

sec news digest

Issue 82-211

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November 2, 1982

U.S. SECURITIES AND
EXCHANGE COMMISSION

NOTICE OF COMMISSION MEETINGS

Following is a schedule of Commission meetings which will be conducted pursuant to provisions of the Government in the Sunshine Act. In general, the Commission expects to follow a schedule of holding closed meetings on Tuesdays, and open meetings on Thursday morning. Meetings on Wednesday, and if necessary on Thursday afternoons, will be either open or closed according to the requirements of agenda items under consideration. The Commission will not normally meet on Mondays or Fridays.

Visitors are welcome at all open meetings, insofar as space is available.

Meetings will be held in the Commission Meeting Room, Room 1C30, at the Commission's headquarters building, 450 Fifth Street, N.W., Washington, DC. Persons wishing to photograph or videotape Commission meetings must obtain permission in advance from the Secretary of the Commission. Persons wishing to tape record a Commission meeting should notify the Secretary's office 48 hours in advance of the meeting.

OPEN MEETING - TUESDAY, NOVEMBER 9, 1982 - 10:00 A.M.

The subject matter of the November 9 open meeting will be:

Consideration of whether to issue an order approving proposed rule changes of several self-regulatory organizations designed to improve the ability of the securities industry to process institutional trades, particularly during periods of sustained high volume. FOR FURTHER INFORMATION CONTACT Heidi Steinberg Coppola at (202) 272-2775

CLOSED MEETING - WEDNESDAY, NOVEMBER 10, 1982 - 10:00 A.M.

The subject matter of the November 10 closed meeting will be: Access to investigative files by Federal, State, or Self-Regulatory authorities; Settlement of administrative proceedings of an enforcement nature; Formal orders of investigation; Institution of administrative proceeding of an enforcement nature; Proposal of self-regulation of investment companies.

AT TIMES CHANGES IN COMMISSION PRIORITIES REQUIRE ALTERATIONS IN THE SCHEDULING OF MEETING ITEMS. FOR FURTHER INFORMATION AND TO ASCERTAIN WHAT, IF ANY, MATTERS HAVE BEEN ADDED, DELETED OR POSTPONED, PLEASE CONTACT: Diane Klinke at (202) 272-2014

RULES AND RELATED MATTERS

CLARIFICATION OF BROKER-DEALER RECORDKEEPING REQUIREMENTS APPROVED

The Commission amended its recordkeeping requirements to clarify the authority of the Commission staff to obtain from broker-dealers, upon request, legible, true and complete copies of documents required to be made or preserved by Commission rules. The amendment is effective on December 10, 1982. (Rel. 34-19190)

FOR FURTHER INFORMATION CONTACT: Michael A. Macchiaroli at (202) 272-2372

COMMISSION ANNOUNCEMENTS

NOVICE INVESTORS HAVE NEW SOURCE OF ADVICE IN HANDBOOK FROM THE SEC

An authoritative new source of advice for novice stock market investors is now available from the Commission. In a new 44-page booklet entitled, "What Every

Investor Should Know - A Handbook from the U.S. Securities and Exchange Commission," the Commission provides an introduction to the securities markets, tells investors what they should look for when investing in securities, and explains safeguards provided by Federal law.

The Commission warns new investors that they are ultimately responsible for their own protection and the more information they can get before investing, the better. The Handbook goes on to explain: how the securities exchanges and over-the-counter markets work; how investors are protected by the SEC, itself and the various self-regulatory organizations; the different types of securities investments available, such as stocks, bonds, options, etc.; some things to do in choosing an investment and how to protect yourself; how to select a broker and open an account; how stocks and bonds are traded; the purposes and different types of investment companies; and things to do after you have made your investment.

The Handbook also contains a Glossary of terms frequently used in securities investing and a list of SEC offices nationwide.

A free copy of "What Every Investor Should Know" may be obtained by writing to the Consumer Information Center, Dept. 655K, Pueblo, CO 81009. (Press Release 82-55)

ADMINISTRATIVE PROCEEDINGS

DECISION REVOKING REGISTRATION OF INVESTORS FINANCIAL PLANNING, INC., EXPELLING IT FROM NASD, AND BARRING NATIONAL EXECUTIVE PLANNERS, LTD. IS FINAL

The decision of an administrative law judge revoking the broker-dealer registration of Investors Financial Planning, Inc. (IFP) of Greensboro, North Carolina, expelling it from NASD membership, and barring National Executive Planners, Ltd. (NEP), also of Greensboro, from association with any broker-dealer, investment adviser or municipal securities dealer, has become final.

According to the decision, IFP aided and abetted NEP in conducting business as a broker-dealer and investment adviser when NEP was not registered as such with the Commission. In addition, the law judge found that IFP and NEP violated registration and antifraud provisions of the securities acts in connection with the offer and sale of securities of Television Marketing Corporation. Finally, the law judge found that NEP was subject to an injunction enjoining it from further violations of registration and antifraud provisions. (Rel. 34-19176)

CIVIL PROCEEDINGS

COMPLAINT NAMES ALPHA & OMEGA REALTY, INC. AND LAUNE D. CLEM

The Seattle Regional Office announced that on October 15 an action was filed in the U.S. District Court for the District of Idaho seeking a temporary and permanent injunction against Alpha & Omega Realty, Inc. and Laune D. Clem, its president, both of Boise, Idaho. The Commission's complaint alleged that the defendants violated Sections 5(a), 5(c) and 17(a) of the Securities Act of 1933, and Section 10(b) and Rule 10b-5 under the Securities Exchange Act of 1934 in the offer and sale of automatically-renewable promissory demand notes bearing higher than prime interest rates in a manner which constituted sales of notes, evidences of indebtedness and investment contracts by means of false and misleading statements of material facts concerning, among other things, the issuer's financial condition and the use to be made of the proceeds from the sales. Simultaneously with the filing of the complaint the defendants, without admitting or denying the allegations thereof, consented to the entry of a decree of permanent injunction. (SEC v. Alpha & Omega Realty, Inc. and Laune D. Clem, Civ. 82 1347, D. Idaho). (LR-9794)

D. C. LINTON ENJOINED

The Denver Regional Office announced that on October 22 the Honorable Richard P. Matsch, U.S. District Court Judge for the District of Colorado, issued a final judgment of permanent injunction against D. C. Linton, president of Alpha Energy

& Gold, enjoining him from violating the registration provisions of the Securities Act of 1933. Linton consented to the entry of the order without admitting or denying the allegations in the Commission's complaint. Linton was the only remaining defendant in this case. The Court previously issued permanent injunctions against Alpha; Thomas E. Baumgardner, Chairman of the Board of Alpha; George I. Lawrence, Vice President of Alpha; and Blaine C. Taylor, former Secretary of Alpha, enjoining them from violating the registration and antifraud provisions of the securities laws, and against Arnold Winter, a major shareholder of Alpha, enjoining him from violating the registration provisions of the securities laws. (SEC v. Alpha Energy & Gold, et al., USDC, Colorado, Civil Action No. 81-M-2039). (LR-9795)

INVESTMENT COMPANY ACT RELEASES

AMERICAN BALANCED FUND, INC.

A notice has been issued giving interested persons until November 23 to request a hearing on an application of American Balanced Fund, Inc., AMCAP Fund, Inc., American Mutual Fund, Inc., The Bond Fund of America, Inc., Fundamental Investors, Inc., The Growth Fund of America, Inc., The Income Fund of America, Inc., The Investment Company of America, New Perspective Fund, Inc., The Tax-Exempt Bond Fund of America, Inc., Washington Mutual Investors Fund, Inc. (collectively, the Funds), each registered under the Investment Company Act of 1940 as an open-end, diversified, management investment company, and the principal underwriter of the Funds, American Funds Distributors, Inc. (collectively with the Funds, Applicants), for an order, pursuant to Section 6(c) of the Act, exempting Applicants from the provisions of Section 22(d) of the Act and Rule 22d-1 thereunder to the extent necessary to permit sales of shares of the Funds at net asset value to directors, advisory board members, and employees of the Funds, employees of the Capital Group and its subsidiaries, and family members of such persons, or trusts primarily for the benefit of such persons. (Rel. IC-12770 - Oct. 29)

AMERICAN SOUTHWEST FINANCIAL CORPORATION

A notice has been issued giving interested persons until November 22 to request a hearing on an application filed by American Southwest Financial Corporation and American Southwest Financial Co., Inc. (Applicants), for an order, pursuant to Section 6(c) of the Investment Company Act of 1940, exempting them from all provisions of the Act. (Rel. IC-12771 - Oct. 29)

PARKWAY U.S. GOVERNMENT TRUST

A notice has been issued giving interested persons until November 23 to request a hearing on the application of Parkway U.S. Government Trust, for an order of exemption from Section 2(a)(41) of the Investment Company Act of 1940 and Rules 2a-4 and 22c-1 thereunder to the extent necessary to permit it to use the amortized cost method of valuing securities. (Rel. IC-12772 - Oct. 29)

NORTHWESTERN NATIONAL LIFE INSURANCE COMPANY

An order has been issued on an application by Northwestern National Life Insurance Company, MFS/NWNL Variable Account and NWNL Select Variable Account, registered under the Investment Company Act of 1940 as unit investment trusts, and Clarendon Insurance Agency, Inc. pursuant to Section 6(c) of the Act exempting them from certain provisions of Sections 2(a)(32), 2(a)(35), 22(c), 26(a)(2)(C), 27(c)(1), 27(c)(2) and 27(d) of the Act and Rule 22c-1 thereunder to the extent necessary to permit the transactions described in the application. (Rel. IC-12773 - Oct. 29)

LISTING, DELISTING AND UNLISTED TRADING ACTIONS

WITHDRAWAL SOUGHT

A notice has been issued giving interested persons until November 17 to comment on the application of Friendly Frost Inc. to withdraw its common stock (\$.10 par value) from listing and registration on the Philadelphia Stock Exchange, Inc. (Rel. 34-19182)

SELF-REGULATORY ORGANIZATIONS

APPROVAL OF PROPOSED RULE CHANGES

The Commission has approved proposed rule changes filed by the following: The National Securities Clearing Corporation (SR-NSCC-82-13) that adds to NSCC's rules and procedures guidelines to be used by NSCC to assess the financial and operational capabilities of bank applicants and bank participants. (Rel. 34-19191); and The Option Clearing Corporation (SR-OCC-81-8) that eliminates the requirement that clearing members maintain a separate exercise settlement account with their correspondent clearing corporation for the settlement of exercised options and alters the terms of OCC's obligation to holders of options contracts. Under the rule change, OCC no longer guarantees the settlement of exercised option contracts. Instead, OCC's obligations with respect to exercised contracts terminates at the opening of business on exercise settlement date after which time an exercising clearing member must look to its correspondent clearing corporation for performance. (Rel. 34-19192)

Publication of the proposals are expected to be made in the Federal Register during the week of November 1.

MISCELLANEOUS

APPLICATION PURSUANT TO SECTION 12(h)

A notice has been issued giving interested persons until November 23 to request a hearing on an application by Deltec International Limited, pursuant to Section 12(h) of the Securities Exchange Act of 1934, for an order exempting the company from the periodic reporting requirements under Sections 13 and 15(d) of the Exchange Act. (Rel. 34-19195)

TRUST INDENTURE ACT RELEASES

DAYTON POWER & LIGHT COMPANY

A notice has been issued giving interested persons until November 24 to request a hearing on an application by the Dayton Power & Light Company, pursuant to Section 310(b)(1)(ii) of the Trust Indenture Act of 1939, declaring that the trusteeship of Irving Trust Co. is not so likely to involve a material conflict of interest as to make it necessary to disqualify Irving Trust Co. from acting as trustee. (Rel. TI-763)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC pursuant to the Securities Act of 1933. The information noted below has been taken from the cover page and the facing sheet of the prospectus and registration statement and will appear as follows: Form, Name, address and phone number (if available) of the issuer of the security; Title and the number or face amount of the securities being offered; Name of the managing underwriter (if applicable); Whether the offering is a rights offering; File number and date filed; Assigned Branch; if the registration statement is a New Issue; and [S] denoting SHELF REGISTRATION pursuant to Rule 415.

- (S-14) CAPITAL BANCORP, 11101 La Reina Ave., Downey, CA 90241 (213) 923-4526 - 137,516 shares of common stock. (File 2-80052 - Oct. 29) (Br. 2)
- (S-11) MLH INCOME REALTY PARTNERSHIP II, Two Broadway, New York, NY 10004 - 50,000 units of limited partnership interests. (File 2-80054 - Oct. 29) (Br. 5)
- (S-3) THE PROCTER & GAMBLE COMPANY, 301 East Sixth St., Cincinnati, OH 45202 (513) 562-1100 - 250,000 shares of common stock. The company is a manufacturer and distributor of household products. (File 2-80057 - Oct. 29) (Br. 1) [S]
- (S-3) UNITED TELECOMMUNICATIONS, INC., P.O. Box 11315, Kansas City, MO 64112 (913) 676-3000 - 23,295 shares of common stock. (File 2-80062 - Oct. 28) (Br. 7) [S]

- (S-3) THE SUPERIOR OIL COMPANY, First City National Bank Bldg., Houston, TX 77002 (713) 751-4111 - \$250 million of debt securities. The company and its subsidiaries explore for, develop, and produce natural resources, principally oil and gas. (File 2-80068 - Oct. 29) (Br. 3) [S]
- (S-14) CASS COMMERCIAL CORPORATION, 925 Olive St., St. Louis, MO 63101 (314) 621-9400 - 250,000 shares of common stock. (File 2-80070 - Oct. 29) (Br. 1 - New Issue)
- (S-6) TRUST SOUTHWEST TAX EXEMPT INCOME TRUST, SERIES 9, 1500 South Tower, Pennzoil Pl., Houston, TX 77002 - an indefinite number of units. Depositor: Rotan Mosle Inc. (File 2-80071 - Oct. 29) (Br. 16 - New Issue)
- (S-1) MCDERMOTT INTERNATIONAL, INC., 1010 Common St., New Orleans, LA 70112 (504) 587-5400 - 30,000,000 shares of common stock. The company is engaged in providing marine construction services in the oil and gas industry. (File 2-80072 - Oct. 29) (Br. 10 - New Issue)
- (S-8) PARKER-HANNIFIN CORPORATION, 17325 Euclid Ave., Cleveland, OH 44112 (216) 531-3000 - 250,000 common shares. (File 2-80073 - Oct. 29) (Br. 9)
- (S-3) GELCO CORPORATION, One Gelco Dr., Eden Prairie, MN 55344 (612) 828-1000 - \$100 million of subordinated debt securities. The company provides transportation management, leasing and other corporate services. (File 2-80074 - Oct. 29) (Br. 4) [S]
- (S-14) SVB&T CORPORATION, College and Maple Sts., French Lick, IN 47432 (812) 936-9961 - 132,000 shares of common stock. (File 2-80076 - Oct. 29) (Br. 1 - New Issue)
- (S-1) QUALITY SYSTEMS, INC., 17822 East 17th St., Tustin, CA 92680 (714) 731-7171 - 560,000 shares of common stock. Underwriter: Prudential-Bache Securities, Inc., 100 Gold St., New York, NY. The company designs and markets computerized information processing systems. (File 2-80056 - Oct. 29) (Br. 9 - New Issue)
- (S-1) PAR TECHNOLOGY CORPORATION, Seneca Plaza, Route 5, New Hartford, NY 13413 (315) 738-0600 - 825,000 shares of common stock. Underwriters: Shearson/American Express Inc. and Hambrecht & Quist. The company develops, manufactures, markets and services microprocessor based point-of-sale terminal systems for the restaurant industry, among other things. (File 2-80077 - Oct. 29) (Br. 10 - New Issue)
- (S-14) ONE AMERICAN CORP., East Side-LA Highway 20, Vacherie, LA 70090 (504) 265-4061 - 150,000 shares of common stock. (File 2-80078 - Oct. 29) (Br. 2 - New Issue)
- (S-8's) NORTON SIMON, INC., 277 Park Ave., New York, NY 10017 - \$1,500,000 of interests in participant contributions accounts under the United Can Company Investment Plan; \$12 million of interests in employee investment accounts under the Hunt-Wesson Profit Sharing Investment Plan; and \$2 million of interests in participant accounts under the Somerset Importers, Ltd. Thrift and Savings Plan. (File 2-80079; 2-80080; 2-80081 - Oct. 29) (Br. 4)
- (S-1) CARDIAC RESUSCITATOR CORPORATION, One Emerson Lane, Secaucus, NJ 07094 - 2,130,000 shares of common stock and 1,065,000 common stock purchase warrants. The company has designed and developed devices which electronically identify certain causes of cardiac arrest. (File 2-80082 - Oct. 29) (Br. 8)
- (S-14) HIGH POINT FINANCIAL CORP., 3 Broad St., Branchville, NJ 07826 (201) 948-3300 - 120,000 shares of common stock. (File 2-80085 - Oct. 29) (Br. 2 - New Issue)
- (S-6's) THE CORPORATE INCOME FUND, TWO HUNDRED TWENTIETH SHORT TERM SERIES; ONE HUNDRED SIXTY-FOURTH MONTHLY PAYMENT SERIES; MUNICIPAL INVESTMENT TRUST FUND, FIFTY-SEVENTH NEW YORK SERIES; and SEVENTEENTH CALIFORNIA SERIES. One Liberty Plaza, 165 Broadway, New York, NY 10080 - an indefinite number of units of beneficial interest. Depositors: Merrill Lynch, Pierce, Fenner & Smith Incorporated, One Liberty Plaza, 165 Broadway, New York, NY 10080, Dean Witter Reynolds Inc., Bache Halsey Stuart Shields Incorporated and Shearson/American Express Inc. (File 2-80088; 2-80089; 2-80090; 2-80091 - Oct. 29) (Br. 17 - New Issues)
- (S-3) VIRGINIA NATIONAL BANKSHARES, INC., One Commercial Pl., Norfolk, VA 23510 (804) 441-4000 - 500,000 shares of common stock. (File 2-80093 - Oct. 29) (Br. 1)
- (S-6) AMERICAN TAX-EXEMPT BOND TRUST, SERIES 63, 215 North Main St., West Bend, WI 53095 - 4,500 units. Depositor: B. C. Ziegler and Company. (File 2-80083 - Oct. 28) (Br. 16 - New Issue)

- (S-8) WORTHINGTON INDUSTRIES, INC., 1205 Dearborn Dr., Columbus, OH 43085 (614) 438-3001 - 600,000 common shares. (File 2-80094 - Oct. 29) (Br. 6)
- (S-2) ICN PHARMACEUTICALS, INC., 222 North Vincent Ave., Covina, CA 91722 (213) 967-0771 - 1,000,000 shares of common stock. The company is engaged in the development, manufacture and sale of pharmaceutical and chemical products and services. (File 2-80095 - Oct. 29) (Br. 4) [S]
- (S-1) UNIVERSITY HILL HOTEL MANAGEMENT CORP., 101 East Water St., P.O. Box 29, Syracuse, NY 13201 (315) 472-4323 - 232 nonresidential hotel interests. (File 2-80099 - Oct. 29) (Br. 3 - New Issue)
- (S-3) UTAH POWER & LIGHT COMPANY, 1407 West North Temple St., P.O. Box 899, Salt Lake City, UT 84110 (801) 535-2000 - 5,000,000 shares of common stock. The company is engaged in generating and selling electric energy. (File 2-80100 - Oct. 29) (Br. 8)

ACQUISITIONS OF SECURITIES

Companies and individuals must report to the Commission within ten days on Schedule 13D if after the acquisition of equity securities of a public company their beneficial interest therein exceeds five percent. Persons eligible to use the short form (Schedule 13G) may in lieu of filing a Schedule 13D file a Schedule 13G within 45 days after the end of the calendar year in which the person became subject to Section 13(d)(1). Companies and individuals making a tender offer must have on file at the time the tender offer commences a Schedule 14D-1.

Below is a list of recent filings of Schedules 13D and 14D, which includes the following information: Column 1 - the company purchased (top), and the name of the purchaser; Column 2 - the type of security purchased; Column 3 - the type of form filed; Column 4 - the date the transaction occurred; Column 5 - the current number of shares (in 000's) owned (top) and the current percent owned; Column 6 - the CUSIP number (top) and the prior percent owned; and Column 7 - the status of the filing, i.e., new, update, or revision.

		FORM	EVENT DATE	SHRS (000) OWNED	CUSIP PRIOR%	FILING STATUS
BERKLEY W R CORP CHARTER CO	COM	13D	10/19/82	327 10.0	08442310 9.1	UPDATE
BEVERAGE MGMT INC FORSTMANN LITTLE & CO	COM	13D	10/14/82	1,677 40.8	08782710 0.0	NEW
BRAEMOOD DEVELOPMENT LOMAS & NETTLETON FIN CORP	COMMON STOCK	13D	9/ 9/82	3,320 69.4	10480810 8.8	UPDATE
BUCYRUS ERIE CO INTERNORTH INC	COM	13D	10/25/82	2,567 12.6	11874510 9.5	UPDATE
CANAL RANDOLPH CORP EDELMAN ASHER B. ET AL	COM	13D	10/25/82	321 20.7	13705110 13.9	UPDATE
CAPE CORP SHAMROCK ASSOCIATES	COM	13D	10/22/82	52 8.6	14164510 16.8	UPDATE
CHICAGO PNEUMATIC TOOL CO SOUTHEASTERN PBL SVC CO ET AL	COM	13D	10/25/82	513 10.5	16789810 9.3	UPDATE
DMS INC GLICKENHAUS & CO	COM	13D	9/16/82	73 1.0	23322110 10.3	UPDATE
EMETT & CHANDLER COS INC OLD REPUBLIC INSUR ET AL	COM	13D	10/19/82	126 6.9	29120510 5.6	UPDATE
FIRST COLUMBIA FINANCIAL BLEICHROEDER ARNHOLD & S ET AL	COM	13D	10/19/82	130 5.4	31976910 0.0	NEW
FUCRUM COMPUTER GROUP ADAGE INC	COM	13D	10/13/82	0 N/A	35959590 N/A	NEW

ACQUISITION REPORTS CONT.

GENENTECH INC AKTIEBLAG ALFA-LAYAL ET AL	COM 13D	10/20/82	571 6.8	36871010 0.0	NEW
GOLDEN TRIANGLE RLTY & OIL KAMON KENNETH RUDY	COM 13D	10/19/82	658 5.0	38121410 6.5	UPDATE
KEVLIN MICROWAVE CORP WOODLAND CAPITAL CO	COM 13D	10/19/82	101 7.7	49271910 3.9	UPDATE
LANDMARK LD INC BARTON GERALD G	COM 13D	9/30/82	1,165 35.9	51506210 0.0	NEW
LION COUNTRY SAFARI INC SONNENBERG ANSTALT	COMMON STOCK 13D	9/14/82	245 10.7	53619810 0.0	NEW
MIRRO CORP SHUFRO ROSE & EHRMAN	COM 13D	10/26/82	768 32.3	60473910 31.2	UPDATE
MOUNTAIN MED EQUIP INC AIRCO INC	COM 13D	10/19/82	685 25.8	62422010 25.8	UPDATE
NMC CORP FRIEDBERG MAXWELL	COM 13D	10/18/82	247 16.4	62919310 0.0	NEW
NMC CORP SELTER JESSE	COM 13D	10/18/82	375 25.0	62919310 20.0	UPDATE
NU WEST INC NU WEST GROUP LTD	COM 13D	10/19/82	3,900 80.0	67019010 80.0	UPDATE
PSA INC MITCHUM JONES&TEMPLETON ET AL	COM 13D	10/19/82	285 6.3	69360210 7.8	UPDATE
PLENUM PUBG CORP N.V.VERENIGD BEZIT VNU ET AL	COM 13D	10/21/82	122 7.1	72909310 5.9	UPDATE
REAL ESTATE INVT TR AMER SAN FRANCISCO REAL EST INVST	SH BEN INT 14D-1	10/28/82	276 16.9	75589310 6.3	UPDATE
REYNOLDS R.J. INDUSTRIES GENERAL CINEMA CORP	CUM PFD SER B 13D	10/12/82	1,023 35.2	76175350 0.0	NEW
ROYAL CROWN COS INC CHESAPEAKE INS CO LTD ET AL	COM 13D	10/25/82	1,645 20.1	78024010 19.0	UPDATE
SAN FRANCISCO REAL EST INVS UNICORP AMERICAN CORP ET AL	SH BEN INT 13D	10/22/82	1,333 50.0	79775210 48.3	UPDATE
SOUTHERN STATES PETROLEUM MARTIN JOSE CASTELLON	COM 13D	10/28/82	1,540 N/A	84388410 N/A	NEW

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.

The companies listed below have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. Copies of the reports may be purchased from the Commission's Public Reference Section (in ordering, please give the date of the report). An invoice will be included with the requested material when mailed.

COMPANY	ITEMS NO.	DATE	
ALLIED CORP	5,7	09/24/82	
AMERICAN EXPRESS CREDIT CORP	7	10/22/82	
CALIFORNIA REAL ESTATE INVESTMENT TRUST	5,7	08/09/82	
CARHART PHOTO INC	1	10/12/82	
CHIPOLA OIL CORP	1	07/30/82	AMEND
CONCORDE COMMODITY FUND INC	5	10/25/82	
CONNECTICUT GENERAL REALTY INVESTORS LTD	7	07/28/82	AMEND
DAUPHIN DEPOSIT CORP	2,7	10/01/82	
DIODES SYSTEMS INC	4,5	10/15/82	
EASTMAN KODAK CO	7	10/21/82	
EDUCOM CORP	2	10/15/82	
ELECTRONIC DATA SYSTEMS CORP	5	09/03/82	
ENERGY MANAGEMENT CORP/CO	5	10/25/82	
FEDERAL REALTY INVESTMENT TRUST	5	10/20/82	
FEDERATED INVESTORS INC	1	10/21/82	
GRAHAM BEACH PARTNERS & KAWAI BEACH VILL	5	10/14/82	
HACKENSACK WATER CO	5	03/17/82	AMEND
ICH CORP	2,7	10/14/82	
IMPERIAL 400 NATIONAL INC	5	09/27/82	
KAWAI BEACH VILLAS INVESTMENT PARTNERSHI	5	10/14/82	
KAVANAU REAL ESTATE TRUST	5	09/08/82	AMEND
MCDONALDS CORP	5	10/04/82	
MERCANTILE TEXAS CORP	5	10/26/82	
MERIT ENERGY CORP	2	10/12/82	
NATIONAL CONTROLS INC	5	10/08/82	
OMEX /CA/	5,7	10/12/82	
OMNI WAVE ELECTRONICS CORP	4	01/31/82	
PEOPLES DRUG STORES INC	5,7	09/09/82	
PETRO LEWIS OIL & NATURAL GAS INCOME PRO	5	09/28/82	
PETRO SILVER INC	5	10/25/82	
PORTLAND GENERAL ELECTRIC CO	2	10/06/82	
PROPERTY RESOURCES FUND VI	7	08/18/82	AMEND
PSA INC	5	10/18/82	
RIC 14 LTD	2	10/06/82	
RIC 14 LTD	2	10/15/82	
RIC 14 LTD	2	10/18/82	
SARATOGA BANCORP	4,7	10/13/82	
SOLID STATE TECHNOLOGY INC	5	10/22/82	
SUGARLOAF MOUNTAIN CORP	4	10/02/82	
TRANS GRAPHICS INC	4,7	10/12/82	
UAL INC	5	09/29/82	
WASHINGTON GEORGE CORP	5	10/16/82	