sec news digest Issue 76-120 June 21, 1976

(SEC Docket, Vol. 9, No. 17 - July 6)

<u>Jun 23 1976</u>

RULES AND RELATED MATTERS

U.S. SECURITIES AND EXCHANGE COMMISSION

ADOPTION OF RULE 12g-4

The SEC announced the adoption of Rule 12g-4 which requires the filing of a form with the Commission by an issuer certifying that the number of holders of record of a class of security registered under Section 12(g) is reduced to less than three holders. The Commission has amended Form 15d-6 to consolidate it with a new form to be used for filing notices under Rule 15d-6 and certifications under Rule 12g-4. The Commission believes that the new rule will facilitate compliance with the Act, expedite the processing of the certification by the staff and would parallel the requirement under Rule 15d-6. (Rel. 34-12551)

DECISIONS IN ADMINISTRATIVE PROCEEDINGS

NASD ACTION AGAINST C. B. BEAL & CO., LTD., PRESIDENT AFFIRMED

> The Commission has affirmed sanctions imposed by the NASD on C. B. Beal & Co., Ltd., a former New York broker-dealer firm, and its president, Bertram Aber, of Westport, Connecticut. The NASD expelled the firm from membership and barred Aber from association with any NASD member as a principal or in any managerial or supervisory capacity.

> The Commission found, as had the NASD, that from November 30, 1976 through January 24, 1972, the firm engaged in business with net capital deficiencies. It also affirmed the NASD's findings that the firm and Aber prepared and sent to the NASD an inaccurate and misleading report of the firm's financial condition. (Rel. 34-12532)

C. R. RICHMOND & CO., PRESIDENT SANCTIONED

> The Commission has revoked the broker-dealer and investment adviser registrations of C. R. Richmond & Co. of Los Angeles. It also barred Curtis R. Richmond, the firm's president, from association with any broker, dealer or investment adviser. After one year, he may apply for permission to return to the securities business in a nonproprietary, non-supervisory capacity upon a showing of adequate supervision.

> The Commission's action was based on three judgments rendered by the U.S. District Court for the Central District of California that enjoined Richmond and his firm (1) from violating the Securities Exchange Act's reporting, customer-disclosure, net capital, hypothecation, recordkeeping and security count provisions; (2) from selling unregistered securities in violation of the Securities Act; and (3) from violating the Investment Advisers Act's antifraud provisions by using fraudulent advisory publications (the Richmond Outlook, a weekly newsletter, and a book written by Richmond entitled The Money Machine.) (Rel. 34-12535)

COURT ENFORCEMENT ACTIONS

COMPLAINT NAMES CAPITAL PLANNING ASSOCIATES, NATIONAL REAL ESTATE FUND, OTHERS

> The San Francisco Branch Office announced that on June 11 a complaint was filed in the U.S. District Court for the Northern District of California seeking preliminary and permanent injunction against Capital Planning Associates, Inc. (Capital), Capital Planning Securities Company, Inc. (Capital Securities), both of San Rafael, California; Delger Corporation (Delger); Thomas A. Sartain, Sr. (Sartain), James D. Lang, Jr. (Lang), and Michael J. Scotto (Scotto), all of Novato, California; National Real Estate Fund (National), a real estate investment trust headquartered in San Francisco, California; Leroy G. von Schottenstein (von Schottenstein) of Orinda, California; and

Michael M. Manning of Mill Valley, California. The complaint alleges that the defendants variously violated and aided and abetted violations of the registration, antifraud, and reporting provisions of the securities laws with respect to the securities of National and securities in the form of limited partnership interests in partnerships in which Capital or an affiliate was the general partner. The complaint also seeks ancillary relief in the form of a Special Counsel for National.

The Commission's complaint specifically alleges that all of the defendants violated or aided and abetted violations of the registration provisions of the Securities Act of 1933 in the offer and sale of limited partnership interests. The misrepresentations and omission charged with respect to the limited partnership interests concern, among other things, the nature and extent of Capital's financial planning services; conflicts of interest among the defendants; commingling of funds among Capital's partnerships; misleading projections of income and expenses; and risks associated with certain leased properties and properties under construction which had been purchased by the limited partnerships. The complaint also alleges fraudulent misrepresentations and omissions with respect to National's securities. Those misrepresentations and omissions concern, among other things, conflicts of interest between National's trustees and National; National's purchase of 50 percent of the limited partnership interests, thereby effectively preventing removal of Capital as the general partner without National's consent, the prepayment of fees and commissions to Capital and its affiliates primarily for the benefit of the limited partners other than National; cash and stock distributions made out of capital rather than earnings; and National's failure to adopt by-laws permitting shareholders to call special meetings.

In addition, National is charged with violations of Section 13(a) of the Securities Exchange Act of 1934 and Rule 13a-1, 13a-11, and 13a-13 thereunder, in connection with its failure to file required annual, quarterly, and interim reports. Sartain, Schottenstein, and Manning are alleged to have aided and abetted these violations.

On June 11 the Honorable Spencer Williams, U.S. District Judge for the Northern District of California, entered an order permanently enjoining the defendant National from further violations of the registration, reporting, and antifraud provisions of the securities laws. Judge Williams further ordered the defendant National to file its delinquent annual, quarterly and interim reports by August 15. The judgment and order also appointed Frederick S. Wyle, Esq., 111 Pine St., San Francisco, California, as Special Counsel on behalf of the securityholders of National.

The above order was consented to by National without admitting or denying the allegations of the Commission's complaint. (SEC v. Capital Planning Associates, Inc., et al., N.D. Cal., Civil Action No. C-76-1198-SW). (LR-7452)

INVESTMENT COMPANY ACT RELEASES

WELLESLEY INCOME FUND

A notice has been issued giving interested persons until July 12 to request a hearing on an application of Wellesley Income Fund, Inc., for an order of the Commission pursuant to Section 6(c) of the Act for exemption from the provisions of Sections 22(c), 22(d), and 22(f) of the Act, regarding an exchange of its shares for substantially all the assets of Herbert Levine, Inc. (Rel. IC-9324 - June 17)

HOLDING COMPANY ACT RELEASES

METROPOLITAN EDISON COMPANY

A notice has been issued giving interested persons until July 20 to request a hearing on a proposal of Metropolitan Edison Company, subsidiary of General Public Utilities Corporation, that it amend its articles of incorporation to reclassify presently outstanding shares of preferred stock and to increase its authorized preferred stock. An order has been issued authorizing the solicitation of proxies in connection with the proposal. (Rel. 35-19577 - June 18)

AMERICAN ELECTRIC POWER COMPANY

An order has been issued releasing jurisdiction over fees and expenses to be incurred by American Electric Power Company, Inc., a registered holding company, in connection with its Employee Stock Purchase Plan. (Rel. 35-19578 - June 18)

EASTERN UTILITIES ASSOCIATES

An order has been issued authorizing the subsidiary service company of Eastern Utilities Associates, a registered holding company, to increase its borrowings from the holding company from \$500,000 to \$2,000,000. (Rel. 35-19579 - June 18)

NEW ENGLAND ELECTRIC SYSTEM

An order has been issued authorizing a proposal by New England Electric System (NEES, a registered holding company, and New England Energy, Inc. (NEEI), its fuel subsidiary, that NEES make investments of up to \$45 million in NEEI through 1979 and that NEEI make investments up to \$45 million in fuel activities through 1979. (Rel. 35-19580 - June 18)

SELF-REGULATORY ORGANIZATIONS

NOTICE OF PROPOSED RULE CHANGE

The New York Stock Exchange, Inc. has filed a proposed rule change under Rule 19b-4 (SR-NYSE-76-33) with respect to qualification of persons in charge of any sales department or activity. Publication of the proposal is expected to be made in the Federal Register during the week of June 20. (Rel. 34-12552)

MISCELLANEOUS

HEARING ORDERED RE CAROLINA WHOLESALE FLORISTS, INC.

Carolina Wholesale Florists, Inc. (Carolina) has made application for exemption under Section 12(h) of the Securities Exchange Act of 1934 from the provisions of Section 12(g] of the Act. According to information filed with the Commission, Carolina, which was organized pursuant to the laws of North Carolina first became obligated to register under the Act as of January 31, 1975 when its total assets exceeded \$1 million for the first in its history and its common stock was held by 638 stockholders. These conditions continued to exist as of January 31, 1976. Carolina contends that the requested exemption should be granted because the common stock is not actively trading; the preparation and filing of a registration statement under Section 12(g) and compliance with the proxy rules and the reporting requirements of the Act would involve substantial and burdensome costs; its total assets declined below the \$1 million for a period of time during 1975; and the company is making an effort to acquire shares in order to reduce the total number of stockholders to a figure below 300.

The Commission has ordered that a hearing to determine whether the application should be granted or denied be held on July 6, at 10:00 a.m. at the offices of the SEC, Room 776, 500 North Capitol Street, Washington, D.C. Any person desiring to be heard is directed to file with the Secretary of the Commission his request as provided by Rule 9(c) of the Commission's Rules of Practice, setting forth any issues of fact or law which he desires to controvert and/or setting forth any additional issues which he feels should be considered. (Rel. 34-12558)

MILLMASTER ONYX CORP.

A notice has been issued giving interested persons until July 12 to request a hearing on an application of Millmaster Onyx Corporation pursuant to Section 12(h) of the Securities Exchange Act of 1934 for an order exempting Millmaster from filing an annual report on Form 10-K for the fiscal year ended December 31, 1975 as required by Sections 13 and 15(d) of the Act. (Rel. 34-12559)

HOTEL TAFT ASSOCIATES

An order has been issued granting the application of Hotel Taft Associates under Section 12(h) of the Securities Exchange Act of 1934 for an order exempting it from filing reports on Forms 10-Q and 10-K pursuant to the provisions of Section 13 of the Act. It appears to the Commission that the requested exemption is not inconsistent with the public interest or the protection of investors, as Hotel Taft Associates will report significant events on Form 8-K and its present financial condition does not permit full formal compliance with the reporting provisions of the Act. Further, there is no trading activity in the company's participations and the company intends to dissolve during 1976. (Rel. 34-12560)

June 11: Betz Laboratories Inc., 2-55728; GF Industries Inc., 2-52064; Gulf Energy & Development Corp., 2-54944; Industrial National Corp., 2-56522; M & S Bancorp., 2-56248; Union Electric Co., 2-56417; Utah Power & Light Co., 2-56413.

June 14: American Electric Power Co., Inc., 2-56378; CMI Investment Corp., 2-56235; Central Maine Power Co., 2-55329; Jersey Central Power & Light Co., 2-56394; Morton Buildings Inc., 2-55541; Southwestern Investment Co., 2-56255; Unitrode Corp., 2-56466.

June 15: Beneficial Corp., 2-56485; Buell Industries Inc., 2-56473; Clausing Corp.,

June 15: Beneficial Corp., 2-56485; Buell Industries Inc., 2-56473; Clausing Corp., 2-56085; Colgate-Palmolive Co., 2-56454; Chrysler Financial Corp., 2-56398; Duquesne Light Co., 2-56491; The Empire District Electric Co., 2-56350; Farr Co., 2-55906; Fotomat Corp., 2-56482; Information Magnetics Corp., 2-56407; Jefferson-Pilot Corp., 2-56410; Louisville Gas & Electric Co., 2-56357; Public Service Co. of Oklahoma, 2-56385; Orange-Co., Inc., 2-56344; Storage Technology Corp., 2-56418; United Brands Co., 2-56272; Valleylab Inc., 2-56106; Walgreen Co., 2-56487.

NOTE TO DEALERS. When applicable the 90-day period of time dealers are required to use the prospectus is noted above in parentheses after the name of the issuer. As to the other issuers, there may be no such requirement to use a prospectus, or the requirement may be for a period of only 40 days; see Section 4(3) of the Securities Act of 1933 and Rule 174 (17 CFR 230.174) thereunder.

ACQUISITIONS OF SECURITIES

Companies and individuals must report to the Commission within 10 days on Schedule 13D if after the acquisition of equity securities of a public company their beneficial interest therein exceeds 5%. The following companies or individuals filed Schedule 13D's during the period June 7-11, 1976. The number of shares of the security which are beneficially owned, and the number of shares concerning which there is a right to acquire are set forth for each beneficial owner.

| REPORTING COMPANY OR INDIVIDUAL | ISSUER & NUMBER OF SHARES | DATE FILED |
|--|---|------------|
| * Midwood Industries, Inc. | Hi-Shear Corp. (Torrance, Calif.) Common Stock - 611,594 shs. Common Stock - 21,315 shs. on exercise of Option granted by Chairman of Board | 6-7-76 |
| # Helmerich & Payne, Inc. | Eason Oil Co. (Oklahoma City, Okla.) Common Stock - 407,638 shs. (24.1%) | 6-7-76 |
| Hudson Bay Mining & Smelting Co. Ltd. (Canada) Minerals & Resources Corp Ltd. (Bermuda) | Inspiration Consolidated Copper Co. (Morristown, N.J.) Common Stock - 1,223,100 shs. | 6-7-76 |
| * Atlantic Richfield Co. | Anaconda Co. (New York City) Common Stock - 6,012,846 shs. | 6-7-76 |
| * Cooper Laboratories, Inc. | Barnes-Hind Pharmaceuticals, Inc. (Sunnyvale, Calif.) Common Stock - 288,000 shs. (35%) | 6-7-76 |
| * Hyman Belzberg (Canada) Samuel Belzberg (Canada) William Belzberg (Canada) | Far West Financial Corp. (Newport Beach, Calif.) Common Stock - 591,200 shs. | 6-7-76 |
| United Services Life Insurance Co. | Empire General Corp. (Los Angeles, Calif.) Capital Stock - 1,501,857 shs. (to be acquired pursuant to Stock Purchase Agreement) | 6-8-76 |
| Group of Shareholders | Tenney Engineering, Inc. (Union, N.J.) Common Stock - 191,828 shs. (26.2%) | 6-8-76 |
| Philip Gengusza | Valley Fair Corp. (Totowa, N.J.) Common Stock - 413,166 shs. | 6-8-76 |
| Goldman, Sachs & Co. | Tyler Corp. (Dallas, Tex.) 55 Convertible Debentures - \$1,400,000 | 6-8-76 |

ACQUISITION REPORTS CONT.

| * Federated Reinsurance Corp. | Drexel Utility Shares, Inc. (Philadelphia, Pa.) Common Stock - 41,300 shs. | 6-8-76 |
|--------------------------------------|--|-----------------|
| * Airco, Inc. | Unitek Corp. (Monrovia, Calif.) Common Stock - 174,803 shs. (Above shares acquired pursuant to the Tender Offer) | 6-8-76 |
| * Bergstrom Group of Shareholders | Diebold Venture Capital Corp. (New York City) Common Stock - 363,200 shs. | 6-8-76 |
| Edward H. Meyer | Grey Advertising Inc. (New York City) Common Stock - 67,548 shs. (7,500 of above shares are in trust for Mr. Meyer's children.) | 6-9 - 76 |
| N. B. Hunt | Scheutzow Helicopter Corp. (Columbia Station, Ohio) Common Stock - 641,000 shs. (73%) | 6-9-76 |
| * Milton A. Rudin Frank Sinatra | Del E. Webb Corp. (Phoenix, Ariz.) Common Stock - 490,000 shs. | 6-9-76 |
| * American General Insurance Co. | Washington National Corp. (Evanston, Ill.) Common Stock - 95,500 shs. Common Stock - 51,500 shs. on conversion of 41,200 shares of Preferred Stock | 6-9-76 |
| Estate of Max Katz | Atco Industries, Inc. (Stratford, Conn.) Common Stock - 1,130,838 shs. (20.3%) | 6-10-76 |
| Geroge W. Hoddy | Intertherm Inc. (St. Louis, Mo.) Common Stock - 95,527 shs. | 6-10-76 |
| Tine W. Davis | Kinder-Care Learning Centers, Inc. (Montgomery, Ala.) Common Stock - 102,279 shs. | 6-10-76 |
| Aaron Aronov | Kinder-Care Learning Centers, Inc. (Montgomery, Ala.) Common Stock - 48,629 shs. (Mr. Aronov also has warrants to purchase an additional 625 shares of common stock) | 6-10-76 |
| Perry Mendel | Kinder-Care Learning Centers, Inc. (Montgomery, Ala.) Common Stock - 82,067 shs. (Mr. Mendel has warrants to purchase an additional 6,362 shares of common stock) | 6-10-76 |
| * Guaranty Income Life Insurance Co. | Petroleum State Insurance Co. (Beaumont, Tex.) Common Stock - 2,876,168 shs. | 6-10-76 |
| * Heath Tecna Corp. | ESD Co. (San Diego, Calif.) Common Stock - 418,690 shs. (97.4%) (279,623 shares were acquired pursuant to the Tender Offer) | 6-10-76 |
| Gulf & Western Industries, Inc. | Esquire, Inc. (New York City) Common Stock - 207,500 shs. | 6-11-76 |
| * Amended Acquisition Report | | |

The following have made offers to acquire stock of companies listed below pursuant to tender offers as reported to the Commission on Schedule 13D:

OFFER TO PURCHASE BY:

ISSUER & MUNEER OF SHARES TO BE PURCHASED

DATE FILED

Sharon Steel Corp.

Foremost-McKession, Inc. (San Francisco, Calif.) 6-11-76 Common Stock - 8,000,000 shs.

in exchange for 8 Subordinated Sinking Fund Debentures of Sharon in ratio of \$27 Principal Assount Debenture for each share of common.

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

Item 1. Changes in Control of Registrant

Item 2. Acquisition or Disposition of Assets

Hem 3. Legal Proceedings

Item 4. Changes in Securities

Item 5. Changes in Security for Registered Securities

Item 6. Defaults upon Senior Securities

Item 7. Increase in Amount of Securities Outstanding

Item 8. Decrease in Amount of Securities Outstanding

Item 9. Options to Purchase Securities

Item 10. Extraordinary items, other material charges and credits and capital restatements

Item 11. Submission of Matters to a Vote of Security Holders

Item 12. Changes in Registrant's Certifying Accountant

Item 13. Other Materially Important Events

Item 14. Financial Statements and Exhibits

The companies listed below have filed 8-K reports for the month indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. Copies of the reports may be purchased from the Commission's Public Reference Section (in ordering, please give month and year of report). An invoice will be included with the requested material when mailed.

| COMPANY | ITEM NO. | MONTH |
|--|----------------|-------|
| ABKCO INDUSTRIES INC | 3,14 | 05/76 |
| ACADENY INSURANCE GROUP INC | 2,4,7,8,14 | 05/76 |
| ACTION INDUSTRIES INC | 8,14 | 05/76 |
| AERO SYSTEMS INC | 7,14 | 05/76 |
| AERONCA INC | 3 | 05/76 |
| AFFILIATED PUBLICATIONS INC | . 11,14 | 05/76 |
| AGC INDUSTRIES INC | 2,14 | 05/76 |
| AIR CALIFORNIA | 3,9 | 05/76 |
| AIR PRODUCTS & CHEMICALS INC | 3,7 | 05/76 |
| AIRCO INC | 11 | 05/76 |
| ALLEGHANY CORP | 3,14 | 05/76 |
| ALLEGHENY BEVERAGE CORP | 3,14 | 05/76 |
| ALLIED SECURITY INC | 2 | 05/76 |
| ALLIS CHALMERS CORP | 3,11,13,14 | 05/76 |
| ALDHA AIRLINES INC | 3 | 05/76 |
| ALTON BOX BOARD CO | 3,7,8,14 | 05/76 |
| AMERADA HESS CORP | 11,13 | 05/76 |
| AMERICAN AGRONOMICS CORP | 13 | 05/76 |
| AMERICAN AIRLINES INC | 11,14 | 05/76 |
| AMERICAN BRANDS INC | 11,13,14 | 05/76 |
| AMERICAN EQUITY INVESTMENT TRUST | 13,14 | 05/76 |
| AMERICAN FINANCIAL CORP | 3,7,11,14 | 05/76 |
| AMERICAN GARDEN PRODUCTS INC | 10,14 | 05/76 |
| AMERICAN GREETINGS CORP | 7,14 | 05/76 |
| AMERICAN MEDICAL INTERNATIONAL INC | 7 | 05/76 |
| AMERICAN MEDICORP INC | 1,3,8,11,13,14 | |
| AMERICAN RESERVE CORP | 11,14 | 05/76 |
| AMERICAN SHIP BUILDING CO | 13,14 | 05/76 |
| AMERICAN TELEVISION & COMMUNICATIONS COR | 13 | 05/76 |
| ANGELES CORP | 13 | 05/76 |
| APACHE CORP | 8,11,14 | 05/76 |
| APCO OIL CORP | 4,7,8,14 | 05/76 |
| APEXCO INC | 7,11,14 | 05/76 |
| AQUITAINE CO OF CANADA LTD | 13 | 05/76 |

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|--|-------------------------|----------------|
| ARKANSAS POWER & LIGHT CO | 11,13 | 05/76 |
| ARMCO STEEL CORP | 3 | 05/76 |
| ASHLAND OIL INC | 3,14 | 05/76 |
| ASSOCIATED BANK CORP | 3,11 | 05/76 05/76 |
| ASSOCIATED TRUCK LINES INC ATLANTIC RICHFIELD CO | 11,14 3,4,9,11,13,14 | |
| AVCO CORP | 6 | 05/76 |
| AZTEC MANUFACTURING CO | 12,14 | 02/76 |
| BALTIMORE GAS & ELECTRIC CO | 13,14 | 05/76 |
| BECKMAN INSTRUMENTS INC | 13 | 05/76 |
| BELL & HOWELL CO | 13,14 | 05/76 |
| BENHAM BLAIR & AFFILIATES INC | 2,14 | 04/76 |
| BETHLEHEM CORP | 11 | 05/76 |
| BIC PEN CORP | 11 | 05/76 |
| BLUE CHIP STAMPS | 11,13,14 | 05/76 |
| BOLT BERANEK & NEWMAN INC | 4,7,8,14 | 05/76 05/76 |
| BOSTON EDISON CO | 3,7,13,14 7 | 05/76 |
| BOP INDUSTRIES INC BRENNAND PAIGE INDUSTRIES INC | 4.11 | 04/76 |
| BROADVIEW FINANCIAL CORP | 13 | 05/76 |
| BROWN CO | 3,14 | 05/76 |
| BROWNING FERRIS INDUSTRIES INC | 7.8.14 | 05/76 |
| BRUNSWICK CORP | 4 | 05/76 |
| BRUSH WELLMAN INC | 8 | 05/76 |
| BULOVA WATCH CO INC | 1 | 05/76 |
| BURNDY CORP | 13 | 05/76 |
| BURNS R L CORP | 4,7,8 | 05/76 |
| CABLECOM GENERAL INC | 3 11 14 | 05/76 05/76 |
| CANRAD HANDVIA INC | 2,11,14 11,14 | 05/76 |
| CAPITAL CITIES COMMUNICATIONS INC | 3 | 05/76 |
| CARE CENTERS INC CAROLINA POWER & LIGHT CO | 3,11,14 | 05/76 |
| CELANESE CORP | 3,13 | 05/76 |
| CENTENNIAL CORP | 13 | 05/76 |
| CENTEX CORP | 3 | 05/76 |
| CENTRAL HUDSON GAS & ELECTRIC CORP | 13,14 | 05/76 |
| CENTRAL ILLINOIS LIGHT CO | 7,14 | 05/76 |
| CENTRAL LOUISIANA ELECTRIC CO INC | 7,14 | 05/76 |
| CENTRAL MAINE POWER CO | 4,7,11,14 | 05/76 |
| CENTRAL WISCONSIN BANKSHARES INC | 7,11 | 05/76 05/76 |
| CENTURY FINANCIAL CORP OF MICHIGAN | 13 11•14 | 05/76 |
| CERTAIN TEED PRODUCTS CORP | 10 | 05/76 |
| CFGI STEEL CORP CFS CONTINENTAL INC | 13 | 05/76 |
| CHART HOUSE INC | 11,13,14 | 06/76 |
| CHASE MANHATTAN MORTGAGE & REALTY TRUST | 2,10,11,14 | 05/76 |
| CHC CORP | 2,8,10,14 | 05/76 |
| CHICAGO MILWAUKEE SAINT PAUL & PACIFIC R | 3 | 05/76 |
| CHRIS CRAFT INDUSTRIES INC | 8 | 05/76 |
| CIRCLE K CORP | 1 | 05/76 |
| CITADEL INDUSTRIES INC | . 3 | 05/76 05/76 |
| CITY STORES CO | 11,14 3 | 05/76 |
| COLONIAL PENN GROUP INC | 2,14 | 05/76 |
| COLUMBIA GENERAL CORP | 7.14 | 05/76 |
| COMMODORE CORP COMMONWEALTH EDISON CO | 3,13 | 05/76 |
| COMMONWEALTH OIL REFINING CO INC | 3 | 05/76 |
| COMMUNICATIONS SATELLITE CORP | 11,14 | 05/76 |
| COME MILLS CORP | 11 | 05/76 |
| CONSOLIDATED EDISON CO OF NEW YORK INC | 8,11 | 05/76 |
| CONSOLIDATED NATURAL GAS CO | 11,14 | 05/76 |
| CONSOLIDATED PAPERS INC | 7 13,14 | 05/76 05/76 |
| CONTECH INC | 13,17 | 05/76 |
| CONTINENTAL CONNECTOR CORP | 3 | 05/76 |
| CONTINENTAL OIL LTO | 8 | 05/76 |
| COOK UNITED INC | ž | 05/76 |
| CORNING GLASS WORKS COUSINS MORTGAGE & EQUITY INVESTMENTS | 3 | 05/76 |
| CRANE CO | 8,10 | 05/76 |
| CROSS A T CO | 13 | 05/76 |
| CURTIS PUBLISHING CO | 3,8,13 | 05/76 |
| CURTISS WRIGHT CORP | 11,14 | 05/76 |
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RECENT 8K FILINGS CONT.

| NGS CONT. | | |
|--|-------------------|--------|
| DAN RIVER INC | 3,14 | 05/76 |
| DANA CORP | 3 | 05/76 |
| DARIN & ARMSTRONG INC | 11,14 | 06/76 |
| DATA PATHING INC | 7,8,13 | 05/76 |
| DATA PAINING INC | 11 | 05/76 |
| DATA RESEARCH CORP | 1.3.6.13.14 | 05/76 |
| DATATYPE CORP | 2 | 05/76 |
| DENNISON MANUFACTURING CO | 7,14 | 05/76 |
| DENNYS INTERNATIONAL N V | 3,13,14 | 05/76 |
| DETROIT EDISON CO | 11 | 05/76 |
| DILLARD DEPARTMENT STORES INC | | 05/76 |
| DISCOVERY OIL LTD | 1,2,14 | |
| DISSTON INC | 7,14 | 05/76 |
| DIVERSIFIED INDUSTRIES INC | 2,3,10,11,13,14 | |
| DIVERSIFIED MORTGAGE INVESTORS | 3,10 | 05/76 |
| DOCKTOR PET CENTERS INC | 13 | 05/76 |
| DDNNKENNY INC | 11,14 | 05/76 |
| DOM CHEMICAL CO | 4,7,11,13,14 | 05/76 |
| DOM CHEMICAL CO | 7.14 | 05/76 |
| DUPONT E 1 DENEMOURS & CO | 2.13 | 07/75 |
| EARLE M JORGENSEN CO | 3.8 | 05/76 |
| EASTERN AIR LINES INC | 7 | 04/76 |
| EBS DATA PROCESSING INC | 13.14 | 05/76 |
| ECONOMY BOOKBINDING CORP | 7 | 05/76 |
| EDGCOMB STEEL OF NEW ENGLAND INC | | |
| EMHART CORP | 1,2,4,7,8,9,11,14 | |
| ENSERCH CORP | 8,13 | 05/76 |
| ERNST E C INC | 13 | 05/76 |
| EUREKA STANDARD CONSOLIDATED MINING CO | 11 | 05/76 |
| EXOTECH INC | 12 | 05/76 |
| EXXON CORP | 3,11 | 05/76 |
| FALCON SEABOARD INC | 7 | 05/76 |
| FARAH MANUFACTURING CO INC | 3,4 | 05/76 |
| FIDELITY AMERICAN BANKSHARES INC | 11,14 | 05/76 |
| FIDELITY BANCORPORATION INC | 11 | 05/76 |
| FIRST ARTISTS PRODUCTION CO LTD | 3 | 05/76 |
| FIRST COMMERCE CORP | 11.14 | 05/76 |
| | 3,14 | 05/76 |
| FIRST COMMONWEALTH CORP | 8 | 05/76 |
| FIRST MORTGAGE INVESTORS | 3 | 05/76 |
| FIRST TEXAS FINANCIAL CORP | | 05/76 |
| FIRST WISCONSIN MORTGAGE TRUST | 3,13 | - |
| FLORIDA GAS TRANSMISSION CO | 11 | 05/76 |
| FLORIDA POWER & LIGHT CO | 3,11,13 | 05/76 |
| FLYING TIGER LINE INC | 11,13 | 05/76 |
| FLYNN ENERGY CORP | 7,14 | 05/76 |
| FORD MOTOR CO | 11,14 | 05/76 |
| FOREST DIL CORP | 7,14 | 05/76 |
| FRONTIER AIRLINES INC | 3 | 05/76 |
| FWD CORP | 8 | 05/76 |
| GARDNER DENVER CO | 7,14 | 05/76 |
| GENERAL BUILDERS CORP | 11,13 | 05/76 |
| GENERAL MOTORS ACCEPTANCE CORP | 7.14 | 06/76 |
| GENERAL TELEPHONE CO OF THE SOUTHWEST | 13 | 05/76 |
| GENISCO TECHNOLOGY CORP | 8.10 | 05/76 |
| | 13 | 05/76 |
| GENSTAR LTD | 13 | 05/76 |
| GEORGIA BONDED FIBERS INC | 3 | 05/76 |
| GEORGIA PACIFIC CORP | 3 | UJ/ 10 |
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NOTICE

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