

sec news digest

Issue 93-229

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COMMISSION ANNOUNCEMENTS

U.S. SECURITIES
EXCHANGE COMMISSION

GARY SUNDICK APPOINTED ASSOCIATE DIRECTOR

Chairman Levitt announced today the appointment of Gary N. Sundick to the position of Associate Director, Division of Enforcement. Mr. Sundick will oversee several groups responsible for the conduct of enforcement investigations and will also be in charge of operations of the Division of Enforcement's Office of Market Surveillance. (Press Rel. 93-58)

SUSAN BAUMANN APPOINTED DEPUTY EXECUTIVE DIRECTOR

Chairman Levitt announced today the appointment of Susan Baumann as the Deputy Executive Director of the Commission. The Office of the Executive Director oversees all of the agency's management and administrative operations. These activities include personnel, procurement and space management; budget and financial operations; document receipt and processing, and consumer affairs; and information technology. (Press Rel. 93-59)

INCREASE IN COPYING FEES

Effective December 1, 1993, the copying fee goes up from \$0.22 to \$0.24 per page for Commission records made available to the public through the Public Reference rooms and under the Freedom of Information Act. This increase is in conformity with the Commission's dissemination contract, which provides for a periodic adjustment of fees for copying such records.

CLOSED MEETING - WEDNESDAY, DECEMBER 1, 1993 - 9:30 A.M.

The subject matter of the December 1 closed meeting will be: Consideration of amicus participation; Institution of injunctive actions; Institution of administrative proceedings of an enforcement nature; Settlement of administrative proceedings of an enforcement nature; and Regulatory matter bearing enforcement implications.

AT TIMES CHANGES IN COMMISSION PRIORITIES REQUIRE ALTERATIONS IN THE SCHEDULING OF MEETING ITEMS. FOR FURTHER INFORMATION AND TO ASCERTAIN WHAT, IF ANY, MATTERS HAVE BEEN ADDED, DELETED OR POSTPONED, PLEASE CONTACT: Stephen Luparello at (202) 272-2100.

ENFORCEMENT PROCEEDINGS

DEMARCHE ASSOCIATES, INC. AND ROBERT MARCHESI SANCTIONED

The Commission announced the entry of an Order Instituting Public Administrative Proceedings Pursuant to Sections 203(e), (f), (i) and (k) of the Investment Advisers Act (IAA), Making Findings and Order to Cease and Desist against DeMarche Associates, Inc. (DAI), a registered investment adviser located in Westwood, Kansas, and its president, Robert F. Marchesi (Marchesi). The Order charges DAI with primary violations and Marchesi with aiding and abetting DAI's violations of Section 15(a) of the Securities Exchange Act of 1934 and Sections 204, 206(4) and 207 of the IAA and Rules 204-1(b) and 206(4)-2 thereunder based upon DAI's failure to disclose that it receives between 15% and 20% more revenue for the same services when paid in soft dollars rather than in cash, its failure to properly account for client funds in custody, and its failure to register with the Commission as a broker-dealer for its "program sales" service. These violations were discovered during a routine examination of DAI in June 1991.

DAI and Marchesi both consented to the Order without admitting or denying any of the violations set forth therein. DAI agreed to cease and desist from committing or causing any violation or future violations of these provisions of the federal securities laws; to register with the Commission as a broker-dealer and conduct an audit of client funds held in custody; and to pay a civil penalty of \$25,000. Marchesi agreed to pay a civil penalty of \$5,000. (Rel. IA-1392)

SIX FORMER FIRST ALLIANCE ASSOCIATES INDICTED

The Commission, the U.S. Attorney for the Northern District of Georgia, and the Georgia Secretary of State announced the indictment of William F. Lincoln (Lincoln), Calvin L. Word (Word), Thomas J. Word (T. Word), Daniel C. Baxley (Baxley), Richard A. Anders (Anders) and Dawn Dailey (Dailey) before G. Ernest Tidwell, United States District Judge for the Northern District of Georgia, on November 22, 1993. The grand jury returned a forty-eight count indictment charging securities fraud, wire fraud, interstate transportation of monies taken by fraud, and conspiracy. At the time of the conduct charged in the indictment, T. Word, Baxley, Anders and Dailey were associated as account representatives with First Alliance Securities, Inc. (First Alliance), formerly an Atlanta based registered broker-dealer specializing in penny stocks. Lincoln and Word were the firm's president and vice president, respectively.

The indictment includes allegations that from about February 1989 until about December 1989 the firm and the above-named defendants, among others, generated approximately \$1.4 million in illegal profits by charging First Alliance customers undisclosed, excessive markups and commissions. The indictment also charges that the defendants and other First Alliance representatives, some of whom were not registered with the National Association of Securities Dealers, Inc., made or caused to be made misrepresentations and omissions concerning, among other things, the liquidity, suitability and profitability of penny stocks in which First Alliance purported to

make a market, the arbitrary setting of prices of those stocks, and the fact that the defendants had undisclosed interests in such stocks, buying and selling at prices unavailable to ordinary First Alliance investors. Further, the indictment charges that First Alliance and the defendants engaged in fraudulent sales practices, including the use of high-pressure telephone sales techniques, the promotion of certain stocks on certain days to create the appearance of demand, and unauthorized trading in customer accounts.

Six other defendants have pled guilty this year to similar charges in connection with the First Alliance investigation. [U.S. v. William F. Lincoln, et al., Criminal Case No. 1:93-CR-506, ND GA] (LR-13888)

ACTION FILED AGAINST JOHN GAINES and J.M. GAINES & ASSOCIATES, INC.

The Commission announced the filing of a Complaint for Civil Injunction and Other Equitable Relief on November 24 in U.S. District Court for the Northern District of Texas against John Martin Gaines (Gaines) and J.M. Gaines & Associates, Inc. (Gaines & Associates).

The complaint alleged that the defendants violated the broker-dealer registration and antifraud provisions of the securities laws in connection with the offer, purchase and sale of securities. According to the complaint, the defendants raised at least \$2.6 million from investors for the purpose of purchasing securities on the investors' behalf; however, contrary to his representations, Gaines converted the investor funds for his own use, and to repay prior investors.

Also on November 24, Judge Robert B. Maloney entered orders permanently enjoining the defendants from future violations of the aforementioned provisions of the securities laws, ordering disgorgement and civil penalties in amounts to be determined, appointing a receiver and freezing the assets of the defendants. The defendants consented to the entry of the orders without admitting or denying the allegations contained in the Commission's complaint. [SEC v. John Martin Gaines and J.M. Gaines & Associates, Inc., USDC, ND/TX, CA-3:93-CV-2352-X] (LR-13889)

INVESTMENT COMPANY ACT RELEASES

KEYSTONE CUSTODIAN FUND, SERIES B-1, ET AL.

A conditional order has been issued under Section 17(d) of the Investment Company Act and Rule 17d-1 thereunder to permit Keystone Custodian Fund, Series B-1, et al. to deposit their uninvested cash balances into a joint trading account through which the cash would be invested in repurchase agreements. (Rel. IC-19908 - November 29)

PMC CAPITAL, INC., ET AL.

An order has been issued on an application filed by PMC Capital, Inc., et al., amending an existing order under Section 6(c) of the Investment Company Act. The existing order exempts PMC, and certain subsidiaries of PMC licensed by the Small Business Administration (SBA subsidiaries), from, inter alia, Sections 8(b) and 30(d)

of the Act, and Rules 8b-16 and 30d-1 thereunder, permitting them to file amendments to their registration statements and to transmit reports to their shareholders on a consolidated basis, in lieu of the separate obligations of each of the applicants. The existing order was conditioned on PMC investing no more than 10% of its total assets, calculated on a consolidated basis, in securities other than those of the SBA subsidiaries. The order, as amended, permits PMC to invest more than 10% of its total assets, calculated on a consolidated basis, in securities other than those in which the SBA subsidiaries invest, while continuing to report on a consolidated basis. (Rel. IC-19909 - November 29)

SELF-REGULATORY ORGANIZATIONS

UNLISTED TRADING PRIVILEGES AND WITHDRAWAL GRANTED

An order has been issued granting the application of the Chicago Stock Exchange for unlisted trading privileges in 3 over-the-counter issues, and an application to withdraw unlisted trading privileges in 3 over-the-counter issues. (Rel. 34-33251)

PROPOSED RULE CHANGE

The National Securities Clearing Corporation filed a proposed rule change (SR-NSCC-93-13) relating to buy-ins. Publication of the proposal is expected in the Federal Register during the week of November 29. (Rel. 34-33248)

ACQUISITIONS OF SECURITIES

Companies and individuals must report to the Commission within ten days on Schedule 13D if after the acquisition of equity securities of a public company their beneficial interest therein exceeds five percent. Persons eligible to use the short form (Schedule 13G) may in lieu of filing a Schedule 13D file a Schedule 13G within 45 days after the end of the calendar year in which the person became subject to Section 13(d)(1). Companies and individuals making a tender offer must have on file at the time the tender offer commences a Schedule 14D-1.

Below is a list of recent filings of Schedules 13D and 14D, which includes the following information: Column 1 - the company purchased (top), and the name of the purchaser; Column 2 - the type of security purchased; Column - 3 - the type of form filed; Column 4 - the date the transaction occurred; Column 5 - the current number of shares (in 000's) owned (top) and the current percent owned; Column 6 - the CUSIP number (top) and the percent owned; and Column 7 - the status of the filing, i.e., new, update or revision.

NAME AND CLASS OF STOCK/OWNER	FORM	EVENT DATE	SHRS(000)/ %OWNED	CUSIP/ PRIOR%	FILING STATUS
ALBERTO CULVER CO	CL B CV		4,542	01306810	
BERNICK CAROL L ET AL	13D	10/ 7/93	26.7	14.1	UPDATE

ACQUISITIONS CONT.

NAME AND CLASS OF STOCK/OWNER	FORM	EVENT DATE	SHRS(000)/ %OWNED	CUSIP/ PRIOR%	FILING STATUS
ALBERTO CULVER CO BERNICK CAROL L ET AL	CL B CV 13D	10/ 7/93	4,542 26.7	01306810 14.1	RVISION
ALBERTO CULVER CO BERNICK CAROL L ET AL	CL B CV 13D	10/ 7/93	4,542 26.7	01306810 14.1	RVISION
ALBERTO CULVER CO BERNICK CAROL L ET AL	CL B CV 13D	10/ 7/93	4,542 26.7	01306810 14.1	RVISION
ALBERTO CULVER CO LAVIN BERNICE E	CL B CV 13D	10/ 7/93	1,535 9.0	01306810 14.9	UPDATE
ASTREX INC ANSTER HOWARD ET AL	COM 13D	11/ 1/93	1,009 21.5	04635710 15.1	UPDATE
ASTREX INC LORING JOHN CHARLES ET AL	COM 13D	11/ 1/93	780 16.6	04635710 10.2	UPDATE
BIO-DYME CORP ARNOME JOHN S	COM 13D	11/ 5/93	150 5.2	09299310 6.9	UPDATE
BIO-DYME CORP GIAKAS WALLACE	COM 13D	11/ 5/93	150 5.2	09299310 6.9	UPDATE
BIO-DYME CORP SPIRA ROBERT	COM 13D	11/ 5/93	175 6.0	09299310 6.9	UPDATE
BUCKEYE COMMUNICATIONS INC ENGLANDER ISRAEL A ET AL	COM 13D	11/ 5/93	117 7.5	11815420 0.0	NEW
BUENOS AIRES EMBOTELLADORA BAESA SHAREHOLDER ASSOC	SPONS ADR REP B 13D	11/ 8/93	16,373 70.3	11942420 0.0	NEW
CONSILIUM INC CENTENNIAL ASSOC	COM 13D	11/12/93	625 8.8	20854710 6.9	UPDATE
EDITEK INC LINDLEY J THOMAS SR	COM 13D	11/17/93	281 5.2	28106810 5.3	UPDATE
FFE FINL CORP DRAKE ASSOC LP ET AL	COM 13D	11/17/93	36 7.7	30999010 5.8	NEW
FINANCIAL INSTNS INS GROUP BOOTH DAVID G	COM 13D	11/16/93	26 2.4	31758810 56.2	UPDATE
FINANCIAL INSTNS INS GROUP GARNETT JANE MARVEL	COM 13D	11/16/93	60 5.5	31758810 5.5	UPDATE
FORTUNE BANCORP INC ALPINE ASSOC LTD PRTRSP	COM 13D	11/12/93	295 5.3	34963010 0.0	NEW

ACQUISITIONS CONT.

NAME AND CLASS OF STOCK/OWNER	FORM	EVENT DATE	SHRS(000)/ %OWNED	CUSIP/ PRIOR%	FILING STATUS
GENERAL MOST CORP	COM		2,512	37006410	
GAMCO INVESTORS INC ET AL	13D	11/17/93	12.6	11.4	UPDATE
HALL FINL GRP INC	COM		0	40606010	
CRAIG HALL 1988 CHILDRENS TR	13D	11/15/93	0.0	0.0	NEW
HELIONETICS INC	COM NEW		1,958	42327640	
AYRES SOPHIE B ET AL	13D	6/29/93	13.0	17.7	UPDATE
NEW GENERATION FOODS INC	COM		242	64446330	
FLUM PARTNERS ET AL	13D	10/27/93	48.2	26.7	UPDATE
PARAGON TRADE BRANDS INC	COM		678	70499710	
ATLANTA/SOSNOFF CAPITAL ET AL	13D	5/26/93	5.9	9.5	UPDATE
PHOTOCOMM INC	COM		1,423	71931910	
KAUFFMAN ROBERT R	13D	11/ 9/93	12.0	11.3	UPDATE
PHOTOCOMM INC	COM		2,890	71931910	
PROGRAMMED LAND INC	13D	11/ 9/93	24.4	32.7	UPDATE
RICHARDSON ELECTRS LTD	COM		767	76316510	
DESAI CAPITAL MGMT INC ET AL	13D	10/14/93	6.8	8.5	UPDATE
ROBERTSON CECO CORP	COM PAR \$0.01		3,334	77053920	
HEISLEY MICHAEL E ET AL	13D	11/ 9/93	100.0	0.0	NEW
SL INDS INC	COM		0	78441310	
THOMAS WILNER J JR ET AL	13D	11/23/93	0.0	7.8	UPDATE
SCIGENICS INC	CALL COM		134	80890410	
MENTOR PARTNERS LP	13D	11/12/93	6.4	0.0	NEW
SELAS CORP AMER	COM		249	81611910	
GORDER MARK STEPHEN	13D	10/20/93	7.9	0.0	NEW
SLOAN SUPERMARKETS INC	COM		225	83154810	
RMED INTL INC	13D	11/ 9/93	9.4	0.0	NEW
SUN HBR FINL RES INC	COM		1,250	86691610	
MERCHAT FRANK	13D	11/ 5/93	9.3	0.0	NEW
TELEPHONE & DATA SYS INC	COM		2,057	87943310	
GAMCO INVESTORS INC ET AL	13D	11/17/93	4.3	4.7	UPDATE
THERMO CARDIOSYSTEMS INC	COM NON REDM		6,403	88399020	
THERMO ELECTRON	14D-1	11/19/93	58.0	46.4	UPDATE
TRENNICK GROUP INC	COM		322	89529010	
ATLANTA/SOSNOFF CAPITAL ET AL	13D	11/ 4/93	4.9	5.1	UPDATE

ACQUISITIONS CONT.

NAME AND CLASS OF STOCK/OWNER	FORM	EVENT DATE	SHRS(000)/ %OWNED	CUSIP/ PRIOR%	FILING STATUS
UNITRONIX CORP MORGAN HOWARD LEE	COM 13D	12/22/92	350 8.8	91328710 0.0	NEW
ZAPATA CORP HOLT PETER M	COM 13D	11/ 9/93	11,295 7.8	98907010 0.0	NEW
ALBERTO CULVER CO BERNICK CAROL L ET AL	CL B CV 13D	10/ 7/93	4,542 26.7	01306810 14.1	RVISION
ARI HLDGS INC LNI INSURANCE CO	COM 14D-1	11/19/93	0 0.0	01799610 0.0	NEW
ARLINGTON REALTY INVESTORS DAVISTER CORP	SH BEN INT 13D	11/10/93	320 64.1	04174910 0.0	NEW
B&N MARITIME CARRIERS LTD MUDNER MICHAEL S ET AL	COM 13D	11/17/93	1,480 48.0	05490410 58.9	UPDATE
CHESTER COUNTY SECURITY FD PALMARELLA ERNEST D ET AL	COM 13D	8/ 2/93	308 9.3	16565410 9.3	UPDATE
CHEVRON CORP PENNZOIL CO	COM 13D	11/18/93	9,036 2.8	16675110 5.3	UPDATE
COPLEY PHARMACEUTICAL INC MOECHST CELANESE CORP	COM 14D-1	11/19/93	9,934 54.5	22099710 0.0	UPDATE
DATEQ INFORMATION NETWORK HICKORY FURNITURE CO ET AL	COM 13D	11/10/93	405 12.3	23791610 11.2	UPDATE
DATEQ INFORMATION NETWORK STRINGER W KENNETH III ET AL	COM 13D	11/10/93	1,018 30.7	23791610 0.0	NEW
ELXSI CORP AIRLIE GROUP ET AL	COM NEW 13D	11/15/93	1,536 25.0	26861320 0.0	NEW
FEDERAL SCREW WKS HARNES HUGH G	COM 13D	3/30/93	140 12.9	31381910 14.0	UPDATE
FIRST STATE BANCORP DUCHOSSOIS RICHARD L	COM 13D	11/10/93	100 6.9	33645310 0.0	NEW
GIBRALTAR PACKAGING GROUP ROSE WALTER E	COM 13D	11/12/93	306 6.1	37475810 0.0	NEW
GIBRALTAR PACKAGING GROUP STRAUTNIEKS JOHN D	COM 13D	11/12/93	308 6.1	37475810 0.0	NEW
GLEASON CORP BASS PERRY R ET AL	COM 13D	11/18/93	593 11.5	37733910 10.1	UPDATE

ACQUISITIONS CONT.

NAME AND CLASS OF STOCK/OWNER	FORM	EVENT DATE	SHRS(000)/ XCHG	CUSIP/ PRIOR%	FILING STATUS
HARMAN INTL INDS INC NEW HARMAN SIDNEY	COM 13D	11/ 9/96	2,978 27.4	41308610 24.5	UPDATE
ILX INC MARTORI EDWARD J ET AT	COM 13D	11/11/93	6,502 56.2	44966110 0.0	NEW
LAUSON MARDON GROUP LTD ALUSUISSE LONZA HLDG LTD	CL A SUB VTG 13D	11/22/93	14,219 35.9	52099010 35.9	NEW
LEXICOM CORP HOWELL ROLAND M ET AL	COM PAR \$0.10 13D	10/ 7/93	101 6.7	52887080 0.0	NEW
LORAL CORP LEHMAN BROS MERCHANT BKG ET AL	COM 13D	11/19/93	6,388 7.7	54385910 7.6	UPDATE
MATEC CORP VALPEY THEODORE S JR	COM 13D	11/11/93	942 32.5	57666710 35.1	UPDATE
MCAFFEE ASSOCS INC J J TRUST ET AL	COM 13D	9/16/93	3,800 34.2	57905710 0.0	NEW
MICRO GENERAL CORP PICKUP RICHARD MADLEY	COM 13D	11/16/93	211 11.2	59483830 63.6	UPDATE
MICROPROBE CORP BLECH DAVID ET AL	COM 13D	10/26/93	785 11.1	59799410 6.5	UPDATE
MOLEX INC KREHBIEL LP	COM 13D	11/23/93	13,163 41.5	60855410 41.5	UPDATE
NATIONAL DATA CORP PATTERSON JAMES O ET AL	COM 13D	11/17/93	567 4.6	63562110 5.1	UPDATE
NOSTALGIA NETWORK INC CROWN COMMUNICATIONS CRP ET AL	COM NEW 13D	11/12/93	7,921 54.1	66975230 52.7	UPDATE
OGLEBAY MORTON CO FIRST CAROLINA INVESTORS ET AL	COM 13D	11/17/93	274 10.9	67700710 9.9	UPDATE
PARAGON MTG CORP JENSEN TERRY L K ET AL	COM 13D	10/ 3/93	484 6.0	69911710 7.3	UPDATE
PARAMOUNT COMMUNICATIONS INC QVC NETWORK	COM 14D-1	11/29/93	1 0.0	69921610 0.0	UPDATE
PARAMOUNT COMMUNICATIONS INC VIACOM ET AL	COM 13D	11/19/93	23,699 20.0	69921610 20.0	UPDATE
PAULSON CAP CORP NEWBY STEVEN T	COM 13D	11/10/93	281 6.3	70379710 0.0	NEW

ACQUISITIONS CONT.

NAME AND CLASS OF STOCK/OWNER	FORM	EVENT DATE	SHRS(000)/ %OWNED	CUSIP/ PRIOR%	FILING STATUS
PHARMACONTROL CORP ICC INDS INC ET AL	COM 13D	11/ 9/93	18,986 66.7	71691030 63.2	UPDATE
PLAZA HOME HTG CORP DITO DEVCAR INC ET AL	COM 13D	11/ 4/93	600 5.4	72818710 0.0	NEW
PROVIDENCE HEALTH CARE INC MUNED HOME HEALTH CARE INC	COM 13D	11/19/93	925 20.0	74375710 20.0	UPDATE
PROVIDENCE HEALTH CARE INC ROBINSON JOHN ET AL	COM 13D	11/11/93	371 8.0	74375710 7.4	RVISION
R T I INC WELT GROUP	COM 13D	11/10/93	137 2.0	74973910 8.6	UPDATE
SORICOM CORP WOLFEN WERNER F ET AL	COM 13D	11/12/93	561 28.5	83586410 31.2	UPDATE
TAJ MAHAL HLDG CORP PUTNAM INVT INC ET AL	COM CL B 13D	11/10/93	107 14.2	87404910 0.0	NEW
MESCO AUTO PTS CORP LIVIAKIS FINANCIAL COMM	COM 13D	11/12/93	980 6.0	95081510 0.0	NEW
WORTH CORP WORMS M M & CIE ET AL	COM 13D	10/31/93	3,867 37.0	98164510 30.8	UPDATE
WORLDCORP INC ANDREWS SUSAN A	COM 13D	10/12/93	1,250 8.5	98190410 0.0	NEW
WORLDCORP INC ANDREWS T COLEMAN III	COM 13D	10/12/93	788 5.4	98190410 13.9	UPDATE
AMERICAN INCOME HLDG INC FOX PITT KELTON M V	COM 13D	11/18/93	0 0.0	02672810 11.2	UPDATE
AMERIWOOD INDS INTL CORP BANK OF AMERICA/NEVADA	COM 13D	11/18/93	435 10.4	03299910 12.0	UPDATE
AMERIWOOD INDS INTL CORP BANK OF AMERICA/NEVADA	COM 13D	11/18/93	435 10.4	03299910 12.0	RVISION
BUFETE INDUSTRIAL S A KELLOGG MEXICO INC	COM SER B 13D	11/12/93	55,464 68.1	11999010 0.0	NEW
CHANTAL PHARMACEUTICAL CORP ANTHONY GARNER	COM NEW 13D	11/15/93	1,588 17.0	15930020 17.2	UPDATE

ACQUISITIONS CONT.

NAME AND CLASS OF STOCK/OWNER	FORM	EVENT DATE	SHRS(000)/ %OWNED	CUSIP/ PRIOR%	FILING STATUS
CRUISE AMERICA INC WIGHT RUSSELL B JR ET AL	COM 13D	11/19/93	959 17.3	22878710 11.7	UPDATE
CUSTOMEDIX CORP COHEN GORDON S	COM NEW 13D	11/18/93	1,552 42.3	23203820 41.9	UPDATE
CUSTOMEDIX CORP COHEN GORDON S	COM NEW 13D	11/18/93	1,552 42.3	23203820 41.9	RVISION
DIXIE MATL CORP AMERICAN CAPITOL INS ET AL	COM 13D	10/21/93	1,000 13.5	25555110 13.5	UPDATE
DIXIE MATL CORP AMERICAN CAPITOL INS ET AL	COM 13D	10/21/93	1,000 13.5	25555110 13.5	RVISION
DOSKOCIL COS INC JOSEPH, LITTLEJOHN & LEVY ET AL	COM PAR \$0.01 13D	11/18/93	2,165 27.4	25848630 25.3	UPDATE
EASTPOINT MALL LIMITED PARTH LTD PART INT LIQUIDITY FUNDS ET AL	13D	9/30/93	0 5.1	27769999 0.0	NEW
GALAXY FOODS CO LIFESCIENCES TECH PRTRNS	COM 13D	11/16/93	720 17.0	36317510 9.4	UPDATE
GERANT INDS INC PLAZA RLTY GROUP ONE L P ET AL	COM 13D	11/11/93	8,000 7.2	37399610 4.5	UPDATE
J & J SNACK FOODS CORP RAWLINGS KENNETH B ET AL	COM 13D	11/ 1/93	664 6.4	46603210 5.1	UPDATE
J & J SNACK FOODS CORP RAWLINGS KENNETH B ET AL	COM 13D	11/ 1/93	664 6.4	46603210 5.1	RVISION
INTL FAMILY ENTMT INC LIBERTY MEDIA CORP	CL B 13D	11/ 9/93	6,841 23.2	48099510 18.2	UPDATE
NAI TECHNOLOGIES INC WEIR C K	COM 13D	11/12/93	346 5.4	63999710 6.4	UPDATE
NAI TECHNOLOGIES INC WEIR C K	COM 13D	11/12/93	346 5.4	63999710 6.4	RVISION
NEWPARK RES INC QUALITY MAT CO	COM PAR \$0.01 NEW 13D	11/17/93	700 7.8	65171850 0.0	NEW
NORD RES CORP CONSOLIDATED RUTILE LTD	COM 13D	11/17/93	0 0.0	65555510 20.0	UPDATE
PAGE AMERICA GROUP INC CENTENNIAL FUND ET AL	COM PAR \$0.10 13D	11/17/93	38 1.0	69546530 16.8	UPDATE

ACQUISITIONS CONT.

NAME AND CLASS OF STOCK/OWNER	FORM	EVENT DATE	SHRS(000)/ %OWNED	CUSIP/ PRIOR%	FILING STATUS
PARAMOUNT COMMUNICATIONS INC VIACOM INC ET AL	COM 13D	11/29/93	23,699 20.0	69921610 20.0	UPDATE
PHARMOS CORP BLECH ESTHER	COM 13D	11/12/93	1,108 12.9	71713920 0.0	NEW
QVC NETWORK INC BELLSOUTH CORP	COM 13D	11/11/93	8,628 22.9	74726210 0.0	NEW
RODMAN & RENSHAW CAP GROUP ABACO GRUPO FINANCIERO ET AL	COM 14D-1	11/23/93	0 0.0	77487710 0.0	NEW
ROYAL APPLIANCE MFG CO RICHMONT CAP PARTNERS I L P	COM 13D	11/22/93	2,015 8.4	78007610 7.2	UPDATE
SALEM SPORTSMEAR CORP FTL ACQUISITION CORP	COM 14D-1	11/22/93	0 0.0	79449910 0.0	UPDATE
SEABOARD BANCORP INC EDGE PARTNERS L P	COM 13D	11/22/93	569 11.4	81151110 8.4	UPDATE
SERVICO INC DEL AMERICAN HLDGS INC	COM 13D	10/28/93	725 11.1	81764810 9.8	UPDATE
T-HQ INC AMERICAN STOCK TRANSFER TR CO	COM 13D	5/ 9/93	1,500 6.4	87244310 0.0	NEW
TRISTAR CORP ZMERLING SCHACHTER ET AL	COM 13D	11/10/93	1,400 21.1	89799810 0.0	NEW
UNITED STATES CELLULAR CORP TELEPHONE & DATA SYSTEMS ET AL	COM 13D	11/15/93	58,262 100.0	91168410 100.0	UPDATE
UNITRONIX CORP JONES SAMUEL H JR	COM 13D	5/20/93	372 9.4	91328710 0.0	NEW
VALUEVISION INTL INC HERLING ERWIN ET AL	CL A 13D	9/20/93	1,150 12.1	92099310 7.1	UPDATE
VALUEVISION INTL INC KANARICK IRA	CL A 13D	9/20/93	2,082 21.9	92099310 10.6	UPDATE
WILSHIRE TECHNOLOGIES INC CONEN DAVID J ET AL	COM 13D	11/12/93	277 7.6	97200010 0.0	NEW
ZAPATA CORP HOLT PETER M	COM 13D	11/ 9/93	11,295 7.8	98907010 0.0	RVISION

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.

The companies listed below have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. Copies of the reports may be purchased from the Commission's Public Reference Room (when ordering, please give the date of the report). An invoice will be included with the requested material when mailed.

NAME OF ISSUER	STATE CODE	8K ITEM NO.								DATE	COMMENT
		1	2	3	4	5	6	7	8		
ARISTOTLE CORP	DE	NO ITEMS								11/19/93	
ATRIX INTERNATIONAL INC	MN	NO ITEMS								11/18/93	
BI INC	CO									X	07/02/93AMEND
CHECKMATE ELECTRONICS INC	GA	NO ITEMS								11/15/93	
DEFIANCE INC	DE	X								X	11/17/93
FAIRMOUNT CHEMICAL CO INC	NJ	NO ITEMS								11/19/93	
FIRST INTERSTATE BANK OF NEVADA NATIONAL		NO ITEMS								11/14/93	
FIRST INTERSTATE BANK OF WASHINGTON NATI		X								11/14/93	
FIRST MIDWEST BANCORP INC	DE	NO ITEMS								11/17/93	
FIRST NATIONAL CORP /CA/	CA	NO ITEMS								11/17/93	
HBO & CO	DE	X								X	11/16/93
MARINE MIDLAND AUTOMOTIVE FINANCIAL CORP	NY	NO ITEMS								11/15/93	
MARINE MIDLAND BANK N A		NO ITEMS								11/15/93	
MARINE MIDLAND BANK N A		X								X	11/15/93
MISSION WEST PROPERTIES/NEW/	CA	NO ITEMS								11/03/93	
PEOPLES BANCTRUST CO INC	AL	NO ITEMS								11/16/93	
RESOLUTION TRUST CORP		X								X	11/15/93
RESOLUTION TRUST CORP		X								X	11/15/93
RESOLUTION TRUST CORP		X								X	11/15/93
UMC ELECTRONICS CO	DE	X								X	10/31/93
USAA FEDERAL SAVINGS BANK		NO ITEMS								11/15/93	