uniform Code Council to establish and support the EPC network as the global standard for immediate, automatic, and accurate identification of any item in the supply chain of any company, in any industry, anywhere in the world.

“Exterior container” means a MIL-STD-129 defined container, bundle, or assembly that is sufficient by reason of material, design, and construction to protect unit packs and intermediate containers and their contents during shipment and storage. It can be a unit pack or a container with a combination of unit packs or intermediate containers. An exterior container may or may not be used as a shipping container.

“Palletized unit load” means a MIL-STD-129 defined quantity of items, packed or unpacked, arranged on a pallet in a specified manner and secured, strapped, or fastened on the pallet so that the whole palletized load is handled as a single unit. A palletized or skidded load is not considered to be a shipping container. A loaded 463L System pallet is not considered to be a palletized unit load. Refer to the Defense Transportation Regulation, DoD 4500.9-R, Part II, Chapter 203, for marking of 463L System pallets.

“Passive RFID tag” means a tag that reflects energy from the reader/interrogator or that receives and temporarily stores a small amount of energy from the reader/interrogator signal in order to generate the tag response.

- (1) Until September 30, 2006, the acceptable tags are—
 - (i) EPC Class 0 passive RFID tags that meet the EPCglobal Class 0 specification; and
 - (ii) EPC Class 1 passive RFID tags that meet the EPCglobal Class 1 specification. This includes both the Generation 1 and Generation 2 Class 1 specifications.
- (2) Beginning October 1, 2006, the only acceptable tags are EPC Class 1 passive RFID tags that meet the EPCglobal Class 1 Generation 2 specification. Class 0 and

Defense Federal Acquisition Regulation Supplement

Part 252—Solicitation Provisions and Contract Clauses

Class 1 Generation 1 tags will no longer be accepted after September 30, 2006.

“Radio Frequency Identification (RFID)” means an automatic identification and data capture technology comprising one or more reader/interrogators and one or more radio frequency transponders in which data transfer is achieved by means of suitably modulated inductive or radiating electromagnetic carriers.

“Shipping container” means a MIL-STD-129 defined exterior container that meets carrier regulations and is of sufficient strength, by reason of material, design, and construction, to be shipped safely without further packing (e.g., wooden boxes or crates, fiber and metal drums, and corrugated and solid fiberboard boxes).

(b)(1) Except as provided in paragraph (b)(2) of this clause, the Contractor shall affix passive RFID tags, at the case and palletized unit load packaging levels, for shipments of items that—

(i) Are in any of the following classes of supply, as defined in DoD 4140.1-R, DoD Supply Chain Materiel Management Regulation, AP1.1.11:

(A) Subclass of Class I – Packaged operational rations.

(B) Class II – Clothing, individual equipment, tentage, organizational tool kits, hand tools, and administrative and housekeeping supplies and equipment.

(C) Class III – Packaged petroleum, lubricants, oils, preservatives, chemicals, and additives.

(D) Class IV – Construction and barrier materials.

(E) Class VI – Personal demand items (non-military sales items).

(F) Subclass of Class VIII – Medical materials (excluding pharmaceuticals, biologicals, and reagents).

(G) Class IX – Repair parts and components including kits, assemblies and subassemblies, repairable and consumable items required for maintenance support of all equipment, excluding medical-peculiar repair parts; and

(ii) Are being shipped to any of the following locations:

(A) Defense Distribution Depot, Susquehanna, PA: DoDAAC W25G1U
or SW3124.

(B) Defense Distribution Depot, San Joaquin, CA: DoDAAC W62G2T
or SW3224.

(C) Defense Distribution Depot, Albany, GA: DoDAAC SW3121.

(D) Defense Distribution Depot, Anniston, AL: DoDAAC W31G1Z or
SW3120.

(E) Defense Distribution Depot, Barstow, CA: DoDAAC SW3215.

Defense Federal Acquisition Regulation Supplement

Part 252—Solicitation Provisions and Contract Clauses

- (F) Defense Distribution Depot, Cherry Point, NC: DoDAAC SW3113.
- (G) Defense Distribution Depot, Columbus, OH: DoDAAC SW0700.
- (H) Defense Distribution Depot, Corpus Christi, TX: DoDAAC W45H08 or SW3222.
- (I) Defense Distribution Depot, Hill, UT: DoDAAC SW3210.
- (J) Defense Distribution Depot, Jacksonville, FL: DoDAAC SW3122.
- (K) Defense Distribution Depot, Oklahoma City, OK: DoDAAC SW3211.
- (L) Defense Distribution Depot, Norfolk, VA: DoDAAC SW3117.
- (M) Defense Distribution Depot, Puget Sound, WA: DoDAAC SW3216.
- (N) Defense Distribution Depot, Red River, TX: DoDAAC W45G19 or SW3227.
- (O) Defense Distribution Depot, Richmond, VA: DoDAAC SW0400.
- (P) Defense Distribution Depot, San Diego, CA: DoDAAC SW3218.
- (Q) Defense Distribution Depot, Tobyhanna, PA: DoDAAC W25G1W or SW3114.
- (R) Defense Distribution Depot, Warner Robins, GA: DoDAAC SW3119.
- (S) Air Mobility Command Terminal, Charleston Air Force Base, Charleston, SC: Air Terminal Identifier Code CHS.
- (T) Air Mobility Command Terminal, Naval Air Station, Norfolk, VA: Air Terminal Identifier Code NGU.
- (U) Air Mobility Command Terminal, Travis Air Force Base, Fairfield, CA: Air Terminal Identifier Code SUU.

(2) Bulk commodities are excluded from the requirements of paragraph (b)(1) of this clause.

(c) The Contractor shall ensure that—

(1) The data encoded on each passive RFID tag are unique (i.e., the binary number is never repeated on any and all contracts) and conforms to the requirements in paragraph (d) of this clause;

(2) Each passive tag is readable; and

Defense Federal Acquisition Regulation Supplement

Part 252—Solicitation Provisions and Contract Clauses

(3) The passive tag is affixed at the appropriate location on the specific level of packaging, in accordance with MIL-STD-129 (Section 4.9.2) tag placement specifications.

(d) *Data syntax and standards.* The Contractor shall encode an approved RFID tag using the instructions provided in the most recent EPC™ Tag Data Standards document, available at http://www.epcglobalinc.org/standards_technology/specifications.html.

(1) If the Contractor is an EPCglobal™ subscriber and possesses a unique EPC™ company prefix, the Contractor may use any of the identity types and encoding instructions described in the most recent EPC™ Tag Data Standards document to encode tags.

(2) If the Contractor chooses to employ the DoD Identity Type, the Contractor shall use its previously assigned Commercial and Government Entity (CAGE) Code and shall encode the tags in accordance with the tag identity type details located at http://www.acq.osd.mil/log/rfid/tag_data.htm. If the Contractor uses a third party packaging house to encode its tags, the CAGE code of the third party packaging house is acceptable.

(3) Regardless of the selected encoding scheme, the Contractor is responsible for ensuring that each tag contains a globally unique identifier.

(e) *Receiving report.* The Contractor shall electronically submit advance shipment notice(s) with the RFID tag identification (specified in paragraph (d) of this clause) in advance of the shipment in accordance with the procedures at http://www.acq.osd.mil/log/rfid/advance_shipment_ntc.htm.

(End of clause)

SUBPART 253.2—PRESCRIPTION OF FORMS
(Revised May 19, 2006)

253.204 Administrative matters.

253.204-70 DD Form 350, Individual Contracting Action Report.

Policy on use of a DD Form 350 is in 204.670-2. This subsection contains instructions for completion of the DD Form 350.

(a) *Part A of the DD Form 350.* Part A identifies the report and the reporting activity. Complete all four lines.

(1) LINE A1, TYPE OF REPORT. Enter one of the following codes:

(i) Code 0 - Original. Enter code 0 unless code 1 or code 2 applies.

(ii) Code 1 - Canceling. A canceling action cancels an existing DD Form 350 in accordance with departmental data collection point instructions.

(iii) Code 2 - Correcting. A correcting action corrects an existing DD Form 350 action in accordance with departmental data collection point instructions.

(2) LINE A2, REPORT NUMBER. Enter the six-position local control number (see 204.670-3(a)(5)). Do not leave blank or enter all zeros. If Line A1 is coded 1 or 2, use the prior report number rather than a new one.

(3) LINE A3, CONTRACTING OFFICE.

(i) LINE A3A, REPORTING AGENCY FIPS 95 CODE. Enter one of the following codes: 2100 (Army); 1700 (Navy); 5700 (Air Force); 96CE (Army Civil Works); 97AS (DLA); 9763 (DCMA); 97AB (NIMA); 9700 (all other defense agencies).

(ii) LINE A3B, CONTRACTING OFFICE CODE. Enter the code assigned by the departmental data collection point in 204.670-1(c).

(4) LINE A4, NAME OF CONTRACTING OFFICE. Enter sufficient detail to establish the identity of the contracting office.

(b) *Part B of the DD Form 350.* Part B describes the transaction.

(1) LINE B1, CONTRACT IDENTIFICATION INFORMATION.

(i) LINE B1A, CONTRACT NUMBER.

(A) Enter—

(1) The DoD contract number; or

(2) For orders under contracts awarded by other Federal agencies, the contract number of that Federal agency as it appears in the contractual instrument.

Defense Federal Acquisition Regulation Supplement

Part 253—Forms

(B) Do not leave spaces between characters, and do not enter dashes, slants, or any other punctuation marks.

(C) The DoD contract number is the basic (13-position alphanumeric character) procurement instrument identification number (PIIN) that was assigned in accordance with 204.7003 or constructed under an exception permitted by 204.7000. Do not enter any supplementary procurement instrument identification numbers as part of the contract number (these go on Line B2).

(ii) **LINE B1B, ORIGIN OF CONTRACT.** Enter the code that indicates the agency that assigned the contract number.

(A) Code A - DoD.

(B) Code B - NASA.

(C) Code C - Other Non-DoD Agency.

(iii) **LINE B1C, BUNDLED CONTRACT.** Enter one of the following codes:

(A) Code Y - Yes. Enter code Y when the contract meets the definition of “bundled contract” at FAR 2.101 and the contract value exceeds \$5 million.

(B) Code N - No. Enter code N when code Y does not apply.

(iv) **LINE B1D, BUNDLED CONTRACT EXCEPTION.** If Line B1C is coded Y, enter one of the following codes. Otherwise, leave Line B1D blank.

(A) Code A - Mission Critical. Enter code A if the agency has determined that the consolidation of requirements is critical to the agency's mission, but the measurably substantial benefits do not meet the thresholds set forth in FAR 7.107 to determine that the consolidation is necessary and justified.

(B) Code B - OMB Circular A-76. Enter code B if the agency used the OMB Circular A-76 process to determine that the consolidation of requirements is necessary and justified rather than applying the substantial benefits analysis required by FAR 7.107.

(C) Code C - Other. Enter code C when codes A and B do not apply.

(v) **LINE B1E, PERFORMANCE-BASED SERVICE CONTRACT** (see FAR Subpart 37.6). Enter one of the following codes:

(A) Code Y - Yes. Enter code Y when at least 80 percent of the contract value is for work that is performance based.

(B) Code N - No. Enter code N when code Y does not apply.

(2) **LINE B2, MODIFICATION, ORDER, OR OTHER ID NUMBER.**

Defense Federal Acquisition Regulation Supplement

Part 253—Forms

(i) **LINE B2A, ORDER OR OTHER ID NUMBER.** Enter the supplementary procurement instrument identification number if one was assigned in accordance with 204.7004 or as permitted by 204.7000. It can be up to 13 characters. Orders under DoD contracts have a four-position number (see 204.7004(d)); orders under non-DoD contracts have a 13-position number with an F in the ninth position.

(ii) **LINE B2B, MODIFICATION NUMBER.** Modifications to contracts and agreements have a six-position modification number (see 204.7004(c)); modifications to orders under DoD contracts have a two-position modification number (see 204.7004(e)); and modifications to orders under non-DoD contracts have a six-position modification number.

(3) LINE B3, ACTION DATE.

(i) Enter the year, month, and day of the effective date for fiscal obligation purposes.

(ii) Enter four digits for the year, two digits for the month, and two digits for the day. Use 01 through 12 for January through December. For example, enter January 2, 2003, as 20030102.

(4) LINE B4, COMPLETION DATE.

(i) Enter the year, month, and day of the last contract delivery date or the end of the performance period. If the contract is incrementally funded, report the completion date for the entire contract. Report the completion date associated with an option quantity when the option is exercised.

(ii) Enter four digits for the year, two digits for the month, and two digits for the day. Use 01 through 12 for January through December. For example, enter January 2, 2003, as 20030102.

(5) LINE B5, CONTRACTOR IDENTIFICATION INFORMATION.

(i) Use data that relates to the contractor whose name and address appear in the contract document (Block 7 of the SF 26, Award/Contract; Block 8 of the SF 30, Amendment of Solicitation/Modification of Contract; Block 15A of the SF 33, Solicitation, Offer and Award; or Block 9 of the DD Form 1155, Order for Supplies or Services), except—

(A) For contracts placed with the Small Business Administration under Section 8(a) of the Small Business Act, use data that relates to the company that will be performing the work;

(B) For Federal schedule orders, use data that applies to the contractor whose name appears on the schedule (not the data for the agent to whom orders may be sent); and

(C) For contracts with the Canadian Commercial Corporation (CCC), use data for the appropriate CCC office.

Defense Federal Acquisition Regulation Supplement

Part 253—Forms

(ii) Some of the parts of Line B5 may not apply to the action being reported. Follow the instructions for each part.

(A) LINE B5A, CONTRACTOR IDENTIFICATION NUMBER (DUNS).

(1) Enter the contractor's 9-position Data Universal Numbering System (DUNS) number (see FAR 4.602(d) and 4.603 and DFARS Subpart 204.73).

(2) For U.S. Army Contracting Command, Europe, consolidated reporting of vouchers for utilities from municipalities, use DUNS number 15-390-6193 (see 204.670-6(b)(1)).

(B) LINE B5B, GOVERNMENT AGENCY. Enter one of the following codes:

(1) Code Y - Yes. Enter code Y when the contractor is a Federal, State, or local government agency of the United States and outlying areas (see 204.670-1(d)). Do not use code Y when the government agency is an educational institution or a JWOD Participating Nonprofit Agency.

(2) Code N - No. Enter code N when code Y does not apply.

(C) LINE B5C. Reserved.

(D) LINE B5D, CONTRACTOR NAME AND DIVISION NAME. Enter the contractor's name as stated in the offer and resultant contract. Include its division name.

(E) LINE B5E, CONTRACTOR ADDRESS. Enter the contractor's address as stated in the offer and resultant contract. Include street address or P.O. Box, city or town, state or country, and ZIP code, if applicable. Do not enter foreign postal codes.

(F) LINE B5F, TAXPAYER IDENTIFICATION NUMBER. Enter the contractor's taxpayer identification number (TIN) (see FAR Subpart 4.9). Leave Line B5F blank if the contractor is—

(1) A nonresident alien, foreign corporation, or foreign partnership that does not have income effectively connected with the trade or business in the United States; and does not have an office or place of business or a fiscal paying agent in the United States;

(2) An agency or instrumentality of a foreign government; or

(3) An agency or instrumentality of the Federal Government.

(G) LINE B5G, PARENT TAXPAYER IDENTIFICATION NUMBER. Enter the contractor's parent company (common parent) TIN (see FAR Subpart 4.9 and 52.204-3). If the contractor does not have a parent company or the parent company meets the exemption for Line B5F, leave Line B5G blank.

Defense Federal Acquisition Regulation Supplement

Part 253—Forms

(H) LINE B5H, PARENT NAME. If a parent company TIN is entered on Line B5G, enter the name of the parent company (common parent) on Line B5H. Leave Line B5H blank if there is no parent company or the parent company is exempted from the requirement to have a TIN.

(6) LINE B6, PRINCIPAL PLACE OF PERFORMANCE.

(i) The place, or places, where the contract will be performed may be specified by the Government or listed by the contractor in response to the solicitation provision at FAR 52.214-14, Place of Performance--Sealed Bidding, or FAR 52.215-6, Place of Performance. Use data for the contractor's principal place of performance, which is generally the—

(A) Final assembly point for items manufactured under supply contracts;

(B) Location from where shipments from stock are made under supply contracts;

(C) Actual construction site for construction contracts;

(D) Planned construction site for architect-engineer contracts;

(E) Place of mining for mined supplies; or

(F) Place (including military installations) where a service is performed for service contracts.

(ii) When there is more than one location for any of paragraphs (b)(6)(i)(A) through (F) of this subsection (e.g., more than one construction site), use the location involving the largest dollar amount of the acquisition. Do not show more than one location on Line B6.

(iii) If places of performance are too varied or not known, enter the contractor's home office location. However, if the contractor is a domestic concern and the entire contract will be performed outside the United States, enter the most frequent place of performance.

(iv) Follow the instructions for each part of Line B6 that applies to the action being reported.

(A) LINE B6A, CITY OR PLACE CODE.

(1) For places in the United States and outlying areas, enter the numeric place code from FIPS PUB 55, Guideline: Codes for Named Populated Places, Primary Country Divisions, and Other Locational Entities of the United States and Outlying Areas. Leave Line B6A blank for places outside the United States and outlying areas.

Defense Federal Acquisition Regulation Supplement

Part 253—Forms

(2) If the city or locality is not listed, look in FIPS PUB 55 for the county code of the principal place of performance. Enter that code on Line B6A. Use 50000 for Washington, DC, with a State code of 11.

(3) Paragraph 5.2, Entry Selection With the Aid of the Class Code, of FIPS PUB 55 will help in selecting the correct code. Sometimes, a class code should be used in addition to a place code to accurately identify the place of performance. Do not use place codes when the first position of the class code is X or Z.

(B) LINE B6B, STATE OR COUNTRY CODE.

(1) For places in the United States and outlying areas, enter the numeric State code from FIPS PUB 55 or FIPS PUB 5, Codes for the Identification of the States, the District of Columbia and the Outlying Areas of the United States and Associated Areas.

(2) For places outside the United States and outlying areas, enter the alpha country code from FIPS PUB 10, Countries, Dependencies, Areas of Special Sovereignty, and Their Principal Administrative Divisions.

(C) LINE B6C, CITY OR PLACE AND STATE OR COUNTRY NAME. Enter the name of the principal place of performance. Do not leave Line B6C blank.

(7) LINE B7, TYPE OBLIGATION. Enter one of the following codes:

(i) Code 1 - Obligation. Enter code 1 if the action obligates funds.

(ii) Code 2 - Deobligation. Enter code 2 if the action deobligates funds.

(iii) Code 3 - No Dollars Obligated or Deobligated. Enter code 3 if the action is the initial award of an indefinite-delivery contract that neither obligates nor deobligates funds.

(8) LINE B8, OBLIGATED OR DEOBLIGATED DOLLARS. Enter the net amount of funds (whole dollars only) obligated or deobligated by the action. Enter zero if the action is the initial award of an indefinite-delivery contract that neither obligates nor deobligates funds, i.e., Line B7 is coded 3.

(9) LINE B9, FOREIGN MILITARY SALE. Enter one of the following codes. If only part of the action is a foreign military sale, separately report the parts (see 204.670-6(c)).

(i) Code Y - Yes. Enter code Y when the action is under a foreign military sales arrangement, or under any other arrangement when a foreign country or international organization is bearing the cost of the acquisition.

(ii) Code N - No. Enter code N when code Y does not apply.

(10) LINE B10, MULTIYEAR CONTRACT. Enter one of the following codes:

Defense Federal Acquisition Regulation Supplement

Part 253—Forms

(i) Code Y - Yes. Enter code Y when the action is a multiyear contract as defined at FAR 17.103. Do not report contracts containing options as multiyear unless the definition at FAR 17.103 applies to the contract.

(ii) Code N - No. Enter code N when code Y does not apply.

(11) **LINE B11, TOTAL ESTIMATED CONTRACT VALUE**. Enter the total estimated contract value (in whole dollars) only at the time of initial placement of the contract, including placement of an indefinite-delivery or multiyear contract. Include the total estimated value of orders and options anticipated to be placed over the life of the contract.

(12) **LINE B12, PRINCIPAL PRODUCT OR SERVICE**. Line B12 has five parts. Do not leave any parts of Line B12 blank. Codes for Line B12 can be found in the DoD Procurement Coding Manual (MN02) under "PRODUCT AND SERVICE CODE ASCII FILE DOWNLOADS" at the bottom of the following web page: <http://web1.whs.osd.mil/peidhome/guide/mn02/mn02.htm>.

(i) **LINE B12A, FEDERAL SUPPLY CLASS OR SERVICE CODE**. Enter the 4-character Federal supply class (FSC) or service code that describes the contract effort. There are three categories of codes to choose from. If more than one category or code applies to the action, enter the one that best identifies the product or service representing the largest dollar value.

(A) *Supplies*. If the action is for the purchase (not lease or rental) of supplies, enter an FSC code on Line B12A. FSC codes are all numeric. The Department of Defense Federal Supply Classification Cataloging Handbook (H2) may also help with the correct 4-digit code.

(B) *Services*. If the action is for services (except research, development, test, and evaluation), construction, equipment lease or rental, or facilities lease or rental, enter a service code on Line B12A.

(C) *Research, Development, Test, and Evaluation (RDT&E)*. If the action is for RDT&E (as defined in FAR 35.001 and 235.001), enter an RDT&E code on Line B12A. All RDT&E codes should begin with the letter "A." Do not use an RDT&E code for—

(1) Purchase, lease, or rental of equipment, supplies, or services separately purchased in support of RDT&E work, even if RDT&E funds are cited. Instead, use an FSC or Service code under the instructions in paragraph (b)(12)(i)(A) or (B) of this subsection; or

(2) Orders under Federal schedule contracts. Instead, use an FSC or Service code under the instructions in paragraph (b)(12)(i)(A) or (B) of this subsection.

(ii) **LINE B12B, DOD CLAIMANT PROGRAM CODE**. Enter a code that identifies the commodity described on Line B12E. If more than one code applies to the action, enter the one that best identifies the product or service representing the largest dollar value. If the description on Line B12E is for—

Defense Federal Acquisition Regulation Supplement

Part 253—Forms

(A) Research and development (R&D), enter the code that best represents the objective of the R&D. For example, if the objective of the R&D is a guided missile, enter code A20. If the R&D cannot be identified to any particular objective, enter code S10;

(B) Ship repair, inspect and repair as necessary (IRAN), modification of aircraft, overhaul of engines, or similar maintenance, repair, or modification services, enter the code that best identifies the program;

(C) Equipment rental (including rental of automatic data processing equipment), enter code S10;

(D) Utility services, enter code S10;

(E) Services that cannot be identified to any listed program, enter code S10; or

(F) Supplies or equipment that cannot be identified to any listed program, enter code C9E.

(iii) LINE B12C, MDAP, MAIS, OR OTHER PROGRAM CODE.

(A) Enter the Major Defense Acquisition Program (MDAP) or Major Automated Information System (MAIS) code that applies to the contract. If more than one code applies, enter the one that best identifies the program or system representing the largest dollar value.

(B) If the action is funded by the Missile Defense Agency, enter code CAA.

(C) If the action supports environmental cleanup programs, enter one of the following codes:

(1) ZDE – Defense Environmental and Restoration Program.

(2) ZBC – Base Realignment and Closure Environmental Activities.

(3) ZSE – Environmental Protection Agency Superfund.

(4) ZOP – Other environmental programs.

(D) If A, B, or C does not apply to the action, enter three zeros.

(E) Defense Logistics Agency and Defense Contract Management Agency activities must use the code assigned by the sponsoring military department.

See DoD Class Deviation [2005-O0008](#), Individual Contracting Action Reporting for Purchases Supporting Hurricane Recovery and Relief Operations, issued on September 29, 2005. This deviation is effective until incorporated into the DFARS or otherwise rescinded.

Defense Federal Acquisition Regulation Supplement

Part 253—Forms

(iv) **LINE B12D, NAICS CODE.** Enter the North American Industry Classification System (NAICS) code for the acquisition. Use the NAICS code in effect at the time of award. These codes are in the 2002 U.S. NAICS Manual (<http://www.census.gov/pub/epcd/www/naics.html>). If more than one code applies to the action, enter the code that best identifies the product or service representing the largest dollar value.

(v) **LINE B12E, NAME OR DESCRIPTION.** Enter the name or a brief description of the commodity or service. If the description is classified, enter only the word “Classified.” Do not use “Classified” when a code name (e.g., Minuteman, Polaris, Trident, Pershing) or an identifying program number (e.g., WS-107A) can be used.

(vi) **LINE B12F, EPA-DESIGNATED PRODUCT(S).** Enter one of the following codes:

(A) Code A - EPA-Designated Product(s) with Minimum Recovered Material Content. Enter code A if Environmental Protection Agency (EPA)-designated product(s) were acquired and all contained the required minimum recovered material content. See the EPA Comprehensive Procurement Guidelines program list at <http://www.epa.gov/cpg/>.

(B) Code B - FAR 23.405(c)(1) Justification. Enter code B if EPA-designated product(s) were acquired without the required minimum recovered material content and a justification was completed based on inability to acquire the product(s) competitively within a reasonable period of time.

(C) Code C - FAR 23.405(c)(2) Justification. Enter code C if EPA-designated product(s) were acquired without the required minimum recovered material content and a justification was completed based on inability to acquire the product(s) at a reasonable price.

(D) Code D - FAR 23.405(c)(3) Justification. Enter code D if EPA-designated product(s) were acquired without the required minimum recovered material content and a justification was completed based on inability to acquire the product(s) to reasonable performance standards in the specifications.

(E) Code E - No EPA-Designated Products Acquired. Enter code E if no EPA-designated products were acquired.

(vii) **LINE B12G, RECOVERED MATERIAL CLAUSES.** If an EPA-designated product was acquired, i.e., Line B12F is coded A, B, C, or D, enter one of the following codes. Otherwise, leave Line B12G blank.

(A) Code A - FAR 52.223-4. Enter code A if the solicitation included the provision at FAR 52.223-4, Recovered Material Certification.

(B) Code B - FAR 52.223-4 and FAR 52.223-9. Enter code B if the solicitation included the provision at FAR 52.223-4, Recovered Material Certification, and the contract includes the clause at FAR 52.223-9, Estimate of Percentage of Recovered Material Content for EPA-Designated Products.

Defense Federal Acquisition Regulation Supplement

Part 253—Forms

(13) LINE B13, KIND OF ACTION. Some of the parts of Line B13 may not apply to the action being reported. Follow instructions for each part. When the action is a modification, complete Lines B13A and B13D.

(i) LINE B13A, CONTRACT OR ORDER. Enter one of the following codes:

(A) Code 1 - Letter Contract. Enter code 1 when the action is a letter contract or a modification to a letter contract that has not been definitized.

(B) Code 3 - Definitive Contract.

(1) Enter code 3 when the action is the award or modification of a definitive contract or a modification that definitizes a contract. Code 3 includes the following:

(i) Definitive contract awards under the Small Business Administration 8(a) program.

(ii) Notices of award.

(iii) Lease agreements.

(iv) Indefinite-delivery-definite-quantity contracts (FAR 52.216-20).

(v) Indefinite-delivery-indefinite-quantity contracts (FAR 52.216-22) when funds are obligated by the contract itself.

(vi) Initial award of an indefinite-delivery contract when no funds are obligated.

(2) Code 3 excludes orders from the Procurement List (see codes 6 and 8).

(C) Code 4 - Order under an Agreement. Enter code 4 when the action is an order or definitization of an order under an agreement other than a blanket purchase agreement. Examples include an order exceeding \$25,000 under a basic ordering agreement or a master ship repair agreement and a job order when the contract is created by issuing the order. An order under a blanket purchase agreement established under a Federal schedule (see FAR 8.404(b)(4)) is coded 7. An order under other blanket purchase agreements, pursuant to FAR 13.303, is coded 9. When the action is a modification to an order described in code 4 instructions, enter code 4 on Line B13A.

(D) Code 5 - Order under Indefinite-Delivery Contract. Enter code 5 when the action is an order, including a task or delivery order, under an indefinite-delivery contract awarded by a Federal agency. For example, enter code 5 for an order under a GSA indefinite-delivery contract, such as a GSA area-wide contract for utility services, that is not a Federal schedule. When the action is a modification to an order described in code 5 instructions, enter code 5 on Line B13A.

Defense Federal Acquisition Regulation Supplement

Part 253—Forms

(E) Code 6 - Order under Federal Schedule. Enter code 6 if the action is an order under a Federal schedule. An order under a blanket purchase agreement established under a Federal schedule is coded 7. Code 6 includes orders under Federal schedules for items on the Procurement List. When the action is a modification to an order described in code 6 instructions, enter code 6 on Line B13A.

(F) Code 7 - BPA Order under Federal Schedule. Enter code 7 if the action is an order under a blanket purchase agreement established under a Federal schedule (see FAR 8.404(b)(4)). When the action is a modification to an order described in code 7 instructions, enter code 7 on Line B13A.

(G) Code 8 - Order from UNICOR or JWOD. Enter code 8 if the action is an order placed with Federal Prison Industries (UNICOR) or a JWOD Participating Nonprofit Agency in accordance with FAR Subpart 8.6 or 8.7. Use code 6 for orders from the Procurement List under Federal schedules. When the action is a modification to an order described in code 8 instructions, enter code 8 on Line B13A.

(H) Code 9 - Award under FAR Part 13. Enter code 9 if the action, including an action in a designated industry group under the Small Business Competitiveness Demonstration Program (see FAR Subpart 19.10), is an award pursuant to FAR Part 13, except when the action is a blanket purchase agreement order pursuant to FAR 8.404(b)(4) (see code 7). When the action is a modification to an award described in code 9 instructions, enter code 9 on Line B13A.

(ii) LINE B13B, TYPE OF INDEFINITE-DELIVERY CONTRACT. If the action is the award or modification of an indefinite-delivery contract, i.e., Line B13A is coded 3 and the ninth position of B1A is coded D, complete Line B13B. If the action is an order or modification of an order under an indefinite-delivery contract, i.e., Line B13A is coded 5, complete Line B13B. Otherwise, leave Line B13B blank.

(A) Code A - Requirements Contract (FAR 52.216-21).

(B) Code B - Indefinite-Quantity Contract (FAR 52.216-22).

(C) Code C - Definite-Quantity Contract (FAR 52.216-20).

(iii) LINE B13C, MULTIPLE OR SINGLE AWARD INDEFINITE-DELIVERY CONTRACT. If the action is the award or modification of an indefinite-delivery contract, or an order or modification of an order under an indefinite-delivery contract, i.e., Line B13B is coded A, B, or C, complete Line B13C. Otherwise, leave Line B13C blank.

(A) Code M - Multiple Award. Enter code M if the indefinite-delivery contract is a multiple award contract.

(B) Code S - Single Award. Enter code S if the indefinite-delivery contract is a single award contract.

(iv) LINE B13D, MODIFICATION. If the action is a modification, enter one of the following codes. Otherwise, leave Line B13D blank.

Defense Federal Acquisition Regulation Supplement

Part 253—Forms

(A) Code A - Additional Work (new agreement). Enter code A when the action is a bilateral supplemental agreement that obligates funds for additional work requiring a justification and approval (J&A).

(B) Code B - Additional Work (other). Enter code B when the action is a modification of an existing contract (including a letter contract) that is not covered by code A or by codes C through H (see code H for exercise of an option). Code B includes actions that—

- (1) Initiate an incremental yearly buy under a multiyear contract;
- (2) Amend a letter or other contract to add work that does not require a J&A; or
- (3) Order under a priced exhibit or production list.

(C) Code C - Funding Action. Enter code C when the action is a modification (to a letter or other contract) for the sole purpose of obligating or deobligating funds. This includes—

- (1) Incremental funding (other than incremental yearly buys under multiyear contracts, which are coded B);
- (2) Changes to the estimated cost on cost-reimbursement contracts;
- (3) Repricing actions covering incentive price revisions;
- (4) Economic price adjustments; and
- (5) Initial citation and obligation of funds for a contract awarded in one fiscal year but not effective until a subsequent fiscal year.

(D) Code D - Change Order. Enter code D if the action is a change order issued under the “Changes,” “Differing Site Conditions,” or similar clauses in existing contracts.

(E) Code E - Termination for Default. Enter code E if the action is a modification that terminates all or part of the contract for default.

(F) Code F - Termination for Convenience. Enter code F if the action is a modification that terminates all or part of the contract for convenience.

(G) Code G - Cancellation. Enter code G if the action is a modification that cancels the contract. Do not use code G to cancel a prior DD Form 350 (see Line A1).

(H) Code H - Exercise of an Option. Enter code H if the action is an exercise of an option.

Defense Federal Acquisition Regulation Supplement

Part 253—Forms

(I) Code J - Definitization. Enter code J if the action is a definitization modification. For the definitization of a letter contract, enter code 3 on Line B13A.

(v) **LINE B13E, MULTIPLE AWARD CONTRACT FAIR OPPORTUNITY**. If the action is an order under a multiple award indefinite-delivery contract, i.e., Line B13C is coded M, enter one of the following codes. Otherwise, leave Line B13E blank.

(A) Code A - Fair Opportunity Process. Enter code A if the delivery or task order was issued pursuant to a process that permitted each contract awardee a fair opportunity to be considered (see FAR 16.505(b)(1)).

(B) Code B - Urgency. Enter code B if the agency need is so urgent that providing a fair opportunity would result in unacceptable delays (see FAR 16.505(b)(2)(i)).

(C) Code C - One/Unique Source. Enter code C if only one contract awardee is capable of providing the supplies or services at the level or quality required because the supplies or services are unique or highly specialized (see FAR 16.505(b)(2)(ii)).

(D) Code D - Follow-On Contract. Enter code D if the order was issued on a sole-source basis in the interest of economy and efficiency as a logical follow-on to an order already issued under the contract, provided that all awardees were given a fair opportunity to be considered for the original order (see FAR 16.505(b)(2)(iii)).

(E) Code E - Minimum Guarantee. Enter code E if it was necessary to place an order to satisfy a minimum amount guaranteed to the contractor (see FAR 16.505(b)(2)(iv)).

(vi) **LINE B13F, INDEFINITE-DELIVERY CONTRACT USE**. If the action is the initial award of an indefinite-delivery contract, enter one of the following codes to indicate if the indefinite-delivery contract can be used Government-wide, within DoD only, within the department or agency only, or by the contracting office only. Otherwise, leave Line B13F blank.

(A) Code A - Government-Wide.

(B) Code B - DoD-Wide.

(C) Code C - DoD Department or Agency Only.

(D) Code D - Contracting Office Only.

(vii) **LINE B13G - INDEFINITE-DELIVERY CONTRACT ORDERING PERIOD ENDING DATE**. If the action is the initial award of an indefinite-delivery contract and Line B13F is coded A, B, C, or D, enter the date the ordering period ends. Otherwise, leave Line B13G blank. Enter four digits for the year, two digits for the month, and two digits for the day. Use 01 through 12 for January through December. For example, enter January 2, 2003, as 20030102.

(14) **LINE B14, CICA APPLICABILITY**. Enter one of the following codes:

Defense Federal Acquisition Regulation Supplement

Part 253—Forms

(i) Code A - Pre-CICA. Enter code A if the action resulted from a solicitation issued before April 1, 1985. Modifications within the original scope of work of such awards and orders under pre-CICA indefinite-delivery type contracts also are coded A.

(ii) Code B - CICA Applicable. Enter code B if—

(A) The action resulted from a solicitation issued on or after April 1, 1985, or is a modification coded A on Line B13D issued on or after April 1, 1985; and

(B) Neither code C nor code D applies.

(iii) Code C - Simplified Acquisition Procedures Other than FAR Subpart 13.5. Enter code C if the action resulted from use of the procedures in FAR Part 13, including blanket purchase agreement orders with Federal schedule contractors, other than those in Subpart 13.5.

(iv) Code D - Simplified Acquisition Procedures Pursuant to FAR Subpart 13.5. Enter code D if the action resulted from use of the procedures in FAR Subpart 13.5.

(15) LINE B15, INFORMATION TECHNOLOGY PRODUCTS OR SERVICES. If the action is for information technology products or services, enter one of the following codes. Otherwise, leave Line B15 blank.

(i) Code A - Commercially Available Off-the-Shelf Item. Enter code A if the action is for an item of supply that meets the definition of commercial item in FAR 2.101, does not require any modification, and is available in the commercial marketplace.

(ii) Code B - Other Commercial Item of Supply. Enter code B if the action is for an item of supply that meets the definition of commercial item in FAR 2.101, but requires minor modifications, or is not yet available in the commercial marketplace, but will be available in time to meet the Government's needs.

(iii) Code C - Nondevelopmental Item Other than Commercial Item. Enter code C if the action is for an item of supply, other than a commercial item, that meets the definition of nondevelopmental item in FAR 2.101.

(iv) Code D - Other Noncommercial Item of Supply. Enter code D if the action is for an item of supply that does not meet the definition of commercial item or nondevelopmental item in FAR 2.101.

(v) Code E - Commercial Service. Enter code E if the action is for a service that meets the definition of commercial item in FAR 2.101.

(vi) Code F - Noncommercial Service. Enter code F for all other services.

Defense Federal Acquisition Regulation Supplement

Part 253—Forms

(16) **LINE B16, CLINGER-COHEN ACT PLANNING COMPLIANCE.** If the action is for information technology products or services, enter one of the following codes. Otherwise, leave Line B16 blank:

(i) Code Y - Yes. Enter code Y if the action is for information technology products or services acquired in compliance with the planning requirements of sections 5122 and 5123 of the Clinger-Cohen Act of 1996 (40 U.S.C. 1422 and 1423).

(ii) Code N - No. Enter code N if code Y does not apply.

(c) *Part C of the DD Form 350.*

(1) Part C gathers data concerning contracting procedures, use of competition, financing, and statutory requirements other than socioeconomic (which are in Part D).

(2) Do not complete Part C if the action is with a government agency, i.e., Line B5B (Government Agency) is coded Y (Yes). If the action is an order under a Federal schedule, i.e., Line B13A is coded 6, complete only the following lines in Part C: Line C3, and Lines C13A and C13B (when applicable).

(3) In completing Part C, use codes that describe either the current action or the original contract as follows:

(i)(A) If the current action is a modification, other than a new work modification, or an order under an indefinite-delivery contract, code the lines in Part C to describe the original contract.

(B) If the current action is an order under a multiple award contract, i.e., Line B13A is coded 5 and Line B13C is coded M, code Lines C6 and C7 to describe the order and code the rest of Part C to describe the original contract.

(C) Otherwise, code the lines in Part C to describe the current action.

(ii) If there are no codes for the original contract because a DD Form 350 was not required at the time, the original action is no longer available, the definition of the original code has changed, or a data element has been added to the system after the original contract report, use codes that best describe the original action.

(4) Complete Part C as follows:

(i) **LINE C1, SYNOPSIS.** Enter one of the following codes:

(A) Code A - Synopsis Only. Enter code A only if a synopsis of the proposed action was prepared and transmitted in accordance with FAR Subpart 5.2.

(B) Code B - Combined Synopsis/Solicitation. Enter code B if a combined synopsis/solicitation of the proposed action was prepared and transmitted in accordance with FAR Subpart 5.2 and 12.603.

(C) Code N - Not Synopsized. Enter code N if a synopsis was not prepared.

Defense Federal Acquisition Regulation Supplement

Part 253—Forms

(ii) **LINE C2, REASON NOT SYNOPSISIZED.** Enter one of the following codes if a synopsis was not prepared, i.e., Line C1 is coded N. Otherwise, leave Line C2 blank.

(A) **Code A - Urgency.** Enter code A if the action was not synopsisized due to urgency (see FAR 6.302-2).

(B) **Code B - FAR 5.202(a)(13).** Enter code B if the action was not synopsisized because the acquisition did not exceed the simplified acquisition threshold and was made through FACNET or another means that provided access to the notice of proposed action through the single, Governmentwide point of entry (see FAR 5.202(a)(13)).

(C) **Code C - SBA/OFPP Pilot Program.** Enter Code C if the action was not synopsisized because the acquisition was subject to the SBA/OFPP Pilot Program that allows for waiver of synopsis requirements for acquisitions of services between \$25,000 and \$100,000 from small businesses under set-asides (OFPP memorandum dated September 27, 2001, Subject: Extension of the Pilot Program on Acquisition of Services from Small Businesses). Do not use Code C if the acquisition is subject to the Small Business Competitiveness Demonstration Program.

(D) **Code Z - Other Reason.** Enter code Z if the action was not synopsisized due to some other reason.

(iii) **LINE C3, EXTENT COMPETED.** Enter one of the following codes:

(A) **Code A - Competed Action.** Enter code A when—

(1) The action is an order under a Federal schedule, i.e., Line B13A is coded 6;

(2) Competitive procedures were used to fulfill the requirement for full and open competition (see FAR Subpart 6.1);

(3) Full and open competition procedures after exclusion of sources were used in order to establish or maintain alternative sources, to set aside an acquisition for small business or HUBZone small business, or to compete Section 8(a) awards (see FAR Subpart 6.2);

(4) Statutory authorities for other than full and open competition were used (see FAR Subpart 6.3) and more than one offer was received (if only one offer was received, use code D);

(5) The action resulted from a contract awarded prior to the Competition in Contracting Act that used two-step sealed bidding or other sealed bidding, or that was negotiated competitively; or

(6) Simplified acquisition procedures were used and competition was obtained.

Defense Federal Acquisition Regulation Supplement

Part 253—Forms

(B) Code B - Not Available for Competition. Enter code B for—

(1) Awards for utilities or utility systems, excluding long distance telecommunications services, when only one supplier can furnish the service (see FAR 6.302-1(b)(3));

(2) Brand name commercial products for authorized resale;

(3) Acquisitions authorized or required by statute to be awarded to a specific source pursuant to FAR 6.302-5(b)(2) or (4), e.g., qualified nonprofit agencies employing people who are blind or severely disabled (see FAR Subpart 8.7) or 8(a) program (see FAR Subpart 19.8);

(4) International agreements and Foreign Military Sales when the acquisition is to be reimbursed by a foreign country that requires that the product or services be obtained from a particular firm as specified in official written direction such as a Letter of Offer and Acceptance; and

(5) Other contracting actions when the Director of Defense Procurement and Acquisition Policy has determined that there is no opportunity for competition.

Note: Even though Part C is not completed for actions with a government agency, the database will automatically include these actions in the category of not available for competition.

(C) Code C - Follow-On to Competed Action. Enter code C when the action pertains to an acquisition placed with a particular contractor to continue or augment a specific competed program, if such placement was necessitated by prior acquisition decisions. Code C applies to contracts that meet the statutory criteria for Phase III follow-on under the Small Business Innovation Research Program.

(D) Code D - Not Competed. Enter code D when codes A, B, and C do not apply.

(iv) LINE C4, SEA TRANSPORTATION. When the origin of the contract is DoD, i.e., Line B1B is coded A, enter one of the following codes. Otherwise, leave Line C4 blank.

(A) Code Y - Yes - Positive Response to DFARS 252.247-7022 or 252.212-7000(c)(2). Enter code Y when the contractor's response to the provision at 252.247-7022, Representation of Extent of Transportation by Sea, or 252.212-7000(c)(2), Offeror Representations and Certifications--Commercial Items, indicates that the contractor anticipates that some of the supplies being provided may be transported by sea.

(B) Code N - No - Negative Response to DFARS 252.247-7022 or 252.212-7000(c)(2). Enter code N when the contractor's response to the provision at 252.247-7022 or 252.212-7000(c)(2) indicates that the contractor anticipates that none of the supplies being provided will be transported by sea.

Defense Federal Acquisition Regulation Supplement

Part 253—Forms

(C) Code U - Unknown - No Response or Provision Not Included in Solicitation. Enter code U when the contractor did not complete the representation at 252.247-7022 or 252.212-7000(c)(2) or the solicitation did not include either provision.

(v) LINE C5, TYPE OF CONTRACT.

(A) If the action is a letter contract, including modifications and amendments to letter contracts, enter the code that describes the anticipated type of contract the letter contract will become when it is definitized.

(B) If there is more than one type of contract involved in the action, enter the code that matches the type with the most dollars. If the type with the least dollars exceeds \$500,000, fill out separate DD Forms 350 (with different report numbers) for each type.

(C) Enter one of the following codes:

- (1) Code A - Fixed-Price Redetermination.
- (2) Code J - Firm-Fixed-Price.
- (3) Code K - Fixed-Price Economic Price Adjustment.
- (4) Code L - Fixed-Price Incentive.
- (5) Code M - Fixed-Price-Award-Fee.
- (6) Code R - Cost-Plus-Award-Fee.
- (7) Code S - Cost Contract.
- (8) Code T - Cost-Sharing.
- (9) Code U - Cost-Plus-Fixed-Fee.
- (10) Code V - Cost-Plus-Incentive-Fee.
- (11) Code Y - Time-and-Materials.
- (12) Code Z - Labor-Hour.

(vi) LINE C6, NUMBER OF OFFERORS SOLICITED.

(A) Leave Line C6 blank if—

(1) The original contract resulted from a solicitation issued before April 1, 1985 (i.e., before the effective date of the Competition in Contracting Act);

(2) The action is an order or modification of an order under a non-DoD indefinite-delivery contract, i.e., Line B1B is coded B or C and Line B13A is coded 5; or

Defense Federal Acquisition Regulation Supplement

Part 253—Forms

(3) The action is an order or modification of an order under a Federal schedule, i.e., Line B13A is coded 6.

(B) Otherwise, enter—

(1) Code 1 - One. Enter code 1 if only one offeror was solicited; or

(2) Code 2 - More than One. Enter code 2 if more than one offeror was solicited.

(vii) LINE C7, NUMBER OF OFFERS RECEIVED.

(A) Leave Line C7 blank if—

(1) The original contract resulted from a solicitation issued before April 1, 1985 (i.e., before the effective date of the Competition in Contracting Act); or

(2) The action is an order under a Federal schedule, i.e., Line B13A is coded 6.

(B) Otherwise, enter the specific number of offers received (001-999).

(viii) LINE C8, SOLICITATION PROCEDURES.

(A) Leave Line C8 blank if—

(1) The original contract resulted from a solicitation issued before April 1, 1985 (i.e., before the effective date of the Competition in Contracting Act);

(2) The action is pursuant to simplified acquisition procedures, i.e., Line B13A is coded 9; or

(3) The action is an order under a Federal schedule, i.e., Line B13A is coded 6.

(B) Otherwise, enter one of the following codes:

(1) Code A - Full and Open Competition--Sealed Bid. Enter code A if the action resulted from an award pursuant to FAR 6.102(a).

(2) Code B - Full and Open Competition--Competitive Proposal. Enter code B if the action resulted from an award pursuant to FAR 6.102(b).

(3) Code C - Full and Open Competition--Combination. Enter code C if the action resulted from an award using a combination of competitive procedures (e.g., two-step sealed bidding) pursuant to FAR 6.102(c).

(4) Code D - Architect-Engineer. Enter code D if the action resulted from selection of sources for architect-engineer contracts pursuant to FAR 6.102(d)(1).

Defense Federal Acquisition Regulation Supplement

Part 253—Forms

(5) Code E - Basic Research. Enter code E if the action resulted from competitive selection of basic research proposals pursuant to FAR 6.102(d)(2).

(6) Code F - Multiple Award Schedule. Enter code F if the action is an award of a multiple award schedule pursuant to FAR 6.102(d)(3) or an order against such a schedule.

(7) Code G - Alternative Sources. Enter code G if the action resulted from use of competitive procedures but excluded a particular source pursuant to FAR 6.202(a).

(8) Code K - Set-Aside. Enter code K if the action resulted from any—

(i) Set-aside for small business concerns (see FAR Subpart 19.5), including small business innovation research (SBIR) actions;

(ii) Set-aside for small disadvantaged business concerns;

(iii) Set-aside for HUBZone small business concerns (see FAR 19.1305);

(iv) Set-aside for very small business concerns (see FAR 19.904);

(v) Set-aside (including portions of broad agency announcements) for historically black colleges and universities or minority institutions (see 226.7003 and 235.016);

(vi) Set-aside for emerging small business concerns (see FAR 19.1006(c)); or

(vii) Competition among Section 8(a) firms under FAR 19.805 (report noncompetitive 8(a) awards as code N).

(9) Code N - Other than Full and Open Competition. Enter code N if the action resulted from use of other than full and open competition pursuant to FAR Subpart 6.3. This includes awards to qualified nonprofit agencies employing people who are blind or severely disabled (see FAR Subpart 8.7) or noncompetitive awards to the Small Business Administration under Section 8(a) of the Small Business Act (see FAR 6.302-5(b)).

(ix) LINE C9, AUTHORITY FOR OTHER THAN FULL AND OPEN COMPETITION.

(A) Leave Line C9 blank if the original contract resulted from a solicitation issued before April 1, 1985 (i.e., before the effective date of the Competition in Contracting Act).

Defense Federal Acquisition Regulation Supplement

Part 253—Forms

(B) Enter one of the following codes if the action resulted from use of other than full and open competition, i.e., Line C8 is coded N. Otherwise, leave Line C9 blank.

(1) Code 1A - Unique Source. Enter code 1A if the action was justified pursuant to FAR 6.302-1(b)(1).

(2) Code 1B - Follow-On Contract. Enter code 1B if the action was justified pursuant to FAR 6.302-1(a)(2)(ii) or (iii).

(3) Code 1C - Unsolicited Research Proposal. Enter code 1C if the action was justified pursuant to FAR 6.302-1(a)(2)(i).

(4) Code 1D - Patent or Data Rights. Enter code 1D if the action was justified pursuant to FAR 6.302-1(b)(2).

(5) Code 1E - Utilities. Enter code 1E if the action was justified pursuant to FAR 6.302-1(b)(3).

(6) Code 1F - Standardization. Enter code 1F if the action was justified pursuant to FAR 6.302-1(b)(4).

(7) Code 1G - Only One Source--Other. Enter code 1G if the action was justified pursuant to FAR 6.302-1 in a situation other than the examples cited in codes 1A through 1F.

(8) Code 2A - Urgency. Enter code 2A if the action was justified pursuant to FAR 6.302-2.

(9) Code 3A - Particular Sources. Enter code 3A if the action was justified pursuant to FAR 6.302-3(a)(2).

(10) Code 4A - International Agreement. Enter code 4A if the action was justified pursuant to FAR 6.302-4.

(11) Code 5A - Authorized by Statute. Enter code 5A if the action was justified pursuant to FAR 6.302-5(a)(2)(i).

(12) Code 5B - Authorized Resale. Enter code 5B if the action was justified pursuant to FAR 6.302-5(a)(2)(ii).

(13) Code 6A - National Security. Enter code 6A if the action was justified pursuant to FAR 6.302-6.

(14) Code 7A - Public Interest. Enter code 7A if the action was taken pursuant to FAR 6.302-7.

(x) LINE C10, SUBJECT TO LABOR STANDARDS STATUTES. Enter one of the following codes. When the action is an order or modification of an order under a Federal schedule, i.e., Line B13A is coded 6, leave Line C10 blank.

Defense Federal Acquisition Regulation Supplement

Part 253—Forms

(A) Code A - Walsh-Healey Act. Enter code A when the action is subject to the provisions of FAR Subpart 22.6.

(B) Code C - Service Contract Act. Enter code C when the action is subject to the provisions of the Service Contract Act (see FAR Part 37).

(C) Code D - Davis-Bacon Act. Enter code D when the action is subject to the Davis-Bacon Act (see FAR 22.403-1).

(D) Code Z - Not Applicable. Enter code Z when codes A, C, and D do not apply.

(xi) **LINE C11, COST OR PRICING DATA**. Enter one of the following codes when the origin of the contract is DoD, i.e., Line B1B is coded A. Otherwise, leave Line C11 blank.

(A) Code Y - Yes - Obtained. Enter code Y when cost or pricing data were obtained (see FAR 15.403-4) and certified in accordance with FAR 15.406-2.

(B) Code N - No - Not Obtained. Enter code N when neither code Y nor code W applies.

(C) Code W - Not Obtained - Waived. Enter code W when cost or pricing data were not obtained because the head of the contracting activity waived the requirement (see FAR 15.403-1(c)(4)).

(xii) **LINE C12, CONTRACT FINANCING**. When the origin of the contract is DoD, i.e., Line B1B is coded A, enter one of the following codes identifying whether or not progress payments, advance payments, or other financing methods were used. When the origin of the contract is not DoD, leave Line C12 blank.

(A) Code A - FAR 52.232-16. Enter code A if the contract contains the clause at FAR 52.232-16, Progress Payments.

(B) Code C - Percentage of Completion Progress Payments. Enter code C if the contract provides for progress payments based on percentage or stage of completion, which is only permitted on contracts for construction, for shipbuilding, or for ship conversion, alteration, or repair (see 232.102(e)(2)).

(C) Code D - Unusual Progress Payments or Advance Payments. Enter code D if the contract provides unusual progress payments or advance payments (see FAR Subpart 32.4 and 32.501-2).

(D) Code E - Commercial Financing. Enter code E if the contract provides for commercial financing payments (see FAR Subpart 32.2).

(E) Code F - Performance-Based Financing. Enter code F if the contract provides for performance-based financing payments (see FAR Subpart 32.10).

(F) Code Z - Not Applicable. Enter code Z when codes A through F do not apply.

Defense Federal Acquisition Regulation Supplement

Part 253—Forms

(xiii) LINE C13, FOREIGN TRADE DATA.

(A) The term “United States (U.S.),” as used on Line C13, excludes the Trust Territory of Palau (see 204.670-1 for definition of United States and outlying areas).

(B) LINE C13A, PLACE OF MANUFACTURE. Complete Line C13A only if the action is for a foreign end product or a service provided by a foreign concern under a DoD contract or a Federal schedule. Otherwise, leave Line C13A blank.

(1) Code A - U.S. Enter code A if the action is for—

(i) A foreign end product that is manufactured in the United States but still determined to be foreign because 50 percent or more of the cost of its components is not mined, produced, or manufactured inside the United States or inside qualifying countries; or

(ii) Services performed in the United States by a foreign concern.

(2) Code B - Foreign. Enter code B if the action is for—

(i) Any other foreign end product; or

(ii) Services performed outside the United States by a foreign concern.

(C) LINE C13B, COUNTRY OF ORIGIN CODE.

(1) Complete Line C13B only if Line C13A is coded A or B. Otherwise, leave Line C13B blank.

(2) Enter the code from FIPS PUB 10, Countries, Dependencies, Areas of Special Sovereignty, and Their Principal Administrative Divisions, that identifies the country where the foreign product is coming from or where the foreign company providing the services is located. If more than one foreign country is involved, enter the code of the foreign country with the largest dollar value of work under the contract.

(xiv) LINE C14, COMMERCIAL ITEM. Enter one of the following codes:

(A) Code Y - Yes - FAR 52.212-4 Included. Enter code Y if the contract contains the clause at FAR 52.212-4, Contract Terms and Conditions--Commercial Items.

(B) Code N - No - FAR 52.212-4 Not Included. Enter code N if code Y does not apply.

(d) *Part D of the DD Form 350.*

Defense Federal Acquisition Regulation Supplement

Part 253—Forms

- (1) Do NOT complete Part D if the action is—
 - (i) With a government agency, i.e., Line B5B is coded Y; or
 - (ii) An order under a Federal schedule, i.e., Line B13A is coded 6.
- (2) Use the codes on Lines B13A and B13D to determine whether the codes in Part D will describe the current action or the original contract.
 - (i) Code Part D to describe the current action when—
 - (A) The action is a new requirement, i.e., Line B13A is coded 1, 3, 4, 7, or 9 and Line B13D is coded A or is blank; or
 - (B) The action is an order from the Schedule or the Procurement List, i.e., Line B13A is coded 8 and Line B13D is coded A or is blank.
 - (ii) Otherwise, code Part D to describe the original contract. If there are no codes for the original contract because a DD Form 350 was not required at the time, the original action is no longer available, the definition of the original code has changed, or a data element has been added to the system after the original contract report, use codes that best describe the original action.
- (3) Determine the status of the concern (e.g., size and ownership) in accordance with FAR Part 19 and DFARS Part 219.
- (4) Complete Part D as follows:
 - (i) LINE D1, TYPE OF CONTRACTOR.
 - (A) LINE D1A, TYPE OF ENTITY. Enter one of the following codes:
 - (1) Code A - Small Disadvantaged Business (SDB) Performing in U.S. Enter code A if the contractor is a small disadvantaged business concern as defined in 219.001 and the place of performance is within the United States and outlying areas.
 - (2) Code B - Other Small Business (SB) Performing in U.S. Enter code B if the contractor is a small business concern as defined in FAR 19.001, other than a small disadvantaged business concern, and the place of performance is within the United States and outlying areas.
 - (3) Code C - Large Business Performing in U.S. Enter code C if the contractor is a domestic large business concern and the place of performance is within the United States and outlying areas.
 - (4) Code D - JWOD Participating Nonprofit Agency. Enter code D if the contractor is a qualified nonprofit agency employing people who are blind or severely disabled (see FAR 8.701) and the place of performance is within the United States and outlying areas.

Defense Federal Acquisition Regulation Supplement

Part 253—Forms

(5) Code F - Hospital. Enter code F if the contractor is a hospital and the place of performance is within the United States and outlying areas.

(6) Code L - Foreign Concern or Entity. Enter code L if the contractor is a foreign concern, the Canadian Commercial Corporation, or a non-U.S.-chartered nonprofit institution.

(7) Code M - Domestic Firm Performing Outside U.S. Enter code M if the contractor is a domestic concern or a domestic nonprofit institution and the place of performance is outside the United States and outlying areas.

(8) Code T - Historically Black College or University (HBCU). Enter code T if the contractor is an HBCU as defined at 252.226-7000 and the place of performance is within the United States and outlying areas.

(9) Code U - Minority Institution (MI). Enter code U if the contractor is an MI as defined at 252.226-7000 and the place of performance is within the United States and outlying areas.

(10) Code V - Other Educational. Enter code V if the contractor is an educational institution that does not qualify as an HBCU or MI and the place of performance is within the United States and outlying areas.

(11) Code Z - Other Nonprofit. Enter code Z if the contractor is a nonprofit organization (as defined in FAR 31.701) that does not meet any of the criteria in codes D, F, T, U, or V and the place of performance is within the United States and outlying areas.

(B) LINE D1B, WOMEN-OWNED BUSINESS. Enter one of the following codes:

(1) Code Y - Yes. Enter code Y if the contractor's response to FAR 52.204-5, 52.212-3(c), or 52.219-1(b) indicates that it is a women-owned business.

(2) Code N - No. Enter code N if the contractor's response to FAR 52.204-5, 52.212-3(c), or 52.219-1(b) indicates that it is not a women-owned business.

(3) Code U - Uncertified. Enter code U if the information is not available because the contractor did not complete the representation in FAR 52.204-5, 52.212-3(c), or 52.219-1(b).

(C) LINE D1C, HUBZONE REPRESENTATION. Enter one of the following codes when the contractor is a small business performing inside the United States, i.e., Line D1A is coded A or B. Otherwise, leave Line D1C blank.

(1) Code Y - Yes. Enter code Y if the contractor represented that it is a HUBZone small business concern at the time of contract award (see FAR 19.1303).

(2) Code N - No. Enter code N if code Y does not apply.

(D) LINE D1D, ETHNIC GROUP.

Defense Federal Acquisition Regulation Supplement

Part 253—Forms

(1) Complete Line D1D if the action is with a small disadvantaged business and the origin of the contract is DoD, i.e., Line B1B is coded A. Otherwise, leave Line D1D blank.

(2) Enter the code from the following list that corresponds to the ethnic group that the contractor marked in the solicitation provision at FAR 52.219-1, Small Business Program Representations, or FAR 52.212-3(c).

(i) Code A - Asian-Indian American.

(ii) Code B - Asian-Pacific American.

(iii) Code C - Black American.

(iv) Code D - Hispanic American.

(v) Code E - Native American.

(vi) Code F - Other SDB Certified or Determined by SBA.

(vii) Code Z - No Representation.

(E) LINE D1E, VETERAN-OWNED SMALL BUSINESS. Enter one of the following codes if the contractor is a veteran-owned small business. Otherwise, leave Line D1E blank.

(1) Code A - Service-Disabled Veteran. Enter code A if the contractor represented that it is a service-disabled veteran-owned small business.

(2) Code B - Other Veteran. Enter code B if the contractor represented that it is a veteran-owned small business, other than a service-disabled veteran-owned small business.

(ii) LINE D2, REASON NOT AWARDED TO SDB. Enter one of the following codes when the contractor is a small business (other than a small disadvantaged business) or a large business performing in the United States, i.e., Line D1A is coded B or C. Otherwise, leave Line D2 blank.

(A) Code A - No Known SDB Source.

(B) Code B - SDB Not Solicited. Enter code B when there was a known SDB source, but it was not solicited.

(C) Code C - SDB Solicited and No Offer Received. Enter code C when an SDB was solicited but it did not submit an offer, or its offer was not sufficient to cover the total quantity requirement so it received a separate award for the quantity offered.

(D) Code D - SDB Solicited and Offer Was Not Low. Enter code D when an SDB offer was not the low or most advantageous offer or an SDB was not

Defense Federal Acquisition Regulation Supplement

Part 253—Forms

willing to accept award of a partial small business set-aside portion of an action at the price offered by the Government.

(E) Code Z - Other Reason. Enter code Z when an SDB did not receive the award for any other reason or when the action is an order or modification of an order under a non-DoD contract, i.e., Line B1B is coded B or C and Line B13A is coded 5.

(iii) LINE D3, REASON NOT AWARDED TO SB. Enter one of the following codes when the contractor is a large business performing in the United States, i.e., Line D1A is coded C. Otherwise, leave Line D3 blank. (The term “small business” includes all categories of small businesses.)

(A) Code A - No Known SB Source.

(B) Code B - SB Not Solicited. Enter code B when there was a known small business source, but it was not solicited.

(C) Code C - SB Solicited and No Offer Received. Enter code C when a small business concern was solicited but it did not submit an offer, or its offer was not sufficient to cover the total quantity requirement so it received a separate award for the quantity offered.

(D) Code D - SB Solicited and Offer Was Not Low. Enter code D when a small business offer was not the low or most advantageous offer or a small business concern was not willing to accept award of a set-aside portion of an action at the price offered by the Government.

(E) Code Z - Other Reason. Enter code Z when a small business did not receive the award for any other reason or when the action is an order or modification of an order under a non-DoD contract, i.e., Line B1B is coded B or C and Line B13A is coded 5.

(iv) LINE D4, SET-ASIDE OR PREFERENCE PROGRAM.

(A) LINE D4A, TYPE OF SET-ASIDE. Enter one of the following codes:

(1) Code A - None. Enter code A if there was no set-aside (i.e., codes B through L do not apply).

(2) Code B - Total SB Set-Aside. Enter code B if the action was a total set-aside for small business (see FAR 19.502-2), including actions reserved exclusively for small business concerns pursuant to FAR 13.003(b)(1), or if the action resulted from the Small Business Innovation Research Program.

(3) Code C - Partial SB Set-Aside. Enter code C if the action was a partial set-aside for small business (see FAR 19.502-3).

(4) Code D - Section 8(a) Set-Aside or Sole Source. Enter code D if the contract was awarded to—

Defense Federal Acquisition Regulation Supplement

Part 253—Forms

(i) The Small Business Administration under Section 8(a) of the Small Business Act (see FAR Subpart 19.8); or

(ii) An 8(a) contractor under the direct award procedures at 219.811.

(5) Code E - Total SDB Set-Aside. Enter code E if the action was a total set-aside for small disadvantaged businesses.

(6) Code F - HBCU or MI - Total Set-Aside. Enter code F if the action was a total set-aside for HBCU or MI (see 226.7003).

(7) Code G - HBCU or MI - Partial Set-Aside. Enter code G if the action was a partial set-aside for HBCU or MI under a broad agency announcement (see 235.016).

(8) Code H - Very Small Business Set-Aside. Enter code H if the action was a set-aside for very small businesses (see FAR Subpart 19.9).

(9) Code J - Emerging Small Business Set-Aside. Enter code J if the action was an emerging small business set-aside within a designated industry group under the Small Business Competitiveness Demonstration Program (see FAR Subpart 19.10).

(10) Code K - HUBZone Set-Aside or Sole Source. Enter code K if the action was—

(i) A set-aside for HUBZone small business concerns (see FAR 19.1305); or

(ii) A sole source award to a HUBZone small business concern (see FAR 19.1306).

(11) Code L - Combination HUBZone and 8(a). Enter code L if the action was a combination HUBZone set-aside and 8(a) award.

(B) LINE D4B, TYPE OF PREFERENCE. Enter one of the following codes, even if Line D4A is coded E:

(1) Code A - None. Enter code A if no preference was given.

(2) Code B - SDB Price Evaluation Adjustment--Unrestricted. Enter code B if the action was unrestricted but an SDB received an award as a result of a price evaluation adjustment (see FAR Subpart 19.11).

(3) Code C - SDB Preferential Consideration--Partial SB Set-Aside. Enter code C if the action was a partial set-aside for small business and preferential consideration resulted in an award to an SDB.

Defense Federal Acquisition Regulation Supplement

Part 253—Forms

(4) Code D - HUBZone Price Evaluation Preference. Enter code D if the contractor received the award as a result of a HUBZone price evaluation preference (see FAR 19.1307).

(5) Code E - Combination HUBZone Price Evaluation Preference and SDB Price Evaluation Adjustment. Enter code E if the contractor received the award as a result of both a HUBZone price evaluation preference and an SDB price evaluation adjustment (see FAR 19.1307).

(C) LINE D4C, PREMIUM PERCENT.

(1) Complete Line D4C if the origin of the contract is DoD, i.e., Line B1B is coded A, and—

(i) An SDB or HBCU/MI set-aside was used, i.e., Line D4A is coded E, F, or G; or

(ii) A preference program was used, i.e., Line D4B is coded B, C, D, or E.

(2) Otherwise, leave Line D4C blank.

(3) Calculate the premium percentage per 219.202-5 and enter it as a three-digit number rounded to the nearest tenth, e.g., enter 7.55% as 076. If no premium was paid, enter three zeros (000).

(v) LINES D5 - D6. Reserved.

(vi) LINE D7, SMALL BUSINESS INNOVATION RESEARCH (SBIR) PROGRAM. Enter one of the following codes. When the action is an order or modification of an order under a non-DoD contract, i.e., Line B1B is coded B or C and Line B13A is coded 5, leave Line D7 blank.

(A) Code A - Not a SBIR Program Phase I, II, or III. Enter code A if the action is not in support of a Phase I, II, or III SBIR Program.

(B) Code B - SBIR Program Phase I Action. Enter code B if the action is related to a Phase I contract in support of the SBIR Program.

(C) Code C - SBIR Program Phase II Action. Enter code C if the action is related to a Phase II contract in support of the SBIR Program.

(D) Code D - SBIR Program Phase III Action. Enter code D if the action is related to a Phase III contract in support of the SBIR Program.

(vii) LINE D8, SUBCONTRACTING PLAN--SB, SDB, HBCU, OR MI. Enter one of the following codes:

(A) Code A - Plan Not Included--No Subcontracting Possibilities. Enter code A if a subcontracting plan was not included in the contract because subcontracting possibilities do not exist (see FAR 19.705-2(c)).

Defense Federal Acquisition Regulation Supplement

Part 253—Forms

(B) Code B - Plan Not Required. Enter code B if no subcontracting plan was required (e.g., because the action did not meet the dollar thresholds in FAR 19.702(a)).

(C) Code C - Plan Required--Incentive Not Included. Enter code C if the action includes a subcontracting plan, but does not include additional incentives (see FAR 19.708(c)).

(D) Code D - Plan Required--Incentive Included. Enter code D if the action includes a subcontracting plan and also includes additional incentives (see FAR 19.708(c) and 219.708(c)).

(viii) LINE D9, SMALL BUSINESS COMPETITIVENESS DEMONSTRATION PROGRAM. When the action is under a contract that was awarded before the demonstration program began (January 1, 1989) and does not involve a new work modification, enter code N on Line D9. When the action is an order or modification of an order under a non-DoD contract, i.e., Line B1B is coded B or C and Line B13A is coded 5, enter code N on Line D9. Otherwise, code Line D9 as follows:

(A) Code Y - Yes. Enter code Y if this is an action with a U.S. business concern, in either the four designated industry groups or the ten targeted industry categories under the Small Business Competitiveness Demonstration Program (see FAR Subpart 19.10 and DFARS Subpart 219.10), where the principal place of performance is in the United States or outlying areas.

(B) Code N - No. Enter code N if code Y does not apply.

(ix) LINE D10, SIZE OF SMALL BUSINESS.

(A) Complete Line D10 only when the contractor is a small business performing in the United States and the action is under the Small Business Competitiveness Demonstration Program, i.e., Line D1A is coded A or B and Line D9 is coded Y. Otherwise, leave Line D10 blank.

(B) Enter one of the following codes for the size of the business (number of employees or average annual gross revenue) as represented by the contractor in the solicitation provision at FAR 52.219-19, Small Business Concern Representation for the Small Business Competitiveness Demonstration Program:

(1) Code A - 50 or fewer employees.

(2) Code B - 51-100 employees.

(3) Code C - 101-250 employees.

(4) Code D - 251-500 employees.

(5) Code E - 501-750 employees.

(6) Code F - 751-1,000 employees.

Defense Federal Acquisition Regulation Supplement

Part 253—Forms

- (7) Code G - Over 1,000 employees.
- (8) Code M - \$1 million or less.
- (9) Code N - Over \$1 million - \$2 million.
- (10) Code P - Over \$2 million - \$3.5 million.
- (11) Code R - Over \$3.5 million - \$5 million.
- (12) Code S - Over \$5 million - \$10 million.
- (13) Code T - Over \$10 million - \$17 million.
- (14) Code U - Over \$17 million.

(x) LINE D11, EMERGING SMALL BUSINESS.

(A) Complete this line only if the action is under the Small Business Competitiveness Demonstration Program, i.e., Line D9 is coded Y, and the action is in one of the four designated industry groups, not one of the targeted industry categories. Otherwise, leave Line D11 blank.

(B) Enter one of the following codes:

(1) Code Y - Yes. Enter code Y if the contractor represents in the provision at FAR 52.219-19, Small Business Concern Representation for the Small Business Competitiveness Demonstration Program, that it is an emerging small business concern.

(2) Code N - No. Enter code N if code Y does not apply.

(e) *Part E of the DD Form 350.* Part E gathers data on specialized items that may not become permanent reporting elements.

(1) LINE E1, CONTINGENCY, HUMANITARIAN, OR PEACEKEEPING OPERATION.

(i) Enter code Y on Line E1 if the action exceeds \$200,000 and is in support of—

(A) A contingency operation as defined in 10 U.S.C. 101(a)(13); or

(B) A humanitarian or peacekeeping operation as defined in 10 U.S.C. 2302(8).

(ii) Otherwise, leave Line E1 blank.

Defense Federal Acquisition Regulation Supplement

Part 253—Forms

(2) **LINE E2, COST ACCOUNTING STANDARDS CLAUSE.** Enter code Y on Line E2 if the contract includes a Cost Accounting Standards clause (see FAR Part 30). Otherwise, leave Line E2 blank.

(3) **LINE E3, REQUESTING AGENCY CODE (FIPS 95).** If making a purchase on behalf of a non-DoD agency, enter the four-position code from FIPS PUB 95 that identifies the non-DoD agency. If making a purchase for another DoD department or agency, enter 2100 for Army, 1700 for Navy, 5700 for Air Force, 97AS for DLA, 96CE for USACE, 9763 for DCMA, and 9700 for all other defense agencies. Otherwise, leave Line E3 blank.

(4) **LINE E4, REQUESTING ACTIVITY CODE.** If making a purchase on behalf of a non-DoD agency, enter the non-DoD agency's office code, if provided. Otherwise, leave Line E4 blank. If making a purchase on behalf of a DoD activity, enter the DoDAAC of the activity for whom the purchase was made. DoDAACs can be found at: <https://day2k1.daas.dla.mil/dodaac/dodaac.asp>. If multiple requesting activities are involved, enter the DoDAAC of the activity that provided the largest portion of funding for the action.

(5) **LINE E5, NUMBER OF ACTIONS.** If submitting a consolidated DD Form 350, enter the number of actions included in the consolidated report (see 204.670-6(b)). Otherwise, enter 1 on Line E5.

(6) **LINE E6, PAYMENT BY GOVERNMENTWIDE PURCHASE CARD.** If payment is to be made through use of the Governmentwide purchase card, enter Y on Line E6. Otherwise, leave Line E6 blank.

(f) *Part F of the DD Form 350.* Part F identifies the reporting official.

(1) **LINE F1, NAME OF CONTRACTING OFFICER OR REPRESENTATIVE.** Enter the name (Last, First, Middle Initial) of the contracting officer or representative.

(2) **LINE F2, SIGNATURE.** The person identified on Line F1 must sign.

(3) **LINE F3, TELEPHONE NUMBER.** Enter the telephone number (with area code) for the individual on Line F1. Installations with Defense Switched Network (DSN) must enter the DSN number.

(4) **LINE F4, DATE.** Enter the date that the DD Form 350 Report is submitted. Enter four digits for the year, two digits for the month, and two digits for the day. Use 01 through 12 for January through December. For example, enter January 2, 2003, as 20030102.

253.204-71 DD Form 1057, Monthly Summary of Contracting Actions.

(a) *Scope of subsection.* Policy on use of a DD Form 1057 is in 204.670. This subsection contains instructions on completion of the DD Form 1057.

(1) Report actions in the month they are awarded, issued, executed, or placed, except—

Defense Federal Acquisition Regulation Supplement

Part 253—Forms

(i) When the price of an order or call cannot be determined when it is placed, count the action and its dollars when it is paid.

(ii) Count the following actions when the voucher is paid (count each voucher as one action):

(A) Meals and lodging.

(B) Automatic deliveries, e.g., bread, milk, and ice cream.

(iii) The Navy Facilities Engineering Command will report vouchers it processes on Naval shore establishment contracts for electricity and gas in accordance with departmental procedures.

(2) Enter all dollar amounts in whole dollars only. Do not enter cents. If the net amount is a decrease, enter a minus sign (-) immediately preceding the amount to indicate a credit entry. Do not enter parentheses.

(3) Report actions of \$25,000 or less in support of a contingency operation as defined in 10 U.S.C. 101(a)(13), or a humanitarian or peacekeeping operation as defined in 10 U.S.C. 2302(8), in accordance with the instructions in paragraphs (c) through (j) of this subsection. Report actions exceeding \$25,000 but not exceeding \$200,000 that support a contingency, humanitarian, or peacekeeping operation, and actions exceeding \$25,000 but not exceeding \$200,000 that are placed by a contracting officer on a Navy vessel, on the monthly DD Form 1057 as follows:

(i) Section B; the applicable lines are 5 through 5e and 8 through 8e.

(ii) Section C; the applicable lines are 1 and 1c, 2 and 2c, and 3 and 3c.

(iii) Sections D, E, and F are not applicable.

(iv) Section G; complete fully.

(b) *Definitions.* For purposes of this subsection—

“All Other Orders” means orders, and modifications of such orders, under basic ordering agreements or indefinite-delivery contracts.

“GSA Schedule Orders” means only orders or calls, and modifications of such orders or calls, under Federal schedules awarded by GSA.

“Other Contracting Actions” means all actions that do not meet the definitions, in this paragraph (b), of an order.

“Other Federal Schedule Orders” means only orders, and modifications of such orders, under Federal schedules awarded by an agency other than GSA, e.g., awarded by VA or OPM.

Defense Federal Acquisition Regulation Supplement

Part 253—Forms

“Simplified Acquisition Procedures” means purchase orders, calls under blanket purchase agreements (BPAs) (except BPAs written under Federal schedules), and modifications to those actions.

(c) *Section A, General Information.*

(1) **LINE A1, REPORT MONTH.** Enter four digits for the year and two digits for the month. Use 01 through 12 for January through December. For example, enter January 2003 as 200301.

(2) **LINE A2, NAME OF CONTRACTING OFFICE.** Enter sufficient detail to establish the identity of the contracting office submitting the report on Lines 2a and b.

(3) **LINE A3, CONTRACTING OFFICE CODES.**

(i) **Line A3a, Reporting Agency FIPS 95 Code.** Enter one of the following codes: 2100 (Army); 1700 (Navy); 5700 (Air Force); 96CE (Army Civil Works); 97AS (DLA); 9700 (all other defense agencies).

(ii) **Line A3b, Contracting Office Code.** Enter the code assigned by the departmental data collection point in 204.670-1(c).

(d) *Section B, Contracting Actions.*

(1) **LINE B1, TARIFF OR REGULATED ACQUISITIONS.** Enter the number and dollar value of contracting actions (including modifications that will also be reported on Line B9) with tariff or regulated industries (industries with sole source and service rates that are fixed or adjusted by a Federal, State, or other public regulatory body).

(2) **LINE B2, FOREIGN OR INTERAGENCY.**

(i) Enter the total number and dollar value of contracting actions (including modifications that will also be reported on Line B9)—

(A) For foreign military sales (FMS) or other arrangement where the foreign government or international organization is paying all or part of the cost of the action.

(B) Placed directly with foreign governments under the terms of an international agreement, e.g., base maintenance performed with the foreign government acting as the contractor (any other actions directly with foreign governments go on Line B5).

(C) With another Federal agency or Government corporation, e.g., Federal Prison Industries (UNICOR).

(ii) Enter the subtotals for the number and dollar value of contracting actions (including modifications that will also be reported on Line B9) for—

Defense Federal Acquisition Regulation Supplement

Part 253—Forms

(A) Line B2a, FMS or International Agreements. Enter subtotals for paragraphs (d)(2)(i)(A) and (B) of this subsection.

(B) Line B2b, Actions with UNICOR. Enter subtotal for contracting actions with UNICOR.

(C) Line B2c, Actions with Other Government Agencies. Enter subtotal for actions with government agencies other than UNICOR.

(3) LINE B3, SMALL BUSINESS.

(i) Enter the total number and dollar value of contracting actions (including modifications that will also be reported on Line B9) where the—

(A) Contractor is a small business concern; and

(B) Place of performance is in the United States and outlying areas (see 204.670-1).

(ii) Enter the subtotals for the number and dollar value of contracting actions (including modifications that will also be reported on Line B9) for—

(A) Line B3a, Simplified Acquisition Procedures;

(B) Line B3b, GSA Schedule Orders;

(C) Line B3c, Other Federal Schedule Orders;

(D) Line B3d, All Other Orders; and

(E) Line B3e, Other Contracting Actions.

(4) LINE B4, LARGE BUSINESS.

(i) Enter the total number and dollar value of contracting actions (including modifications that will also be reported on Line B9) where the—

(A) Contractor is a large business concern; and

(B) Place of performance is in the United States and outlying areas.

(ii) Enter the subtotals for the number and dollar value of contracting actions (including modifications that will also be reported on Line B9) for—

(A) Line B4a, Simplified Acquisition Procedures;

(B) Line B4b, GSA Schedule Orders;

(C) Line B4c, Other Federal Schedule Orders;

(D) Line B4d, All Other Orders; and

Defense Federal Acquisition Regulation Supplement

Part 253—Forms

(E) Line B4e, Other Contracting Actions.

(5) LINE B5, DOMESTIC OR FOREIGN ENTITIES PERFORMING OUTSIDE THE UNITED STATES.

(i) Enter the total number and dollar value of contracting actions (including modifications that will also be reported on Line B9) where the place of performance is outside the United States and outlying areas (see 204.670-1(c)). This includes actions placed directly with a foreign government that are not under international agreements (see paragraph (d)(2)(i)(B) of this subsection). It does not matter whether the contractor is domestic or foreign.

(ii) Enter the subtotals for the number and dollar value of contracting actions (including modifications that will also be reported on Line B9) for—

(A) Line B5a, Simplified Acquisition Procedures;

(B) Line B5b, GSA Schedule Orders;

(C) Line B5c, Other Federal Schedule Orders;

(D) Line B5d, All Other Orders; and

(E) Line B5e, Other Contracting Actions.

(6) LINE B6, EDUCATIONAL.

(i) Enter the total number and dollar value of contracting actions with educational institutions (including modifications that will also be reported on Line B9).

(ii) Enter the subtotals for the number and dollar value of contracting actions (including modifications that will also be reported on Line B9) for—

(A) Line B6a, Simplified Acquisition Procedures;

(B) Line B6b, GSA Schedule Orders;

(C) Line B6c, Other Federal Schedule Orders;

(D) Line B6d, All Other Orders; and

(E) Line B6e, Other Contracting Actions.

(7) LINE B7, NONPROFIT AND OTHER.

(i) Enter the total number and dollar value of contracting actions (including modifications that will also be reported on Line B9) with—

(A) Nonprofit organizations as defined in FAR 31.701;

Defense Federal Acquisition Regulation Supplement

Part 253—Forms

(B) Qualified nonprofit agencies employing people who are blind or severely disabled; and

(C) Any other entities not listed on Lines B1 through B6.

(ii) Enter the subtotals for the number and dollar value of contracting actions (including modifications that will also be reported on Line B9) for—

(A) Line B7a, Simplified Acquisition Procedures;

(B) Line B7b, GSA Schedule Orders;

(C) Line B7c, Other Federal Schedule Orders;

(D) Line B7d, All Other Orders; and

(E) Line B7e, Other Contracting Actions.

(8) LINE B8, TOTAL CONTRACTING ACTIONS.

(i) Add the amounts on Lines B1 through B7 and enter the totals on Line B8.

(ii) If directed by data collection point procedures, also enter the subtotals for the number and dollar value of contracting actions for—

(A) Line B8a, Simplified Acquisition Procedures, sum of Lines 3a + 4a + 5a + 6a + 7a.

(B) Line B8b, GSA Schedule Orders, sum of Lines 3b + 4b + 5b + 6b + 7b.

(C) Line B8c, Other Federal Schedule Orders, sum of Lines 3c + 4c + 5c + 6c + 7c.

(D) Line B8d, All Other Orders, sum of Lines 3d + 4d + 5d + 6d + 7d.

(E) Line B8e, Other Contracting Actions, sum of Lines 3e + 4e + 5e + 6e + 7e.

(9) LINE B9, TOTAL MODIFICATIONS EXCLUDING SIMPLIFIED ACQUISITION PROCEDURES. Enter the total number and dollar value of modification actions, excluding simplified acquisition procedures.

(e) *Section C, Extent Competed.*

(1) LINE C1, COMPETED.

(i) Enter the total number and dollar value of contracting actions that were competed.

Defense Federal Acquisition Regulation Supplement

Part 253—Forms

(A) Include on Line C1—

(1) Actions not subject to Competition in Contracting Act (CICA) (see FAR 6.001) when at least two quotations or offers were received;

(2) Actions when competitive procedures were used to fulfill the requirement for full and open competition (see FAR Subpart 6.1);

(3) Actions when full and open competition was provided for after exclusion of sources, to establish or maintain alternative sources or to set aside an acquisition exceeding the micro-purchase threshold for small business (see FAR Subpart 6.2);

(4) Actions when statutory authorities for other than full and open competition (see FAR Subpart 6.3) were used and more than one offer was received, except as provided in paragraphs (e)(1)(i)(B)(2) and (3) of this subsection;

(5) Actions resulting from a contract awarded competitively before CICA (including two-step formal advertising);

(6) Orders, calls, and modifications under a Federal schedule; and

(7) Section 8(a) awards competed under FAR 6.204.

(B) Do not include—

(1) Actions that meet the criteria for Section C, Line C2;

(2) Actions awarded under the authority of FAR 6.302-5(b)(2) or (4), authorized or required by statute (report these in Section C, Line C2); or

(3) Actions reported in Section B, Lines B1 and B2, including actions with the Federal Prison Industries (UNICOR). These actions are treated as not available for competition in published competition reports.

(ii) Enter the subtotals for the number and dollar value of contracting actions for—

(A) Line C1a, Small Business Concerns;

(B) Line C1b, Large Business Concerns;

(C) Line C1c, Domestic or Foreign Entities Performing Outside the United States;

(D) Line C1d, Educational; and

(E) Line C1e, Nonprofit and Other.

(2) LINE C2, NOT AVAILABLE FOR COMPETITION.

Defense Federal Acquisition Regulation Supplement

Part 253—Forms

(i) Enter the total number and dollar value of contracting actions that were not available for competition.

(A) Include on Line C2—

(1) Actions for brand name commercial products for authorized resale;

(2) Actions authorized or required by statute to be awarded to a specific source or through another agency in accordance with FAR 6.302-5(b)(2) or (4); e.g., actions with qualified nonprofit agencies employing people who are blind or severely disabled, and noncompetitive 8(a) actions;

(3) Actions (including modifications) at or below the micro-purchase threshold at FAR 2.101; and

(4) Other contracting actions when the Director of Defense Procurement and Acquisition Policy has determined that there is no opportunity for competition.

(B) Do not include any actions reported in Section B, Line B1 or B2 (e.g., actions with regulated monopolies, actions under foreign military sales or international agreements, and actions with another Federal agency or Government corporation). These actions are treated as not available for competition in published competition reports.

(ii) Enter the subtotals for the number and dollar value of contracting actions for—

(A) Line C2a, Small Business Concerns;

(B) Line C2b, Large Business Concerns;

(C) Line C2c, Domestic or Foreign Entities Performing Outside the United States;

(D) Line C2d, Educational; and

(E) Line C2e, Nonprofit and Other.

(3) LINE C3, NOT COMPETED.

(i) Enter the total number and dollar value of contracting actions that were not competed, i.e., any actions not reported on Line B1 or B2. Do not include actions reported in Section B, Line B1 or B2. These actions are treated as not available for competition in published competition reports.

(ii) Enter the subtotals for the number and dollar value of contracting actions for—

(A) Line C3a, Small Business Concerns;

Defense Federal Acquisition Regulation Supplement

Part 253—Forms

- (B) Line C3b, Large Business Concerns;
- United States; (C) Line C3c, Domestic or Foreign Entities Performing Outside the
- (D) Line C3d, Educational; and
- (E) Line C3e, Nonprofit and Other.

(f) *Section D, RDT&E Actions.* Do not include actions for supplies or services in support of research, development, test, and evaluation (RDT&E) work that do not require the contractor to perform RDT&E.

(1) LINE D1, SMALL BUSINESS. Enter the total number and dollar values of RDT&E actions with small business concerns.

(2) LINE D2, LARGE BUSINESS. Enter the total number and dollar value of RDT&E actions with large business concerns.

(3) LINE D3, DOMESTIC OR FOREIGN ENTITIES PERFORMING OUTSIDE THE UNITED STATES. Enter the total number and dollar value of RDT&E actions where the principal place of performance is outside the United States and outlying areas (see 204.670-1).

(4) LINE D4, HISTORICALLY BLACK COLLEGES AND UNIVERSITIES (HBCU). Enter the total number and dollar value of RDT&E actions with HBCUs.

(5) LINE D5, MINORITY INSTITUTIONS (MI). Enter the total number and dollar value of RDT&E actions with MIs.

(6) LINE D6, OTHER EDUCATIONAL. Enter the total number and dollar value of RDT&E actions with educational institutions other than HBCUs or MIs.

(7) LINE D7, OTHER ENTITIES. Enter the total number and dollar value of RDT&E actions that were not reported on Lines D1 through D6.

(g) *Section E, Selected Socioeconomic Statistics.*

(1) LINE E1, SMALL BUSINESS (SB) SET-ASIDE.

(i) Enter the total number and dollar value of contracting actions that were small business set-aside actions, including awards to SDBs reported on Lines E2c and E2d. Do not include orders under Federal schedules that are reported on Line E3 or E5.

(ii) If the action is an emerging small business set-aside (see FAR 19.1006(c)), use the most appropriate line.

(iii) Enter the subtotals for the number and dollar value of contracting actions for—

Defense Federal Acquisition Regulation Supplement

Part 253—Forms

(A) Line E1a, SB Set-Aside Using Simplified Acquisition Procedures. Enter actions pursuant to FAR 13.003(b)(1).

(B) Line E1b, SB Set-Aside. Enter actions pursuant to FAR 19.502.

(C) Line E1c, Reserved.

(2) LINE E2, SMALL DISADVANTAGED BUSINESS (SDB) ACTIONS.

(i) Enter the total number and dollar value of contracting actions that were SDB actions. Do not include orders under Federal schedules that are reported on Line E3 or E5.

(ii) Enter the subtotals for the number and dollar value of contracting actions for—

(A) Line E2a, Through SBA--Section 8(a). Enter actions with the Small Business Administration pursuant to Section 8(a) of the Small Business Act (see FAR Subpart 19.8) or under the 8(a) direct award procedures at 219.811.

(B) Line E2b, SDB Set-Aside, SDB Preference, or SDB Evaluation Adjustment. Enter actions resulting from—

(1) A set-aside for SDB concerns;

(2) Application of an SDB price preference or evaluation adjustment (see FAR Subpart 19.11); or

(3) SDB preferential consideration.

(C) Line E2c, SB Set-Aside Using Simplified Acquisition Procedures. Enter actions pursuant to FAR 13.003(b)(1) when award is to an SDB, but a preference or evaluation adjustment was not applied.

(D) Line E2d, SB Set-Aside. Enter actions under FAR 19.502 when award is to an SDB, but a preference or evaluation adjustment was not applied nor was preferential consideration given.

(E) Line E2e, Other. Enter awards to SDB concerns that are not reported on Lines E2a through E2d.

(3) LINE E3, SDB FEDERAL SCHEDULE ORDERS. Enter the total number and dollar value of contracting actions that were orders under Federal schedules with SDBs.

(4) LINE E4, WOMEN-OWNED SMALL BUSINESS. Enter the total number and dollar value of contracting actions with women-owned small businesses (see FAR 19.001). Do not include orders under Federal schedules that are reported on Line E5.

Defense Federal Acquisition Regulation Supplement

Part 253—Forms

(5) **LINE E5, WOMEN-OWNED SMALL BUSINESS FEDERAL SCHEDULE ORDERS.** Enter the total number and dollar value of contracting actions that were orders under Federal schedules with women-owned small businesses.

(6) **LINE E6, HBCU.** Enter the total number and dollar value of contracting actions with HBCUs pursuant to Subpart 226.70.

(7) **LINE E7, MI.** Enter the total number and dollar value of contracting actions with MIs pursuant to Subpart 226.70.

(8) **LINE E8, JWOD PARTICIPATING NONPROFIT AGENCIES.** Enter the total number and dollar value of contracting actions with qualified nonprofit agencies employing people who are blind or severely disabled for supplies or services from the Procurement List pursuant to FAR Subpart 8.7.

(9) **LINE E9, EXEMPT FROM SMALL BUSINESS ACT REQUIREMENTS.** Enter the total number and dollar value of contracting actions exempt from the set-aside requirements of the Small Business Act (see FAR 19.502-1).

(10) **LINE E10, HUBZONE.**

(i) Enter the total number and dollar value of contracting actions that were awarded to HUBZone small business concerns.

(ii) Enter the subtotals for the number and dollar value of contracting actions for—

(A) Line E10a, HUBZone Set-Aside;

(B) Line E10b, HUBZone Price Evaluation Preference;

(C) Line E10c, HUBZone Sole Source; and

(D) Line E10d, HUBZone Concern--Other. Use this category when the award is to a HUBZone small business concern and Lines E10a, E10b, and E10c do not apply.

(11) **LINE E11, SERVICE-RELATED DISABLED VETERAN-OWNED SMALL BUSINESS.** Enter the total number and dollar value of contracting actions that were awarded to service-disabled veteran-owned small business concerns.

(12) **LINE E12, OTHER VETERAN-OWNED SMALL BUSINESS.** Enter the total number and dollar value of contracting actions that were awarded to veteran-owned small business concerns, other than those reported on Line E11.

(h) *Section F, Simplified Acquisition Procedures--Ranges.* Enter in each of the dollar ranges the total number and dollar value of contracting actions that used simplified acquisition procedures (FAR Part 13). The total of Section F is normally the sum of Lines B3a, B4a, B5a, B6a, and B7a.

Defense Federal Acquisition Regulation Supplement

Part 253—Forms

(i) *Section G, Contingency Actions.* LINE G1, TOTAL ACTIONS.

(1) Enter the total number and dollar value of contracting actions that were awarded in support of a contingency operation as defined in 10 U.S.C. 101(a)(13) or a humanitarian or peacekeeping operation as defined in 10 U.S.C. 2302(8). The numbers entered here are a breakout of the numbers already entered in Sections B and C.

(2) Enter the subtotals based on the instructions for completion of Section C for the number and dollar value of contracting actions for—

(i) Line G1a, Competed;

(ii) Line G1b, Not Available for Competition; and

(iii) Line G1c, Not Competed.

(j) *Section H, Remarks and Authentication.*

(1) LINE H1, REMARKS. Enter any remarks applicable to this report.

(2) LINE H2, CONTRACTING OFFICER.

(i) Line H2a, Name. Enter the name (last, first, middle initial) of the contracting officer or representative.

(ii) Line H2b, Signature. The person identified on Line H2a must sign.

(iii) Line H2c, Telephone Number. Enter the telephone number (with area code) of the person identified on Line H2a. Installations with Defense Switched Network (DSN) must enter their DSN number.

(3) LINE H3, DATE REPORT SUBMITTED. Enter the date that the DD Form 1057 is submitted. Enter four digits for the year, two digits for the month, and two digits for the day. Use 01 through 12 for January through December. For example, enter January 2, 2003, as 20030102.